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Ultra-broadband polarization-Independent perfect absorber for solar spectrum

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We numerically investigated an ultra-broadband, polarization-insensitive, and wide-angle metamaterial absorber for harvesting solar energy using arrays of cascading three sets of subunit cell structures with obviously different lateral sizes, while each of subunit cell structures is composed of three pairs of metal-dielectric layers with the same lateral sizes but different dielectric constants. In this structure, the varying lateral dimensions provide multiple resonance main-bands in the composite structure, while the different dielectric constants of the dielectric layers induce multiple resonance sub-bands in the subunit cell. Simulation results show that the average absorption over the spectrum regime of 284-1524nm is more than 92%. Moreover, the ultra-broadband response above 92% absorption can be still maintained even when θ reaches 30 degrees. The ultra-broadband absorption obtained is attributed to the synthetic effect by the excitation of all resonances in the multiple resonant stacks of 3x3 cascading metal-dielectric pairs. Our absorber design has high practical feasibility and appears to be very promising for solar cell and photonic detection applications.

1. Introduction

Electromagnetic metamaterials, composed of the subwavelength structure cells arranged in periodic arrays, are a kind of artificially structured materials with extraordinary electromagnetic properties unavailable in nature materials, such as negative refraction¹, invisible cloaking^{2,3}, and superlens⁴. As a new branch of applications, recently, the metamaterial-based perfect absorbers have attracted considerable attentions in the worldwide range due to the potential applications including imaging, detecting, and sensing⁵⁻⁷ since the first perfect metamaterial absorber was first experimentally demonstrated by Landy *et al.*⁸. To date, they have been intensively investigated from microwave to optical frequencies⁹⁻¹⁰. Unfortunately, these perfect absorbers usually work at a narrow wavelength range due to their resonance features of sub-wavelength units although they have wide incident angle and polarization independence. Such narrow bandwidth will hinder their practical applications that have bandwidth requirements, such as energy harvesting and photonic detection¹¹.

Metamaterials absorbers typically consist of a triple-layer metal-dielectric-metal structure where only the top metal layer is patterned to serve as an electric resonator, and the

bottom metal layer acts as an optical mirror to eliminate the transmittance. The electric response can be obtained from excitation of the top metal layer readily coupled to an external electric field, while the magnetic response is provided by pairing the top layer with the bottom layer for an external magnetic field. Therefore, the bandwidth and amplitude of the absorption can be tuned by changing shape, size, thickness, and property of the metal structure and dielectric layer¹².

Currently, efforts have been made by clustering multiple resonating structures with different sizes in a unit cell structure either vertically or horizontally to achieve a broad wavelength range for harvesting solar energy. For example, Aydin *et al.* demonstrated an ultra-thin plasmonic super absorber consisting of a metal-insulator-metal stack with crossed trapezoidal top arrays. Such an absorber exhibits broadband and polarization independent light absorption over the entire visible spectrum with an average measured absorption of 0.71 and simulated absorption of 0.85¹⁰. Nielsen *et al.* experimentally demonstrated a periodic array of differently sized and circularly-shaped gap plasmon resonators with the average absorption of 94% in the entire visible wavelength range (400-750nm)¹³. Song *et al.* numerically investigated a broadband absorber composed of a periodic array of multilayered truncated pyramids. This absorber obtained the absorption of about 99% from 0.28 μ m to 1.5 μ m for TE and TM waves at both room temperature and high temperature¹⁴. He *et al.* reported a novel ultra-broadband absorber composed of a periodic array of taper metamaterial constructed by alternating subwavelength metal and dielectric layers. Under normal incidence, the measured absorption of

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the 2D absorber is over 90% in the spectrum range from 400nm to $2.1\mu\text{m}^{15}$. However, the slight dimension variations of these broadband absorbers are hard to distinguish, which requires a sophisticated fabrication process and precision. In addition, the obtained bandwidths are restricted because the number of possible blended resonators is limited.

Up to now, however, almost all of the reported broadband absorptions have mainly focused on the metallic pattern, while the tuning performance of dielectric layer is fully ignored. In this paper, we present a novel design of ultra-broadband metamaterial absorber for harvesting solar energy by combining different lateral sizes and dielectric constants in a unit cell structure. Here, the unit cell of the proposed absorber is composed of a metallic ground plane and a cascade structure based on three sets of subunit cells with different lateral sizes, each of which consists of three pairs of metal-dielectric layers with the same lateral sizes but different dielectric constants, as a result, forming a 3×3 cascading metal-dielectric pair structure. In this structure, the obviously varying lateral dimensions provide multiple resonance main-bands in the composite structure, while the different dielectric constants of the dielectric layers induce multiple resonance sub-bands in the subunit cell. As a result, a broadband absorption obtained in this structure is the synthetic effect by the excitation of all resonances in the multiple resonant stacks. Simulation results show that the average absorption over the spectrum regime of 284-1524nm is more than 92%. Moreover, the device can remain high absorption over a wide range of incident angles for both TE and TM waves. Therefore, the proposed ultra-broadband absorber could represent a novel candidate for future applications in solar energy harvesting and photonic detectors.

2. Designs and simulations of structures

The unit cell schematic of the proposed ultra-broadband absorber based on 3×3 cascading metal-dielectric pairs is shown in Fig. 1. The unit cell is composed of a metallic ground plane and a cascading structure based on three sets of subunit

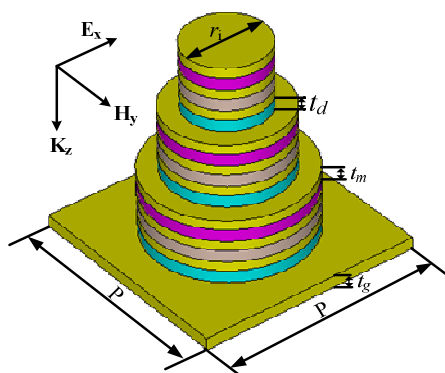


Fig. 1. Unit cell schematic of the proposed ultra-broadband absorber based on 3×3 cascaded metal dielectric pairs.

cells with different lateral sizes r_i ($i=1, 2, 3$ is the order of subunit cell), each of which consists of three pairs of metal-dielectric layers stacked along the vertical direction with the same lateral sizes but different dielectric constants ϵ_j ($j=1, 2, 3$, is the order of dielectric layer in the subunit cell), represented by different colours. To simplify fabrication processes and precisions, the subunit cell structures have obviously varying lateral dimensions, and the dielectric and metal layers in the unit cell have same thickness respectively. Moreover, our structure has a square lattice with 360 degree rotational symmetry to eliminate polarization dependence of the incident light. Therefore, the structure characteristics are that the different lateral dimensions provide multiple resonance main-bands in the composite structure, while the different dielectric constants induce multiple resonance sub-bands in the subunit cell. As a result, a broadband absorption obtained in this structure is the synthetic effect by the excitation of all resonances in the multiple resonant stacks.

In simulation, the lateral lattice constant is chosen as $P = 450$ nm, which is smaller than the largest wavelength discussed here. The lateral sizes of metal circular patterns for subunit cell from the top to the bottom are $r_1 = 75$ nm, $r_2 = 123$ nm, $r_3 = 175$ nm, respectively. While, the thicknesses of the dielectric, metal circular pattern and ground plane are $t_d = 25$ nm, $t_m = 20$ nm, and $t_g = 200$ nm, respectively. As a result, the total thickness of this device is only 605 nm ($t_d \times 9 + t_m \times 9 + t_g$). In subunit cell, moreover, MgF_2 , Al_2O_3 , and SiO_2 from top layer to bottom layer are chosen as the dielectric layers with their dielectric constants $\epsilon_1 = 1.74$ (loss tangent 0.035), $\epsilon_2 = 2.28$ (loss tangent 0.04), and $\epsilon_3 = 3.9$ (loss tangent 0.025), respectively¹⁶. Such design consists in that the resonant frequency of the structure with same lateral dimensions exhibits redshift with increasing the dielectric constant of the dielectric layer¹⁷. Consequently, the resonant absorption at wavelengths close to each other would be excited in stacking dielectric layers with different dielectric constant and form a broadband absorption. While, the dielectric properties of metal gold used in our structure is taken from the Johnson and Christy¹⁸.

In this structure, full-wave numerical simulations of the proposed device were performed by using a finite difference time domain (FDTD) method. Periodic boundary conditions are used for a unit cell in the x and y directions, and the z plane has a perfectly matched layer boundary condition. The plane waves are normally incident to the structure along the z direction, as shown in Fig. 1. The absorption is calculated from the scattering parameters as $A(\lambda) = 1 - R(\lambda) - T(\lambda)$, where $R(\lambda) = |S_{11}|^2$ represents the reflection, and $T(\lambda) = |S_{21}|^2$ represents the transmission which is zero here since the thickness of the metallic ground plane is much larger than the skin depth. As a result, the A is calculated by $A = 1 - R$.

3. Simulation results and discussions

To clearly explain the formation process and mechanism of the broadband absorption, two cascading structures (e.g., 3×1 and 3×2 shown in the Fig. 2(a) and 2(b)) are simulated. The

simulated absorption spectra of the two different structure absorbers as a function of wavelengths are shown in Fig. 2. For the case of 3×1 cascading structure which includes three pairs of metal-dielectric layers with different lateral sizes but same dielectric constant (as shown in Fig. 2(a)), three adjacent absorption peaks appear and form a broad absorption main-band, whose bandwidth over 92% absorption is 399 nm (as shown in Fig. 2(d)). This can be attributed to the fundamental resonance modes resulted from three sets of subunit cells with different lateral sizes. In addition, there are two absorption peaks at 282 nm and 406 nm due to the hybridized coupling modes. Increasing another pair of metal-dielectric layer with the same lateral sizes but different dielectric constants in each subunit cell structure to construct 3×2 cascading structure (as shown in Fig. 2(b)), as shown in Fig. 2(e), more absorption peaks are observed in absorption spectrum due to the additional multiple resonant stacks. Moreover, the absorption bandwidth over 92% is broadened and become 509 nm. The phenomenon can be explained by the relationship between the absorption peak wavelength and the dielectric constant, where resonant absorption at wavelengths close to each other would be excited in stacking dielectric layers with different dielectric constants and form a broadband absorption¹⁹.

Based on above analysis, we stack three pairs of metal-dielectric layers in each subunit cell structure to further extend the absorption bandwidth (as shown in Fig. 2(c)). In this structure, high absorption of over 92% is achieved in a wide frequency range of 284-1524 nm, as shown in Fig. 2(f). The absorption bandwidth (1240nm) of the proposed 3×3 absorber structure is 3.1 and 2.4 times larger than those of 3×1 and 3×2 absorber structures, respectively. Moreover, the absorption band is about 137% of the center wavelength, which is larger

than that of previous reports^{10,17}. This explicitly implies a very good wideband absorption property. Here, this broadband absorption can be attributed to the synthetic effect of the excitation of all resonances by stacking the multiple subunit cell structures and metal-dielectric layers in each subunit cell. Therefore, an ultra-broadband absorption can be obtained by cascading multiple subunit cell structures with different lateral sizes and stacking vertically multiple metal-dielectric layers with same lateral sizes but different dielectric constants in each cascading subunit cell structure.

To better understand the mechanism of the ultra-broadband absorption characteristics, we calculated the magnetic field distributions of the proposed absorber for TE-polarized ($E // x$) excitation wave. The magnetic field intensities in the plane of $y = 0$ for different resonant wavelengths are plotted in Fig. 3. Different magnetic field distributions are observed for the wavelengths at 1363nm, 833nm and 500nm, where the magnetic fields are respectively confined in the different subunit cell structures, corresponding to the fundamental magnetic responses of different lateral size structures. For instance, as incident light is a longer wavelength at $\lambda=1363$ nm, the magnetic field distributions are strongly localized in the bottom subunit cell structure with a larger lateral size ($r_3 = 175$ nm), and other subunit cells are very weak and completely ignored (as shown in Fig. 3(a)). For a shorter wavelength at $\lambda = 500$ nm, the magnetic fields are mainly focused on the top subunit cell structure where the circular pattern has a smaller lateral size ($r_1 = 75$ nm), whereas there is almost no strong field concentration in the middle and bottom subunit cells (as shown in Fig. 3(c)). Moreover, these field distributions are significantly different from the previous reported results²⁰, where several neighboring metal and dielectric patches together support a resonant mode due to slowly varying in width of neighboring metal patches. At the same time, we also observe that the electric fields appear at corresponding complementary spatial positions (no shown) and excite the corresponding fundamental electric responses. Therefore, both electric and magnetic resonances exist in this unit cell structure. Moreover, their resonant wavelengths are

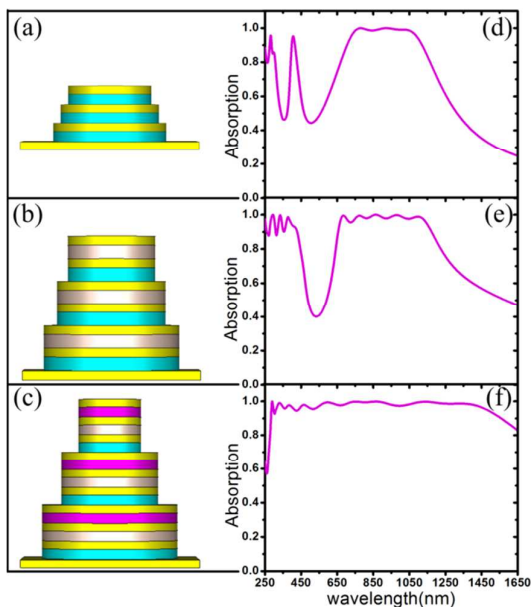


Fig. 2 Unit cell schematics and absorption responses of different cascading structures. (a) and (d) 3×1 cascading structure, (b) and (e) 3×2 cascading structure, (c) and (f) 3×3 cascading structure.

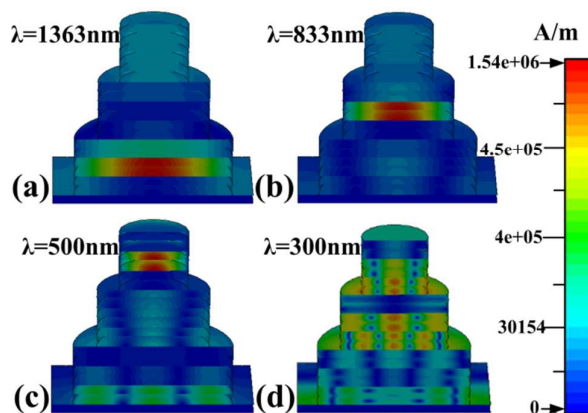


Fig.3 Magnetic field distributions in $y = 0$ plane for the different resonant wavelengths: (a) 1363nm, (b) 833nm, (c) 500nm and (d) 300nm.

nearly positive proportional to the lateral size. In addition, we notice that the field distributions in Fig. 3(d) are strongly concentrated on the top and middle subunit cell structures, which is distinct from that of Figs. 3(a), 3(b) and 3(c). This is attributed to the interaction of adjacent subunit cells, as a result, leading to a high-order resonance response (hybridized magnetic resonance), as shown in absorption spectra of Fig. 2.

In this absorber, however, stacking of multiple pairs of metal-dielectric layers with different dielectric constants in subunit cell structure plays an important role for broadband absorption except for blending multiple subunit cells with different lateral sizes. This can further broaden the absorption bandwidth and form a considerable broad flat-top absorption band. To understand the broadband absorption contributions from the different dielectrics, we calculated the absorption spectrum and magnetic field distributions of single a subunit cell structure with same lateral size of $r = 75$ nm but the different dielectric constants, as shown in Fig. 4. As shown in Fig. 4(a), we observe that there are three adjacent absorption peaks in absorption spectrum which locate at $\lambda_1 = 588$ nm, $\lambda_2 = 500$ nm, and $\lambda_3 = 405$ nm, respectively. Moreover, the three adjacent absorption peaks can construct a broad absorption sub-band due to closely positioned resonances compared with single a metal-dielectric layer. These absorption peaks arise from the interaction incident wave with corresponding metal-dielectric layer of different dielectric constants.

To further reveal the physical origin of these absorption peaks, magnetic field distributions at three absorption peaks are presented in Fig. 4(b). Similar to the previous absorption mechanism of different lateral sizes, different field distributions are observed for three adjacent wavelengths at 588nm, 500nm and 405nm. For example, for the absorption peak at 588nm, the magnetic fields are strongly trapped in the bottom metal-dielectric layer of the subunit cell, where the SiO₂ is used as dielectric layer with larger dielectric constant of 3.9. As further decreasing in wavelength (such as $\lambda = 500$ nm or 405nm), here, the magnetic fields are mainly focused on the middle and top metal-dielectric layers where the dielectric layers are Al₂O₃ ($\epsilon_2 = 2.28$) and MgF₂ ($\epsilon_1 = 1.74$), respectively. These results indicate that the fundamental magnetic responses of three dielectric constants are respectively excited in their respective metal-dielectric layer where the magnetic

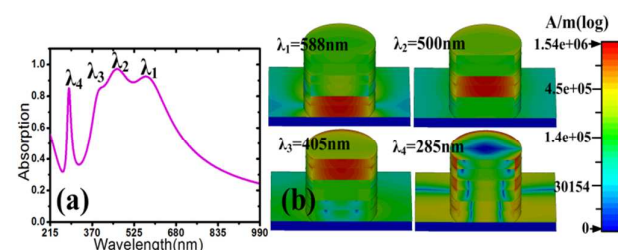


Fig. 4 Absorption spectrum and magnetic field distributions of single a subunit cell structure with $r = 75$ nm: (a) absorption spectrum simulation and (b) magnetic field distributions in $y=30$ plane for different wavelengths of $\lambda_1=588$ nm, $\lambda_2=500$ nm, $\lambda_3=405$ nm, and $\lambda_4=285$ nm.

fields are totally trapped. Moreover, the fundamental magnetic resonant frequency exhibits redshift as increasing in dielectric constant, which can also observe in the previous reports^{17,19}. However, they are fully different from the previous broadband absorbers based on the thickness tuning of dielectric layer^{21,22}. In addition, we also observe that another absorption peak appears at $\lambda_4 = 285$ nm. This can be attributed to the hybridized mode of the magnetic resonances, which is demonstrated by the magnetic field distributions as shown in Fig. 5(b). As a result, an ultra-broadband absorber is obtained by combining the fundamental resonances with high-order resonances of the cascading unit cell structure. Therefore, this suggests a new way to obtain an ultra-broadband absorber by stacking multiple metal-dielectric layers with different dielectric constants in a unit cell structure.

After the mechanism of ultra-broadband absorption has been explained, next, the polarization and angular dependences of the cascading absorber are discussed, as shown in Fig. 5. Due to the 360 degree structure symmetry of the designed absorber in x - y planes, the absorption is insensitive to TE and TM polarization light waves, as shown in Fig. 5(a). Therefore, only the TE polarization is considered in the following simulations for simplifying. Fig. 5(b) shows that the absorption effect is robust for non-normal incidence. We notice that the ultra-broadband absorption response can be maintained when θ is below 30 degrees. As further increasing the incident angle, the absorption becomes weaker, and some strong oscillations will appear in the shorter wavelength range [see the pink curve in Fig. 5(b)]. This could be explained as the fact that beyond 40°, the incident magnetic field component become gradually small, and can inefficiently excite the magnetic resonance²³. As a result, most of electromagnetic energy of incident wave is reflected back caused by the impedance mismatch. Nevertheless, the absorption still remains above 60% even when θ reaches 50 degrees. Therefore, the simulated results reveal that the designed absorber can work over a wide range of incident angle for both TE and TM waves.

At last, we investigated the underlying mechanism of the loss to understand the contributions of each part of the metamaterial absorber, as shown in Fig. 6. Fig. 6(a) shows the absorption spectra for two different loss conditions (lossy and lossfree) of the dielectric layer. Compared with the lossy dielectric, the absorption curve of the lossfree dielectric has

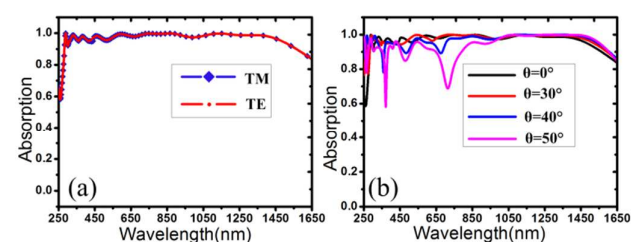


Fig. 5 Dependence of absorption performance on polarization and incident angle: (a) TE and TM waves and (b) different incident angles for TE wave.

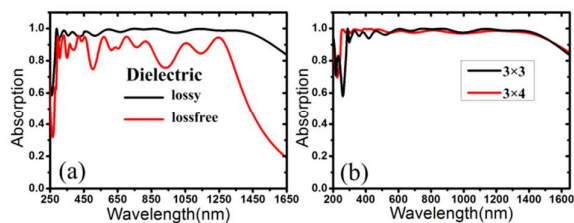


Fig. 6 Absorption spectra for (a) different dielectric conditions (lossy and lossfree) and (b) different layer numbers of stacking structures.

strong oscillation at whole absorption spectrum and the average absorption is about 86% at the wavelength range from 285nm to 1250nm. This result indicates that the majority of energy is dissipated as the Ohmic loss within the metal layers and ground plate, which is clearly different from the previously reported data where the dielectric losses are about an order of magnitude higher than the metallic ones²⁴. For the wavelength beyond 1250nm, however, the absorption amplitude of the lossfree dielectric is sharply decreased, which indicates that the majority of energy is consumed by the dielectric layers. To further broaden absorption bandwidth and improve the absorption intensity of the absorber, we design and optimize the 3×4 cascading structure absorber, and the optimized results are presented in Fig. 6(b). Compared with the 3×3 cascading structure, the oscillation of absorption spectra in the shorter wave range becomes weaker and nearly vanishes as increasing in metal-dielectric layer of subunit cell structure, finally obtaining a smooth absorption spectrum.

4. Conclusions

In conclusion, we have demonstrated an ultra-broadband metamaterial absorber for harvesting solar energy by stacking three sets of subunit cell structures with different lateral sizes and three pairs of metal-dielectric layers with the same lateral sizes but different dielectric constants in each subunit cell to merge together their fundamental and hybridized resonances. A high absorption of over 92% is achieved in a wide frequency range of 284-1524 nm in the 3×3 cascading structure. Moreover, the 3×3 cascading structure also exhibits wide incident angle and polarization insensitivity. Importantly, the design idea has high practical feasibility due to simplifying the nano-fabrication process and precision. This suggests a new way to obtain an ultra-band absorber by cascading different lateral size metal-dielectric layers with different dielectric constants in a unit cell structure, which presents a novel candidate for future applications in solar energy harvesting and photonic detections.

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