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1      **Utilization of carbon dioxide to build a basic block for polymeric**  
2      **materials: An isocyanate-free route to synthesize soluble oligourea**

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**1 Abstract**

2 A water soluble oligourea was synthesized by an isocyanate-free route from  
3 carbon dioxide and diamine in the absence of any organic solvents or additives. The  
4 chemical structure of the product was confirmed by FTIR and the  $^{13}\text{C}$  NMR analysis,  
5 and the average molecular weight was estimated with MALDI-TOF MS spectrum.  
6 The weight average molecular weight of the obtained oligourea is about 2210 Da  
7 under 180 °C and 11 MPa reacting for 12 hrs. Moreover, the prepared oligourea has a  
8 moderate thermo-stability, it has an initial decomposition temperature at ~220 °C and  
9 the melting temperature of 110 °C as confirmed by TGA and DSC. As a soluble  
10 oligomer, it could be used as a building block to synthesize CO<sub>2</sub>-based polymeric  
11 materials for that it possesses chemical active end group of amino. For example, the  
12 foaming plastic, greases, spun fiber and high strength polymer materials may be  
13 fabricated by reaction of oligomer with a chain extender like diisocyanate, diester or  
14 diacid.

15 **Keywords:** CO<sub>2</sub> utilization; polyurea; oligomer; green synthesis;

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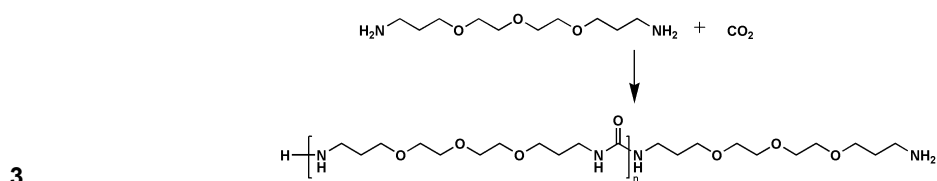
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## 1 1. Introduction

2 Polyureas have some special properties like hydrolysis resistance<sup>1</sup>, excellent  
3 mechanical property<sup>2, 3</sup> and anti-corrosion<sup>4, 5</sup>, thus they have wide applications in  
4 coating<sup>6</sup>, grease<sup>7-9</sup> and microcapsule<sup>10, 11</sup>. As we known, traditional polyurea is  
5 usually produced base on the reaction of diamine with isocyanates<sup>12-14</sup>, urea<sup>15-17</sup>, and  
6 diphenyl carbonate<sup>18</sup>. More recently, the polyureas were successfully synthesized with  
7 the dimethyl carbonate and propylene carbonate as carbonylating agents to react with  
8 diamines, those reaction are more environmental friendly, energy-saving as they are  
9 operated in the absence of toxic agents of phosgene and isocyanate.<sup>19</sup> Moreover, the  
10 feedstock of dimethyl carbonate and propylene carbonate are possible produced from  
11 carbon dioxide with methanol and propylene glycol. These processes are of important  
12 innovation as they indirect transfer carbon dioxide which is the global warming gas to  
13 polymeric materials. Recently, the chemical fixation and utilization of carbon dioxide  
14 has attracted much attention in the field of environment protection, new energy  
15 resources and advanced material. Significant efforts have been devoted to exploring  
16 methods and technologies for carbon dioxide transformation<sup>20-23</sup>. Many different  
17 kinds of chemicals, polymers and fuels have been synthesized from carbon dioxide.  
18 Herein, we present a more promising process that carbon dioxide was used directly to  
19 synthesize polyurea without any solvents or additives. Use of carbon dioxide as the  
20 feedstock instead of the toxic polyisocyanates in production of polyurea should be a  
21 terrific and appreciated strategy. But it has not attracted enough attention up to now,  
22 only several results were reported since the first work reported in 1947 by Gerard et

1 al.<sup>24</sup> Yamazaki and his co-workers used stoichiometric amount of diphenyl phosphate,  
2 phosphorus chlorides or N-acyl phosphoramidites as catalyst to catalyze the  
3 polymerization of aromatic diamine with CO<sub>2</sub> in pyridine or acetonitrile solvent.<sup>25-27</sup>  
4 Our previous investigation demonstrated that urea derivatives could also be easily  
5 produced from alkyl amines and carbon dioxide at the reaction conditions similar to  
6 its analogous urea.<sup>28</sup> In recent years, we found that polyurea could be synthesized by  
7 the reaction of diamines with carbon dioxide directly. We prepared several polyureas  
8 like polyurea-6 (from the hexamethylenediamine) and polyurea-8 (from the  
9 1,8-Diaminooctane), which are highly resistant to the solvents such as polar solvent  
10 (H<sub>2</sub>O, EtOH, Acetone, DMF, NMP, 5% LiCl solution), non-polar solvent (CCl<sub>4</sub>, C<sub>6</sub>H<sub>6</sub>),  
11 acid (HCl, H<sub>2</sub>SO<sub>4</sub>) and base (NaOH or KOH) at the room temperature<sup>29</sup>. In the  
12 present work, we prepare a water soluble oligourethane by using diamine containing ether  
13 groups reacting with carbon dioxide as shown in scheme 1. Therefore, it is possible to  
14 investigate the average molecular weight by MALDI-TOF mass spectrometer and <sup>1</sup>H  
15 NMR, and the reaction conditions could be evaluated by using average molecular  
16 weight. As a soluble oligomer, it may have wide applications in the environment  
17 friendly coating and hydrophilic grease without the toxic organic solvents. Moreover,  
18 it could be also used as a building block to synthesize CO<sub>2</sub>-based polymeric materials  
19 as it has a chemically active end group of amino. For example, it can be used to fabricate  
20 the foaming plastic, greases, spun fiber and high strength polymer materials by  
21 reaction with a chain extender like diisocyanate, diester or diacid, the prepared  
22 oligourethane. And so, the present research provides a new route for synthesis of various

- 1 polymer materials with different properties by direct reaction of CO<sub>2</sub> with the amines  
2 having different molecular structures.



Scheme 1 Formation of oligourea from CO<sub>2</sub> and diamine

## 5 2. Experimental Section

### 6 Chemicals

7 All the chemicals were used as received from commercial sources without further  
8 purification. 4,7,10-Trioxa-1,13-tridecanediamine (short for E2) was purchased  
9 from Sigma-Aldrich Corporation. Gas of carbon dioxide (99.5%) was purchased  
10 from Changchun JuYang Gas Company; 1-Methyl-2-pyrrolidinone (NMP),  
11 hexamethylphosphoramide (HMPA) and chloroform were purchased from Beijing  
12 Chemical Reagent Co. Ltd. ; N,N'-Dimethylpropyleneurea (DMPU) from Aladdin  
13 Reagent Co. Ltd.; and methanol, ethanol, acetone, dioxane, toluene, isopropanol and  
14 THF were purchased from Xilong Chemical Reagent Co. Ltd.  
15 1,1,1,3,3,3-Hexafluoro-2-propanol (HFIP) and trifluoroacetic acid (TFA) were  
16 purchased from Meryer Corporation. All kinds of water soluble dimines except E2

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1 (shown in the supporting information ) were purchased from Hunstman  
2 Corporation.

### 3 **Synthesis of the oligomer**

4 The typical synthesis process is as follows: 4, 7, 10-trioxa-1,13-tridecanediamine (E2)  
5 was selected as reactant because it contains several ether functional groups. And the  
6 ether functional groups will improve solubility of the oligourea in water. First of all,  
7 40 mL of the 4,7,10-trioxa-1,13-tridecanediamine was transferred into an 100 mL  
8 autoclave. And blew carbon dioxide for three times to remove the oxygen and then  
9 sealed and heated to 180 °C. In the next moment, CO<sub>2</sub> was introduced into the  
10 autoclave up to 11 MPa and the reaction was started with vigorously stirring for 12  
11 hrs. During reaction, the total pressure decreased slowly as the CO<sub>2</sub> was consumed  
12 continuously. Until to the end of reaction, the autoclave was naturally cooled down to  
13 room temperature. The product, a yellow paraffin wax like, was collected and dried at  
14 50 °C for 24 hrs, then stored for the following characterization.

15

### 16 **Phase behavior observation**

17 The phase-behavior of the reaction of 4,7,10-Trioxa-1,13-tridecanediamine (E2)  
18 with CO<sub>2</sub> was observed using an 80 mL high pressure resistant view-cell with a  
19 magnetic stirrer. At first, 15 g of 4,7,10-Trioxa-1,13-tridecanediamine (E2) was added  
20 into the view-cell at room temperature, blew with CO<sub>2</sub> for three times, and then  
21 heated to 180 °C. After maintaining for 20 min, CO<sub>2</sub> was introduced into the reactor

1 with a high-pressure liquid pump (Jasco SCF-Get) to the desired pressure 11 MPa,  
2 then the reaction mixture was kept for 3 hrs with stirring vigorously, and the phase  
3 behavior was recorded.

4

#### 5 **Characterization of the Products:**

6 The CP/MAS  $^{13}\text{C}$  NMR spectra were recorded on a Bruker AVANCE III 400 WB  
7 spectrometer equipped with a 4 mm standard bore CP/MAS probe head whose X  
8 channel was tuned to 100.62 MHz for  $^{13}\text{C}$ , using a magnetic field of 9.39 T at 297 K.  
9 All  $^{13}\text{C}$  CP/MAS chemical shifts are referenced to the resonances of Adamantane  
10 standard ( $\delta=29.5$ ). Fourier-transform infrared (FTIR) spectra of the samples were  
11 recorded at room temperature with a Bruker Vertex 70 FTIR spectrometer. The  
12 measured wavenumber range was 400-4000  $\text{cm}^{-1}$ . The MALDI-TOF MS  
13 measurements were performed using a Bruker Autoflex III smart beam MALDI-TOF  
14 mass spectrometer operated in positive ion mode; the instrument was equipped with a  
15 355 nm nitrogen laser with a pulse duration of 5 ns. The accelerating voltage and  
16 delay time were maintained at 20 kV and 200 ns, respectively, for all experiments. We  
17 obtained all of the mass spectra in the reflector mode by summing the spectra from  
18 100 selected laser shots and used standard peptides of known masses for calibration.  
19 Matrix 2, 5-dihydroxybenzoic acid (DHB), was obtained from Aldrich, were used in  
20 this study. Matrix solutions were freshly prepared in water at a concentration of  
21 approximately 20 mg/mL. Thermal gravimetric analysis (TGA) experiments were  
22 performed using a PerkinElmer Thermal Analysis at a temperature rate of 10  $^{\circ}\text{C min}^{-1}$



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1 from room temperature up to 800 °C in an N<sub>2</sub> flow. Differential scanning calorimetry  
2 (DSC) experiments were carried out on a PerkinElmer apparatus with heating and  
3 cooling-rates of 10 °C min<sup>-1</sup> from -50 °C up to 180 °C in an N<sub>2</sub> flow. The first heating  
4 run was used to remove all effects due to thermal history of the sample and only  
5 second heating runs were used.

### 6 **3. Results and discussion**

7 In order to prepare a water soluble polyurea, as starting substrate several kinds of  
8 water soluble diamines were selected and tested firstly, it was found that the 4, 7,  
9 10-trioxa-1, 13-tridecanediamine presented the best comprehensive performance and  
10 it showed a good water solubility (Table S1), which is important and prerequisite for  
11 the following research such as examination of the molecular weight, evaluation of the  
12 synthesis parameter. Thus, the 4, 7, 10-trioxa-1, 13-tridecanediamine was selected as  
13 the starting material for this study.

14 Firstly, the FT-IR spectroscopic analyses were used to characterize and confirm  
15 the structure of the sample prepared from the reaction of  
16 4,7,10-trioxa-1,13-tridecanediamine (E2) with CO<sub>2</sub> as described above. As shown in  
17 Fig. 1, the urea functional group was confirmed to form in the synthesized sample  
18 according to the peaks at 1620 cm<sup>-1</sup> (C=O stretching vibration), 1591 cm<sup>-1</sup> (N-H  
19 bending vibration) and 3328 cm<sup>-1</sup> (stretching vibration for N-H in the urea functional  
20 group)<sup>30,31</sup>. In addition, the signal peaks at 1142 cm<sup>-1</sup> and 1122 cm<sup>-1</sup> demonstrated the

1 existence of ether bond. Besides, Urea groups exist in the oligourea was also  
2 supported by the results of CP/MAS  $^{13}\text{C}$  NMR (Fig. 2). For the sample prepared from  
3 the reaction of 4,7,10-trioxa-1,13-tridecanediamine (E2) with  $\text{CO}_2$ , a new peak  
4 presented at 160.0 ppm, which clearly indicates the formation of carbonyl group in  
5 the urea linkage. The other peaks at 70.0 ppm, 69.4 ppm, 40.1 ppm and 28.6 ppm are  
6 ascribed to the corresponding methylene of the substrates.

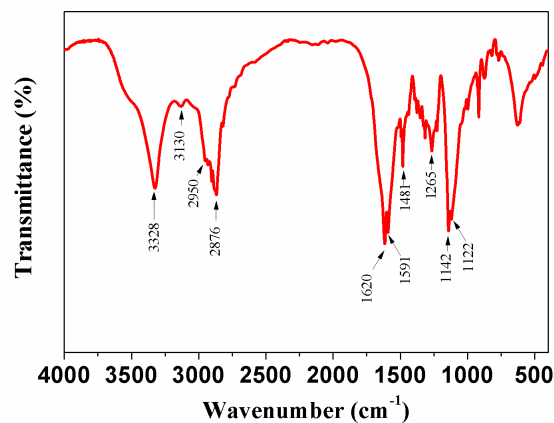
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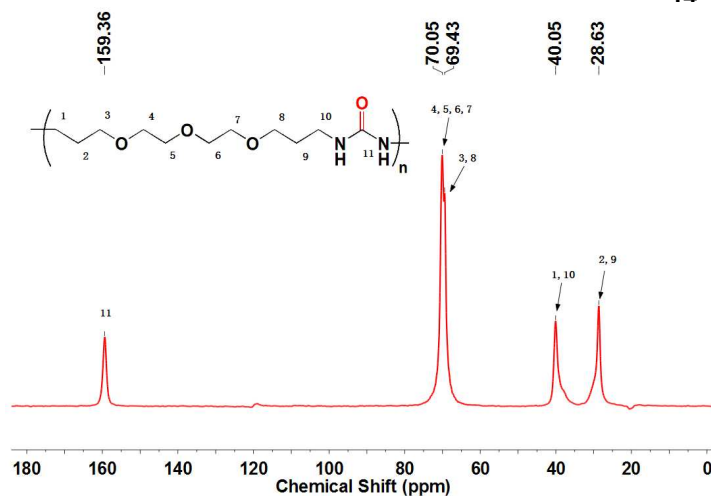
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12 Fig.1 FTIR-spectrum of the oligourea synthesized from E2 with  $\text{CO}_2$  at 180 °C, 11

13 MPa, 12 hrs.

14



1 Fig. 2  $^{13}\text{C}$ -NMR of oligourea synthesized from E2 with  $\text{CO}_2$  at 180 °C, 11 MPa, 12  
2 hrs.

3 Table 1 Comparison of the dissolving capacity of several solvents to the prepared  
4 oligourea

Solvent	Solubility	Solvent	Solubility
Water	+	Methanol	-
HMPA	+	Ethanol	-
DMPU	+	Isopropanol	-
NMP	+	Dioxane	-
HFIP	+	THF	-
TFA	+	Chloroform	-
Acetone	-	Toluene	-

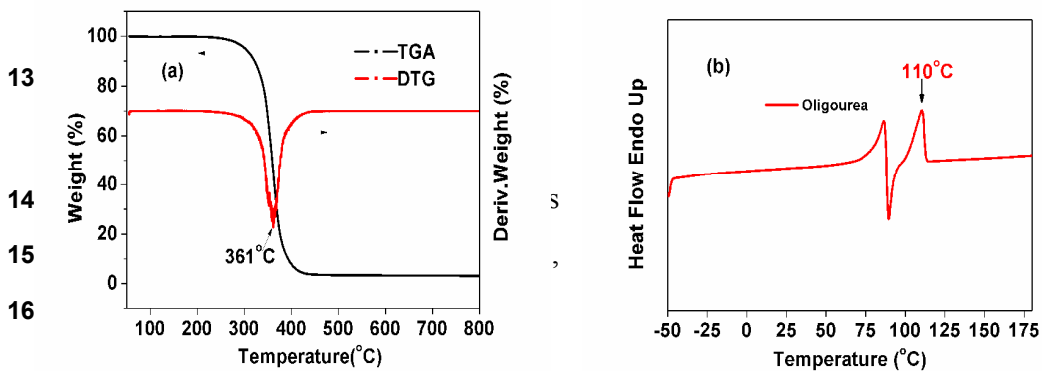
5 “+” soluble at room temperature; “-” insoluble

6 In addition, the solubility of the prepared polyurea oligomer was checked. As  
7 shown in table 1, it is soluble in water, and it is also soluble in some organic solvents,  
8 such as the polar aprotic solvent like hexamethylphosphoramide (HMPA),  
9 N,N'-Dimethylpropyleneurea (DMPU) and 1-methyl-2-pyrrolidinone (NMP), and the  
10 polar protic solvents such as trifluoroacetic acid (TFA) and 1,1,1,3,3,3-hexafluoro  
11 -2-propanol(HFIP). But it is not soluble in conventional solvents such as acetone,  
12 ethanol, methanol, isopropanol, THF, dioxane and toluene.

13 Next, the thermal stability of the polyurea oligomer was examined by  
14 thermogravimetric analysis (TGA) in nitrogen (Fig 3a). The initial decomposition  
15 temperature of the polyurea is near 220 °C, the maximum decomposition temperature  
16 is around 361 °C, and the 5% weight lost occurs around 303 °C. The

1 thermogravimetric analysis indicates that the prepared polyurea oligomer has a  
2 moderate thermal stability. Moreover, DSC curves showed that its highest melting  
3 temperature is about 110 °C. Compared to the aliphatic oligourea, the prepared  
4 oligourea has a lower melting point as the ether functional groups give the chain much  
5 more spin conformation and make the structure of polyurea oligomer more flexible. In  
6 addition, imperfection or different scales for crystallization of the prepared oligourea  
7 may lead to multiple melting phenomenon. Both of the ether carbon group chain and  
8 the urea functional group will affect the crystallization. Based on the thermal stability,  
9 melting behavior and water solubility, the prepared oligomer is an environmental  
10 benign material as it could be processed under a wide range of processing temperature  
11 without organic solvents.

12

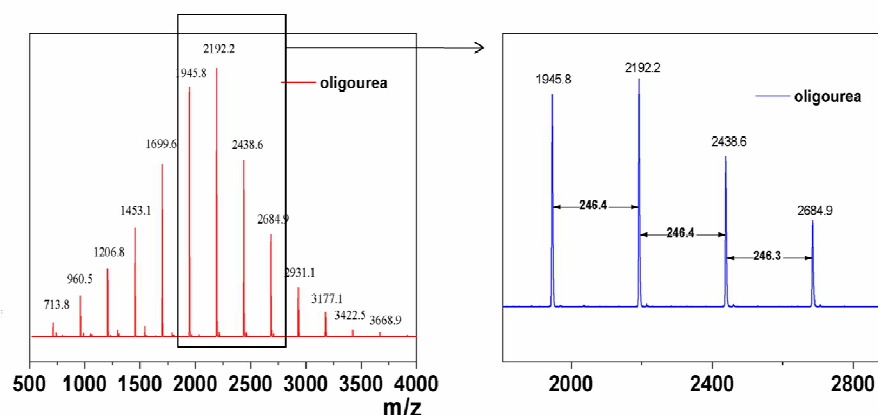


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19 **Fig. 3** TGA (a) and DSC (b) analysis for the oligourea synthesized at 180 °C, 11 MPa,  
20 12 hrs.

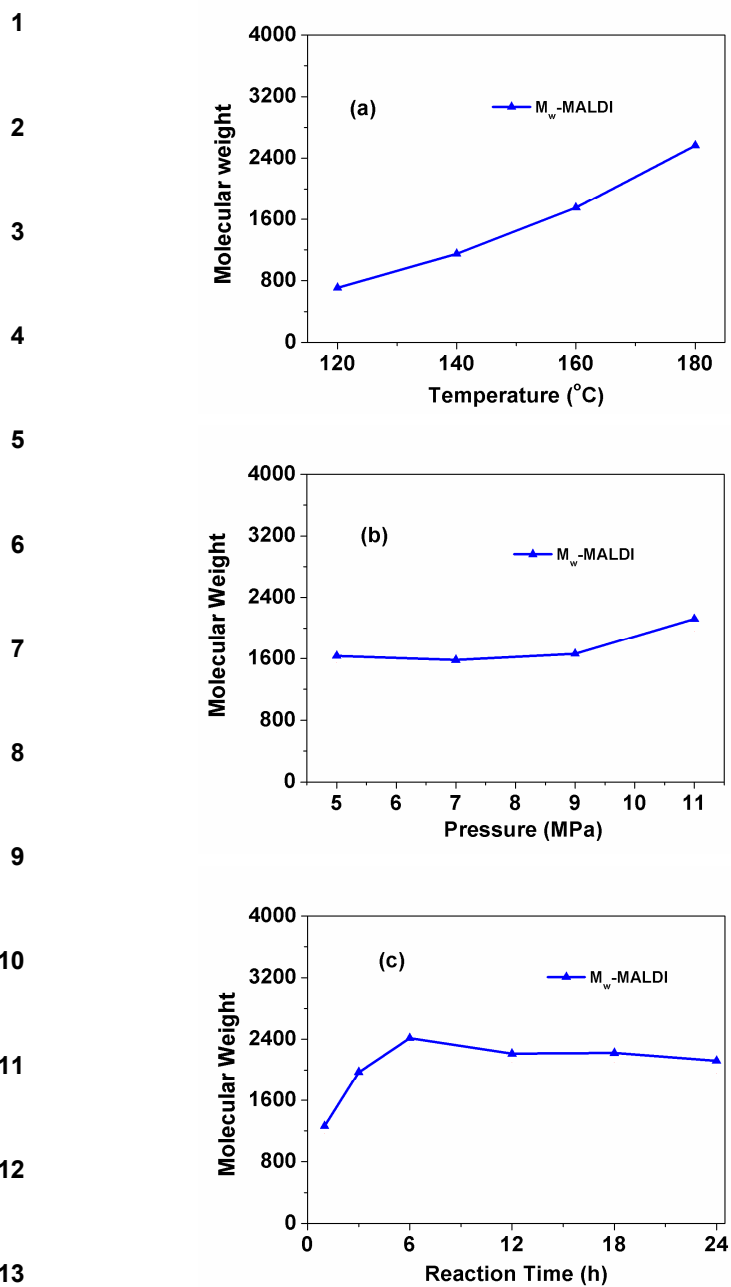
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3 Fig. 4 MALDI-TOF of the oligourea synthesized at 180 °C, 11 MPa, 12 hrs.

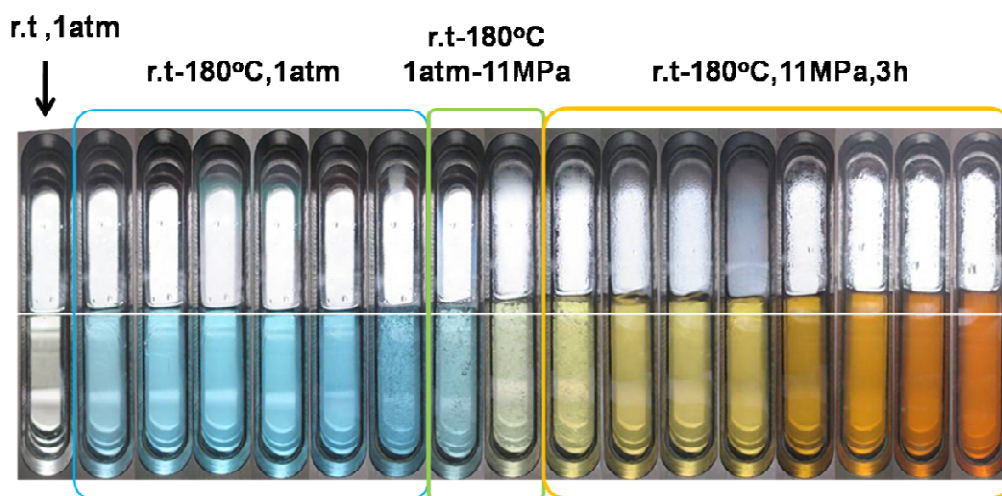
4 The average molecular weight of the obtained water soluble oligomer was  
5 detected by MALDI-TOF mass spectrometer. The MALDI-TOF MS spectrum of the  
6 oligourea synthesized at 180 °C, 11 MPa, 12 hrs showed a symmetric distribution of  
7 molecular weight (Fig. 4). The weight average molecular weight is 2210, for which  
8 the degree of the polymerization is near 8-9. Besides, the repetitive unit is 246.4 Da,  
9 which is equal to formula weight of the chemical repetitive unit as shown in the  
10 scheme 1. The end group is 221.5 Da but the molecular weight of the E2 is 220 Da,  
11 indicating that the end group of the oligourea is the amino groups which maybe  
12 contain one hydrogen proton. These amino groups as the end group are chemical  
13 active, and so as a building block, the oligourea can be widely utilized in the synthesis  
14 of various polymeric materials.



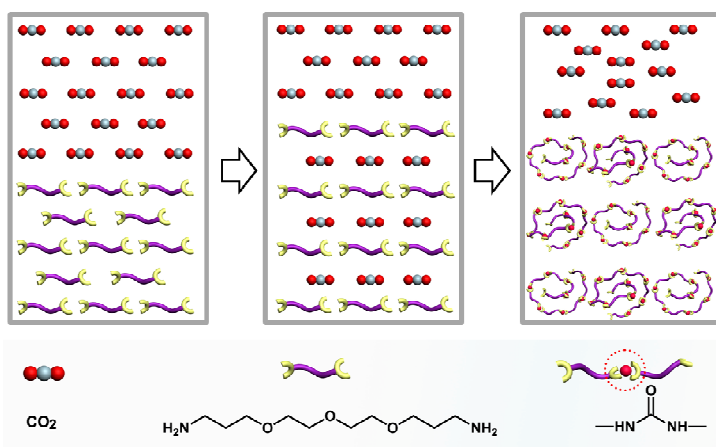
14 Fig. 5 The relationship of the parameters with the average molecular weight

1 The effect of the reaction parameters such as temperature, pressure and reaction time  
2 was evaluated by using molecular weight. The designed temperature was selected  
3 according to the melting point and initial decomposition temperature. The  
4 relationship of reaction conditions and molecular weight are shown in Fig. 5. The  
5 molecular weight increases linearly with the reaction temperature from 120 °C to  
6 180 °C (Fig. 5a), but it changes less under pressure around 5-11 MPa (Fig. 5b).  
7 Moreover, the reaction time was evaluated with the reaction at 180 °C, 11 MPa. The  
8 molecular weight of oligourea increases fast within the initial 6 hrs and then it tends  
9 to be a constant around 2210, in which eight or nine repeat units may be contained. It  
10 means that a chemical equilibrium has been established after reacting for 3 hrs (Fig.  
11 5c). On the basis of the polycondensation theory, the chemical equilibrium constant  
12 is near 49-64, calculated by the relationship between polymerization degree and  
13 chemical equilibrium constant in the closed reaction system ( $x_n = \sqrt{K} + 1$ ,  $x_n$ : the  
14 degree of polymerization,  $K$ : the chemical equilibrium constant).<sup>32</sup>

15 Besides, the phase-behavior of the reaction that 4, 7, 10-Trioxa-1, 13  
16 -tridecanediamine (E2) react with CO<sub>2</sub> was observed using a high pressure view-cell  
17 (Fig. 6). Transferred 15 mL of E2 into an 80 mL view-cell at room temperature, then  
18 heated the view-cell to 180 °C and introduced CO<sub>2</sub> to view-cell with continuously  
19 stirring. It was found that the volume of liquid phase was increased with introduction  
20 of 11 MPa CO<sub>2</sub> because that CO<sub>2</sub> could dissolve into the liquid phase of reactant,  
21 thus the volume was expanded. It is clear that the color of the reaction mixture turn  
22 from transparent to light blue with increasing of temperature, and then turn to light



1 Fig. 6 Phase behavior of the reaction of E2 with CO<sub>2</sub> at 180 °C, 11 MPa, 3 hrs



2

3 Scheme 2 Illustration of phase behavior for the reaction of E2 with CO<sub>2</sub>

4 yellow after introducing 11 MPa CO<sub>2</sub>, finally it turn to yellow after maintaining  
 5 at 180 °C, 11 MPa for 3 h. These color changes may be caused by the following  
 6 reactions: the reactant polyether diamine reacted with carbon dioxide to form a  
 7 carbamate, and the polycondensation of carbamate with diamine to form polyurea as



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1 shown in Scheme 2.

2 Over all, we successfully prepared a water soluble polyurea oligomer with using  
3 CO<sub>2</sub> as carbon-oxygen resource directly. The prepared oligourea can be used as a  
4 building block to synthesize various polymers through post-polymerization. For  
5 example, by reacting with a chain extender like diisocyanate, diester or diacid, the  
6 prepared oligourea would be used to make the foaming plastic, greases, spun fiber and  
7 high strength polymer materials.

8

#### 9 **4. Conclusion**

10 In conclusion, we prepared a new kind of water soluble oligourea by a direct  
11 reaction between CO<sub>2</sub> and polyether diamine without any solvents or additives. The  
12 produced oligomer has an initial decomposition temperature of 220 °C and melting  
13 temperature around 110 °C. Under 180 °C, 11 MPa reacting for 12 hrs, the number  
14 average molecular weight of the oligourea is near 2100 Da detected by MALDI-TOF  
15 mass spectrum. The relationship between reaction parameters and average molecular  
16 weight shows that the effect of temperature is more significant than reaction pressure.  
17 The higher temperature can benefit for a higher polymerization degree after reaching  
18 equilibrium. While, as the prepared oligourea has an initial decomposition  
19 temperature around 220 °C and a melting temperature about 110 °C, the suitable  
20 reaction temperature in this work was selected at range of 120-180 °C. The reaction

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1 will attain a chemical equilibrium finally after reaction for 6 hrs at 180 °C, 11 MPa. In  
2 addition, due to the end group is the chemical active amino group, the oligourea  
3 fabricated directly from carbon dioxide can be used as a building block to synthesize  
4 various polymeric materials. Therefore, the present work opens a new route for  
5 transform CO<sub>2</sub> into useful and value-added polymeric materials.

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9

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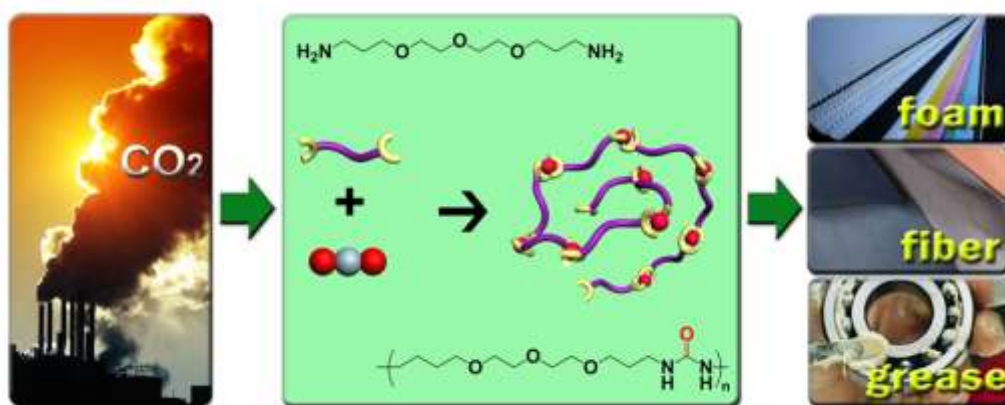
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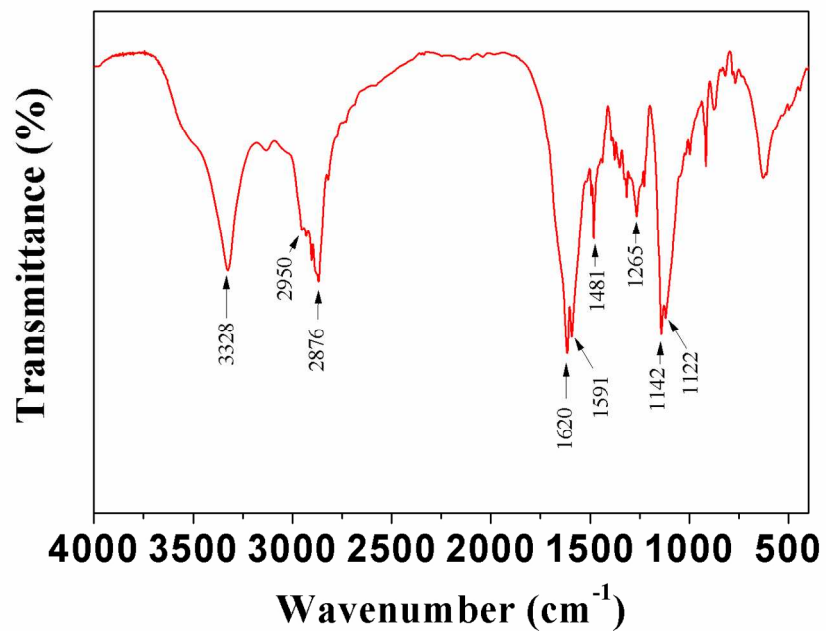
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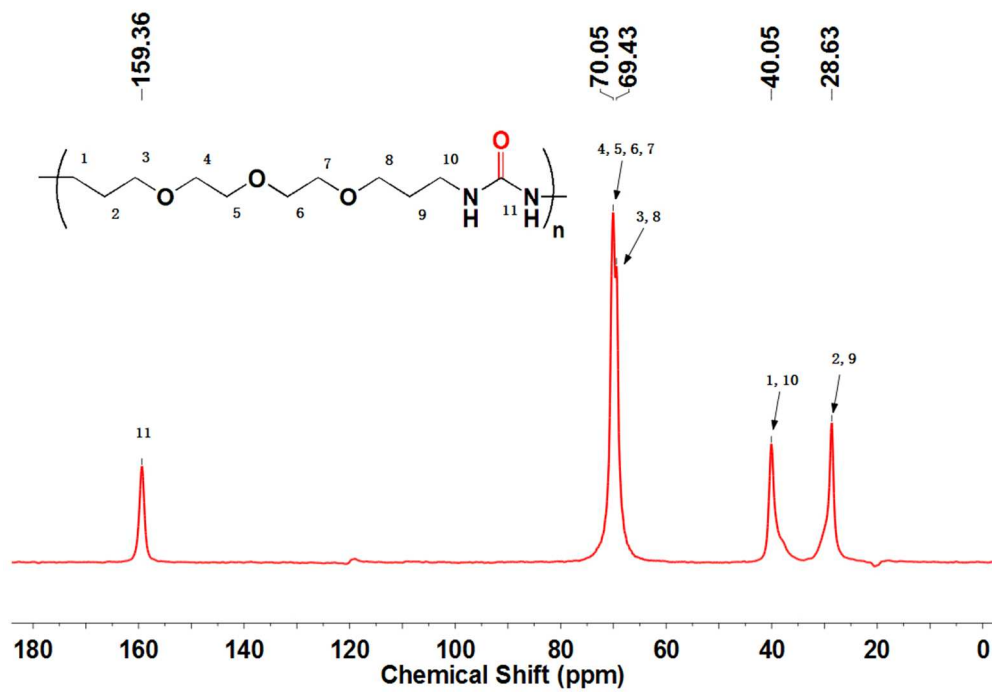
**Utilization of carbon dioxide to build a basic block for polymeric materials:  
An isocyanate-free route to synthesize soluble oligourea**

A water soluble oligourea was synthesized by an isocyanate-free route from carbon dioxide and diamine through a green process, which may have wide applications in the macromolecule field as a building block.



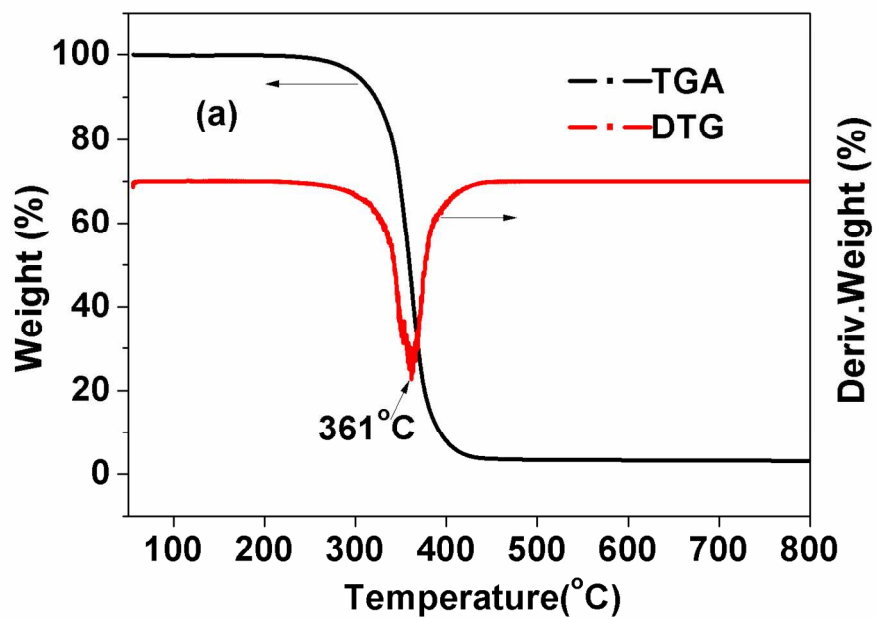


287x201mm (150 x 150 DPI)

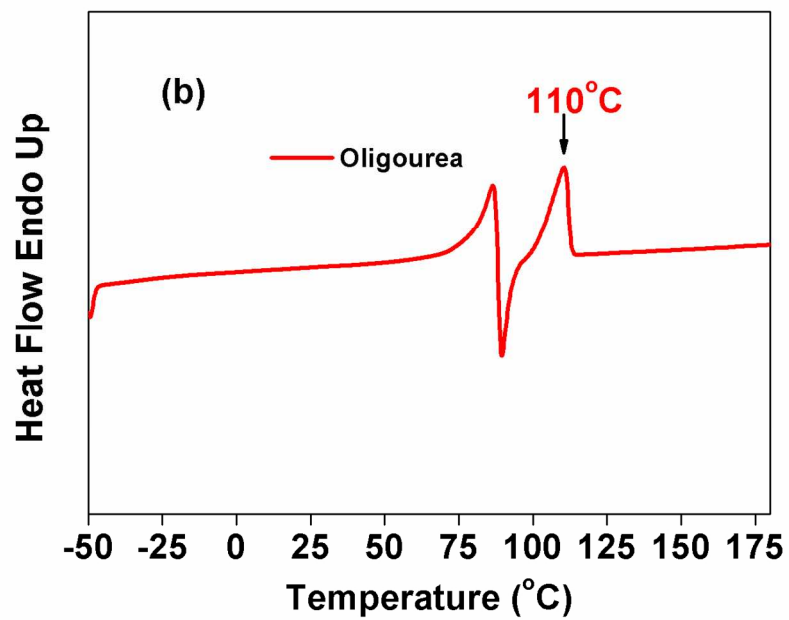


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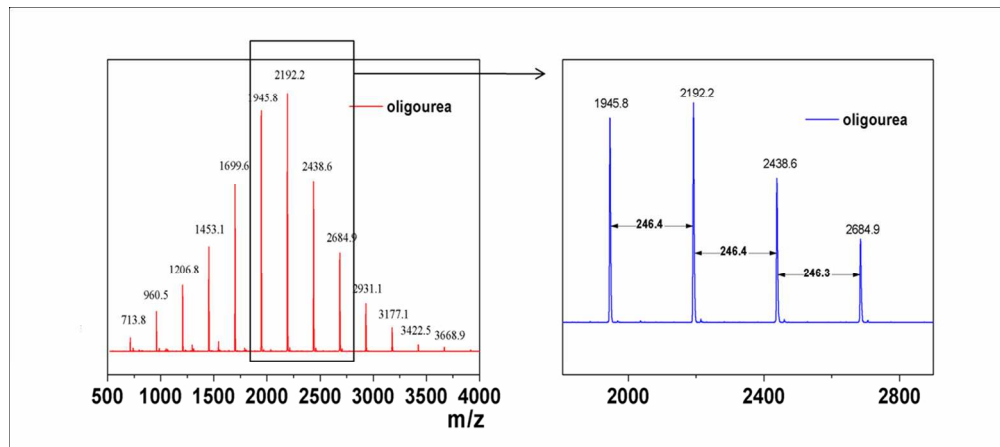




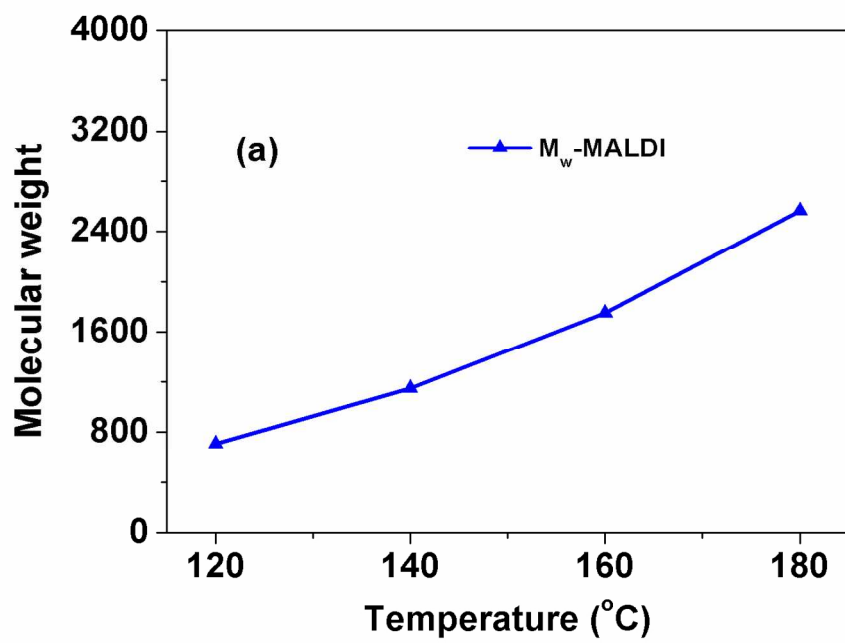
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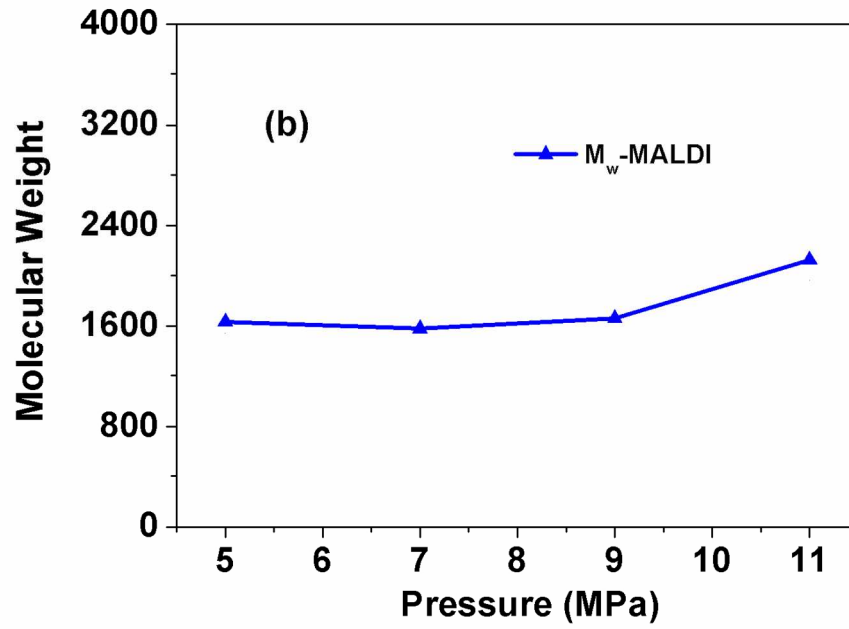
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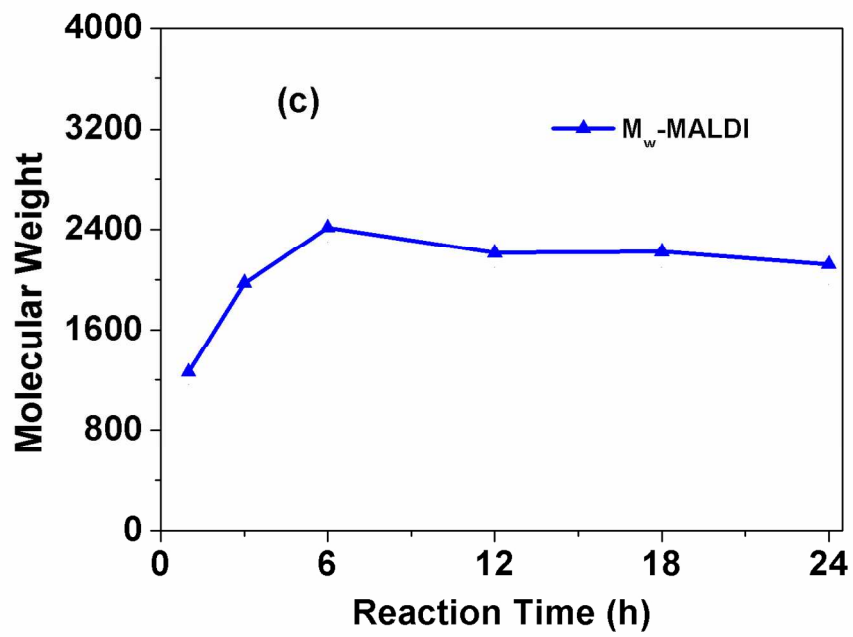
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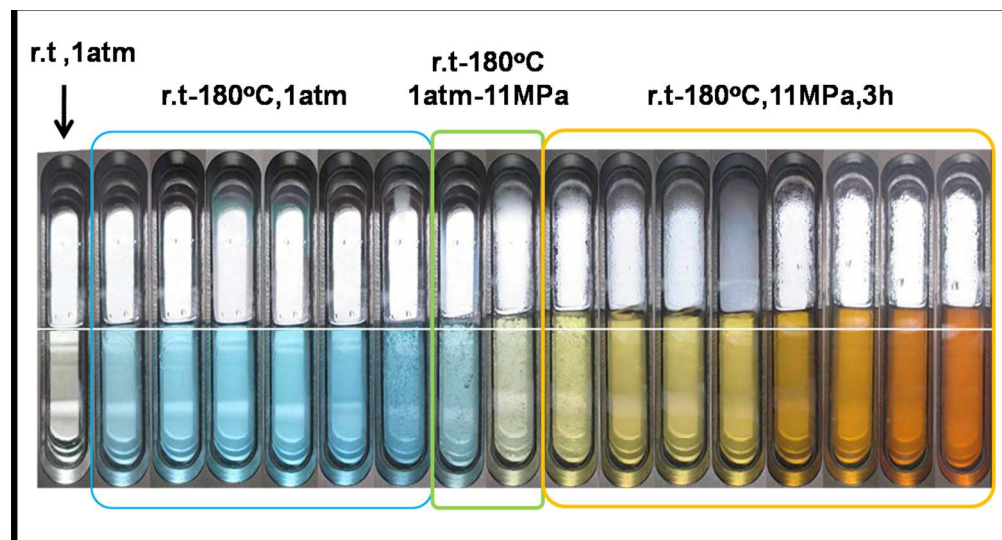
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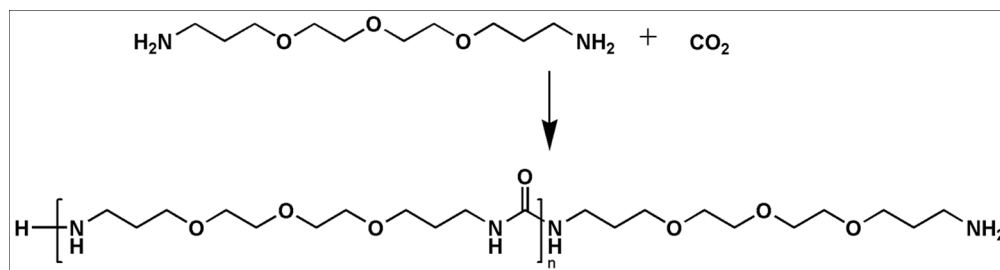
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287x201mm (150 x 150 DPI)

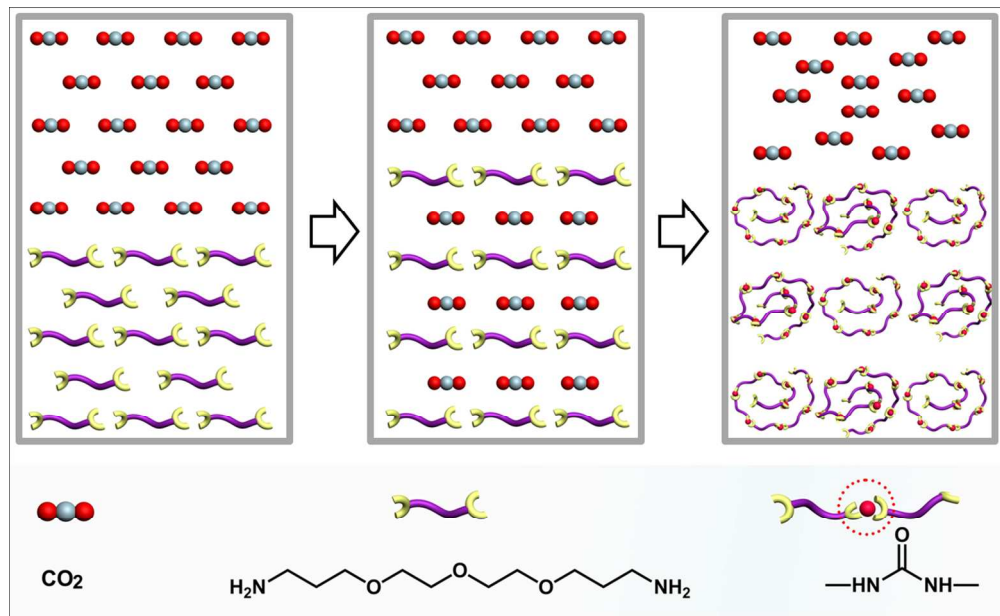


230x123mm (150 x 150 DPI)



242x63mm (150 x 150 DPI)





224x137mm (150 x 150 DPI)