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1	Enzymatic delignification: an attempt for lignin degradation from lignocellulosic feedstock
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#### 1 Abstract

2 Burgeoning population growth, increased demand of transportation and industrialization urges for excessive use of fossil fuels, which in turn lead to higher emission of greenhouse gases 3 contributing to global warming. At this juncture, biomass based biofuel production from 4 sustainable resources like lignocellulosics acts as a better alternative for achieving zero emission. 5 This in turn necessitates a major effort for development of an efficient biomass delignification 6 method which is an essential prerequisite of complete biofuel production process. 7 Lignocellulosics such as Saccharum spontaneum contains 17.46 % of lignin and 67 % of 8 9 carbohydrate in its cell wall. To make this enormous amount of carbohydrates more accessible for hydrolysis and to be used further in fermentation, degradation of lignin through laccase has 10 11 been carried out.

In the present work, Response Surface Methodology (RSM) based on Central Composite Design 12 (CCD) has been used to investigate the effects of the different process parameters. The 13 maximum delignification obtained was 84.67 % at 6.21 h of incubation time upon monitoring the 14 15 initial lignin content of 17.46 % of the biomass. Thorough study of the biomass was carried out by elemental composition analysis and energy density measurement. Further structural 16 17 characteristics of delignified substrate were analyzed by Scanning Electron Microscopy (SEM), Fourier-Transform Infra-Red Spectroscopy (FTIR) and X-Ray Diffraction Spectroscopy (XRD) 18 19 which supported the efficacy of the delignification process.

Keywords: Lignocellulosic, *Saccharum spontaneum*, Response surface methodology, Laccase,
 Crystallinity

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#### 1 1. Introduction

2 The trend of increasing energy crisis and demand in developed as well as in developing nations has prompted worldwide interest on the production of biomass based fuels as a substitute to 3 petro-fuels.<sup>1,2</sup> These issues made it imperative to find alternatives which would reduce the 4 dependence on fossil fuels. In this context, biofuel production from biomass, specifically from 5 lignocellulosics, is gaining global attraction owing to its low-cost, non-competitive and 6 sustainable nature. Lignocellulosic biomass contain 40-60 % cellulose, 20-30 % hemicellulose 7 and 15-30 % lignin.<sup>3</sup> Generally, lignocellulosics such as, grass species represent potential 8 candidates for the bioethanol production because of their high regenerative capacity and reduced 9 10 land requirement. Saccharum spontaneum (Kans or Sarkanda) is a perennial tall grass that grows up to 4m in height, has deep rhizome and root system to utilize water efficiently and occupies 11 vast acres of land mass worldwide.<sup>4</sup> Its ability to quickly grow, and colonize land as well as its 12 high content of cell wall carbohydrates (67.85 %, dry weight basis) makes it a potential 13 candidate for bioethanol production.<sup>5-7</sup> 14

Biomass based biofuel production, necessitates dismantling of plant cell wall constituents into 15 carbohydrate polymers for subsequent hydrolysis into monomeric sugars. One of the key aspects 16 of biomass heterogeneity towards hydrolysis is associated with the composition and content of 17 lignin molecule which is a large and complex aromatic structure containing phenylpropanoid 18 subunits linked by carbon-carbon and carbon-oxygen bonds. Lignin is closely interlaced with 19 hemicellulose molecules forming an envelope to wrap the crystalline cellulose microfibrils 20 which hamper the accessibility of cellulase towards biomass hydrolysis.<sup>8-12</sup> As the breakdown or 21 removal of lignin is an essential need for accessing the cellulose and hemicellulose components, 22 23 an appropriate pretreatment process is indispensible. The environment itself is endowed with a wide variety of microbes that are capable of degrading or modifying lignin and contributes to 24 plant biomass de-construction.<sup>13</sup> 25

Laccase (oxidoreductase, EC 1.10.3.2) is a multicopper phenol oxidase that oxidizes electronrich phenolic and non-phenolic substrates.<sup>14</sup> Recently, laccases of high redox potential from basidiomycetes was used to remove lignin (with synthetic mediator 1-hydroxybenzotriazole, HBT) from lignocellulosics such as wood and non-wood biomass<sup>15</sup> and ensiled corn stover<sup>16</sup>, making cellulose more accessible to hydrolysis.

1 The selection of appropriate delignification methods has a major impact on the yield of fermentable sugar and eventually on ethanol production from lignocellulosics. For the past two 2 3 decades, several physical, chemical and physico-chemical pretreatment methods have been attempted for removal or degradation of lignin.<sup>17,18</sup> These modes of pretreatment generally 4 resulted in formation of products such as furfurals, hydroxymethylfurfurals, acetic acid, formic 5 acid and levulinic acid which acts as inhibitors<sup>19</sup> in the subsequent steps of hydrolysis and 6 fermentation.<sup>20</sup> Enzymatic delignification is unique in nature in the sense that it selectively 7 targets and cleaves the specific phenolic moieties of the lignin molecule. This results in 8 formation of various phenolic intermediates which do not interfere with the hydrolysis process, 9 but rather act as natural mediators<sup>21</sup> taking part in the oxidation of non-phenolic moieties of 10 lignin molecule.<sup>22,23</sup> It also improves the accessibility of hydrolytic enzymes (even at lower 11 concentration) towards depolymerized lignocellulosics for efficient hydrolysis.<sup>24</sup> The overall 12 process of delignification is represented in Fig.1. 13

Till date, only a few reports have been cited on enzymatic delignification utilizing different types of lignocellulosics and amongst these no report are found on enzymatic delignification of *S. spontaneum*. In the present study, quantity of lignin has been monitored before and after enzymatic pretreatment via different single process parameters. RSM based on Central Composite Design (CCD) has been used to obtain optimum process conditions for enzymatic delignification of lignin. Structural, compositional and energy density measurement was performed which manifested the establishment of enzymatic delignification process.



Fig. 1 Overall delignification process

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#### 1 2. Materials and Methods

#### 2 2.1 Raw Substrate

The wasteland weed, *Saccharum spontaneum* was collected from local premises of the Indian Institute of Technology, Kharagpur, India. The whole plant, including stems and leaf sheaths, were chopped into small pieces using a chopper. The chopped pieces were then sun dried and powdered to approximately 0.2 mm particle size and used subsequently for further studies.

#### 7 2.2 Biochemical composition analysis of raw substrate

Moisture content of S. spontaneum was determined by standard methods of Association of 8 Analytical Communities (AOAC).<sup>25</sup> Lignin estimation was done by following the titrimetric 9 method.<sup>26</sup> Dried powdered substrate (0.05g) was taken in a 100 mL Erlenmeyer conical flask 10 containing 60 mL of distilled water. Potassium permanganate solution (7.5 mL) and sulphuric 11 acid solution (7.5 mL) were mixed together. The solution was added immediately to the substrate 12 to disintegrate the sample, followed by incubation for 10 min at 25 °C. Thereafter 1.5 mL of 13 potassium iodide solution was added, and the free iodine was titrated with standard sodium 14 15 thiosulphate solution using as starch indicator. A blank titration was carried out using the same volume of water and reagent. The amount of residual lignin (%, w/w) remaining in the solid 16 sample was estimated by subtracting the final lignin from the initial lignin content. 17

Reducing sugar content was measured by following dinitrosalicylic acid method.<sup>27</sup> The "semi micro determination of cellulose" method was used to measure the cellulose content<sup>28</sup> whereas
 hemicellulose was estimated by anthrone method.<sup>29</sup>

#### 21 2.3 Elemental composition analysis of raw and delignified substrate

The carbon-hydrogen-nitrogen-sulphur (CHNS) analysis of raw and delignified substrate was
carried out by using an M/s Elementar, VarioMicrocube, Germany.

#### 24 **2.4 Enzyme**

Enzyme used for delignification was hyperactive laccase produced from *Pleurotus* sp. and its activity was measured spectrophotometrically using 2,2'-azino-bis(3-ethylbenzothiazoline-6sulphonic acid) (ABTS) as substrate.<sup>30</sup> One international unit (IU) of laccase activity was defined as the amount of enzyme required to oxidize 1 micro mol of ABTS per minute under the assay conditions.

#### 1 2.5 Enzymatic delignification of *S. spontaneum*

2 Enzymatic delignification of S. spontaneum was carried out by incubating enzyme laccase and powdered substrate in a 50 mL Erlenmeyer conical flask with different solid loadings and 3 reaction conditions. After, a fixed incubation time, the solid residue was separated and 4 subsequently oven dried for residual lignin content estimation. The delignification was 5 monitored at different conditions of solid loading, incubation time, temperature, pH, and enzyme 6 concentration. In the beginning of the experimental work, single parameters such as solid loading 7 (5-40 %, w/v), incubation time (1-10 h), 30-60 °C, pH (3-10), and enzyme concentration (100-8 1000 IU/mL) were selected to study its effects on enzymatic delignification. Further optimization 9 was done by RSM based on Central Composite Design. 10

#### 11 2.6 Experimental design for optimization of enzymatic delignification of *S. spontaneum*

Optimization and evaluation of enzymatic delignification of *S. spontaneum* was carried out using three-level, 2<sup>5</sup> full factorial central composite design (CCD) with five process parameters. The boundary parameters studied in the process of enzymatic delignification were solid loading (15-25 %), incubation time (5-7 h), 35-45 °C, pH (6-8), and enzyme concentration (300-500 IU/mL). All the experiments were performed in triplicate and the un-coded values of the process parameter was tabulated (Table 1). The resulting optimized condition was then used for delignification of *S. spontaneum* followed by residual lignin estimation.

Run Order	Solid Loading (%)	Incubation Time (h)	Temperature (° C)	рН	Enzyme Concentration (IU/mL)	Delignific	ation (%)
						Predicted	Experimental
1	25	5	35	6	300	75.14	75.30
2	25	6	40	7	400	77.24	77.68
3	15	7	35	8	500	73.10	73.03
4	20	6	40	8	400	76.56	77.54
5	20	5	40	7	400	73.24	73.33

**Table 1** Experimental designs (factors and responses) for enzymatic delignification of Saccharum spontaneum in terms of uncoded level of variables based on central composite design

6	20	6	40	6	400	87.24	86.30
7	20	6	40	7	400	86.56	85.60
8	25	7	35	8	300	73.14	73.08
9	20	6	40	7	500	87.56	86.03
10	20	6	45	7	400	75.14	75.59
11	25	5	45	6	500	74.15	74.09
12	20	6	40	7	400	86.56	85.40
13	25	5	45	8	300	76.56	76.37
14	15	5	35	8	300	73.72	73.75
15	25	7	45	6	300	77.24	77.31
16	20	6	40	7	400	86.56	85.48
17	25	7	45	8	500	78.24	77.96
18	15	7	35	6	300	78.20	78.48
19	20	6	40	7	300	77.27	76.84
20	15	5	45	6	300	76.98	77.14
21	25	5	35	8	500	71.94	71.75
22	25	7	35	6	500	77.24	77.31
23	15	5	45	8	500	79.40	79.21
24	20	6	40	7	400	86.56	85.44
25	15	6	40	7	400	87.30	86.70
26	20	6	40	7	400	86.56	86.48
27	20	6	40	7	400	86.56	85.48
28	15	7	45	8	300	75.14	75.08
29	15	7	45	6	500	84.20	85.26
30	15	5	35	6	500	75.24	75.39
31	20	6	35	7	400	73.72	73.30
32	20	7	40	7	400	74.44	74.39

#### 1 2.7 Response Surface Methodology (RSM)

In the present work, response surface methodology based on three levels and 2<sup>5</sup> factorial central 2 composite design was adopted to explore the effects of various process parameters. The different 3 process parameters such as solid loading (15-25 %), incubation time (5-7 h), 35-45 °C, pH (6-8), 4 and enzyme concentration (300-500 IU/mL) were considered as factors to evaluate the response 5 (% delignification), which was in accordance with the work carried out for optimization of wet 6 explosion pretreatment of Douglas fir.<sup>31</sup> The series of experimental runs designed and conducted 7 are tabulated in Table 1 in un-coded terms which include -1, 0, +1 as lowest, middle and highest 8 value for five parameters respectively. The analysis of the obtained data was done by the 9 Response Surface Regression method to fit into the 2<sup>nd</sup> order polynomial equation 1: 10

$$Y = \beta_{m0} + \sum_{i=1}^{j} \beta_{mi} X_i + \sum_{i=1}^{j} \beta_{mii} X_i^2 + \sum_{i=1}^{j} 4 \sum_{j=i+1}^{j} \beta k_{ij} X_i X_j$$
(1)

Where, Y represents the response (% delignification). Whereas,  $\beta_{m0}$ ,  $\beta_{mi}$ ,  $\beta_{mii}$  and  $\beta_{mij}$  stands for constant coefficients and X<sub>i</sub> and X<sub>j</sub> represents coded independent variables affecting the response variable Y.

#### 15 **2.8 Effect of mediators on enzymatic delignification**

The powdered samples of *S. spontaneum* were treated with laccase in the presence of the mediators such as, ABTS, vanillic acid, and methyl syringate. The optimized process conditions of delignification, together with mediators (1-5 %) were used to explore their effects on enzymatic delignification. The treatments were performed in 50 mL Erlenmeyer conical flask placed in a water bath maintained at 40.85 °C and incubated for 6.21 h. After the treatment, the solid samples were separated, oven dried and analyzed for estimation of % delignification.

#### 22 **2.9 Measurement of energy density**

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The solid biomass samples before and after enzymatic delignification were used for energy density measurement in a standard bomb calorimeter (Oxygen Bomb Calorimeter, Eastern Instruments, Kolkata, India). The powdered samples were dried at 40 °C in an oven to remove the moisture content and then subsequently compressed to form pellets using a pelletizer before being weighed. The heat content of the samples was determined in bomb calorimeter in the

presence of excess oxygen and at high pressure (400 psi), which is considered to be a near
 adiabatic system.

#### **3 3.0 Structural characterization of raw and delignified substrate**

Scanning Electron Microscopy (SEM) images discerned the surface characteristics of both raw
and delignified substrate. The procedure adopted for scanning electron microscopy included the
coating of the dried substrate with gold and was subsequently observing under JEOL JSM 5800
(Jeol Ltd., Tokyo, Japan) SEM.

8 Fourier Transform Infrared Microscopy (FTIR) was carried out for both raw and delignified 9 substrate to reveal the functional groups and their band intensity, stretching vibrations and 10 absorption peaks that contribute to the lignin, cellulose and hemicellulose structure by following 11 the KBr pellet technique. Spectra of FTIR were obtained over the range of 400-4000 cm<sup>-1</sup> with a 12 spectral resolution of 0.5 cm<sup>-1</sup>.

13 X-Ray Diffraction was performed to analyze and calculate the degree of crystallinity for both 14 raw and delignified substrates by using XRD1710 equipment using CoK $\alpha$  radiation ( $\alpha = 1.79$  Å) 15 at 40 kV and 20 mA. Both the samples were examined from  $2\theta = 15$  to 75° with scanning speed 16 of 3°/min. Percent crystallinity was defined as  $[(I_{002}-I_{am})/I_{002}]\times100$ , where  $I_{002}$  stands for 17 maximum crystalline intensity peak at  $2\theta$  between 22° and 23° for cellulose *I*, and  $I_{am}$  corresponds 18 to minimum crystalline intensity peak at  $2\theta$  between 18° and 19° for cellulose *I*.<sup>32</sup>

#### 19 4. Results and Discussion

#### 20 4.1 Biochemical characterization of S. spontaneum

Biochemical compositional analysis is a pre-requisite in terms of carbohydrate content to 21 confirm the biomass as a potential lignocellulosic substrate. The biochemical composition 22 23 illustrated that the S. spontaneum is rich in cellulose (38.70 %, w/w) and hemicellulose (29.00 %, w/w) with moisture content of (4.95 %, w/w) which makes it a suitable candidate for bioethanol 24 production. However, the high lignin content (17.46 %, w/w) of this substrate necessitates an 25 effective delignification process to degrade the lignin which acts as a physical barrier for 26 accessing cellulose and hemicelluloses of plant cell wall. Therefore, lignin degradation was 27 necessary to utilize this substrate further. The reported composition of cellulose (45.10 %, w/w), 28

hemicellulose (22.75 %, w/w) and lignin (24.56 %, w/w) of *S. spontaneum*<sup>33</sup> were slightly
different than the present study which might be due to the difference either in geographical and

3 seasonal variations or may due to different methods used for the compositional analysis.

#### 4 4.2 Elemental composition analysis of raw substrate

The elemental compositional analysis (Table 2) shows that the raw substrate contains higher 5 percentage of carbon and hydrogen than the delignified substrate, which indicates a higher 6 degree of cross linking and occurrence of high molecular weight compounds.<sup>34</sup> During 7 enzymatic pretreatment, C-C and C-O bonds of lignin which hold together the mono-lignols or 8 lignin precursors of lignin molecule<sup>35,36</sup> were cleaved selectively by the enzyme which was 9 10 confirmed by the reduced percentage of carbon and hydrogen of the delignified substrate. This further indicated that the lignin precursors constituting the lignin molecule were cleaved 11 specifically by the laccase. The higher percentage of oxygen in the delignified substrate in turn 12 had a positive effect on the enzyme for oxidative cleavage of the electron-rich phenolic and non-13 phenolic moieties of lignin with a simultaneous reduction of oxygen to water.<sup>37</sup> The effectiveness 14 of the delignification process was also supported by the loss of low amount of carbon (7.70 %) in 15 terms of less reduction in energy density or better fuel properties of the substrate after enzymatic 16 delignification. Nitrogen loss might be associated with the enzyme catalysis reaction. Increased 17 amount of oxygen in the delignified substrate might be because of oxidation-reduction reactions 18 carried out by laccase which comes under the family oxidoreductase. In plants, thiol (-SH) 19 containing amino acids are buried under the hydrophobic core of proteins that might be oxidized 20 by laccase during delignification which contributes to higher content of sulphur in the delignified 21 substrate. 22

Table 2 Elemental	composition a	analysis of raw	and delignified	substrate
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Substrate	C (wt. %)	H (wt. %)	N (wt. %)	S (wt. %)	O (wt. %)
raw	38.69	4.712	0.7	0.218	55.68
delignified	35.71	4.175	0.62	0.345	59.15

23 Note: Oxygen (wt. %) was calculated from the difference of C, H, N and S.

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# 1 4.3 Effect of single process parameter on enzymatic delignification

# 2 4.3.1 Effect of solid loading on enzymatic delignification

To achieve highest reaction efficiency in an enzyme mediated delignification of S. spontaneum, a 3 4 proper solid loading has to be maintained. High substrate concentration results in inefficient interaction between enzyme and substrate molecules while the low substrate concentration 5 6 reduced the affinity of the enzyme towards the substrate. In the present study, solid loading was varied from 5-40 % (Fig. 2). Solid loading of 20 % was selected as optimum with delignification 7 (71.93 %, w/w) and 80 % (w/w, dry wt) solid recovery. At high solid loading, recovery of 8 residual liquid was very low due to high viscous nature which could not be further used for by-9 10 product analysis. Solid recovery was approximately 80 % (w/w, dry wt) in each level of all the parameters studied during delignification. 11



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Fig. 2 Effect of solid loading on enzymatic delignification

# 14 **4.3.2** Effect of incubation time on enzymatic delignification

The rate of the reaction itself defines the consumption of the substrate or formation of the product with respect to time. Hence, to study the effect of incubation time on enzymatic delignification, reaction was carried out for a time period from 1-10 h at 20 % solid loading. It was found that significant increase in delignification was observed up to 6 h of incubation (76.16 %, w/w) which might be due to saturation of all the active sites of enzyme. Fig. 3 shows the effect of incubation time on enzymatic delignification.







Fig. 3 Effect of incubation time on enzymatic delignification

#### 3 4.3.3 Effect of temperature on enzymatic delignification

Temperature plays an important role in the disruption of lignocellulose matrix. At high temperature (110 °C) solubilization of hemicelluloses was observed whereas crystallinity of the cellulose was unaffected up to 170 °C. The present work was focused on enzyme based degradation of lignin which operates at minimal process conditions. Enzymes, being proteins, easily got denatured in terms of active site distortion while at low temperature their activity reduced because of the lack of kinetic motion between enzyme and substrate molecules.<sup>38</sup>

A range of temperature (30-60 °C) was selected to study its effect on enzymatic delignification
process. Fig. 4 clearly demonstrated that maximum lignin degradation (74.21 %, w/w) occurred
at 40 °C.





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# 1 4.3.4 Effect of pH on enzymatic delignification

2 Enzymes are generally amphoteric molecules with respect to acid and basic groups residing on their surface. The charges on the respective groups will differ according to the pH of their 3 surroundings. Variations in pH not only change the shape of an enzyme but also affect the 4 surface hydrophobicity of the substrate. The surface charge of the substrate can be affected by 5 variations in pH via surface functional groups to change surface hydrophobicity. Therefore, pH 6 optimum of an enzyme-substrate reaction is an important decisive factor for an enzyme mediated 7 delignification process. A broad range of pH (3-10) was selected to study its effect on 8 delignification process (Fig. 5). It was observed that the enzyme perform best between pH 5-8 9 showing maximum delignification (73.08 %, w/w) at pH 7. 10



# 11 12

Fig. 5 Effect of pH on enzymatic delignification

#### 13 4.3.5 Effect of enzyme concentration on enzymatic delignification

Enzyme concentration plays an important role in all enzyme catalyzed reactions because a small
quantity of enzyme can catalyze a larger amount of substrate into products. Hence, it is necessary
to maintain an optimum level of enzyme concentration to obtain maximum product.

In the present work, an enzyme concentration (100-1000 IU/mL) was used for enzymatic delignification of *S. spontaneum* (Fig. 6). It was observed that an enzyme concentration of 400 IU/mL was enough to result into maximum delignification (72.29 %, w/w) without much difference at higher enzyme concentration.





Fig. 6 Effect of enzyme concentration on enzymatic delignification

#### **3 4.4 Statistical optimization of enzymatic delignification**

A second order polynomial equation was developed using the experimental data of enzymatic
delignification along with the term of interactions between the different experimental variables.

The second order polynomial mathematical expression for per cent delignification with different
variables (solid loading, incubation time, temperature, pH, and enzyme concentration) in terms
of un-coded factors is represented in Equation 2:

9 $Y_1 = 36.7098 - 3.4492X_1 + 35.5427X_2 + 5.2905X_3 - 33.7084X_4$	$-0.1122X_5 +$
$0.0681X_{1^{2}} - 2.6266X_{2^{2}} - 0.0815X_{3^{2}} + 1.9334X_{4^{2}} + 0.0971X_{1}X_{2} - 0.0815X_{3^{2}} + 0.0971X_{1}X_{2} - 0.0971X_{1}X_{2} - 0.0815X_{3^{2}} + 0.0971X_{1}X_{2} - 0.0815X_{2} + 0.097X_{2} + 0.09X_{2} + 0.09X_{2} + 0.09X_{2} + 0.09X_{2} + 0.09X_{2} + 0$	$0.0043X_1X_3 +$
11 $0.0546X_1X_4 - 0.0364X_2X_3 - 0.7731X_2X_4 + 0.1961X_3X_4 + 0.0036X_3X_5$	$+ 0.0037X_4X_5$
12	(2)

Where Y<sub>1</sub> represents percent delignification and X<sub>1</sub>, X<sub>2</sub>, X<sub>3</sub>, X<sub>4</sub>, and X<sub>5</sub> refers to solid loading,
incubation time, temperature, pH and, enzyme concentration respectively.

Analysis of the variance (ANOVA) of the above mentioned quadratic equation for the enzymatic delignification was represented in Table 3. The ANOVA outcome is detailed as an *F*-value and its corresponding degrees of freedom (DF) and *p*-value. In an ANOVA, the *F*-value or *F*-ratio is the major statistical unit employed to test the hypothesis in order to make the effects real and significant along with the associated degrees of freedom. In addition, if the *p*-value or the probability value is found to be lower than the critical value ( $\alpha$ ), then the effect is supposed to be

- 1 significant. Usually critical value used to be set at 0.05 and hence, any value lower than this will
- produce significant effects, while greater value results in non significant effects. 2

	Source	$\mathrm{DF}^{\mathrm{a}}$	Seq SS <sup>b</sup>	Adj SS <sup>b</sup>	Adj MS <sup>c</sup>	F	р
3	Regression	20	124.499	124.499	6.2249	14.18	< 0.001
4	Linear	5	47.457	43.102	8.6203	19.63	< 0.001
5	Square	5	37.737	37.737	7.5475	17.19	< 0.001
6	Interaction	10	39.304	39.304	3.9304	8.95	0.001
7	Residual error	11	4.831	4.831	0.4391		
8	Lack-of-fit	6	3.371	3.371	0.5619	1.93	0.245
9	Pure error	5	1.459	1.459	0.2918		
10	Total	31	129.329				
11	$P^2 - 06.26.9/1$	$P^2(adi) = 80.47$					

Table 3 ANOVA analysis of quadratic model of RSM for enzymatic delignification

 $= 96.26 \%, R^2(adj) = 89.47$ 11

a Degrees of Freedom.	
b Sum of Squares.	
c Mean Squares.	

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13 During ANOVA analysis, the critical *f*-value at degrees of freedom 20 and 6 is found to be 3.87 which is less than the tabulated value 14.18. Hence, it can be assumed that the regression of the 14 quadratic polynomial equation is significant for enzymatic delignification. At degrees of freedom 15 5 and 6, the critical *f*-value observed to be 4.38 which is less than the tabulated values 19.63 and 16 17.19 and thereby indicating that the square as well as linear effects of the quadratic polynomial 17 equation for the enzymatic delignification are significant. In addition the f (critical f-value) = 18 4.05 at degrees of freedom 10 and 6 which is less than the calculated value 8.95 indicated that 19 there is a significant interaction between different parameters.<sup>39</sup> Moreover, the *p*-values are 20 found to be less than 0.05 which indicated that the regression model for enzymatic 21 delignification of S. spontaneum is significant. The regression coefficient  $R^2$  was observed to be 22 96.26 % whereas the adj  $R^2$  was found to be 89.47 % which is practically good for biological 23

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1 system. Since there is not much difference between  $R^2$  and adj  $R^2$  values which indicates the 2 adequacy of the regression model for enzymatic delignification.

The above mentioned discussion and observations from the analysis of variance (ANOVA) table are validated by the study of 3D response surface plots of the regression equation, which emphasizes the important interactions between different selected parameters and their individual effects on enzymatic delignification.

# 7 4.5 3D response surface plot analysis

Response surface plots are the graphical representation of the quadratic regression equation used
to analyze the interactions and their influence on different parameters.

In the current study, different process parameters were selected and optimized for enzymatic 10 11 delignification. It was clear from the response surface plot (Fig. 7) between solid loading and temperature that with increase in temperature and solid loading, percentage delignification 12 increases up to certain extent and thereafter markedly decreases which might be due to reduced 13 14 affinity of enzyme towards substrate at high substrate concentration and enzyme denaturation at high temperature. It was observed that a solid loading of 15 % and 40.85 °C was optimum for an 15 enzymatic delignification of S. spontaneum (Fig. 7a). In case of Ricinus communis, solid loading 16 of 36.10 % (solid: liquid 1:2.77) and 41.80 °C was found to be optimum for enzymatic 17 delignification using laccase.40 18

The response surface plot of incubation time and temperature revealed that with increasing incubation time and temperature results into higher percentage of delignification but after a certain time interval no further increase in delignification observed which might be due to saturation of all the active sites of the enzyme (Fig. 7b). Incubation time of 6.21 h and 40.85 °C was found to be optimum for maximum delignification. In case of *Bambusa bambos*, incubation time of 8 h and 35.26 °C was reported to be optimum for enzymatic delignification using laccase.<sup>24</sup>

An enzyme concentration of 500 IU/mL and incubation time of 6.21 h was found to be optimum while analyzing the interaction between incubation time and enzyme concentration (Fig. 7c) whereas, an enzyme concentration of 400 IU/mL and incubation time of 8 h was reported to be optimum for enzymatic pretreatment of *Bambusa bambos*.<sup>24</sup>

1 The response surface plot between incubation time and pH revealed that the enzyme has a broad range of pH stability and the optimum pH for maximum delignification was found to be 6.0 2 3 while during single parameter selection, pH 7.0 was observed to be optimum (Fig. 7d). The optimum pH for enzymatic delignification of Bambusa bambos using laccase was found to be 4  $6.87^{24}$  which further support the above mentioned data for enzymatic delignification of S. 5 spontaneum. After critical analysis of 3D surface plots, the optimum process conditions for 6 enzymatic delignification were solid loading (15 %), incubation time (6.21 h), 40.85 °C, pH 7 (6.0), and enzyme concentration (500 IU/mL). Following the optimum process conditions, the 8 maximum predicted delignification obtained was 85.37 % which is very close to the obtained 9 experimental percent delignification, 84.67 % (residual lignin, 2.67 %, w/w) with nearly 20 % 10 (w/w) solid loss and 80 % (w/w) solid recovery which is in consistent with the solid loss of 15.6– 11 47.5 % (w/w) during NaOH pretreatment of S. spontaneum.<sup>41</sup> The above adopted optimization 12 process is in coherence with the optimization of hydrogel for improved swelling capacity.<sup>42</sup> 13



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Fig. 7 Response surface plots for (a) solid loading and temperature (b) incubation time and
 temperature (c) incubation time and enzyme concentration (d) incubation time and pH

#### Page 18

#### 1 4.6 Delignification of *S. spontaneum* in the presence of mediators

2 A series of experiments were carried out to find out the effect of mediators such as, vanillic acid, ABTS, and methyl syringate for delignification of lignocellulosics. The concentrations of the 3 mediators were varied from 1-5 %. The % delignification of delignified substrate was determined 4 by using titrimetric method<sup>26</sup> where the mediator concentrations were varied from 1-5 %. The 5 concentration of ABTS (2 %) was found to be significant having a maximum of 80.11 % 6 delignification whereas for vanillic acid the maximum % delignification was recorded at 3 % 7 concentration having 77.27 % delignification. In case of methyl syringate maximum 8 delignification was recorded to be 75.85 % at 4 % concentration. Thus, mediators do not have 9 any significant impact on the delignification process while comparing the process without 10 mediator where 84.67 % delignification was recorded. However there are some reports which 11 indicate the role of mediator in enhanced % delignification which was observed in the 12 recombinant fungal laccase.<sup>43</sup> In the present study, though the added mediator does not have any 13 significant role in enhanced percent delignification but it can assume that natural mediators must 14 have present in the enzyme broth while extracting the enzyme after fermentation and thus reacted 15 naturally without addition of any external mediator. It is worth mentioning that laccase was 16 17 produced using lignin enriched biomass.

#### 18 4.7 Energy density measurement

The energy density of lignocellulosics is one of the most important consideration that should be 19 taken into account because of its prime role in overall process economy of biofuels production 20 process. Generally, lignocellulosic feedstocks having lower energy density are considered to be 21 less energy efficient in terms of their conversion into biofuels when compared with the high 22 energy density feedstocks.<sup>44-46</sup> Initially the raw substrate contains more energy density because 23 of higher content of lignin which carries higher energy than cellulose and hemicellulose. After 24 pretreatment the energy density of the delignified substrate was found to be reduced (Table 4) 25 and that might be due to degradation of lignin which is in coherence with the work where energy 26 density of the lignocellulosic (cotton stalk) got reduced after pretreatment or delignification with 27 ionic liquid.47 28

Biomass	Lignin (%, w/w)	Energy density (KJ/g)
raw	17.46	$12.10 \pm 0.33$
delignified	2.67	$10.48 \pm 0.21$

**Table 4** Energy density of raw and delignified substrate

#### 1

# 2 4.8 Structural characterization of S. spontaneum

Scanning Electron Microscopy (SEM) was done to observe the structural characteristics of *S. spontaneum* after and before enzymatic delignification. In general, lignin is a highly polymeric cross linked structure that imparts rigidity and strength to the plants. The raw substrate before pretreatment is in the form of rigid and highly ordered surface structure. However, rigidity and ordered surface structure was distorted in the enzyme mediated delignified substrate because of an enzymatic action on lignin which further enhanced the surface area of cellulose making it amenable for cellulolytic enzymes<sup>47</sup> (Fig. 8).

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Fig. 8 SEM images (a) raw substrate (b) delignified substrate

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Fourier Transform Infra-Red (FTIR) spectra show significant changes after enzymatic delignification. From Fig. 9., it was depicted that bands at 3409 cm<sup>-1</sup> were because of broad O-H stretching groups and at 2919 cm<sup>-1</sup> and 2854 cm<sup>-1</sup>, C-H stretching in CH<sub>3</sub> and CH<sub>2</sub> groups were observed.<sup>47</sup> The bands at 1608 and 1637 cm<sup>-1</sup> were due to >C=C< stretching and 1731 cm<sup>-1</sup> were attributed to be >C=O<, respectively.<sup>48</sup> Absorption at 1106, 1162, 1253, and 1321 cm<sup>-1</sup> could be

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attributed to be acyl and O-H phenolic groups. In enzymatically delignified spectra, bands observed at 1376 cm<sup>-1</sup> and 1049 cm<sup>-1</sup> appears to be characteristic of C-H cellulose and hemicelluloses.<sup>49</sup> From the above spectral observation it is concluded that the stretching and weakening of bands with respect to its corresponding wave numbers indicates significant degradation of lignin by laccase.

Infra-red spectra of delignified sample were similar to that of raw spectra, which signifies that
the delignification condition does not promote severe changes in the chemical structures of
cellulose and hemicellulose.

9





Fig. 9 FTIR spectra of raw and delignified substrate of S. spontaneum

Cellulose crystallinity is one of the major factors which strongly evidenced the effectiveness of 12 the enzymatic delignification in terms of increased % crystallinity. Biodegradibility of the 13 biomass after delignification mainly depends on the cellulose crystallinity combined with 14 enzymes.<sup>50</sup> It also influences enzymatic hydrolysis of cellulose and hemicellulose after 15 enzymatic delignification.<sup>51, 52</sup> Cellulose crystallinity value of raw substrate was observed to be 16 76.71 % which was increased to 85.26 % in the delignified substrate (Fig. 10) and is consistent 17 with the results of increase in crystallinity of enzymatically treated Bambusa bambos (33 %) than 18 the raw sample (28.44 %).<sup>24</sup> Crystallinity value of raw and delignified samples as well as 19 hemicellulose and reducing sugar content of S. spontaneum were tabulated (Table 5). From the 20 table it was observed that increased crystallinity (10.14 %) of the delignified substrate is due to 21 removal of lignin and amorphous hemicellulosic fractions<sup>53</sup> that might expose the buried 22 crystalline cellulose. 23



1 2

Fig. 10 XRD of raw and pretreated substrate of S. spontaneum

Table 5 Crystallinity and reducing sugar content of raw and delignified substrate

Incubation time (h)	% Cellulose crystallinity	% Increase crystallinity	Hemicellulose (%, w/w)	Reducing sugar (mg/g)
Raw	76.61	-	29.00	67.50
Delignified	85.26	10.14	24.48	462.18

#### 3

# 4 5. Conclusion

Lignocellulose is an indispensable source for renewable biofuel production. In the present study, 5 6 it has been observed that S. spontaneum can be a viable substrate for biofuel production owing to 7 its richness in the content of cellulose (38.70 %) and hemicellulose (29.00 %). In order to 8 corroborate the hypothesis, a study on enzymatic delignification of S. spontaneum was 9 investigated and optimized the process conditions by RSM based on CCD design. The optimized process conditions were solid loading 15 % (w/v), incubation time 6.21 h, 40.85 °C, pH 6.0, and 10 enzyme concentration 500 IU/mL. The maximum delignification and reducing sugar obtained 11 were 84.67 % and 462.18 mg/g respectively, with an increased crystallinity of 10.14 % over the 12 raw substrate. SEM analysis signifies the changes in surface characteristics of delignified 13 biomass. FTIR shows that delignification condition does not lead to major changes in the 14 structures of cellulose and hemicellulose. The study not only explored the potential of S. 15

1	spontaneum as a viable substrate but also substantiated the enzymatic delignification as one of
2	the best methods for biofuel production, helping researchers to explore the possibility of utilizing
3	the substrate to cater to the ever growing demand of energy.
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