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1 **Polymer organogelation with chitin and chitin nanocrystals**

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26

27 **Abstract**

28

29 In this paper, we show that biodegradable and biocompatible organogels can be
30 formed with chitin as the filler material and triglycerides as the continuous
31 hydrophobic phase. When crude chitin was used, large degree of aggregation was
32 observed that prevented the formation of stable organogels. Two approaches were
33 used to diminish this degree of aggregation and increase the stability. Either
34 surfactants were used to increase the dispersability of the crude chitin, or the crude
35 chitin was transformed into smaller rod-like nanocrystals by acid hydrolysis. Both
36 approaches led to the formation of stable organogels with storage moduli up to 10^6
37 Pa for high chitin concentrations (20 wt%). Three different types of surfactants were
38 used, namely phosphatidylcholine, enzymatically modified phosphatidylcholine and
39 sorbitan monostearate (Span 60). The choice of surfactant has a large influence on
40 the gel strength and the temperature sensitivity of the gels. With chitin nanocrystals,
41 at the presence of surfactants, larger gel strengths were observed for lower
42 concentrations (1-10 wt%), indicating more efficient packing of the particles. Gels
43 were stable even after addition of considerable amounts of water up to 25 wt%. The
44 increase in gel strength at the presence of water (storage modulus) was most likely
45 an effect of the water absorption ability of chitin that increased the effective volume
46 fraction of the fillers.

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53 **Keywords:** chitin, chitin nanocrystals, polymer organogelation, organogel, oleogel

54 1. Introduction

55 Polymer hydrogelation is a topic that has been thoroughly studied.¹ A polymer
56 hydrogel can be classified as a semi-solid material consisting of a solid polymer
57 network holding large amounts of water in the interstitial areas. The polymer network
58 imparts the visco-elastic behavior of the system, which provides resistance against
59 large deformation. According to the type of the three dimensional network, these
60 structures can be divided in two main classes; covalently cross-linked materials, and
61 those that are formed through physical interactions and entangled networks.^{2,3}
62 Although hydrogels are very common and their behaviour is well understood, their
63 counterpart, gels containing organic liquids (organogels or oleogels in the case of oil)
64 has always been of less interest. Despite not extensively studied, organogelation is a
65 topic with rapidly growing interest and is of high importance.^{4, 5} The term organogel
66 covers the gelation of organic liquids, using different types of network formation.
67 Similar to hydrogels, different network categories can be classified. Most organogels
68 known in literature are created via self-assembly of low molecular weight compounds
69 into fibrous networks.⁶ A lot of different compounds are known to result in network
70 formation (supramolecular gels) but a complete understanding of the relationship
71 between molecular architecture and organogel properties is still lacking.^{6,7} Examples
72 of organogelators include porphyrins,⁸ oligopeptides,⁹ amino acids,¹⁰ ureas,¹¹ fatty
73 alcohols,¹² lecithins^{13,14} and phytosterols.¹⁵ The physical nature of the intermolecular
74 interactions results in gels with special characteristics, like thermoreversibility and
75 chemical sensitivity; therefore they could have structural similarities with hydrogels.¹⁶
76 These characteristics, along with the diversity of nanostructures that can be created
77 with these compounds, makes molecular organogels excellent candidates for
78 numerous potential applications from drug-delivery,¹⁷ catalysis,¹⁸ biomimetics,¹⁹ oil
79 spills¹⁰ to foods.²⁰
80 Supramolecular assembly is the main mechanism of structuring organic liquids, but
81 they can also be structured by macromolecules (polymeric gels), which is the second

82 organogel category.²¹ These organogels are comprised of polymers that interact
83 through physical forces, such as hydrogen bonding, van der Waals and electrostatic
84 interactions.²² There are only a few studies focussing on the behaviour of polymer
85 organogels. These studies reveal that the quasi-solid nature is mainly defined by the
86 polymer-polymer and polymer-solvent interactions, which exist due to hydrogen
87 bonding and electrostatic interactions.²¹ Macromolecules most exhaustively studied
88 are cellulosic derivatives, with ethylcellulose (EC) being the one with the highest
89 functionality.^{21, 23} EC has been used as a gelator for various organic solvents, such
90 as monoesters, diesters and triglycerides.^{21, 24} Sanchez and co-workers showed that
91 EC can be used to create organogels and that by changing the molecular weight of
92 the polymer, the mechanical properties of the organogel could be altered.²⁴ In
93 different types of organic solvents, like monoester and diester phthalates, due to a
94 competition between polymer-polymer interactions and stronger polymer-solvent
95 interactions, the solvent acted as a bridge between EC chains.²⁵ Stronger phthalate-
96 EC interactions occurred due to electrostatic forces between the carbonyls (C=O) of
97 the solvent and the hydroxyl groups (OH) of the polymer, while intermolecular
98 ethylcellulose interactions occurred due to hydrogen bonding.²⁵ The properties of the
99 final EC organogel are to a great extent determined by the balance between the
100 polymer-polymer hydrogen bonding and solvent-polymer electrostatic interactions.
101 EC . Some examples of different non-aqueous solvents used are phthalates,²⁵
102 ethanol,²⁶ vegetable oils,^{21, 24} and propylene glycol diester of caprylic acid and capric
103 fatty acids.²⁷

104 Although EC is a usable organogelator, very few (other) biopolymers have been
105 identified to provide similar behavior. In this study, we show that the biopolymer chitin
106 also contains organogelating ability. Due to its biocompatible and biodegradable
107 nature, chitin has potential to be used in a wide range of bio-related applications.²⁸⁻³⁵
108 Chitin organogels with common edible lipid material (oils), could also be used as an
109 alternative for solid fat in many food-products or food-related applications.

110 Next to cellulose, chitin is the second most abundant organic material in nature,
111 existing in exoskeletons of crustaceans (such as crabs and shrimps),^{36,37} and each
112 year, roughly 10^{11} tons of chitin is produced, of which a large part is considered as
113 waste material.³⁸

114 Figure 1a illustrates the chemical structure of chitin, which is comprised of repeating
115 units of 2-acetamido-2-deoxy-D-glucopyranose (Figure 1b). Chitin is actually similar
116 to ethylcellulose, with the only difference being that chitin contains an acetamido
117 group in the C-2 position instead of a hydroxyl or ethyl ester groups in the case of
118 ethylcellulose. Chitin is a highly crystalline material with strong hydrogen bonding,
119 and is very difficult to be dissolved in aqueous systems. Along with its deacetylated
120 form (chitosan), chitin recently attracts an increasing amount of attention as the
121 inherent biological and physicochemical characteristics are better understood.³⁹

122 Chitin and its derivatives have already been proposed as thickeners of vegetable oil
123 for bio-lubricant applications, but chitin solely, has not been shown to provide a
124 strong and stable network.⁴⁰ In this work we studied mixtures of chitin with different
125 types of surfactants for gelation of triacylglycerides. We used commercial available
126 crude chitin and chitin nanocrystals that were formed after hydrolysis of chitin as
127 potential structural elements for network formation.

128

129 2. Experimental section

130 **Materials.** Practical grade chitin from shrimp shells (Lot#051M7013V) was obtained
131 from Sigma-Aldrich (Steinheim, Germany). Before any use, it was dried overnight at
132 50 °C. Refined sunflower oil was purchased from commercial sources. Sunflower oil
133 (triacylglyceride solvent) was stored over sodium sulphate (Na_2SO_4) to minimize the
134 presence of moisture. Oil-free soya lecithin (SOLEC™, FP30, >30%
135 phosphatidylcholine) was kindly provided by Solae (Le Grand-Saconnex,
136 Switzerland). Only the ethanol soluble fraction was used, which contained around 70
137 wt% of phosphatidylcholine (PC). Phospholipase D from *Streptomyces cromofuscus*,

138 SPAN 60, sodium hypochlorite solution (NaOCl) and acetic acid ($\geq 99.0\%$) were
139 purchased from Sigma-Aldrich (Steinheim, Germany). Potassium hydroxide pellets
140 (KOH) and hydrochloric acid (HCl, 38%) were obtained from Merck KGaA
141 (Darmstadt, Germany).

142

143 **Formation of chitin nanocrystals (ChN).** Chitin nanocrystals (ChN) were produced
144 based on a protocol described by Tzoumaki et. al.⁴¹ In the original protocol, the crude
145 chitin was first purified before use. However, EDX analysis (data not shown) showed
146 that the provided crude chitin already had a purity of $> 98\%$, and therefore the
147 purification steps were omitted. Chitin (40 g) was mixed and bleached with 700 mL
148 NaOCl solution (17 g NaOCl in 800 mL distilled water, adjusted to pH 4 with acetic
149 acid) for 2 hours at 80°C. The sample was heated on a heating plate under
150 continuous stirring. After 2 hours, the dispersion was cooled down to room
151 temperature and filtered using a glass fibre pre-filter and a membrane filter with a
152 pore size of 0.45 μm (OE67; Whatman) attached to a vacuum pump. The retentate
153 was dispersed in 700 mL 5% w/w KOH solution and stirred for 36 hours at room
154 temperature, to remove residual proteins. Afterwards, the dispersion was centrifuged
155 for 15 minutes at 3400 rpm (3500 g). The resulting pellet was collected and
156 redispersed in 1 L HCl (3N). This dispersion was boiled for 90 mins to hydrolyse the
157 chitin. Subsequently, the dispersion was diluted (1:2) with distilled water and
158 centrifuged at 4900 rpm (5000 g) for 15 minutes. The pellet was collected and
159 redispersed in distilled water and transferred into a dialysis membrane. Dialysis was
160 performed for a total of 12 hours with distilled water which was changed after 3 hours
161 for 4 times. After dialysis, the pH of the dispersion was adjusted to 3 with 1 N HCl.
162 The chitin nanocrystal dispersion was then mixed with lecithin in a chitin
163 nanocrystal:lecithin ratio of 2:1. Finally, the mixture was frizzed at $-32\text{ }^\circ\text{C}$ and
164 eventually freeze-dried at $-60\text{ }^\circ\text{C}$, at 0.011 mbar for 5 days to obtain dry chitin
165 powder.

166

167 **Lecithin modification with phospholipase D.** High phosphatidylcholine (PC)
168 content (70 wt%) lecithin was mixed with demi water in a ratio of 5:1 and 15 μL of
169 phospholipase D was added to enzymatically modify PC to phosphatidic acid. The
170 mixture was initially stirred with a spatula until being homogeneous and kept
171 overnight at room temperature. After the end of the reaction, the mixture was finally
172 frizzed at $-32\text{ }^{\circ}\text{C}$ and freeze-dried. at $-60\text{ }^{\circ}\text{C}$, at 0.011 mbar for 5 days to obtain dry
173 chitin powder.

174

175 **Chitin size analysis.** The particle size ($d_{3,2}$) of both crude chitin and ChN in
176 sunflower oil were determined by dynamic light scattering (DLS ZetasizerNanoZS,
177 Malvern Instruments Ltd, UK). Dispersions of crude chitin and ChN in sunflower oil
178 (0.01 wt%) were prepared by mixing with a high speed blender (IKA(R) Ultra-Turrax
179 T25, IKA Works, Inc. Malaysia) at 17500 rpm for 60 sec. All measurements were
180 performed in a disposable capillary cell at room temperature and each sample was
181 measured in triplicate to obtain an average value.

182

183 **Organogel preparation.** Mixing of specific amounts of freeze-dried
184 ChN/phosphatidylcholine or chitin/surfactants with water and oil (triglyceride solvent)
185 was initially performed with a high speed blender (IKA(R) Ultra-Turrax T25, IKA
186 Works, Inc. Malaysia) at 17500 rpm for 90 sec. Afterwards, the dispersions were
187 heated on a stirring plate for 30 minutes at $85\text{ }^{\circ}\text{C}$. The organogels were then cooled
188 down at room temperature and stored in the fridge at $4\text{ }^{\circ}\text{C}$. Organogels with a chitin
189 concentration of 2, 3, 5, 8, 10 or 20 wt% in the form of crude chitin or ChN were
190 made. Crude chitin samples were mixed with 5, 10 or 15 wt% of phosphatidylcholine
191 (PC), enzymatically modified phosphatidylcholine or Span 60 and ChN were always
192 present with 2:1 ratio with phosphatidylcholine (PC). Finally, 5 to 25 wt% of water

193 was added to the organogels containing 10 wt% ChN and 5 wt% PC or to 10 wt%
194 crude chitin and 5 wt% PC.

195

196 **Characterization of the gel structure**

197 **Cryo-Scanning Electron Microscopy (Cryo-SEM).** Organogels containing either 20
198 wt% of chitin and 10 wt% PC or ChN with 10% PC (ratio of 2:1) were prepared. Small
199 pieces of the gels were glued on a brass sample holder with carbon glue (Leit- C,
200 Neubauer Chemicalien, Germany), and subsequently frozen with liquid nitrogen. All
201 manipulations were carried out under liquid nitrogen level. The sample holder was
202 fitted in the transfer cryogenic Leica holder. The Leica sample holder was transferred
203 to a non-dedicated cryo-preparation system (MED 020/VCT 100, Leica, Vienna,
204 Austria) onto a sample stage at -93 °C. In this cryo-preparation chamber, the
205 samples were immediately freeze-dried for 23 minutes at -93 °C at $1,3 \times 10^{-6}$ mBar to
206 remove contaminating water vapor. The sample was then sputter coated with a layer
207 of 4 nm Tungsten at the same temperature. The samples were transferred cryo-
208 shielded into the field emission scanning microscope (Magellan 400, FEI, Eindhoven,
209 the Netherlands) onto the sample stage at -122 °C at 4×10^{-7} mBar. The analysis
210 was performed with SE at 2 kV, 13 pA. All images were recorded digitally.

211 **Confocal Laser Scanning Microscopy (CLSM).** CLSM images were obtained at
212 room temperature on a LEICA TCS SP5 Confocal Laser Scanning Microscope (Leica
213 Microsystems GmbH., Mannheim, Germany) equipped with an inverted microscope
214 (model Leica DMI6000), containing a set of four visible light lasers. The used
215 objectives were HC PL APO 10x/0.40 CS and HC PL APO 20x/0.70 IMM/CORR CS.
216 Digital image files were acquired in 1024x1024 pixel resolution. Samples were
217 carefully placed on a microscope slide and stained with Nile Blue.

218 **Transmission Electron Microscopy.** TEM analysis was performed on aqueous
219 suspensions of ChN (0.1 wt%) with a JEOL JEM-2000FX transmission microscope

220 operated at an acceleration voltage of 80 kV. One drop of the ChN suspension was
221 deposited on a carbon-coated copper grid and allowed to dry upon air.

222 **Rheological measurements.** Rheological characterisation of organogels was
223 performed with a stress-controlled rotational Physica MCR 300 rheometer (Physica
224 Messtechnik GmbH, Stuttgart, Germany) with a PP50-TEKP CF56 setup, using a 50
225 mm parallel plate configuration with 1.0 mm gap width. Temperature was regulated
226 by a Paar Physica circulating water bath and a Peltier system (TEZ 150P/MCR) with
227 an accuracy of ± 0.1 °C. The linear viscoelastic region was assessed by amplitude
228 sweep experiments at a constant frequency of 1 Hz. For all organogels a constant
229 deformation of $\gamma = 0.01$ was used, which was well within the linear viscoelastic region
230 for all samples. Small deformation oscillatory measurements were performed over a
231 frequency range of 0.01–100 Hz at 20 °C to obtain the storage and loss moduli as a
232 representative for the visco-elastic properties. To explore the behaviour of the oil
233 body emulsions upon heating, the samples were kept at 5 °C for 4 hours and then
234 heated from 5 to 90 °C at a scan rate of 3 °C/min. After remaining for 20 min at 90 °C
235 they were cooled back to 5 °C at the same scan rate.

236

237 **3. Discussion**

238 **Chitin and ChN.** Commercially available chitin is a white powder that is comprised of
239 the polysaccharide in an aggregated state. The structure of the dry powder is
240 visualized by cryo-SEM images as illustrated in Figure 2a. Here, it can be clearly
241 seen that the chitin aggregates have an average diameter of roughly 100 ± 50 μm . As
242 we use chitin as a structural element for organogelation, we also determined their
243 aggregated size with dynamic light scattering. When present in sunflower oil in the
244 dilute regime (0.01 wt%), the average size was found to be around 70 μm , similar to
245 the size found with cryo-SEM. This shows that both in dry state and hydrophobic
246 environments, crude chitin is aggregated to a large extent. The degree of
247 aggregation plays an important role in the network formation and subsequent

248 behaviour of chitin organogels, since the number of contact points (junction zones)
249 between the structural elements determines the efficiency of the network formation
250 and the final gel characteristics. Large aggregation minimizes the amount of contact
251 point and should therefore be avoided. At larger magnification (Figure 2b), it can be
252 seen that the aggregates are comprised of fibres that are around 10 nm in width and
253 several hundred nanometres in length. To decrease the chitin aggregation and
254 investigate the effect of size and shape of the chitin structural elements on the
255 network formation and organogelation ability, we have also used their hydrolyzed
256 product as a potential oil gelator. Acid hydrolysis of purified chitin leads to the
257 formation of smaller ChN which a length ranging from 200 to 500 nm and their
258 transverse dimensions are about 10 to 15 nm.^{42,43} The formed ChN in an aqueous
259 suspension are illustrated in Figure 2c, which shows that aggregation is minimized.
260 This size is significantly smaller than the aggregate size of the crude chitin. Due to
261 the change in size and shape, different network is formed. According to literature,
262 when chitin nanocrystals are dispersed in water, a nematic ordering occurs under
263 specific conditions and at specific concentrations of the dispersions, inducing network
264 and gel formation.⁴¹ Roughly 3.6 wt% of ChN is required to form a hydrogel.

265 **Organogels formed with crude chitin, crude chitin/PC and chitin**
266 **nanocrystals/PC.** Addition of crude chitin to sunflower oil resulted initially in a very
267 viscous dispersion, which appeared to be an organogel. However, these organogels
268 were very unstable, since the chitin aggregates started to precipitate a couple of days
269 later, which is visualized in Figure 3a. This behavior is similar to the results found by
270 Sánchez et. al.,⁴⁰ who, amongst others, studied the potential of chitin to form chitin-
271 based organogels in soybean and castor oil. Despite the fact that they did not
272 specifically study the physical stability after storage, they observed a phenomenon
273 called “oil bleeding”, indicating that crude chitin is not able to form a stable network
274 that can hold substantial amounts of oil.

275 The sedimentation of crude chitin in sunflower oil could be attributed to the fact that
276 the chitin is extensively aggregated, which induces fast sedimentation and prevents
277 network formation. Although there might be weak inter-particle interactions, like
278 dipole-dipole and hydrogen bonding, these were not strong enough to create a stable
279 system. To decrease aggregate formation, we have added different surfactants to
280 improve the stability of the dispersions. As the surfactants have an amphiphilic
281 nature, they can interact with hydrophilic sites on the surface of the chitin, while
282 leaving their hydrophobic sites in the continuous oil phase. In this way, the
283 dispersability of the chitin and the stability of the chitin dispersion could be improved
284 since hydrophilic chitin-chitin interactions leading to extensive aggregation can be
285 minimized. Additionally, the surfactants also act as a plasticizer. The general function
286 of plasticizers is to reduce friction between polymers and reduce rigidity in polymers,
287 thereby altering the mechanical properties of the system.⁴⁴ Minimization of inter-
288 polymer interactions through the addition of a compatible surfactant plasticizer could
289 also lead to the delay of the gelation process, which can be a desirable effect.⁴⁵ The
290 effect of the addition of such surface active agents as plasticizers has been
291 thoroughly investigated in the case of dispersions of ethylcellulose in vegetable
292 oils.⁴⁵ A number of different non-ionic hydrophobic surfactants were added to the
293 ethylcellulose-oil mixture such as Span 60, Span 80, Glyceryl monooleate (GMO),
294 Glyceryl monostearate (GMS) and Polyglyceryl ester of lauric acid – polyglyceryl
295 laurate (PGPL). The results showed that the addition of the surfactants can tailor the
296 organogels for any desired applications. In this research, the dispersibility of crude
297 chitin in sunflower oil was enhanced by the addition of either a non-ionic surfactant
298 (SPAN 60), a zwitter-ionic surfactant (Phosphatidylcholine, PC)⁴⁶ or an anionic,
299 enzymatically modified PC. The enzyme (Phospholipase D) catalyzes the hydrolysis
300 of PC to form a phosphatidic acid and a choline group (Figure 4).^{47, 48} As can be seen
301 in Figure 4, unmodified PC is electrically neutral at a pH of 7, but its enzymatically
302 modified derivative is negatively charged due to the phosphate group. Besides the

303 difference in charge, due to the removal of the hydrophilic choline group, the
304 hydrophobicity of the modified derivative is expected to be higher than the
305 hydrophobicity of unmodified PC. It is therefore hypothesized that modified PC is
306 more flexible to interact through hydrophilic forces with chitin and at the same time
307 has probably more affinity to interact through hydrophobic forces with the solvent and
308 hence, be a more effective plasticizer.

309 As expected, addition of different surfactants to crude chitin dispersions increased
310 the organogel stability. Figure 3b shows an example of the dispersion with crude
311 chitin and PC (2:1). As can be seen, a stable quasi-solid material was formed upon
312 cooling. This indicates that with the use of surfactants, crude chitin is a good
313 candidate for organogelation.

314 The differences between organogels made with crude chitin and PC or ChN and PC
315 were first studied by a cryo-Scanning Electron Microscope (Figure 5) to gain insight
316 in their microstructure. Figures 5 a-c illustrate organogels with crude chitin and PC
317 (2:1), while Figures 5 d-f, illustrate organogels with ChN and PC (2:1). The
318 appearance of all gels is found to be very different from the images of the crude chitin
319 powder (Figure 2a,b). All images in Figure 5 show that these large chitin particles of
320 the dry chitin powder are now absent, which confirms the hypothesis that surfactants
321 would aid the dispersibility of the polysaccharide. Apart from the apparent differences
322 of the dry chitin powder with the dispersed chitin, there are also significant
323 differences between the two type of organogels. At first sight, the main difference is
324 the apparent roughness of the surface of the gel containing crude chitin and PC
325 (Figure 5 a-c) compared to the smoothness of the surface of the gels with ChN and
326 PC (Figure 5 d-f). Within all the images, there are features that can be assigned to
327 the strands, which is due to network formation of the chitin. However, the strands in
328 gels with crude chitin seem to be less organized than the strands in gels with ChN.
329 When PC and chitin are combined in a hydrophobic solvent (triglycerides), they
330 initially interact through hydrophilic interactions. It is likely that strong hydrogen bonds

331 are further formed or weaker dipole-dipole interactions occur to strength the
332 interactions. Due to these interactions, PC molecules are probable anchored on the
333 chitin strands and their fatty acid chains create a lipophilic surface that penetrates
334 into the more hydrophobic solvent. Similar results were also obtained when
335 ethylcellulose was combined with small molecular weight amphiphilic compounds.⁴⁵
336 The lipophilic coverage yields to higher flexibility of the polysaccharide molecules and
337 subsequently their ordered arrangement and eventually solidification of the system.⁴⁹⁻
338 ⁵¹ The length of the strands probably plays an important role in defining their flexibility
339 and their ability to form ordered morphologies. The effect of the length was further
340 investigated by creating Chitin nanocrystals (ChN). By hydrolyzing crude chitin to
341 nanocrystals, the length of the strands is decreased and the nanocrystals behave
342 more as stiff particles instead of flexible strands. After creating the ChN, the
343 dispersion was freeze dried before the ChN powder was redispersed in oil. To
344 prevent aggregation of ChN during the drying step, the aqueous dispersion was first
345 mixed with PC in a 2:1 nanocrystal to surfactant ratio (ChN/PC). The systems
346 containing ChN therefore always contain PC at the same concentrations, and the
347 type and the amount of surfactant was not varied. Addition of this compound in the
348 hydrophobic triglycerides solvent resulted in the formation of homogeneous and very
349 stable semi-solid material. These organogels showed less aggregation and as can be
350 observed at the images of Figure 5, they had a smoother and more homogeneous
351 surface than those containing the crude chitin and PC with the same composition. To
352 visualize the network within these ChN organogels and compare these to the
353 organogels of the crude chitin, confocal laser scanning microscope (CLSM) was
354 used. Figure 6 shows the images of these organogels, for which Figure 6a
355 represents the organogels with crude chitin and PC and Figure 6b the organogels
356 with ChN and PC. From the results of the confocal microscopy it can be concluded
357 that the crude chitin strands interact to form more ordered morphologies that are
358 bridged with long chains. Subsequently, these ordered and connected chitin strands

359 interact to form a coarser network with large voids in which oil is entrapped (Figures
360 6a). On the other hand, in the case of the chitin nanocrystals (ChN/PC) (Figure 6b),
361 the chitin is dispersed more homogeneously compared to crude chitin, but there are
362 also regions where extensive aggregation can be seen. These aggregates are
363 probably assemblies of the nanocrystals that also occur when ChN are in an
364 aqueous environment.⁴¹

365

366 **Properties of the chitin organogels.**

367 *Rheological characteristics.* As discussed in the previous section, the properties of
368 the organogel can be changed by altering the length and the flexibility of the chitin
369 strands, in combination with the interactions between the chitin strands. In our case,
370 the interactions between the chitin strands and the surfactants were partly changed
371 by heating the sample to 85 °C. Although this temperature is insufficient to induce a
372 glass to rubber transition, it does change the structure of chitin to such extent that
373 certain interactions are altered. According to literature,⁵¹ at temperatures above 70
374 °C, some local changes occur in chitin strands that in our case led to less polymer-
375 polymer and more polymer-solvent or polymer-surfactant interactions.

376 The interactions between the chitin strands were also altered by using three different
377 types of surfactants (PC, modified PC and Span 60) and were added at 3 different
378 concentrations, while the added amount of chitin was fixed at 20 wt%.

379 Figure 7 shows that the systems with 20 wt% crude chitin and 10 wt% PC exhibited a
380 gel-like behaviour as the values of G' exceeded those of G'' at the frequency range
381 explored, while both moduli exhibited a weak frequency dependence. The rheological
382 data (storage modulus G') of gels with different type and amounts of surfactants are
383 shown in Figure 8. More detailed information on strain sweeps can be found as
384 supporting information. As it can be observed, the concentration of the added
385 surfactant plays an important role. When a low amount (5%) of surfactant was added
386 (in a 4:1 chitin:surfactant ratio), stable organogels were formed, but they behaved

387 more like viscous liquids ($\tan\delta\sim 1$). As can be seen, increasing surfactant
388 concentration enhanced the gel strength of the gels. Apparently, the presence of the
389 surfactants limited chitin aggregation to such extent that they were more able to
390 participate in the formation of a stable network. Also the nature of the surfactant has
391 an effect on the gel strength of the systems. Span 60 leads to gels with the larger gel
392 strength (G'), while addition of PC leads to gels with the lowest gel strength. This
393 may be explained by the hydrophobicity of the surfactant and the subsequent
394 interactions with the hydrophobic environment. Surfactants are anchored through
395 their hydrophilic head onto the chitin^{53, 54} and their hydrophobicity determines the
396 interaction of the polymer/surfactant complex with the triglycerides of the solvent.
397 Modified PC⁴⁷ and Span 60⁵⁵ are more hydrophobic than PC, which apparently led to
398 a better dispersibility in the hydrophobic medium, and therefore higher gel strength.
399 Additionally, the charge density on the modified lecithin could also have an effect.
400 Modified lecithin has a negative charge due to the phosphate group. As chitin is
401 known to have a positive charge at a wide pH range,⁵⁶ the electrostatic interactions
402 may have led to a stronger attraction between the chitin and the surfactant. This may
403 partly explain the difference with the unmodified PC, in which the lack of the negative
404 group does not provide this high electrostatic attraction. Higher surfactant
405 concentrations led to even higher G' values, which is an effect of the better coverage
406 of chitin with surfactant molecules and thus higher overall hydrophobicity of the
407 chitin/surfactant complex and better dispersibility. These results show that the gel
408 strength can be modified by the concentration of the components and the type of
409 surfactants used. Free surfactants may also be responsible for the gel formation due
410 to formation of larger structures such as micelles. However, according to our findings,
411 at these concentrations the used surfactants are not able to form a network.

412 *Temperature sensitivity.* In order to use organogels for different types of applications,
413 their response to temperature (or melting profile) is of interest. Therefore, gel
414 strength (G') as a function of temperature in a range of 10 to 90°C was examined. As

415 can be seen in Figure 9, the formed organogels have a different thermal response.
416 Organogels made with crude chitin and PC or Span 60 were temperature sensitive,
417 in contrast to organogels with modified PC where no changes occurred up to 100 °C.
418 These data point out that the type of surfactant plays an important role in the “melting
419 behaviour” of the gels. As it can be seen, the strength of the gels decreases with
420 increasing temperature, probably due to rearrangements within the samples. Upon
421 an increase in temperature, the mobility of the hydrophobic triacylglycerides
422 increases and changes the interaction between the different components within the
423 system. Increased temperature may enhance the mobility of the surfactant-covered
424 chitin within the system, and therefore an enhanced lubrication between the particles
425 may decrease the gel strength. It may also increase the solubility of the surfactants in
426 the oil and thereby less coverage of the chitin strands due to desorption of the
427 surfactants from the chitin surface. A decrease in gel strength is observed for both
428 PC and Span 60 during heat treatment (Figure 9). During the subsequent cooling
429 step, the mobility was lowered and intermolecular bonds reformed, but were less
430 strong than in the initial organogel. As a result, a lower storage modulus was found.
431 Apparently, the components in the system did not have time to restructure into a
432 similar network. The fact that the samples with modified PC show less temperature
433 dependency, indicates that these samples are more robust. As mentioned previously,
434 modified PC might be more flexible molecule with a smaller hydrophilic head, and it
435 might interact stronger with the chitin molecules due to enhanced electrostatic
436 interactions. The enhanced interactions between the surfactant and the chitin might
437 prevent desorption of the surfactants from the chitin , thereby making the samples
438 less sensitive to temperature. In this way, the increased mobility of the surrounding
439 triacylglycerides does not affect the rheological behavior of the system to a large
440 extent.

441 *Stability after addition of water.* It would be beneficial to create organogel networks
442 that immobilizes the liquid solvent and is stable in polar environments.⁵⁸. Water

443 migration and low stability of organogels in aqueous environments is up to now a
444 problem with no efficient or practical solution.^{20, 57} Different strategies have been
445 applied to limit solvent migration,⁵⁷ but until now very few organogels are available
446 that can resist aqueous environments. As the network formation of oleogelators is
447 often a result of hydrophilic interaction, addition of water often leads to collapse of the
448 network. We have investigated the stability of crude chitin/PC (2:1) organogels by
449 addition of different amounts of water. For gels with a high concentration of chitin (20
450 wt%), the gels become very stiff and difficult to handle, so only the results of a lower
451 chitin concentration of 10 wt% will be discussed. Upon addition of water, the
452 organogels become harder but they were stable (by visual observation), even upon
453 addition of 25 wt% of water. As shown in Figure 10, the more water added, the
454 stronger the organogels becomes. At water concentrations of 30 wt% or above,
455 systems showed lower stability and phase separation occurred. An interesting
456 observation was that at high water concentrations (>30 wt%) only water leaked out,
457 while the triacylglycerides were still entrapped in the chitin/PC network. These results
458 indicate that a certain amount of water can be incorporated within the network
459 without losing its stability. However, it remained unclear where the water was located
460 in the system. It is possible that water acts as a plasticizer, weakens the polymer-
461 polymer interactions and yields to higher dispersibility.

462 As ChN have been shown to be capable to form stable oil-in-water emulsions,⁵⁹ our
463 initial thought was that a water-in-oil emulsion may had been formed. Therefore, we
464 performed CLSM on different water-containing systems to get an insight in the
465 distribution of the water. The results are given in Figure 11, for systems containing 5
466 wt% and 15 wt% of water. As is illustrated in Figure 11, the water, that appears with
467 green color, seems to be mainly located within the chitin, where somewhat non-
468 spherical areas can be observed. The water does not seem to be present as
469 separate spherical water droplets stabilized by chitin at the oil water interface. The
470 excess of hydrophilic water seems to be forced within the chitin and for both the

471 crude chitin and the nanocrystals (Figure 11a,c), this absorption of water is observed.
472 Upon such water absorption, swelling of the chitin clusters would occur. Swelling of
473 the chitin gelators would increase the effective volume fraction, and therefore a
474 stronger network can be formed, as observed in the enhanced gel strength (Figure
475 10).

476 Besides the effect of water absorption of the chitin, the water could also be located
477 near the hydrophilic regions of the surfactants. For most amphiphilic molecules, when
478 they are present in organic solvents, the presence of water could lead to structural
479 organization into lamellar, cylindrical or cubic phases, that can lead to a network
480 formation and subsequently to solid-like materials.⁶⁰ Such network formations have
481 been also observed for lecithin. According to literature,⁶¹ when lecithin is combined
482 with water in organic solvents liquid crystals that influence the overall viscosity are
483 formed. The phase behavior is mainly determined by the concentration of lecithin and
484 the lecithin to water molar ratio. In some cases,⁶¹ above 25 wt% of lecithin is required
485 (at a ratio with water above 0.5) to form homogeneous organogels.⁶² Below this
486 concentration and molar ratio a diluted solution of inverse spherical micelles is
487 formed.⁶³ In the case of the chitin/surfactant organogels, the surfactant concentration
488 in the oil phase, never exceeded the 20 wt%. According to literature,⁶¹ at this
489 relatively low concentrations, the formed inverse spherical micelles cannot overlap to
490 form a three-dimensional network,⁶⁴ so, we assume that the presence of surfactant
491 had probably minor influence on the gel strength of chitin organogels. Furthermore,
492 since in the current research not lecithin, but purified phosphatidylcholine was used,
493 we experimentally tested the influence of this particular lecithin fraction. Indeed,
494 according to our findings more than 25 wt% of PC is required to form a gel-like
495 structure.¹⁴ Additionally, at PC to water ratios above 2, PC molecules precipitated and
496 severe phase separation occurred. These experimental results exclude the possibility
497 of the formation of an extended network due to PC and hence significantly influence
498 on the rheological behavior of the organogels.

499 Addition of greater amounts of water (15 wt%, Figures 11b,d) led to even more
500 swelling of the chitin assemblies and the formation of a more jammed system.
501 Besides the increased volume fraction, due to the addition of water, polymers can
502 interact with each other through hydrogen bonding. Thereby, the added water
503 molecules enhance the polymer-polymer interactions of the network elements which
504 may enhance the strength of the network. As it was found, the polymer-surfactant
505 complex could hold only a limited amount of water, the water is expelled from the
506 chitin and results in phase separation of the system. The maximum amount of water
507 that could be absorbed was found to be similar for both the chitin/PC and the
508 ChN/PC systems and was found to be 25 wt%, indicating an absorption capacity of
509 roughly 2.5.

510 *Behavior at low gelator concentrations.* To gain understanding on the network
511 formation, we measured the storage modulus as a function of the gelator
512 concentration, as depicted in Figure 12. The lowest amount of chitin used was 2.0
513 wt%, at a 2:1 ratio with PC, as this was the concentration needed to create a semi-
514 solid system ($\tan\delta < 1$). The results show that the storage modulus increases with the
515 chitin concentration in a non-linear fashion. As is shown in the same graph, the
516 behavior depends on the state of the chitin. ChN always have a higher storage
517 modulus than for the same concentration of crude chitin. At lower chitin
518 concentrations, the increase is roughly one order of magnitude. This is likely to be a
519 result of their size and rod-like shape. As they are much smaller and less flexible,
520 they are apparently more efficient to form a network

521

522 **4. Conclusions**

523 The aim of this study was to investigate the potential of chitin to form polymeric
524 organogels in a solvent comprised of triglycerides. When crude chitin was dispersed
525 in sunflower oil, the structural elements were not able to form a stable network due to
526 extensive aggregation. This aggregation was diminished by the addition of

527 surfactants, such as span 60, PC and modified PC. This led to the formation of stable
528 organogels, for which the organogels were characterized by a storage modulus
529 between 10^2 and 10^6 Pa. The temperature sensitivity depends on the type of
530 surfactant used. Organogels with PC and Span 60 showed structural changes during
531 heating, while the ones with modified PC did not exhibit any change in G' up to 90°C
532 Treating the chitin with an acid hydrolysis step, changed the long and flexible chitin
533 strands into more rigid and shorter ChN of roughly 200-500 nm. Using ChN led to
534 smoother gels and an increase in the storage modulus of 1 order of magnitude
535 compared to crude chitin. The organogels were also investigated for their solvent
536 migration and stability in aqueous environments. Even upon addition of large
537 amounts of water (up to 25 wt%), the organogels were stable, and even increased 10
538 times in gel strength compared to 5 wt% water. The results showed that water is
539 most likely absorbed by the chitin, and the maximum amount of water that can be
540 added depends on the amount of chitin present and its absorption capacity.
541 Furthermore, water can also act as plasticizer and weaken the polymer-polymer
542 interactions. This work gives information on new biocompatible and biodegradable
543 polymers that can form polymeric organogels. It may be used as a novel polymeric
544 structural agent that may provide opportunities to design materials in the field of
545 organogelation.

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5. Literature

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723 **Legends to figures.**

724 **Figure 1.**

725 Molecular structure of chitin and its repeating unit.

726 **Figure 2.**

727 Cryo-SEM (a,b) and TEM (c) images of crude chitin powder (a,b) and chitin
728 nanocrystals dispersed in water (c).

729 **Figure 3.**

730 Digital images of systems with crude chitin (a) and chitin/PC (2:1) (b) in purified
731 sunflower oil (Chitin concentration was 20 wt%).

732 **Figure 4.**

733 Schematic overview of the enzymatic hydrolysis of phosphatidylcholine (PC) by
734 phospholipase D.

735 **Figure 5.**

736 Cryo-SEM images of purified sunflower oil organogel surface with chitin/PC (Ch/PC,
737 2:1) (a,b,c) and chitin nanocrystals/PC (2:1) (d,e,f) (Chitin or ChN concentration was
738 20 wt%).

739 **Figure 6.**

740 CLSM images of purified sunflower oil organogels with Chitin/PC (2:1) (a) and chitin
741 nanocrystals/PC (2:1) (b) (Chitin or ChN concentration was 20 wt%).

742 **Figure 7.**

743 Mechanical spectra (20 °C, $\gamma = 0.01$) of purified sunflower oil organogels with
744 Chitin/PC (2:1) (Chitin concentration was 20 wt%) (G' , ●, G'' , ○).

745 **Figure 8.**

746 Storage moduli (20 °C, $\gamma=0.01$) of 20 wt% chitin in purified sunflower oil organogels
747 containing different amounts and different types of surfactants.

748
749 **Figure 9.**

750 Temperature sweeps of 20 wt% chitin organogels with PC (Heating, ▲, Cooling, Δ)
751 modified PC (Heating, ■, Cooling, □) and Span 60 (Heating, ●, Cooling, ○) at 2:1 ratio in
752 purified sunflower oil.

753 **Figure 10.**

754 Dependence of storage modulus on water concentration of Chitin/PC (2:1) (●) and
755 Chitin nanocrystals/PC (2:1) (○) organogels in sunflower oil (Chitin or ChN
756 concentration was 10 wt%).

757 **Figure 11.**

758 CLSM images of purified sunflower oil organogels with chitin/PC (2:1) and 5 wt% of
759 water (a) chitin/PC (2:1) and 15 wt% of water (b) chitin nanocrystals/PC (2:1) and 5
760 wt% of water (c) and Chitin Nanocrystals/PC (2:1) and 15 wt% of water (d) (Chitin or
761 ChN concentration was 20 wt%).

762 **Figure 12.**

763 Dependence of storage modulus of purified sunflower oil organogels on chitin
764 concentration (Ch/PC, 2:1,○, and ChN/PC, 2:1,●).

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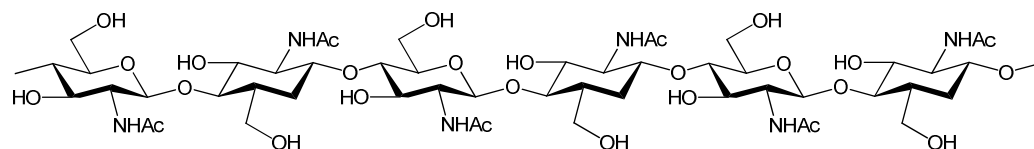
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769 **Figure 1.**

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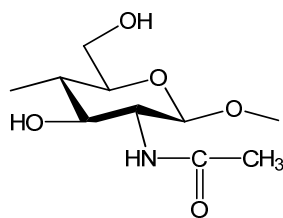
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Chemical structure of chitin

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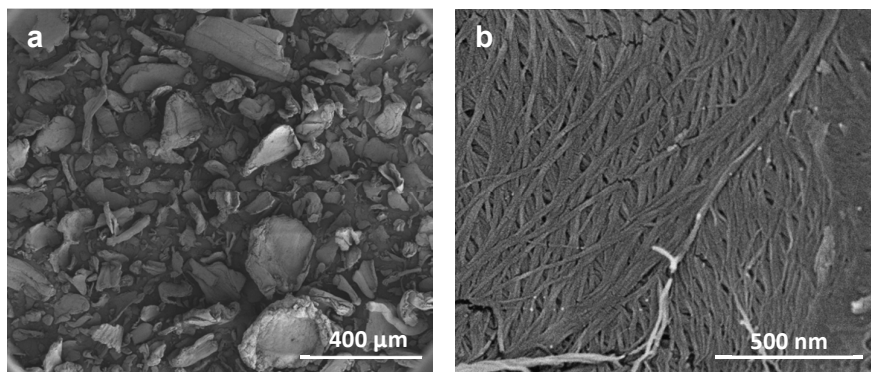
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Repeating unit of chitin

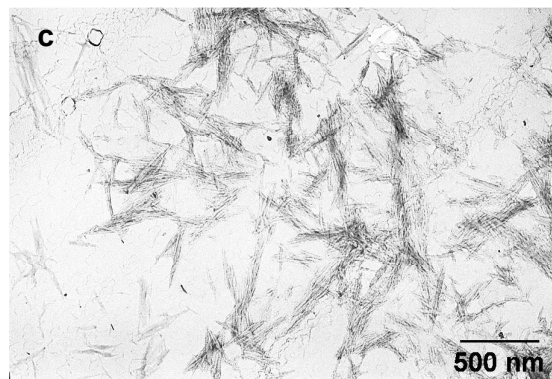
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781 **Figure 2.**

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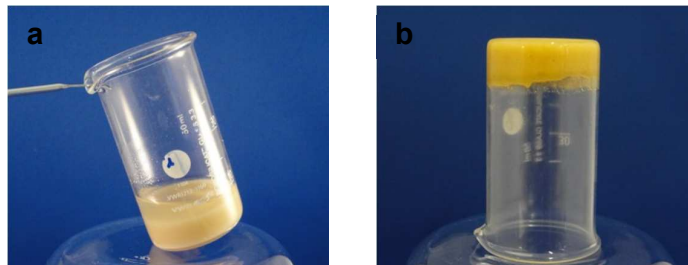
788 **Figure 3.**

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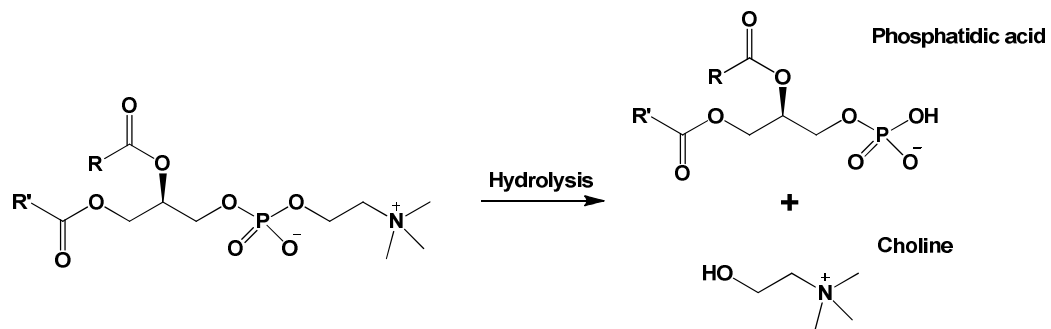
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796 **Figure 4.**

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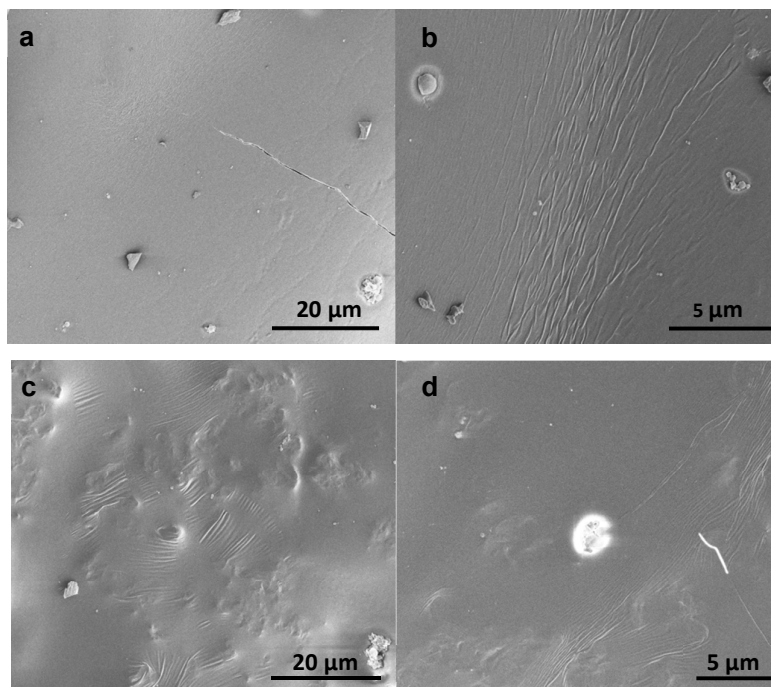
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804 **Figure 5.**

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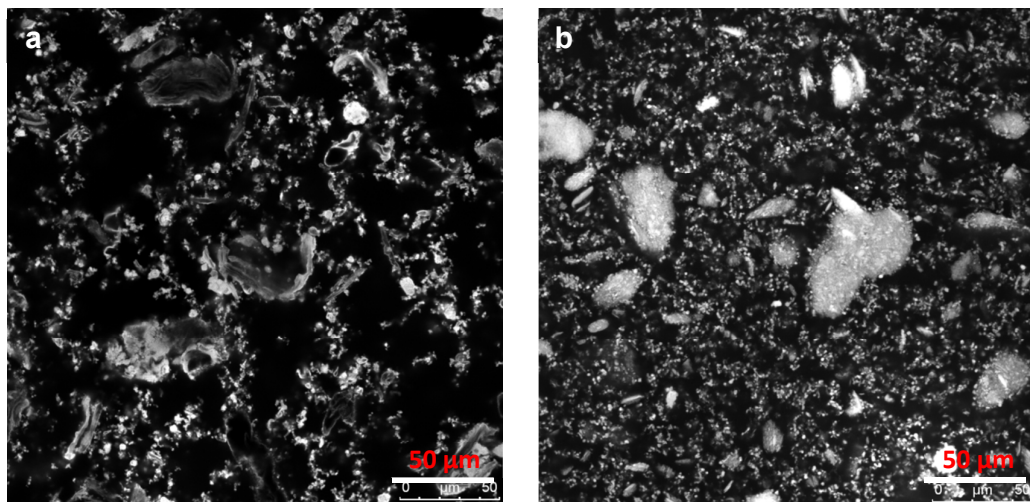
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817 **Figure 6.**

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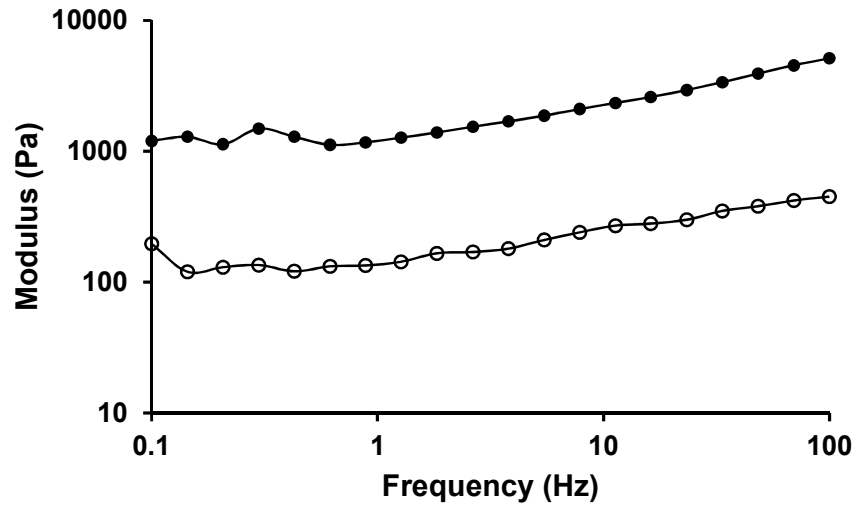
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824 **Figure 7.**

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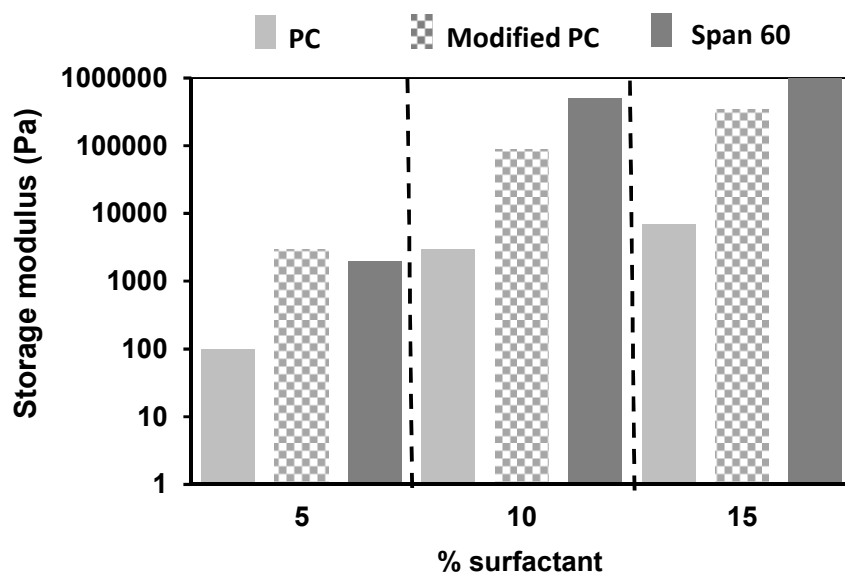
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830 **Figure 8.**

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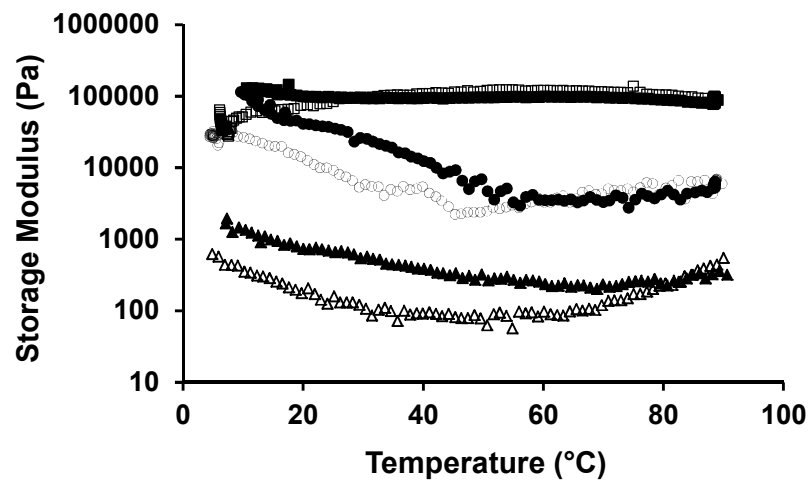
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837 **Figure 9.**

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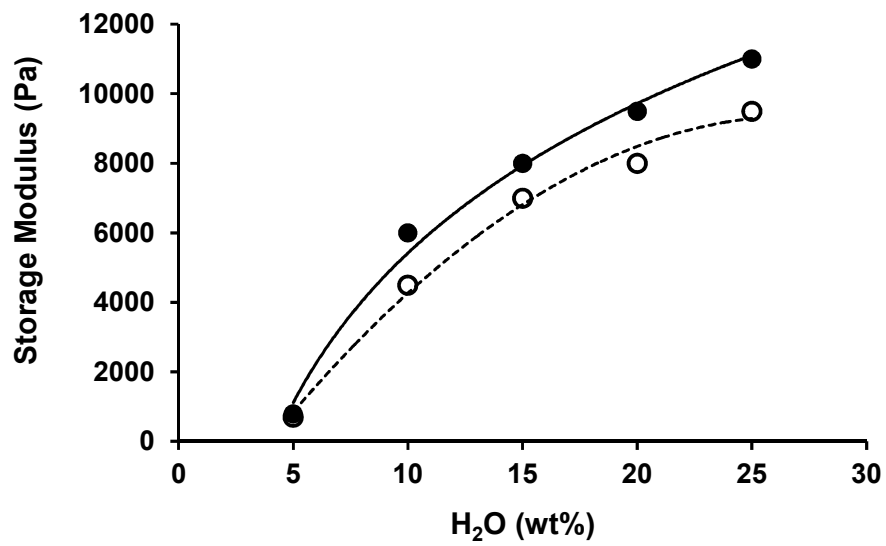
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844 **Figure 10.**

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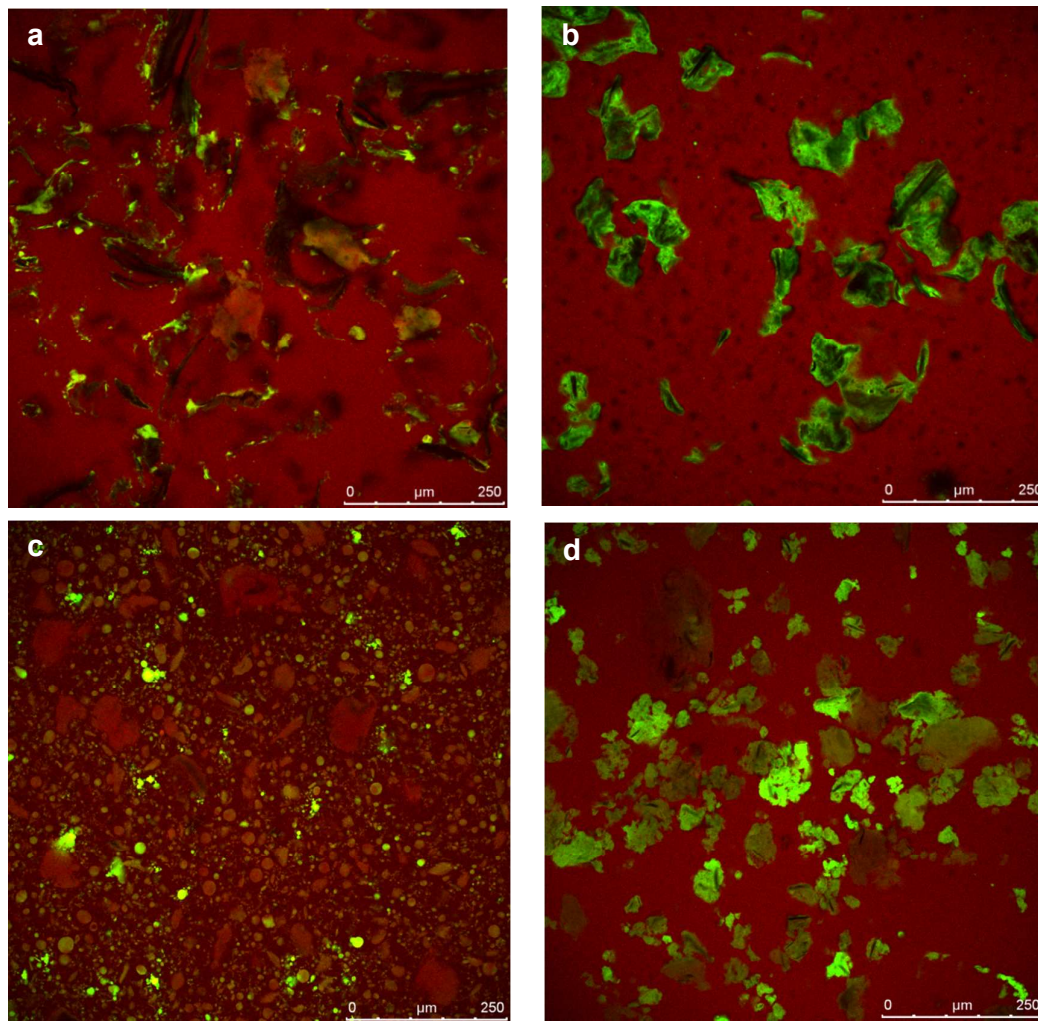
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849 **Figure 11.**

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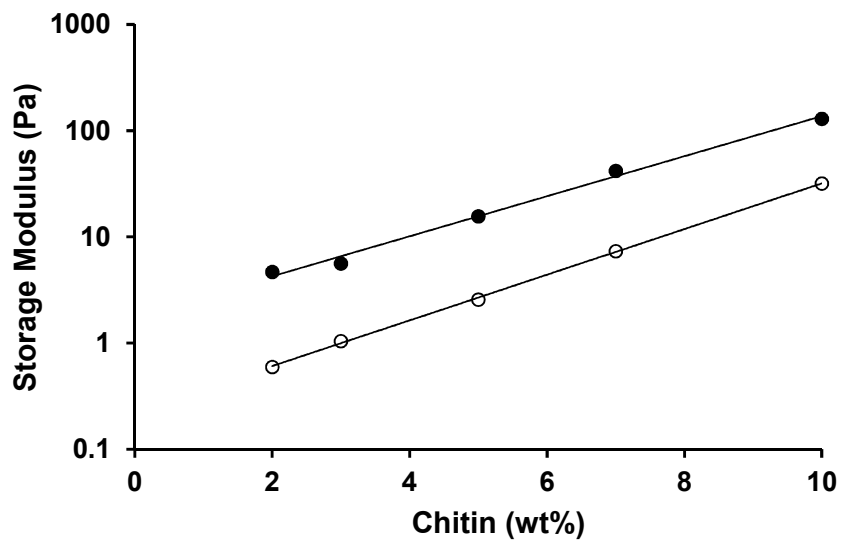
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857 **Figure 12.**

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