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1 **Formation of orthorhombic SnO₂ originated from lattice distortion by**
2 **Mn-doped tetragonal SnO₂**

3

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19 **Abstract**

20 Tin dioxide (SnO_2) is an *n*-type semiconductor material with tetragonal rutile crystal structure under
21 normal conditions and displays many interesting physical and chemical properties. Another form of
22 SnO_2 with an orthorhombic crystal structure is known to be stable only at high pressures and
23 temperatures. However, there are limited reports on effects of Mn-doped tetragonal phase SnO_2 on
24 micro/nanostructured characteristics. In this article, micro/nanostructures of Mn-doped tetragonal
25 phase SnO_2 have been successfully prepared by chemical co-precipitation method. The
26 micro/nanostructural evolution of Mn-doped tetragonal phase SnO_2 under different heat treatment
27 temperatures is evaluated by X-ray diffraction (XRD) and high-resolution transmission electron
28 microscopy. It is surprisingly found that the orthorhombic phase SnO_2 is formed in Mn-doped
29 tetragonal phase SnO_2 . The obvious diffraction peaks and clear lattice fringes confirmed that the
30 orthorhombic phase SnO_2 nanocrystals evidently exist in Mn-doped SnO_2 samples. Experimental
31 results indicated that the XRD peak intensities and crystal planes of the orthorhombic phase SnO_2
32 decrease with increasing of heat treatment temperatures. Formation of orthorhombic phase SnO_2 is
33 attributed to the lattice distortion of tetragonal phase SnO_2 due to the Mn-doped tetragonal phase
34 SnO_2 .

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36 **Keywords:** Tin dioxide; Tetragonal; Orthorhombic; Lattice distortion; Micro/nanostructure

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42 Introduction

43 Tin dioxide (SnO₂) is a unique material of widespread technological applications, particularly in the
44 field of strategic functional materials. SnO₂, as a kind of *n*-type wide-band-gap semiconductor
45 material ($E_g = 3.64$ eV at 300 K), has been extensively and intensively studied in the past few
46 years,¹⁻⁴ which exhibited superior properties such as transparency, remarkable chemical and thermal
47 stabilities, used in solar cells,^{5,6} gas sensors,^{7,8} electrode materials,^{9,10} catalysts,¹¹ and optoelectronic
48 devices.^{12,13} It has been noted that the most important form of SnO₂ is cassiterite, a phase of SnO₂
49 with the tetragonal rutile crystal structure. In recent years, the micro/nanostructural characteristics
50 and prosperities of tetragonal phase SnO₂ have been extensively studied by our and other research
51 groups,¹⁴⁻¹⁷ motivated in part by many technological applications in gas sensing, optical and
52 electrical properties.¹⁸⁻²²

53 In addition to the stable tetragonal phase SnO₂, it existed another form and was called
54 orthorhombic phase crystal structure ($a = 0.4714$ nm, $b = 0.5727$ nm, and $c = 0.5214$ nm).¹⁴
55 However, orthorhombic phase SnO₂ has been seldom investigated in the past few years because it is
56 metastable structure. It is known that the orthorhombic phase SnO₂ was usually found in high
57 pressure and temperature experiments. For example, Suito's research group has first synthesized
58 SnO₂ powders with an orthorhombic phase structure at a high pressure of 15.8 GPa and a
59 temperature of 800 °C.²³ The orthorhombic phase SnO₂ has also been formed in diamond-anvil SnO₂
60 experiments by Liu,²⁴ who found that it was formed from a higher-pressure fluorite-type phase upon
61 release of pressure. Joseph Lai and Shek's research group detected the orthorhombic phase in X-ray
62 scattering measurements of SnO₂ powders.²⁵ Ultrafine oxidized tin particles with particle size about
63 6 nm have been prepared by inert gas condensation deposition under low oxygen pressure. They
64 believed that the orthorhombic phase SnO₂ may be an intermediate product when disordered tin

65 oxide (amorphous or nanoparticle) transforms to stable tin oxide (rutile phase) on annealing under
66 oxygen deficiency conditions. Kaplan also reported that both tetragonal and orthorhombic SnO₂
67 phases were found in Sn-O films deposited at substrate temperatures in the range 350-500°C.²⁶ The
68 high-pressure orthorhombic phase SnO₂ is believed to have the same crystal structure as α -PbO₂,
69 which was refined by Kong et al. using a high vacuum metal organic chemical vapor deposition
70 (MOCVD) system.²⁷ A similar sequence of orthorhombic phase SnO₂ was reported by our research
71 group in studies of SnO₂ thin films using pulsed laser deposition (PLD).^{28,29} Above experimental
72 results indicated that the orthorhombic phase SnO₂ can be synthesized by a variety of technological
73 routes. It can be reasonable to extrapolate that the high pressures/temperatures or strain may be the
74 vital factors for the formation of the orthorhombic phase SnO₂.

75 Transition metal Mn-doped tetragonal SnO₂ may induce their lattice distortion since the Mn³⁺
76 (0.65 Å) or Mn⁴⁺ (0.54 Å) ionic radius is smaller than that of Sn⁴⁺ (0.69 Å),³⁰ which will result in
77 high compressive stresses or high pressures on the SnO₂ and generate the orthorhombic phase SnO₂.
78 However, this strategy has not been reported so far. Sangaletti and co-workers have reported SnO₂
79 multilayer thin film grown by the rheotaxial growth and thermal oxidation method on Al₂O₃
80 substrates.³¹ Their results indicated that, in addition to the SnO₂ cassiterite phase, a contribution
81 from another SnO₂ orthorhombic phase was present, which can be related to cassiterite by
82 introducing micro-twinning effects. This SnO₂ multilayer thin film showed a higher sensitivity
83 towards CO with respect to the conventional single layer SnO₂ sensors. The formation of
84 orthorhombic-phase SnO₂ is intimately tied to a number of important synthesis parameters such as
85 high pressures and temperatures.^{23,24,32} Müller found an unknown epitaxial interface phase of SnO₂
86 on α -quartz (10 $\bar{1}$ 0), which indicated that different octahedra stacking in the case of SnO₂ may give
87 rise to different orthorhombic possibilities.³³ Arbiol and co-workers have reported the synthesis of

88 pure monocrystalline orthorhombic SnO₂ nanowires and pure monocrystalline orthorhombic SnO₂
89 nanowires decorated with cassiterite SnO₂ nanoclusters.³⁴ In fact, when previous experiments are
90 examined in detail, it is often difficult to rule out the possibility of the presence of high compressive
91 stress.

92 In this article, the doping of tetragonal phase SnO₂ particles with Mn ions will be carried out using
93 a simple chemical co-precipitation method. The micro/nanostructures of Mn-doped tetragonal phase
94 SnO₂ under different heat treatment temperatures are evaluated in detail by X-ray diffraction (XRD)
95 and high-resolution transmission electron microscopy (HRTEM). We corroborated the coexistence of
96 both tetragonal and orthorhombic SnO₂ phases in Mn-doped SnO₂ samples under different heat
97 treatment temperatures. The obvious XRD peaks and clear lattice fringes observed by HRTEM
98 confirmed that the orthorhombic phase SnO₂ nanocrystals evidently exist in Mn-doped SnO₂
99 samples. Experimental results indicated that the XRD peak intensities and crystal planes of the
100 orthorhombic phase SnO₂ decrease with increasing of heat treatment temperatures. The
101 micro/nanostructural analyses certificated that the crystallographic structure and lattice mismatch at
102 the interface between tetragonal and orthorhombic SnO₂ phases is very important in defining the
103 micro/nanostructure characteristics. The strain originated by the different lattice parameters of the
104 tetragonal and orthorhombic SnO₂ in relation to the doping of tetragonal phase SnO₂ particles with
105 Mn ions may play a critical role in formation of the orthorhombic phase SnO₂.

106

107 **Experimental**

108 All chemicals used in this experiment were analytical grade without further purification. A typical
109 procedure to synthesize Mn-doped SnO₂ particles was performed as follows: the Mn-doped
110 tetragonal phase SnO₂ particles were prepared by a simple chemical co-precipitation method using

111 $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$ and $\text{MnCl}_2 \cdot 6\text{H}_2\text{O}$ as the sources of Sn and Mn ions. The $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$ was added in
112 de-ionized water (0.2 mol/L) and mixed with $\text{MnCl}_2 \cdot 6\text{H}_2\text{O}$ (5 mol%) homogeneously. The above
113 mixed solution was refluxed at 130 °C for 36 h under air atmosphere. The precipitation was carried
114 out using aqueous ammonia (1 mol/L) after cooling the refluxed solution. The primal sample was
115 washed several times with de-ionized water to remove the water-soluble impurities and free
116 reactants and dried at 80 °C for 10 h. In order to obtain the better crystalline SnO_2 particles, the
117 as-precursor was calcined in air at four different temperatures: 250, 350, 450, and 550 °C for 3 h,
118 respectively. In order to better understand the effects of the Mn-doped SnO_2 particles, a pure
119 tetragonal phase SnO_2 sample was also prepared using a similar method and calcined in the same
120 conditions. The micro/nanostructural evolution of the resultant powders was characterized by X-ray
121 diffraction (XRD) and high-resolution transmission electron microscopy (HRTEM) techniques.

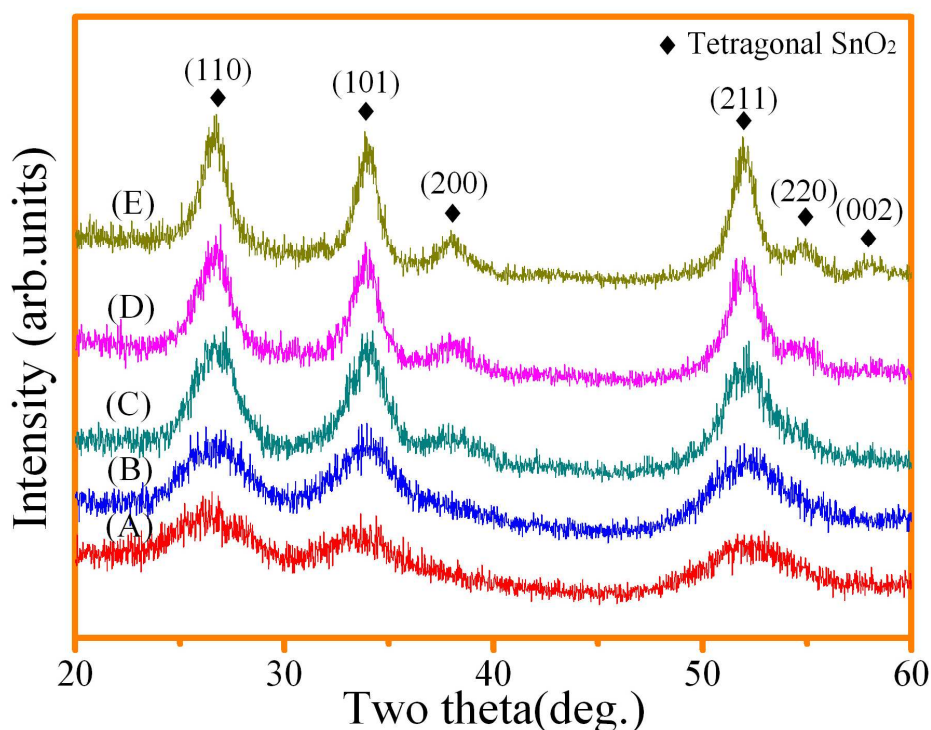
122 XRD patterns were obtained from Japan Regaku D/max-2500 using Cu K_α radiation in reflection
123 geometry. A proportional counter with an operating voltage of 40 kV and a current of 40 mA was
124 used. XRD patterns were recorded at a scanning rate of 0.08°s^{-1} in the 2θ ranges from 20 to 60° .
125 HRTEM observations were performed on a JEOL JEM-2010F transmission electron microscope
126 operating at 200 kV.

127

128 **Results and discussion**

129 The crystalline evolution of samples from the as-synthesized powders during heat treatment in air for
130 different temperatures was investigated by XRD techniques. Fig. 1 shows the typical XRD patterns
131 of the undoped samples which were taken from the as-synthesized sample (Fig. 1A) and heat
132 treatment in air for 250 °C (Fig. 1B), 350 °C (Fig. 1C), 450 °C (Fig. 1D), and 550 °C (Fig. 1E). The
133 XRD patterns at various heat treatment temperatures show that the peak intensities and sharpnesses

134 are enhanced with compared to the as-synthesized sample, indicating the undoped samples at various
135 heat treatment temperatures are well-crystallized. The presence of the broad and weak peaks as
136 shown in Fig. 1A indicated that the nanoparticles of the as-synthesized sample are smaller than heat
137 treatment one because the width of the XRD peaks is related to the particle size through Scherrer's
138 formula: $D = K\lambda/\beta\cos\theta$, where D is the diameter of the nanoparticles, $K = 0.9$, λ (Cu K α) = 1.5406
139 Å, and β is the full width at half-maximum of the diffraction peak. The major diffraction peaks of all
140 undoped samples corresponded to the tetragonal unit cell structure of SnO₂ with lattice constants $a =$
141 $b = 4.738$ Å and $c = 3.187$ Å, which are consistent with the standard values for bulk SnO₂
142 (International Center for Diffraction Data, PDF File No. 41-1445). The observed (hkl) peaks are
143 (110), (101), (200), (211), (220), and (002). Comparing with Fig. 1A and Fig. 1B-E, it can be
144 confirmed that the growth of the SnO₂ nanoparticles is influenced significantly by heat treatment
145 temperatures. Experimental results indicated that the grain size of the undoped SnO₂ nanoparticles
146 increases with increasing of heat treatment temperatures. All peaks as shown in Fig. 1B-E became
147 sharp and stronger, proving that the heat treatment temperature is a potentially powerful technique to
148 improve the growth of SnO₂ nanocrystals. However, it is not able to find the surprise changes in the
149 undoped SnO₂ samples. We will investigate in detail the effects of Mn-doping of tetragonal phase
150 SnO₂ on micro/nanostructural characteristics.



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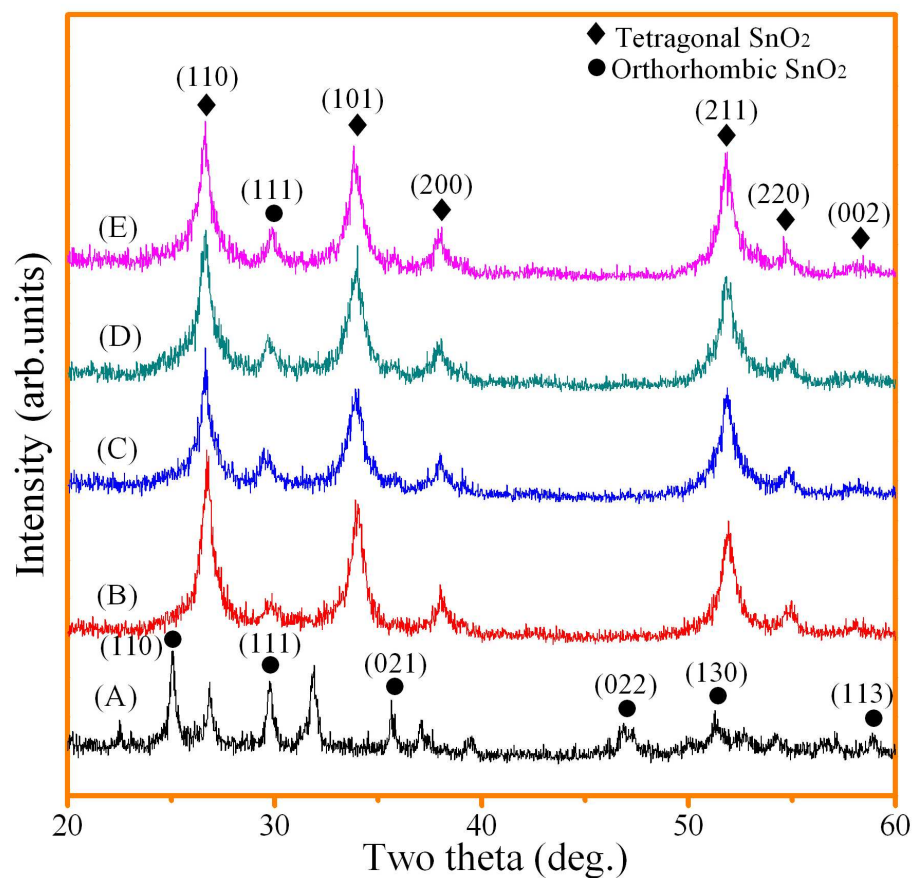
152 **Fig. 1** The typical XRD patterns of pure tetragonal phase SnO₂ nanoparticles after heat treatment in
153 air for (A) as-synthesized, (B) 250 °C, (C) 350 °C, (D) 450 °C, and (E) 550 °C.

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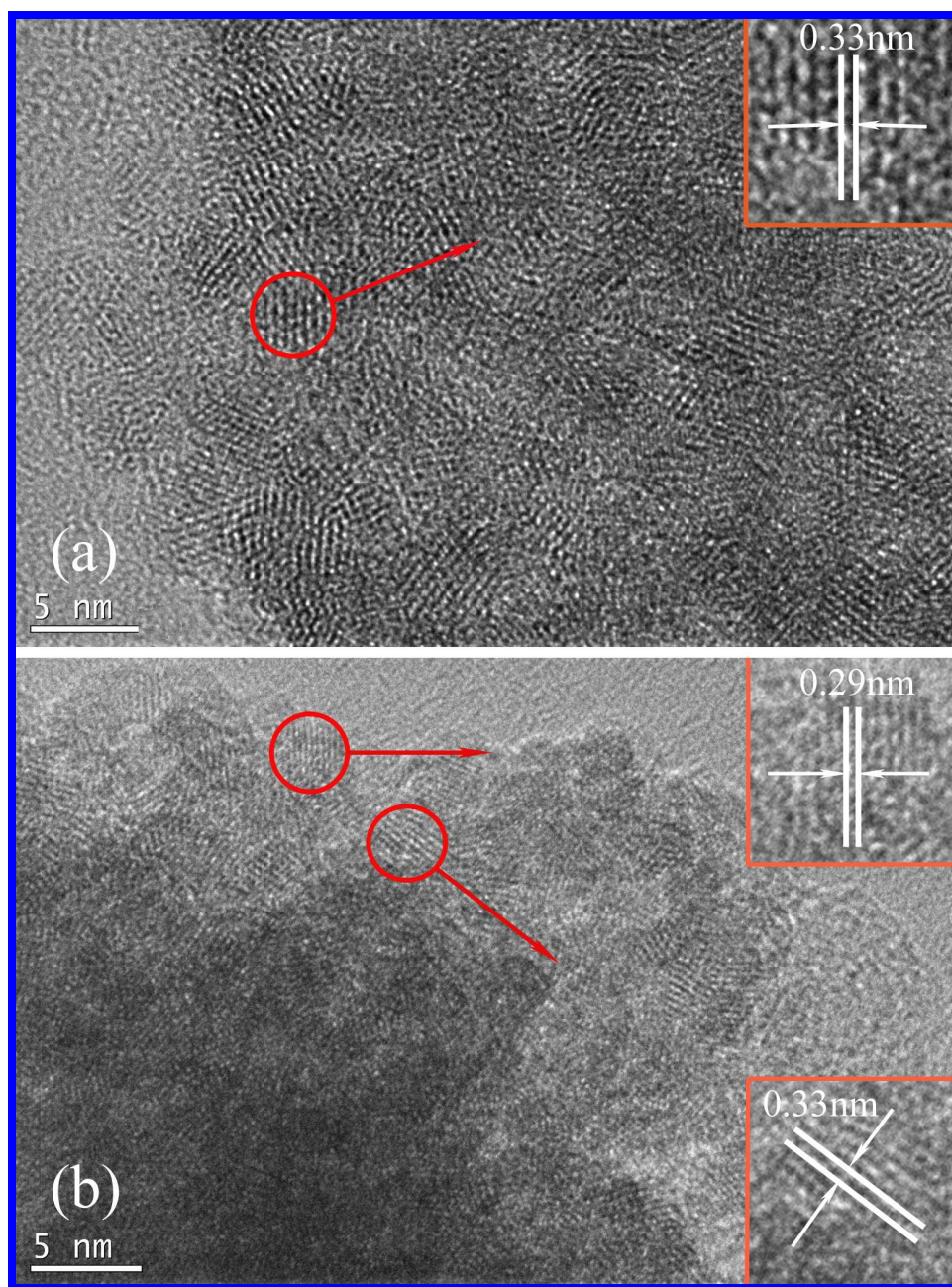
155 In order to search of novel micro/nanostructured materials with orthorhombic features along with
156 precise controllable physical and chemical properties, the wide band gap oxides such as SnO₂, TiO₂,
157 ZnO, and HfO₂ doped with transition metal ions such as Mn, Co, Ni, Fe, Cr, etc. have attracted
158 considerable attention due to a number of distinctive optical and electronic properties originating
159 from large *sp-d* exchange interaction between the transition metal ions and the band electrons.³⁵ Fig.
160 2 represents the typical XRD patterns of the Mn-doped tetragonal phase SnO₂ with the Mn = 5 mol%
161 which were taken from the as-synthesized sample (Fig. 2A) and heat treatment in air for 250 °C (Fig.
162 2B), 350 °C (Fig. 2C), 450 °C (Fig. 2D), and 550 °C (Fig. 2E). It was surprised to find that the
163 Mn-doped samples of the as-synthesized and heat treatment at various temperatures were composed
164 of orthorhombic and tetragonal SnO₂. The diffraction peaks at (110), (101), (200), (211), (220), and
165 (002) planes can be indexed to the tetragonal phase SnO₂ and the reflections at (110), (111), (021),

8

166 (022), (130), and (113) planes are attributed to the orthorhombic unit cell of SnO₂. The higher heat
 167 treatment temperature gave rise to an increase in the peak intensity of tetragonal phase SnO₂ at the
 168 expense of orthorhombic phase SnO₂. However, the Mn-doped sample heated even up to 550 °C was
 169 still composed of a mixture of orthorhombic and tetragonal SnO₂. The experimental results indicated
 170 that there were no extra peaks of manganese oxides such as MnO, Mn₂O₃, MnO₂, Mn₃O₄, and any
 171 Sn/Mn ternary oxides, implying that the transition metal ions have substituted at the Sn⁴⁺ sites.
 172 Furthermore, the peak position shifted to larger angle at the Mn-doped samples, revealing possible
 173 changes in lattice parameters. As the heat-treatment temperature increasing, the peaks of the
 174 Mn-doped samples have no obvious changes, suggesting that a portion of the metal oxide ions
 175 formed stable solid solutions with SnO₂ and occupied in the regular lattice sites of SnO₂.



176
 177 **Fig. 2** The typical XRD patterns of Mn-doped tetragonal phase SnO₂ (Mn = 5 mol%) after heat
 178 treatment in air for (A) as-synthesized, (B) 250 °C, (C) 350 °C, (D) 450 °C, and (E) 550 °C.



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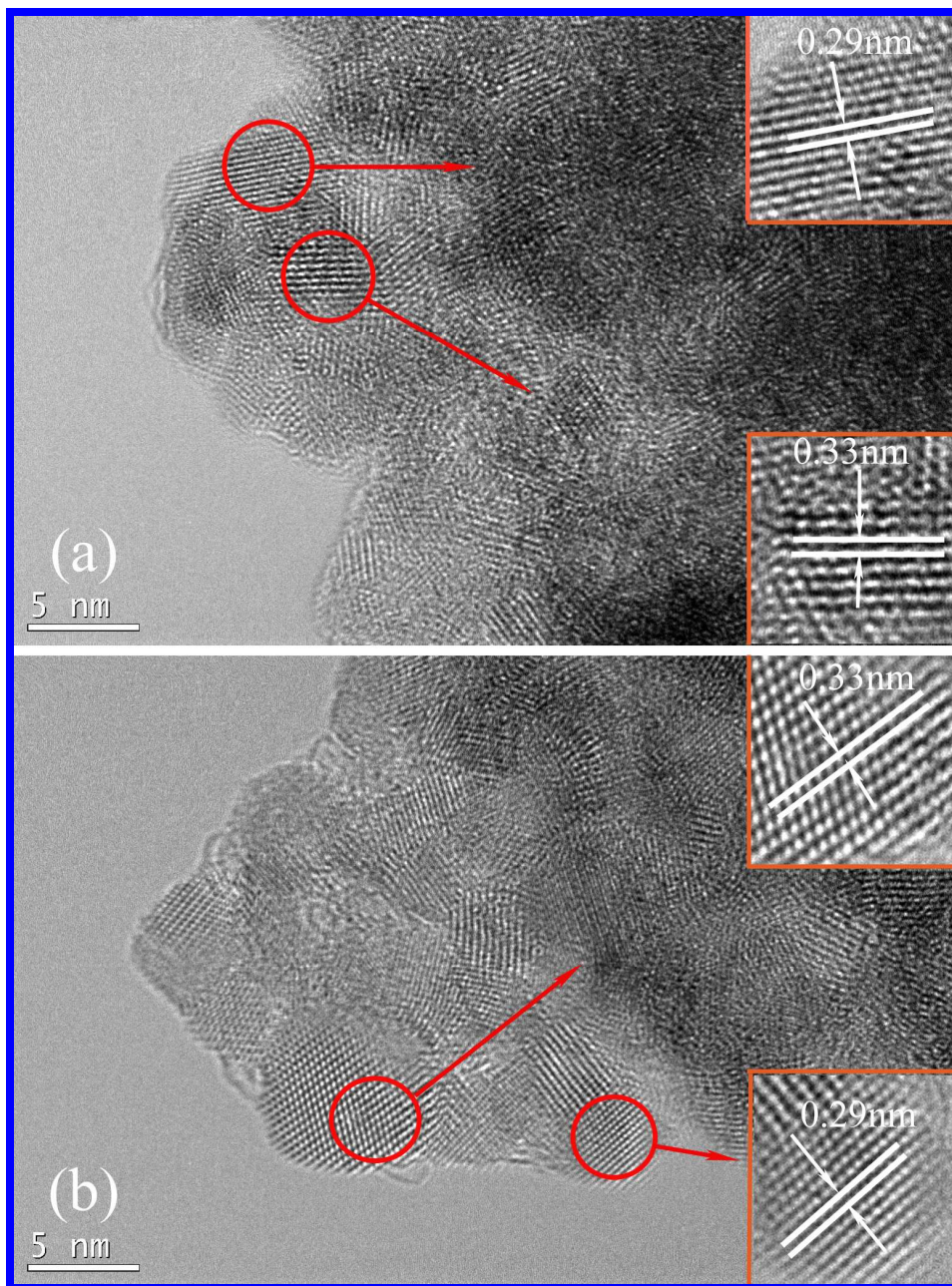
180 **Fig. 3** The typical HRTEM micrographs of (a) as-synthesized undoped SnO₂ nanoparticles and (b)
181 as-synthesized Mn-doped SnO₂ (Mn = 5 mol%).

182

183 In order to understand the precise formation processes of the orthorhombic phase SnO₂ and their
184 relation to micro/nanostructure with tetragonal phase SnO₂ by the Mn-doping, we will investigate
185 the possible mechanism to explain the crystallographic behavior. HRTEM observations in relation to

186 the Mn-doping of tetragonal phase SnO₂ particles at different heat treatment temperatures can give
187 useful information about local composition and lattice mismatch at dislocation cores. A more
188 detailed analysis can be made based on the highly magnified HRTEM images as shown in Fig. 3,
189 which were taken from the as-synthesized undoped SnO₂ nanoparticles as shown in Fig. 3a and
190 as-synthesized Mn-doped SnO₂ (Mn = 5 mol%) as shown in Fig. 3b. It can be found that the vague
191 lattice fringes were formed in the as-synthesized undoped and Mn-doped samples. It appeared that
192 the surface layer of the as-synthesized undoped and Mn-doped samples is covered with the
193 amorphous oxides since a long-range ordering of lattice planes is not observed. However, the
194 detailed crystallographic analysis indicated that some of the observed lattice fringes may originate
195 from different crystal structure. The lattice fringes observed in Fig. 3a demonstrated that the
196 as-synthesized undoped sample is composed of ultrafine nanoparticles with a diameter below 5 nm,
197 even if it is greater than one of Fig. 3b. On closer inspection, the recurrent values of separation
198 distance between lattice layers are found (in particular, 0.33 nm), which corresponds to the lattice
199 parameters of the tetragonal structure of SnO₂ (evidenced in the inset of Fig. 3a). Simultaneously, it
200 is found from the as-synthesized Mn-doped sample (Fig. 3b) that, besides the tetragonal phase SnO₂
201 related to the crystal plane (110), the (111) crystal plane also was observed, which corresponds to the
202 interplanar spacing (0.29 nm) of the orthorhombic phase SnO₂ as shown in the inset of Fig. 3b. The
203 average nanoparticle size was less than 3 nm. A more detailed analysis from Fig. 3b indicated that
204 the slight misorientations are visible in the HRTEM image of a nanocluster composed of several
205 primary SnO₂ nanocrystallines. These misorientations or defects originated from imperfect
206 attachment among several nanocrystallines, resulting in the edge and screw dislocations. The strain
207 originated by the different lattice parameters of the tetragonal and orthorhombic SnO₂ in relation to
208 the Mn-doping of tetragonal SnO₂ particles may play a critical role in formation of the orthorhombic

209 phase SnO₂. Above experimental results indicated that both tetragonal and orthorhombic SnO₂
210 phases are coexisted in the as-synthesized Mn-doped SnO₂ sample, which is consistent with the
211 XRD results as shown in Fig. 2A.



212
213 **Fig. 4** The typical HRTEM micrographs of Mn-doped SnO₂ (Mn = 5 mol%) after being heated at (a)
214 250 °C and (b) 350 °C.

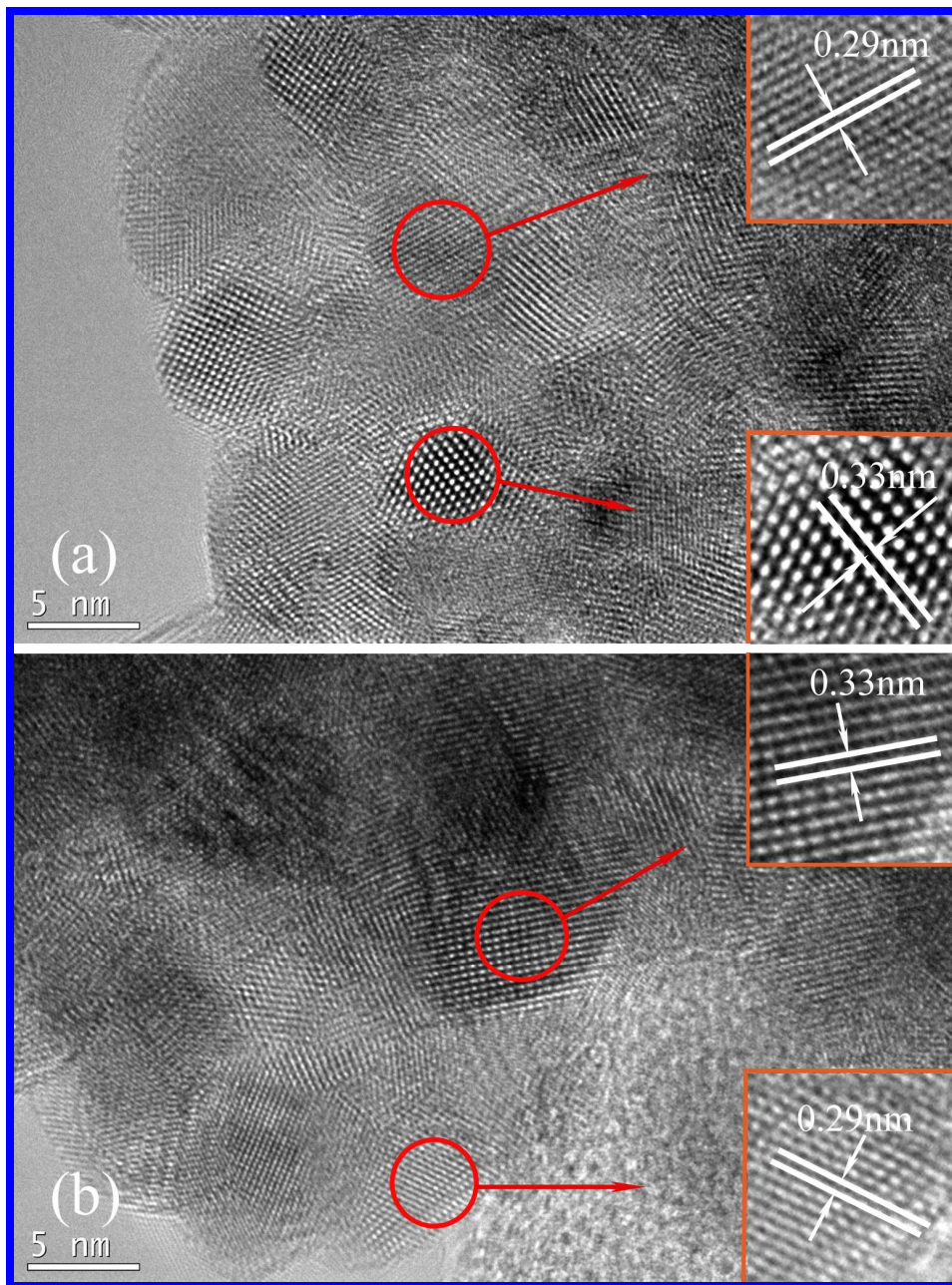
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216 It is known that the SnO₂ with an orthorhombic structure was stable only at high pressures and

217 temperatures, and was a metastable phase under normal conditions. Further advancement of the
218 formation processes for this orthorhombic phase SnO₂ requires a clear understanding of its thermal
219 stabilities. Fig. 4 shows the typical HRTEM micrographs of the Mn-doped SnO₂ (Mn = 5 mol%)
220 after being heated at (a) 250 °C (Fig. 4a) and (b) 350 °C (Fig. 4b). It can be seen that the crystallinity
221 properties of the Mn-doped SnO₂ heated at 250 °C and 350 °C increased with increasing of the heat
222 treatment temperatures, comparing to the as-synthesized Mn-doped SnO₂ as shown in Fig. 3b. Many
223 long-range ordered lattice fringes can be clearly observed in the Mn-doped SnO₂ and the average
224 nanoparticle sizes increased with increasing of the heat treatment temperatures as shown in Fig. 4.
225 When the Mn-doped SnO₂ sample heated to 250 °C, the (110) crystal plane related to the tetragonal
226 SnO₂ and (111) crystal plane connected with the orthorhombic SnO₂ were clearly observed.
227 Moreover, the average nanoparticle size increased to about 5 nm as shown in Fig. 4a. When the
228 Mn-doped SnO₂ sample heated to 350 °C, the lattice firings became much clearer, and the average
229 nanoparticle size increased to about 7 nm as shown in Fig. 4b. The experimental results indicated
230 that the heat-treatment effects could improve the growth of the SnO₂ nanoparticles.

231 When the Mn-doped SnO₂ (Mn = 5 mol%) samples were heated up to higher temperatures, e.g.
232 450 °C and 550 °C, the careful XRD examinations revealed that the Mn-doped SnO₂ samples
233 displayed the coexistence of the tetragonal and orthorhombic SnO₂ as shown in Fig. 2D and E,
234 respectively. In order to examine the micro/nanostructure evolution of the Mn-doped SnO₂ (Mn = 5
235 mol%) samples at the higher heat-treatment temperatures, further HRTEM investigations are
236 presented in Fig. 5, which shows the typical HRTEM micrographs of the Mn-doped SnO₂ (Mn = 5
237 mol%) after being heated at (a) 450 °C (Fig. 5a) and (b) 550 °C (Fig. 5b). It can be seen that both
238 SnO₂ phases, tetragonal and orthorhombic structures, were still randomly distributed in the higher
239 temperature heat treatment samples. The gradual growth of the tetragonal SnO₂ at the expense of the

240 orthorhombic SnO₂ was observed in the micro/nanostructure evolution. The (110) crystal plane
241 related to the tetragonal SnO₂ and (111) crystal plane connected with the orthorhombic SnO₂ can be
242 more clearly observed in the insets of Fig. 5a and b. The misorientations and defects reduced and the
243 lattice fringes were more perfect with increasing of the heat treatment temperatures. The average
244 nanoparticle size increased to about 8 nm and 10 nm as shown in Fig. 5a and b, respectively. All the
245 above experimental results proved that the strain originated by the different lattice parameters of the
246 tetragonal and orthorhombic SnO₂ in relation to the Mn-doping of the tetragonal phase SnO₂ may
247 play a critical role in formation of the orthorhombic phase SnO₂.



248

249 **Fig. 5** The typical HRTEM micrographs of Mn-doped SnO₂ (Mn = 5 mol%) after being heated at (a)

250 450 °C and (b) 550 °C.

251

252 A detailed process of the transformation mechanism must take into account the instability of the

253 Mn-doped SnO₂ samples in the temperature range in which the orthorhombic SnO₂ phase begins to254 form. For the as-synthesized Mn-doped SnO₂ (Mn = 5 mol%) sample, the nonstoichiometric oxides255 underwent a disproportionation reaction forming tetragonal SnO₂ and perhaps intermediate oxides. If

256 the intermediate oxides serve as the matrix in which the orthorhombic SnO₂ phase nucleates, then
257 the nucleation must obviously occur before the disproportionation reaction takes place. The
258 formation of the orthorhombic phase SnO₂ is favored by a nucleation barrier which is lower than that
259 for the tetragonal phase SnO₂. The enhancement of the metastable phase could be steric, that is, the
260 formation of the orthorhombic phase SnO₂ may require less atomic rearrangement than the
261 formation of the tetragonal phase SnO₂. As shown by Fig. 2A, the Mn-ions are inclined to
262 incorporate into the tetragonal phase SnO₂ lattice in the form of Mn³⁺ (0.65 Å) or Mn⁴⁺ (0.54 Å)
263 since their ionic radius is smaller than that of Sn⁴⁺ (0.69 Å),³⁰ which led to the shrinkage of the
264 lattice constant due to smaller ionic radius of Mn³⁺ or Mn⁴⁺ substituted in place of Sn⁴⁺ sites. When
265 the Mn-ions replaced the Sn⁴⁺, the production of the orthorhombic phase SnO₂ increased since the
266 grain size was reduced, perhaps, the reduced grain size inhibited the disproportionation reaction.
267 Such an effect has also been previously detected in a high-temperature X-ray scattering experiment
268 of tin oxide powders with varying particle sizes.³⁶ In this way, it is therefore appeared more crystal
269 planes of the orthorhombic phase SnO₂ in the as-synthesized Mn-doped sample. On the other hand,
270 the XRD results as shown in Fig. 2B-E indicated that the initial heat treatment sample began to
271 transform into the tetragonal SnO₂ after being heated at 250 °C, 350 °C, 450 °C, and 550 °C,
272 respectively. It was again clearly revealed by the HRTEM observations as shown in Fig. 4 and 5.
273 This research indicated that the strain originated by the different lattice parameters of the tetragonal
274 and orthorhombic SnO₂ in relation to the Mn-doping of the tetragonal phase SnO₂ may play a critical
275 role in formation of the orthorhombic phase SnO₂. The formation of the orthorhombic phase SnO₂
276 could be attributed to the lattice distortion of the tetragonal phase SnO₂ due to the Mn-doping.

277

278

279 **Conclusions**

280 In summary, the micro/nanostructures of the Mn-doped tetragonal phase SnO₂ have been
281 successfully prepared by a chemical co-precipitation method. Their micro/nanostructural evolution
282 under different heat treatment temperatures could be reasonably evaluated by the XRD and HRTEM
283 techniques. It was surprisingly found that the orthorhombic phase SnO₂ could be formed in the
284 Mn-doped tetragonal phase SnO₂. The obvious diffraction peaks and clear lattice fringes confirmed
285 that the orthorhombic phase SnO₂ nanocrystals evidently exist in the Mn-doped SnO₂ samples.
286 Experimental results indicated that the XRD peak intensities and crystal planes of the orthorhombic
287 phase SnO₂ decrease with increasing of the heat treatment temperatures. The formation of
288 orthorhombic phase SnO₂ could be therefore attributed to the lattice distortion of the tetragonal
289 phase SnO₂ due to the Mn-doping. Our findings may enable this novel functional material with the
290 orthorhombic phase SnO₂ to be tailor-made for a large number of applications such as optoelectronic
291 devices and gas sensors.

292

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303

304 **Notes and references**

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