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# **Graphical Abstract**



Acoustical method shows its ability to study the structure, energetics and spectroscopic aspects of charge transfer complexes of three different benzenoid compounds namely chlorobenzene (1), phenol (2) and anisole (3) with iodine and supported by UV-Visible and DFT methods.

# ULTRASONIC, SPECTROPHOTOMETRIC AND THEORETICAL STUDIES OF SIGMA AND PI INTERACTIONS OF IODINE WITH SUBSTITUTED BENZENE<sup>†</sup>

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# 14

# Abstract

15 The charge transfer (CT) interaction between the three structurally different benzenoid 16 compounds (donors), chlorobenzene (1), Phenol (2), Anisole (3) and iodine ( $I_2$ , acceptor) were investigated by experimental methods (ultrasonic and UV-Visible analysis) and theoretical 17 calculations. Notably, strong solute – solute interaction and existence of CT type of interaction 18 19 between 1-3 and  $I_2$  is clearly analyzed from the trend in acoustical and excess thermo acoustical parameters with concentration at 303 K in n-hexane medium. The formation of 1:1 complexes 20 between iodine and 1-3 was established by UV-visible spectroscopic method. The structure and 21 stabilization energies of 1-3 and  $I_2$  were further calculated by DFT calculations. Among the  $\sigma$ -22 and  $\pi$ -type interactions, a  $\pi$ -type complex (1a-3a) with atom-centered orientation is found to be a 23 24 preferred and stable geometry for all CT complexes. The stability constant of the CT complexes was calculated by spectroscopic and ultrasonic methods which show similar trend with DFT 25 computed stabilization energies, Further, AIM and NBO analysis was used to quantify the nature 26 of stabilizing interactions existing in 1-3 and I<sub>2</sub> CT complexes. Our computed results are in good 27 agreement with the experimental observations. 28

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# 1 1 Introduction:

2 The directional non-covalent interactions between donors and acceptors such as halogens are inevitable in the context of frontier areas of research including crystal engineering, drug 3 designing, and protein-ligand complexation.<sup>1-3</sup> These complexes have been identified as 4 potential candidates for non-linear optical materials and electrical conductivity.<sup>4</sup> In 1863, 5 Guthrie and coworkers have first reported that charge transfer (CT) complexes between ammonia 6 and iodine (NH<sub>3...I<sub>2</sub>) systems.<sup>5</sup> Charge-transfer interactions (CT) are the special kind of non-</sub> 7 covalent interaction which are ubiquitous in nature and are of much important in many biological 8 processes. Over the years, benzene-I<sub>2</sub> system have considered as a classical case of CT type 9 interactions. Later, Hilderband<sup>6</sup> reported the absorption characteristics of benzene-iodine CT 10 complexes. Further, the formation of CT complexes was investigated by Mülliken through 11 resonance model through spectroscopic and dipole moment studies.<sup>7-8</sup> Subsequently, significant 12 number of reports on spectroscopic and theoretical studies reveal that the geometry of CT 13 complexes between benzene and electrophilic halogens.<sup>9-11</sup> Notably, Fredin<sup>12-13</sup> and Ferguson<sup>14-15</sup> 14 have suggested that the existence of axial structure of benzene-iodine CT complexes in a 15 nitrogen matrix through IR experiments. 16

In conjunction to experimental measurements, electronic structure calculations<sup>16-17</sup> have 17 performed over the years to determine the most stable geometry conformation of benzene-iodine 18 CT complexes. Both density functional theory (DFT) based calculations (commonly B3LYP 19 functional is used) and "*ab initio* CCSD(T)" calculations by Mebel *et al*<sup>18</sup> have pointed out that 20 the most stable geometry for benzene-iodine CT complexes was above-bond conformation and 21 not the axial type. Besides, photo dissociation dynamics study of benzene-iodine complexes in 22 benzene solution by CT band resonance Raman spectra combined with DFT calculations showed 23 24 that atom-center-oriented geometry was found to be an active geometry in its CT excitation states.<sup>19</sup> In addition to that, combined UV-PES and *ab initio* molecular orbital studies of 25 Venuvanalingam and coworkers have clearly explained the possibility of existence of most stable 26 bond centered oblique structure of benzene-iodine monochloride (ICl) CT complexes.<sup>20</sup> 27

Ultrasonic investigations of binary and ternary liquid mixtures, being convenient and non-destructive methods and having much significance in assessing the nature of molecular interactions in liquid mixtures.<sup>21-24</sup> But in recent years, the acoustical investigations have been successfully employed in the detection, determination of stability constants and thermodynamic

properties of several CT and hydrogen bonded complexes.<sup>25-28</sup> Spectroscopic techniques have been employed extensively to determine the equilibrium constant and extinction co-efficient ( $\varepsilon$ ) of CT complexes by several authors. The effect of the substituents on the aromatic moiety on stability of these complexes has been studied by using the IR, NMR and UV- visible spectral techniques.<sup>29-30</sup> The formation of CT complexes between iodine as  $\sigma$ -acceptor with certain heterocyclic compounds have been investigated by ultrasonic and UV-visible spectral methods in DMSO medium by Ulagendran *et al.*<sup>31</sup> They found that both UV- visible and ultrasonic

methods yielded similar association constant values for CT complexes.

Iodine is a good example for an acceptor species among halogens for CT interactions 9 with electron rich benzenoid compounds and it has biological applications.<sup>32</sup> In order to 10 understand the stability and orientational aspects of CT complexes of I<sub>2</sub> with benzenoid 11 compounds, three systems have chosen. To understand the orientation of electrophilic iodine on 12 the aromatic ring with respect to the different substituents is comprehensively addressed from 13 experiment and theory by considering phenol...I<sub>2</sub>, anisole...I<sub>2</sub> and chlorobenzene...I<sub>2</sub> systems. The 14 notable feature of our work is the applications of ultrasonic scan in the ternary systems in the 15 detection of CT complexes and determination of their stability constants. Thermo-acoustic and 16 excess thermo-acoustical properties are reported in support of formation of these complexes. 17 Often in the acoustical method, Marwein-Bhatt equation<sup>33</sup> is used to calculate the stability 18 constants. However, this method is suitable for relatively strong CT complexes and moreover the 19 formation constants obtained by this method is concentration dependent. In the present work, 20 Kannappan equation<sup>31b</sup> was utilized to determine the concentration independent stability constant 21 22 and thermodynamic properties of such weak complexes. The spectral and theoretical methods are used as supportive methods. Interestingly, our present work comprehensively compares the 23 24 structural, stability, bonding and spectral aspects of classical and halogen bonded complexes of chlorobenzene, phenol and anisole with iodine using the acoustic method along with UV-Visible 25 and theoretical methods (AIM, NBO & TDDFT). 26

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# 28 2 Materials and Method

29 The MERCK AR grade of iodine, chlorobenzene (1), phenol (2), anisole (3) and 30 n-hexane were purchased from S D fine chemicals, India. Iodine was purified by sublimation and 31 1-3 were distilled before use in order to achieve higher purity. The density, (ρ) of the pure

(4)

1 liquids and their ternary systems were determined accurately by using a 10 ml specific gravity bottle. The ultrasonic velocities in pure liquids and their ternary liquid mixtures were measured 2 with an accuracy of 0.1 ms<sup>-1</sup> using a single crystal variable path ultrasonic interferometer 3 operating at 2 MHz frequency. Viscosity measurements were made with an Ostwald's 4 viscometer in which the flow time for solutions were measured through a digital stop clock of 5 accuracy  $\pm$  0.01s. The temperature of the samples was maintained at 303 K by digitally 6 7 controlled thermostatic water bath. Electronic absorption spectra of the samples were recorded in PERKIN ELMER LAMDA 25 model spectrophotometer provided with thermostatic control at 8 303 K. Stability constants of the CT complexes of 1-3 with iodine are determined from the slope 9 and intercept of Benesi-Hildebrand (B-H) plots. 10

# **11 2.1 Method of calculation for acoustical parameters:**

12 The various acoustical and excess thermo acoustical parameters were calculated from the 13 measured values of ultrasonic velocity (u), density ( $\rho$ ) and viscosity ( $\eta$ ) using the standard 14 formulae.<sup>24,31b</sup> Isentropic compressibility ( $\kappa_s$ ) can be calculated from ultrasound speed and 15 density for a given solution at a given temperature using the equation (1):

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$$\kappa_{\rm s} = (u^2 \rho)^{-1} \tag{1}$$

The following equations (2-4) are used to calculate free length ( $L_f$ ), internal pressure ( $\pi_i$ ), and specific acoustic impedance (Z):

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$$L_{\rm f} = K_{\rm T} \kappa_{\rm s}^{1/2} \tag{2}$$

20 K<sub>T</sub> is temperature dependent constant. Its value is  $(93.875 + 0.375 \text{ T}) \times 10^{-8}$ 

 $Z = u \rho$ 

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 $\pi_{i} = bRT (K\eta/u)^{1/2} \rho^{2/3} / M_{eff}$ (3)

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In the above equations, effective molecular weight is calculated from mole fraction  $(x_i)$ and molecular weight  $(M_i)$  of pure component 'i' using the equation  $M_{eff} = \sum_i x_i M_i$ ; and b is a constant. Its value is 2; R is universal gas constant; K is temperature independent constant which is equal to  $4.28 \times 10^9$  for all liquids, u is ultrasonic velocity and  $\rho$  is the measured density.

The excess thermoacoustic parameter is defined as the difference between the experimental and ideal mixture values. It is a measure of the non – ideal behaviour of the system as a consequence of associative or of other interactions. Excess thermo acoustic property  $(Y^E)$  is obtained using the following general equation:

$$Y^{E} = Y - \sum_{i} (x_{i}y_{i})$$
(5)

Where, Y is the experimental thermo acoustic property;  $x_i$  is mole fraction of i<sup>th</sup> component and 2 3  $y_i$  is the thermo acoustic property of pure component i.

Marwein and Bhatt<sup>33</sup> proposed an equation to calculate the stability constants of donor-4 acceptor complexes in binary liquids. Their equation generally gives concentration dependent 5 formation constant and it can be used only for relatively stable and strong complexes. Recently, 6 Kannappan et. al.<sup>31b</sup> have proposed an equation to calculate the formation constant values of the 7 charge transfer complexes, appreciable especially to weak complexes and in very dilute 8 solutions. The equilibrium constant for 1:1 stoichiometric reaction is calculated from the 9 ultrasonic velocity using Kannappan equation<sup>41</sup> as follows 10

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Here,

$$K = y / (b-y)^{2}$$
(6)  

$$y = (a-k^{1/2} b) / (k - k^{1/2})$$

Where k = x/y, x is the difference between  $u_{cal}$  and  $u_{obs}$  at lower concentration 'a', y is the 13 difference between u<sub>cal</sub> and u<sub>obs</sub> at higher concentration 'b'. u<sub>cal</sub> is the ultrasonic velocity of the 14 mixture calculated from the mole fraction of the components using additive principle. 15

#### 16 **2.2 Computational Details:**

Quantum chemical calculations were carried out using Gaussian  $09^{34}$  and Orca  $3.0^{35}$ 17 program. The CT complexes were optimized at BP86<sup>36-37</sup> functional in combination with 18 Grimme's D3 dispersion correction<sup>38</sup> using def2-TZVP for carbon, hydrogen and segmented all 19 20 electron relativistically contracted (SARC) for iodine atom. Followed by structural optimization, vibrational frequencies were computed to confirm the stationary points as well as to identify the 21 unrealistic saddle points. Relativistic effects are incorporated using ZORA. B3LYP<sup>39</sup> with def2-22 TZVP basis set (for iodine, all electrons scalar relativistic basis set (SARC)<sup>40</sup>) was used to carry 23 24 out the single point energy calculation. All interaction energy calculations were corrected for the basis set superposition error (BSSE) utilizing counterpoise correction.<sup>41</sup> We find that the 25 computed BSSE (using BP86/def2-TZVP) is less than 3 kJ mol<sup>-1</sup> thus the total binding affinity is 26 unaltered. Thus the computed binding free energies are BSSE uncorrected. In addition to the gas 27 28 phase calculations, influence of solvent on the CT complex formation was considered by carrying out conductor like screening model (COSMO)<sup>42</sup> method in n-hexane ( $\varepsilon$ =1.89). Time 29 dependent density functional theory (TDDFT<sup>43</sup>) and natural bond orbital analysis (NBO<sup>44-45</sup>) 30 calculations were carried out using G09. Here,  $\omega$ -B97X-D3<sup>46</sup> with 6-311++G(d,p) basis set for 31

other atoms and SDB-aug-cc-pVQZ<sup>47a</sup> basis set for iodine atom was used to carry out the NBO analysis and TD-DFT calculations. Solvent effects on the absorption spectra were evaluated on the gas phase optimized geometries with n-hexane, using the SCRF-PCM method.<sup>47b-d</sup> First 20 roots were considered in the TD-DFT calculations. Bader's topological analysis is performed using AIM2000 software.<sup>48</sup> B3LYP/6-311++g(d,p) level is used to generate the necessary wave functions for the topological analysis.

#### 7 **3** Results and Discussion:

#### 8 **3.1** Acoustical analysis

9 The ultrasonic velocity (u), density ( $\rho$ ) and viscosity ( $\eta$ ) for the ternary systems (1-3) are summarized in Table 1. The plot of ultrasonic velocity (u) against concentration for 1-3 is 10 presented in Figure 1. It is clear from the plots in Figure 1(a) and data in Table 1, ultrasonic 11 velocity increases with increasing the concentration of components in all the three ternary 12 systems. It is observed that the increase is not perfectly linear with concentration in the three 13 systems. Similar type of variation in 'u' in 1 to 3 suggests the existence of same type of 14 interaction in all the three systems. The solute-solvent interaction in these ternary systems is less 15 prominent since chemically inert and non-polar n-hexane is employed. Hence, increase in 16 ultrasonic velocity with increasing the concentration is largely associated with the solute-solute 17 interaction of unlike molecules.<sup>49</sup> It is well known that phenol is an associated liquid and the 18 association occurs through strong intermolecular hydrogen bond.<sup>50</sup> The increase in 'u' is steeper 19 above 0.004M and this suggests that there is a rupture of hydrogen bonding of like ones at this 20 21 concentration and above this concentration specific interaction between the unlike molecules appears to be dominant. Similar trend is also observed for the variation of density in 2. 22

Plots of isentropic compressibility vs concentration are given in Figure 1(b). Isentropic 23 compressibility' $\kappa_s$ ' is found to decrease with increasing the concentration in 1-3 which is the 24 reverse trend as that of ultrasonic velocity (Table 2). The decrease in isentropic compressibility 25  $(\kappa_s)$  in liquid mixtures indicates that there is a definite contraction on mixing and the 26 27 considerable variation is attributed to strong interaction such as complex formation involving CT complexes.<sup>51</sup> Compressibility changes with structure which leads to the change in ultrasonic 28 velocity. It is seen that the decrease in ' $\kappa_s$ ' is significant in 2 above 0.006M and this also shows 29 that the structure breaking behaviour in 2 is dominant in very dilute solution (<0.006 M) and the 30 31 non-covalent CT complex formation is dominant above this concentration. The variation of

ultrasonic velocity (u) is predominately determined by another important acoustical parameter

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intermolecular free length  $(L_f)$ . Intermolecular free length  $(L_f)$  reflects similar behaviour as 2 isentropic compressibility( $\kappa_s$ ) in such a way that there is a regular decrease in L<sub>f</sub> with increase in 3 concentration in all the three systems. The decrease in compressibility brings the molecules to 4 close packing, resulting in the decrease of intermolecular free length. Decrease in intermolecular 5 free length leads to positive deviation in sound velocity and negative deviation in 6 compressibility. L<sub>f</sub> values are computed for the 1-3 at 300K and listed in Table 2. It is observed 7 8 that there is decrease in L<sub>f</sub> value with concentration in the three systems. This clearly indicates that closer proximity of the unlike molecules in the ternary systems. Further, the trend in L<sub>f</sub> value 9 with concentration is similar to the trend in  $\kappa_s$  values in the three systems It is interesting to note 10 that 'L<sub>f</sub>' value in 2 increases in very dilute solution and then decreases with increase in 11 concentration as in other two systems. This suggests that there is structure breaking of associated 12 phenol by solvent molecules and then only complex formation occurs.<sup>52,21</sup> The combination of 13 structure breaking behaviour and charge transfer complex formation between  $I_2$  and 2 is clearly 14 supported by strong solute-solute interaction in the ternary mixtures. This is also supported by 15 the internal pressure ( $\pi_i$ ) values (Table 2). In 2,  $\pi_i$  value is slightly higher in very dilute solution, 16 17 decreases up to 0.006 M and thereafter it remains constant. This suggests that the structure breaking property is dominant up to this concentration of 0.006M. Above the concentration 18 range, the complex formation is significant.<sup>53</sup> This kind of behaviour is not obtained in the case 19 of 1 and 3. At the same concentration range,  $L_f$  remains a constant in the case of 1 and 3. It may 20 be pointed out that above 0.006M concentration the  $\pi_i$  values are in the following order: 3 > 2 > 221 22 1. This trend clearly suggests that the CT complex formation is significant above this concentration. 23

In order to confirm the existence of non-covalent interactions between the donor and 24 acceptor, the excess values of the acoustical parameters such as  $\kappa_s$ , L<sub>f</sub> and acoustic impedance 25 (Z) were calculated. The sign and magnitude of excess ultrasound speed  $(u^{E})$  play a prominent 26 role in describing a molecular interactions occurring among the molecules of components in 27 liquid mixtures. Any non-zero value in excess parameter is a measure of non-linearity and 28 indicative of the existence of strong non-covalent interaction among the components of the 29 systems.<sup>25-26</sup> The negative value of excess adiabatic compressibility indicates a strong attractive 30 interaction are likely to occur between the components, while for mixtures with only weak 31

London type interactions possesses a positive value of excess adiabatic compressibility.<sup>27-28</sup> It is 1 found that the u<sup>E</sup> values (Table 2) are positive for 1-3 over the entire range of concentration 2 [Figure. 2 (a)]. Positive deviation upon increasing concentration indicates that the increasing the 3 magnitude of non-covalent interaction between the component molecules of liquid mixtures.<sup>54-55</sup> 4 If the strong interactions arises among the components of a mixture leading to the formation of 5 molecular aggregates with more compact structures, then sound will travel faster through the 6 7 mixture by means of longitudinal waves and hence the positive linear behaviour of ultrasonic speed deviations will be the predominant one in 1-3 with iodine molecule. It is also noted that the 8 excess ultrasonic velocity (u<sup>E</sup>) value increases significantly with concentration for a given 9 system. This shows that the extent of complex formation or halogen bonding increases with 10 concentration of iodine and 1-3. 11

Further, the excess isentropic compressibility value ( $\kappa^{E}$ ) is taken account in order to 12 understand the structural changes upon association of molecules through non-covalent 13 interactions. The sign and magnitude in excess isentropic compressibility ( $\kappa^{E}$ ) play vital role in 14 assessing the compactness due to molecular interaction in liquid mixtures through hydrogen-15 charge-transfer complex formation, dipole-dipole interactions, interstitial 16 bonding. accommodation, orientation ordering and even possible chemical effect.<sup>31-32,49</sup> According to 17 Pandian et al<sup>56</sup>, the negative excess isentropic compressibility ( $\kappa^{E}$ ) values are associated with 18 closely packed molecules through which one can account for the existence of strong molecular 19 20 interaction between unlike molecules where as a positive excess values may cause dispersion forces between unlike molecules. Plots of  $\kappa^{E}$  against concentration of **1-3** with iodine are given 21 in Figure 2 (b). From the data in Table 2 it is observed that  $\kappa^{E}$  values are negative at all 22 concentration and also  $\kappa^{E}$  reaches a large negative value at high concentration.<sup>31,57</sup> This clearly 23 indicates that the charge transfer interaction between the unlike molecules is predominant one. 24 Similar trend is observed for excess intermolecular free length ( $L_f^E$ , Table 2) and also the trend in 25 acoustic impedance shows non-linear increasing variation with increase in molar concentration 26 of 1-3.<sup>24,58</sup> The negative values of both  $\kappa^{E}$  and  $L_{f}^{E}$  (except 1) also reveal the possibility of 27 interstitial accommodation of iodine species into the void created by the aromatic molecules.<sup>59</sup> 28 The positive deviation in  $Z^E$  and  $u^E$  and the negative deviation in both  $\kappa^E$  and  $L_f^E$  in 2 and 3 are 29 found to be the solid evidence for the presence of strong non-covalent association through CT 30 complex formation. But in the case of 1, positive deviation is observed in both  $L_f^E$  and  $u^E$ 31

properties. However, there is negative deviation in  $\kappa^{E}$  in the investigated concentration range.  $Z^{E}$ 1 values are negative at low concentration and positive at high concentration in 1. This indicates 2 that the CT complex formation is found to be weak in 1 when compared to 2 and 3. The relative 3 4 values of these parameters appear to be greater in 2. This may be due to the CT complex formation is preceded after the breaking of intermolecular hydrogen bonds by the solvent 5 molecules. In the other two ternary systems, **3** show stronger interaction than **1** as indicated by 6 the positive values of  $L_{f}^{E}$  in 1. This shows that the complex formation may be due to CT 7 interaction. The trend in the acoustical and excess thermo-acoustic properties with concentration 8 in 1-3 clearly suggests the possibility of strong solute – solute interaction and existence of charge 9 transfer type of interaction. Structure breaking behaviour is prominent in 2 and it is clearly 10 confirmed from the variation of acoustical properties with concentration. The order of magnitude 11 of CT complex formation is found to be in the following order: 3 > 2 > 1. This is also clearly 12 confirmed through the calculation of stability constant (K) and free energy of formation ( $\Delta G^{\ddagger}$ ) 13 from the ultrasound velocities at different concentration using the Kannappan equation.<sup>31</sup> These 14 values are listed in Table 3 along with the free energy of formation and relaxation time of these 15 complexes. **3** is found to have higher stability constant (K) and free energy of formation ( $\Delta G^{\ddagger}$ ) 16 values than 1 and 2. The calculated K values and  $\Delta G^{\ddagger}$  values computed by ultrasonic method 17 suggest that the decreasing order of stability of halogen bonded complexes is 3 > 2 > 1. The 18 relaxation time of the complexes (1-3) is of the order of femto seconds (Table 3) and they are of 19 the same order for the three complexes indicating the formation of similar type of complex in the 20 21 three systems.

# 22 **3.2 UV-Vis Absorption Measurements:**

In addition to the acoustical analysis, Spectrophotometric method is employed to study 23 the nature of non-covalent interactions such as CT complexes between 1-3 and iodine molecule 24 in n-hexane as well as aimed to correlate the stability of donor-acceptor complexes as evidenced 25 by the acoustical parameters. The UV - visible absorption spectra of I<sub>2</sub> with 1-3 in n-hexane 26 27 medium at 303 K with different concentration of donors (1-3) and keeping the concentration of iodine constant are shown in Figure S1 (a-c). It is found that the wavelength ( $\lambda_{max}$ ) of maximum 28 absorption for donor-acceptor interaction of iodine is found to be blue shifted as a function of the 29 donor concentration in all the three cases (1-3). The sharp UV band appears at 360 nm due to the 30 charge transfer absorption of 3 after mixing the 3 with iodine (Figure S1 (c). In the case of 31

1 phenol-iodine complex (Figure S1 b), the  $\lambda_{max}$  value for CT absorption band is observed at 270 nm whereas in the case of 1, CT absorption band is found to be 260 nm (See Figure S1 (a). The 2 B-H plots drawn between the reciprocal of donor concentration  $([D]^{-1})$  to the ratio of acceptor 3 concentration with absorption ([A] Abs<sup>-1</sup>) is shown in Figure 3. The excellent linear correlation 4 is obtained with correlation co-efficient of about 0.99 in all the three plots which reveals the 5 formation of 1:1 complexes in all the three systems investigated. The maximum absorption 6 7 values at different concentration of [D] at  $\lambda_{max}$  determined from the spectra were used further to determine the association constant (K) and free energy of formation by Benesi - Hildebrand 8 method (B-H).<sup>6</sup> (Figure 3). The calculated K,  $\Delta G^{\ddagger}$  values using B-H method along with  $\lambda_{max}$  and 9  $\varepsilon$  are listed in Table 3. The stability constant and free energy of formation for **3** are 463.3mol<sup>-1</sup> 10 and -15.5kJ mol<sup>-1</sup> respectively. These values are higher than those for 1 & 2. It may be pointed 11 out that the values obtained from B-H method excellently agree with the values obtained from 12 the acoustical parameters. It is interesting to note that the decreasing order of stability of these 13 halogen bonded complexes is 3 > 2 > 1 as established in ultrasonic, UV-Visible and B-H 14 methods. 15

# 16 **3.3 Theoretical Predictions:**

In order to gain deeper insights on the structural origin of the CT interaction between 1-3 and iodine, DFT calculations were performed. In the case of CT complexes involving aromatic system containing heteroatom, iodine atom can have two different orientations (Figure 4) i.e. either through  $\pi$ -bonds ( $\pi$ -complex, a) or localized interaction through the lone pair of heteroatom of the benzene ( $\sigma$ -complex or halogen bonding, b) as reported earlier.<sup>60</sup> Both the  $\pi$ and halogen bonded types of interaction are considered in the present study. The optimized geometries along with the notable structural parameters are given in Figure 4.

24 Computed interaction energy values indicates that the formation of  $\pi$ -complexes (1a-3a) is found to be energetically more favoured than the formation of halogen bonded complexes 25 26 through more localized interaction via heteroatom of the aromatic ring (1b-3b). The interaction energy differences between two conformations are ranging from 6.4 to 9.2 kJ mol<sup>-1</sup>. In both 27 28 complexes, 3 is found to have prominent ability to form the CT complexes with iodine molecule than 1 and 2. It is observed that 1a and 3a have largest energy differences of about 8.3 kJ mol<sup>-1</sup> 29 30 and the least among 2a and 3a (about 4.7 kJ/mol). In the case of 1a to 3a, atom-centered structure is found to be the most stable orientation. This is in contrast with the benzene-iodine 31

1 CT complexes where the bond-centered orientation has been shown to be the stable geometry.<sup>18</sup> 2 In the present study, it is clearly observed that the orientation of the iodine is largely governed by 3 the substituent present in the aromatic ring while forming CT complex. Thus in the case of **1a**, 4 iodine molecule is forming the CT complex with **1** by atom centered orientation in *para*-position 5 with respect to chloro substituent but **2a** and **3a** prefers to form atom-centered orientation in 6 *ortho* position. This is due to the site directing influence of the substituents (-OH, -OCH<sub>3</sub> and – 7 Cl) present in aromatic ring.

The relevant structural data for the isolated molecule and the CT complexes (both 8 halogen bonded and  $\pi$ -complex type) are shown in Table 4. During the formation of CT 9 complexes, the geometrical parameters are altered significantly in the I-I bond, incipient O-I 10 bond and C-C bonds of aromatic ring. The bond length of the native I-I is found to be 2.758 Å. 11 Upon forming the CT complexes with 1-3, I-I bond is elongated largely in both 3a (0.063 Å) and 12 **3b** (0.03 Å) and the elongation of I-I bond is found to be less in the case of **2a** (0.024 Å) and **2b** 13 (0.009 Å). In addition to that, the bond lengths of incipient C=C bond of aromatic ring are not 14 altered in 1b-3b and it is much more elongated in 1a-3a. It clearly shows that the involvement of 15 delocalized  $\pi$ -electrons forming the  $\pi$ -complex with iodine. Similarly C-I bond length is found to 16 be shorter in **3a** (2.979 Å) and **3b** (2.790 Å) whereas longest in **1a** (3.007 Å) and **1b** (3.148 Å). 17 The shorter X-I bond and longer I-I bond in both 3a and 3b clearly indicates that anisole (3) is 18 found to have greater tendency to form weak complexes with iodine. The variation of structural 19 parameters is found to be strongly reflected in the computed interaction energies of CT 20 complexes studied in the present work. Our computed interaction energies are in good agreement 21 with the association energies obtained from both acoustic and spectrophotometric methods. 22

#### 23 **3.4 AIM analysis:**

24 To gain further insights into the nature of non-covalent interactions in these complexes, Atoms in Molecules (AIM) theory<sup>61</sup> was used. It is based on the topological properties of the 25 electron density ( $\rho$ ) estimated at the bond critical point (BCP) between two interacting atoms.<sup>62</sup> 26 It is well known that the appearance of (3,-1) BCP along the bond path confirms the presence of 27 bonding/nonbonding interactions.<sup>63-64</sup> To characterize the various non-covalent interactions, 28 Bader and co-workers have proposed a set of criteria on the computed properties at the bond 29 30 critical point (BCPs). The strength of the bond is often measured with the help of electron density  $\rho(\mathbf{r})$  at the BCPs, whereas the Laplacian of electron density provides information about 31

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the nature of the bond. The computed topological properties are given in Table 5 and the

molecular graphs are given in Figure 5. From the Figure (5), it is clear that the nonbonding 2 3 interactions are confirmed by the presence of BCP between iodine and the interacting donor molecule. It is interesting to look into the molecular graphs of 3a and 3b. As discussed in 4 previous analysis, **3a** is found to show two BCPs between iodine atom and the interacting carbon 5 and the hydrogen of methyl group. This is the reason why it is stabilized well over the **3b** where 6 7 we observe only one BCP. The value of  $\rho(\mathbf{r})$  of 3b (0.02768 a.u) is higher than that of **3a** (0.02337). But acoustic and DFT studies show that **3a** is found to be more stable. This is due to 8 the additional stabilizing H---I interaction along with C---I interactions observed in 3a (See 9 Molecular Graph). The  $\rho(\mathbf{r})$  is found to be 0.00705 a.u for the H---I interaction in **3a** (Table 5). 10 The value of  $\rho(\mathbf{r})$  at the BCP of H---I interaction lies well within hydrogen bonding range (0.002-11 0.034) with a negative L(r) value in line with earlier reports.<sup>61,65</sup> But this additional hydrogen 12 bonding is missing in **3b**. This synergy makes **3a** to be more stable than **3b**. Another interesting 13 property is the |V|/G ratio, a sensitive index to measure the covalency of the interactions<sup>66</sup>. In 14 AIM theory, the potential energy density |V| portrays the ability of the system to concentrate 15 electrons at the BCPs. But the tendency of the electrons to spread out can be estemated by the 16 kinetic energy density G.<sup>66b</sup> If the |V|/G < 1, then the interactions are closed-shell nature and are 17 considered to indicate a depletion of electrons at the BCPs. Accumulation of electrons at the 18 BCPs will result in |V|/G>2 which corresponds to a shared-shell interaction. i.e. a covelent bond. 19 20 Values of |V|/G between 1 and 2 implies that the interactions have partial covalent and partial ionic character.<sup>66a</sup> Table 5 shows that all the pi-complexes (1a-3a) are found to have higher |V|/G21 ratio values than corresponding sigma complexes (1b-3b). The order of covalency follows 3 > 222 > 1 in both 1a-3a and 1b-3b systems which is again in excellent agreement with our earlier 23 24 analysis. It is important to note that 2a is found to show only one interaction (C---I) whereas 2b possesses O---I & H---I interactions. But the |V|/G ratio of C---I in 2a is 1.02989 whereas the 25 26 same for **2b** is 0.93797. This tells that **2a** is found to show more covalent character than **2b**.

Similarly, the least stable **1a** and **1b** among the three BCPs clearly substantiate the existence of weak interactions between them. Further, to understand these interactions clearly Laplacian of rho graphs have been drawn in Figure 6. Examination of Laplacian of rho graph of **3a** indicates will give an idea as how the interacting atoms (carbon and hydrogen) tend to deform its original spherical shape. The figure portrays the two types of interaction that stabilizes the **3a**; one is C---I interaction and the other one is H---I interaction. It clearly brings out the synergy of
hydrogen bonding along with the C---I interactions. Thus our AIM topological analysis brings
insight into the nature of interactions and correctly reasons out the order of stability as well.

4

# 5 **3.5 TD-DFT analysis:**

The formation of CT complexes between donor-acceptor molecules are confirmed 6 through the absorption parameters such as absorption maxima ( $\lambda_{max}$  in nm). Notably, absorption 7 maxima ( $\lambda_{max}$  in nm) of CT bands involves the transition from  $\pi$ -orbital of aromatic ring) to  $\sigma^*$ 8 orbital of I-I bond is need to be considered. In this regard, we have employed TD-DFT 9 calculation<sup>43</sup> using  $\omega$ B97x-D3 functional. The calculated  $\lambda_{max}$ , vertical transition energies ( $\Delta E$ , 10 eV) and oscillator strengths (f), of both  $\pi$  and  $\sigma$ -type of interaction are summarized in Table 4. 11 Only the  $\pi$ -type of interaction (1a-3a) shows the CT transition band similar to the experimentally 12 observed CT band with considerable oscillator strengths, while the halogen bonded type (1b-3b) 13 does not show any kind of CT absorption band. Therefore, the interaction between iodine and 14 1-3 is due to the formation of  $\pi$ -complex type of interaction (1a-3a). The computed absorption 15 results show that the **3a** have an allowed CT band at 364 nm with oscillator strength of 0.194. 16 The observed experimental absorption band for 3a is at 360 nm. It is also noted that the 17 calculated absorption band for 1a and 2a is 293 nm and 279 nm respectively with the oscillator 18 strength of 0.601 and 0.522. Detailed inspection of MOs in Figure 7 clearly shows that the 19 20 majority of electronic distribution of the frontier molecular orbitals were found to be transition from  $\pi_{C=C} \rightarrow \sigma^*(I_2)$ . Our computed TD-DFT- $\omega$ B97x-D3 absorption parameters are in good 21 agreement with the CT band as observed in experiments. Based on our experimental and 22 computed TDDFT-@B97x-D3 absorption spectra along with the acoustic parameters, atom-23 24 centered orientation is found to be preferred for 1a-3a.

# 25 **3.6 NBO analysis:**

The nature of non-covalent charge transfer interactions is often estimated by understanding the electronic wave functions of the occupied and unoccupied non-Lewis localized orbitals on the NBO basis.<sup>45,67-68</sup> The second order perturbation interaction between donor-acceptor orbital provides useful insights on the stability of charge transfer complexes.<sup>69</sup> It is well known that charge transfer interaction is largely associated with the non-zero overlap between the benzene (donor)  $\pi$ -orbital and iodine (acceptor)  $\sigma^*$  orbital. Lower electronegativity Page 15 of 28

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1 and larger sigma hole of iodine molecule show higher stabilization interaction energy in both  $\sigma$ and  $\pi$ - type complexes of 1-3. Among the two types of complexes,  $\pi$ -type complexes (1a-3a) are 2 3 found to have higher second order interaction energies than  $\sigma$ - type complexes (1b-3b). In the case of **3a**,  $\pi_{C=C} \rightarrow \sigma^*(I_2)$  is found to be the prominent interaction leading to have stabilization 4 energy of 44.94 kJ/mol whereas 2a are found to have similar weak interaction energies in the 5 order of 44.71 kJ/mol. In the case of 1a,  $\pi_{C=C} \rightarrow \sigma^*(I_2)$  is found to be the less prominent 6 7 interaction by 34.01 kJ/mol (Table 4). From the Figure 8, it is observed that in 1a-3a, the  $\pi$ orbital of substituted benzene ring interact with  $\sigma^*$  orbital of highly electrophilic iodine molecule 8 whereas in the case of halogen bonded complexes (1b-3b), the interaction between lone pair of 9 heteroatom (O atom in 2b and 3b and Cl atom in 1b) and  $\sigma^*$  orbital of iodine molecule is 10 explained. 1b is found to have larger interaction energy than 2b and 3b. The order of stabilizing 11 interaction energies among 1a-3a is found to be: 3a > 2a > 1a. Again this is in excellent 12 agreement with the trend observed in stability of complex formation observed by acoustic, 13 spectrophotometric methods and DFT computed interaction energies and supports the more 14 favourable  $\pi$ -type complexes (1a-3a) over the less favourable  $\sigma$ - type complexes (1b-3b). 15

#### 16 Conclusions:

The nature of interactions present in three charge transfer (CT) complexes namely 17 chlorobenzene-iodine (1), phenol-iodine (2) and anisole-iodine (3) have been investigated using 18 ultrasonic, UV-Visible spectral and quantum chemical studies. Our results show that the strong 19 solute - solute interaction and existence of charge transfer interaction present in these CT 20 complexes. The decrease in intermolecular free length (L<sub>f</sub>) leads to positive deviation in sound 21 velocity (u) and negative deviation in isentropic compressibility( $\kappa_s$ ) in 1-3. Among the three CT 22 complexes, the structure-breaking property of intermolecular hydrogen bonded phenol is 23 predominant in 2 prior to the CT complex formation with Iodine. This has been confirmed by the 24 negative deviation in the speed of sound in very dilute solution. Ultrasonic studies reveal that the 25 order of magnitude of CT complex formation is 3 > 2 > 1 and this trend is confirmed by the 26 stability constant (K) and free energy of formation ( $\Delta G^{\ddagger}$ ) from Benesi-Hildebrand method. DFT 27 studies portray that the  $\pi$ -type complex is found to be energetically more favourable than the 28 halogen bonded complex and atom-centered orientation is found to be the preferred geometry. 29 AIM and NBO analysis corroborate the predicted DFT results which in turn well agree with 30 experimental findings. TDDFT results show that  $\pi \rightarrow \sigma^*$  transitions are responsible for the charge 31

1 transfer nature of these CT complexes. In summary, it is established that acoustic method can be

- 2 employed as a simple and non-destructive tool to characterize the charge transfer non-covalent
- 3 interactions present in these CT complexes. The computed results are in good agreement with the
- 4 experimental observations. Further the orientation of iodine molecule depends on the substituent
- 5 present in the aromatic ring. A further study on the influence of different types of substituent in
- 6 the aromatic ring on the orientation of iodine molecule is underway in our laboratory.
- 7

# 8 Electronic supplementary information (ESI) available.

9 The corresponding UV-Visible spectrum for 1-3 with  $I_2$  and optimized geometries of all of the

- 10 molecules along with their XYZ coordinates.
- 11

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- 37

- 1 **Table 1**. Measured values of ultrasonic velocity (u), density ( $\rho$ ) and coefficient of viscosity ( $\eta$ )
- 2 for the ternary systems 1 to 3 with iodine in n hexane at 303K.

	Chlorobenzene-I <sub>2</sub>			Phenol-I <sub>2</sub>			Anisole-I <sub>2</sub>		
С	u	ρ	η X 10 <sup>-4</sup>	u	ρ	η X 10 <sup>-4</sup>	u	ρ	η X 10 <sup>-4</sup>
$(\text{mol dm}^{-3})$	$(ms^{-1})$	$(\text{kgm}^{-3})$	$(Nsm^{-2})$	$(ms^{-1})$	$(\text{kgm}^{-3})$	$(Nsm^{-2})$	$(ms^{-1})$	$(\text{kgm}^{-3})$	$(Nsm^{-2})$
0.002	1066.6	645.6	4.81	1064.5	655.2	4.17	1066.2	653.6	3.80
0.004	1067.5	646.3	4.83	1065.1	657.1	4.22	1067.1	654.0	4.02
0.006	1068.2	648.0	4.83	1066.3	658.1	4.24	1067.4	655.0	4.02
0.008	1068.6	648.8	4.84	1066.8	660.6	4.24	1068.1	655.7	4.03
0.01	1069.6	651.5	4.84	1067.5	663.6	4.27	1068.4	656.0	4.04
0.012	1070.3	652.6	4.86	1068.4	665.0	4.30	1069.2	657.4	4.05
0.014	1071.4	653.3	4.86	1069.8	666.8	4.31	1070.6	658.5	4.05
0.016	1072.7	656.7	4.87	1070.8	667.9	4.33	1071.7	658.7	4.09
0.018	1074.0	656.9	4.88	1071.8	668.3	4.35	1072.3	659.3	4.10
0.020	1075.6	658.2	4.89	1072.4	668.8	4.66	1073.4	660.2	4.16

3

2	<b>Table 2.</b> The values of isentropic compressibility ( $\kappa$ ), free length (L <sub>f</sub> ), internal pressure ( $\pi_i$ ) and
3	acoustic impedance (Z) along with their excess values includes velocity (u <sup>E</sup> ) for ternary systems

4 1 to 3 with iodine in n – hexane at 303K.

					Excess acoustical parameters					
C	к X 10 <sup>-9</sup>	$L_{f}X 10^{-11}$	$\pi_{\rm i}  {\rm X}  10^5$	$Z X 10^5$	κ <sup>E</sup> X 10 <sup>-9</sup>	$L_{f}^{E} X 10^{-11}$	$Z^{E} \times 10^{3}$	$\mathbf{u}^{\mathrm{E}}$		
mol dm <sup>-3</sup>	$(kg^{-1} ms^{-2})$	(m)	(atm)	$(\text{kg m}^{-2}\text{s}^{-1})$	(pa <sup>-1</sup> )	(Å)	$(\text{kg m}^{-2}\text{s}^{-1})$	$(ms^{-1})$		
Chlorobenzene-I <sub>2</sub>										
0.002	1.361	7.379	2.884	6.885	-0.318	0.066	-1.433	2.0		
0.004	1.357	7.369	2.889	6.899	-0.656	0.062	-1.930	2.8		
0.006	1.352	7.355	2.891	6.921	-1.120	0.061	-1.613	3.3		
0.008	1.349	7.347	2.894	6.933	-1.342	0.060	-2.349	3.6		
0.01	1.341	7.325	2.899	6.968	-2.090	0.057	-0.741	4.4		
0.012	1.337	7.314	2.905	6.984	-2.440	0.056	-0.962	5.0		
0.014	1.333	7.303	2.904	6.999	-2.802	0.055	-1.355	6.0		
0.016	1.323	7.275	2.913	7.044	-3.763	0.052	1.240	7.1		
0.018	1.319	7.265	2.913	7.055	-4.066	0.051	0.437	8.3		
0.020	1.313	7.247	2.915	7.080	-4.677	0.049	1.040	9.7		
				Phenol-I <sub>2</sub>						
0.002	1.346	7.339	2.715	6.975	-1.793	-4.799	7.440	-0.1		
0.004	1.341	7.324	2.734	6.999	-2.277	-6.048	7.912	0.3		
0.006	1.336	7.311	2.740	7.017	-2.719	-7.185	7.778	1.3		
0.008	1.330	7.294	2.744	7.047	-3.279	-8.650	8.772	1.6		
0.01	1.322	7.272	2.760	7.084	-4.023	-10.62	10.59	2.1		
0.012	1.317	7.258	2.771	7.105	-4.451	-11.73	10.71	2.7		
0.014	1.310	7.239	2.775	7.133	-5.090	-13.42	11.65	3.9		
0.016	1.305	7.227	2.782	7.151	-5.481	-14.44	11.45	4.7		
0.018	1.302	7.217	2.786	7.163	-5.755	-15.13	10.69	5.5		
0.020	1.300	7.211	2.883	7.172	-5.942	-15.58	9.664	5.9		
				Anisole-I	2					
0.002	1.345	7.336	2.586	6.969	-1.907	-5.111	6.877	1.7		
0.004	1.342	7.328	2.658	6.979	-2.136	-5.668	5.892	2.3		
0.006	1.339	7.320	2.658	6.992	-2.369	-6.236	5.280	2.4		
0.008	1.336	7.311	2.661	7.004	-2.639	-6.905	4.556	3.0		
0.01	1.335	7.308	2.662	7.008	-2.695	-6.989	3.060	3.0		
0.012	1.330	7.294	2.667	7.029	-3.142	-8.149	3.276	3.7		
0.014	1.324	7.279	2.666	7.05	-3.645	-9.462	3.430	4.9		
0.016	1.321	7.270	2.677	7.059	-3.905	-10.10	2.403	5.8		
0.018	1.319	7.263	2.679	7.069	-4.100	-10.57	1.493	6.2		
0.020	1.314	7.251	2.698	7.086	-4.497	-11.60	1.249	7.1		

1

**Table 3.** Stability constant K, free energy of formation  $\Delta G^{\dagger}$ , relaxation time  $\tau$  (ultrasonic method), wavelength of maximum absorption  $\lambda_{max}$  and molar extinction coefficient  $\epsilon$  (spectroscopic method) for CT complexes of with iodine with substituted benzenes in n-hexane

5 at 3<u>03 K</u>.

Donor	Ultra	sonic Techni	ique	Spectrometric Technique				
	$K \pmod{-1}$	$\Delta G^{*}$ (kJ mol <sup>-1</sup> )	$ au X 10^{-14}$ (s)	$K \pmod{1}$	$\Delta G^{*}$ (kJ mol <sup>-1</sup> )	$\lambda_{max}$ (nm)	$cm^{-1}M^{-1}$	
1	56.59	-10.168	0.5319	53.76	-10.039	260	$2.1 \text{ x} 10^5$	
2	379.2	-14.961	0.7830	373.5	-14.923	270	$2.7 \times 10^5$	
3	467.0	-15.486	0.7730	463.3	-15.466	360	$2.9 \times 10^4$	

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7 **Table 4**. Notable structural parameters, interaction energies (kJ/mol), amount of charge 8 transferred ( $q_{CT}$ ), occupancy values in a.u, second order interaction energies (kJ/mol) and 9 computed TDDFT parameters of of 1a-3a and 1b-3b.

Parameter	I <sub>2</sub>	π-complexes (X=C)			σ-complexes (X=Cl, OCH <sub>3</sub> , OH)			
		1a	2a	3a	1b	2b	3b	
I-I	2.758	2.782	2.797	2.821	2.767	2.761	2.791	
X-I	-	3.007	2.933	2.979	3.148	2.801	2.790	
X-I-I	-	176.5	173.9	176.4	175.2	179.2	175.7	
$\Delta E_{int}$	-	-24.2	-28.9	-32.5	-17.8	-19.7	-25.1	
	NBO Analysis							
q <sub>CT</sub>	0.000	0.131	0.142	0.146	0.010	0.110	0.083	
Occupancy (au)								
$\pi_{C=C}$		1.657	1.686	1.699	1.657	1.684	1.683	
		1.640	1.632	1.696	1.688	1.665	1.675	
		1.663	1.683	1.640	1.658	1.673	1.692	
$\sigma^*(I_2)$		0.058	0.080	0.082	0.050	0.036	0.048	
	-		$\Delta E^{(2)}$				-	
$\pi_{C=C} \rightarrow \sigma^*(I_2)$	-	34.41	44.71	44.94	-	-	-	
$n_{lp} \rightarrow \sigma^*(I_2)$	-	-	-	-	43.04	35.33	38.51	
TDDFT Parameters								
$\lambda_{max}$	-	293	279	364	-	-	-	
f	-	0.601	0.522	0.194	-	-	-	
$\Delta E(eV)$	-	4.22	4.44	3.40	-	-	-	

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System	BCP	<i>r</i> (ρ)	L(r)	ELLIPTICITY	V/G
1a	CI	0.019	-0.01118	0.21752	0.97835 3
2a	CI	0.023	-0.01166	0.12748	1.02989
3a	CI	0.024	-0.01171	0.14604	1.03959 4
	HI	0.007	-0.00469	0.24790	0.81361 5
1b	ClI	0.019	-0.01351	0.08433	0.90584
2b	0I	0.021	-0.01639	0.13228	0.93797 6
	HI	0.007	-0.00473	0.05837	0.79734 7
3b	0I	0.028	-0.01915	0.08740	1.00257 8

1 Table 5. Computed AIM topological parameters for 1a-3a and 1b-3b.



Figure 1. Plots of (a) Ultrasonic velocity versus concentration, (b) isentropic compressibility
 versus concentration for the three ternary systems of 1-3 with Iodine molecule in n – hexane at
 303 K.



**Figure 3**. Benesi – Hildebrand plots for (a) chlorobenzene –  $I_2$  (b) anisole –  $I_2$  and (c) phenol –  $I_2$  system in n-hexane at 303 K.

- 34
- 35
- 36
- 37
- 38





Figure 4.Optimized geometries of 1a-3a and 1b-3b along with stabilization energies and notable
 structural parameters (Bond lengths are in Å and bond angles are in degrees °).







Figure 7. Frontier molecular orbitals of most prominent absorption of 1a-3a along with computed absorption maxima ( $\lambda_{max}$ ) and oscillator strength (*f*)



Figure 8. NBO computed orbital interactions corresponding to 1a-3a and 1b-3b of a)  $\pi_{C=C} \rightarrow \sigma^*(I_2)$  b)  $n_{lp} \rightarrow \sigma^*(I_2)$ . Second order interaction energies are present in kJ/mol.