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## Enhanced thermoelectric performance of layered SnS crystals: the synergetic effect of temperature and carrier concentration

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### ABSTRACT

We present a detailed theoretical study of the SnS compound, which has not been investigated in depth to date, concerning its crystal structure, electronic structure and thermoelectric property. The results of this study show that pure SnS is not a good thermoelectric material but that its  $ZT$  can be increased by adjusting both the temperature and carrier concentration. Further, the optimal temperatures and carrier concentrations for producing the peak  $ZT$  are identified. The peak  $ZT$  is always below unity in the low-temperature  $Pnma$  phase; conversely, when the crystal undergoes a displacive phase transition at 878 K, the peak  $ZT$  is enhanced to  $1.61\pm 0.02$  at 1080 K. Additionally, the average  $ZT$  in the  $Cmcm$  phase (e.g., approximately 1.3) is significantly higher than that in the  $Pnma$  phase (e.g.,  $0.31\pm 0.05$ ). Therefore, the optimally doped SnS material may be highly efficient in its thermal-to-electrical energy conversion at high temperatures. We attribute the remarkable high  $ZT$  of doped SnS to the high sensitivity of the electrical conductivity to the carrier concentration. The results of this study describe a simple

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and viable strategy to optimize the  $ZT$  value of the SnS compound using the synergetic tuning of temperature and carrier concentration.

**Keywords:** Thermoelectric material; SnS; synergetic tuning; theoretical study

## 1 1. Introduction

2 Thermoelectric (TE) materials effectively convert heat and electrical energy. To address the  
3 current energy challenges and environmental crisis, TE materials have recently attracted  
4 increasing interest because they can provide a promising way to collect waste heat energy  
5 renewably within industrial processes. The quality of TE materials is usually evaluated by the  
6 dimensionless thermoelectric figure of merit  $ZT$  ( $ZT = S^2\sigma T/\kappa$ , where  $S$ ,  $\sigma$ ,  $T$ , and  $\kappa$  are the  
7 Seebeck coefficient, the electrical conductivity, the absolute temperature, and the thermal  
8 conductivity, respectively). Thus, a high  $S$  and  $\sigma$  and a low  $\kappa$  are favourable for the materials  
9 used as TEs. There is no known upper bound on  $ZT$ , but materials that have  $ZT$  values higher  
10 than unity are rare.<sup>1</sup>

11  $\text{Bi}_2\text{Te}_3$  and  $\text{PbTe}$  are widely used as TE materials and have  $ZT \sim 1$ . New materials related to  
12  $\text{PbTe}$  such as  $\text{PbTe}$  with embedded  $\text{SrTe}$  nanoparticles give very high values of  $ZT (> 2)$ <sup>2</sup> but are  
13 not widely used TEs. However, both of these materials face similar challenges due to high costs  
14 and the use of toxic elements, particularly lead and tellurium. The price of  $\text{Te}$  is above 100  
15 dollars per pound and, accordingly, is in limited supply. Conversely,  $\text{Pb}$  is harmful to human  
16 health, and the European Union has regulated its usage in electronic devices. Therefore,  
17 low-cost, Earth-abundant and environmentally friendly TE materials are in critical demand for  
18 thermal energy conversion. It is necessary to develop high-performance and  $\text{Pb}$ - and  $\text{Te}$ -free  
19 materials for these applications.

1 Tin monoselenide SnSe, one of the layered IV-VI semiconductors, has been recently  
2 reported as an outstanding TE material because of its ultralow lattice thermal conductivity,  
3 particularly when it is arranged in layered slabs. Experimental measurements of a SnSe single  
4 crystal point to a record  $ZT$  of 2.6 at 923 K.<sup>3</sup> These striking results highlight a strategy to  
5 discover high-performance TE materials with layered, anisotropic and anharmonic structures,  
6 which might lead to exceptionally low lattice thermal conductivities. From this perspective, it  
7 seemed worthwhile to study SnSe-type material.<sup>4-6</sup> However, to date, the compound SnS has  
8 attracted relatively little attention as a valuable TE system; this may be due to the smaller  
9 Seebeck coefficient and higher thermal conductivity that are expected from the lighter SnS  
10 compared with SnSe. However, the lattice thermal conductivity of SnS is only  $1.7 \text{ W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$  at  
11 300 K,<sup>7</sup> which is comparable to that of the TE systems that are widely studied (e.g.,  $\text{Bi}_2\text{Te}_3$ <sup>8</sup> and  
12  $\text{NaCo}_2\text{O}_4$ <sup>9</sup>) and lower than that of the  $\text{CoSb}_3$ <sup>10, 11</sup> system. Another advantage of the SnS  
13 compound lies in its Earth-abundant constituent elements (Sn and S); both are also free of any  
14 health and environmental hazards.

15 Most previous studies have investigated the optical properties of SnS,<sup>12-14</sup> but it has not  
16 typically been studied as a thermoelectric material.<sup>15-20</sup> Further, most reports have focused on  
17 the properties around or below room temperature. Recently, Tan *et al.*<sup>19</sup> found that the  $ZT$  value  
18 for pure SnS was 0.16 at 823 K and that the thermal conductivity ( $\kappa$ ) decreased when the  
19 temperature rose, which suggested that a larger  $ZT$  value could be achieved at higher  
20 temperatures. More importantly, as the temperature increased further, SnS was observed to

1 experience a *second-order* phase transition near 878 K where the structure converted from  
2 *Pnma* to *Cmcm* symmetry.<sup>21, 22</sup> During this phase transition, the changes involved in the atomic  
3 configuration should acutely change the sample density, which could result in enhanced phonon  
4 scattering to optimize the thermal conductivity. Additionally, SnS experiences changes in its  
5 electronic structure. To date, however, much of the study of this material has been limited to  
6 studies in the low-temperature *Pnma* phase. The TE performance in the high-temperature  
7 *Cmcm* phase remains significantly unexplored.

8 Conversely, the *ZT* value of pure SnS is too small to be useful. The key question of SnS as a TE  
9 material is that the electrical conductivity of an undoped sample is far too low for TE  
10 applications: the largest value measured to date is  $\sigma = 10 \Omega^{-1} \cdot \text{m}^{-1}$ .<sup>18</sup> Therefore, the improvement  
11 of the electrical conductivity is essential to obtain a higher *ZT* in the SnS system. Based on the  
12 definition of *ZT*, the conversion efficiency is governed by the working temperature *T* and a series  
13 of intrinsic parameters ( $\kappa$ ,  $\sigma$ , and *S*). The *ZT* value is proportional to *T* but does not linearly  
14 increase with *T* because both  $\sigma$  and *S* are functions of *T*. The typical relation between *ZT* and *T*  
15 shows an optimal *T* value where *ZT* reaches its maximum. Similarly, the typical *n-ZT* relationship,  
16 where *n* is the carrier concentration, shows an optimal carrier concentration where the peak *ZT*  
17 is found. Due to such a relationship, the proposed modifications of adjusting both the  
18 temperature and carrier concentration provide the opportunity to tune the TE efficiency of SnS.  
19 Two recent experimental studies<sup>20, 23</sup> have investigated the potential for such an approach. They  
20 showed that the electrical conductivity can be significantly enhanced and that the *ZT* value can

1 thus be accordingly improved. However, experimental study is only limited to a certain doping  
2 element (e.g., elemental Ag in these two experimental studies) and thus cannot produce a  
3 universal conclusion; conversely, a theoretical study can accomplish this task. To date, few  
4 theoretical studies have predicted the TE properties of SnS and guide experimental efforts. In  
5 the theoretical study of Parker and Singh,<sup>24</sup> however, the TE properties of the high-temperature  
6 *Cmcm* phase were not evaluated. In addition, the maximum *ZT* achieved by Ag-doped SnS is  
7 only moderate (e.g., approximately 0.6).<sup>23</sup> However, it is possible that higher *ZT* values will be  
8 attained for the SnS material in the near future. Additionally, the optimal temperature and  
9 doping concentration have not been determined experimentally and theoretically. As a result,  
10 to improve the *ZT* value, a general understanding of the role of the temperature and doping  
11 concentration in the TE properties of SnS is required.

12 Motivated by the above issues, we performed the first principle computations on the doping  
13 and temperature dependence of TE properties of SnS in this study. To explore the influence of  
14 the phase transition of SnS, we considered a wide temperature range from 300 to 1080 K.  
15 Because SnS melts at near 1153 K and a peritectic reaction occurs near 1133 K,<sup>25</sup> a higher  
16 temperature was not attempted. In particular, both *p*- and *n*-doped types were studied. The  
17 findings in this study show that cooperatively regulating the carrier concentration in the SnS  
18 crystal with temperature can produce a significant improvement in TE performance.

19

## 1 2. Computational details

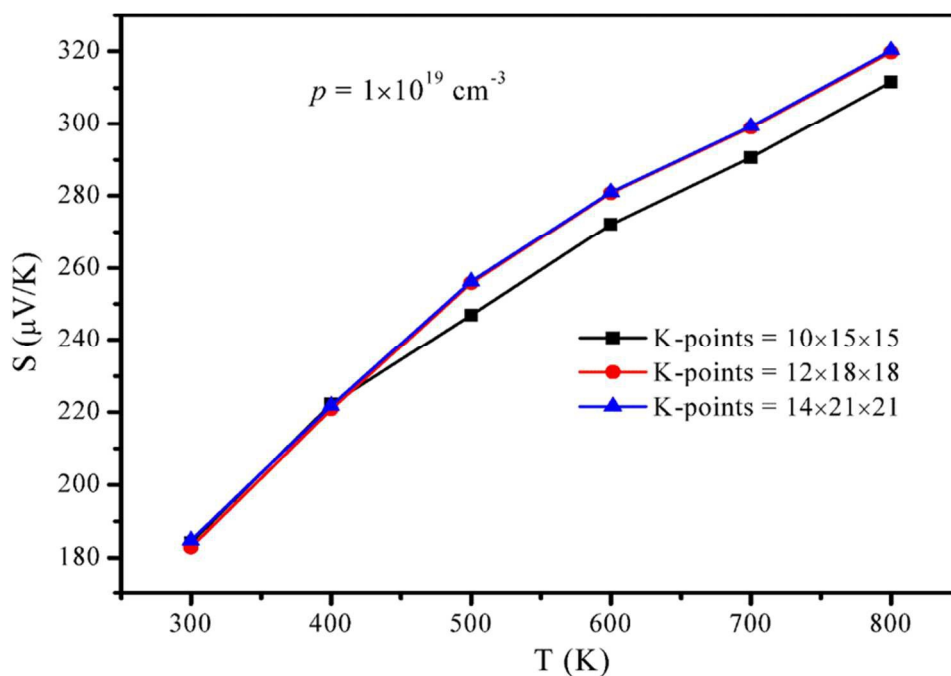
2 In the calculations, we use the *Pnma* structure (see Fig. 2a) to calculate the TE properties in the  
3 temperature range of 300-800 K, and we use the *Cmcm* structure (see Fig. 2b) for the same  
4 purpose in the temperature range of 900-1080 K. The structural and electronic properties of SnS  
5 are calculated *via* a plane-wave pseudopotential formulation within the framework of density  
6 functional theory (DFT). The code is implemented in the Vienna *ab initio* Simulation Package  
7 (VASP).<sup>26</sup> The projector-augmented wave (PAW)<sup>27</sup> potentials are used to describe the interaction  
8 between electrons and ions. The generalized gradient approximation (GGA)<sup>28</sup> in the  
9 Perdew-Burke-Ernzerhof (PBE) scheme is used to describe the exchange and correlation  
10 function, whereas the valence electrons of the sulphur and tin atoms are considered to be  
11  $6(3S^23p^4)$  and  $14(4d^{10}5S^25p^2)$ , respectively. In fact, we also considered the HSE06<sup>29</sup> functional  
12 and found in this case the band gap of *Pnma* phase is in a larger deviation from the  
13 experimental values<sup>30, 31</sup>. Recently, a modification to the semilocal Becke-Johnson potential<sup>32</sup>  
14 was proposed. This MBJ potential is useful to better describe the DFT eigenvalues but structure  
15 optimization is not yet possible<sup>33</sup>. Before calculations were performed, *k*-point sampling and  
16 kinetic-energy cutoff convergence for both the *Pnma* and *Cmcm* structures were tested. Based  
17 on the results of the convergence test, a kinetic-energy cutoff of 450 eV and  $6\times 9\times 9$  ( $9\times 6\times 9$ )  
18 Monkhorst-Pack *k*-points were used for the *Pnma* (*Cmcm*) phase. The total energy converges to  
19  $1.0\times 10^{-4}$  eV/atom, while Hellman-Feynman force is smaller than 0.01 eV/Å in the optimized  
20 structure. Using the proposed method, the optimized cell parameters were determined to be



1  $a=11.46 \text{ \AA}$ ,  $b=8.06 \text{ \AA}$ , and  $c=8.86 \text{ \AA}$  ( $a=8.24 \text{ \AA}$ ,  $b=11.83 \text{ \AA}$ , and  $c=8.23 \text{ \AA}$ ) for the *Pnma* (*Cmcm*)  
2 structures, which are in reasonable agreement with the literature.<sup>30</sup>

3 The transport calculations require a much finer sampling; therefore, a *k*-point mesh of  
4  $12 \times 18 \times 18$  ( $18 \times 12 \times 18$ ) for the *Pnma* (*Cmcm*) phase was used to obtain the electronic band  
5 structures and thermal transport parameters. To confirm the validity of these *k*-point meshes,  
6 we calculated the transport coefficients of the *Pnma* phase using a different mesh with  
7  $10 \times 15 \times 15$ ,  $12 \times 18 \times 18$ , and  $14 \times 21 \times 21$ , respectively. At  $p = 1 \times 10^{19} \text{ cm}^{-3}$ , the calculated Seebeck  
8 coefficient as a function of temperature is shown in Fig. 1, which clearly shows that the  
9 calculated Seebeck coefficient converges with the  $12 \times 18 \times 18$  mesh.

10



11

12 **Fig. 1** Seebeck coefficient versus temperature for the *Pnma* phase with different *k*-point grids.

1 Based on the energy band structures, several TE properties, such as the Seebeck coefficient  
2 ( $S$ ), the electrical conductivity ( $\sigma$ ) and the electrical thermal conductivity ( $\kappa_e$ ), can be derived  
3 using the semi-classical Boltzmann transport theory, as implemented in the BOLTZTRAP code.<sup>34</sup>  
4 The more detailed information can be seen in the supplementary section. In this package, the  
5 grid of  $T$  is defined by  $Tmax$  in the input file. Note that the rigid band approximation (RBA) and  
6 the constant relaxation time approximation were used to calculate  $S$  and  $\sigma$  in the above method.  
7 In RBA, it is assumed that the band structure of the host is unchanged by doping. RBA usually  
8 overestimates the Seebeck coefficient and the power factor,<sup>35</sup> and also, the relaxation time in  
9 general depends on the energy and temperature.<sup>36, 37</sup> It is difficult to reliably predict the  
10 temperature dependence of transport coefficients using the constant relaxation time  
11 approximation. However, a full  $E$ - and  $T$ -dependence study of  $\tau$  using *ab initio* band structure  
12 calculations even in simple semiconductors is not currently feasible. Typically,  $\tau$  is treated as a  
13 constant for simplicity and convenience.<sup>38-41</sup>

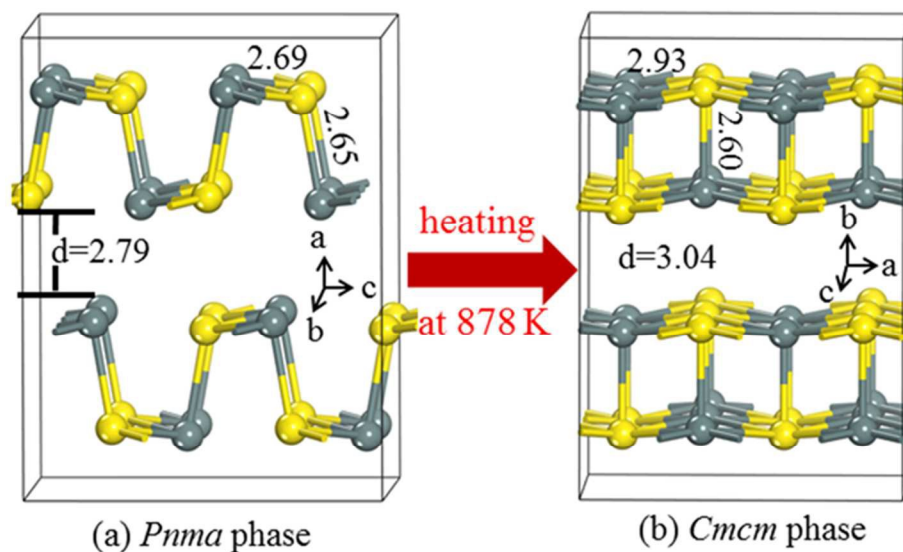
### 14 **3. Results and discussion**

#### 15 **3.1 Crystal structures of SnS**

16 Fig. 2a shows the SnS crystal in its *Pnma* phase, which exhibits a layered orthorhombic structure  
17 with zigzagged atomic chains, in which each Sn atom is bound to two S atoms in the  $b$ - $c$  plane  
18 and one additional S atom at a short distance along the  $a$  axis (i.e., 2+1 coordination). The  
19 corresponding bond lengths are 2.69 and 2.65 Å, respectively. The layers pile up with a weak

1 van der Waals (VDW)-like coupling along the  $a$  axis. At a high temperature near 878 K, SnS  
2 undergoes a *second-order* displacive phase transition to a five-fold coordinated *Cmcm* phase  
3 with a higher symmetry (Fig. 2b). In the *Cmcm* phase, the atoms form double layers similar to  
4 those in the *Pnma* phase, but they are stacked along the  $b$  axis. Additionally, each atom is now  
5 coordinated to four neighbouring atoms at an equal distance (e.g., 2.93 Å) in the  $a$ - $c$  plane and  
6 one additional atom at a short distance (e.g., 2.60 Å) along the  $b$  axis (i.e., 4+1 coordination).  
7 The lengths of the Sn-S bonds parallel to the slab layers, and the distances between the layers  
8 are approximately 3 Å, which is suggestive of weak bonding and hence soft phonons, which in  
9 turn are favourable for TE performance.

10



11  
12 **Fig. 2** Crystal structures of SnS in (a) the *Pnma* phase and (b) the *Cmcm* phase. The unit of  
13 interatomic distance is in Å. Colour designations: Sn (grey) and S (yellow).

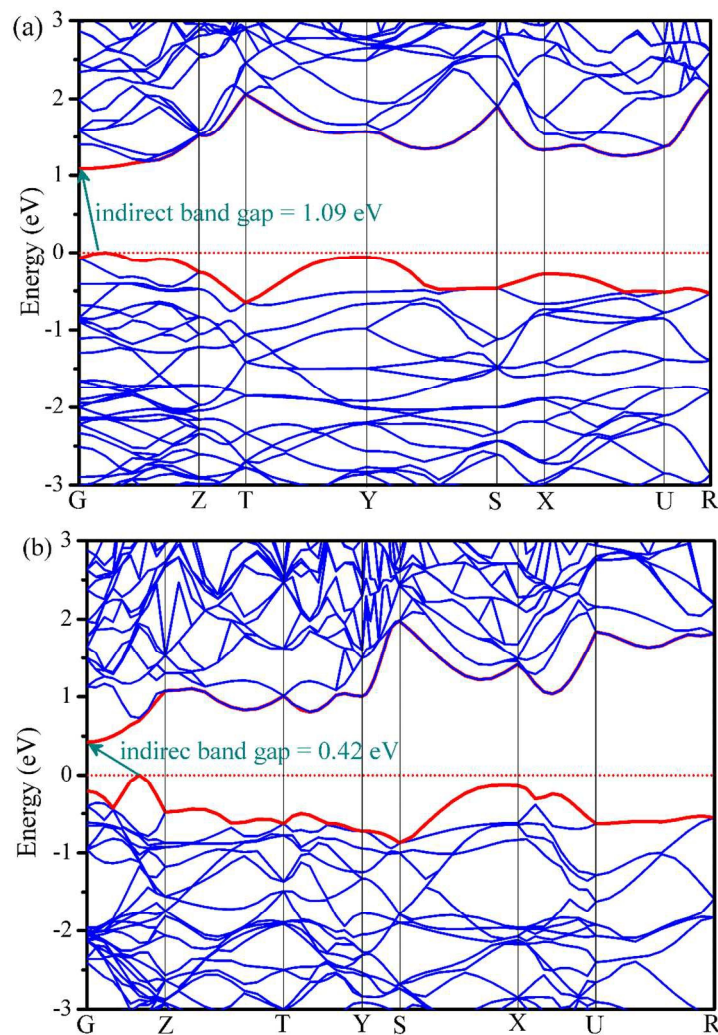
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### 1 3.2 Electronic band structures

2 The TE properties of crystalline materials are determined by their band structures. Therefore,  
3 we calculated the band structures of SnS within the *Pnma* and *Cmcm* phases; these results are  
4 shown in Fig. 3. In this figure, *G*, *Z*, *T*, *Y*, *S*, *X*, *U*, *R* describe the (0 0 0), (0 0 1/2), (-1/2 0 1/2),  
5 (-1/2 0 0), (-1/2 1/2, 0), (0 1/2 0), (0 1/2 1/2), (-1/2 1/2 1/2) high-symmetry points, respectively.  
6 For both the *Pnma* and *Cmcm* phases, the conduction band minimum (CBM) is located at point  
7 *G*, and the valence band maximum (VBM) lies along the *G*-*Z* line, which results in an indirect  
8 band gap. The band gap is approximately 1.09 eV for the *Pnma* phase and 0.42 eV for the *Cmcm*  
9 phase; these values agree with the previously reported theoretical and experimental results,<sup>12,</sup>  
10 <sup>30, 42-44</sup> which vary in the range of 1.07-1.11 eV in the *Pnma* phase and are equal to 0.3±0.1 eV in  
11 the *Cmcm* phase. The significantly smaller band gap in the *Cmcm* phase suggests that the  
12 bipolar conduction process occurs at high temperatures.

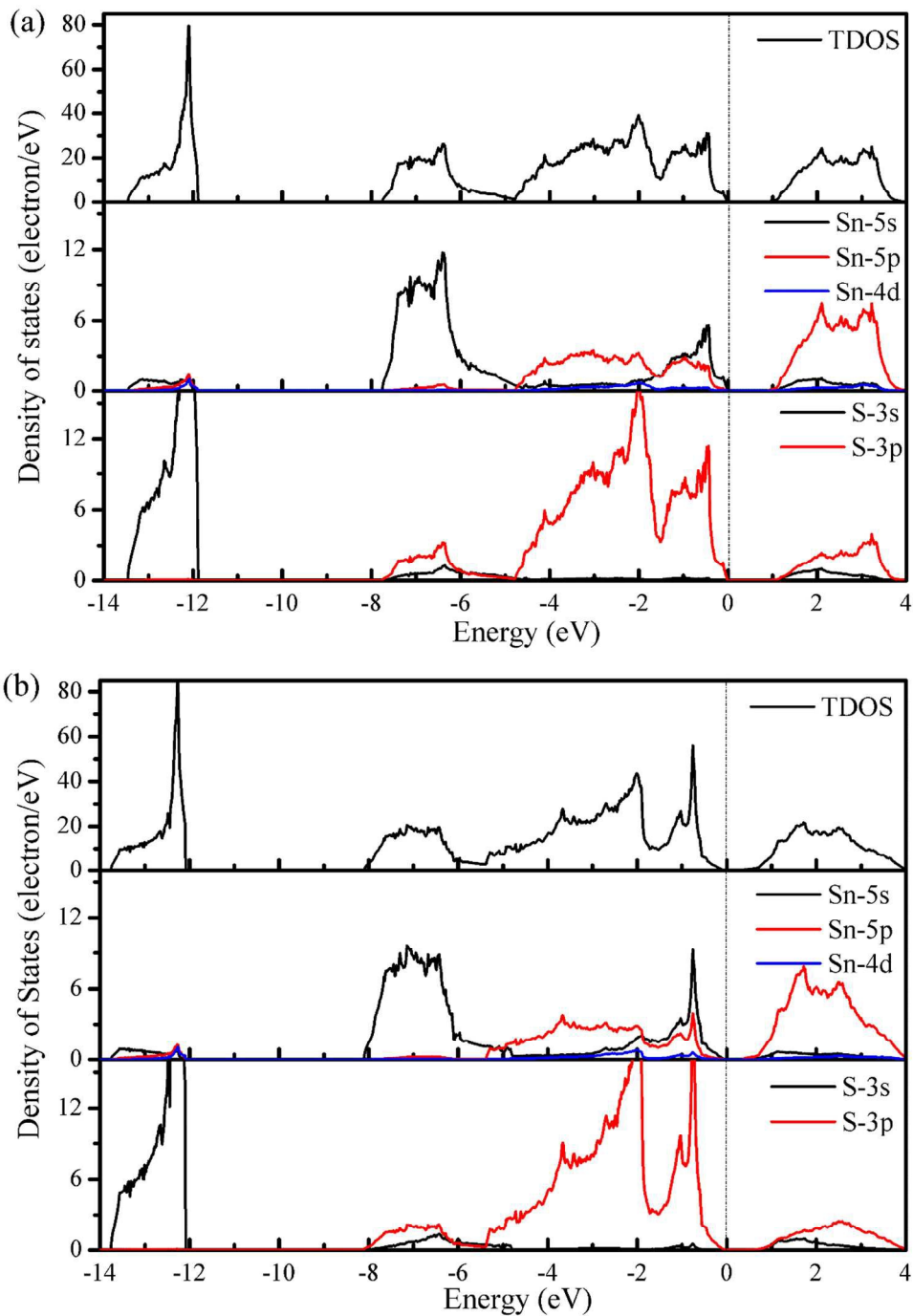
13 Because the transport properties are closely related to the electronic states near the highest  
14 valence band (HVB) and the lowest conduction band (LCB) for *p*- and *n*-type compounds,  
15 respectively, it is reasonable to focus on the energy range near the band gap. The valence and  
16 conduction bands are shown to have different characters: the heavy bands near the HVB  
17 contribute a high thermopower but exhibit a smaller carrier mobility, whereas the light bands  
18 near the LCB are expected to have a higher conductivity. We therefore anticipate different TE  
19 behaviours for *p*- and *n*-type doped materials. Further analysis of Figs. 3a and 3b shows that the  
20 bandwidths of both the HVB and LCB become larger when changing from the *Pnma* phase (0.65

1 eV for the HVB and 1.05 eV for the LCB, respectively) to the *Cmcm* phase (0.87 and 1.41 eV,  
2 respectively). Therefore, it is suggested that at the *Pnma*-*Cmcm* phase transition, there may be  
3 an enhancement of the conductivity.



5  
6  
7 **Fig. 3** Band structures of SnS in the *Pnma* (a) and *Cmcm* (b) phases. The energy zero is set to the  
8 valence-band maximum. The highest valence band and the lowest conduction band are  
9 highlighted in red.

10



**Fig. 4** Total and partial densities of state for SnS within the *Pnma* (a) and *Cmcm* (b) structures.

The energy zero is set to the VBM.

1        Considering the calculated densities of state (DOS) in Fig. 4, we note that the 3s states of S  
2        are deep and separated from the other valence band states by a gap of approximately 4 eV,  
3        which indicates a strong lone electron pair character. The valence bands are formed primarily of  
4        the 5s and 5p states of Sn and the 3p states of S, whereas the conduction bands are primarily  
5        from the 5p and 3p states of Sn and S, respectively. Additionally, the valence band states from  
6        -4.7 to -1.4 eV are responsible for the strong Sn-S covalent bonds that are parallel to the slab  
7        layers, whereas those states from -1.4 to 0 eV contribute to the strong covalent bonds that are  
8        perpendicular to the slab layers.<sup>30</sup>

9        Generally, materials with large Seebeck coefficients are usually associated with a large DOS  
10       near the band gap.<sup>45</sup> The DOS plotted in Fig. 4 shows that the change in the height of the total  
11       DOS is not significant when going from the *Pnma* phase to the *Cmcm* phase; no apparent  
12       difference can be observed in the Seebeck coefficient between the *Pnma* and *Cmcm* phases.  
13       However, the HVB shows a higher DOS peak than the LCB, which is indicative of a higher  
14       Seebeck coefficient for *p*-type doping.

### 15    **3.3 Thermoelectric properties**

16       The transport properties calculated as a function of the carrier concentration from 300 to 1080  
17       K are shown in Fig. 5. The calculated Seebeck coefficient (*S*) [Fig. 5(a1 and a2)] for both *p*- and  
18       *n*-type doped crystals are shown to be sensitive to the carrier concentration. *S* shows a  
19       near-linear increase as the carrier concentration decreases in the 300-800 K temperature range.

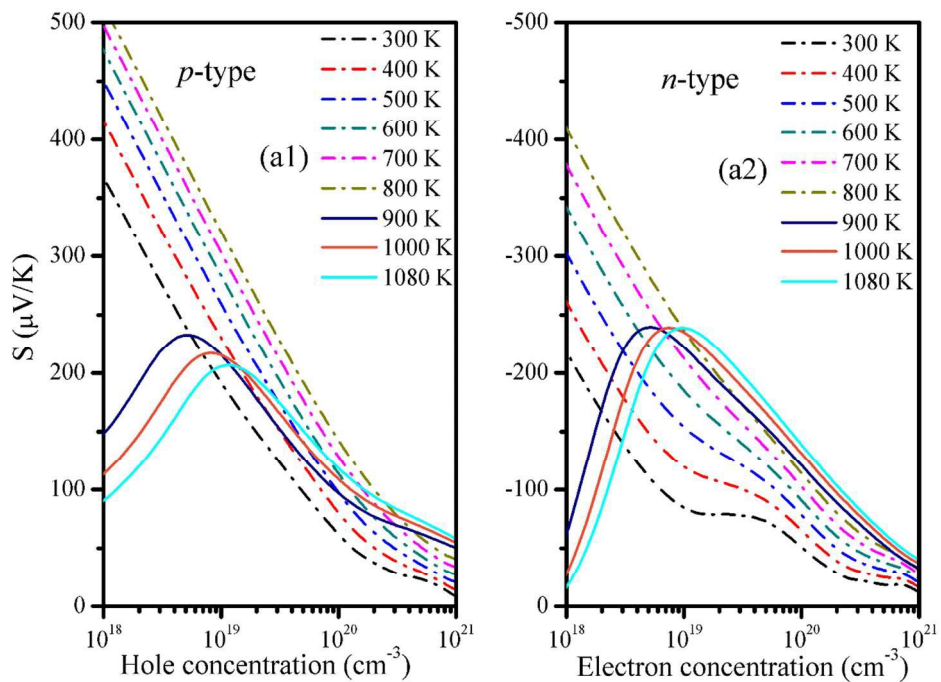
1 As mentioned above, the calculated band gap for the *Cmcm* phase is 0.42 eV and thus likely  
2 leads to the bipolar effect (i.e., a decrease in the Seebeck coefficient with decreasing carrier  
3 concentration, which is the opposite of the usual situation). At 900-1080 K, bipolar conduction  
4 appears in the regime below carrier concentrations of  $1.12 \times 10^{19} \text{ cm}^{-3}$ ; however, they are in a  
5 doping range that is significantly lighter than the likely optimal doping ranges, which are given  
6 as  $4.63\text{-}8.01 \times 10^{19} \text{ cm}^{-3}$  for *p*-type and  $12.21\text{-}14.02 \times 10^{19} \text{ cm}^{-3}$  for *n*-type at 900-1080 K (see  
7 Table 1). Therefore, the *ZT* value at high temperatures with low doping may be degraded by the  
8 bipolar effect; however, this will likely not occur at doping levels above  $1.12 \times 10^{19} \text{ cm}^{-3}$ . This  
9 finding is verified by the following results from Fig. 9(b). In addition, the Seebeck coefficient  
10 strongly depends on the temperature, which is discussed below.

11 The electrical conductivity ( $\sigma$ ) is also important and is related to the relaxation time ( $\tau$ ). In  
12 this paper, the  $\tau$  value ( $7.44 \times 10^{-16} \text{ s}$ ) from the experimental measurements by Nassary *et al.*<sup>18</sup>  
13 has been applied to the *n*-doped system. For the *p*-doped system,  $\tau$  can be deduced using the  
14 300-K data from Hegde *et al.*<sup>13</sup> The reported experimental electrical resistivity  $\rho$  is  $1.20 \text{ }\Omega\cdot\text{m}$  at  
15 this temperature, and the corresponding carrier concentration is  $1.52 \times 10^{15} \text{ cm}^{-3}$ , which can be  
16 combined with the calculated  $\sigma/\tau$  to yield  $\tau = 15.1 \times 10^{-16} \text{ s}$ . The obtained  $\tau$  is near that used in  
17 the Ag-doped SnS systems ( $\tau = 30 \times 10^{-16} \text{ s}$ )<sup>20</sup>. We then calculated  $\sigma$  by  $\sigma/\tau \times \tau$ . The resulting  
18 *p*-type and *n*-type electrical conductivities are shown in Fig. 5(b1 and b2), respectively. It is  
19 shown that  $\sigma$  for both doping types substantially increases with increasing carrier concentration.  
20 For example, as the carrier concentration increases from  $10^{18}$  to  $10^{21} \text{ cm}^{-3}$ ,  $\sigma$  at 900 K increases

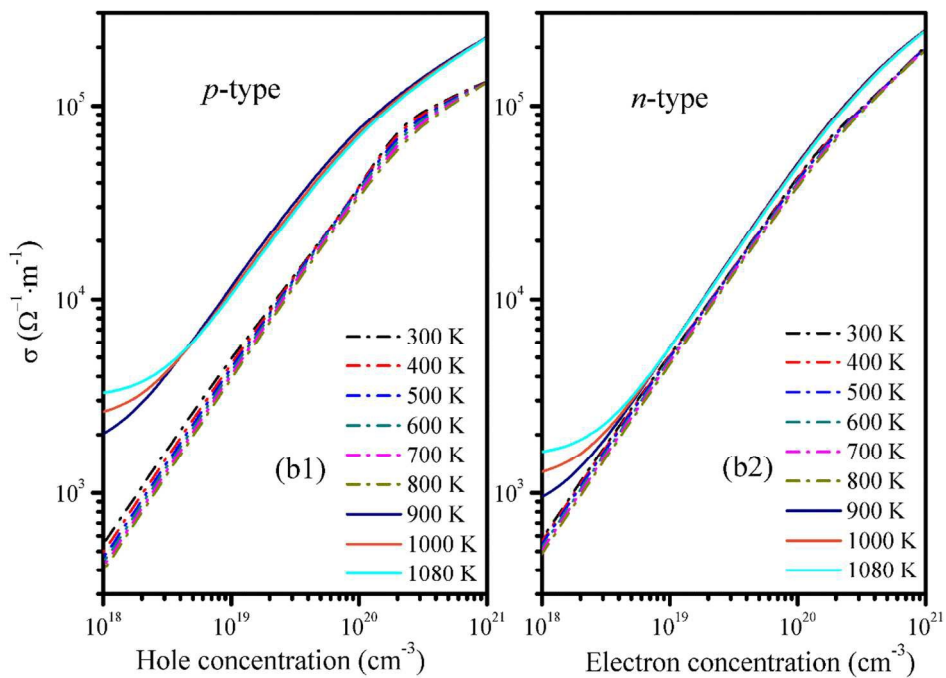


1 rapidly from  $2.05 \times 10^3$  to  $2.29 \times 10^5 \Omega^{-1} \cdot \text{m}^{-1}$  for *p*-type doping and from  $9.67 \times 10^2$  to  $2.48 \times 10^5$   
2  $\Omega^{-1} \cdot \text{m}^{-1}$  for *n*-type doping. However, the temperature dependence of the electrical conductivity  
3 is shown to be relatively weaker. As shown in Fig. 5(b1 and b2), the electrical conductivity  
4 gradually declines with temperature in the low-temperature *Pnma* phase. In the  
5 high-temperature *Cmcm* phase,  $\sigma$  shows an increasing trend at low doping but decreases at  
6 higher doping levels. The high-temperature conductivity is significantly higher with *p*-type  
7 doping but is not significantly higher for *n*-type doping. This can be explained by the band  
8 structure: the HVB becomes more dispersed in the *Pnma* phase compared to the *Cmcm* phase  
9 (see Fig. 3), which indicates a decreased effective mass of hole carrier and, thus, an increased  
10 electrical conductivity for *p*-type doping; however, the LCB changes little in going from the  
11 *Pnma* phase to the *Cmcm* phase (see Fig. 3). It indicates that there are no apparent changes in  
12 the effective mass of electron carrier and thus in the electrical conductivity for *n*-type doping  
13 from the *Pnma* phase to the *Cmcm* phase.  $\sigma$  is also significantly larger than those previously  
14 reported for pure SnS (e.g., below  $500 \Omega^{-1} \cdot \text{m}^{-1}$ );<sup>19</sup> the *S* is marginally lower than those of pure  
15 SnS (e.g., 350-750  $\mu\text{V}/\text{K}$ ). The substantial increase in  $\sigma$  and the slight decrease in *S* could result  
16 in a net increase in  $S^2\sigma$  (i.e., the power factor or *PF*), which is the numerator in the fractional  
17 expression of *ZT*. For example, for pure SnS at 823 K, the maximum power factor is  $1.34 \times 10^{-4}$   
18  $\text{W}/\text{mK}^2$ ; for *p*-doped SnS at 800 K, an improved value of  $6.93 \times 10^{-4} \text{W}/\text{mK}^2$  is obtained (Table 1).

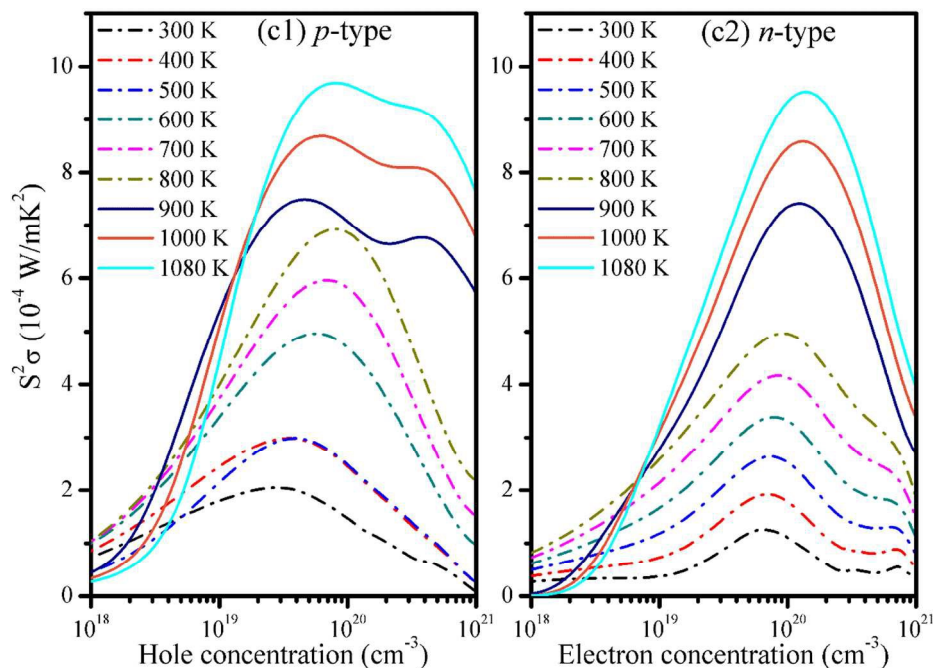
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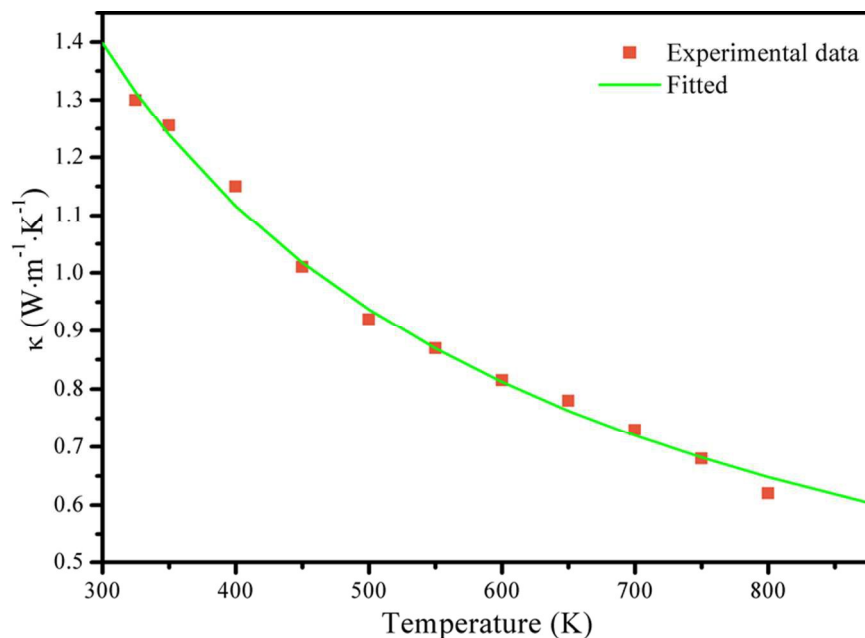
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2 **Fig. 5** Transport properties of SnS as a function of the carrier concentration in the temperature  
3 range of 300-1080 K: (a1) *p*-type Seebeck coefficients, *S*; (a2) *n*-type Seebeck coefficients, *S*; (b1)  
4 *p*-type electrical conductivities,  $\sigma$ ; (b2) *n*-type electrical conductivities,  $\sigma$ ; (c1) *p*-type power  
5 factors,  $S^2\sigma$ ; (c2) *n*-type power factors,  $S^2\sigma$ .

6

7 In TE applications,  $PF (S^2\sigma)$  is an important factor that directly influences TE performance.  
8 The  $PF$  for *p*- and *n*-type materials as a function of the carrier concentration is given in Fig. 5(c1  
9 and c2), respectively. There is an optimal carrier concentration that yields the maximum  $PF$   
10 ( $MPF$ ). To obtain more detailed information, the optimal carrier concentration,  $MPF$ ,  $ZT$ ,  $S$ , and  
11  $\sigma$  in the 300-1080 K temperature range are summarized in Table 1. These data indicate that as  $T$   
12 increases, the  $MPF$  for both *p*- and *n*-type materials increases, and the optimal carrier  
13 concentration for *n*-type doping shifts to a higher level. For *p*-type doping, an increasing trend is

1 shown at temperatures below 800 K; however, the optimal carrier concentration suddenly  
2 decreases at 900 K and then increases again as the temperatures increase above 900 K. At a  
3 given temperature, the *n*-type material has a higher optimal carrier concentration than the  
4 *p*-type but has lower *MPF* values; this result indicates that *p*-type SnS performs better in terms  
5 of TE properties than *n*-type SnS. For *p*-type SnS, the optimal carrier concentration varies from  
6  $2.75$  to  $8.01 \times 10^{19} \text{ cm}^{-3}$  and thus produces *MPF* values from  $2.05$  to  $9.69 \times 10^{-4} \text{ W}\cdot\text{m}^{-1}\cdot\text{K}^{-2}$ .  
7 Concurrently, *n*-doped counterparts exhibit *MPF* values from  $1.26$  to  $9.52 \times 10^{-4} \text{ W}\cdot\text{m}^{-1}\cdot\text{K}^{-2}$  with  
8 carrier concentrations of  $6.68$ - $14.02 \times 10^{19} \text{ cm}^{-3}$ . Consequently, we predict that SnS will exhibit  
9 better TE performance at high temperatures and with heavy doping.

10



11

12 **Fig. 6** Thermal conductivity of SnS from Ref. 19 and the fitted curve with the experimental data

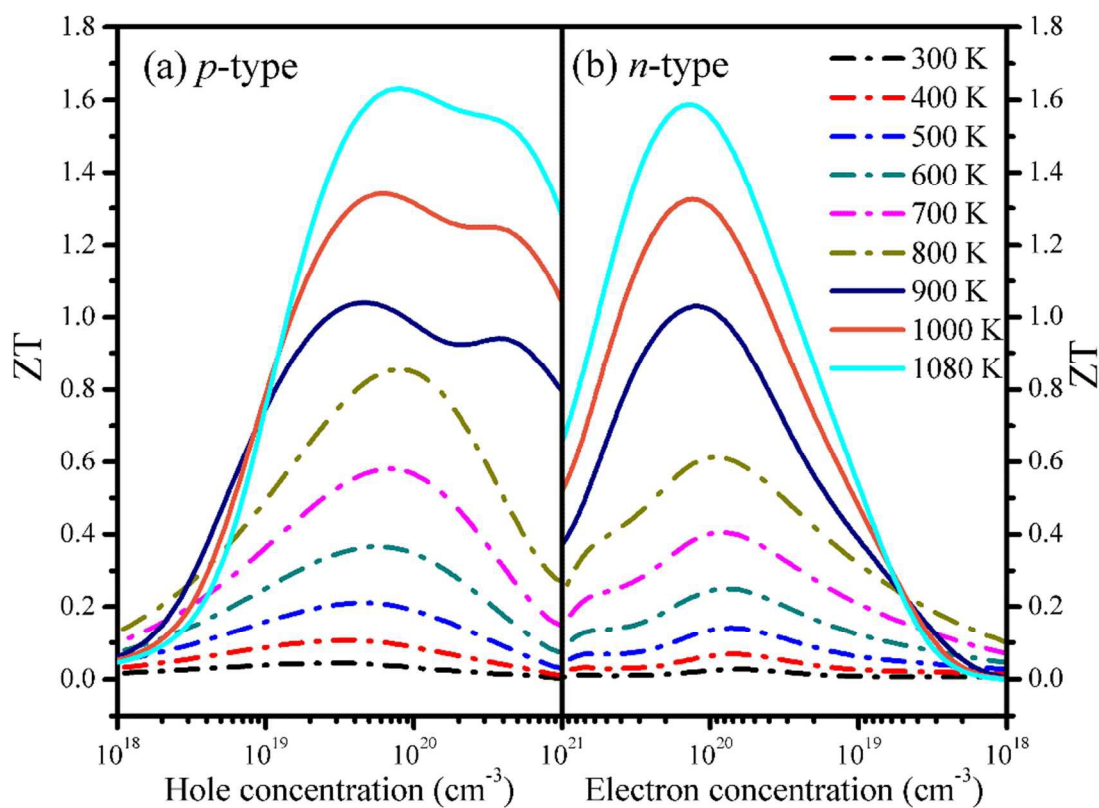
13 used in the proposed model, as discussed above.

1 The thermal conductivity of SnS was investigated from 300 to 800 K by Tan *et al.*<sup>19</sup> They  
2 noted that the variations of  $\kappa$  with the doping concentration are weak; thus, it is reasonable to  
3 assume that  $\kappa$  is only related to the temperature in this study. The  $\kappa$  values in 300-800 K  
4 temperature range are obtained by fitting the experimental data from Tan *et al.*,<sup>19</sup> as shown in  
5 Fig. 6. The thermal conductivity is shown to decrease rapidly from 1.40 to 0.65 W/mK as  $T$   
6 increases from 300 to 800 K. Of note, in the *Cmcm* phase, we set  $\kappa$  as a constant to the fitted  
7 value at 800 K ( $0.65 \text{ W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$ ) based on the following facts. For SnSe,<sup>3</sup>  $\kappa$  gradually decreases as  
8 the temperatures rise in the *Pnma* phase but changes little in the *Cmcm* phase; the values of  $\kappa$   
9 are also similar near the phase-transition temperature (807 K). For example, the values of  $\kappa$  in  
10  $\text{W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$  along the  $c$  axis are 0.24, 0.23, 0.22, 0.24 and 0.23 for 675 K, 725 K, 775 K, 825 K, and  
11 875 K, respectively. The biggest difference between these values is smaller than  $0.02 \text{ W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$ .  
12 SnS shows a similar structure to that of SnSe; therefore, they may have a similar trend in  $\kappa$ .  
13 Recently, Tan *et al.*<sup>23</sup> showed that  $\kappa$  in  $\text{W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$  for a SnS-0.5% Ag sample were 0.49, 0.48, 0.44,  
14 and 0.46 for 775 K, 825 K, 875 K, and 925 K, respectively, which also indicated that the  $\kappa$  values  
15 for SnS are comparable in the neighbouring regimes of the phase transition (phase-transition  
16 temperature of SnS is 878 K). Therefore, reasonable  $ZT$  values for SnS in the *Cmcm* phase could  
17 be achieved using the fitted  $\kappa$  value at 800 K ( $0.65 \text{ W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$ ).

18 Combining the thermal conductivity with the electrical transport coefficients, we finally  
19 obtained the dimensionless figure of merit ( $ZT$ ) as a function of the carrier concentration (see  
20 Fig. 7). In Fig. 7, it is shown that the  $ZT$  value can be significantly influenced by both the

1 temperature and the carrier concentration. For both *p*- and *n*-type doping, the *ZT* value first  
2 increases with the carrier concentration, reaches an optimal value, and then decreases; these  
3 trends are similar to those found for the power factor. When the temperature rises from 300 to  
4 800 K, the optimal *ZT* value increases from 0.04 to 0.85 for the *p*-type material and from 0.03 to  
5 0.61 for the *n*-type material. With an additional increase in *T*, the optimal *ZT* continues to  
6 increase and is shown to be sensitive to temperature; it exceeds unity at 900 K and remains  
7 above it, reaching 1.63 for the *p*-type material and 1.59 for the *n*-type material at 1080 K. The  
8 strong temperature sensitivity shown makes a TE generator have a longer service life and a  
9 higher conversion efficiency in the high-temperature region. Such *ZT-T* behaviour in the  
10 high-temperature *Cmcm* phase is due to the strong temperature dependence of the Seebeck  
11 coefficient (see Fig. 8a). However, a high *ZT* peak value does not always indicate a high efficiency;  
12 the average *ZT* value over the imposed working temperature gradient is the critical factor.  
13 However, the high-temperature *Cmcm* phase does possess a high average *ZT* value of  
14 approximately 1.3 compared with the low-temperature *Pnma* phase (e.g.,  $0.3 \pm 0.05$ ). All of  
15 these findings indicate that the high-temperature *Cmcm* phase exhibits good TE performance;  
16 thus, this phase should be investigated in more detail. The higher *ZT* at high temperatures is  
17 primarily due to the higher electrical conductivity that is present at these temperatures. From  
18 Table 1, we also note that at high temperatures, the optimal carrier concentration for the *p*-type  
19 material is  $4.63\text{-}8.01 \times 10^{19} \text{ cm}^{-3}$ , which is substantially lower than that for the *n*-type material

1 (e.g.,  $12.21\text{-}14.02 \times 10^{19} \text{ cm}^{-3}$ ). Such a doping concentration is most likely experimentally  
2 controllable for the *p*-type material but is relatively more difficult for the *n*-type material.



4  
5 **Fig. 7** Calculated *ZT* values as a function of the carrier concentration in the temperature range of  
6 300-1080 K.

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1 **Table 1** TE properties of *p*- and *n*-type SnS at optimal carrier concentrations

T	<i>p</i> -Type					$\kappa^c$	<i>n</i> -Type				
	$p^a$	MPF <sup>b</sup>	ZT	S	$\sigma$		$n^a$	MPF <sup>b</sup>	ZT	S	$\sigma$
300	2.75	2.05	0.04	131.20	1.19	1.40	6.68	1.26	0.03	65.29	2.95
400	3.48	2.98	0.11	143.90	1.44	1.12	7.05	1.92	0.07	79.38	3.05
500	4.66	3.96	0.21	146.17	1.85	0.94	7.50	2.64	0.14	91.15	3.17
600	5.55	4.96	0.37	152.43	2.14	0.81	7.59	3.38	0.25	103.85	3.14
700	7.02	5.96	0.58	152.78	2.57	0.72	8.19	4.16	0.40	112.66	3.28
800	7.79	6.93	0.85	159.64	2.72	0.65	9.33	4.97	0.61	117.74	3.58
900	4.63	7.49	1.04	131.27	4.35	0.65	12.21	7.41	1.03	111.51	5.96
1000	6.36	8.69	1.34	128.86	5.23	0.65	13.39	8.59	1.32	116.53	6.32
1080	8.01	9.69	1.63	127.94	5.92	0.65	14.02	9.52	1.59	121.40	6.46

2 <sup>a</sup>  $p$  and  $n$  are the optimal carrier concentrations for the *p*- and *n*-type materials, respectively.  
3 The units for  $T$ ,  $p$  and  $n$ , MPF,  $S$ , and  $\sigma$  are K,  $10^{19} \text{ cm}^{-3}$ ,  $10^{-4} \text{ W}\cdot\text{m}^{-1}\cdot\text{K}^{-2}$ ,  $\mu\text{V}\cdot\text{K}^{-1}$ , and  $10^4 \Omega^{-1}\cdot\text{m}^{-1}$ ,  
4 respectively. <sup>b</sup> MPF is the abbreviation of maximum power factor. <sup>c</sup>  $\kappa$  is the thermal conductivity  
5 of *p*- and *n*-type doping and the unit is in  $\text{W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$ .

7 The data presented in this study are now compared with those obtained in previous studies.

8 As stated above, a high ZT of  $1.61\pm 0.02$  for doped-SnS is achieved at 1080 K, which is  
9 approximately 10 times as much as the experimental intrinsic value (e.g., 0.16) of pure SnS.<sup>19</sup>

10 This finding indicates that doping and temperature play an important role in improving the ZT  
11 value of SnS. In particular, at 800 K, the optimal ZT value is predicted to be 0.85 (see Table 1) for  
12 the *p*-type material in this study, which agrees with that of the previously reported *p*-type SnS  
13 ( $ZT = 0.7$ ).<sup>24</sup> Recently, Tan *et al.*<sup>23</sup> reported that a sample of SnS-0.5% Ag has a large ZT (0.6 at  
14 923 K), which is smaller than that predicted at high temperatures. The large difference between  
15 these values is partially caused by the fact that the carrier concentration of the 0.5% Ag-doped  
16 SnS material does not reach the optimum doping level. For example, from 300 to 700 K, the

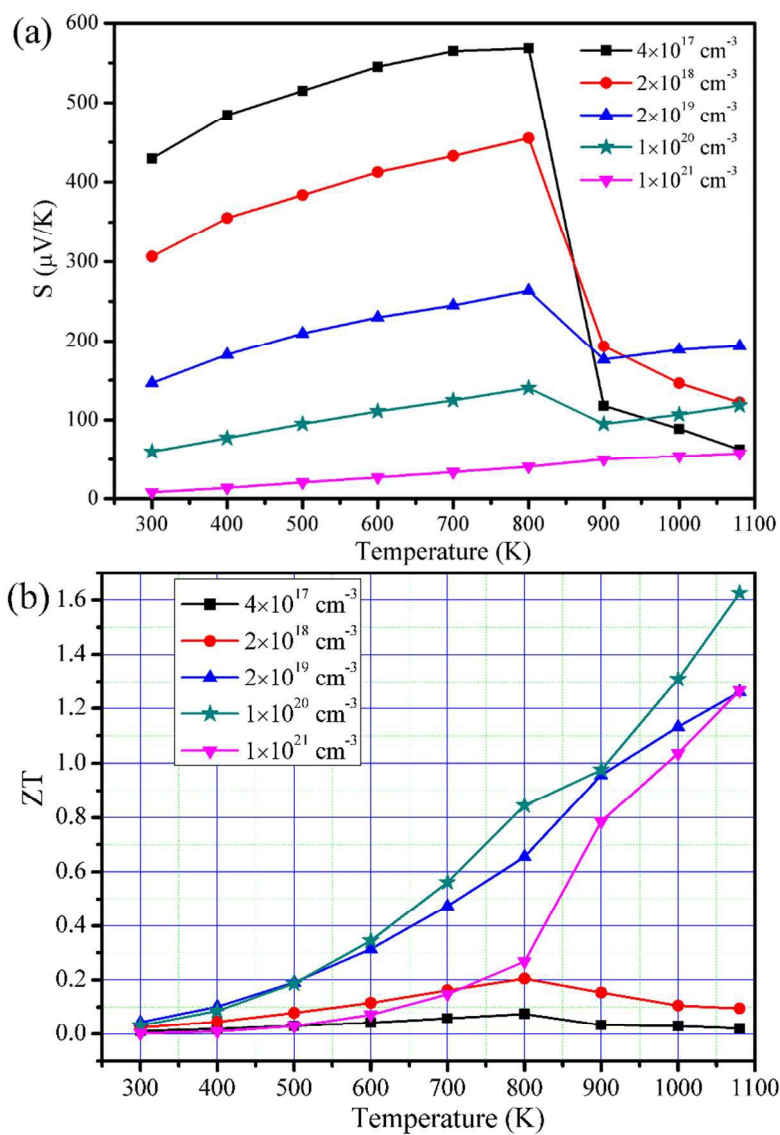


1 carrier concentration of SnS-0.5% Ag ranges from  $2.72-3 \times 10^{18} \text{ cm}^{-3}$ , which is smaller by at least  
2 an order of magnitude than the predicted optimum doping level (e.g.,  $2.75-7.02 \times 10^{19} \text{ cm}^{-3}$ )  
3 shown in this study. Those authors also showed that for Ag-doped SnS (see Fig. 3 in Ref. 23), the  
4  $ZT$  value at a given temperature first increases, then reaches a maximum value at  $x = 0.5$  ( $x$  is  
5 the Ag content), and finally decreases above  $x = 0.5$  in the *Pnma* phase as Ag doping increases  
6 from  $x = 0$  to  $x = 2$ . This result indicates that there is an optimum doping level to achieve a peak  
7  $ZT$  value. The electrical conductivity is shown to increase significantly due to Ag doping, which  
8 was also observed by Bera *et al.*<sup>20</sup> These observed trends in  $ZT$  and  $\sigma$  are consistent with the  
9 results of this study. Unfortunately, experiments do not provide the corresponding carrier  
10 concentrations for different Ag doping levels at different temperatures; thus, we cannot make a  
11 further detailed comparison of these results with those reported in the literatures. In addition,  
12 in the context of this study, we observed that the  $ZT$  value for the high-temperature phase  
13 increases faster with temperature, which is in good agreement with the published  
14 measurements.

15 As expected, high  $ZT$  values can be achieved by cooperative tuning of the doping  
16 concentration and temperature. To better understand this synergetic effect, Fig. 8 shows the  
17 temperature dependence of the Seebeck coefficient and the  $ZT$  value for *p*-doped SnS at five  
18 different carrier concentrations (e.g.,  $4 \times 10^{17}$ ,  $2 \times 10^{18}$ ,  $2 \times 10^{19}$ ,  $1 \times 10^{20}$ , and  $1 \times 10^{21} \text{ cm}^{-3}$ ).  
19 Based on the results reported by Haas and his co-workers<sup>14, 44</sup>, the carrier concentration is  
20 equal to  $4 - 5 \times 10^{17} \text{ cm}^{-3}$  for an undoped SnS crystal; therefore, we chose  $4 \times 10^{17} \text{ cm}^{-3}$  to

1 represent the intrinsic behaviour of SnS and thus selected  $2 \times 10^{18}$ ,  $2 \times 10^{19}$ ,  $1 \times 10^{20}$ , and  $1 \times$   
2  $10^{21} \text{ cm}^{-3}$  as four typical doping concentrations to compare with the intrinsic case. At low  $T$  (e.g.,  
3 300-800 K), there is no bipolar effect due to the large band gap; thus, the Seebeck coefficient in  
4 Fig. 8a shows an increasing trend in this temperature region, which is typical for a  
5 semiconductor. As the temperature increases further (e.g., 900-1080 K), minority carriers arise  
6 due to thermal excitation and the coexistence of majority and minority carriers leads to the  
7 so-called bipolar effect, which results in a decreasing trend in  $S$  at high  $T$  and below  $p = 1.12 \times$   
8  $10^{19} \text{ cm}^{-3}$ . For example, at  $p = 2 \times 10^{18} \text{ cm}^{-3}$ , the Seebeck coefficient drops from 193.13 to 88.59  
9  $\mu\text{V/K}$  when the temperature changes from 900 to 1080 K. At  $p = 1.12 \times 10^{19} \text{ cm}^{-3}$  and above, the  
10 Seebeck coefficient does not enter the bipolar regime and thus exhibits the same trend as the  
11 low-temperature phase, which is favourable for the improvement of the  $ZT$  value in this carrier  
12 concentration regime. In Fig. 8b, at  $p = 4 \times 10^{17} \text{ cm}^{-3}$ , the  $ZT$  value first increases with increasing  
13  $T$ , and when  $T$  exceeds 800 K,  $ZT$  begins to drop due to bipolar conduction. In particular, the  $ZT$   
14 value is low (e.g., less than 0.1); this indicates that undoped SnS is not a good TE material, even  
15 at high temperatures. At  $p = 2 \times 10^{18} \text{ cm}^{-3}$ , there are no apparent changes in the  $ZT$  value  
16 compared to pure SnS. At  $p = 2 \times 10^{19} \text{ cm}^{-3}$  and above, the  $ZT$  value shows an overall increase as  
17 temperature increase. When  $T$  exceeds 800 K, the  $ZT$  value increases even faster with  
18 temperature; for example, the  $ZT$  value at  $p = 1 \times 10^{20} \text{ cm}^{-3}$  increases significantly from 0.84 for  
19 800 K to 1.62 for 1080 K. This finding strongly suggests that the high-temperature  $Cmcm$  phase  
20 does not exhibit a better  $ZT$  value at low doping but does at higher doping. However, this does

1 not mean that higher doping produces a better  $ZT$  value; for example, at  $p = 1 \times 10^{21} \text{ cm}^{-3}$ , the  
 2 observed  $ZT$  values are lower than those at  $p = 1 \times 10^{20} \text{ cm}^{-3}$ . All of the abovementioned results  
 3 undoubtedly show that the synergetic effect of the carrier concentration and temperature is  
 4 important to obtain high  $ZT$  values in SnS crystals.



**Fig. 8** Temperature dependence of the Seebeck coefficient (a) and the  $ZT$  value (b) at various  $p$ -type doping levels.

## 1 4. Conclusions

2 In summary, we have investigated the structural, electronic, and *p*- and *n*-type doped TE  
3 properties of SnS across the *Pnma*-*Cmcm* phase transition. An indirect bandgap is found at 1.09  
4 eV and 0.42 eV for the *Pnma* and the *Cmcm* phases, respectively, and the significantly smaller  
5 bandgap in the *Cmcm* phase leads to the bipolar effect. In particular, the results of this study  
6 show that pure SnS does not exhibit good TE performance, even in the high-temperature *Cmcm*  
7 phase; this is significantly different from the case of pure SnSe, in which a higher *ZT* was found  
8 in the high-temperature phase. However, we can also obtain a superior *ZT* value in SnS crystal  
9 through the cooperative tuning of the carrier concentration and temperature. The optimum  
10 doping concentration is predicted to be  $(2.75-8.01) \times 10^{19} \text{ cm}^{-3}$  (*p*-type) and  $(6.68-14.02) \times 10^{19}$   
11  $\text{cm}^{-3}$  (*n*-type) in the temperature range of 300-1080 K, which should be accessible for *p*-type but  
12 relatively more difficult for *n*-type. Under the optimal doping concentration, the peak *ZT* for the  
13 high-temperature phase remains above unity and reaches 1.63 (*p*-type) and 1.59 (*n*-type) at  
14 1080 K, which is approximately 10 times larger than the maximum measured value of pure SnS.  
15 Conversely, the low-temperature phase exhibits a *ZT* of less than 1. Additionally, the average *ZT*  
16 at low temperatures (e.g.,  $0.31 \pm 0.05$ ) is much lower than that at high temperatures (e.g.,  
17 approximately 1.3). These facts indicate that SnS might be modified to be a potential  
18 high-temperature TE material. Additionally, these findings tell us that the high-temperature  
19 *Cmcm* phase of SnS should be studied in more detail. The high *ZT* in doped SnS can be

1 attributed to its enhanced electrical conductivity. The calculated results reported in this study  
2 should be helpful in understanding the TE behaviour of other *IV-VI* group compound systems.

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