RSC Advances

This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. This *Accepted Manuscript* will be replaced by the edited, formatted and paginated article as soon as this is available.

You can find more information about *Accepted Manuscripts* in the Information for Authors.

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard Terms & Conditions and the Ethical quidelines still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.

www.rsc.org/advances

Page 1 of 29 RSC Advances

Enhancement of Solar Cell Efficiency using Perovskite Dyes Deposited via a Two-Step Process

Thanh-Tung Duong, 1,3 Yun-Jeong Kim, 1 Ji-Ho Um, 1 Jin-Seok Choi, 1,2 Anh-Tuan Le, 3 and Soon-Gil Yoon $1,*$

¹Department of Materials Science and Engineering, Chungnam National University, Daeduk Science Town, 305-764, Daejeon, Korea

²Research Analysis Center, Korea Advanced Institute of Science and Technology, 291 Daehak-ro, Yuseong-gu, 305-701, Daejeon, Korea

³Department of Nanoscience and Nanotechnology, Advanced Institute of Science and Technology (AIST), Hanoi University of Science and Technology (HUST), 01 Dai Co Viet, Hai Ba Trung, Hanoi, Vietnam *Corresponding author: sgyoon@cnu.ac.kr

Abstract

`

This study examined the effect of different thick-compact- $TiO₂$ blocking layers (c -TiO₂) and mesoporous-TiO₂ layers (m -TiO₂) on the efficiency of perovskite cells. Anatase c -TiO₂ layers with different thicknesses were *in situ* deposited onto a FTO/glass substrate at a temperature of 400 $^{\circ}$ C via nano-cluster deposition (NCD). The 80 nm-thick c -TiO₂ layers were deposited with good step-coverage on the rough-FTO surface, and were *in situ* crystallized via an anatase phase. The perovskite cells with 80 nm-thick c -TiO₂ and 600 nmthick-*m*-TiO₂ layers showed the highest photovoltaic parameters: J_{SC} of 21.0 mAcm⁻², V_{OC} of 0.89 V, *FF* of 62%, and efficiency (η) of 11.5%. For enhancement of the cell efficiency, solar cells with bi-layer perovskite dyes were deposited via a two-step process onto the *m*-TiO₂ layer (200 nm)/TiO₂ blocking layers (80 nm) and showed V_{OC} and *FF* values of approximately 1.06 and 64%, respectively, with a maximum photo-conversion efficiency of approximately 14.2%.

1. Introduction

`

 $CH_3NH_3PbX_3$ perovskite (X= Cl, Pb, I) has a direct band gap energy that ranges from 1.5~2 eV and has shown outstanding properties as an absorber layer in perovskite solar cells, with improvements from 3.8 to more than 19.3% in the past 4 years, and has been identified as a possible base material for high-efficiency commercial photovoltaics.¹⁻⁶ A typical perovskite solar cell includes a perovskite absorbing layer sandwiched between the electron and hole transport layers (ETL, HTL, respectively). To achieve a high photoelectrical conversion efficiency (PCE), the density of the trapping and recombination centers of the absorber must be relatively low in order to enhance the carrier transport pathways to both the ETL and the HTL.⁷ Spiro-OMeTAD has commonly been used as the HTL, with a conductivity that is 10 times higher than that of the ETL, resulting in unnecessary ohmic losses, which were mainly caused bt the ETL. 8 The ETL includes a c -TiO₂ blocking layer with and without a mesoporous scaffold layer. With a scaffold layer, perovskite solar cells with an efficiency of $>12\%$ can be used for thin film planar structures, meso-superstructures, mesoporous structures, and to achieve a bi-layer architecture. The choice of using a perovskite solar cell structure either with or without a scaffold layer depends on the carrier diffusion length of the dye material.⁹⁻¹² Because $CH_3NH_3PbI_3$ perovskite dyes with a carrier diffusion length of \sim 100 nm were too for collecting contacts, either a mesoporous or a bilayer structure is required where n-type semiconductors $(ZnO \text{ and } TiO₂^{13,14})$ are employed as an electron conduction pathway. In the case of $CH_3NH_3PbCl_xI_{3-x}$, the carrier's diffusion length is >1,000 nm, and the absorber layer itself can generate both electrons and holes and transport

Page 3 of 29 RSC Advances

them to the collecting contacts. The semiconducting scaffold layer can then be replaced by an insulating mesoporous scaffold layer ($ZrO₂$ and $Al₂O₃^{15, 16}$), which is referred to as a mesosuperstructure, or can be used without a scaffold layer, which is referred to as a thin-film planar structure.¹⁷ The hole-blocking layer is used to prevent holes that form in the perovskite or HTL layer from reaching the fluorine-doped tin oxide (FTO) electrode, as this would short-circuit the cell. Blocking layers require a low contact resistance at the interface between the conductive film of a working substrate and the $TiO₂$ as well as a high charge-transfer resistance at the TCO-HTL interface. Yang *et al.* demonstrated an improvement in cell efficiency of from 13.8 to 16.5% by using Y-doped $TiO₂$ in order to increase carrier concentrations. In their demonstration, they emphasized the importance of a blocking layer in perovskite solar cells.⁷The blocking layer is typically composed of c -TiO₂ that typically is prepared by spin coating or spray pyrolysis using a titanium precursor solution. However, with these methods, it is difficult to control uniformity and thickness on a nano-scale.¹⁸⁻²²

Uniform and pinhole-free c -TiO₂ layers have been prepared using atomic layer deposition (ALD) to improve device performance.²³ However, the ALD method had drawbacks that included a low crystallinity of the films due to a low deposition temperature. Therefore, in order to enhance the crystalline quality of the blocking layers, a new deposition method should be considered. In previous studies, $SnO₂$ and Zn-doped TiO₂ thin films deposited by NCD were employed as a blocking layer to enhance DSSC performance, and NCD allowed depositions with high crystallization, uniformity, and an easily controlled thickness.²⁴⁻²⁶

In the present study, the structural and electrical properties of the crystallized c -TiO₂ layers deposited onto FTO/glass at a low temperature of 400 $^{\circ}$ C by NCD were investigated at different c -TiO₂ and m -TiO₂ thicknesses. For enhanced efficiencies of the perovskite solar cells, different processes were used for the preparation of perovskite dyes using c -TiO₂

blocking layers prepared by different processes. The thicknesses of the m -TiO₂ and c -TiO₂ layers were maintained at 200 and 80 nm, respectively.

2. Experimental Details

`

2-1) Preparation of the *c***-TiO2 blocking layers by NCD**

For deposition of the c -TiO₂ blocking layer using NCD, the Ti(O-iPr)₂(dibm)₂(Ti(Oi– C_3H_7) $(C_9H_15-O_2)$) precursors that were used for the liquid delivery process were dissolved in hexane and used as the sources of titanium at concentrations of 0.075 M. When the chemical sources entered the vaporizer, they were immediately vaporized and carried to the showerhead within the reaction chamber via argon carrier gas at a flow rate of 100 sccm (standard cc min⁻¹). The oxygen was supplied to the showerhead as a reaction gas at a fixed flow rate of 100 sccm. The showerhead temperature was maintained at $240-300$ °C, while the substrate temperature was maintained at 400 $^{\circ}$ C for crystallization of the *c*-TiO₂. The film thicknesses of 20, 40, 60, 80, and 100 nm were estimated using the deposition rate of the $TiO₂$ (\sim 10 nm/ min), and their real thicknesses were confirmed through cross-sectional images using scanning electron microscopy (SEM) and transmission electron microscopy (TEM). The 200, 400, 600, and 800 nm-thick m -TiO₂ layers were deposited onto the TiO₂ blocking layer/FTO substrates via spin coating at 2,000 rpm (revolutions per minute) using the TiO₂ paste (Dyesol 18NR-T) diluted further in methanol at ratios of 1:10, 1:7, 1:5, and 1:3 by weight. The layers were sintered under an air atmosphere at 450 °C for 1 h.

2-2) Preparation of the *c***-TiO2 blocking layer using the conventional method**

A conventional *c*-TiO2 blocking layer was prepared as follows. The clean substrates were spin-coated with 0.15 M titanium diisopropoxide bis(acetylacetonate) (Aldrich) at 3,000 rpm

Page 5 of 29 RSC Advances

for 30 s to achieve a thickness of 80 nm. After drying at 125 °C for 10 min, they were sintered at 550 °C for 15 min under an air atmosphere.

2-3) Preparation of *m***-TiO2 layers onto the** *c***-TiO2 blocking layer**

The 200, 400, 600, and 800 nm-thick m -TiO₂ layers were deposited onto the TiO₂ blocking layer/FTO substrate via spin coating at $2,000$ rpm using the TiO₂ paste (Dyesol 18NR-T) diluted further in methanol at ratios of 1:10, 1:7, 1:5, and 1:3 by weight. The layers were sintered under an air atmosphere at 450 °C for 1 h.

2-4) Preparation of the perovskite dyes onto the *m***-TiO2/***c***-TiO2/FTO/glass**

For preparation of the perovskite dye $(CH_3NH_3PbI_3)$, two approaches were undertaken in the present study.

First, in a single-step, CH₃NH₃I was synthesized by reacting 24 mL of methylamine (33) wt % in absolute ethanol, Sigma) and 10 mL of hydroiodic acid (57 wt % in water, Aldrich) in a 250 mL round-bottom flask at 0° C for 2 h with stirring. The precipitate was recovered by placing the solution onto a rotary evaporator and carefully removing the solvents at 50 °C. The raw product, CH_3NH_3I , was re-dissolved in 80 mL absolute ethanol and precipitated with the addition of 300 mL diethyl ether. After filtration, the step was repeated, and the solid was collected and dried at 60 °C in a vacuum oven for 24 h. Then, 1.157 g of PbI₂ and 0.395 g of CH₃NH₃I were dissolved in 2.0 mL γ -butyrolacetone solution at 60 °C. The clear perovskite precursor solution was first spread onto the m -TiO₂ films for 5 s, which were then spun at 4,000 rpm for 30 s under an air atmosphere via one-step spin coating. The deposited $CH₃NH₃PbI₃$ films were finally dried on a hot-plate at 100 °C for 10 min.

Second, in a two-step process, solutions of 400 mg/mL PbI₂ (Sigma) in N,N-

dimethylformamide (DMF) were spin-coated onto the m -TiO₂ (200 nm)/ c -TiO₂ (80 nm)/FTO substrates at 4,000 rpm for 30 s, and dried at 110 °C for 15 min. The CH₃NH₃I powder was spread around the PbI₂-coated substrates and covered with a petri dish then heated at 150 $^{\circ}$ C for 2h. Both the deposition of PbI₂ film by spin coating and the deposition of $CH₃NH₃I$ film by vapor treatment were performed under a N_2 atmosphere. After cooling, the as-prepared substrates were washed with isopropanol, then dried, and annealed.

2-5) Preparation of the hole transport layers (HTL)

The HTLs were deposited onto the perovskite $\frac{d\psi}{m}$ -TiO₂/ c -TiO₂/FTO/glass via spin coating at 4,000 rpm for 5 s using a hole transport solution (HTM) where a spiro-OMeTAD /chlorobenzene (180 mg/1 mL) solution was employed with the addition of 50 μ L of Libis (trifluoromethanesulfonyl) imide (Li-TFSI, Sigma)/acetonitrile (170 mg/1mL) and 20 µL tertbutylpyridine (tBP, Sigma).

2-6) Preparation of the Au electrode

Finally, for use as counter electrodes, the 100 nm-thick Au films as were deposited by dc (direct current) sputtering under a working pressure of 0.4 Pa (at a base pressure of 6.6×10^{-3} Pa) using a gold target with a 2 inch diameter. The active area of the cell was approximately 0.25 cm⁻². After deposition of the Au layer, the cells were annealed at 100 $^{\circ}$ C for 10 min to promote a strong adhesion between the Au and the HTL.

2-7) Characterization of the perovskite solar cells

`

The surface morphologies of *c*-TiO₂, *m*-TiO₂, and perovskite dyes were analyzed via SEM (TOPCON DS-130C). The cross-sectional images and crystallinity of the c -TiO₂ layers were observed via high-resolution TEM (HRTEM, JEM - 2100F, HR). The samples were mounted

Page 7 of 29 RSC Advances

on an aperture grid and mechanically polished to 2-3 microns in thickness. Finally, TEM samples were prepared after ion milling for a short period of time. The optical transmittance of the as-deposited c -TiO₂ films was measured using S-3100 UV-vis spectroscopy. The crystalline structure and the preferred orientation of the c -TiO₂ and the perovskite dye films were characterized via X-ray diffraction (XRD, Rigaku D/MAX-RC) using Cu K α radiation and a nickel filter. The photocurrent–voltage (I-V) characteristics of the solar cells were measured using an IVIUMSTAT under illumination from a Sun 3000 solar simulator composed of 1,000 W mercury-based Xe arc lamps and AM 1.5-G filters. Light intensity was calibrated with a silicon photodiode.

3. Results and discussion

`

3-1) Thickness effect of *m***-TiO2 and** *c***-TiO2 on the efficiency of cells with perovskite dyes prepared via a single-step process**

The SEM surface images of the bare-FTO and c -TiO₂ films of various thicknesses are shown in Fig. 1. There were no significant morphological changes for 20 nm (Fig. 1(b)) and 40 nm-thick c -TiO₂ (Fig. 1(c)) films. However, with the $TiO₂(60 \text{ nm})$ films, small particles appeared on the FTO grains, as shown in Fig. $1(d)$. However, c -TiO₂ films with thicknesses of 80 and 100 nm (see Fig. 1(e) and 1(f), respectively) could be uniformly deposited onto the FTO grains. The SEM images did not clearly show the morphologies of the *c*-TiO2 layers, but the 80 nm-thick *c*-TiO₂ films grown onto FTO could be clearly observed using TEM. Figure 2 shows the TEM cross-sectional and high-resolution images observed using 80 nm-thick *c*-TiO₂ films grown onto the FTO. Figure 2 (a) shows the step-by-step coverage of the c -TiO₂ films grown along the surface of the FTO grains, and Fig. 2(b) displays a cross-sectional image of the c -TiO₂ film only. The TEM image of the c -TiO₂ films shows that the 80 nm-

RSC Advances Page 8 of 29

thick c -TiO₂ films were well deposited onto the FTO grains. The c -TiO₂ films displayed a clear crystallinity, indicating an interplanar distance of $d_{(101)} = 0.352$ nm, as shown in the HRTEM image in Fig. 2(c). HRTEM analysis confirmed that the c -TiO₂ films were in an anatase phase. X-ray diffraction analysis was performed in order to ascertain the crystallinity of a larger area of the c -TiO₂ films than what could be shown by TEM analysis. As shown in Fig. 2(d), the 80 nm-thick *c*-TiO₂ films grown onto the FTO showed the clear crystallinity of an anatase phase, which exists at $2\theta = 25.4^{\circ}$. Based on the XRD and TEM analysis, the *c*-TiO2 blocking layers were *in situ* crystallized in an anatase phase at a low temperature of 400 ^oC via NCD.

To evaluate the crystallinity of the ultra-thin c -TiO₂ films, the XRD analysis was limited and TEM analysis was used only to evaluate the local area. Therefore, the crystallinity required evaluation in both the ultra-thin and large-area sections of the c -TiO₂ films. To investigate the relationship between the crystallinity and the thickness of c -TiO₂ films, investigation of the bandgap energies of the films as a function of thickness replaced the XRD and TEM analyse. Figure 3 (a) shows the transmittance of the c -TiO₂ blocking layers with different thicknesses as a function of wavelength. Transmittances of the c -TiO₂ layers decreased with increasing thickness. However, the 40 to 100 nm-thick blocking layers exhibited a similar transmittance as that of 20 nm-thick blocking layers. The plot of $(\alpha)^2$ versus photon energy (*hν*) was established, assuming that the transition is a direct one. The absorption coefficient (α) from the transmittance results of Fig. 3 (a) was determined using the following relationship:

$$
\alpha = \ln\left(\frac{1}{T}\right) / t \tag{1}
$$

In that formula, *T* is the transmittance and *t* is the thickness of the films. A linear fit was made and is shown in Fig. 3. The bandgap energy (E_g) was obtained from the intercept with

Page 9 of 29 RSC Advances

the energy axis, and the relationship between E_g and thickness is shown in the inset of Fig. 3 (b). The bandgap energies of the films were decreased from 5.25 to 3.7 eV as the thickness increased from 20 to 40 nm, and they were maintained at 3.5 eV as the thickness was increased from 60 to 100 nm. These results suggested that the crystallinity of the c -TiO₂ blocking layers increased as the thickness increased.

Figure 4 shows the SEM cross-sectional images of different *m*-TiO₂ thicknesses prepared on the *c*-TiO₂ layers by spincoating. After deposition, m -TiO₂ films were annealed at 500 °C for 1 h under an air atmosphere. The mesoporous films were well developed onto the *c*- $TiO₂/FTO$, and their thicknesses were clearly identified at 200, 400, 600, and 800 nm. The effect on cell efficiency of different *m*-TiO₂ thicknesses with a constant thickness of the *c*- $TiO₂$ layer was addressed in the last section. Figure 5 (a) shows the SEM surface image of CH3NH3PbI3 perovskite dye deposited onto 600 nm-thick *m*-TiO2 films. The perovskite dye films were annealed at 100 \degree C for 10 min under a dry air atmosphere. That image shows that several micrometer-sized regular islands (called the capping layer) were densely formed on the top of the *m*-TiO2 films. The capping layer is important in determining the filling fraction and infiltration depth of the $CH_3NH_3PbI_3$ and HTL into the $m-TiO_2$, because these factors indicate whether the $CH_3NH_3PbI_3$ nanocrystals are contacted by the HTL. Because the $CH₃NH₃PbI₃$ dye showed small electron and hole diffusion lengths of ~ 100 nm, the HTM which deeply penetrated into the m -TiO₂-coated perovskite films is necessary for hole collection. Therefore, a capping layer with a porous density should be uniformly distributed onto the m -TiO₂ layers. Figure 5 (b) and 5 (c) shows the SEM images with the capping layer and the m -TiO₂ layer without a capping layer, respectively. The capping layer (Fig. 5(a)) had a dense and homogeneous morphology, compared with the porous morphology of the *m*-TiO² layer without a capping layer (Fig. $5(c)$). Figure 5 (d) shows the large sizes of the irregular

RSC Advances Page 10 of 29

shapes of the capping layer formed on the 200 nm-thick- m -TiO₂ layers. Based on the surface images of the capping layers, the density and shapes of the capping layers depended on the *m*-TiO2 thickness, suggesting the influence of the efficiency of the perovskite cells. The diffusion of the HTM solution into the m -TiO₂ layers through the dense capping layer was very difficult. The HTM solution could penetrate through the porous m -TiO₂ films and make contact with the bottom electrode. Therefore, the blocking layer is needed to prevent the HTM from making contact with the FTO.

To identify the crystallinity of the perovskite dye, it was coated onto the FTO/glass via spin coating. Figure 5 (e) shows the XRD pattern of the perovskite dye coated onto the FTO/glass. The annealed perovskite dye films showed good crystallinity with peaks at (110), (220), (310), and (224), which agreed well with the reported results.^{4, 27}

To demonstrate the effect of a *c*-TiO₂ blocking layer on the efficiency of the perovskite cells, the current and voltage (J-V) characteristics of the perovskite cells with different c -TiO₂ thicknesses are shown in Fig. 6 (a). The short-circuit current density (J_{sc}) and open-circuit voltage (V_{OC}) of the cells increased with increasing c -TiO₂ thickness, representing the typical J-V properties of perovskite cells. The 80 nm-thick c -TiO₂ films showed the highest values for J_{sc} and V_{OC} at approximately 21.0 mAcm⁻² and 0.89 V, respectively. However, 100 nmthick c -TiO₂ films showed decreases in J_{sc} *and* V_{OC} , compared with those of 80 nm-thick c -TiO2 films. Because a blocking layer was required to prevent the recombination of the electrons and holes, an optimal thickness was determined for the highest efficiency of the perovskite solar cells. The abrupt increase of the efficiencies in 60 and 80 nm-thick *c*-TiO² blocking layers was attributed to the enhanced blocking of the recombination of the electrons and holes. However, the decrease in efficiency for 100 nm-thick blocking layers was due to the decrease in the carrier transport to the FTO electrode. This result suggested that the 80

Page 11 of 29 RSC Advances

nm-thick c -TiO₂ blocking layer enhanced the efficiency of the perovskite cells. The photovoltaic parameters of the perovskite cells are summarized in Table 1. The highest photovoltaic parameters in perovskite cells with 80 nm-thick c -TiO₂ films were as follows: J_{SC} of 21.0 mAcm⁻², V_{OC} of 0.89 V, *FF* of 62%, and efficiency of 11.5%. The current and voltage (J-V) characteristics of perovskite cells with different m -TiO₂ thicknesses are shown in Fig. 6 (b). The c -TiO₂ thickness in the perovskite cells with different m -TiO₂ thicknesses was maintained at 80 nm. As shown in Fig. 6 (b), the photovoltaic parameters of *JSC*, *FF*, and efficiency were increased with increasing m -TiO₂ thickness from 200 to 600 nm, but the parameters were decreased in perovskite cells with 800 nm-thick *m*-TiO2 films. Although no significant film-thickness dependence was found for transport and recombination of the charge carriers, the balances in charge transport between electron- and hole-conducting materials should be considered for an enhancement in efficiency. An increase in the efficiency in m -TiO₂ thickness from 200 to 600 nm was attributed to the enhanced efficiency of light collection. However, with further increases in $m-TiO₂$ thickness, the decrease in efficiency was due to the decrease in the fill factor and photocurrent density. The photovoltaic parameters of the perovskite cells with different *m*-TiO2 films are summarized in Table 2. The decrease in the values for J_{SC} and *FF* for 800 nm-thick m -TiO₂ films was attributed to the reduced pore filling by spiro-OMeTAD within the thick- m -TiO₂ films.¹⁴ However, the open-circuit voltage (V_{OC}) of the cells did not vary with the range of measurement error. The V_{OC} was generated by the build-up of electrons and holes in the nand p-type materials, respectively, resulting in a splitting of the quasi Fermi levels for both electrons and holes.¹¹ Therefore, the V_{OC} was not significantly changed with different *m*-TiO₂ thicknesses. Based on the results of c - and m -TiO₂ thickness variations, the performance of

the $CH_3NH_3PbI_3$ perovskite dye-based solar cells strongly depended on the thicknesses of both c -TiO₂ and m -TiO₂ layers.

The blocking effect of the c -TiO₂ layer to prevent the recombination of the carriers was also confirmed by a photovoltage transient study. Figure 6 (c) shows the decay of the opencircuit voltage (V_{OC}) of the perovskite solar cells with different thicknesses of the c -TiO₂ layer. The V_{OC} of the 20 and 40 nm-thick c -TiO₂ layers was promptly decayed for a shorttime after the interruption of illumination, indicating no prevention of the recombination of the electrons. The *VOC* for the 60 and 80 nm-thick blocking layers, however, was only slightly decayed above 5.5 s, resulting in an effective prevention of recombination. The relationship between the V_{OC} and the dark current density was clearly described using equation (2) as follows.²⁸

$$
V_{OC} = mk_B T/q(1+J_{SC}/J_d)
$$
 (2)

Here, J_{sc} is the short-circuit current density. The dark reverse current density, J_d , depends on a recombination in the solar cell; q is the positive elementary electrical charge; k_B is Boltzmann's constant; and, *T* is the absolute temperature. The coefficient *m* is an idealistic factor, and the ideal model was $m = 1$. From the equation, a decrease of V_{OC} was attributed to the decrease in the dark current density (J_d) . The decay results of V_{OC} suggested that the dark current density of the cells was dependent on the c -TiO₂ thickness. The V_{OC} was increased with increases in c -TiO₂ thickness, as shown in Table 1. Recombination of the electron-hole pairs at the interface of TCO/HTL as well as the TCO/absorber layer were improved by the addition of an optimal blocking layer thickness. The 100 nm -thick c -TiO₂ films showed a slight decrease in V_{OC} , compared with that of thinner c -TiO₂ layers. The 100 nm-thick c -TiO₂ layers remarkably prevented the recombination of the electrons because the blocking layer was thicker than optimal, which caused a slow transport of the electrons from the perovskite

Page 13 of 29 RSC Advances

dye to the FTO, but the efficiency was decreased from 11.5% (80 nm-thick c -TiO₂) to 8.2% $(100 \text{ nm-thick } c\text{-TiO}_2)$. Therefore, the highest efficiency of the perovskite cells was observed with 600 nm-thick m -TiO₂ films with an 80 nm-thick c -TiO₂ blocking layer grown at 400 °C using NCD.

3-2) Enhancing the efficiency of the cells using perovskite dyes prepared via a twostep process

For enhancement of the photo-conversion efficiency above 11.5 % obtained in the present study, we reduced the thickness of the m -TiO₂ layer to 200 nm and used a two-step process for the perovskite dye deposition onto the m -TiO₂ (200 nm)/ c -TiO₂ (80 nm) layers.

Figure 7 shows the SEM images of the perovskite dye films deposited by single and twostep processes onto the 200 nm-thick m -TiO₂ layers. Figure 7 (a) shows a SEM surface image of the perovskite $CH_3NH_3PbI_3$ films (single-step) deposited onto the m -TiO₂ layer by spin coating using a CH_3NH_3I and PbI₂ mixture. Here, the films were treated at 100 °C for 10 min to crystallize the perovskite dye. Although the m -TiO₂ layers were reduced from 600 to 200 nm, the morphology of the perovskite dye deposited onto the 200 nm-thick *m*-TiO₂ layer was similar to those shown in Fig. 5(a). The SEM cross-sectional image of the overall cell structure that was fabricated using the process shown in Fig. 7(a) shows how the perovskite dye completely infiltrated the *m*-TiO₂ layer. This was apparent because the total thickness of the perovskite dye and the m -TiO₂ layer was the same as the thickness of the m -TiO₂ layer. Fig. 7 (b) shows how the 400 nm-thick HTM layer coated the mixture of the $CH_3NH_3PbI_3$ and m -TiO₂ layer via spin coating.

Figures $7(c)$ and $7(d)$ show the SEM surface and cross-sectional images of the perovskite $CH₃NH₃PbI₃$ dye films and the overall cell structure, respectively, which were fabricated via the two-step process. In the two-step process, the volume of the PbI2 layer was increased for the bilayer structure that was composed of a $CH_3NH_3PbI_3/m-TiO_2$ mixed layer and a $CH_3NH_3PbI_3$ upper layer. Figure 7(c) shows the SEM surface image of the $CH_3NH_3PbI_3$ upper layer formed on the $CH_3NH_3PbI_3/m$ -TiO₂ mixed layer. The surface morphology shows the dense and homogeneous grains of the perovskite dye, which are different from the morphologies of the perovskite that were deposited via a single-step. The bilayer perovskite dye structures prepared via a vapor assisted solution process (VASP) contributed greater advantages by providing perovskite dye films with grain sizes up to microscale, full surface coverage on the m -TiO₂ layer, and a small degree of surface roughness, by comparison with the single layer prepared from a single-step process. These advantages enhanced the efficiency of cells prepared with a bilayer structure. Figure 7(d) shows the overall cell structure with \sim 200 nm-thick mixture layers of m -TiO₂ and CH₃NH₃PbI₃, \sim 200 nm-thick $CH₃NH₃PbI₃$, and ~100 nm-thick HTM. Here, ~200 nm-thick mixture layers of *m*- $TiO₂/CH₃NH₃PbI₃$ and \sim 200 nm-thick $CH₃NH₃PbI₃$ were the bi-layers. Although the deposition process of the HTM layer onto the CH₃NH₃PbI₃ upper layer formed via two-step process was the same as that of the HTM layer onto the mixture layers formed in a singlestep, the thickness (\sim 100 nm) of the HTM layer onto the CH₃NH₃PbI₃ upper layer formed by two steps was thinner than that $($ \sim 400 nm) formed by a single step. This result showed how the thickness of the HTM layer was critically dependent on the morphologies of the perovskite dye formed under the HTM layer. The mixture layers formed by a single-step showed porous morphologies compared with those of the perovskite dye formed by two-steps. The porous morphology showed a large amount of surface area per unit volume (this means a high surface energy), resulting in a high deposition rate of the HTM layer.

Figure 8 (a) shows the performances of the perovskite solar cell fabricated using the perovskite dye that was deposited via a two-step process onto 200 nm-thick *m*-TiO2 layers

Page 15 of 29 RSC Advances

with an 80 nm-thick c -TiO₂ blocking layer using NCD and a conventional method. These were compared with the cells formed using a conventional $TiO₂$ blocking layer, which was discussed in the experimental section. Most research has reported the performances of cells fabricated via spin or spray-coating using a titanium diisopropoxide bis (acetylacetonate) solution for the *c*-TiO₂ blocking layer. The corresponding short-circuit current density (J_{sc}) , open-circuit voltage (*Voc*), fill factor (*FF*), and the conversion efficiency (*η*) are summarized in the inset of Fig. 8 (a). It was clear that the effect of the bilayer structure on the enhancement of the *Voc* in the photo-conversion solar cells was significant. It was revealed that the *Voc* of the cells formed via the one-step spin coating of the perovskite dye without a perovskite upper layer was around 0.9V (as shown in Tables 1 and 2). The perovskite solar cells showed a remarkable enhancement of the V_{OC} above 1 V via a two-step coating process of the perovskite dye. Seok et al.⁴ suggests that the perovskite plays an important role as not only an absorber but also as a charge (either electron or hole) carrier. Solar cells with the 80 nm-thick $TiO₂$ blocking layers deposited using NCD showed V_{oc} and FF values of approximately 1.06 and 64%, respectively, and a maximum photo-conversion efficiency of approximately 14.2%. These results represent a remarkable enhancement, by comparison with that obtained with the reference $TiO₂$ blocking layer: V_{OC} , 1.01 V, FF ; 60%, and photoconversion efficiency of approximately 12.8%. The effects of the blocking layers deposited using NCD and a conventional method on the photovoltaic properties were compared via photovoltage transient study, as shown in Fig. 8 (b). The relationship between *VOC* decay and the effect of the blocking layer is explained in Fig. 1S (supplementary information). The V_{OC} decayed rapidly for the conventional $TiO₂$ sample after illumination was interrupted, while it decayed only slightly for the NCD-TiO₂ blocking layer. This result suggests a longer electron lifetime in the samples with the dense and homogeneous $TiO₂$ layers deposited via NCD

compared with that of the conventional $TiO₂$ layers.

4. Conclusions

The effect that different thicknesses of c -TiO₂ and m -TiO₂ layers have on the efficiency of perovskite cells was addressed in the present study. Anatase *c*-TiO2 layers with different thicknesses were *in situ* deposited onto a FTO/glass substrate at a temperature of 400 $^{\circ}$ C using NCD. The 80 nm-thick- c -TiO₂ layers were deposited with good step-coverage on the rough-FTO and were crystallized with an anatase phase at a deposition temperature of 400 $^{\circ}$ C. Among the various thick- c -TiO₂ layers, the perovskite cells with 80 nm-thick c -TiO₂ layers showed the highest efficiency. The perovskite cells with 80 nm-thick- c -TiO₂ and 600 nmthick-*m*-TiO₂ layers showed the highest photovoltaic parameters: J_{SC} of 21.0 mAcm⁻², V_{OC} of 0.89 V, FF of 62%, and efficiency (η) of 11.5%. However, solar cells with bi-layer perovskite dyes deposited via a two-step process on 80 nm-thick $TiO₂$ blocking layers deposited using NCD showed *Voc* and *FF* values of approximately 1.06 and 64%, respectively, and a maximum photo-conversion efficiency of approximately 14.2%.

Acknowledgement

This work was supported by the National Research Foundation of Korea (NRF) grant funded by the Korea government (MSIP) (No. NRF-2013R1A4A1069528).

References

- 1. A. Kojima, K. Teshima, Y. Shirai, T. Miyasaka, *J. Am. Chem. Soc.* 2009, **131**, 6050–6051.
- 2. M. Lee, J. Teuscher, T. Miyasaka, T. Murakami, H. Snaith, *Science*, 2012, **338**, 643–647.
- 3. H. J. Snaith, *J. Phys. Chem. Lett*. 2013, **4**, 3623–3630.

Page 17 of 29 RSC Advances

- 4. N. J. Jeon, J. Noh, Y. Kim, W. Yang, J. Seo, and S. Seok, *Nat. Mater.* 2014*,* **13**, 897–903.
- 5. H. Zhou et al., *Science*, 2014, **344**, 485, 6183.
- 6. J. Noh, S. Im, J. Heo, T. Mandal and S. I. Seok, *Nano Lett.*, 2013, **13**, 1764–1769.
- 7. H. Zhou, Q. Chen, G. Li, S. Luo, T. Song, H. Duan, Z. Hong, J. You, Y. Liu, Y. Yang, *Science*, 2014, **345**, 6196.
- 8. T. Leijtens, J. Lim, J. Teuscher, T. Park, H. J. Snaith, *Adv. Mater.*, 2013, **25**, 3227–3233.
- 9. S. Ryu, J. Noh, N. Jeon, Y. Kim, W. Yang, J. Seo and S. Seok, *Energy Environ. Sci.*, 2014*,* **7**, 2614.
- 10. M. Liu, M. B. Johnston and H. J. Snaith. *Nature*, 2013*,* **501**, 395–398.
- 11. M. Lee, J. Teuscher, T. Miyasaka, T. Murakami, H. Snaith, *Science*, 2012, **338**, 643.
- 12. J. Xiao, L. Han, L. Zhu, S. Lv, J. Shi, H. Wei, Y. Xu, J. Dong, Xin Xu, Y. Xiao, D. Li, S. Wang, Y. Luo, X. Li and Q. Meng, *RSC Adv.*, 2014, **4**, 32918-32923.
- 13. M. Kumar, N. Yantara, S. Dharani, M. Graetzel, S. Mhaisalkar, P. Boix and N. Mathews, *Chem. Commun.*, 2013, **49**, 11089.
- 14. Y. Zhao, A. Nardes and K. Zhu, *J. Phys. Chem. Lett.*, 2014, **5**, 490−494.
- 15. D. Bi, M. Grätzel and A. Hagfeldt *et al.*, *RSC Adv.*, 2013*,* **3**, 18762-18766.
- 16. S. D. Stranks, G. E. Eperon, G. Grancini, C. Menelaou, M. J. P. Alcocer, T. Leijtens, L. M. Herz, A. Petrozza, H. J. Snaith, *Science*, 2013, **342**, 341.
- 17. H. Snaith, *J. Phys. Chem. Lett.*, 2013, **4**, 3623−3630.

- 18. A. Yella, L. Heiniger, P. Gao, M. Nazeeruddin and M. Grätzel, *Nano Lett.*, 2014, **14**, 2591–2596.
- 19. P. Liang, Chien-Yi Liao, C. Chueh, F. Zuo, S. Williams, X. Xin, J. Lin and A. Jen, *Adv. Mater.*, 2014, **26**, 3748.
- 20. E. Crossland, N. Noel, V. Sivaram, T. Leijtens, J. Alexander-Webber, and H. Snaith. *Nature*, 2013, **495**, 215–219.
- 21. E. Edri, S. Kirmayer, A. Henning, S. Mukhopadhyay, K. Gartsman, Y. Rosenwaks, G. Hodes, and D. Cahen, *Nano Lett.*, 2014, **14**, 1000−1004.
- 22. L. Etgar, P. Gao, Z. Xue, Q. Peng, A. K. Chandiran, B. Liu, M. K. Nazeeruddin, and M. Grätzel, *J. Am. Chem. Soc.*, 2012, **134**, 17396−17399.
- 23. H. Kim, C. Lee, J. Im, K. Lee, T. Moehl, A. Marchioro, S. Moon, R. Baker, J. Yum, J. Moser, M. Grätzel, and N. Park, *Sci. Rep*., 2012, **2**, 591.
- 24. Y. Wu, X. Yang, H. Chen, K. Zhang, C. Qin, J. Liu, W. Peng, A. Islam, E. Bi, F. Ye, M. Yin, P. Zhang, and L. Han, *App. Phys. Ex.*, 2014, **7**, 052301.
- 25. T. Duong, H. Choi, S. Yoon, *J. Alloys Comp.*, 2014, **591**, 1–5.
- 26. T. Duong, H. Choi, Q. He, A. Le, S. Yoon, *J. Alloys Comp.*, 2013, **561**, 206–210.
- 27. H. Zhou, Q. Chen, G. Li, S. Luo, T. B. Song, H. S. Duan, Z. Hong, J. You, Y. Liu, Y. Yang, *Science*, 2014, **345**, 542-546.
- 28. K. Kalyanasundaram (Ed.), *Dye-sensitized solar cells, EPFL Press,* 2010, p462.

Page 19 of 29 RSC Advances

Figure captions

- Fig. 1 SEM surface images of (a) bare-FTO, (b) 20, (c) 40, (d) 60, (e) 80, and (f) 100 nm thick-*c*-TiO₂ layers deposited at 400 $^{\circ}$ C by NCD onto the FTO/glass substrate.
- Fig. 2 **TEM cross-sectional image of (a)** c **-TiO₂ layer/FTO and (b) focused image of** c **-TiO₂** layer. (c) High-resolution TEM image and (d) (c) TEM images and (d) XRD pattern of 80 nm-thick-*c*-TiO₂ layers grown onto the FTO/glass substrate.
- Fig. 3 (a) Transmittance of the c -TiO₂ blocking layers with different thicknesses as a function of wavelength. (b) A plot of $(\alpha)^2$ versus photon energy for different thicknesses of c -TiO₂ layers.
- Fig. 4SEM cross-sectional images of (a) 200, (b) 400, (c) 600, and (d) 800 nm-thick *m*-TiO2 layers deposited by spin coating onto a FTO substrate. They were annealed at 450 $^{\circ}$ C for 1h under an air atmosphere.
- Fig. 5 **(a)** SEM surface images of the perovskite dye coated onto the 600 nm-thick- m -TiO₂ layer. (b) and (c) focused SEM image with a capping layer and with no capping layer, respectively. (d) SEM surface image of the perovskite dye coated onto a 200 nmthick *m*-TiO₂ layer. (f) XRD pattern of $CH_3NH_3PbI_3$ perovskite dye annealed at 100 ^oC for 10 min under a dry air atmosphere.
- Fig. 6(a) Current (*J*)- Voltage (*V*) characteristics of perovskite solar cells employing various c -TiO₂ thicknesses (here, the thickness of the m -TiO₂ layer was maintained at 600 nm). (b) *J*-*V* characteristics of perovskite solar cells employing various *m*-TiO₂ thicknesses (here, the thickness of the c -TiO₂ layer was maintained at 80 nm). (c) Decay of the V_{OC} of the perovskite solar cells with different c -TiO₂ thicknesses.
- Fig. 7 (a) SEM surface image of the perovskite CH₃NH₃PbI₃ films (single-step) deposited onto the 200 nm-thick m -TiO₂ layer by spin coating using a mixture of $CH₃NH₃I$ and PbI2 solution. (b) SEM cross-sectional image of the overall cell structure that consisted of the perovskite $CH_3NH_3PbI_3$ films (single-step) deposited onto the 200 nm-thick m -TiO₂ layers. (c) SEM surface image of the perovskite $CH₃NH₃PbI₃$ films deposited via a two-step process onto the m -TiO₂ layer. (d) SEM cross-sectional image of the overall cell structure that consisted of the perovskite $CH₃NH₃PbI₃$ films deposited via a two-step process onto the 200 nm-thick m -TiO₂ layers.
- Fig. 8 (a) Performance of a perovskite solar cell fabricated using the perovskite dye formed via a two-step process onto 200 nm-thick m -TiO₂ layers with an 80 nm-thick c -TiO₂ blocking layer using NCD and a conventional method. The inset shows the Photovoltaic parameters of the perovskite solar cells. (b) Decay of the V_{OC} of the perovskite solar cells fabricated using the perovskite dye formed via a two-step process onto the 200 nm-thick m -TiO₂ layers with an 80 nm-thick c -TiO₂ blocking layer deposited using NCD and a conventional method.

Table Captions

- Table 1 Photovoltaic parameters of the perovskite solar cells employing the various c -TiO₂ thicknesses deposited using NCD (here, m -TiO₂ thickness was maintained at 600 nm).
- Table 2 Photovoltaic parameters of the perovskite solar cells employing various thicknesses of m -TiO₂ layers (here, c -TiO₂ thickness was maintained at 80 nm).

Page 21 of 29 **RSC Advances**

`

Table 1 Photovoltaic parameters of the perovskite solar cells employing various c -TiO₂ thicknesses deposited using NCD (here, m -TiO₂ thickness was maintained at 600 nm).

c -TiO ₂ (nm)	J_{sc} $(mAcm-2)$	V_{oc} (V)	FF $(\%)$	<i>Eff.</i> (η) $(\%)$
20	11.6	0.52	45	2.7
40	17.1	0.51	43	3.7
60	20.0	0.72	57	8.3
80	21.0	0.89	62	11.5
100	21.1	0.78	50	8.2

Table 2 Photovoltaic parameters of the perovskite solar cells employing various thicknesses of *m*-TiO₂ layers (here, *c*-TiO₂ thickness was maintained at 80

nm).

Fig.1 Thanh- Tung Duong et. al

Fig. 2 Thanh- Tung Duong et.al

Fig. 4 Thanh- Tung Duong et.al

Fig. 5 Thanh- Tung Duong et.al

 $\ddot{}$

Fig. 6 Thanh- Tung Duong et.al

Fig. 7 Thanh- Tung Duong et.al

 $\bar{}$

