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ARTICLE TYPE

Phase and Structure Development of Spontaneously Ambient-grown ZnO·xH₂O and TiO₂·xH₂O Nanostructures towards Oxide Single Crystals

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Stress-induced ZnO· xH_2 Oand TiO₂· xH_2 O nanocrystals were spontaneously grown in an ambient atmosphere based on a bond breaking-hydrolysis-reconstruction mechanism, without the use of any precursors. The development of their phase and structure towards ZnO and TiO₂ was *in-situ* and *ex-situ*.

- ¹⁰ studied. The formation of unstable near-amorphous belt-like orthorhombic ZnO·1.5H₂O nanowires and partially crystalline column-like (pyramid top) monoclinic TiO₂·2.5H₂O nanorods was initiated in a high relative humidity of 98%, whereas more stable polycrystalline faceted orthorhombic ZnO·H₂O nanowires and bamboo leaf-shaped monoclinic TiO₂·0.4H₂O nanoflakes were formed in 70% humidity. Upon receiving energy by *in-situ* exposure to an electron beam or *ex-situ* thermal annealing, the ZnO·xH₂O and
- ¹⁵ TiO₂·*x*H₂O transformed into hexagonal (wurtzite) ZnO and orthorhombic (brookite) TiO₂, respectively. Exposure of non-polar TiO₂ to an electron beam or annealing generated a polycrystalline structure. Exposure of polar ZnO to a low-energy electron beam formed aligned subgrains, while high-energy annealing yielded a single-crystalline structure, both with a longitudinal [1010] orientation, via a selfassembly process that involved nanocrystallite agglomeration, subgrain tilting and boundary elimination.

20 Introduction

Low-dimensional nanostructures of metal oxide semiconductors, such as cheap, non-toxic ZnO and TiO₂ with good piezoelectric and photonic properties as well as remarkable photocatalytic activities, have been considered for use in optoelectronic devices, ²⁵ photocatalysis (pollutant degradations), H₂O splitters and even

- CO_2 converters among other devices. [1-11]. To synthesize lowdimensional ZnO and TiO₂ nanostructures, expensive, hightemperature vaporous processes [3, 12-13] and, in particular, lowcost, low-temperature aqueous (hydrothermal) methods [3-4, 14-
- $_{30}$ 21] have been developed. During the reactions of chemical precursors in aqueous processes [20-25], ZnO and TiO₂ hydrate templates, i.e. ZnO·*x*H₂O (typically Zn(OH)₂) and TiO₂·*x*H₂O (Ti(OH)₄), are initially formed and ultimately transform into ZnO and TiO₂ nanocrystals by a series of hydrolysis-dehydration
- ³⁵ processes (dissolution-reprecipitation, crystallization and solidphase transformation) [23]. In all aqueous synthetic routes, chemical precursors with catalysts, additives or surfactants are required, and the surface chemistry (H₂O adsorption/hydrolysis) of reactants [26-28] as well as the structural development and
- ⁴⁰ phase transformations of the reaction products [20-25] play important roles in the aqueous growth of oxide nanostructures.

Based on aqueous synthesis and "mechanochemistry" (the breaking of surface bonds of oxides to form oxy-products) [29], we previously developed a bond breaking-hydrolysis-

45 reconstruction (BHR) mechanism [30] by which ZnO and TiO₂ nanocrystals spontaneously grew from films in an ambient atmosphere at room temperature (RT) without the need of chemical precursors or catalysts. The covalent oxide nanocrystals were formed in regions of highly concentrated tensile stress 50 around the crest edges of scratch tracks [30, 31], whereas the extrusion-induced growth of metal whiskers (such as Sn and Bi with weak metallic bonds and high mobility) occurs in regions of compressive stress [32, 33]. The formation of oxide nanocrystals by simple solid-phase transformations in the BHR process 55 without the use of any precursors is of greater interest than aqueous synthesis. A series of reactions, including the ambient adsorption of water or hydroxyl (OH-; hydrolysis) onto stressgenerated surface defects (bond breaking), the subsequent hydration of the oxide surface (large-volume hydrolysis) and the 60 final dehydration (reconstruction) may be important in the ambient growth of oxide nanocrystals. To comprehensively elucidate the BHR mechanism and to systematically clarify the evolution of the BHR products into single-crystalline oxide nanostructures for potential applications, in this study, stress-65 induced $ZnO \cdot xH_2O$ and $TiO_2 \cdot xH_2O$ template nanostructures were spontaneously grown in an ambient atmosphere with different humidities. Their structural development and phase transformations to crystalline or even single-crystalline ZnO and TiO₂ are studied using transmission electron microscopes (TEMs) 70 both in-situ upon exposure to an electron beam and ex-situ after thermal annealing.

Experimental section

Thin ZnO and TiO₂ films with a thickness of several hundred nanometers were deposited on Si or glass substrates by a radio-frequency magnetron sputtering using ZnO and TiO₂ targets,

- ⁵ respectively. The films were then scratched using a UMIS nanoindenter (Based Model, CSIRO) with a Berkovich diamond tip and a nanoscratch module. The scratch load was ramped up from 0 to 400 mN over a distance of about 1 mm. The scratched samples were then simply stored in a normal ambient atmosphere
- ¹⁰ with a relative humidity (RH) of 40%, 70% or 98% saturated vapor pressure at room temperature for various durations to allow the spontaneous growth of $ZnO:xH_2O$ and $TiO_2:xH_2O$ nanocrystals. To investigate the phase transformations and structural evolution of the grown nanocrystals, *in-situ* exposure to
- ¹⁵ an electron beam (during TEM observations) or *ex-situ* thermal annealing at 300-500°C for one hour was conducted on the samples that had been stored in the ambient atmosphere. The surface morphologies of the as-grown and the annealed nanocrystals were observed using a scanning electron microscope
- ²⁰ (SEM, JEOL JSM-6500F), and the microstructures and lattice structures were examined using high-resolution TEMs (JEOL JEM-2100F and FEI Tecnai F20 (low accelerate voltage of 120 kV)) that were equipped with an energy dispersive spectrometer (EDS). Thin foil specimens with nanocrystal/film interfaces
- ²⁵ (around nanocrystal roots) and short $TiO_2 \cdot xH_2O$ nanocrystals (covered by a top Pt protective coating) were prepared using a focus ion beam (FIB, FEI Nova-200) at an ultralow current of 1 nA down to 30 pA to avoid any damages that might be caused by ion bombardment. Specimens of long $ZnO \cdot xH_2O$ nanowires were
- ³⁰ attached to a Cu grid (with a graphite film) using an optical microscope that was equipped with three-axis micromanipulation probes (Olympus, BX51). These specimens were then observed using TEMs.

Results and discussion

35 Stress-induced spontaneous ambient growth

The SEM images in Figure 1a clearly showed the growth of $TiO_2 \cdot xH_2O$ nanocrystals around the scratch track on a TiO_2 film after the film was scratched and stored in RH 98% at RT for 50 days. Along the scratch track, the applied stress was critical to the

- ⁴⁰ growth of the nanocrystals by the BHR mechanism [30]: (1) at the track front under a small stress, almost no nanocrystals were formed, while at the end of the track under a large stress, many nanocrystals appeared; (2) a threshold stress was required to break Ti–O bonds and initiate the growth of nanocrystals; (3) few ⁴⁵ nanocrystals were formed in the indented region of the scratch track (under a compressive stress) but many were formed at the crest edges (under a tensile stress [31]), unlike the compressive stress-induced growth of Sn whiskers [32]. As shown in Figure
- 1b, ZnO·xH₂O nanowires also grew around the side edges of the ⁵⁰ tracks at the end of the tracks on scratched ZnO films under the same conditions for a shorter storage period of 20 days. More, longer ZnO·xH₂O nanowires than TiO₂·xH₂O nanocrystals were grown because the Zn–O bonds were weaker than Ti–O and more easily broken for defect initiation and water adsorption. Long, ⁵⁵ tangled belt-like nanowires (non-faceted) with a diameter of about 200 nm and a length of about 10 µm grew in RH 98%, while shorter faceted nanowires (three-fold symmetric) with a diameter of 100-200 nm and a length of several µm were formed in RH 70%.

Figure 2 systematically presents the features, amounts and sizes of TiO₂·xH₂O nanocrystals that were formed in different humidities, at various temperatures, in various periods of storage. In RH 98% at RT, more and larger nanocrystals grew as the period of storage increased: at 10 days, a few bean-like nanodots 65 with a size of less than 100 nm had just nucleated; at 30 days, more column-like nanorods with diameters of 100-200 nm and lengths of about 400 nm appeared; at 50 days, many more and larger column-like nanorods had grown, clearly exhibiting a faceted feature and a pyramid-like top, similar to those of typical ⁷⁰ brookite or rutile TiO₂ nanocrystals [3]. In RH 70%, differently structured nanocrystals were formed: long, flat, bamboo leaf-like nanoflakes similar to those of anatase TiO2 were formed by surface hydration [34], and had a width of about 200 nm and a length of about 1 µm, possibly because the phase/crystal structure 75 differed from that formed in RH 98%. In a dry atmosphere, with RH 40%, the lack of moisture for hydration resulted in the suppressed growth of small dots with a size below 100 nm. At the roots of all nanocrystals, including ZnO·xH₂O and TiO₂·xH₂O, a film-like hydrate base was observed, clearly revealing the prior ⁸⁰ adsorption of water and hydration of the oxide film surfaces



Fig. 1 (a) SEM images of $TiO_2 xH_2O$ nanocrystals around a scratch track on a TiO_2 film, formed in RH 98% at RT for 50 days, at the track (a1) front, (a2) center and (a3) end. (b) ZnO xH_2O nanocrystals around scratch tracks on ZnO films, formed in RH (b1) 98% and (b2) 70% at RT for 20 days.



Fig. 2 SEM images of $TiO_2 \cdot xH_2O$ nanocrystals around scratch tracks on TiO_2 films, formed in different humidities, temperatures and durations (scale bar: 2 µm for low-magnification and 400 nm for high-magnification (arrowed and dash line-framed) images).



5 Fig. 3 TEM analyses of TiO₂·xH₂O nanocrystals around a scratch track on a TiO₂ film, formed in RH 98% at RT for 50 days: (a) specimen preparation by FIB cutting, (b) low-magnification image of nanocrystal 1 (NC1, in a2), (c) high-magnification image of nanocrystal 2 (NC2, in a2), (d) lattice image of region 1 (R1, in NC2 in c). TEM analyses of ZnO·xH₂O nanocrystals around scratch tracks on ZnO films, formed at RT for 20 days: in RH 98%, (e) low-magnification image of nanocrystal 3 (NC3) and (f) high-magnification image of region 2 (R2, in NC3 in e); in RH 70%, (g) low-magnification image of nanocrystal 4 (NC4) and (h) high-magnification image of region 3 (R3, in NC3 in g; insets in f and h: nano-beam SAD patterns).

- ¹⁰ before the formation of nanocrystals at local stress-caused defects [30]. The sample stored in RH 98% at 60°C however did not exhibit the accelerated longitudinal growth of TiO₂·xH₂O nanorods, but large stacked nanosheets were formed. At a higher temperature and, consequently, a higher saturated vapor pressure,
- ¹⁵ more moisture and a higher reaction rate induced more and faster hydration, by which the film-like hydrate base on the oxide film surfaces directly and rapidly developed into nanosheets.

Phase and structure initiation in different humidities

Detailed TEM analyses in Figures 3 and 4 reveal the initiation of ²⁰ different structures and phases of $ZnO \cdot xH_2O$ and $TiO_2 \cdot xH_2O$

nanocrystals in different humidities. Notably, during TEM observations, the as-grown hydrate nanocrystals that contained a certain amount of water molecules decomposed (dehydrated) upon exposure to an electron beam, releasing water molecules ²⁵ and causing the gradual formation of bubble-like pores in the nanocrystals or blisters. Therefore, only the structures before decomposition in a very early stage of observation (less than 10 s) were examined. Figures 3a-3c present the short column-like, faceted TiO₂·xH₂O nanorods (with a pyramid top) that were ³⁰ formed in a high humidity of RH 98%. The high-magnification and lattice images in Figures 3c and 3d revealed a partially crystalline structure that comprised a disordered matrix and some



Fig. 4 TEM analyses of $TiO_2 \cdot xH_2O$ nanocrystals around a scratch track on a TiO_2 film, formed in RH 70% at RT for 50 days: (a) specimen preparation by FIB cutting, (b1) low- and (b2) high-magnification (circle I in b1) images of nanocrystal 1 (NC1, in a2; in an early observation stage), (c) lattice image of s region 1 (R1, in circle I in b2); (d) low-magnification image of NC1 (in a2; in a late observation stage), (e) high-magnification image of NC1 (circle II in d; in a late observation stage), (f) lattice image of region 2 (R2, in circle II in e), (g) high-magnification image of NC1 (circle III in d; in a late observation stage), (h) lattice image of region 3 (R3, in circle III in g).

short-range ordered clusters with sizes of several nanometers and with interplanar spacings of $(80\overline{3})$ 0.243 nm and (602) 0.231 nm

- ¹⁰ (angle of 60°), consistent with the structure of monoclinic Ti₄H₂O₉·9H₂O (i.e. TiO₂·2.5H₂O, JCPDS #39-0040). Similarly, as presented in the TEM image and the diffused nano beam SAD pattern in Figures 3e and 3f, the ZnO·xH₂O, in the form of long belt-like (non-faceted) nanowires, also had an amorphous ¹⁵ structure with a Zn:O ratio of 1:2.5 (EDS analyses), suggesting
- the possible formation of orthorhombic $Zn(OH)_2 \cdot 0.5H_2O$ (ZnO·1.5H₂O, JCPDS #20-1436). Supplied with abundant moisture for continuous hydration rather than simultaneous dehydration, ZnO and TiO₂ film surfaces in which bonds were
- ²⁰ broken by stress, particularly around some high-energy column boundaries, were steadily hydrolyzed to form a large amount of hydrates, as revealed by the roughened (down-penetrating) crystal/ film interfaces and the dissolved column boundaries in Figures 3b and 3e. With the hydrates as nuclei and supplements,
- ²⁵ the protruding ZnO·1.5H₂O and TiO₂·2.5H₂O nanostructures were formed with a high water content and near-amorphous (partially crystalline, with short-range order) structures.

In lower humidity, RH 70%, less water was incorporated into the formed ZnO· xH_2O and TiO_2 · xH_2O nanocrystals. On the ZnO

- ³⁰ film surface, as shown in the TEM images in Figures 3g and 3h, polycrystalline $ZnO \cdot xH_2O$ nanowires (faceted, three-fold symmetric, as shown in Figure 1b) grew from a film-like hydrate base, and nanograins with sizes of several nanometers (clusters with sizes of several times the lattice parameter) were dispersed
- ³⁵ in the nanowires. A Zn:O ratio of about 1:2 in some regions and 1:1 in others, the lattice structure with an interplanar spacing of 0.28 nm (ZnO (1010)), and the nano-beam SAD spots (ZnO (0002) and (1010), zone axis [1120] [5, 34-35]) in Figure 3h all revealed the formation of crystalline orthorhombic (wulfingite) ε -⁴⁰ Zn(OH)₂ (ZnO·H₂O, JCPDS #38-0385) and partly hexagonal
- (wurtzite) ZnO (JCPDS #36-1451) under concurrent

hydration/dehydration in an unsaturated moist atmosphere. Additionally, the TEM analyses in Figures 4a-4c indicated the growth of TiO₂·xH₂O nanocrystals with a lower water content in ⁴⁵ RH 70%: the formed nanoflakes were more stable and so did not decompose until after a long period of exposure to an electron beam (5 min). In the early stage of observation, as presented in Figure 4c, the clear lattice structure with a (202) interplanar spacing of 0.473 nm suggested the formation of partly dehydrated, ⁵⁰ polycrystalline monoclinic H₂Ti₅O₁₁·H₂O (TiO₂·0.4H₂O, JCPDS

#44-0130) in the lower humidity.

The surface chemistry of the ZnO and TiO₂ films, including the adsorption of water or hydroxyl (OH-) and dehydration, is believed to dominate the above initiation of different-phase 55 ZnO·xH₂O and TiO₂·xH₂O in different humidities. A range of water adsorption behaviors are proposed based on dynamic density functional calculations and scanning tunneling microscopic analyses of hydrolysis/dehydration in aqueous solutions [34-45]. In this study, tensile stresses facilitate the 60 breakage of Zn–O and Ti–O bonds to form oxygen vacancies, greatly increasing the surface reactivity (or wettability) for water adsorption [36, 37]. The first-layer chemical adsorption of OHand H^+ (dissociated from H_2O) onto oxygen vacancies (adsorption energy ~ 0.2 eV, which is much smaller than the 65 adsorption energy of H₂O molecules, ~ 0.7 eV [1, 38-41]) and onto oxygen (to form OH pairs), respectively, forms a monolayer [42] and facilitates the second-layer physical adsorption of H₂O molecules [1, 38-41]. In ZnO as an example, Zn^{+2} at the surface (similar to Zn⁺²_(aq) in aqueous solutions) spontaneously adsorbs 70 OH⁻, following reactions (1) and (2) [43]:

$$\operatorname{Zn}_{(aq)}^{+2} + \operatorname{OH}^{-} \to \operatorname{Zn}(\operatorname{OH})_{(aq)}^{+} (\Delta G = -37.58 \, \text{kJ/mol})$$
 (1)

 $Zn(OH)^{+}_{(aq)} + OH^{-} \rightarrow \epsilon - Zn(OH)^{-}_{2(cr)}$ ($\Delta G = -58.78 \text{ kJ/mol}$) or (2) $Zn(OH)^{+}_{(aq)} + 2OH^{-} \rightarrow Zn(OH)^{-}_{3(aq)}$ ($\Delta G = -50.19 \text{ kJ/mol}$)



Fig. 5 Nanoscopic structure analyses of annealed TiO₂·xH₂O nanocrystals (formed in RH 70% at RT for 180 days). SEM images: as grown, (a) low- and (b) high-magnification images; annealed at (c) 300 and (d) 500°C. TEM analyses, 500°C annealed: (e) specimen preparation by FIB cutting, (f1) low- and s (f2) high-magnification (circle I in f1) images of nanocrystal 1 (NC1, in e2; in a late observation stage); lattice images of (g) region 1 (R1) and (h) region 2 (R2, in circle I in f2).

As well, the unstable TiO^{+2} on TiO_2 adsorbs water, possibly as described in reaction (3) [44, 45]:

$$\text{TiO}_{(s)}^{+2} + \text{O}^{-2} + 2\text{H}_2\text{O} \rightarrow \text{Ti(OH)}_{4(s)} \ (\Delta \text{H} = -675.54 \text{ kJ/mol})$$
(3)

- ¹⁰ Similar to the transformation of LiF crystals to LiOH in a humid atmosphere via surface OH⁻ adsorption and penetrating (inward) hydrolysis [46], ZnO and TiO₂ film surfaces are expected to hydrolyze, forming a hydrate base and protruding hydrate nanostructures. Consistent with the growth of TiO₂ nanocrystals
- ¹⁵ with different phases and morphologies (such as brookite nanorods and anatase nanoflowers) in aqueous synthetic solutions with different OH⁻ concentrations [47], orthorhombic ZnO·1.5H₂O and monoclinic TiO₂·2.5H₂O, containing a large amount of water, grew in a humid atmosphere, whereas orthorhombic ZnO and Concentration (unstrict) and another the second second (unstrict) and the second second (unstrict) and the second second (unstrict) and the second second second (unstrict) and the second secon
- 20 orthorhombic ZnO·H₂O or hexagonal (wurtzite) ZnO and monoclinic TiO₂·0.4H₂O, containing less water were formed at the lower humidity.

In-situ and ex-situ evolutions of phase and structure

- In the late stage of observation after long-term exposure (5 min) ²⁵ to an electron beam, as presented in Figure 4d, some of the bamboo leaf-like TiO₂·0.4H₂O nanocrystals decomposed, and some pores formed in the nanocrystals and the hydrate base. The lattice images of non-decomposed regions in Figures 4e-4h presented several nanocrystallites with interplanar spacings of
- $_{30}$ ($\bar{2}16$) 0.274 nm and (022) 0.234 nm (angle of 80.5°), ($\bar{2}16$) 0.274 nm and ($\bar{12}7$) 0.240 nm (angle of 55°; not presented herein), and (022) 0.235 nm (angle of 41.9°), all corresponding to triclinic Ti₉O₁₇ (JCPDS #71-0631). Figures 5a-5d reveals that TiO₂·0.4H₂O nanocrystals were more stable under thermal
- annealing at 300°C but they partially decomposed at 500°C (which is approximately the decomposition temperature of TiO_2 hydrates [48]). In the TEM images of the $TiO_2 \cdot 0.4H_2O$ nanocrystals that were decomposed at 500°C in Figures 5e-5h, most regions were found to have been completely transformed

40 (dehydrate) into polycrystalline TiO2 with interplanar spacings of

(321) 0.190 nm and (202) 0.225 nm (angle of 51°), corresponding to typical orthorhombic (brookite) TiO₂ (JCPDS #76-1934), although very little monoclinic TiO₂·0.4H₂O with interplanar spacings of (006) 0.224 nm and (1204) 0.195 nm (angle of 86°)
⁴⁵ remained in Figure 5h (JCPDS #44-0130). The above observations conclude that partially crystalline (short-range-ordered) monoclinic TiO₂·2.5H₂O that had formed in high humidity would transform into polycrystalline monoclinic TiO₂·0.4H₂O and further into polycrystalline triclinic Ti₉O₁₇ or or orthorombic (brookite) TiO₂ upon exposure to an electron beam or thermal annealing, similar to reaction (4) [44, 45]:

$$Ti(OH)_{4(s)} \rightarrow TiO_{2(s)} + 2H_2O \ (\Delta G = -44.9 \text{ kJ/mol})$$
 (4)

The *in-situ* nanoscopic structural analyses, based on the TEM images in Figure 6, captured following exposure to an electron 55 beam, and ex-situ observations after annealing at 450°C, shown in Figure 7, suggested the same transformation sequence from near-amorphous ZnO-1.5H₂O to crystalline or even singlecrystalline ZnO nanowires, when the nanowires received energy. Upon exposure to an electron beam for a very short time (less 60 than 10 s), as verified by the high-resolution TEM images, the nano-beam SAD and fast Fourier transformed (FFT) patterns in Figures 6a and 6d, the ZnO-1.5H₂O nanowire had a disordered structure in most regions, yielding diffused hollow diffraction rings, with a few nanoscaled atom clusters (quasi-crystals) with a 65 size of several times the lattice parameter dispersed in the amorphous matrix. As the exposure time was increased (to 1 min), as shown in Figures 6 b and 6e, the nanowire received more energy and began to dehydrate at its surface where the nanocrystallites nucleated and agglomerated forming a clear 70 lattice structure with a size of about 2-3 nm, possibly following reaction (5) [43], in a manner similar to the recovery of LiOH to LiF in a dry atmosphere [46]:

$$\begin{aligned} \varepsilon - Zn(OH)_{2(cr)} &\rightarrow ZnO_{(cr)} + H_2O \ (\Delta G = -4.07 \text{ kJ/mol}) \text{ or } \\ Zn(OH)^-_{3(aq)} - OH^- &\rightarrow ZnO_{(cr)} + H_2O \ (\Delta G = -16.73 \text{ kJ/mol}) \end{aligned}$$
(5)



Fig. 6 *In-situ* nanoscopic structure analyses of ZnO-xH₂O nanowires (formed in RH 98% at RT for 20 days) under an electron beam exposure. High-resolution TEM images: exposed for (a) less than 10 sec, (b) 1 min, (c) 5 min (insets: nano-beam SAD patterns); lattice images: exposed for (a) less than s 10 sec, (b) 1 min, (c) 5 min (ZnO wurtzite structure, SAB: small-angle subgrain boundary; insets: FFT patterns along a [1210] axis)



Fig. 7 Nanoscopic structure analyses of 450°C annealed ZnO·xH₂O nanowires (formed in RH 98% at RT for 20 days). TEM analyses of single-crystalline ZnO nanowire: (a1) low- and (a2) high-magnification images (background: graphite film on a Cu grid), (b) high-resolution image (insets: FFT pattern along a [$1\overline{210}$] axis and lattice image). TEM analyses of single-crystalline ZnO nanowire with a polycrystalline defect: (c) high-magnification image, (d) high-resolution images and nano-beam SAD patterns of region1 (R1) and region 2 (R2, in c); lattice images of (e) R1 and (f) R2 (in d; SAB: small-angle subgrain boundary; HAB: high-angle subgrain boundary; dashed lines: subgrain boundaries; solid lines: lattice orientations of small-angle subgrains NG1 and NG2 in e and high-angle subgrains NG3, NG4 and NG5 in f).

As verified by the lattice images and the nano beam SAD and FFT patterns in Figures 6c and 6f, polycrystalline hexagonal (wurtzite) ZnO with a (0001) interplanar spacing of 0.26 nm was

- s ultimately formed, and crystallization and grain growth to 5-10 nm via nanocrystallite agglomeration were observed after long-term exposure (5 min) to an electron beam. The nanocrystallites (or subgrains) tilted with a longitudinal [1010] preferred orientation, leaving {0001} surfaces and the small-angle subgrain
- ¹⁰ boundaries (SABs) among the aligned nanocrystallites. When the exposure was extended further (10 min), the subgrain structure remained due to the inefficiency of a low-energy electron beam in eliminating SABs.
- The high energy provided by thermal annealing activated ¹⁵ much complete dehydration and structural evolution of ZnO·1.5H₂O to ZnO. As observed in Figures 7a and 7b, annealing at 450°C geberated a perfect lattice structure with a (0001) interplanar spacing of 0.26 nm and a ($10\overline{10}$) spacing of 0.28 nm, as well as simple FFT diffraction spots and a ²⁰ stoichiometric Zn:O ratio of 1:1, indicating further subgrain
- tilting and boundary elimination, which yielded the longitudinal [1010] orientation and (0001) surface of single-crystalline hexagonal (wurtzite) ZnO nanowires. However, probably due to non-uniform dehydration, a few bump-like or blister defects with
- ²⁵ a polycrystalline structure were also observed on the surfaces of some single-crystalline nanowires, as presented in Figures 7c and 7d. The lattice images that were obtained around a defect, shown in Figures 7e and 7f, in which different lattice orientations of several small- and high-angle subgrains are marked, clearly
- ³⁰ reveal the presence of SABs in the near-single-crystalline region and high-angle boundaries (HABs) in the polycrystalline region. Figure 8 schematically depicts the structural development of amorphous ZnO·1.5H₂O to single-crystalline ZnO nanowire (without or with a polycrystalline defect). From Figure 8a, soon
- ³⁵ after an amorphous ZnO·1.5H₂O nanowire receives energy, dehydration occurs firstly on both surfaces where ZnO clusters begin to precipitate. More nanocrystallites then form and grow preferentially along the [0001] direction (inwards) into columnar subgrains that have SABs and {0001} surfaces. A self-assembly ⁴⁰ process that includes nanocrystallite agglomeration, subgrain
- tilting and boundary elimination ultimately yields a singlecrystalline ZnO nanowire. Three main growth directions ([0001],

 $[10\overline{1}0]$ and $[1\overline{2}10]$) of wurtzite ZnO structure, dependent on the experimental parameters and morphology, have been reported

- ⁴⁵ [49]. In this study, the observed longitudinal $[10\overline{1}0]$ growth of the nanowires, with {0001} top/bottom surfaces and $(1\overline{2}10)$ side surfaces, is attributable to polar surface-induced growth to reduce electrostatic energy, as proposed by Korgel and Kong [50, 51]: when ZnO nanocrystallites form on surfaces, the Zn-terminated
- 50 (0001) surface is positively charged, and the O-terminated (0001) surface is negatively charged; agglomerated nanocrystallites with surface polar charges are then automatically rearranged in parallel to reduce total electrostatic energy, causing small-angle alignment (with (0001)/(0001) surfaces perpendicular to 55 longitudinal [1010]). However, in Figure 8b, non-uniform dehydration, such as by the early heating of the ZnO-1.5H₂O nanowire interior, causes the aggregation and expansion of released water molecules below surface, forming a blister, which interferes with the parallel alignment and packing of polarized 60 ZnO nanocrystallites. High-angle boundaries are therefore left around the blister, generating polycrystalline defects on the surfaces of some single-crystalline nanowires. In contrast, for non-polar TiO₂ without electrostatic energy, a randomly polycrystalline structure is formed either upon exposure to a low-65 energy electron beam or by high-energy thermal annealing. Similar to the polycrystalline ZnO structure with blister defects and HABs, agglomerated non-polar TiO2 nanocrystallites of different orientations, also with HABs, barely tilt for boundary elimination even when they receive high energy over a long

70 period, so a polycrystalline TiO₂ structure remains. The fact that the stress-induced spontaneous growth of $ZnO \cdot xH_2O$ and $TiO_2 \cdot xH_2O$ nanostructures of a certain length (of the order of micrometers) in the ambient atmosphere takes as long as several to tens of days is unpromising. However, this 75 work promotes the development of a new route that facilitates surface hydrolysis for the next formation of hydrate/oxide nanocrystals. Additionally, the *in-situ* and *ex-situ* investigations herein are believed to contribute towards our understanding of the underlying mechanism of the phase and structural 80 transformations of hydrates towards oxides although thermal annealing that accelerates dehydration may change the final phases of ZnO and TiO₂ from those that are slowly formed at room temperature.



Fig. 8 Schematic illustrations of structure developments of spontaneously ambient-grown amorphous $ZnO \cdot xH_2O$ to crystalline ZnO nanowires: (a) a single-crystalline structure, (b) a single-crystalline structure with a polycrystalline defect.

Conclusions

- The phase and structural transformations of stress-induced $ZnO \cdot xH_2O$ and $TiO_2 \cdot xH_2O$ nanocrystals that spontaneously grew s in an ambient atmosphere with different humidities without the
- use of any chemical precursors were studied *in-situ* upon exposure to an electron beam and *ex-situ* after thermal annealing to elucidate their development into ZnO and TiO₂. As confirmed by nanoscopic analyses, water adsorption/dehydration-dependent
- ¹⁰ surface chemistry dominated the initiation of ZnO·xH₂O and TiO₂·xH₂O. In a saturated moisture atmosphere, near-amorphous belt-like orthorhombic ZnO·1.5H₂O nanowires and partially crystalline column-like monoclinic TiO₂·2.5H₂O nanorods were formed; in lower humidity, polycrystalline faceted orthorhombic
- ¹⁵ ZnO·H₂O nanowires and bamboo leaf-shaped monoclinic TiO₂·0.4H₂O nanoflakes developed. When the ZnO·xH₂Oand TiO₂·xH₂O received thermal energy, hexagonal wurtzite ZnO and orthorhombic brookite TiO₂ nanocrystallites nucleated. Polarity induced the formation of single-crystalline ZnO nanowires with a
- ²⁰ [1010] orientation and {0001} surfaces via self-assembly, which included nanocrystallite agglomeration, subgrain tilting and boundary elimination.

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