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# The inelastic electron tunneling spectroscopy of curved finite-sized Graphene nanoribbon based molecular devices

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The inelastic electron scattering properties of the molecular devices of curved finite-sized graphene nanoribbon (GNR) slices have been studied by combining the density functional theory and Green's function method. Based on the extended molecular models, the inelastic electron tunneling spectroscopy,

<sup>10</sup> inelastic quantum conductance and inelastic current have been calculated systematically. The temperature dependences of the inelastic electron tunneling spectroscopy (IETS) and inelastic current have been discussed. Results show that the contributions of the inelastic conductance increase obviously and become comparable to the elastic conductance for curved GNR slices based junctions. The electron inelastic scattering of the curved GNR-based junctions are orders of magnitude stronger than that of the <sup>15</sup> plane ones. The obvious dependences of the elastic and inelastic current of the finite-sized GNR slices

make it have probable applications in molecular microprobes.

# Introduction

Due to the remarkable structural, electrical and chemical properties, graphene based low-dimensional carbon materials <sup>20</sup> have been widely studied both on theoretically and experimentally grounds <sup>[1-6]</sup>. In addition to the unique electronic properties, graphene sheets also have very good flexibility and mechanical properties. Graphene and related materials are ideally suited for applications in flexible and plastic electronics <sup>[7-10]</sup>.

<sup>25</sup> Meanwhile, with the development of experimental method, people can prepare, characterize and control extremely small scale systems even a single molecule. For practical applications, nano-scale molecular devices based on extremely small graphene nanoribbon (GNR) sheets become possible. The electronic

- <sup>30</sup> properties of graphene nano-scale sheets are strongly dependent on their geometry <sup>[11]</sup>. The electronic properties of curved graphene nano-scale sheets are considerably different from those of their plane counterparts. It is worth mentioning that the flexible touch screen panels <sup>[12]</sup> of graphene have been indeed
- <sup>35</sup> realized. In practical applications, the curvature dependence of elastic and inelastic current for the finite-sized GNR based junctions makes it have probable applications in molecular microprobes.

Inelastic electron tunneling spectroscopy (IETS) was 40 developed in the 1960s to study vibrational spectra of organic molecules buried inside metal-oxide-metal junctions and has since become a powerful spectroscopic tool for molecular identification and chemical bonding investigations <sup>[13–20]</sup>. There are many significant theoretical works on the topic of IETS have

the coupling between the atom motion and electrons, thus the inelastic transport properties of molecular devices are very sensitive to the configuration. In addition, IET process is closely related to the molecular energy level, molecular motion, the 50 charge transfer and chemical reaction process. It is known that the inelastic component can lead to a second tunneling path, which gives an additional current contribution to the tunneling current if the transmission is far below unity. While in case of a close to unity transmission the inelastic current can reduce the 55 current (have a negative sign) by producing a "dip" in the IET spectrum. Generally, the inelastic contribution to the current is small compared to the elastic tunneling current and is more clearly seen as a peak in the second derivative of the current to the bias voltage. Investigations with IETS have had significant 60 technological implications because they give structural information about the molecular junction and provide a direct access to the dynamics of energy relaxation and thermal dissipation during the electron tunneling. Therefore, the studied of the effect of curvature on the elastic and inelastic electronic 65 and transport properties of graphene nanoscale sheets is necessary.

<sup>45</sup> been reported <sup>[21-25]</sup>. The inelastic tunneling process is induced by

# **Theory and Calculation methods**

The calculations of geometric optimization and electronic properties are performed by the density functional theory in 70 B3LYP level<sup>[26, 27]</sup>. Mixed basis set have been used, that is, using the 6-31G basis set for carbon and hydrogen atoms and LanL2DZ basis set for Au atoms. The elastic and inelastic transport

properties are carried out using the quantum chemistry for molecular electronics (QCME) code <sup>[28-30]</sup>. The approach for both elastic and inelastic scattering is based on scattering theory and Green's functional theory. The finite-sized GNR based molecular s device was decomposed into three parts, the source, the drain and

- s device was decomposed into three parts, the source, the drain and the extended molecule. When energetic constraints are satisfied, the electron crossing the junction may exchange a definite amount of energy with the molecular nuclear motion, resulting in an inelastic component in the transmission current <sup>[31]</sup>. To
- <sup>10</sup> describe the electron-vibronic coupling effect, molecular theory based on vibrational normal modes has been introduced to the scattering model. In the adiabatic Born-Oppenheimer approximation, the purely electronic Hamiltonian of the molecular systems can be considered parametrically as dependent
- <sup>15</sup> on the vibrational normal modes Q. Therefore, the total electron tunneling current (*I*) in the junctions include the contributions of elastic ( $I_{el}$ ) and inelastic ( $I_{inel}$ ) electron scattering from the source to drain electrode.

$$I = I_{el} + I_{inel} \tag{1}$$

<sup>20</sup> Typically, only a fraction of tunneling electrons has involved in the inelastic tunneling process. The small conductance change induced by the electron-vibronic coupling is commonly measured by the second harmonics of a phase-sensitive detector for the second derivative of the tunneling current  $\frac{d^2I/dV^2}{dV}$  or the part <sup>25</sup> normalized by the differential conductance  $\frac{(d^2I/dV^2)}{(dI/dV)}$ 

By using a Taylor expansion, the nuclear motion depended wavefunction can be expanded along each vibrational normal mode. Since most IETS are measured at the electronic offresonant region (that is, conducting levels are far from the Fermi <sup>30</sup> energy), the adiabatic harmonic approximation can be applied.

We can then use the first derivative to represent the vibrational motion part in the wavefunctions. In this case, the total quantum conductance is described as

$$\sum_{\nu',\nu,\nu''} g_{KK}^{\eta,\nu',\nu,\nu''} = \sum_{\alpha} \frac{1}{z_{\eta} - \varepsilon_{\eta} - \hbar\omega_{\alpha}} \times \sqrt{\frac{\hbar}{2\omega_{\alpha}}} \\ \times \left[ \left\langle K_{0}^{\prime \eta} \left| \frac{\partial \Psi_{0}^{\eta}}{\partial Q_{\alpha}} \right\rangle + \left\langle \frac{\partial K_{0}^{\prime \eta}}{\partial Q_{\alpha}} \right| \Psi_{0}^{\eta} \right\rangle \right] \qquad (2)$$
$$\times \left[ \left\langle K_{0}^{\eta} \left| \frac{\partial \Psi_{0}^{\eta}}{\partial Q_{\alpha}} \right\rangle + \left\langle \frac{\partial K_{0}^{\eta}}{\partial Q_{\alpha}} \right| \Psi_{0}^{\eta} \right\rangle \right]$$

<sup>35</sup> where  $\mathcal{E}_{\eta}$  represent the energy of eigenstate  $\eta$  of the pure electronic Hamiltonian,  $\mathcal{O}_{\alpha}$  is the vibrational frequency of vibrational normal modes  $Q_{\alpha}$ , and  $n_{\alpha}^{\nu}$  is the quantum number for the mode  $Q_{\alpha}$  in the vibration wavefunction  $|\Psi^{\nu}(Q)\rangle$ .  $\Psi_{0}^{\eta}$  is here the intrinsic electronic wavefunction at the equilibrium <sup>40</sup> position,  $Q_{0}$ . The parameter z in the Green's function is a complex variable  $z = E_{i} + i\Gamma_{i}$  and  $E_{i}$  is the energy at which the scattering process is observed.  $\Gamma_{i}$  is the escape rate. The electrodes of molecular devices often contain huge numbers of metal atoms, the vibrational information usually shadowed in the <sup>45</sup> free electron gas environment. Generally the electron-vibronic coupling can be obviously observed and discussed only in the extended molecule part <sup>[32]</sup>. Thus, the eigenstate at energy level  $\mathcal{E}_{\eta}$  can be partitioned into three parts runs over the atomic sites in the molecule: the source, drain and extended molecule. The <sup>50</sup> term  $|K^{\eta}\rangle$  is the wave function of the extended molecule subsystem. From equation (2) one can see that considering molecular vibrations in the wave function and the Hamiltonian will introduce vibrational excited states on the basis of ground state of the system. In the off-resonance region, the scattering of <sup>55</sup> electrons from these states will contribute some inelastic electron tunneling.

## **Results and Discussions**

### Models

In this article, a series of Au(111)-4×4-extended-molecule-60 Au(111) junctions with different distances between the source and drain electrodes ( $d_{SD}$  from 1.37 nm to 1.77 nm) have been considered as the model systems. A concise figure of the molecular devices is given in figure 1. The  $4 \times 4$  prototype graphene nanoribbon slice with 8 carbon atoms on the zigzag 65 (ZZ) edges and 8 carbon atoms on the armchair (AC) edges as the basic molecular core. All of the dangling edge carbon atoms on the both sides of ZZ and AC edges have been passivated by Hydrogen atoms. The extended molecules are composed of GNRs sandwiched between triangle gold clusters. The 70 introductions of a large number of metal atoms in the system often bring magnitude growth of the quantum chemistry calculations. Furthermore, the main and most important IETS information comes from the vibrations of the molecule atoms and the vibration of electrode metal atoms almost 75 have no effect on the IETS spectra of the device. Therefore, we choose triangle gold clusters composed of three gold atoms to describe source and drain electrodes of the extended molecules. In the geometry optimization process, the coordinate of the Au atoms have been fixed and the atoms of molecular core are fully 80 optimized. We have studied systematically on the effect of fixed electrodes on the geometric and transport properties of GNR slices based molecular devices in one of our previous work [33]. The results shown that with different  $d_{SD}$ , the central atomic plane exhibited different degrees of curvature. Here, we are mainly 85 interested in the effect of curvature on the inelastic tunneling spectroscopy of finite-sized GNR slices based junctions. It is worth mentioning that for the junction models Au atoms are connected with the molecular core through hydrogen atoms. This actually has a large contact resistance. Therefore, if one choose 90 other atoms with small contact resistance (such as sulphur atom), the conductance should increase significantly.

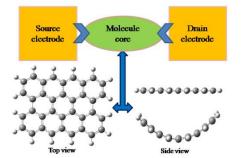


Figure 1. Structures of the 4×4 GNR based molecular junctions.

# The elastic and inelastic quantum conductances of curved 4x4GNRs-based junctions

It is known that in the nanomolecular devices, electrons scatter from the ground state in the source reservoir to an excitation s level, and transport through the conducting channel to the ground state in the drain reservoir. The molecular orbitals of the extended molecule serve as the conducting channels. The inclusion of nuclear motion introduces vibrational excited states in the electronic ground state potential. These vibrational excited states 10 are the ones that contribute to the inelastic terms in the case of

off-resonant excitation. Meanwhile, the electron can also tunnel

through the molecular orbitals, resulting in the elastic term in the total current. Therefore, the total quantum conductance in the junctions can be divided into two parts, the elastic and inelastic <sup>15</sup> electron scattering from source to drain electrodes. The calculated elastic and inelastic quantum conductance (here, we plot as  $G/G_0$  with  $G_0=2e^2/h$  being the conductance quantum, bias voltage=2V, at room temperature) curves of a series of 4×4 GNR-based molecular devices with different  $d_{SD}$  and curvature radius have <sup>20</sup> been given in figure 2. The black solid lines are for the elastic and red dashed lines are for the inelastic conductance.

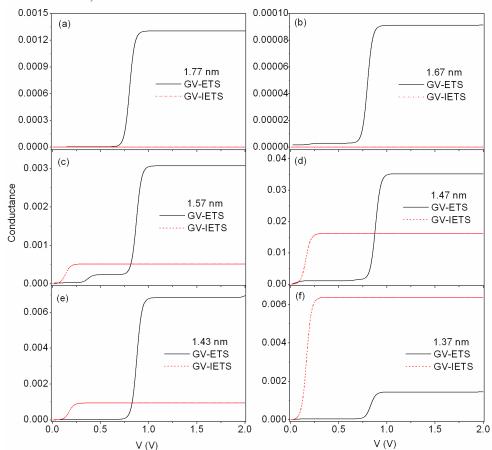


Figure 2. The elastic and inelastic quantum conductance (plot as  $G/G_0$  with  $G_0=2e^2/h$  being the conductance quantum, bias voltage=2V) curves of curved  $4\times4$  GNR-based junctions with different  $d_{SD}$ .

<sup>25</sup> From figure 2 one can clearly see that the intensity of quantum conductance is sensitive to the atom structure of central molecular core, while the positions of quantum conductance stairs appear at same energy region. Based on the junction <sup>30</sup> models, the coordinate of the Au atoms have been fixed (that is, there is a certain  $d_{SD}$  for each system) and the atoms of molecular core are fully relaxed in the geometry optimization process. Our previous results obtained that the curvature radius of the atomic plane is increased, and the deformation energy is decreased with <sup>35</sup> the stretching of  $d_{SD}$ . When  $d_{SD}$  is larger than 1.67 nm, the

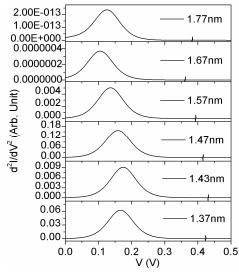
- so the stretching of  $a_{SD}$ . When  $a_{SD}$  is target than 1.67 mm, the curvature radius becomes very large and the deformation energy keeps as a constant <sup>[33]</sup>. Comparing with the elastic conductance, the contributions of the inelastic conductance increase obviously when  $d_{SD}$  decreasing. The inelastic conductance can be negligible for the large  $d_{SD}$  excitation (clean CNIR clians) as shown in figure
- $_{\rm 40}$  for the large  $d_{\rm SD}$  systems (plane GNR slices) as shown in figure

2(a) and (b). For the small  $d_{SD}$  systems (curved GNR slices), the contributions of inelastic conductance become comparable to the elastic conductance, as shown in figure 2(c) to (e). It is need to mention that the main contribution for the total conductance <sup>45</sup> comes from the inelastic electron scattering in systems with small curvature radius, such as  $d_{SD}$ =1.37nm system shown in figure 2(f). The reason may that the curvature of the GNR slices causes the hybridization of the electrons changed, and the nuclear motion for the curved systems is much stronger than that of the <sup>50</sup> plane systems. The nuclear motion can introduces vibrational excited states which contribute to the inelastic electron scattering. These two mechanisms can tune the molecular orbitals and thus change the quantum conductance. From figure 2, one also sees that there are some differences on the magnitude of conductance. <sup>55</sup> Fixed the coordinate of source drain electrodes in the

optimization process, equivalent to apply stress to the GNR plane, which cause the atomic plane become curved, thus the electronic properties of the system and the transport properties of the junctions will be tuned. Furthermore, the coupling between

# <sup>10</sup> The inelastic electron tunneling spectroscopy of curved 4x4GNRs-based junctions

- Inelastic electron tunneling spectroscopy is a powerful spectroscopic tool for molecular identification and chemical bonding investigations. In this part, we studied the inelastic <sup>15</sup> electron scattering properties of several 4x4 GNR-based molecular devices. The calculated IETS ( $d_{SD}$  from 1.37nm to 1.77nm) are illustrated as a function of applied bias in figure 3. The shape of the calculated IETS curve agrees well with each other, while the peak positions are different. Generally, the <sup>20</sup> amplitude of the IETS peak for the curved GNR-based junctions has blue-shift with decreasing the  $d_{SD}$ . That is, with the atomic plane become more curved, vibrational energy states appear at larger energy region. With the  $d_{SD}$  become smaller, the curvature radius become smaller and the coupling between Au atoms and
- 5 electrodes and molecule core will also have effect on the transport properties. The proportion of elastic and inelastic component of the total current can provide a referential reflection of the localized curvature information for the GNR slices.
- <sup>25</sup> carbon atoms become stronger. When the  $d_{\rm SD}$  become much smaller, the strong coupling may constraint the nuclear motion partially, thus, the blue-shift effect cannot be seen for the  $d_{\rm SD}$ =1.37nm system. For the  $d_{\rm SD}$ =1.77nm system, the molecular core keeps plane atomic structure and the overlap between Au <sup>30</sup> atoms and edge passivated hydrogen atoms and sub-edge carbon atoms can be negligible. There are a quite small IETS peak appears at low energy regions. One can conclude that the electron inelastic scattering of the curved GNR-based junctions are orders of magnitude stronger than that of the plane ones. The large <sup>35</sup> difference in the spectral intensity and distributions related to the molecular vibrational modes implies that the molecular conformations and the connection of molecular core and electrodes are different.



and

V (V) 40 Figure 3. The inelastic electron tunneling current as function of source-drain bias voltage of the curved GNR-based molecular devices with different  $d_{SD}$ .

# The temperature dependences of inelastic electron scattering for curved 4x4GNRs-based junctions

As we all know, temperature have a profound impact on the 45 electron scattering process. Based on the theoretical calculation methods used by the QCME code, we can find that there are two main factors closely related to temperature, one is the population distribution of thermal particles (the molecular vibrational mode has been used to describe the atomic motion in this method) 50 which can be calculated using the Boltzmann distribution function  $P_n$ . The other is the electron Fermi distribution at the end

of source and drain electrodes 
$$f(E)$$
, where  

$$P_{n_{\alpha}^{\nu^{\prime\prime}}} = e^{-[\Delta E/k_BT]} , \text{ source } f(E) = \frac{1}{e^{[(E-E_f)/k_BT]} + 1}$$

$$\operatorname{drain} f(E) = \frac{1}{e^{[(E-E_f - eV_D)/k_BT]} + 1}.$$

<sup>55</sup> Both of the two factors have exponential dependence on temperature. The effect of Boltzmann distribution function on the tunneling current is only valid in the low frequency range. For the Fermi distribution, the energy difference is often close to zero in the vicinity of the conducting orbits, thus the effect of Fermi <sup>60</sup> distribution on the tunneling current is persistent.

In order to examine the dependence of the IETS on the temperature, we choose two extended molecules with  $d_{SD}=1.57$ nm and  $d_{SD}=1.77$ nm as example for simplicity. The calculated inelastic electron tunneling spectroscopy under <sup>65</sup> different working temperatures for the  $d_{SD}=1.57$ nm system and inelastic electron tunneling current (on a log scale) under different temperature for the  $d_{SD}=1.57$ nm and  $d_{SD}=1.77$ nm system are shown in figure 4, respectively. Our calculated results shown that there is a peak of IETS in the low-frequency regions <sup>70</sup> below 50mV for curved-GNR based systems. The intensity of this peak is very small. While for the plane-GNR based systems with a large value of  $d_{SD}$ , the molecular vibrational level appear until 100mV. It can be seen that the IETS curve of  $d_{SD}=1.57$ nm

system have significant temperature dependence between 100mV and 200mV. From figure 4 (a) to (f), one can see that the peak has a significant broadening with the increase of temperature. Figure 4 (g) shows that in the low-frequency region below 50mV, the

- s inelastic current curve for the  $d_{\rm SD}$ =1.57nm system is very insensitive to temperature. There are obvious changes of the inelastic current curves near 140mV for the  $d_{\rm SD}$ =1.57nm and  $d_{\rm SD}$ =1.77nm systems as shown in figure 4 (g) and (h). Due to the influence of molecular vibrational modes, a new conductance
- <sup>10</sup> level has been introduced. With the increasing of temperature, the spectral width becomes lager and lager. Until a certain temperature, the fine structure of the spectroscopy will be covered up. The high-frequency vibration modes are mainly from the contribution of the molecules. The metal atoms considered in
- <sup>15</sup> the extended molecules will bring many low frequency vibration modes which usually derived from the metal atoms or metalmolecule vibration. In the low-energy region, the population distribution of thermal particles has a strong effect even at low temperatures. Almost all of the vibration information will be
- 20 shadowed. Thus, the changes of inelastic current below 50mV for the  $d_{SD}=1.77$ nm system as shown in figure 4 (h) are mainly caused by the population distribution of thermal particles. One can thus conclude that the effect of temperature dependence of population distribution of thermal particles is relatively obvious 25 in the low-frequency area. And the temperature dependence effect of IETS is mainly introduced by the Fermi distribution function. The difference in the spectral intensity distribution and temperature dependence related to the molecular vibrational modes implies that the molecule-electrodes coupling and degree 30 of curvature of the two systems are different. It is necessary to mention that when the curvature of the GNR slice become very large such as the  $d_{SD}=1.37$ nm system, the inelastic scattering become much high, the equilibrium distributions assumption should be corrected and developed. The local thermodynamic 35 equilibrium assumption still can be used for the contacts, while for the molecular core of the junction the effect of proper nonequilibrium phonon population needs to be considered [34].

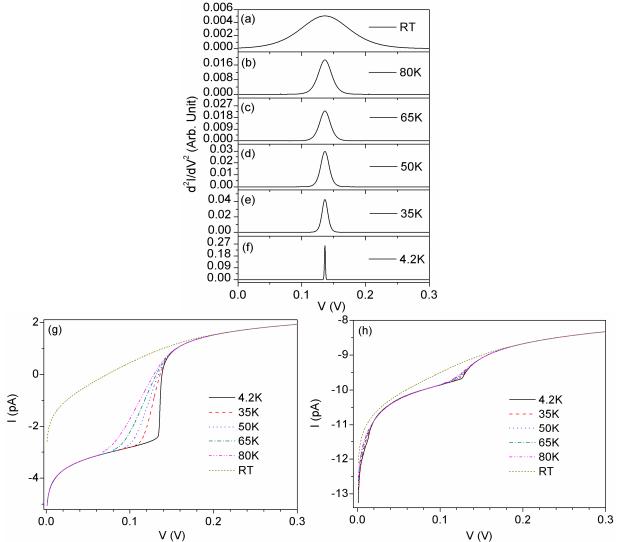


Figure 4. (a)-(f) The inelastic electron tunneling spectroscopy under different working temperatures for the  $d_{SD}$ =1.57nm system, (g), (h) Calculated inelastic electron tunneling current (on a log scale) under different working temperature for the  $d_{SD}$ =1.57nm and  $d_{SD}$ =1.77nm system, respectively.

# Conclusions

In this paper, the 4×4 finite-sized GNR slices are chosen as the

prototype structure. In order to understand how the effect of curvature and temperature on the electronic structure and inelastic transport properties of finite-sized curved-GNR slices, several junctions with different  $d_{SD}$  have been considered. We focus on the electron indicate transport generative generative comparison with the

- <sup>5</sup> the electron inelastic tunneling spectroscopy. Comparing with the elastic conductance, the contributions of the inelastic conductance increase obviously when  $d_{SD}$  increasing. The inelastic conductance can be negligible for plane GNR slices based junctions. For the curved GNR slices based junctions, the
- <sup>10</sup> contributions of inelastic conductance become comparable to the elastic conductance. The electron inelastic scattering of the curved GNR-based junctions are orders of magnitude stronger than that of the plane ones. The effect of temperature dependence of population distribution of thermal particles is relatively
- <sup>15</sup> obvious in the low-frequency area. And the temperature dependence effect of IETS is mainly introduced by the Fermi distribution function. From practical applications point of view, for one hand the curvature and temperature dependence of the elastic and inelastic current for the finite-sized GNR based
- <sup>20</sup> junctions can provide a referential reflection of the localized curvature information for the GNR slices, for the other it have probable applications in molecular microprobes.

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