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Graphical Abstract



Figure showing the effect of pH on CPC gel formation at 25 $^{\circ}$ C and Fluorescence emission spectra of CPC solutions at pH ~ 11.8.

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ARTICLE TYPE

pH sensitive smart gels of cetylpyridinium chloride in binary solvent mixtures: phase behaviour, structure and composition

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Cetylpyridinium chloride (CPC) gels from binary solvent mixtures of chlorinated solvents in the presence of water at a specific composition ratio have been studied. A transparent gel has been formed from CPC with dichloromethane, while a turbid gel with chloroform and a very weak opaque gel with carbon tetrachloride were observed in the presence of water. The CPC gel in a binary solvent mixture at a critical 10 solvent composition of 3:1 v/v CHCl₃:H₂O has been investigated as a function of pH between 4.1–11.8. The self-assembly of CPC and its morphology was found to be dependent on the solvent polarity / dielectric constant and pH of the medium. The absorption and emission characteristics of the CPC gel showed significant response in a highly alkaline medium. The microstructure of the CPC gels in various

chlorinated solvent combinations was proposed based on spectroscopic and microscopic investigations.

15 **1.** Introduction

Soft materials have attracted potential interest due to the integral role they play in controlled drug delivery, fluorescence, sensing ability/stimuli-responsive nature in the field of smart gels. Their chemistry of self-assembly due to non-covalent interactions has

- ²⁰ been still receiving considerable attention.¹⁻³ Among various soft materials, supramolecular gels⁴⁻⁶ have interesting applications due to their ability to form highly ordered 3D-network structures either via covalent or by non-covalent interactions⁷. The specific functional properties and biodegradability exhibited by these soft
- ²⁵ gels are different from that of the polymer gels.^{8, 9} In this context, any gelating species that use non-covalent interactions such as hydrogen bonds, π - π interactions, metal co-ordination, or hostguest inclusion can be described as supramolecular gels.¹⁰ Therefore, supramolecular gels have been further divided into ³⁰ supramolecular polymeric gels¹¹ and low molecular weight gels
- (LMWGs),¹²⁻¹⁵ depending on molecular weight of the building blocks of the gels. Creating well-organized supramolecular selfassembled gelator aggregates from organic molecules is important owing to their potential applications in various optoelectronic
- ³⁵ fields, including enhanced charge transport and fluorescence emission.¹⁶⁻²⁰ The self-assembled process was shown to be solvent dependent and is driven by the multiple dynamic noncovalent interactions as driving forces for numerous supramolecular assembles (*viz.* organogels, hydrogels and liquid
- ⁴⁰ crystalline materials etc.).^{21,22} These interactions are strong enough to overrule the loss of entropy caused by the organization of the building blocks and, as a result, self-assembly is thermodynamically favored. However, the non-covalent interactions are weak enough to keep the assembly reversible.
- 45 Because of this reversibility, the self-assembled state remains

dynamic and exchange with unassembled building blocks will always take place. This dynamicity of the self-assembled state, the spontaneity of self-assembly and fact that it is thermodynamically favored make self-assembly a very attractive 50 tool for the formation of novel artificial functional systems.

Gels not only represent an intriguing case of self-assembly and phase separation, but also serve as a novel means for creating "smart materials". Gelation of binary solvents mixtures of waterethylammonium nitrate mediated by imidazolium-based surfactant, 1-butyl-3-methylimidazolium 55 catanionic dodecylsulfate, was recently reported.²³ Smart gels using 1-butyl-3-methylimidazolium tetrafluoroborate as a solvent had been reported from cholesterol-based low-molecular mass gelators.²⁴ Structure-property correlation of organogelators based on organic 60 salts and their selective gelation of p-xylene from p-xylene/water mixtures was also reported.²⁵ Recently, crown ether based bolaamphiphilic low-molecular weight gelator which could form gels both in organic and aqueous media was reported by Zheng et al.²⁶ Recent developments have also employed various triggers 65 which include solvent composition,²⁷ magnetic fields, addition of salts²⁸ and photo-responsive UV light.²⁹⁻³¹ The sensing and catalysis potentials of gels were investigated by the introduction of metal ions in the gel matrix.³² Bhuniya and Kim³³ synthesized novel and highly efficient fluorescent hydrogelators that showed the ability 70 to sense biological entities, such as, glucose and insulin at very low concentrations. Xue et al. recently synthesized a glutamide gelator and reported that a weak fluorescence emission and a small enhanced emission were observed during its gelation.³⁴ Stimuli-responsive gels have received increased attention where the guest molecules 75 can be entrapped or released by stimulating external factors such as pH or temperature, responsible for the supramolecular network.³⁵ Stimuli responsive nature of the gels is a prerequisite for

the development of smart and functional materials. Therefore, exploring supramolecular gels (Organo / Hydrogels) which are responsive to pH, temperature, light, mechanical and chemical entities including ionic/molecular additives by incorporating a

- ⁵ spectroscopically active or a receptor unit as a part of the gelator molecule is also virtually important and has been extensively studied.
 ³⁶⁻³⁸ Especially in regard to chemical stimuli, a diversity of responsive signals have been developed, such as host-guest interactions,³⁹ the addition of acid/bases ⁴⁰⁻⁴³ and/or ions, ^{44, 45} metal coordination,⁴⁶⁻⁴⁸
 ¹⁰ CO₂ absorption,⁴⁹⁻⁵⁰ light, ⁵¹⁻⁵³ redox molecules.⁵⁴⁻⁵⁶
- Various supramolecular gels based on macrocycles have also been been reported till now.^{57, 58} However, the full potential of selfassembly has not been reached and most of them only focused on the construction of dendrimer / macrocycle-containing supramolecular
- ¹⁵ gels. The design of new supramolecular gels mainly arises from serendipitous discovery or from extension of previously discovered basic gelation elements. This problem is especially prominent for low-molecular weight gelators. For this reason, attempts to rationalize the gelling power between molecular structure and
- 20 solvents are highly important. Therefore, enhanced functionality of supramolecular gels by external stimuli such as pH, light, temperature, mechanical force, and other stimuli need to be further explored to create new sensing / smart materials.

In literature, several supramolecular gels have been synthesized or

- ²⁵ studied in order to look at mainly the structural aspects. For instance, we have recently reported a fibrous gel formed from cetylpyridinium chloride in binary solvent mixtures with complete structural analysis.⁵⁹ However, the effect of solvent polarity, pH on phase behavior and the emission characteristics of cetylpyridinium chloride
- ³⁰ gels were not focused. Therefore, the main objective of this work was to study the morphology, emission characteristics and phase behavior of cetylpyridinium chloride gels as a function of solvent polarity and pH using Optical, HRTEM, DSC, UV-visible, Fluorescence and ¹H NMR spectroscopy.

35 2. Experimental

Cetylpyridinium chloride of 99% purity was purchased from S.D. fine-chemicals Ltd., India. The CPC gel in binary solvent mixture was synthesized according to the previously reported procedure.⁵⁹ Ultrapure water from a Millipore Elix A3-MilliQ system (MilliQ,

⁴⁰ Germany) was used in preparing the aqueous buffer solutions of varying pH. Samples were prepared in freshly prepared aqueous solutions at 25 ± 0.1 °C. Electronic absorption spectra were recorded on a Cary 5E double-beam spectrophotometer using a 1 cm path length Infrasil quartz cuvette. ¹H NMR measurements ⁴⁵ were carried out using Bruker 400 MHz NMR Spectrometer with

Tetramethylsilane used as a reference.

Optical Microscopy: Optical Microscopy was performed with a Euromex (Holland) microscope fitted to a Samsung SD-310 CCD camera and Olympus BX-60 microscope fitted with Media

⁵⁰ Cybernetics (Evaluation Series) camera. Snapshots of the samples were obtained through Aver TV-USB media image grabber.

Steady-state fluorescence spectroscopy: The emission spectra of the samples were obtained from a Jobin-Yvon Fluorolog spectrofluorimeter with 0.2 nm resolution with sample geometry 55 at 90° to the excitation source.

High Resolution Transmission Electron Microscopic (HRTEM) images were taken using a JEOL 3010 instrument. Samples were prepared on a carbon coated copper grid.

Differential Scanning Calorimetry (DSC) thermograms were ⁶⁰ acquired using TA Instruments Q200 MDSC. The sample pan used in the experiment is made of Aluminium (T_{zero} Hermetically sealed Aluminium pan). Scan rate: 10 °C/min (heating) & 5 °C/min (cooling).

High resolution scanning electron microscopy (HRSEM)

65 images were obtained using a FEI Quanta scanning electron microscope by placing a small drop of the sample on pre-treated Si (100) substrates, followed by natural drying at room temperature in field emission mode under low vacuum.

3. Results and Discussion

70 3.1 Solvent polarity dependent morphology

As chlorinated solvents are commonly used for the fabrication and development of organic devices,⁶⁰ the search for various selfassembling and existing gelator molecules continued to tune/modify the properties of the gels without using hard-core 75 synthesis to prepare gelator molecules as the synthesis of specific organized assemblies from π -conjugated / dendritic gelator molecules requires complex synthetic modifications of the π conjugated moieties. Self-assembly of the molecules observed in cast films of the lipids obtained from CCl₄ solutions are different ⁸⁰ from those contained in the films prepared from aqueous solution. A slight increase in the solvent polarity results in cast film selfassembly that differ substantially from those observed from CCl₄. The CPC gels from binary solvent combination of chlorinated solvents of varying polarity (or dielectric constant) in the 85 presence of water *i.e.*, CHCl₃:H₂O, CH₂Cl₂:H₂O, and CCl₄:H₂O in 3 : 1 v/v binary solvent mixture have been studied. CPC gels prepared from various chlorinated solvents in the presence of water appears differently, *i.e.*, a turbid gel (appears blue color due to scattering) was observed with chloroform (as the organic 90 solvent with dielectric constant, $\varepsilon = 4.81$) in the presence of water, a transparent gel with dichloromethane ($\varepsilon = 9.08$) in the presence of water, and a very weak opaque gel with carbon tetrachloride ($\varepsilon = 2.24$) was observed. This has prompted us to investigate the morphologies of CPC gels with solvents of 95 varying polarities / dielectric constants of chlorinated solvents, in particular. To obtain a better insight into the morphology of the as-formed gels, high resolution transmission electron microscopic (HRTEM) investigations have been performed. The HRTEM images of the CPC gel from binary solvent mixtures of 100 CH₂Cl₂:H₂O and CHCl₃:H₂O shown in the Fig. 1 & 3 exhibited different morphologies in both the solvent combinations.



Fig. 1 High resolution TEM images of the CPC gel formed at pH 7 at 25 °C showing (a) extended fibrillar and twisted tubes with end-to-end connected structures and (b) a uniform twisted tube with 300 nm width [6 105 wt% CPC in the binary solvent mixture DCM:H₂O (3 : 1 v/v)]. Scale bars in Fig. 1 (a & b) = $0.5 \ \mu$ m.



Fig. 2 Optical images of the CPC gel phase (6 wt%) in $CH_2Cl_2:H_2O$ (3 : 1 v/v) at pH 7 under crossed polarizers (a) 4 X, (b) 4 X. Inset shows the ¹⁰ stable-to-inversion of the formed CPC gel at 25 °C.

The HRTEM images from Fig. 1 (a & b) depicts twisted tube-like end-to-end connected structures with 200–400 nm width, whereas, Fig. 3 (a & b) revealed lamellar sheets, tubular structures and also lamellar sheets folding into fibrous tubular 15 structures with 0.4–2 µm width and several micrometers length.

- The gel remained stable without any change in its appearance for at least 6 months at ambient temperature. The xerogels exhibited strong birefringence as shown in Fig. 2 (a & b) under polarizing radiation. The advantage of forming extended fibrous aggregates
- ²⁰ composed of functional molecules is the generation of soft materials that improve solution processability in the fabrication of optoelectronic devices. The formation of such extended aggregates in chlorinated solvents, which are commonly used for the fabrication of electronic devices because they are good
- ²⁵ solvents for π -conjugated systems.⁶⁰



Fig. 3 High resolution TEM images of the CPC gel formed at pH 7 at 25 $^{\circ}$ C showing a) lamellar sheets folding into b) fibrous tubular structures with 0.4 – 1.5 μ m width [6 wt% CPC in the binary solvent mixture ³⁰ CHCl₃:H₂O (3 : 1 v/v)]. Inset shows the stable-to-inversion of the formed CPC gel.

The gel forming ability of CPC has been studied in aqueous solutions at varied pH. The self-assembly of CPC was found to be strongly dependent on pH of the medium. Fig. S8 (Supporting

- ³⁵ information) schematically illustrates its varied phase evolution. CPC formed the stable gel at a pH ~7 and the strongly alkaline CPC turbid solution (0.025 M NaOH, pH ~ 11.8), upon storing showed fluorescence under UV light. A turbid viscous solution at pH~ 9.2 was observed, whereas, the gel structure was lost at an
- ⁴⁰ acidic pH of 4.1 leading to phase separation, shown in Fig. S8 (Supporting information).

3.2 Stimuli responsive behaviour of the CPC gels: Effect of pH on CPC gel formation and pH dependent structural investigation

45 Fluorescence spectroscopy is an important tool to study the

interactions among the molecules in the gel state.⁶² Fluoresce spectroscopic measurements have been performed to monitor the emission property and to investigate the fluorescence arising from the colored (orange brown) CPC viscous solution at pH 11.2. In ⁵⁰ order to know the excitation wavelength for performing fluorescence measurements, first UV-visible absorption measurements were carried out for the solutions prepared at various concentrations of CPC from 0.01 to 165 mM. The UVvisible absorption spectra of CPC solutions showed characteristic ⁵⁵ UV bands at 213 and 258 nm (*vide* Fig. 4a). The absorption and

emission characteristics of CPC showed significant response upon the addition of alkaline NaOH solution of pH 11.8 as compared to aqueous CPC solutions. The UV-visible absorption profiles of CPC solutions in alkaline medium with below and 60 above CMC of CPC (0.95 mM) are shown in Fig. 4b, with a characteristic absorption at 396 nm. The emission spectra of fresh CPC solutions in alkaline medium when excited at 396 nm showed a well defined emission at ~460 nm along with weak



Fig. 4 (a) UV-visible absorption spectra of aqueous CPC solutions (b) 75 UV-visible absorption spectra of freshly prepared CPC solutions in alkaline medium (pH = 11.8) at 25 °C.

emissions, one at 438 nm and the other centered between 512 and 560 nm and the spectra are collected in Fig. 5a. The emission spectra of the same CPC solutions in alkaline medium measured at various time periods shown in Fig. 5b and Fig. 6 evidence for the temporal effect. The solutions revealed a weak emission around 460 nm only at very low concentrations. However, at higher CPC concentrations (≥ 50 mM) as shown in Fig. 5b, a broad and intense emission around 513 nm responsible for the ss strong fluorescence behavior of the strongly basic CPC solutions was observed.



Fig. 5 Emission spectra of (a) freshly prepared CPC solutions in alkaline medium (pH = 11.8) at an excitation $\lambda = 396$ nm, and (b) CPC solutions at pH ~ 11.8 after 8 hrs at 25 °C.

¹⁰⁰ The emission intensity of 460 nm band decreased and existed as a shoulder with time with the evolution of a new broad emission around 513 nm compared to freshly prepared alkaline CPC 65

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Fig. 6 Visual snapshots of CPC in alkaline buffer ($Na_2HPO_4 + NaOH$) at 25 °C with temporal effect [CPC] = 165 mM (6 wt %).

solutions. The results indicate interplay of prevalent intermolecular interactions, such as, the charge-transfer (CT) type

- ²⁰ between the hydroxyl group of the base and the pyridinium moiety of CPC, as evidenced in the absorption spectra (Fig. 4b) with a CT band at 396 nm. This observation suggests that the fluorescence arising from CPC solutions at pH 11.2 could be due to the alteration of the environment around the pyridine moiety,
- ²⁵ such as pH and the ionic strength of the medium. Hence, it can be stated that the pH dependent fluorescence of the CPC gel could be due to variation in the packing arrangements of CPC molecules at different pH.
- In order to provide a concrete evidence for the probable charge transfer interaction between the hydroxyl ion and the pyridinium cation, other possible reactions/pathways were thought of. One such was the possibility of the pyridinium ring opening, leading to probable new chemical entities responsible for the observed fluorescence. Consequently, ¹H NMR experiments were
- ³⁵ performed and the chemical shifts were recorded. Pyridinium or pyrylium salts are known to open their aromatic ring in presence of electron withdrawing groups, such as, cyano (von Braun reaction), 2,4-dinitrochlorobenzene (Zincke reaction), 4-pyridyl, or N,N-dimethylcarbamoyl⁶³ attached to nitrogen of the
- ⁴⁰ pyridinium ring. A parallel approach with ring-opening reactions of pyrylium or chalcogenopyrylium salts was reported in presence of SO_3^- as electron withdrawing group. Structural analysis by ¹H NMR chemical shifts in Fig. 7 revealed chemical shifts for pyridinium ring protons to be at 9.0, 8.6, and 8.1 ppm
- ⁴⁵ for ortho, meta and para positions respectively, which are indicative of aromatic protons in the pyridinium ring. The chemical shifts for methylene protons such as, α -CH₂ and β -CH₂ of CPC were observed at 1.9 and 1.1 ppm respectively with the terminal methyl protons in aqueous medium at 0.7 ppm. The
- ⁵⁰ above results thus indicate that the CPC retained its chemical structure with the aromatic pyridinium ring intact due to the electron donating nature of the alkyl chain attached to the 'N' hetero atom of the pyridinium ring in the aqueous alkaline medium. The ¹H NMR chemical shifts of CPC in alkaline ⁵⁵ medium are assigned in Figure 7c.



Fig. 7 (a) ¹H NMR spectrum (400 MHz) of alkaline CPC in D₂O. (b) Expanded aromatic region of ¹H NMR spectrum. (c) ¹H NMR chemical ss shift assignments for CPC in alkaline medium, at pH = 11.8 at 25 °C.

4. Conclusions

Cetylpyridinium chloride gels from CHCl₃:H₂O, CH₂Cl₂:H₂O, and CCl₄:H₂O binary solvent combinations at a specific 3:1 v/v composition ratio were studied. At a specific binary solvent ⁹⁰ composition, while a transparent gel from dichloromethane was observed, chloroform and carbon tetrachloride at pH 7 resulted in a turbid and a very weak opaque gel respectively. The absorption and emission characteristics of CPC gels showed significant response in a strong alkaline medium at pH 11.8. The evolution ⁹⁵ of a new broad emission at 513 nm was attributed to a charge transfer interaction between the hydroxyl group of the base and the pyridinium moiety of CPC. This characteristic emission could be of considerable importance in organic light emitting diodes. The results showed that the pH dependent behavior of the CPC

¹⁰⁰ gels can be tuned by changing the strength of intermolecular interactions between the gelator molecules in the solution. The fibres of the supramolecular gels can also be used as templates to assemble nanoparticles in two or three-dimensional architectures.

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† Electronic Supplementary Information (ESI) available: [DSC thermograms, HRTEM, HRSEM and Optical/Polarizing images of the CPC gels from chlorinated solvents in presence of aqueous buffer solution]. See DOI: 10.1039/b000000x/

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