

# RSC Advances



This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

*Accepted Manuscripts* are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. This *Accepted Manuscript* will be replaced by the edited, formatted and paginated article as soon as this is available.

You can find more information about *Accepted Manuscripts* in the [Information for Authors](#).

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard [Terms & Conditions](#) and the [Ethical guidelines](#) still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.



Journal Name

ARTICLE

## Crucial role of sustainable liquid junction potential for solar-to-carbon monoxide conversion by photovoltaic photoelectrochemical system

Received 00th January 20xx,  
Accepted 00th January 20xx

DOI: 10.1039/x0xx00000x

www.rsc.org/

Yoshitsune Sugano,<sup>a</sup> Akihiko Ono,<sup>a</sup> Ryota Kitagawa,<sup>a</sup> Jun Tamura,<sup>a</sup> Masakazu Yamagiwa,<sup>a</sup> Yuki Kudo,<sup>a</sup> Eishi Tsutsumi,<sup>a</sup> Satoshi Mikoshiba<sup>a</sup>

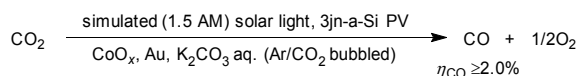
Solar energy conversion to carbon monoxide (CO) is carried out using a wired photovoltaic photoelectrochemical (PV PEC) system under simulated solar light irradiation. The PV PEC system promotes CO generation from carbon dioxide and water with approximately 2.0% solar-to-CO conversion efficiency ( $\eta_{\text{CO}}$ ) for 2 h. This is achieved via contributions from electrolyte conditions, which generate a sustainable liquid junction potential, in addition to the combination of efficient visible light absorption by a triple-junction amorphous silicon PV cell with high electrode activities with low overpotentials. Estimations of energy conversion efficiency based on the electrochemical properties of the PV cell and electrodes exhibit that liquid junction potential makes a huge contribution for  $\eta_{\text{CO}}$ . Moreover, the liquid junction potential created by bubbling two kinds of carrier gases produces sustainable chemical bias. This system may contribute to new strategies for the development of sustainable artificial photosynthesis.

### 1. Introduction

Artificial photosynthesis has attracted much attention as a green technology for addressing environmental problems such as global warming and energy issues.<sup>1</sup> Some components of artificial photosynthesis technologies such as light-harvesting,<sup>2</sup> water splitting,<sup>3</sup> hydrogen (H<sub>2</sub>) production,<sup>4</sup> and carbon dioxide (CO<sub>2</sub>) reduction<sup>5</sup> have been reported, and the suitable materials such as electrodes, photocatalysts with metal/metal oxide co-catalysts, and organometallic complexes have been researched for these technologies. Recently, researchers have proposed fuel production from water and CO<sub>2</sub> by artificial photosynthesis.<sup>6</sup>

Photovoltaic (PV) cells are one of the photoelectric conversion elements that enable excitation by visible light irradiation. Among them, triple-junction amorphous silicon (3jn-a-Si) PV cells, which are inexpensive and have a trilaminar structure of amorphous silicon and silicon-germanium alloy, possess wide visible light absorption ranges and a high open circuit voltage ( $V_{\text{OC}}$ , 2.1 V). Reece et al. reported that the 3jn-a-Si PV cell with cobalt (Co)-based and alloy metal catalysts promotes solar-driven water (H<sub>2</sub>O) splitting with high conversion efficiency (approximately 4.7%).<sup>7</sup> This is because in addition to the above-mentioned 3jn-a-Si PV cell properties, the Co-based anode catalyst and alloy metal cathode catalyst

efficiently decrease partial overpotentials for H<sub>2</sub>O oxidation and H<sub>2</sub> production, respectively. However, adaptation of artificial photosynthesis-PV photoelectrochemical (PEC) systems to CO<sub>2</sub> reduction may be difficult due to the larger overpotential for CO<sub>2</sub> reduction.<sup>8</sup> Therefore, more suitable cathode catalysts with low overpotential for CO<sub>2</sub> reduction are required. Recently, it has been reported that a gold (Au) nanoparticle electrode, synthesized by a pulse-oxidation process, promotes efficient CO<sub>2</sub> reduction to produce carbon monoxide (CO) with a lower overpotential.<sup>9</sup> In addition, most recently a solar fuel device using copper indium gallium selenide (CIGS)-based PV with Co<sub>3</sub>O<sub>4</sub> anode and Au nanoparticle cathode promoted high conversion efficiency for CO production.<sup>6h</sup> However, research of the electrolyte for efficient and sustainable solar fuel systems for CO<sub>2</sub> reduction has been scarce.



**Scheme 1** The PV PEC system for CO production using a wired PV cell with CoO<sub>x</sub> and Au nanoparticle electrodes in different carbonate electrolytes.

Herein, we report that a wired 3jn-a-Si PV PEC system with cobalt oxide (CoO<sub>x</sub>) and Au nanoparticle electrodes in different carbonate electrolytes promotes solar energy conversion from CO<sub>2</sub> to CO with H<sub>2</sub>O as an electron source (Scheme 1). For pursuing a feasible technology, we selected a 3jn-a-Si PV cell as a photoelectric conversion element because they supply enough voltage to progress this system. Moreover, their low manufacturing energy may contribute to obtaining high energy

<sup>a</sup> Corporate Research & Development Center, Toshiba Corporation, Kawasaki, 212-8582, Japan.

E-mail: [yoshitsune.sugano@toshiba.co.jp](mailto:yoshitsune.sugano@toshiba.co.jp); Fax: +81-44-520-1307

† Electronic Supplementary Information (ESI) available. See DOI: 10.1039/x0xx00000x

return on investment in a practical system. The solar-to-CO conversion efficiency ( $\eta_{\text{CO}}$ ) of the system is approximately 2.0% for 2 h. The  $\eta_{\text{CO}}$  value is achieved largely by the contribution of a sustainable liquid junction potential. Continuously bubbling different gases ( $\text{CO}_2/\text{Ar}$ ) through the carbonate electrolytes generates a difference of pH and produces a liquid junction potential, which decreases the overpotential in the actual operating potential and enhances the  $\eta_{\text{CO}}$  value. In addition, the theoretical estimation of  $\eta_{\text{CO}}$  based on the electrochemical measurements supported the significance of the liquid junction potential.

## 2. Experimental section

### 2.1. Materials

A 3jn-a-Si PV cell was purchased from Xunlight Corporation. A gold plate (thickness 0.1 mm, 99.99%) was purchased from Sigma-Aldrich. Potassium carbonate ( $\text{K}_2\text{CO}_3$ , 99.5%) was purchased from Wako Pure chemical Industries, Ltd. Sulfuric acid ( $\text{H}_2\text{SO}_4$ , 96%) was purchased from Kanto Chemical Corporation, Inc. SELEMION™ (DSV) anion exchange membrane was purchased from AGE Engineering Co. Ltd.

### 2.2. Preparation of $\text{CoO}_x$ anode

The anode was directly prepared on the photosensitive surface of 3jn-a-Si PV cells by the reactive sputtering method (apparatus: type SRV-4300 manufactured by Shinko-Seiki Co., Ltd), which were performed at Kyodo International, Inc. The target was Cobalt, and a mixture of 30%  $\text{O}_2/(\text{O}_2 + \text{Ar})$  was used as the sputtering gas (1.0 Pa). RF power was 150 W, and the operating temperature was 453 K. A  $\text{CoO}_x$  layer thickness of about 15 nm was achieved by controlling the deposition time.

### 2.3. Preparation of Au nanoparticle cathode

The cathode was prepared by the pulse-oxidation process as follows: A gold plate was annealed at 1023 K ( $3 \text{ K min}^{-1}$ ) for 12 h under air flow, and etched in fresh aqua regia for 20 s. An Au plate electrode ( $1 \text{ cm}^2$ ) masked by epoxy resin was pulse-oxidized at 0.0 to +2.0 V vs.  $\text{Hg}/\text{Hg}_2\text{SO}_4$  (sat'd  $\text{K}_2\text{SO}_4$ ) (symmetric 1 kHz square-wave pulse) in a sulfuric acid solution (0.5 M) for 2 h using a cell test system (Solartron Analytical, 1470E). Finally, the pulse-oxidized Au electrode was electrically reduced at -0.40 V vs. Reversible Hydrogen Electrode (RHE) until the reductive current was stabilized.

### 2.4. Reaction procedure

**ELECTROCHEMICAL MEASUREMENT OF  $\text{CoO}_x$  ANODE:** The tafel plots were measured by the following method: An indium tin oxide (ITO)/Si electrode coated with  $\text{CoO}_x$  by the reactive sputtering method similar to PV coated with  $\text{CoO}_x$  was used as the anode electrode. A platinum mesh was used as a counter electrode. The electrolyte was  $\text{K}_2\text{CO}_3$  solution (0.25 M) with saturated  $\text{CO}_2$  (pH <7.3), or  $\text{Ar}/\text{CO}_2$  bubbled through the anolyte (pH 9.2) and catholyte (pH <7.3). The potential was applied by potentiostatic method (0.90 V to 1.50 V vs.  $\text{Ag}/\text{AgCl}$  (3M NaCl); step size: 50 mV; step time: 300 s) and the applied

potential was measured by a  $\text{Ag}/\text{AgCl}$  reference electrode (3M NaCl).

**ELECTROCHEMICAL MEASUREMENT OF AU NANOPARTICLE CATHODE:** Before use in the Tafel plots measurement, the Au nanoparticle electrode was pre-refreshed by cyclic voltammetry (-1.30 V to +1.40 V vs.  $\text{Ag}/\text{AgCl}$  (sat'd KCl); 150  $\text{mV s}^{-1}$ ; 50 cycle) in  $\text{K}_2\text{CO}_3$  solution (0.25 M) with saturated  $\text{CO}_2$  (pH <7.3). A platinum mesh was used as counter electrode. The electrolyte was  $\text{K}_2\text{CO}_3$  solution (0.25 M) with saturated  $\text{CO}_2$  (pH <7.3). The applied potential was measured by a  $\text{Ag}/\text{AgCl}$  reference electrode (sat'd KCl). The current density and faraday efficiencies of products at various applied potentials were measured at 2 h after potential application. In addition, the gas-phase products were analyzed by a 7890A gaschromograph (Agilent GC System; Column: GS-GASPRO 30 m  $\times$  0.320 mm). The liquid-phase products were analyzed by an ion chromatograph (Waters IC System; 2695 Separation Module equipped with Waters 432 Conductivity Detector; Column: Thermo Dionex™ IonPac™ AS11 4 mm  $\times$  250 mm and AG11 4 mm  $\times$  50 mm).

**PV PEC SYSTEM FOR CO PRODUCTION:** Before use in PV PEC system, the Au nanoparticle electrode was pre-refreshed. Each electrode ( $1 \text{ cm}^2$ ) was immersed in the electrolyte, which was  $\text{K}_2\text{CO}_3$  solution (0.25 M) with saturated  $\text{CO}_2$  (pH <7.3). The PV PEC system was performed in a specific gas-tight two-compartment cell (material: acrylic glass; cell window: quartz; individual cell volume: 40 mL; cell boundary diameter: 30 mm $\phi$ ) made by EC Frontier Co., Inc. Each cell was sealed, and  $\text{CO}_2$  (or Ar at type B anolyte) was bubbled through the electrolytes (200 sccm) during the reaction. In the type B condition,  $\text{CO}_2$  and Ar were bubbled through the catholyte and anolyte respectively for 1 h before photoirradiation. The cell was irradiated under magnetic stirring (500 rpm) using a solar light simulator (SAN-EI ELECTRIC Corporation, Ltd., Model XES-40S1, 1 SUN: AM 1.5 = 1000  $\text{W m}^{-2}$ , Intensity analyzer; KIMO Instruments, Type SL200). During photoirradiation, the current passing through the wire and the applied potential to the Au nanoparticle cathode was measured by a  $\text{Ag}/\text{AgCl}$  reference electrode (sat'd KCl). In addition, the gas-phase and liquid-phase products were analyzed by the same GC and IC systems (mentioned above).

**IMPEDANCE MEASUREMENT OF THE REACTION CELL:** The Nyquist impedance spectra were measured by the following method. The pre-refreshed Au nanoparticle electrode was used as a cathode. A SUS301 plate was used as an anode. The applied potential was -1.0 V (potential amplitude: 10 mV) vs.  $\text{Ag}/\text{AgCl}$  (sat'd KCl) and the amplitude was from 0.1 Hz to 1MHz using  $\text{Ag}/\text{AgCl}$  (sat'd KCl) as a reference electrode. Reaction conditions were identical to those in above PV PEC stem for CO production.

### 2.5. Other analysis

Electrolysis and current measurement for the PV PEC system were measured in an electrochemical analyzer (CH Instruments, Inc., ALS Model 650C). Impedance analysis was performed using a cell test system (Solartron Analytical, 1400 and 1470E). Scanning electron microscope (SEM) observations

were carried out using a Hitachi High-Technologies Corporation SU8020 analytical electron microscope operated at 10 kV. X-ray diffraction (XRD) patterns were measured in a Rigaku Corporation SmartLab. Transmittance spectra were measured with a UV-visible spectrophotometer (Shimadzu; UV-2500PC) with bare ITO/Si substrate as a reference. X-ray photoelectron spectroscopy (XPS) measurements were performed using a Physical Electronics, Inc., Quanterra-SXM or Quantum-2000 spectrometer using Al K $\alpha$  radiation as the energy source. Scanning transmission Electron Microscope (STEM) observations were carried out using a Hitachi HD-2700 analytical electron microscope operated at 200 kV, which is equipped with an Energy Dispersive X-ray Spectroscopy (EDS) detector. EDS mapping analysis were taken under STEM mode. XRD, XPS, STEM, and EDS analysis were performed at Toshiba Nanoanalysis Corporation.

### 3. Results and discussion

#### 3.1. Electrode properties

The anode electrode was composed of a 3jn-a-Si PV cell and a CoO $_x$  catalyst. CoO $_x$  catalysts (thickness: 15 nm) coating the PV surface were prepared by the reactive sputtering method.<sup>11</sup> Fig. 1a shows STEM image of the cross sectional view of CoO $_x$  catalyst, which indicates that the thickness of CoO $_x$  layer is about 15 nm. Moreover, EDS mapping image shows that the element Co exists only the top layer. The XRD pattern of the anode surface, measured at 0.5 degrees incident angle, shows a similar pattern to Co $_3$ O $_4$  spinel structure (JCPDS 42-1467) (Fig. S1a, ESI $^\dagger$ ).<sup>12</sup> XPS analysis of the anode shows Co 2p peaks (ca 795.8 and 780.1 eV), which is also similar to Co $_3$ O $_4$  (Fig. S2a, ESI $^\dagger$ ).<sup>12,13</sup> The transmittance spectra of CoO $_x$  (thickness: 15 nm) on an ITO/Si electrode, which was synthesized by the reactive same sputtering method as the CoO $_x$  anode on a 3jn-a-Si PV, shows specific absorption bands at about 730 and <500 nm. These bands are derived from ligand-to-metal charge transfer (LMCT) events (O $^{2-}$   $\rightarrow$  Co $^{3+}$  and O $^{2-}$   $\rightarrow$  Co $^{2+}$ ) and are assigned to Co $_3$ O $_4$  (Fig. S3, ESI $^\dagger$ ).<sup>13</sup> These results indicate that the surface CoO $_x$  may be mainly composed of Co $_3$ O $_4$ .

In contrast, the cathode electrode is composed of Au nanoparticles, which were prepared by the pulse-oxidation process. Fig. 1b shows typical photo and SEM images of the Au nanoparticle electrode. Multiple spherical surfaces were observed, and the particle sizes were about 20–50 nm. XRD pattern of the cathode surface, measured at 1.0 degrees incident angle, indicates almost face-centered cubic (FCC) structures of gold metal (JCPDS 04-0784) (Fig. S1b, ESI $^\dagger$ ).<sup>10</sup> Moreover, XPS analysis of the cathode shows Au 4f peaks (ca 84.0 and 87.6 eV), the valence of which is almost zero (Fig. S2b, ESI $^\dagger$ ).<sup>9</sup> The cathode surface therefore is composed of metallic Au nanoparticles.

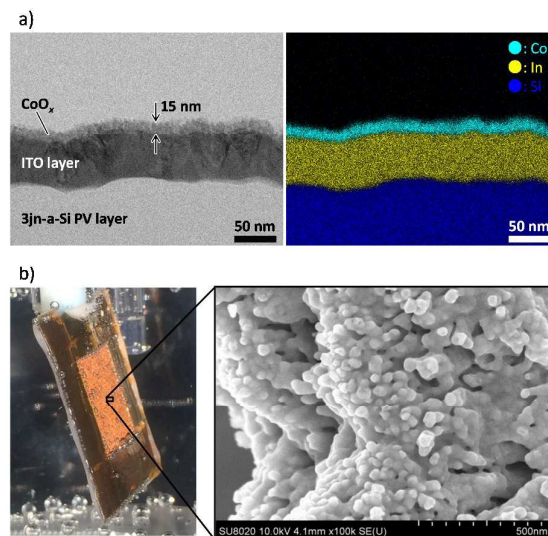
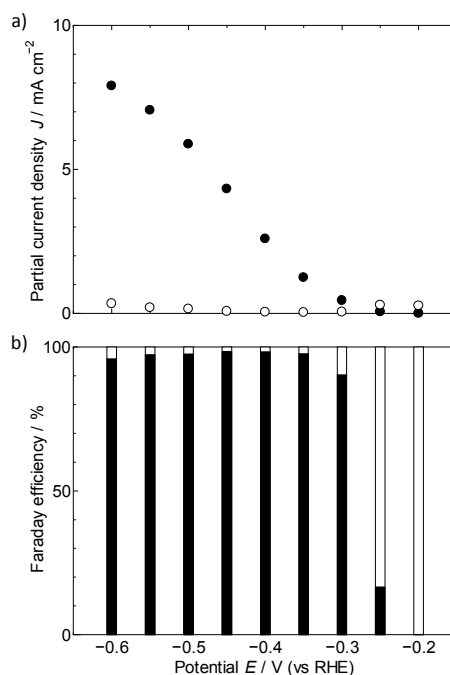


Fig. 1 a) Typical cross-sectional STEM and EDS mapping (cyan: Co, yellow: In, and blue: Si) images and b) Typical photo and SEM images of a Au nanoparticle cathode.

#### 3.2. Electrochemical activity of cathode

The activity of a Au nanoparticle cathode was studied by potentiostatic electrolysis measurement (see experimental section). Fig. 2 shows (a) the partial current density and (b) the faraday efficiency of the reduction products (CO, H $_2$ ) on a Au nanoparticle cathode at various applied potentials vs. RHE. When the applied potential was <math>-0.35\text{ V}</math>, the faraday efficiency of CO ( $FE_{\text{CO}}$ ) was >97%, and only a small amount of H $_2$  was generated. Moreover, liquid-phase products such as formic acid and formate species were scarcely detected at all applied potentials (<1 ppb).



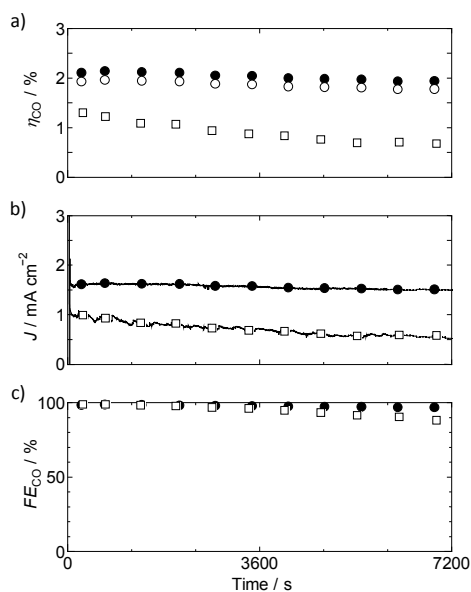


**Fig. 2** (a) Partial current density and (b) Faraday efficiency for reduction products on the cathode Au nanoparticle electrode at various potentials. (● and ■) CO and (○ and □) H<sub>2</sub>. Amounts of formic acid and formate species were less than detection limit (<1 ppb).

### 3.3. PV PEC activity for CO production

The activity of the wired PV PEC system for CO production was investigated in a two-compartment cell (each cell volume: 40 mL) of 0.25 M K<sub>2</sub>CO<sub>3</sub> solution saturated with CO<sub>2</sub> (pH <7.3) using a SELEMION™ anion exchange membrane. Fig. 3 shows the time-dependent changes in (a) the solar-to-CO conversion efficiency ( $\eta_{\text{CO}}$ ),<sup>14-16</sup> (b) the operating current density ( $J$ ), and (c) the  $FE_{\text{CO}}$  during irradiation of simulated solar light (1 SUN: AM 1.5 = 1000 W m<sup>-2</sup>). When CO<sub>2</sub> and Ar were used as the bubbling carrier gas through the cathode and anode respectively (type A),<sup>17</sup> the  $\eta_{\text{CO}}$  remained approximately 2.0% for 2 h. Moreover,  $J$  and  $FE_{\text{CO}}$  also retained high values (ca. 1.5 mA cm<sup>-2</sup> and >95%, respectively). In contrast, when CO<sub>2</sub> was used as the bubbling carrier gas through both the anode and cathode during irradiation (type B), the  $\eta_{\text{CO}}$  was less than 1.3%. This is because in the type A condition the liquid junction potential is generated by the pH difference ( $\Delta\text{pH}$ ) between the catholyte (pH <7.3) and anolyte (pH 9.2), which decreases the overpotentials and increases the  $\eta_{\text{CO}}$ . If the liquid junction potential is deducted from  $\eta_{\text{CO}}$ , the calculated solar-to-CO conversion efficiency ( $\eta_{\text{CO-cal}}$ ) decrease to approximately 1.9% and is rarely different from  $\eta_{\text{CO}}$ .

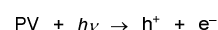
Liquid junction potential generally decreases with time due to the mixing of an anolyte and a catholyte, leading to decrease of  $\eta_{\text{CO}}$ . However, in the type A condition, the liquid junction potential is continuously constant during the reaction. This is because, while the major compositions are different between carbonate (anolyte) and bicarbonate (catholyte) due to different gas bubbling (Ar and CO<sub>2</sub>), these species are in equilibrium. No deactivation of  $\eta_{\text{CO}}$  due to the mixing electrolytes therefore occurs in the type A condition.



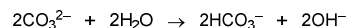
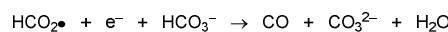
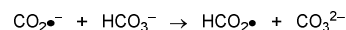
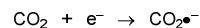
**Fig. 3** Time-dependent changes in a) the solar-to-CO conversion efficiency, b) the operating current density, and c) the faraday efficiency of CO and the applied potential at the cathode. Reaction conditions: cathode (Au nanoparticle electrode, 1 cm<sup>2</sup>), anode (3jn-a-Si PV cell coated with CoO<sub>x</sub> (15 nm), 1 cm<sup>2</sup>), anion exchange membrane (SELEMION™), light intensity (1 SUN: AM 1.5 = 1000 W m<sup>-2</sup>), electrolyte ((type A, ●) cathode: K<sub>2</sub>CO<sub>3</sub> solution (0.25 M) with saturated CO<sub>2</sub> in advance, pH 7.3), CO<sub>2</sub> carrier gas, anode: K<sub>2</sub>CO<sub>3</sub> solution (0.25 M) with saturated CO<sub>2</sub> in advance and subsequent Ar flow for 1 h, pH 9.2), Ar carrier gas, (type B, □) cathode and anode: K<sub>2</sub>CO<sub>3</sub> solution (0.25 M) with saturated CO<sub>2</sub> in advance, pH 7.3, carrier gas CO<sub>2</sub>). (○) The  $\eta_{\text{CO-cal}}$  at type A.

### 3.4. Proposed mechanism of CO production by PV PEC system

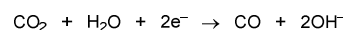
The PV PEC system for CO production on the wired PV cell with CoO<sub>x</sub> and Au nanoparticle electrodes may be realized via the following mechanism: the reaction is initialized by the photoexcitation of a PV cell under solar light irradiation. The excited PV cell produces electron (e<sup>-</sup>) and positive hole (h<sup>+</sup>) pairs.



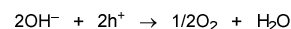
The e<sup>-</sup> transfers to cathode Au nanoparticles and reduces CO<sub>2</sub> to a CO<sub>2</sub>•<sup>-</sup> intermediate. Then, protonation of CO<sub>2</sub>•<sup>-</sup> by HCO<sub>3</sub><sup>-</sup> produces a HCO<sub>2</sub>• radical.<sup>9</sup> Subsequently, a HCO<sub>2</sub>• is probably reduced by the e<sup>-</sup> and protonated by HCO<sub>3</sub><sup>-</sup> again, leading to production of CO, CO<sub>3</sub><sup>2-</sup>, and H<sub>2</sub>O. CO<sub>3</sub><sup>2-</sup>, which is obtained as a by-product, readily reacts with H<sub>2</sub>O to produce HCO<sub>3</sub><sup>-</sup> and OH<sup>-</sup>.



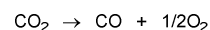
Therefore, the total cathode reaction is as follows:



In contrast, the h<sup>+</sup> transfers to the anode CoO<sub>x</sub> catalysts and oxidizes OH<sup>-</sup> to produce oxygen (O<sub>2</sub>) and H<sub>2</sub>O.



The total reaction is therefore shown as CO<sub>2</sub> decomposition to CO and O<sub>2</sub>, as follows:



In this reaction, HCO<sub>3</sub><sup>-</sup> and CO<sub>3</sub><sup>2-</sup> in the electrolyte also have the potential to become a carbon source. This is because the dissolved CO<sub>2</sub> is in equilibrium with HCO<sub>3</sub><sup>-</sup> and CO<sub>3</sub><sup>2-</sup>.<sup>18</sup>

### 3.5. Theoretical estimation of solar-to-CO conversion efficiency

These solar-to-CO conversion efficiencies are significantly affected by the liquid junction potential generated by the pH difference between anolyte and catholyte. This can be clarified by a theoretical estimation based on the electrochemical properties of electrodes and PV cells (for a detailed explanation, see ESI†).<sup>19</sup> The electrochemical properties of electrodes are obtained from summation of the

partial electrochemical activities of the anode and cathode. For proceeding electrochemical oxidation of H<sub>2</sub>O (+1.23 V vs. RHE) and reduction of CO<sub>2</sub> (-0.10 V vs. RHE), 1.33 V is theoretically necessary. However, the actual operating voltage in the reaction (*V*) was larger than 1.33 V due to the presence of overpotentials, which were mainly categorized as (i) reaction overpotential ( $\Delta V_{\text{react}}$ ), (ii) concentration overpotential ( $\Delta V_{\text{conc}}$ ), or (iii) resistance overpotential ( $\Delta V_{\text{resist}}$ ). The reaction activity of the electrodes is therefore estimated by the following equation:

$$V = 1.33 + \Delta V_{\text{react}} + \Delta V_{\text{conc}} + \Delta V_{\text{resist}}$$

In addition, when the pH is different between an anolyte and a catholyte, the liquid junction potential ( $\Delta V_{\text{pH}}$ ) is generated and acts as chemical bias. The value of  $\Delta V_{\text{pH}}$  must be deducted from *V* in the calculated equation. Therefore, the reaction activity is exhibited by the following equation:

$$V = 1.33 + \Delta V_{\text{react}} + \Delta V_{\text{conc}} + \Delta V_{\text{resist}} - \Delta V_{\text{pH}} \quad (1)$$

In contrast, the PV cell property can be estimated from the current-voltage curve of the PV. The current-voltage curve of the PV cell is therefore exhibited by the following equation:

$$J = J_{\text{ph}} - J_{\text{d}}$$

*J*, *J<sub>ph</sub>* and *J<sub>d</sub>* are respectively the operating current density, the photocurrent density and dark current density. However, the PV cell surface is coated with the CoO<sub>x</sub> anode catalyst. The current-voltage curve therefore decreases due to its transmittance (*T<sub>sum</sub>*). The actual specific curve of the PV cell is thus given by the following equation.

$$J = T_{\text{sum}} J_{\text{ph}} - J_{\text{d}} \quad (2)$$

The *J* is therefore the point of intersection between Eqn (1) and (2). Moreover, the partial current density of CO generation decreases the ratio of *FE<sub>CO</sub>*. Therefore, the partial current density of CO generation (*J<sub>CO</sub>*) is obtained by the following equation:

$$J_{\text{CO}} = J \cdot FE_{\text{CO}}$$

Thus, the solar-to-CO conversion efficiency is exhibited by the following equation:<sup>14</sup>

$$\eta_{\text{CO}} [\%] = \frac{1.33[\text{V}] \cdot J_{\text{CO}}}{P \cdot A} \cdot 100 = \frac{S_{\text{CO}} [\text{W m}^{-2}]}{P \cdot A} \cdot 100$$

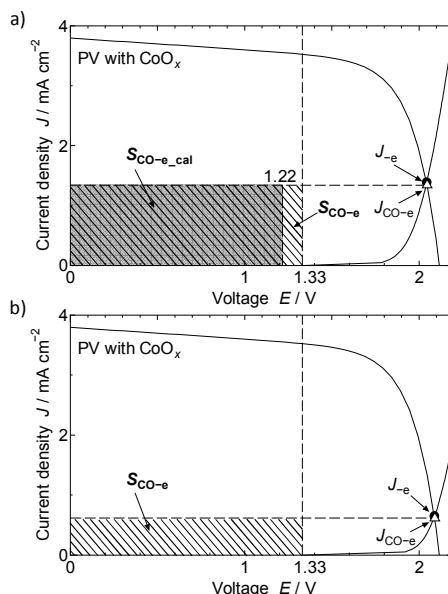


Fig. 4 Current density vs. voltage curves of the estimated results for wired PV PEC systems for type A and B. *J<sub>e</sub>* is the estimated operating current density.

The value of the effective power density for CO generation (*S<sub>CO</sub>*) directly affects the conversion efficiency of CO generation. Fig. 4 shows simulation results of the PV cell and electrode activity curves (Table S1, ESI†). Fig. 4a shows the result for type A. In this case, the estimated partial current density of CO (*J<sub>CO-e</sub>*) is 1.4 mA cm<sup>-2</sup>. The estimated solar-to-CO conversion efficiency ( $\eta_{\text{CO-e}}$ ) is 1.8% (*FE<sub>CO</sub>* = 97%). These values are slightly smaller than the experimental results (Fig. 3a), because the anode CoO<sub>x</sub> activity on an ITO/Si electrode is estimated to be lower than that on a PV cell due to the ITO/Si electrode surface being smoother than the PV cell surface. In addition, if the liquid junction potential ( $\Delta\text{pH} = 1.9$ ,  $\Delta V_{\text{pH}} = \text{ca. } 112 \text{ mV}$ ) is deducted, the estimated power density for CO generation (*S<sub>CO-e</sub>*, shaded area) decreases to the estimated and calculated solar-to-CO conversion efficiency (*S<sub>CO-e-cal</sub>*, darker shaded area), and the estimated and calculated solar-ro-CO conversion efficiency ( $\eta_{\text{CO-e-cal}}$ ) slightly decreases to 1.7%. In addition, the theoretical maximum efficiency of solar-to-CO conversion  $\eta_{\text{CO-e-max}}$  is estimated at 1.9% when all conditions are same with the exception of electrolyte. In this PV PEC system, the anolyte is composed of potassium carbonate solution (0.25 M) with CO<sub>2</sub> bubbling in advance (pH < 7.3). When Ar bubbling is performed on the anolyte solution, the value of pH is shifted from < 7.3 to 9.5 unless the anolyte is heated. Therefore the theoretical maximum pH difference  $\Delta\text{pH}_{\text{max}}$ , the theoretical maximum liquid junction potential  $\Delta V_{\text{pH-max}}$ , and the theoretical maximum partial current density of CO generation *J<sub>CO-e-max</sub>* are respectively estimated at 2.2, 130 mV, and 1.5 mA cm<sup>-2</sup>. However, in reality the bicarbonate anion species in the catholyte (pH < 7.3) flow into the anolyte through the membrane, and the pH value of anolyte is stabilized at 9.2. This indicates that the  $\eta_{\text{CO-e}}$  (1.8%) is obtained by the use of the maximum liquid junction potential possible.

In contrast, in the type B condition (CO<sub>2</sub> bubbling in both electrolytes),  $J_{\text{CO-e}}$  (0.64 mA cm<sup>-2</sup>) and  $\eta_{\text{CO-e}}$  (0.82%) significantly decrease because  $\Delta V_{\text{pH}}$  is zero which leads to no decrease in the total overpotentials (Fig. 4b). Comparing  $\eta_{\text{CO-e}}$  in type B with  $\eta_{\text{CO-e,cal}}$  in type A under the same condition without  $\Delta V_{\text{pH}}$ ,  $\eta_{\text{CO-e,cal}}$  in type A is approximately twice larger than  $\eta_{\text{CO-e}}$  in type B. These results indicate that  $\Delta V_{\text{pH}}$  expands the capability of PV PEC system, and plays a crucial role in generating the high  $\eta_{\text{CO}}$  value. Meanwhile, when the electrode activities are high enough and  $J$  is close to short-circuit current density  $J_{\text{SC}}$  of PV cells, the  $J_{\text{CO}}$  and the  $\eta_{\text{CO}}$  will scarcely increase by the effect of  $\Delta V_{\text{pH}}$ . Therefore, the adaptation to the condition that the  $J_{\text{tot}}$  is much lower than  $J_{\text{SC}}$  is necessary in order to make the best use of  $\Delta V_{\text{pH}}$  for enhancement of the  $\eta_{\text{CO}}$ .

#### 4. Conclusion

We found that the wired 3jn-a-Si PV PEC system with CoO<sub>x</sub> and Au nanoparticle electrodes in different carbonate electrolytes promotes efficient solar-to-CO conversion ( $\eta_{\text{CO}} \geq 2.0\%$ ) from CO<sub>2</sub> and H<sub>2</sub>O under solar light irradiation. The efficiency of this PV PEC system is sustainable for 2 h due to the contribution of the electrolytes which generate sustainable  $\Delta V_{\text{pH}}$ , in addition to the combination of the 3jn-a-Si PV cell with wide visible light absorption range with the electrodes with low overpotentials and high selectivity of CO<sub>2</sub> reduction. The estimated conversion efficiencies based on the electrochemical properties of the PV cell and the electrodes exhibit that  $\Delta V_{\text{pH}}$  plays a crucial role for  $\eta_{\text{CO}}$ . The solar fuel system using the PV PEC system presented here may contribute to the design of more sustainable artificial photosynthesis systems for developing solutions to global warming and fuel problems.

#### Notes and references

- (a) M. R. Wasielewski, *Chem. Rev.*, 1992, **92**, 435-461; (b) A. J. Bard and M. A. Fox, *Acc. Chem. Res.*, 1995, **28**, 141-145; (c) T. J. Meyer, *Acc. Chem. Res.*, 1989, **22**, 163-170; (d) D. Gust, T. A. Moore and A. L. Moore, *Acc. Chem. Res.*, 2009, **42**, 1890-1898; (e) B. Kasemo, *Surf. Sci.*, 2002, **500**, 656-677.
- (a) B. O'Regan and M. Grätzel, *Nature*, 1991, **353**, 737-740; (b) M. Law, L. E. Greene, J. C. Johnson, R. Saykally and P. Yang, *Nat. Mater.*, 2005, **4**, 455-459; (c) A. Hagfeldt and M. Grätzel, *Acc. Chem. Soc.*, 2000, **33**, 269-277; (d) G. McDermott, S. M. Prince, A. A. Freer, A. M. Hawthornthwaite-Lawless, M. Z. Papiz, R. J. Cogdell and N. W. Isaacs, *Nature*, 1995, **374**, 517-521.
- (a) A. Fujishima and K. Honda, *Nature*, 1972, **238**, 37-38; (b) S. U. M. Khan, M. Al-Shahry and W. B. Ingler Jr., *Science*, 2002, **297**, 2243-2245; (c) A. Kudo and Y. Miseki, *Chem. Soc. Rev.*, 2009, **38**, 253-278; (d) X. Chen, S. Shen, L. Guo and S. S. Mao, *Chem. Rev.*, 2010, **110**, 6503-6570; (e) Z. Zou, J. Ye, K. Sayama and H. Arakawa, *Nature*, 2001, **414**, 625-627; (f) M. G. Walter, E. L. Warren, J. R. McKone, S. W. Boettcher, Q. M. Elizabeth, A. Santori and N. S. Lewis, *Chem. Rev.*, 2010, **110**, 6446-6473; (g) M. W. Kanan and D. G. Nocera, *Science*, 2008, **321**, 1072-1075; (h) K. Maeda, K. Teramura, D. Lu, T. Takata, N. Saito, Y. Inoue and K. Domen, *Nature*, 2006, **440**, 295.
- (a) X. Wang, K. Maeda, A. Thomas, K. Takanabe, G. Xin, J. M. Carsson, K. Domen and M. Antonietti, *Nat. Mater.*, 2009, **8**, 76-80; (b) M. Ni, M. K. H. Leung, D. Y. C. Leung and K. Semathy, *Renewable Sustainable Energy Rev.*, 2007, **11**, 401-425; (c) D. Das and T. N. Veziroğlu, *Int. J. Hydrogen Energy*, 2001, **26**, 13-28; (d) O. Khaselev and J. A. Turner, *Science*, 1998, **280**, 425-427; (e) Q. Li, B. Guo, J. Yu, J. Ran, B. Zhang, H. Yan and J. R. Gong, *J. Am. Chem. Soc.*, 2011, **133**, 10878-10884.
- (a) T. Inoue, A. Fujishima, S. Konishi and K. Honda, *Nature*, 1979, **277**, 637-638; (b) S. C. Roy, O. K. Varghese, M. Paulose and C. A. Grimes, *ACS Nano*, 2010, **4**, 1259-1278; (c) W. Leitner, *Angew. Chem. Int. Ed.*, 1995, **34**, 2207-2221; (d) E. E. Benson, C. P. Kubiak, A. J. Sathrum and J. M. Smieja, *Chem. Soc. Rev.*, 2009, **38**, 89-99; (e) H. Takeda, K. Koike, H. Inoue and O. Ishitani, *J. Am. Chem. Soc.*, 2008, **130**, 2023-2031; (f) Y. Hori, in *Modern Aspects of Electrochemistry*, ed. C. G. Vayenas, R. E. White and M. E. Gamboa-Aldeco, Springer, New York, 2008, vol. 42, pp. 89-189.
- (a) M. Halmann, M. Ulman and B. Aurian-Blajeni, *Sol. Energy*, 1983, **31**, 429-431; (b) O. K. Varghese, M. Paulose, T. J. LaTempa and C. A. Grimes, *Nano Lett.*, 2009, **9**, 731-737; (c) K. Iizuka, T. Wato, Y. Miseki, K. Saito and A. Kudo, *J. Am. Chem. Soc.*, 2011, **133**, 20863-20868; (d) S. Sato, T. Arai, T. Morikawa, K. Uemura, T. M. Suzuki, H. Tanaka and T. Kajino, *J. Am. Chem. Soc.*, 2011, **133**, 645-648; (e) T. Arai, S. Sato, T. Kajino and T. Morikawa, *Energy Environ. Sci.*, 2013, **6**, 1274-1282; (f) S. Yotsuhashi, M. Deguchi, Y. Yamada and K. Ohkawa, *AIP Adv.*, 2014, **4**, 067135-1-067135-8; (g) J. L. White, J. T. Herb, J. J. Kaczur, P. W. Majstrzik and A. B. Bocarsly, *J. CO<sub>2</sub> Util.*, 2014, **7**, 1-5; (h) H. S. Jeon, J. H. Koh, S. J. Park, M. S. Jee, D.-H. Ko, Y. J. Hwang and B. K. Min, *J. Mater. Chem. A*, 2015, **3**, 5835-5842.
- S. Y. Reece, J. A. Hamel, K. Sung, T. D. Jarvi, A. J. Esswein, J. J. H. Pijpers and D. G. Nocera, *Science*, 2011, **334**, 645-648.
- (a) J. O. M. Bockris and J. C. Wass, *J. Electrochem. Soc.*, 1989, **136**, 2521-2528; (b) K. Chandrasekaran and L. O. M. Bockris, *Surf. Sci.*, 1987, **185**, 495-514.
- Y. Chen, C. W. Li and M. W. Kanan, *J. Am. Chem. Soc.*, 2012, **134**, 19969-19972.
- Y. Chen, C. Somsen, S. Milenkovic and A. W. Hassel, *J. Mater. Chem.*, 2009, **19**, 924-927.
- (a) W. Estrada, M. C. A. Fantini, S. C. De Castro, C. N. Pole da Fonseca and A. Gorenstein, *J. Appl. Phys.*, 1993, **74**, 5835; (b) W. B. Ingler Jr., D. Attygalle and X. Deng, *ECS Trans.*, 2006, **3**, 261-266.
- X. Wang, X. Chen, L. Gao, H. Zheng, Z. Zhang and Y. Qian, *J. Phys. Chem. B*, 2004, **108**, 16401-16404.
- D. Barreca and C. Massignan, *Chem. Mater.*, 2001, **13**, 588-593.
- The solar-to-CO conversion efficiency  $\eta_{\text{CO}}$  was calculated as follows:(ref. 15)

$$\eta_{\text{CO}} [\%] = \frac{R_{\text{CO}} \cdot \Delta G_{\text{CO}}^0}{P \cdot A} \cdot 100 = \frac{1.33 [\text{V}] \cdot J_{\text{CO}}}{P \cdot A} \cdot 100$$

$R_{\text{CO}}$ ,  $\Delta G_{\text{CO}}^0$ ,  $P$ ,  $A$  and  $J_{\text{CO}}$  are respectively the rate of CO production (mol s<sup>-1</sup>), the change in the Gibbs free energy (257.2 kJ mol<sup>-1</sup>, (ref 16)), irradiation light intensity (1 kW m<sup>-2</sup>), acceptance surface area of the PV cell (1 cm<sup>2</sup>) and the partial current density of CO generation.

- Q. Wang, T. Hisatomi, S. S. K. Ma, Y. Li and K. Domen, *Chem. Mater.*, 2014, **26**, 4144-4150.
- J. A. Herron, J. Kim, A. A. Upadhye, G. W. Huber and C. T. Maravelias, *Energy Environ. Sci.*, 2015, **8**, 126-157.
- Ar pre-bubbling in the anolyte is performed for 1h before the reaction, and the pH of anolyte changes from <7.3 to 9.2 by CO<sub>2</sub> degassing.
- H. Zhong, K. Fujii, Y. Nakano and F. Jin, *J. Phys. Chem. C*, 2015, **119**, 55-61.
- (a) A. J. Bard and L. R. Faulkner, in *Electrochemical methods: fundamentals and applications*, ed. D. Harris, E. Swain, C.

Journal Name

ARTICLE

Robey and E. Aiello, John Wiley & Sons Inc., New York, 2nd edn., 2001, pp. 63-74, pp. 87-136 and pp. 368-388; (b) Y. Matsuda and C. Iwakura, in *Denkikagakugairon, 1st ed.* (Eds. J. Shiokawa, H. Matsuda, Y. Matsuda, H. Taniguchi), Maruzen, Tokyo, 1994, pp. 51-71.

RSC Advances Accepted Manuscript