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1        **Insights into the effects of  $\gamma$ -irradiation on the microstructure, thermal**  
2        **stability and irradiation-derived degradation components of**  
3        **microcrystalline cellulose (MCC)**

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## 25 Abstract

26 It has been demonstrated that radiation pretreatment can cause a significant breakdown of  
27 cellulose stubborn structure, which will increase the accessibility of cellulose and is benefit  
28 for enhancing the enzyme hydrolysis in bio-fuel process. In this work, microcrystalline  
29 cellulose (MCC) as a model substrate was comprehensively investigated the impacts of  
30 irradiated dose on the microstructure, thermal stability and irradiated-degradation components  
31 of cellulose under  $^{60}\text{Co}$   $\gamma$ -irradiation circumstances (0 kGy-1400 kGy). FT-IR, EPR and NMR  
32 analyses show that irradiation destroys the glycosidic bond and hydrogen bond inter- and  
33 intra-molecular of cellulose resulting in the generation of reductive carbonyl group and free  
34 radicals. SEM, XRD and GPC analyses confirm that irradiation can damage the crystalline  
35 microstructure and morphology surface of MCC, leading to reducing its degree of  
36 polymerization from 183045 kDa to 4413 kDa. TGA and DGA curves indicate that activated  
37 energy ( $E_a$ ) and thermal stability of treated MCC decrease with the increasing of irradiation  
38 dose. Ion chromatography (IC) analysis demonstrates that there exist fermentation sugars,  
39 such as glucose ( $10.73 \text{ mg g}^{-1}$ ), xylose ( $1.58 \text{ mg g}^{-1}$ ), arabionose ( $0.46 \text{ mg g}^{-1}$ ), fructose ( $4.31$   
40  $\text{mg g}^{-1}$ ), and cellobiose ( $1.90 \text{ mg g}^{-1}$ ) as well as low contents of glucuronic acid ( $0.35 \text{ mg g}^{-1}$ )  
41 and galacturonic acid ( $1.46 \text{ mg g}^{-1}$ ) in the irradiation-derived degradation components.  
42 Therefore, the findings in this work suggest that  $\gamma$ -irradiation processing is an  
43 environment-friendly, promising and effective approach to treat lignocellulose biomass.

44 **Keywords:** Microcrystalline cellulose (MCC);  $\gamma$ -Irradiation; Microstructure; Thermal  
45 stability; Irradiation-derived degradation components

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## 51 **1. Introduction**

52 Increasing efforts have been made to exploit alternative bioenergy sources from renewable  
53 biomasses due to the depletion of fossil fuels and the serious problems of global warming [1].  
54 Lignocellulosic biomass, the most abundant renewable resource all over the world, is a  
55 promising feedstock because of non-competition with food and it comprises large amount of  
56 cellulose which is considerably converted to ethanol via hydrolysis and fermentation [2, 3].  
57 However, cellulose possesses the crystalline structure and inaccessible morphology hindering  
58 the enzymatic conversion from cellulose to fermentation sugars. To improve the accessibility  
59 of cellulose, myriads of cellulose pretreatment methods have been intensively developed,  
60 such as mechanical ball milling [4], steam explosion [5], dilute acid/alkali [6], supercritical  
61 fluids [7], ionic liquids [8-11], ultrasound and radiation pretreatments [12-14]. Among these  
62 pretreatment processing, the potential efficient method, therefore, is required to enhance the  
63 susceptibility of cellulose by modifying cellulose structure and to minimize the consequent  
64 formation of toxic derivatives by degrading cellulose.

65 Radiation processing like ultrasound [12], electron beam [13], proton beam [15],  
66 microwave [16], ionizing irradiation [17, 18] and  $^{60}\text{Co}$   $\gamma$ -irradiation [14, 19], was efficiency to  
67 degrade cellulose in biofuels production from biomass. Radiation was successfully applied in  
68 lignocellulose pretreatment because it showed the abilities of predominant degradation or  
69 depolymerization of cellulose in biomasses, such as bagasse cane, rice straw, wheat straw,  
70 and corn stalk [14, 19, 20]. In comparison with other pretreatment methods, radiation uses an  
71 applied electromagnetic field to disrupt the microcrystal structure of cellulose in a solid status  
72 involving mild temperature, short reaction time and minimal even few undesirable inhibitors  
73 [19]. Thus, radiation is highly effective, friendly and energy saving pretreatment processing  
74 for lignocellulose ethanol production [19, 20]. However, insights into the degradation  
75 mechanism is not systematically available about the effect of  $\gamma$ -irradiation pretreatment on

76 cellulose under irradiation circumstances, such as the microstructural and morphologic  
77 changes, thermalgravimetry and irradiation-derived degradation components of cellulose  
78 before and after treatment.

79 In this work,  $^{60}\text{Co}$   $\gamma$ -ray irradiation was employed to degrade microcrystalline cellulose  
80 (MCC) in solid status under different irradiation doses from 0 kGy to 1400 kGy. The degree  
81 of polymerization (DP) was measured by viscosity method to evaluate the extent of scission.  
82 Scanning electron microscopy (SEM) was employed to visualize the morphology  
83 modification of MCC before and after  $\gamma$ -irradiation treatment. The crystalline index (CrI) of  
84 treated MCC was calculated through X-ray diffraction (XRD) spectrum to evaluate the  
85 cellulose crystalline type variance. The structural and inter- (intra) molecular bonds changes  
86 of treated MCC was analyzed by Fourier transform infrared (FT-IR), electron paramagnetic  
87 resonance (EPR), and Nuclear Magnetic Resonance (NMR). The thermal stability properties  
88 of MCC were addressed by thermogravimetry analysis (TGA) and differential  
89 thermogravimetry (DTG) to calculate activated energy (Ea) in function of irradiation dose.  
90 Furthermore, the types and contents of the soluble degradation components of irradiated MCC  
91 were measured by ion chromatography (IC). The primary objectives of this work are to  
92 elucidate the degradation mechanism of irradiated cellulose, and assess the feasibility and  
93 accessibility of  $\gamma$ -ray irradiation as a potential pretreatment method of cellulose for bio-fuel  
94 processing.

## 95 **2. Materials and methods**

### 96 **2.1 Materials**

97 Avicel PH-101 MCC (pharmaceuticals grade, CAS 9004-34-6, particle size 50  $\mu\text{m}$ ) was  
98 purchased from Sigma-Aldrich Co. in China (Shanghai, China). Nine standards of glucose,  
99 fructose, xylose, arabinose, galactose, mannose, cellobiose, galacturonic acid, and glucuronic  
100 acid were also bought from Sigma-Aldrich Co. in China (Shanghai, China). Other chemicals

101 used in this work were analytical reagents and purchased in Beijing locate markets, China.

## 102 **2.2 MCC irradiation pretreatment**

103 MCC irradiation pretreatment was according to the processing in our previous work [19].  
104 Specifically, all irradiation treatment experiments were performed using a  $^{60}\text{Co}$   $\gamma$ -ray  
105 irradiation device at  $1.85 \times 10^{16}$  Bq in the Hunan Irradiation Center (Changsha, China). Approx.  
106 5.0 g dried MCC in a 10 mL sealed glass bottle was set in the device and irradiated at room  
107 temperature under  $^{60}\text{Co}$   $\gamma$ -ray irradiation source with the intensity of  $9.99 \times 10^{15}$  Bq and the  
108 dose rate of  $2.0 \text{ kGy h}^{-1}$ . The specific level of  $^{60}\text{Co}$   $\gamma$ -ray irradiation dose was selected as 100  
109 kGy, 200 kGy, 400 kGy, 600 kGy, 800 kGy, 1000 kGy, 1200 kGy and 1400 KGy. The  
110 untreated MCC (0 kGy) was as the control sample. After treatment, MCC was  
111 homogeneously mixed and employed for the following experimental analyses of morphology,  
112 microstructure and irradiated degradation components.

## 113 **2.3 Scanning electron microscopy (SEM)**

114 At first, MCC sample was homogeneously distributed in deionized water by ultrasonic with  
115 a power of 300 W (KQ-300E ultrasonic apparatus, Kunshan, China) for 10 min. After then, a  
116 drop of MCC dispersion was applied to single monocrystal silicon gold sheet and dried in  
117 vacuum. The morphologies of untreated and treated MCC were imaged by a JSM-6380LV  
118 SEM (Japan Electron Optics Laboratory Co., Ltd, Japan) at the working electronic voltage of  
119 10 kV.

## 120 **2.4 X-ray diffraction analysis (XRD)**

121 XRD measurements were performed to observe the crystalline type change of irradiated  
122 MCC against untreated sample at a Rigaku D/max 2500 diffractometer (Rigaku Corporation,  
123 Japan) under the following conditions: Cu/K $\alpha$  wavelength = 0.154 nm, voltage 40 kV, current  
124 250 mA, scanning speed rate  $8^\circ \text{ min}^{-1}$ , scanning step  $0.02^\circ$  and scanning scale ( $2\theta$ )  $10\text{-}40^\circ$ .  
125 Crystalline index (CrI) was calculated from the data of XRD spectrum according to the

126 method [16] using the intensity of (200) peak ( $I_{200}$ ,  $2\theta=22.4^\circ$ ) and the lowest intensity ( $I_{AM}$ ,  
127  $2\theta=18^\circ$ ) between the (200) peak ( $I_{200}$ ,  $2\theta=22.4^\circ$ ) and (101) peak ( $I_{101}$ ,  $2\theta=15.8^\circ$ ) by Eq. (1):

$$128 \text{ CrI (\%)} = \frac{(I_{200} - I_{AM})}{I_{200}} \times 100 \quad (1)$$

129 where:  $I_{200}$  is intensity of (200) peak ( at about  $2\theta=22.4^\circ$ );  $I_{AM}$  is the lowest intensity of the  
130 peak at about  $2\theta=18^\circ$ .

### 131 **2.5 Fourier transforms infrared analysis (FT-IR)**

132 KBr pellets of MCC samples were prepared by mixing (1.0-2.0) mg of untreated and  
133 treated MCC powder with 200 mg KBr (spectroscopic grade) in a vibratory mixer for 30 s.  
134 The pellets of 13 mm diameter were obtained for FT-IR analysis in a standard device under a  
135 pressure of  $75 \text{ kN cm}^{-2}$ . FT-IR spectra were recorded with a Nicolet 670 FT-IR spectrometer  
136 (Nicolet NicPlan IR microscope, USA) and using a liquids nitrogen-cooled mercury-  
137 caldmium-tellurium (MCT) detector in the regions of  $400\text{-}4000 \text{ cm}^{-1}$ . The running conditions  
138 were: resolutions  $2 \text{ cm}^{-1}$ , scan 64 times, scanning speed 20 kHz.

### 139 **2.6 Electron paramagnetic resonance (EPR)**

140 EPR spectrum was performed to examine free radicals of cellulose after irradiation and  
141 recorded in a JES FA-200 cw-EPR spectrometer (JEOL, Japan) at room temperature. A  
142 magnetic field modulation of 4 G and microwave power of 10 mW was used for all  
143 experiments to avoid resonance line saturation. EPR intensity data were recalculated per 1 g  
144 MCC. The EPR conditions were: microwave frequency 9.06 GHz, microwave power 1 mW,  
145 center field 324 mT, initial field 299 mT, sweep width 50 mT, modulation amplitude 0.35 mT,  
146 modulation frequency 100 kHz, sweep time 60 s, room temperature. In general, the  
147 reproducibility of the EPR measurement for five independent was within 5%.

### 148 **2.7 Solid state $^1\text{H}$ and $^{13}\text{C}$ Nuclear Magnetic Resonance ( $^1\text{H}$ and $^{13}\text{C}$ NMR)**

149 In order to observe the variance of hydrogen bonds intra- and inter-molecular of treated  
150 MCC, the cross-polarization/magic angle spinning (CP/MAS) solid state  $^1\text{H}$  and  $^{13}\text{C}$  NMR

151 were performed at an Avance III 600 NMR spectrometer (Bruker, Switzerland).  $^1\text{H}$  NMR  
152 conditions were: resonance frequency 600.1 MHz,  $\pi/2$  pulse length 2.57  $\mu\text{s}$ , and delay time 5 s.  
153 The conditions of  $^{13}\text{C}$  NMR were as follows: resonance frequency 150.9 MHz, CP contact  
154 time 2 ms, delay time 5 s. The probe size of CP/MAS was 4 mm and the rotation speed of the  
155 rotor was 8 kHz. A number of 300-3175 scans were required to obtain a good signal-to-noise  
156 ratio. 4000 accumulations were used for the  $^1\text{H}$ - $^{13}\text{C}$  CP/MAS measurement. Tetramethyl  
157 silane (TMS) was used as the reference to determine the chemical shifts of structures.

## 158 **2.8 Measurement of degree of polymerization (DP)**

159 The average DP of untreated and treated MCC was determined by viscosity measurement  
160 in the literature [14]. MCC sample was dissolved in saturated cupriethylenediamine solution.  
161 The viscosity of the solution was measured by an Ubbelohde viscometer (Shanghai, China)  
162 with capillary (inner diameter 0.6 mm) at 25 °C. The intrinsic viscosity,  $[\eta]$ , was calculated  
163 according to the Martin Eq.(2), and the viscometric DP was calculated according to Immergut  
164 formula Eq. (3):

$$165 \quad \eta_t = \frac{t_i - t_0}{t_0} \quad (2)$$

$$166 \quad DP = \frac{2000 \times \eta_T}{W \times (1 + 0.29 \times \eta_t)} \quad (3)$$

167 Where:  $t_0$  and  $t_i$  was the consuming time when cupriethylenediamine solution ran through  
168 the capillary with and without MCC.  $W$  was the weight ratio of MCC in cupriethylenediamine  
169 solution.

## 170 **2.9 Distribution of molecular weight (MW) by gel permeation chromatography (GPC)**

171 To further test the degree of MCC irradiated-dagradation, GPC was carried out to measure  
172 the MW distribution of untreated and treated MCC. The GPC system consisted of an isocratic  
173 pump, auto-sampler with thermostat (Agilent 1260 series, Santa Clara, USA), a set of Agilent  
174 PLgel MIXED-C (Agilent 1260 GPC, USA) separation columns, and Agilent / HP 1316A

175 column oven (Agilent 1260 series, Santa Clara, USA). N, N-dimethylacetamide DMAc/LiCl  
176 (0.5%, m/V), filtered through 0.45  $\mu\text{m}$  filter, was used as the eluant. The 0.05% MCC in  
177 DMAc/LiCl (0.5% m/V) was injected automatically, chromatographed on four serial GPC  
178 columns, and monitored by refractive index (RI) detector. MW distribution was calculated by  
179 Addon software programs (Agilent Co., USA) based on the refractive index increment of  
180 MCC samples. The GPC conditions were: flow rate 1  $\text{mL min}^{-1}$ , column Agilent PLgel  
181 MIXED-C (7.5 mm  $\times$  300 mm, 5  $\mu\text{m}$ ), detector RI, injection volume 50  $\mu\text{L}$ , run time 15 min,  
182 and temperature 50  $^{\circ}\text{C}$ . The MW deviations of replicate data were within 10%.

### 183 **2.10 Thermal stability properties measured by thermogravimetry (TG) and differential** 184 **thermogravimetry (DTG)**

185 The thermodynamic properties of the irradiated MCC samples were assessed using TG and  
186 DTG on TGA Q50 thermogravimetric analyzer (Waters Co., USA) under a  $\text{N}_2$  atmosphere.  
187 About 10 mg of irradiated MCC for each measurement were heated in a platinum crucible  
188 from 30 to 900  $^{\circ}\text{C}$  at a heating rate of 20  $^{\circ}\text{C min}^{-1}$ . All measurements were performed under a  
189 nitrogen atmosphere at the gas flow rate of 40  $\text{mL min}^{-1}$ .

### 190 **2.11 $\gamma$ -Irradiated degradation components determination by ion chromatography (IC)**

191 The water soluble fractions of irradiated MCC were determined at room temperature by  
192 ICS-3000 ion chromatography (IC) with a refractive index (RI) detector (Dionex, USA) and a  
193 CarboPac PA20 column (150  $\times$  3 (i.d) mm, Bio-Rad Labs, USA). NaOH/NaOAc mixture  
194 solution was used as mobile phase with the flow rate of 0.5  $\text{mL min}^{-1}$ . Other parameters of IC  
195 analyses were: Injection volume 25  $\mu\text{L}$ ; column temperature 30  $^{\circ}\text{C}$ ; gradient elution  
196 programs at the specific time: NaOH 7  $\text{mmol L}^{-1}$  at 0-15min, NaOH 7-100  $\text{mmol L}^{-1}$  at 15-20  
197 min, NaOH 100  $\text{mmol L}^{-1}$  and NaOAc 100  $\text{mmol L}^{-1}$  at 30-40 min, NaOH 200  $\text{mmol L}^{-1}$  at  
198 40.1-42.1 min, NaOH 7  $\text{mmol L}^{-1}$  at 42.2-46 min. The eluant rate of mobile phase was set at

199 0.5 mL min<sup>-1</sup>. Each standard concentration of glucose, fructose, xylose, arabinose, galactose,  
200 mannose, cellubiose, galacturonic acid, and glucuronic acid was fixed at 1.0 mg mL<sup>-1</sup>.

### 201 3. Results and discussion

#### 202 3.1 Effects of $\gamma$ -irradiation pretreatment on the microstructure of MCC

203 **SEM analysis.** The impacts of  $\gamma$ -irradiation on the surface morphology of treated MCC  
204 were visualized by SEM and are shown in Fig. 1. Holes in melton form can be clearly  
205 observed on the surface of the treated MCC with more than 400 kGy, whereas the surface of  
206 untreated MCC is comparatively smooth. The damage degree of MCC morphology surface is  
207 much greater with increasing of absorbed dose from 100 kGy to 1400 kGy. This  
208 irradiation-derived damage of MCC morphology will significantly influence the substantial  
209 structural and thermal stability properties of MCC. This observation agrees well with that by  
210 Sun and co-workers [14], who demonstrated that cracks and trenches were clearly observed  
211 on the surface of the MCC samples irradiated with 500 kGy. In our previous works, it was  
212 also apparent to observe that the morphology surface of cellulose was damaged when  
213  $\gamma$ -irradiation was subjected to pretreating ligninocellulose biomasses, such as baggase cane,  
214 corn stalk, rice straw, and *Phragmites communis* trim [19, 20]. Therefore,  $\gamma$ -irradiation  
215 pretreatment can easily disrupt the microstructure of MCC to disorder the cellulose molecular  
216 arrangement, which enhances the susceptibility of cellulose and achieves almost complete  
217 enzymatic digestibility for bioethanol production [21].

218 **XRD analysis.** Figure 2 shows the XRD spectra of MCC irradiated with different absorbed  
219 doses from 100 kGy to 1400 kGy. The characteristic peaks at about 15.8°, 22.4°, and 34°  
220 lattices and the crystalline parameters of the untreated and treated MCC were obtained by  
221 deconvoluting the spectra with Jade 5.0 XRD software. The data were summarized in Table 1.  
222 In comparison with the untreated MCC, it is obviously found that CrI values have an apparent  
223 change as a function of the absorbed doses, and decrease gradually from 75% to 58% leading

224 to the formation of amorphous cellulose when the absorbed dose increases up to 1200 kGy.  
225 This observation implies that the crystalline structure of the irradiated MCC may be  
226 remarkably damaged, which is ascribed to fragment of hydrogen bond in the MCC molecular.  
227 Sun and co-workers [14], however, found that the dimensions of the crystal lattice did not  
228 change evidently (Crl only decreased from 68% to 61%) during the absorbed dose below 500  
229 kGy. Furthermore, some researchers demonstrated that crystalline transformation of cellulose  
230 showed significant effects on enzymatic digestibility and enzyme loading for following  
231 saccharification [4, 21].

232 **Distribution of molecular weight (Mw) and DP Analysis.** It has been demonstrated that  
233 cellulose polymer would de-polymerize and reduce molecular weight in presence of  
234  $\gamma$ -irradiation [14, 19, 20]. Table 2 summarizes the effect of  $\gamma$ -irradiation on the distribution of  
235 molecular weight and DP variances of MCC irradiated with different adsorbed doses from  
236 100 kGy to 1400 kGy. It can be seen from the last line in Table 2 that the DP of MCC is  
237 reduced from 183045 to 4413 under the irradiation of 1200 kGy, and the decrease of DP with  
238 further increasing of the absorbed dose gradually slows down when the irradiation dose is  
239 ranging from 400 kGy to 1200 KGy. Simultaneously, Mw, Mn, Mz, Mv and Mp distributions  
240 decrease steeply when the irradiation dose is up to 1200 kGy. It may be ascribed to the fact  
241 that MCC surface has suffered from an irradiation- mediated oxidation degradation that eases  
242 further attacks on the molecule [14]. However, In Table 2, it seems that there is sometimes  
243 increasing in Mz, Mz+1 and Mp at irradiation doses of either 1000 or 1200 kGy. The  
244 reasonable explanation might be attributed to measurement errors. But the overall tendency of  
245 molecular weight distribution is decreasing with increasing of irradiation dose in our  
246 work. From the values of polydispersity indexes (1.7-1.5) in the second line from the bottom  
247 in Table 2, it can be found that the irradiation makes the cellulose molecules tend to  
248 homogenization and small particle size, which may be attributed to irradiation-mediated

249 oxidation degradation leading to chain scission [22]. All these observations are consistent  
250 with previous studies of SEM and XRD in this work.

251 **FT-IR analysis.** The FT-IR spectra of the MCC irradiated with different absorbed doses are  
252 depicted in Fig. 3. It is known that irradiated cellulose by high absorbed dose in the presence  
253 of oxygen will lead to the formation of carbonyl and carboxyl groups due to oxidative  
254 degradation [14, 22]. Compared with the untreated MCC (0 kGy), it is obviously observed in  
255 Fig. 3 that the characteristic peak of around  $1732\text{ cm}^{-1}$  ascribed to the carbonyl groups (C=O  
256 stretching vibration) is formed at 200 kGy, and the intensity of this peak at  $1732\text{ cm}^{-1}$   
257 increases gradually with the increasing absorbed dose up to 1200 kGy. Furthermore, the band  
258 at around  $3300\text{ cm}^{-1}$  attributed to the vibration of hydrogen bonded OH-groups shifts to lower  
259 wave number firstly (at 200 kGy) and then to higher wave number when the absorbed dose  
260 exceeds 400 kGy. Such shifts indicate that  $\gamma$ -irradiation interrupts the intra-molecular and  
261 inter-molecular hydrogen bonds in cellulose [23, 24]. At lower absorbed dose (e.g. at 200  
262 kGy),  $\gamma$ -irradiation mainly destroys the original intra-molecular hydrogen bonds and the peak  
263 at around  $3300\text{ cm}^{-1}$  shifts to lower wave number ( $3200\text{ cm}^{-1}$ ). Further increasing irradiation  
264 dose up to 1200 kGy, the new formation of carbonyl groups becomes stronger, which  
265 strengthens the hydrogen bond of C=O...H-O resulting in red shift to high wave number  
266 ( $3400\text{ cm}^{-1}$ ) at higher absorbed doses [23, 24]. The absorbance at  $2899\text{ cm}^{-1}$  used as a  
267 reference is ascribed to the C-H stretching vibration. The findings suggest that the process of  
268 MCC degradation is accompanied with the formation of carbonyl groups containing  
269 compounds [14]. It can also be found from Fig. 3 that the vibration wave numbers at  $1164$   
270  $\text{cm}^{-1}$ ,  $1112\text{ cm}^{-1}$  and  $1058\text{ cm}^{-1}$  all shift to lower wave number and the intensities of these  
271 bands become stronger with the increase of irradiated doses. Such shifts suggest that  
272  $\gamma$ -irradiation probably interrupt the intermolecular C-O-C bond of cellulose due to  
273 irradiation-mediated oxidative degradation [25]. According to the reports in reference [23],

274 the assignments of all these peak wave numbers are shown in Table 3.

275  **$^1\text{H}$  and  $^{13}\text{C}$  CP/MAS NMR** In order to evaluate the bonds cleavage in cellulose backbone,  
276 solid-state NMR spectroscopy was employed to assess the functional group changes of MCC  
277 before and after irradiation treatment, which can provide not only chemical shift information  
278 but also chemical environment and ultra-structural details. These information is not easily  
279 accessible by other non-destructive high-resolution spectral techniques [26]. It is noteworthy  
280 that there are three noticeable changes in the character of the  $^1\text{H}$  NMR spectra (Fig. 4). Firstly,  
281 the total  $^1\text{H}$  intensity increases significantly when irradiated doses enhance gradually up to  
282 1200 kGy. Secondly, the  $^1\text{H}$  line shape enlarge apparently with increasing irradiated dose  
283 from 200 kGy to 1200 kGy. Thirdly, the high peak of H nuclei at about 5 ppm of MCC shift  
284 when irradiated dose increased up to 1200 kGy. These shifts of  $^1\text{H}$  NMR spectra of treated  
285 MCC are ascribed to a substantial increase of hydrogen nuclei during irradiation-induced  
286 degradation. From the  $^{13}\text{C}$  CP/MAS NMR spectra (Fig. 4), carbon chemical shifts and the  
287 intensity of carbon peaks for the  $\text{C}_1$ ,  $\text{C}_4$  and  $\text{C}_6$  ring positions in the cellulose backbone are  
288 changed in the presence of irradiation. These slight changes of carbon chemical shifts of  
289 irradiated MCC probably result in its microstructure from crystalline type to amorphous  
290 formation, which agree well with the findings of XRD analysis in this work. From solid state  
291  $^1\text{H}$  and  $^{13}\text{C}$ -NMR spectra, it can be reasonably drawn a conclusion that treated MCC  
292 undergoes an oxidative degradation and provide positive charge ( $\text{H}^+$ ) during this degradation  
293 processing when MCC is subjected to high irradiated doses environment.

294 **EPR investigation** As seen in Fig. 5, there exist significant differences in the EPR signals of  
295 untreated and irradiated MCC. Obviously, no EPR signal is observed for MCC untreated,  
296 whilst the EPR signals of irradiated MCC significantly represent weak triplet with relative  
297 intensity. It is reasonably speculated that free radicals are formed during irradiation processing  
298 of MCC and a large increase in radical concentration occurs at high irradiation dose. The

299 triplet spectrum consists of the central line, which is buried by the natural intense singlet, and  
300 two weak characteristic satellite lines separate ca. 2 mT left and right to it. It is probably  
301 attributed to the formation of weak charge-transfer complexes when MCC is subjected to high  
302 adsorbed dose of  $\gamma$ -irradiation circumstances [14]. The formation of free radicals was directly  
303 on the cellulose backbone by cleaving the C<sub>2</sub>-C<sub>3</sub> bond and oxidation of cellulosic chain ends  
304 containing hemiacetal linkages. This observation agrees well with the result in NMR analysis  
305 in our work. Paukszta reported that other pretreatment methods such as thermal and  
306 mechanical treatments of lignocellulose also might result in free radicals generation [27].  
307 Undoubtedly, EPR method can be used for distinguishing irradiated from non-irradiated  
308 samples of certain cellulose-containing foods stocks from the view of free radicals formation  
309 [28].

### 310 **3.2 Effects of absorbed dose on thermal stability of cellulose**

311 To address the thermal properties of treated MCC, thermal gravimetric analysis (TGA)  
312 and differential thermal analysis (DTA) curves of MCC irradiated with different absorbed  
313 doses are shown in Fig. 6.

314 As seen in Fig. 6, the TGA curves of the irradiated MCC appear to be divided into three  
315 weight loss phases. At the first stage, the initial weight loss mainly happens in the region  
316 between 50 °C and 150 °C due to the dehydration. This change at the first stage was also  
317 called as physical change [29]. The endothermic peak appears on the DTA curves at about  
318 150 °C. Moisture content (%) in MCC between 50 °C and 150 °C increases with the  
319 increasing absorbed dose. From the previous findings of XRD, FT-IR and NMR, irradiation  
320 can disrupt the crystalline structure of MCC, and generate some amount of hydrophilic groups  
321 such as carbonyl group, carboxyl group, and free hydroxyl group, which result in a higher  
322 hygroscopicity of irradiated MCC at higher irradiated doses. At the second stage, the major  
323 weight loss occurs between 200 °C and 500 °C. The absorbed dose increasing from 0 to 1200

324 kGy, the onset temperature ( $T_i$ ) of the depolymerization and decomposition of MCC  
325 decreases from 300 °C to 150 °C, respectively. Also, the terminative temperature ( $T_f$ )  
326 gradually increases from 390 °C to 550 °C when the absorbed doses increased from 200 kGy  
327 to 1200 kGy. At the point about 310 °C, exothermal peaks appear in this stage on the DTA  
328 curve. At the second stage, the DTA peaks become wider and move to the lower temperature  
329 regions, which indicates that the maximum temperature ( $T_p$ ) of highest weight loss reduces  
330 with increase of irradiated dose. Figure 7 shows the dependence of absorbed dose on the peak  
331 temperature  $T_p$  at which the rate of degradation reaches the maximum value. It can be noticed  
332 that  $T_p$  decreases from 390 °C to 305 °C with the increase of absorbed dose from 0 kGy to  
333 1200 kGy, which is coincident with the effect of absorbed dose on DP. The reduction of DP is  
334 the main cause resulting in degeneration of thermal stability of treated MCC. On the other  
335 hand, the slight decreasing in crystallinity as observed in XRD also decreases the thermal  
336 stability of irradiated MCC. DTA peaks become wider because of the presence of a large  
337 number of low molecular weight fragments, random distributed cleavages, and breakdowns of  
338 C-O-C units in cellulose chains [14]. The radiation degradation not only decreases the DP of  
339 MCC but also leads to the wider distribution of molecular weight [14]. This result also  
340 reflects the randomness of the radiation degradation [30]. At the third stage, the weight loss is  
341 not very evident. The exothermal peaks change into relatively smooth ones, which indicate  
342 that the rates of weight loss slow down. About 10% residue solid is left at 1200 kGy. Taken  
343 together, no obvious difference of thermal stability is observed for MCC irradiated with  
344 different absorbed doses from 200 kGy to 1200 kGy.

345 To further elucidate the effect of absorbed doses on thermogravimetry of MCC, the  
346 thermogravimetric kinetics was estimated to calculate activated energy ( $E_a$ ) using  
347 Coats-Redfern equation as follows Eqs. (4) and (5):

$$348 \quad \frac{d_\alpha}{d_t} = A \times \exp\left(-\frac{E_a}{RT}\right) \times (1-\alpha)^n \quad (4)$$

$$349 \quad \alpha = (m - m_T)/(m - m_2) \quad (5)$$

350 where:  $m$  is the mass weight of MCC, g;  $m_T$  is the mass weight of MCC at temperature  
 351 of  $T$  °C, g;  $m_2$  is residue mass weight of MCC, g;  $t$  is the treated time, s;  $R$  is the molar gas  
 352 constant, J/K. mol;  $T$  is the temperature, °C;  $E_a$  is the activated energy, kJ/mol.

353 When the rising rate of temperature is considered as  $\beta$ , K min<sup>-1</sup>, Eq. (4) could be  
 354 transferred to Eq. (6):

$$355 \quad \int_0^\alpha \frac{d_\alpha}{(1-\alpha)^n} = \frac{A}{\beta} \int_0^T \exp\left(-\frac{E_a}{RT}\right) dT \quad (6)$$

356 Consuming  $\frac{E_a}{RT} = u$ , Eq. (7) can be obtained as follows:

$$357 \quad du = \frac{E_a \times dT}{RT^2}, \text{ and then } dT = \frac{RT^2}{E_a} \times du \quad (7)$$

358 Combining Eqs. (6) and (7), and further simplifying, Then, Eq. (8) could be obtained as  
 359 follows:

$$360 \quad \int_0^\alpha \frac{d_\alpha}{(1-\alpha)^n} = \frac{A}{\beta} \int_0^T e^{-u} \left(-\frac{E_a}{RT}\right) du = \frac{AE_a}{\beta R} u^{1-2} e^{-u} \sum_{n=0}^{\infty} \frac{(-1) \times 2^n}{u^{n+1}}$$

$$361 \quad = -\frac{AR}{\beta E_a} \left(1 - \frac{2RT}{E_a}\right) e^{-\frac{E_a}{RT}}$$

$$362 \quad \ln\left[\frac{d_\alpha}{T^2}\right] = \ln\left[\frac{AR}{\beta E_a} \left(1 - \frac{2RT}{E_a}\right)\right] - \frac{E}{RT} \quad (8)$$

363 In this study,  $\frac{E}{RT} \gg 1$ , so  $\ln\left[\frac{AR}{\beta E_a} \left(1 - \frac{2RT}{E_a}\right)\right]$  becomes almost a constant number.

364 Therefore, the relationship between  $\ln\left[\frac{d_\alpha}{T^2}\right]$  and  $\frac{1}{T}$  is a linear curve. From the slope and

365 intercept,  $E_a$  can be obtained.

366 Linear fit is performed on the thermogravimetric curves using Coats-Redfern method in  
367 presence of different irradiated doses and the results are shown in Fig. 8. It is apparently seen  
368 that the  $E_a$  of irradiated MCC decreases with the increasing of irradiated dose. The reasonable  
369 explanation is the fact that the crystalline structure of irradiated MCC is destroyed leading to  
370 decrease its thermal stability. The findings are consistent with the results of FT-IR, SEM,  
371 XRD and NMR in previous experiments of this work.

### 372 **3.3 Effects of absorbed dose on irradiation-derived degradation components of cellulose**

373 As noted above,  $\gamma$ -irradiation pretreatment could disrupt the cellulose crystalline structure,  
374 and yield both soluble and insoluble fractions. Therefore, the soluble fractions of  
375 irradiation-mediated degradation components comprise some water-soluble sugars, including  
376  $C_5$  and  $C_6$  monomers, cellobiose, as well as the toxic by-products inhibitors, such as  
377 galacturonic and glucuronic acids. The water-soluble sugars and glucuronic and galacturonic  
378 acids of irradiated MCC were measured by ion chromatography (IC), and the results are  
379 summarized in Table 4.

380 Generally seen in Table 4, the water-soluble sugars of the irradiated MCC at 1200 kGy are  
381 composed of relatively high concentration of glucose ( $10.73 \pm 0.42 \text{ mg g}^{-1}$ ), fructose  
382 ( $4.31 \pm 0.14 \text{ mg g}^{-1}$ ), cellobiose ( $1.90 \pm 0.03 \text{ mg g}^{-1}$ ) and xylose ( $1.58 \pm 0.09 \text{ mg g}^{-1}$ ). Very little  
383 amount of arabinose ( $0.46 \pm 0.01 \text{ mg g}^{-1}$ ) at the dose of 1200 kGy is observed, but mannose is  
384 almost not available in this work. It is interesting to notice that the contents of water-soluble  
385 sugars (glucose, fructose, xylose and cellobiose) of irradiated degradation components of  
386 MCC increase with increasing of irradiation doses from 100-1400 kGy. Meanwhile, very low  
387 content of glucuronic acid ( $0.35 \pm 0.00 \text{ mg g}^{-1}$ ) is detected among the irradiation-mediated  
388 degradation components. In our previous work, we compared the effect of steam explosion  
389 and irradiation pretreatments on the inhibitors among degradation components of rice straw

390 materials. Interestingly, no glucuronide acid was detected in irradiation pretreated rice straw,  
391 while glucuronide acid with the content from 8.5 mg/g to 9.2 mg/g was obtained in steam  
392 explosion pretreated sample [19]. On the other hand, low content of galacturonic acid  
393 ( $1.46 \pm 0.06$  mg g<sup>-1</sup>) at 1400kGy are detected in the MCC irradiated degradation components.  
394 It was reported that glucuronic and galacturonic acids are toxic compounds inhibitors to the  
395 yeast fermentation from biomass hydrolysis for bioethanol production [31, 32].

#### 396 **4. Conclusions**

397 High  $\gamma$ -Irradiation pretreatment can evidently disrupt the crystalline microstructure of MCC,  
398 resulting in reducing DP and thermal stability. Irradiation influences the inter- and  
399 intra-molecular hydrogen bond of MCC and generates carbonyl groups contained compounds.  
400 Apart from C<sub>5</sub> and C<sub>6</sub> monosugars and cellobiose, irradiation-mediated degradation  
401 components consist of low content of inhibitors, such as glucuronic and galacturonic acids.  
402 Efficiency eliminating the negative effects of these inhibitors on yeast fermentation is ongoing  
403 in our lab. In conclusions, irradiation positive effects on cellulose will benefit the conversion  
404 of lignocellulose to ethanol using enzyme hydrolysis and fermentation.

#### 405 **Abbreviations**

406 MCC: Microcrystalline cellulose. DP: Degree of polymerization. SEM: Scanning electron  
407 microscopy. FT-IR: Fourier transforms infrared spectrometry. EPR: Electron paramagnetic  
408 resonance. NMR: Nuclear Magnetic Resonance. CP/MAS: Cross-polarization/magic angle  
409 spinning. XRD: X-ray diffraction. TGA: Thermogravimetry analysis. DTG: Differential  
410 thermogravimetry. IC: Ion chromatography. Ea: Activated energy. MW: Molecular weight.  
411 GPC: Gel permeation chromatography.

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515 **Figure captions**

516 Figure 1 SEM images of MCC before irradiation (0 kGy) and after irradiation at 200 kGy, 400  
517 kGy, 600 kGy, 800 kGy, 1000 kGy, 1200 kGy and 1400 kGy.

518 Figure 2 XRD pattern of MCC irradiated with different absorbed doses at 0 kGy, 200 kGy,  
519 400 kGy, 600 kGy, 800 kGy, 1000 kGy and 1200 kGy.

520 Figure 3 FT-IR spectra of MCC irradiated with different adsorbed doses at 0 kGy, 200 kGy,  
521 400 kGy, 600 kGy, 800 kGy, 1000 kGy and 1200 kGy.

522 Figure 4  $^1\text{H}$  MAS NMR and  $^{13}\text{C}$  CP/MAS NMR spectra of MCC irradiated with different  
523 doses at 0 kGy, 200 kGy, 400 kGy, 600 kGy, 800 kGy, 1000 kGy and 1200 kGy.

524 Figure 5 EPR spectra of MCC irradiated with different doses at 0 kGy (g), 200 kGy (f), 400  
525 kGy (e), 600 kGy (d), 800 kGy (c), 1000 kGy (b) and 1200 kGy (a).

526 Figure 6 T thermogravimetry curves of MCC irradiated with different doses at 0 kGy (g),  
527 200 kGy (f), 400 kGy (e), 600 kGy (d), 800 kGy (c), 1000 kGy (b) and 1200 kGy (a).

528 Figure 7 The dependence of absorbed doses from 200 kGy to 1200 kGy on the peak  
529 temperature  $T_p$

530 Figure 8 Linear fit curves of the MCC thermogravimetric data using Coats-Redfern method in  
531 presence of different irradiated doses at 0 kGy, 200 kGy, 400 kGy, 600 kGy, 800 kGy, 1000  
532 kGy and 1200 kGy.

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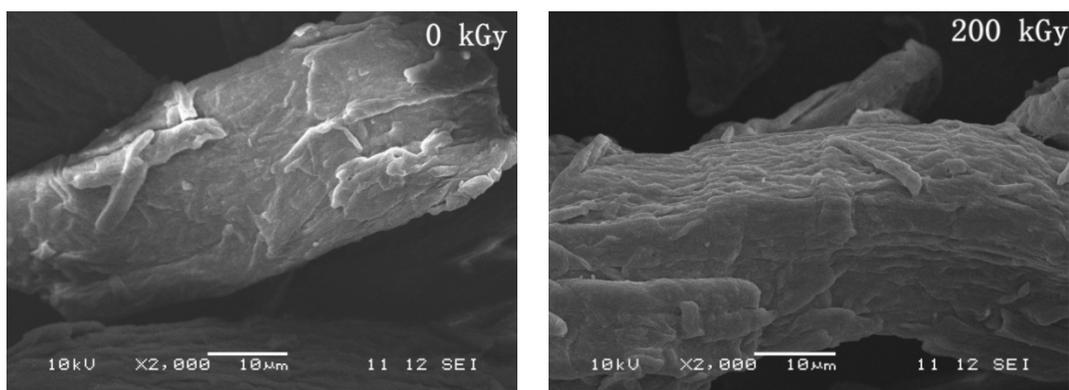
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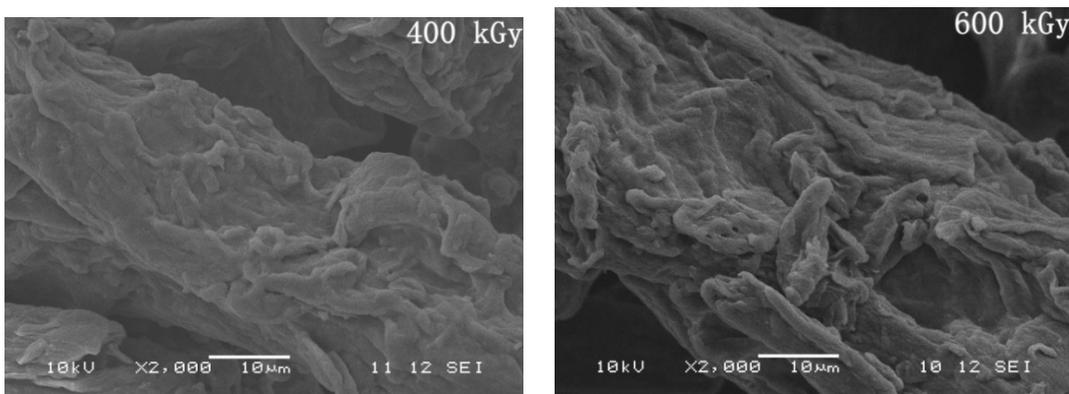
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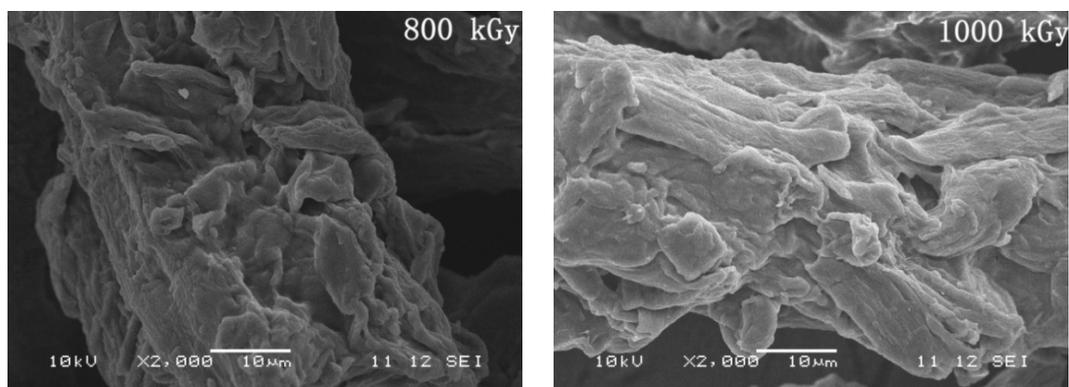
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540 **Fig.1**

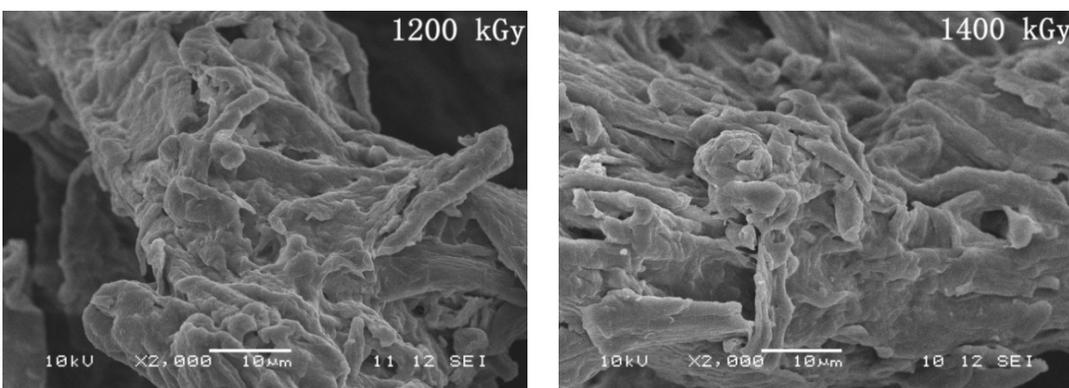
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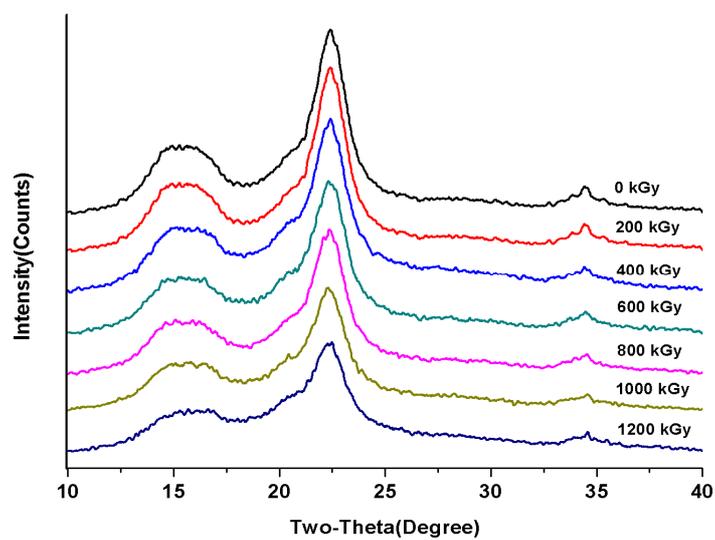


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546 **Fig. 2**

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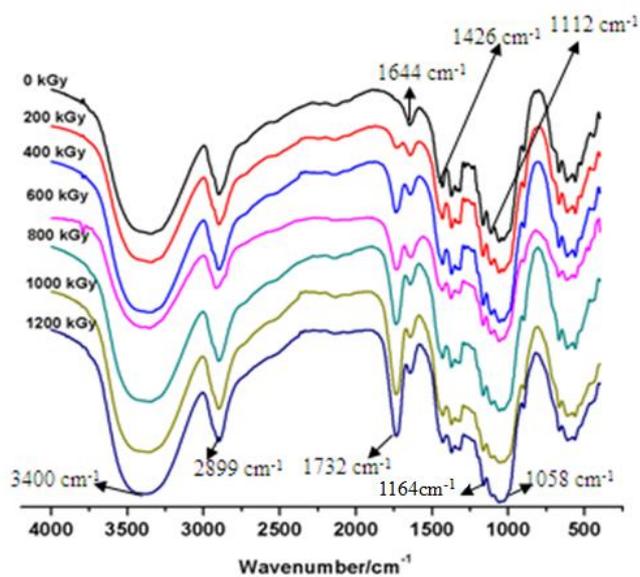
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564 **Fig. 3**

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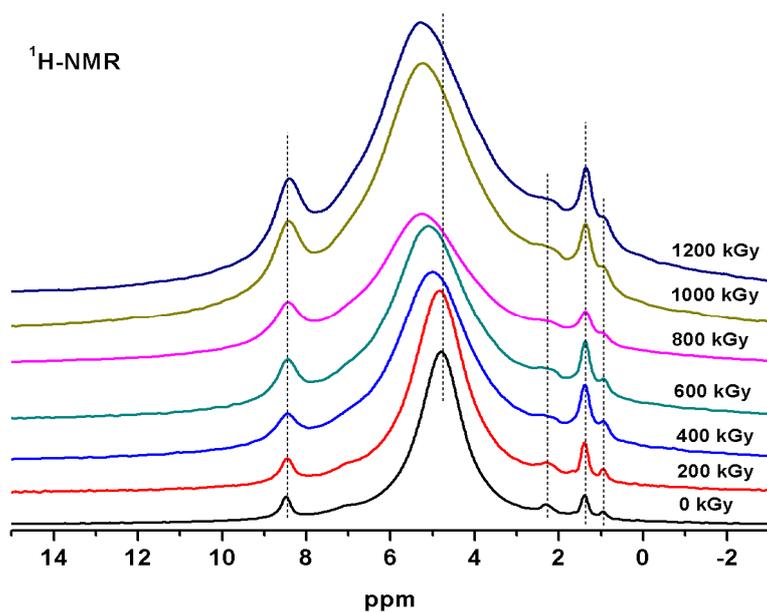
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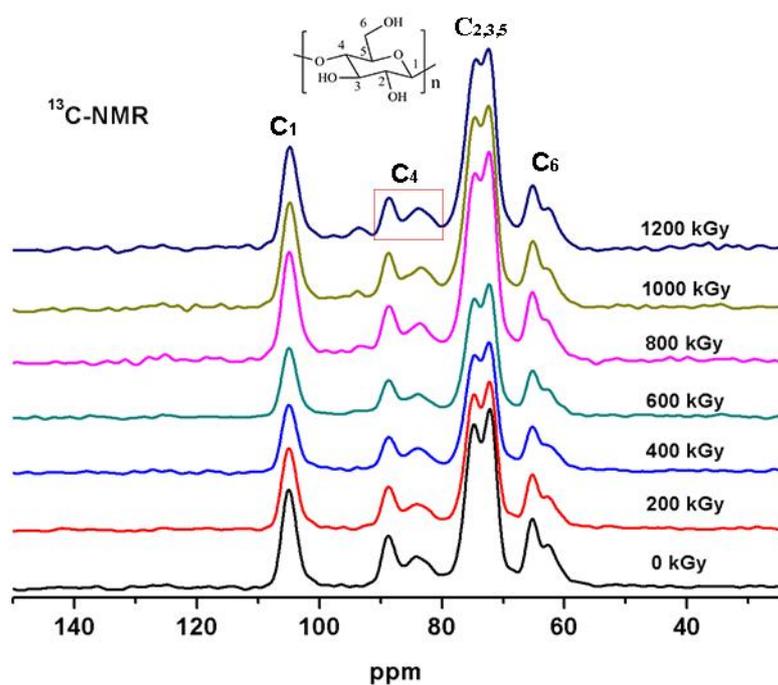
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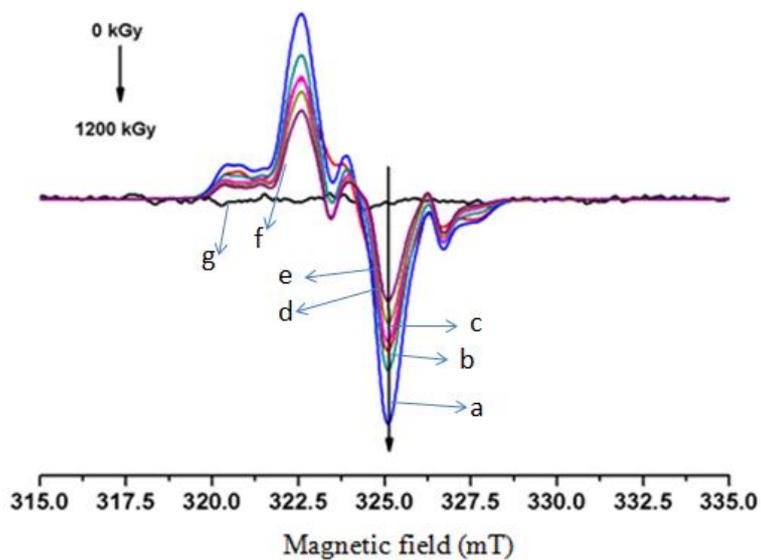
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590 Fig. 5

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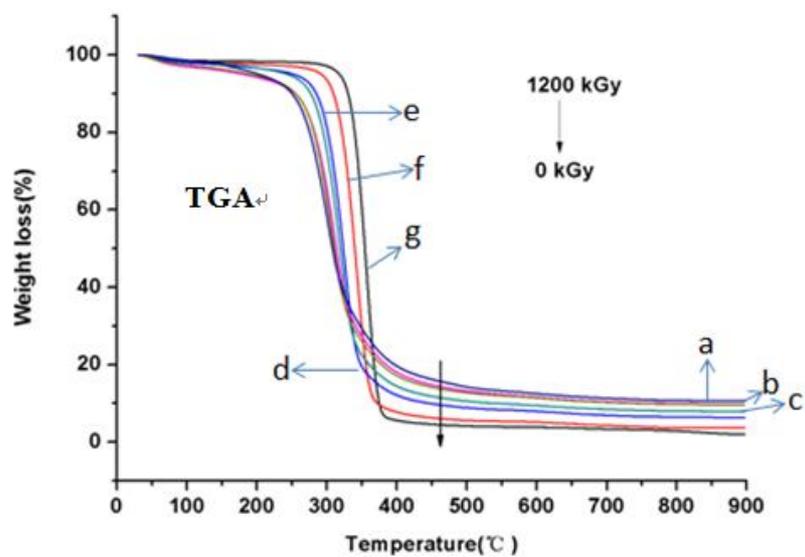
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608 Fig. 6



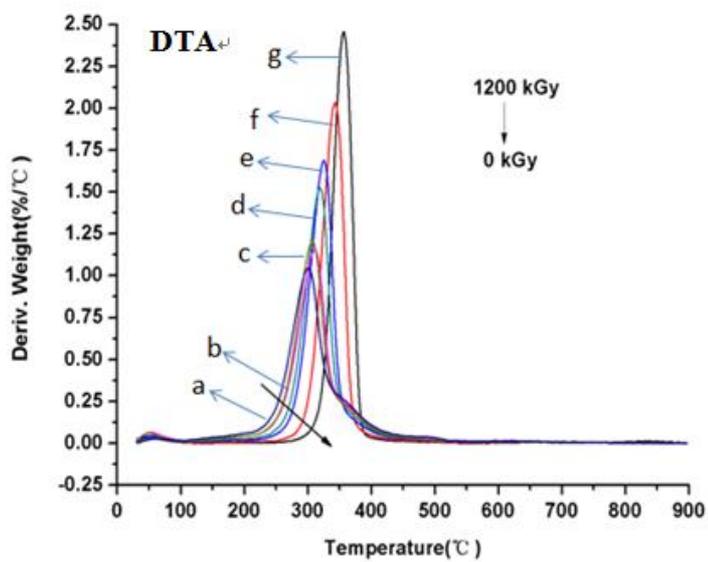
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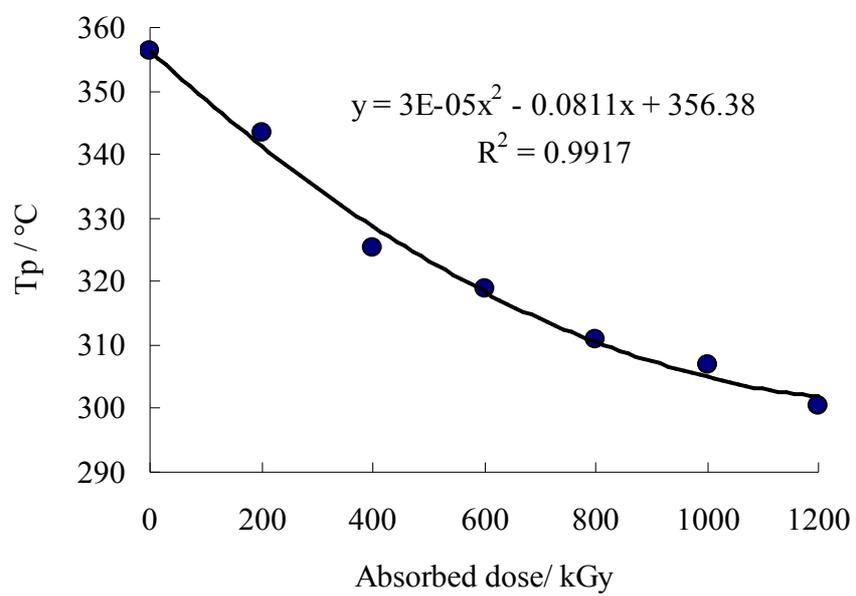
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620 **Fig. 7**

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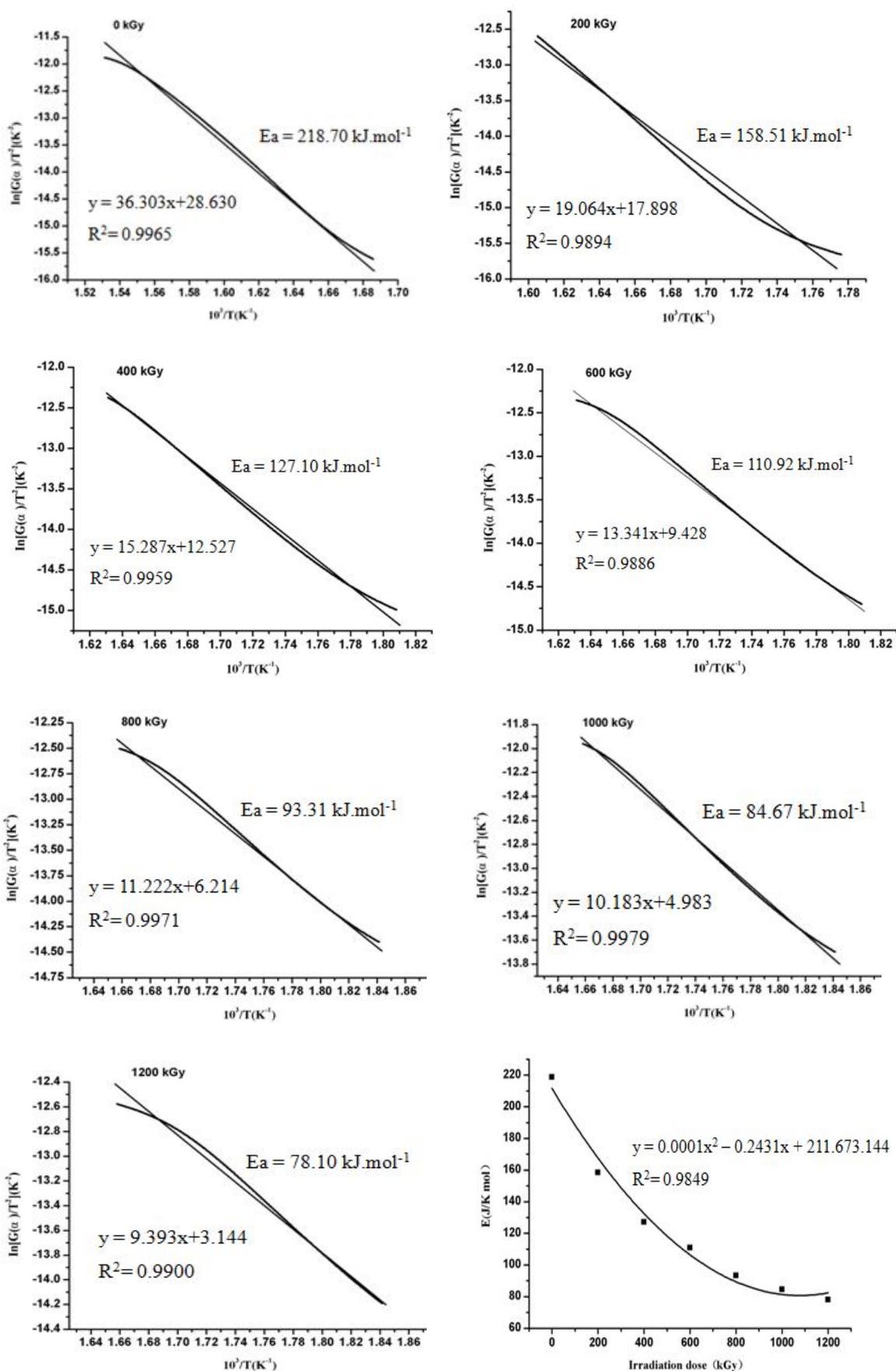
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637 Fig. 8



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642 **Table 1** Characterization peaks and CrI (%) of MCC irradiated with different absorbed doses

| Absorbed doses (kGy) | Location of characterization peaks |                       |                          | CrI (%) |
|----------------------|------------------------------------|-----------------------|--------------------------|---------|
|                      | I <sub>101</sub> (15.8°)           | I <sub>AM</sub> (18°) | I <sub>200</sub> (22.4°) |         |
| 0                    | 480                                | 273                   | 1115                     | 75.52   |
| 200                  | 506                                | 334                   | 1106                     | 69.80   |
| 400                  | 428                                | 302                   | 944                      | 68.01   |
| 600                  | 377                                | 278                   | 823                      | 66.22   |
| 800                  | 368                                | 274                   | 751                      | 63.51   |
| 1000                 | 356                                | 297                   | 734                      | 59.54   |
| 1200                 | 315                                | 278                   | 667                      | 58.32   |

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658 **Table 2** Effect of adsorbed dose on molecular weight distribution and DP of MCC

| Adsorbed doses (kGy)  | 0        | 400    | 600    | 800    | 1000   | 1200   |
|---|----------|--------|--------|--------|--------|--------|
| Weight-average Molecular Weight (M <sub>w</sub> , Da)           | 189591   | 56610  | 46839  | 36221  | 35973  | 33336  |
| Number-average Molecular Weight (M <sub>n</sub> , Da)           | 65174    | 32182  | 28321  | 23680  | 24059  | 22933  |
| Z-average molecular weight (M <sub>z</sub> , Da)                | 2637259  | 109455 | 96275  | 92689  | 85470  | 89270  |
| Z+1-average molecular weight (M <sub>z+1</sub> , Da)            | 36150445 | 265055 | 348634 | 550648 | 502651 | 602031 |
| Viscosity-average molecular weight (M <sub>v</sub> , Da)        | 164682   | 53093  | 44080  | 34143  | 34060  | 31562  |
| Peak molecular weight (M <sub>p</sub> , Da)                     | 134310   | 49604  | 23645  | 20726  | 21480  | 20974  |
| Ratio of M <sub>z</sub> /M <sub>w</sub>                         | 13.91    | 1.933  | 2.055  | 2.559  | 2.376  | 2.678  |
| Ratio of M <sub>z+1</sub> /M <sub>w</sub>                       | 190.67   | 4.682  | 7.443  | 15.202 | 13.973 | 18.06  |
| polydispersity index (ratio of M <sub>w</sub> /M <sub>n</sub> ) | 2.909    | 1.759  | 1.654  | 1.53   | 1.495  | 1.454  |
| Degree of polymerization (DP)                                   | 183045   | 47495  | 30700  | 17340  | 9137   | 4413   |

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664 **Table 3** Peak wave numbers of FT-IR bands and their assignments according to the literature

| Wave number (cm <sup>-1</sup> ) | Band origin (assignment) with comments  |
|---------------------------------|---|
| 3340-3450 cm <sup>-1</sup>      | Valence vibration of bonded OH-groups (intra-molecular) or inter-molecular in cellulose |
| 2898-2899 cm <sup>-1</sup>      | -CH, -CH <sub>2</sub> valence vibration in cellulose from C <sub>6</sub>                |
| 1731-1735 cm <sup>-1</sup>      | C=O valence vibration of acetyl- or COOH-groups   |
| 1654-1642 cm <sup>-1</sup>      | H-O-H valence vibration in adsorbed water   |
| 1428-1431 cm <sup>-1</sup>      | -CH <sub>2</sub> scissoring   |
| 1371-1372 cm <sup>-1</sup>      | -CH deformation vibration   |
| 1315-1318 cm <sup>-1</sup>      | -CH <sub>2</sub> rocking vibration  |
| 1161-1164 cm <sup>-1</sup>      | C-O-C asymmetric valence vibration  |
| 1111-1119 cm <sup>-1</sup>      | C-O stretching  |
| 1057-1058 cm <sup>-1</sup>      | C=O valence vibration   |
| 894-898 cm <sup>-1</sup>        | β,1-4 glycosidic bond   |

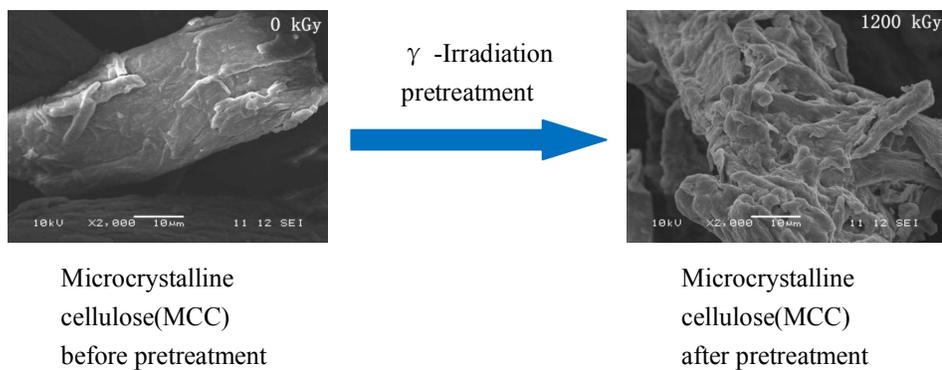
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**Table 4** MCC irradiated degradation components at different absorbed dose

| Irradiated dose (kGy) | Glucose (mg g <sup>-1</sup> ) | Fructose (mg g <sup>-1</sup> ) | Arabionose (mg g <sup>-1</sup> ) | Mannose (mg g <sup>-1</sup> ) | Xylose (mg g <sup>-1</sup> ) | Cellobiose (mg g <sup>-1</sup> ) | Glucuronic acid (mg g <sup>-1</sup> ) | Galacturonic acid (mg g <sup>-1</sup> ) |
|-----------------------|-------------------------------|--------------------------------|----------------------------------|-------------------------------|------------------------------|----------------------------------|---------------------------------------|---|
| 0                     | n.a.                          | n.a.                           | 0.02±0.03                        | n.a.                          | n.a.                         | n.a.                             | n.a.                                  | 0.04±0.03                               |
| 100                   | 0.08±0.11                     | 0.00±0.00                      | 0.03±0.05                        | n.a.                          | 0.00±0.00                    | 0.04±0.05                        | n.a.                                  | 0.03±0.04                               |
| 200                   | 0.42±0.08                     | 0.08±0.11                      | 0.10±0.01                        | n.a.                          | 0.06±0.01                    | 0.50±0.12                        | n.a.                                  | 0.13±0.04                               |
| 400                   | 2.26±0.03                     | 0.89±0.00                      | 0.18±0.04                        | n.a.                          | 0.37±0.01                    | 0.50±0.01                        | 0.02±0.00                             | 0.26±0.05                               |
| 600                   | 3.14±0.25                     | 0.95±0.23                      | 0.22±0.01                        | n.a.                          | 0.49±0.04                    | 0.64±0.02                        | 0.05±0.00                             | 0.37±0.03                               |
| 800                   | 5.15±0.10                     | 2.03±0.12                      | 0.32±0.01                        | 0.14±0.01                     | 0.87±0.01                    | 1.04±0.04                        | 0.20±0.02                             | 0.69±0.10                               |
| 1000                  | 5.68±0.00                     | 2.65±0.03                      | 0.34±0.03                        | 0.13±0.01                     | 0.95±0.06                    | 1.24±0.01                        | 0.22±0.00                             | 0.80±0.06                               |
| 1200                  | 6.79±0.01                     | 2.96±0.07                      | 0.41±0.00                        | n.a.                          | 1.14±0.12                    | 1.40±0.06                        | 0.35±0.02                             | 1.05±0.06                               |
| 1400                  | 10.73±0.42                    | 4.31±0.14                      | 0.46±0.01                        | n.a.                          | 1.58±0.09                    | 1.90±0.03                        | 0.35±0.00                             | 1.46±0.06                               |

666 n.a. means not available

## Graphical abstract



The microstructure, thermal stability and irradiated-degradation components of microcrystalline cellulose were investigated under  $^{60}\text{Co}$   $\gamma$ -irradiation circumstances (0 kGy-1400 kGy).