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ARTICLE TYPE

Effective regulation of micro-structure of thick P3HT:PC₇₁BM film by the incorporation of ethyl benzenecarboxylate in toluene solution

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In this work, ethyl benzenecarboxylate (EB) was creatively selected as the additive in the blend of poly(3-hexylthiophene)/ phenyl-C71-butyric acid methyl ester (P3HT/PC₇₁BM) in non-halogenated solvent toluene (TL). With the optimized incorporating concentration of EB (i.e. 2vol%) in toluene, the great

¹⁰ power conversion efficiency (PCE) enhancement of 4.11% was achieved without thermal annealing, while a maximum PCE of 4.82% with thermal annealing was achieved at the same conditions. According to our systematical characterization results, we can conclude that we are successful to regulate microstructures including phase separation, domain sizes of P3HT or PC₇₁BM and the crystallinity of P3HT by incorporating 2vol% EB in TL solution. The effective of EB as the TL additive in P3HT/PC₇₁BM can be

15 interpreted based on its Hansen solubility parameters (HSPs) and its high boiling point.

1 Introduction

Bulk-heterojunction (BHJ) polymer solar cells (PSCs) have gained tremendous attention in the last ten years due to their advantages of low cost, lightweight, and flexibility compared to the inorganic semiconductor solar cells^{1, 2}. Over 10% power conversion efficiencies (PCE) of PSCs have been achieved by using novel low band-gap semiconductor polymers³ or applying advanced architectures^{4, 5}, which is much close to the PCE value that PSCs commercialization needed⁶. However, many disadvantages in present fabrication process of PSCs will restrict their commercialization, especially, the utilizing of halogenated organic solvents such as chloroform (CF), chlorobenzene (CB), and ortho-dichlorobenzene (o-DCB) which are very toxic organic solvents, that will undermine human's health and ruin the environment in the fabricating process of future commercialized PSCs^{7, 8}. Hence, it is of crucial meaningful to search environmentally friendly solvent to replace the toxic halogenated solvents.

The spontaneous interpenetrating network structure formed in the drying process of solvents, therefore the choice of processing solvent has a notable impact on the resulting microstructure and thus on the photovoltaic performance. At the very beginning of studying PSCs, researchers tried to utilize toluene and xylene which have been widely used as the processing solvents of polymer light-emitting diodes (PLEDs)⁹ to fabricate PSCs¹⁰, however, the results demonstrated that the PCE of devices fabricated with CB was 2.6 times higher than that of devices fabricated with toluene¹¹, and the photovoltaic performance of devices fabricated with xylene¹⁰. Besides, some other papers¹²⁻¹⁵ also demonstrated that utilizing only one single kind of non-

halogenated solvent can not achieve a good photovoltaic performance as much as that of devices fabricated with halogenated solvents.

It has been well known that blends solvents offer the possibility to tailor the parameters of solvents such as solubility, boiling point, viscosity etc¹⁶⁻¹⁸, therefore, utilizing non-halogenated blend solvents or additives in non-halogenated solvents as the processing solvents of PSCs maybe an effective method to achieve good photovoltaic performances. Alex K.-Y. Jen et al. reported high-efficiency PSCs based on PBDT-DNT/PC71BM with PCE >6% using xylene as the host processing solvent and 2.5% 1,2-dimethylnaphthalene (1,2-DMN) as the additive¹⁹, in addition, they reported a PCE >7% for the PSCs based on PIDTT-DFBT/PC71BM with 1,2,4-trimethylbenzene (1,2,4-TMB) as the host processing solvent and 2.5% 1,2-DMN as the additive⁸. Maojie Zhang et al.¹³ used the non-halogenated solvent as processing solvent and N-methyl pyrrolidone (NMP) as solvent additive achieving a 6.6% PCE of PSCs based on P3HT/ICBA.

In this work, we utilized EB as the additive of the blend of P3HT/PC₇₁BM in non-halogenated solvent toluene. We demonstrated the reasons that EB was selected by the Hansen solubility parameters $(HSPs)^{20}$ of related materials. The optimized doping concentration of EB additive in toluene was 2%, and with this doping concentration we demonstrated a PCE of 4.11% without thermal annealing and a maximum PCE of 4.82% with thermal annealing. UV-Vis spectra, XRD, AFM and TEM characterization results indicated that better phase separation, larger P3HT or PC₇₁BM domains and larger crystalinity of P3HT were formed in the films casted from toluene solutions with EB additive.

2 The choice of solvent additive

The addition of small amount of high boiling point additive to the host processing solvent can significantly improve the phase separation of interpenetrating network, which can be ascribed to the differential solubility of additive and host solvent for polymer donor or fullerene derivative acceptor, and the longer drying time for bigger crystallization¹⁶. As has been published^{13, 15, 21}, the solvent additives have a great effect on the fullerene solubility when they were incorporated into the non-halogenated solvents. For instance, the solubility of PC₇₁BM in o-xylene can be greatly enhanced by the addition of 2.5 vol% 1-methylanphthalene (1- $(MN)^8$. This phenomenon can be expounded by the Hansen solubility parameters (HSPs), which were firstly introduced by Hildebrand and Scott to describe and predict the solubility behavior of organic semiconductors. The Hildebrand parameter δ that indicates the miscibility of the components in a blended solution was defined as the square root of the cohesion energy density as shown in Eqs. (1), where E is the energy change of the evaporation and V is the molar volume.

$$\delta = \sqrt{E/V} \qquad (1)$$
$$\delta^2 = \delta_D^2 + \delta_P^2 + \delta_H^2 \qquad (2)$$

The Hildebrand parameter is mainly determined by 3 factors as shown in Eqs (2), which are atomic dispersive interactions (δ_D), permanent dipole interactions (δ_P), and molecular hydrogen bonding interactions (δ_H), respectively. The HSPs parameters of solvent and solute can be plotted in a 3-dimentional coordinate system with δ_D , δ_P and δ_H as X, Y and Z axis, respectively. Therefore, the solubility of a solute in various solvents can be demonstrated by the Hansen sphere, where the HSP plot of the solute is located at the center of the sphere and the interaction radius R_o spanning the regime within which the solute is dissolved. The distance between the HSPs parameters of solvent and solute is defined as R_a , as represented in Eqs. (3), in which the 1 and 2 represent the solute and solvent, respectively.

$$R_a^2 = 4(\delta_{D1} - \delta_{D2})^2 + (\delta_{P1} - \delta_{P2})^2 + (\delta_{H1} - \delta_{H2})^2 \quad (3)$$

The ratio of R_a and R_o defines the relative energy difference (RED), which can be used to estimate the solubility of a solute in a particular solvent. For instance, the solubility of a solvent for a solute is high when the RED<1, a solute in a solvent is partially dissolved when RED=1, and a solute in a solvent is insoluble when the RED>1^{8, 22}.

It can be found in reference²⁰ that the δ_D , δ_P and δ_H of toluene are 18.0, 1.4 and 2.0, respectively, whereas, the HSPs parameters of PC₇₁BM are 20.2, 5.4 and 4.5, respectively²³. All the HSPs parameters of toluene are located far away from that of PC₇₁BM, which indicates a bad solubility of PC₇₁BM in toluene. To improve the morphology of the active layers that casted from the non-halogenated solvents, Alex K.-Y. Jen et al.⁸ incorporated 2.5 vol% 1,2-DMN additive to the o-xylene. They found a much finer D/A separation in the BHJ films that casted from o-xylene solution with 2.5 vol% 1,2-DMN, which is very similar to that of BHJ films casted from o-DCB solutions. They ascribed the better morphology to the incorporation of 1,2-DMN that increased δ_D

and δ_H of the solvents, in addition, the increased δ_D and δ_H lead to a decreased RED and better miscibility with PC71BM. Inspired by this concept, we found that the δ_P and δ_H of EB are 6.2 and 6.0, respectively, which are relatively high and can be as the additive to increase the δ_P and δ_H values in toluene blend solution. The Hansen solubility parameters diagram of P3HT, PC71BM and related solvents is shown in Fig. 1, and detailed HSPs parameters of P3HT, PC71BM toluene and EB are summarized in Table 1. After carefully calculations, we got that the RED values of P3HT in toluene and EB are 0.75 and 1.25, respectively, and the RED values of PC71BM in toluene and EB are 0.77 and 0.58, respectively. This results demonstrate that toluene is a can dissolve P3HT and PC₇₁BM, comparatively speaking, EB is a bad solvent for P3HT and a good solvent for PC71BM. Based on these characteristics, small amount of EB incorporation in toluene can improve the P3HT selectively aggregation, which is propitious to phase separation. Hence through control the incorporation concentration, we can effectively regulate the domain size of every phase. On the other hand, the boiling point of EB is 212.6 $^{\circ}$ C, which is much bigger than that of TL (110.6 $^{\circ}$ C). The incorporation of EB will increase the drying time of P3HT:PC71BM film, which will benefit the self-assemble of P3HT and the aggregation of PC₇₁BM. Besides, it is worth to note that EB is micro-poisonous to humans, and it exists naturally in peach, pineapple and black tea. Therefore, EB is a kind of environment-friendly additive which is not harmful to the humans' health and the environment. To conclude, EB is very suitable as the additive of P3HT:PC71BM toluene solution.

Table 1 The HSP parameters of solvents and materials.

Material/Solvents	HSPs [MPa ^{1/2}]			RED with		
	δ_{D}	δ _P	δн	Ro	P3HT	PC ₇₁ BM
P3HT	19.05	3.3	2.8	3.9		
PC ₇₁ BM	20.2	5.4	4.5	8.4		
Toluene	18.0	1.4	2.0		0.75	0.77
EB	17.9	6.2	6.0		1.25	0.58



Fig. 1 The HSP parameters diagrams of solvents and materials related in this paper.

3 Experimental

3.1 Fabrication of PSCs

	In	this	work,	poly(3-hexylthiophene)	(P3HT,	98%
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regioregularity, Luminescence Ch) and phenyl-C71-butyric acid methyl ester (PC71BM, 99.5%, American Dye Sources Inc) were selected as photovoltaic materials. The device structure of polymer solar cells is shown as the following module: Glass/ITO/poly(3,4-ethyl-enedioxythiophene):

poly(styrenesulfonate) (PEDOT:PSS)/P3HT:PC71BM/Ca/Al⁶. And Fig. 2 shows the schematic diagram of PSCs. The details of fabrication processes are shown as follows: ITO-coated glass substrates with a nominal sheet resistance of 15 Ω /sq were ultrasonically cleaned with detergent, de-ionized water, acetone and iso-propyl alcohol for 20 min in each round, and subsequently dried in an oven at 110 °C. The substrates were treated in a Plasma cleaning instrument (Plasmon Preen II-862, Mycro Co.) for 6 min prior to the deposition of the hole transport materials. The PEDOT:PSS solution (Clevios PVP Al4083, HC Starck) was spin-coated at a speed of 4000 rpm for 30 s to form a hole transport layer and then annealed at 150°C for 20 min. The thickness of the PEDOT:PSS film was about 40nm. To optimize the concentration effect of EB in the toluene solution, 1 vol%, 2 vol% and 3vol% of EB was added to P3HT:PC71BM (1:1) toluene solution of 36 mg/ml. The blend solution was fully mixed before spin-coating. The substrates with PEDOT:PSS and blend solutions were then transferred into a nitrogen-filled glove box, and the P3HT:PCBM (1:1) toluene solutions were spin-coated on PEDOT: PSS layer at 600 rpm for 40 seconds to form ~330 nm thick active layers. The device fabrication parameters were optimized prior to this preparation of PSC. The fabricated PSC active layer subjected to thermal annealing at 160°C for 10 min if needed. At last, Ca/Al electrodes were thermally evaporated onto the active layer with a thickness of 5 nm and 100 nm respectively, to form a cathode, at a vacuum ($\leq 10-6$ Torr) condition, and the active layer area of the device was 0.1 cm^2 defined by a shadow mask for all the solar cell devices discussed in this work²⁴.



Fig. 2 The schematic diagram of the PSC structure, and the structural formulas of TL and EB.

To investigate the hole carrier mobility of $P3HT:PC_{71}BM$ films that casted from toluene solution and toluene solutions with EB,

we fabricated devices that can only transport holes, of which the structure is shown as following: ITO/PEDOT:PSS/P3HT:PC₇₁BM/Au. The charge carrier mobility of the devices were studied by the method of trap-free-space-charge-limited-current (SCLC)¹². All the fabrication processes before evaporating metallic electrode were same with that of fabricating the devices of PSCs, the only difference was that the evaporated electrode is Au electrode.

3.2 Characterization

The current density-voltage (J-V) characteristics were measured under AM 1.5 solar illumination at an intensity of 100 mW/cm² with Newport solar simulator by a Keithley 2420 source measurement N₂ filled glove box. The external quantum efficiencies (EQE) of PSCs were analyzed using a certified Newport incident photon conversion efficiency (IPCE) measurement system. The UV-Vis absorption spectra of pristine P3HT:PC71BM film and P3HT:PC71BM films that casted from toluene solution and toluene solutions with EB were taken on a Varian Cary 50 UV-Vis spectrometer. The thickness of P3HT:PC71BM films were acquired using a Veeco Dektak 150 surface profiler. The X-ray diffraction (XRD) spectra were taken by a Mac Science, equipped with Cu Kα source. 2-D X-ray (GIWAXS) scattering in grazing incidence geometry measurement was performed bv Shanghai Synchrotron Radiation facility. Atomic force microscopy (AFM) measurements were carried out on an Agilent 5400 AFM at ambient temperature. The transmission electron microscopy (TEM) images of P3HT: PC71BM film and P3HT:PC71BM films that casted from toluene solution with EB were obtained on a JEM-2000 Ex.

4. Results and discussion

In order to evaluate the effect of EB in toluene, we fabricated devices with P3HT:PC71BM casted from toluene solutions with 0 vol%, 1 vol%, 2vol% and 3 vol% EB. The J-V cures of these devices are shown in Fig. 3, and the detail photovoltaic parameters are summarized in Table 2. The device that without EB additive and thermal annealing shows a poor photovoltaic performance, with a low short circuit current density (Jsc) of 2.72 mA/cm^2 and a low fill factor of 35.65%, in addition, the Voc is distinctly higher than that of device with EB additive. All of these representations can be ascribed to the unavailable interpenetrating network of P3HT:PC71BM. It can be easily found that the photovoltaic performances of devices that with EB additive is obviously better than that of device without EB additive. For instance, the Jsc and FF are greatly improved and therefore the PCEs of devices are greatly enhanced. With the incorporation of 2 vol% EB in toluene, we can get a best photovoltaic performance (PCE=4.11%) of device that without annealing. It is worth to note that with the increasing of EB concentration, the Voc of the devices is decreasing. Similar changes in Voc have been reported by Yang Yang .etc¹⁶ for P3HT:PC71BM devices decreased after the treatment of solvent annealing, and they ascribed the decrease of Voc to improved vertical phase segregation and the upward-shift HOMO level of P3HT when its aggregation enlarged. Hence, it is reasonable to consider that the incorporation of EB in toluene improved the phase separation of

P3HT:PC₇₁BM even without thermal annealing. In addition, the photovoltaic performance of devices that fabricated with 2 vol% EB in toluene can be further improved to a maximum PCE of 4.82%, with the Jsc and FF reaching to maximum values of 11.48 mA/cm² and 69.97%, respectively.

	Voc (V)	Jsc (mA/cm ²)	FF (%)	PCE (%)
0	0.82	2.72	35.65	0.80
1	0.61	9.99	56.03	3.41
2	0.56	11.22	65.42	4.11
3	0.54	11.19	63.92	3.86
0 anneal	0.61	10.64	62.35	4.05
2 anneal	0.60	11.48	69.97	4.82

Table 2 The photovoltaic parameters of devices.

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Fig. 3 The J-V curves of P3HT:PC₇₁BM devices with different EB ratio.

External quantum efficiency (EQE) can verify the better photovoltaic performances of PSCs. Based on this concept, the EQE value reaches to 100% when all incident photons generate electron-hole excitons. However, EQE value used to be less than 100% due to the reflection of incident light, weak absorption of photo-active materials and the recombination of electrons and holes²⁴. The EQE spectra of various devices are shown in Fig. 4. From the EQE spectra, we can see that even without thermal annealing the EQE intensities can be greatly enhanced by incorporating EB additive. And after thermal annealing, the devices with 2% EB additive shows a maximum EQE value which is in accordance with its maximum photovoltaic performance. All of the results are corresponding to that of device photovoltaic performances.



Fig. 4 The EQE spectra of P3HT:PC₇₁BM devices with different EB ratio.

In order to uncover the reasons that caused the photovoltaic performances discrepancies of the devices that casted from toluene solutions with or without EB additive, we did the absorption characterization of the P3HT:PC71BM films, and the results are shown in Fig. 5a. It is easy to see that there are significant red shifts in absorption spectra of P3HT:PC71BM films that casted from toluene solutions with EB additive, compared with that of P3HT:PC71BM film casted from pure toluene solution. It is worth to note that there are three distinct characteristic absorption peaks in the absorption spectra with EB incorporation ratio >2%, which are a peak at ~520 nm, and two shoulders at ~550 nm and ~610 nm, respectively. The peak at 520 nm is corresponding to the π - π^* electron transition, and the other two shoulder peaks are a-manifestation of strong interchaininterlayer interaction or high crystallinity of P3HT. Therefore, all of these results of the absorption spectra demonstrate an enhanced π - π stacking of the P3HT by incorporating EB into the toluene solutions.

To validate that it is due to the enhanced crystallinity of P3HT that causes the red shifts of the absorption spectra, we took the XRD characterizations on the films that casted from different toluene solutions with various EB ratios, and the results are shown in Fig. 5b. The diffraction peak of 20≈5.5°is the characteristic diffraction peak of P3HT crystal, which is corresponding to the (100) lattice plane of P3HT¹². As we can see from the XRD spectra, all of the spectra of the films show strong diffraction peak at $2\theta \approx 5.5^{\circ}$, but the intensity of the films increase with increasing of the EB incorporation ratio. To eliminate the possible influence of film thickness on the XRD diffraction intensity, we measured the films thicknesses and found no big differences as 325 nm, 330 nm, 338 nm and 342 nm, respectively. In addition, there are small peaks at $2\theta \approx 11^\circ$ in the spectra of films with EB additives which are corresponding to the (200) lattice plane of P3HT, the emerging of the (200) lattice plane of P3HT indicates a large crystallinity of P3HT. So, it can be believed that the incorporation of EB can increase the crystallinity of P3HT.



Fig. 5 (a) the absorption spectra of P3HT:PC₇₁BM films that casted from toluene solutions with different EB ratio, (b) the XRD curves of P3HT:PC₇₁BM films that casted from toluene solutions with different EB ratio.

To further understand the development of the crystal structure of P3HT and PCBM during the film-processing process, we take 2-D X-ray scattering in grazing incidence geometry (GIWAXS) measurement^{16, 25}. The measurements were taken with the X-ray incident angle within the characteristic angles for total angle reflection of P3HT and PCBM but below that of Si substrate, which can probe the molecular ordering of entire thickness of active layer. The packing along the side chains of the P3HT crystal is denoted as the *a*-axis, (h00), and the π - π stacking direction within P3HT crystal is denoted as the b-axis, (0k0). From the GIWAXS results (Fig. 6), we can see that the addition of EB in toluene solution greatly increase the diffraction intensity (in the range of 0-5 nm⁻¹) of P3HT, which indicated that the crystallinity of P3HT is greatly enhanced. After treating with annealing process, the P3HT:PCBM film processed without EB showed higher diffraction intensity ($\sim 0-7$ nm⁻¹), which corresponding to higher crytallinity of (100) and (200). However, the P3HT:PCBM film processed with 2% EB showed no diffraction intensity difference after annealing treatment. Besides, the diffraction intensity of the P3HT:PCBM film processed without EB was obvious lower than that of P3HT:PCBM film processed with 2% EB even after thermal annealing. Hence, it can be believed that the micro-structure regulation effect of EB can not be achieved by thermal annealing.



Fig. 6 2-D GIWAXS results of P3HT:PCBM processed with different amount of EB(a-d), and with thermal annealing treatment(e-f).

Previous studies showed that small amounts of additives still have great influence on the morphology of the films that formed. So we took AFM characterization to study the morphology changes of the P3HT:PC₇₁BM that casted from toluene solutions with different EB additive ratios. Fig. 7 shows the AFM topographic images of the films. From Fig. 7, we can see that the P3HT:PC₇₁BM film without EB additive shows a very smooth surface with a root mean square roughness (RMS) of 0.36 nm, and the films casted from solutions with EB additive show greatly

enhanced RMS value, which are 2.2 nm, 8.9 nm and 9.2 nm, respectively. Larger roughness demonstrated larger donor/acceptor domain size, which means better donor/accepter interpenetrating network¹³. Therefore, we can believe that the better interpenetrating network benefits the extraction of holes and electrons. After carefully analysis, we ascribe the greatly enhanced RMS values to the enhanced phase separation that caused by the incorporation of EB. For instance, comparing with toluene, EB is a poor solvent for P3HT and a good solvent for PC71BM. So small amounts of EB incorporation will lead to the aggregation of P3HT, which will good for the phase separation. However, only appropriate phase separation is needed for the relatively short excitons diffusion length (<20 nm). Excess EB additive (3%) will lead to enlarged phase separation which is not benefit the excitons diffusing to the interface of donor material and acceptor material, and thus will decrease the photovoltaic performance.



Fig. 7 The AFM images of P3HT:PC71BM films that casted from toluene solutions with (a) 0% EB, (b) 1% EB, (c) 2% EB and (d) 3% EB.

To intuitively demonstrate the phase separation differences between films that casted from toluene solution with or without EB additive, we took the TEM characterization of them. Fig. 8 shows the TEM images of films that casted from toluene solution without EB and with 2% EB. From the results, we can see that better interpenetrating network is formed after incorporating 2% EB additive, which is caused by its poor solubility for P3HT and good solubility for PC₇₁BM. Fig. 8a demonstrated a intensively mixed P3HT:PC71BM film that very small P3HT or PC71BM domains were formed, which goes against the transport of charge carriers. Whereas, there were instinct P3HT or PC71BM rich domains formed as shown in Fig. 8b, which will definitely improve the charge carrier transportation and prevent the unfavorable electron-hole recombination. However, as we have mention above, only appropriate domain size is needed for a balance of excitons diffusion and charge carrier transportation, so only appropriate EB doping concentration is needed.



Fig. 8 The TEM images of P3HT:PC_71BM films that casted from toluene solutions with (a) 0% EB and (b) 2% EB.

Charge carrier mobility is mainly depends on the crystallinity of P3HT and the domain size of PC₇₁BM, hence, according to the results of XRD and TEM, we speculated that the charge carrier mobility of the P3HT:PC71BM films that casted from toluene solutions with EB additives are much larger than that of films casted from solutions without EB additives. To testify our speculations, we investigated the hole mobility of P3HT:PC71BM films by the method of trap-free-space-charge-limited-current (SCLC). The J-V curves of the devices with the structure of ITO/PEDOT:PSS/P3HT:PC71BM/Au were shown in Fig. 9 The calculated hole mobility (µ_b) of P3HT:PC₇₁BM films that casted from toluene solutions with 0%, 1%, 2% and 3% EB additives are $1.26 \times 10^{-7} \text{ cm}^2/\text{V} \cdot \text{S}$, $3.24 \times 10^{-3} \text{ cm}^2/\text{V} \cdot \text{S}$, $4.80 \times 10^{-3} \text{ cm}^2/\text{V} \cdot \text{S}$ and 3.91×10^{-3} cm²/V S, respectively. From the results, we can see that the hole mobility of the films that casted from toluene solutions with EB additive improves more than 10000 times compared with that of film casted from pristine toluene solution. As we have mentioned above, we ascribe this enhancement to the improved crystallinity of P3HT and the enlarged P3HT of PC71BM domain sizes.



Fig. 9 The J-V curves for hole-only devices that fabricated with different EB ratios.

Conclusions

By studying the HSPs parameters of EB in P3HT:PC₇₁BM, we believe that EB can act as a processing additive in the toluene solution of P3HT:PC₇₁BM for its poor P3HT solubility and good PC₇₁BM solubility. After optimizing incorporation ratio, we demonstrated a 5.1 times enhanced PCE of PSCs even without thermal annealing, and a 4.82% PCE can be achieved after

thermal annealing. By systematically analysis the results of absorption spectra, XRD spectra, AFM, TEM and SCLC, we concluded that the incorporation of EB in P3HT:PC₇₁BM can increase the phase separation and the crystallinity of P3HT, and achieve an effective balance between excitons diffusion and charge carrier mobility.

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