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Calorimetric Evaluation indicates that Lignin Conversion to Advanced Biofuels is Vital to improving Energy Yields

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1 **Abstract**

2 Energy density measurements using bomb calorimetry were applied along with mass yields to
3 calculate energy yields from combinations of individual processes and lignocellulosic feedstocks. Sample
4 preparation and calorimetric method were fine-tuned for biofuel process pathway prior to measuring
5 the energy density of liquid fuels and catalysts and solid biomass types (untreated, pelletized,
6 pretreated, and enzymatically hydrolyzed). To statistically establish the method, correlation between
7 biomass composition and energy densities were tested. Strong correlations with lignin, hemicellulose,
8 and ash concentrations were observed and statistically validated (Pearson's coefficient, $r = 0.92$ and -
9 0.81 , respectively). Finally, energy densities were applied along with mass yields on a process pathway
10 including ionic liquid pretreatment (6L) and saccharification (2L) of three feedstocks. From switchgrass,
11 eucalyptus, and mixed feedstocks, mass yields of 54.4, 62.0, and 61.7% led to energy yields that were
12 observed to be 59.2, 55.9, and 61.0%, respectively. The disparity in change in mass and energy yields
13 between switchgrass and eucalyptus was identified to have originated from the varied lignin removal
14 during pretreatment. The overall energy recovered from 600 g of switchgrass, eucalyptus, and mixed
15 feedstocks, were 9.8, 10.3, and 10.1 MJ, respectively. Calorimetry can promptly evaluate an integrated
16 multi-process pathway to convert a discrete or mixed feedstock to sugars and other metabolites and
17 eventually to advanced biofuels that can either be a hydrocarbon or a mixture thereof. In this particular
18 study, calorimetry and mass yields indicated that lignin removal led to lower energy yield to liquid fuels.

19

20 1. Introduction

21 The pace of research in advanced biofuels from lignocellulosic biomass has picked up
22 considerably in the past decade. Investigators are moving forward with pilot scale testing of emerging
23 technologies and innovative uses of familiar processes^{1,2}. In the ethanol space, recently, POET-DSM
24 started the operation of Project Liberty, a commercial-scale biorefinery in Emmetsburg, Iowa. The
25 production capacity of Project Liberty is 25 MMGal cellulosic ethanol per year produced through a
26 biochemical process that includes acid pretreatment of corn stover followed by enzymatic hydrolysis
27 and fermentation³. Typically, biochemical conversion processes can generate high conversion yields of
28 polysaccharides into ethanol and other advanced liquid fuels, but the energy-dense lignin is left
29 unconverted in the residual solids. These solids are often used as sulfur-free solid fuels, primarily for
30 electricity generation⁴. The lignin-rich residue recovered from Project Liberty's process can be converted
31 through anaerobic digestion to produce up to 2743 MM MJ energy in the form of electricity³. Assuming
32 21.2 MJ in a liter ethanol, the energy released from 25 MM Gal ethanol is equivalent to only 2006 MM
33 MJ, much lower than that being generated from lignin-rich residue from corn stover. Biomass
34 conversion studies have typically focused on mass yields (MY) of precursors and final fuels by presenting
35 mass balances rather than calculating Energy Yields (EY) from biomass^{5,6}.

36 Process-associated energy consumption in biofuels production has been widely studied^{7,8}. While
37 process energy consumption provides an unbiased assessment of process performance, it is not a true
38 representative of energy recovered from the biomass itself and does not represent EY from the process.
39 High EYs and low process energy consumptions are essential for the economic viability of any
40 biorefinery, especially because energy itself is the main product. Even without measuring process energy
41 consumption and by just comparing EYs among various technologies and biomass types, it is possible, in
42 the early stages of research and development, to identify the technologies or the combination of
43 biomass types and technologies that are most likely to yield the greatest economic return at production
44 scale. Also, by comparing EYs from various unit operations, it is possible to minimize unwanted energy
45 losses by altering the course of process development and optimization during earlier stages of scale up.
46 The overall economic performance of a plant can be estimated and enhanced by integrating EY results
47 directly into the early stages of plant design⁹.

48 Energy Density (ED) measurements of individual components of all the streams in the biomass
49 to biofuel process chain can act as the single type of analytical test required to gauge EYs. Moreover,

50 performing ED measurements on intermediate or final products may serve as an indirect method to
51 ascertain product quality by predicting the compositions through mathematical models that can be
52 established based on calibrations with direct analytical measurements such as chromatography and
53 other gravimetric and wet chemistry assays for components such as sugars and other carbohydrates¹⁰⁻¹².
54 Such mathematical models will allow researchers and engineers to confidently predict biomass
55 composition but only when the reliability of such predictions are based on precise measurements of ED.
56 Biomass EDs have previously been measured and correlated to elemental and approximate composition,
57 but in these previous studies, oxygen bomb calorimetry was used to measure the ED of loose untreated
58 biomass¹³⁻¹⁸. In this study, to establish the sample preparation of untreated and treated biomass that
59 lead to statistically validated reproducibility, we adapt pelletization, a preparation process derived from
60 methods developed on coal and other solid fuels. To our knowledge, this report is the first to describe
61 the use of this technique and the influence of compression force during pelletization on the precision of
62 ED measurements from biomass samples. Also, our team at the Advanced Biofuels Process
63 Demonstration Unit (ABPDU), was the first to demonstrate the application of such ED measurements to
64 explain EYs of each unit process along with MYs of various biomass components in a scale-up
65 deconstruction study¹⁹.

66 The ABPDU, in collaboration with the Joint BioEnergy Institute (JBEI), has performed benchmark
67 studies to resolve key issues associated with evaluating EYs in biofuels production. The objective of this
68 study is to establish energy yields from a process pathway using calorimetry. To achieve this goal, we
69 had to fine tune the method to measure calorific values of biomass and liquid samples. Primarily, in this
70 study we pelletized three biomass feedstocks that underwent several treatments¹⁴⁻¹⁶, as opposed to
71 previous attempts at adopting calorimetry that were performed on loose samples. To further ensure
72 that we are able to associate EYs to process changes, we statistically tested the correlations between ED
73 and biomass composition. Once we were able to establish calorimetry as a possible predictive tool of in-
74 process material quality, we applied process mass balance to compute EYs for a process pathway. In the
75 discussion part of the manuscript, we evaluate EY as a metric of interest for bioprocess optimization and
76 establishing comprehensive energy balances. Finally, the concluding perspective provides an insight into
77 the application of precision bomb calorimetry as a useful analytical tool for biofuel process development.

78 2. Experimental Section

79 2.1. Biomass Feedstocks, Chemicals, and Enzymes

80 Five different biomass types, to include agricultural residues, grasses, and woody residues, were
81 tested for ED after various states of biomass deconstruction process. Switchgrass #1, Eucalyptus #1,
82 Corn Stover, Pine, and Eucalyptus were obtained from the Idaho National Laboratory (Idaho Falls, Idaho)
83 and Switchgrass #2 was obtained from University of California - Davis. Along with discrete feedstocks,
84 two mixed feedstock types, eucalyptus and switchgrass in a mass ratio of 1:1 and eucalyptus,
85 switchgrass, corn stover, and pine in a mass ratio of 1:1:1:1 were prepared. The moisture content of all
86 biomass types were less than 10% (w/w) and were accounted prior to preparing mixed feedstocks. The
87 particle size distribution of all biomass types was determined in accordance with ASTM D1511-10, using
88 a sieve shaker (Vibratory Sieve Shaker AS 200, Retsch, Newtown, PA, USA). The majority (54% w/w) of all
89 biomass types yielded particle sizes ranging from 0.1 to 0.6 mm. Further information on biomass types
90 was provided elsewhere^{19,20}.

91 Trifluoroacetic acid (TFA), ethanol, acetic acid, sodium acetate, sulfuric acid, sodium hydroxide,
92 and the monosaccharides used for standards including arabinose, galactose, xylose, glucose, and
93 cellobiose were purchased from Sigma-Aldrich (St. Louis, MO). 1-Ethyl-3-methyl-imidazolium acetate
94 ($[\text{C}_2\text{C}_1\text{im}][\text{OAc}]$, BASF, Ludwigshafen, Germany, purity $\geq 90\%$) was used as the IL catalyst in the
95 pretreatment. Novozymes (Davis, CA) generously provided cellulase (CTec2[®]) and hemicellulase (HTec2[®])
96 required for the enzymatic hydrolysis unit process.

97 2.2. Ionic Liquid Pretreatment and Enzymatic Hydrolysis

98 While Switchgrass #2 was tested at two solids loading, (i) 10% and (ii) 15% (w/w) biomass in final
99 slurry during IL pretreatment, Switchgrass #1, Corn Stover, Pine, Eucalyptus, and Mixed Feedstocks were
100 treated at 10% (w/w) biomass in final slurry. A Hastelloy C276 10L Parr floor stand reactor (Model# 4556,
101 Parr Instrument Company, Moline, IL) was used to carry out the pretreatments of Switchgrass #1,
102 Eucalyptus, and Mixed Feedstocks at 140°C for 1 hour with constant agitation¹⁹. A mixture of CTec2[®] and
103 HTec2[®] (54 and 6 mg enzyme/g glucan in pretreated biomass, respectively) was used to hydrolyze the 10%
104 (w/w) IL pretreated biomass at 50°C for 72 hours in a 2L constant stirred reactor (IKA LR-2.ST, IKA Works,
105 Wilmington, NC, USA)²¹. The hydrolyzed solids were filtered, washed and recovered via paper filtration
106 and then dried in a vacuum oven (Binder VDL 115, Bohemia, NY, USA) at 45°C overnight. More details on

107 the preparation, pretreatment, and enzymatic hydrolysis of all biomass types were provided elsewhere¹⁹,
108 ^{21, 22}.

109 **2.3. Biomass Compositional Analysis**

110 Compositional analysis was conducted on all solid streams obtained from the various stages of
111 the biomass conversion chain, including untreated, pretreated, and enzymatically hydrolyzed biomasses.
112 Acid-insoluble lignin and structural carbohydrates were quantified following a two-step sulfuric acid
113 hydrolysis Laboratory Analytical Protocol (LAP) developed at the National Renewable Energy Laboratory
114 (NREL)^{23, 24}. Carbohydrates in the liquid fraction of the samples were measured by high performance
115 anion exchange chromatography (Dionex ICS-3000 HPAEC, Sunnyvale, CA, USA). More details on
116 compositional analysis methods were provided elsewhere¹⁹.

117 **2.4. Sample Pelleting and Bomb Calorimetry**

118 The energy content of untreated, pretreated, and enzymatically hydrolyzed biomass were
119 measured using an oxygen bomb calorimeter (IKA C2000, Wilmington, NC, USA), see Figure S1 in
120 supplementary data. Prior to any testing, biomass samples were dried in a vacuum oven at 50°C for 3
121 hours and Moisture Content (MC%) was determined using a moisture analyzer (Mettler Toledo MJ33,
122 Columbus, OH, USA). After the drying, biomass samples were pressed into pellet form using a hydraulic
123 pelletizer (MTI 12T pelletizer, Richmond, CA, USA). The weights of pelletized samples (W_{sample}) in grams
124 were measured on a precision digital scale (Mettler Toledo, model XP105, Columbus, OH, USA). The
125 pelletizer chamber yielded a constant pellet radius of 0.64 cm; the height of the pellets (H_{sample}) in cm
126 was measured using Vernier calipers (Fowler, model IP65, Brantford, ON, Canada). The weights and
127 volume of the samples was used to calculate the mass density of the pelleted sample, MD_{sample} (kg/m^3).

128 The bomb calorimeter was calibrated using a known amount of standard benzoic acid (Sigma-
129 Alderich, St. Louis, MO, USA). Intrinsic to the method, ΔT_{std} represents the recorded rise in temperature
130 of benzoic acid during combustion. The term ΔT_{sample} represents the recorded rise in temperature after
131 combustion of the pelleted biomass samples. The ED of solid samples was calculated based on the
132 following equation:

$$133 \quad ED = ED_{\text{BA}} \times \frac{W_{\text{BA}} \times \Delta T_{\text{sample}}}{W_{\text{sample}} \times \Delta T_{\text{std}}} \quad \text{Eq [2]}$$

134 where, ED is energy density of sample, ED_{BA} is the energy density of benzoic acid, W_{BA} is the weight of
135 benzoic acid, and W_{sample} is the weight of sample.

136 The use of a standard combustion aid (IKA C10 Acetobutyrate capsules, Wilmington, NC, USA)
137 facilitated the measurement of EDs of liquid samples; see Figure S1 in Supplementary Data. An ignition
138 thread made of 100% cotton was provided by IKA to ignite the solid samples. According to ASTM D5468,
139 correction of heating value of acid combustion is needed when sulfur content in samples contributes
140 significantly to the heat generated during combustion^{17, 18, 25, 26}. Therefore, off-site elemental analyses
141 were conducted and sulfur content in all biomass samples was observed to be less than 2.0%, a level
142 negligible for the purposes of this study.

143 Ash weight was measured by subtracting the weight of the crucible before (W_{cb} , g) and after
144 (W_{ca} , g) bomb calorimetry. After bomb calorimetry, unburned solid residue was heated in a muffle
145 furnace at 1200°C for 12 hours. No weight change was observed, supporting the hypothesis that only
146 ash was left after calorimetry. For the purpose of assessing the organic fraction of the biomass, ED
147 calculations were adjusted by subtracting the moisture and ash content, using the following equation.
148 This adjustment ensured that energy was accounted only to the cellulose, hemicellulose, and lignin
149 fractions of the samples.

$$150 \quad ED\alpha = \frac{ED_{\text{sample}}}{(1 - \text{Ash}\%)} \quad \text{Eq [3]}$$

151 Three standards were chosen for solid samples: 1) Glucose with particle size less than 75 μm
152 (≥ 99.5 w/w%, part# G8270, Sigma Aldrich, Columbus, OH, USA), 2) Pretreated Eucalyptus with particle
153 sizes between 75 μm and 2mm, and 3) Untreated Eucalyptus with particle sizes larger than 2mm, see
154 Table S1 in Supplementary Data for ED α information. Eucalyptus was chosen as the model feedstock to
155 represent biomass. [C₂mim][OAc] and ethanol were chosen for as standards for liquid samples. Ethanol
156 HHV is included here to verify the protocol with a standard value reported elsewhere, which was lower
157 by 1.6% from our measurement²⁷.

158 In the case of pre-pelleted biomass samples, where the source material was extrusion pressed
159 prior to shipment, the pellets were ground using mortar and pestle before hydraulically re-pelleting the
160 particulate samples. Even though a change in particle size must have occurred during the grinding in
161 mortar, it would not have influenced ED measurements as only the optimal hydraulic force that varied
162 as a function of particle size. When pressed at the optimal force, ED α values do not vary as a function of
163 particle size.

164 **2.5. Statistical Approaches applied to Calculate Sample Sizes and Validate Correlations**

165 The means and standard deviations of calorific values of standard materials, glucose and ethanol,
 166 was used to calculate the power (1-β), the probability of avoiding a type II error, of the method
 167 according to equation 4. The minimum level of statistical significance for the power calculations, or α,
 168 was set at 0.05.

169 Pearson's product-moment coefficient was calculated to correlate the paired data of a
 170 component of biomass (Klason-lignin, non-glucan saccharides, and glucan) and ED of biomass samples,
 171 as shown in Equation 5. The sample size required to obtain an acceptable correlation was calculated
 172 according to equation 6 based on Fischer's archtan transformation and an acceptable power of 0.80.
 173 Correlation coefficient was considered statistically significant only when the calculated p-values were
 174 observed to be less than 0.05. All variables were observed to follow normal distribution and the
 175 residuals were observed to be independent of the factors tested.

176
$$1 - \beta = Z \left[-Z \left[\frac{\alpha}{2} \right] + \frac{(\mu_{obs} - \mu_{std}) \sqrt{n}}{\sigma} \right]$$
 Eq. [4]

177
$$r = \frac{\sum_{i=1}^n x_i y_i - \frac{\sum_{i=1}^n x_i \sum_{i=1}^n y_i}{n}}{\sqrt{\left(\sum_{i=1}^n x_i^2 - \frac{\left(\sum_{i=1}^n x_i \right)^2}{n} \right) \left(\sum_{i=1}^n y_i^2 - \frac{\left(\sum_{i=1}^n y_i \right)^2}{n} \right)}}$$
 Eq. [5]

178
$$n = \left(\frac{Z[\alpha] + Z[\beta]}{\frac{1}{2} \log_c \frac{1+r}{1-r}} \right)^2 + 3$$
 Eq. [6]

179 where, 1-β = power of the test or the probability of type II error, α= the probability of type I
 180 error, Z(x) = area under the curve to the left of x on a standard normal table, μ_{obs} = mean of calorific
 181 value of the samples, μ_{std} = mean of the calorific value of the corresponding standard materials, n =
 182 sample size, σ = standard deviation of the calorific value for the corresponding standard materials, x =
 183 concentrations of biomass components (w/w%) in each of the biomass solid samples, and y =
 184 corresponding ED_a of the biomass samples.

185 3. Results and Discussion

186 3.1. Optimizing Hydraulic Force to obtain Reproducible Measurements from Bomb Calorimetry

187 To ensure reproducible ED results from biomass samples, a coefficient of variation (CV) of less
188 than 1% for 5 replicate measurements served as the target specification in this study. The pelleting force,
189 that is, the hydraulic force of compression for a given material, was optimized to yield a highly
190 reproducible Higher Heating Value (HHV) from solid samples. Particle size appeared to have greatly
191 influenced the optimal hydraulic force, see Table S1 in Supplementary Data. If the pelleting force was
192 too low, the pellet would often splash during combustion and a substantial fraction of material would
193 remain unburned in the crucible after calorimetric measurement, see Figure S1 in supplementary data. If
194 the pelleting force was too high, the pellet would not combust completely, also resulting in a failed
195 measurement. Excessive pressure could have resulted in material densities that prevented oxygen from
196 diffusing into the pellet during combustion. The optimal mass densities for all the three solids varied
197 substantially indicating that there is no universally applicable optimal mass density for all sample types,
198 which yields reproducible calorimetry. With the appropriate pelletizing force, the CVs of 5 repeated
199 calorimetric measurements for all the solids were observed to be well within the 1% CV target for the
200 study. Sample preparation and CV for liquid samples were also established, as ED measurements of solid
201 samples could include contribution from solvents that seep into the solids during experimental studies.
202 These methods ensured reproducible data from process samples and allowed us to attribute variations
203 in ED data to the varying compositions of the biomasses.

204 3.2. Paradoxical Influence of Ash on Energy Density of Biomass

205 EDs were recorded for 33 samples of biomass from various processes in the biomass
206 deconstruction sequence. [Table 1](#) lists all the samples tested in this study, along with relevant
207 information: process conditions, ash contents, and measured EDs. The list includes 9 single-source
208 biomass samples and 10 biomass types, in either loose or pre-pelleted forms, with varying ash contents.
209 The statistical power, $(1-\beta)$, of the calorific measurement tests for all samples was calculated based on
210 glucose and eucalyptus as standard materials. Power was observed to be 100% for all the 10 biomass
211 types and 33 samples assuring that the method provides an accurate measure of ED for the various
212 biomass samples, and one sample is enough to obtain a representative value.

213 Generally, EDs of untreated biomass types followed the order: pine > eucalyptus > corn stover >
214 switchgrass. Woody feedstocks averaged an ash content of 3.8% (w/w), whereas the herbaceous

215 feedstocks averaged 6.7% (w/w). Consistent with earlier observations, ash content had a diluting
216 influence on biomass ED¹⁴. IL pretreatment did not substantially reduce the ash concentration in
217 residual switchgrass when pretreatment (PT1 and PT2) was conducted at high solid loadings (15% w/w).
218 However, at a lower solid loading of 10% (w/w), pretreatment (PT3) led to a large drop in ash content
219 from 5.2% (w/w) to 0.5% (w/w) in eucalyptus and from 6.3% (w/w) to 2.7% (w/w) in switchgrass. The ED
220 of both eucalyptus and switchgrass increased, which appeared to be largely due to loss of ash. It is
221 possible that lower solid loading enhanced gel homogenization after IL pretreatment, resulting in a
222 partial loss of ash during the subsequent wash steps. When ED was adjusted by excluding the weight of
223 ash from the total weight of the biomass sample, ED_a of the “ash-free” untreated and pretreated
224 biomass samples do not vary substantially. This indicates that the IL pretreatment itself does not
225 influence ED_a of residual solids, if tested on an ash-free feedstock. However, it is unrealistic to expect an
226 ash-free feedstock for a biorefinery. Moreover, pretreatment is devised not only to break the lignin cell
227 wall but also to remove various inhibitors, ash among them, to improve performance of downstream
228 enzymatic and fermentation treatments.

229 Even though the overall mass of ash was reduced, concentration of ash in the solid residue
230 recovered after pretreatment and enzymatic hydrolysis increased. Surprising, solid residues after
231 enzymatic hydrolysis were observed to have the highest ED_as, even after correcting for the substantial
232 ash concentration in the samples. This observation suggested that ED_a measurements are more
233 profoundly influenced by factors, other than ash content. Compositional analysis of biomass was
234 conducted to better understand the influence of these factors.

235 **3.3. Correlation of Biomass Energy Density with Lignin and Saccharides**

236 In this study, saccharides were quantified and categorized into glucan and non-glucans. Glucan
237 was used as a representative of cellulose, and non-glucan was used as an estimation of hemicellulose,
238 which included xylan, arabinan, and galactan. Klason lignin was used as a representative of lignin, both
239 acid soluble and insoluble, in the biomass. A strong positive linear correlation ($R^2 = 0.85$) was found
240 between the ED_a and the Klason lignin concentration in residual solids ([Figure 1a](#)). A Pearson's
241 coefficient (r) of 0.92 with statistical significance (p -value < 0.0001) further buttresses the strong
242 correlation. The sample size calculation, assuming a statistical power of 0.80, suggests that only 3
243 samples were required to establish the correlation, well within the sample size used in this study, 33. A
244 weighted correlation factor was calculated and results show that all but one (enzymatically hydrolyzed
245 biomass sample) did not follow the strong correlation between lignin and energy density.

246 A negative but a strong linear correlation, with an r value of - 0.81 and p -value < 0.01, was found
247 between non-glucan saccharides concentration and $ED\alpha$, as shown in [Figure 1b](#). The required sample
248 size assuming a power of 0.80 was, again, calculated to be much lower than required at 4. The
249 computed weighted correlation factor also indicated the strong correlation with 27 of the 33 samples
250 following the trend. Glucan concentration did not correlate very well with $ED\alpha$, [Figure 1c](#). Even though
251 the p -value (< 0.01) and sample size calculation ($n_{\text{required}} \geq 9$) indicated a significant possibility of a
252 correlation, both the r value and R^2 were low at - 0.44 and 0.53, respectively when data was fitted to a
253 linear model. Also, more than a fourth of the samples (9 of 33) did not follow the trend of the weighted
254 correlation factor. The weighted correlation factors were reviewed for all treatment effects. However,
255 none of the treatments seemed to have a consistent effect on any of the correlation.

256 Since the sample sizes required to establish the correlations were found to be much lower level
257 than 33, we subdivided the data based on treatments to better understand the influence of biomass
258 compositions on $ED\alpha$. The samples, listed in [Table 1](#), were divided into four subsets (untreated,
259 pelletized, pretreated, and enzymatically hydrolyzed with 5, 4, 15, and 9 samples, respectively) and
260 linear correlations between biomass compositions and $ED\alpha$ were calculated separately for biomass from
261 each treatment type, listed in [Table 2](#). Expectedly, the results indicated that Klason lignin concentrations
262 in biomass correlated well with $ED\alpha$, regardless of the treatment type. However, only the correlation
263 between pretreated biomass and $ED\alpha$ exhibited a statistically significant r (= 0.91) and p -value (<
264 0.00001). Also, the calculated sample size required to establish this correlation ($n_{\text{required}} \geq 6$) was much
265 lower than the applied ($n_{\text{tested}} = 15$). The same was not true for any of the Klason lignin correlations from
266 other treatment types ($n_{\text{required}} \geq 7, 10, \text{ and } 12$ and $n_{\text{tested}} = 5, 4, \text{ and } 9$ for untreated, pelletized, and
267 enzymatically hydrolyzed biomass types, respectively), potentially leading to correlations unsuitable for
268 calibrations. The Klason-lignin concentrations of pretreated solids were more distributed along the
269 range of Klason-lignin measurements, see [Figure 1a](#), possibly causing the strong correlation. While
270 Klason-lignin correlated well for only one treatment type, none of the other biomass components
271 correlated with any treatment types.

272 Non-glucan saccharide concentrations and $ED\alpha$ followed a negative linear relationship with very
273 high r values and in two cases, pelletized (-0.98) and pretreated (-0.88) biomass types, the sample sizes
274 applied were equal or higher than the required sizes. However, the high p -values ($p > 0.01$) render these
275 correlations inapplicable for analytical calibrations. In the case of correlations between glucan
276 concentration and $ED\alpha$, as expected, linear correlations were weak for samples from all treatment types,

277 with R^2 value as low as $6E^{-05}$ for pelletized biomass. There was a negative correlation between glucan
278 concentration and $ED\alpha$ in IL pretreated biomass but a positive correlation between glucan concentration
279 and $ED\alpha$ in other sources. The calculated sample size to obtain a reliable correlation was much higher
280 than the sample sizes applied for all treatment types. Overall, correlation assessment between glucan
281 concentration and $ED\alpha$ was inconclusive. The hydrogen bonding in glucan is substantially varied before
282 and after IL pretreatment and hydrogen bond concentration can contribute extensively to the $ED\alpha$ of a
283 sample. Surprisingly, the glucan concentration of untreated samples, with maximum hydrogen bonding,
284 showed least correlation with $ED\alpha$ values, whereas, glucan concentration of pretreated samples
285 correlated better, even statistically significant at p -value = 0.015, with $ED\alpha$. Untreated but pelletized and
286 enzymatically hydrolyzed samples ranged between these two treatment types. It can be supposed that
287 lower lignin content in samples, as was the case with samples after IL pretreatment, is required to avoid
288 interference in glucan's correlation with $ED\alpha$.

289 While this theory needs further investigation, the $ED\alpha$ measurements were nonetheless quite
290 accurate for each of the samples. Even though only one $ED\alpha$ correlation, with K-lignin concentration in IL
291 pretreated biomass, can provide a reliable calibration, the $ED\alpha$ measurements of each of the samples
292 could be used along with MYs to calculate EYs for the corresponding processes.

293 **3.4. Mass Balance and Energy Yield in Scale-up Case Studies of IL Pretreatment and Enzymatic** 294 **Hydrolysis**

295 Three runs of IL pretreatment (at 6L scale) using $[C_2C_1im][OAc]$ and enzymatic hydrolysis (at 2L
296 scale) were conducted on three feedstock types: switchgrass #1, eucalyptus, and mixed biomass¹⁹.
297 Figure 2 is a depiction of mass balance of and EY from this process on switchgrass #1 and eucalyptus.
298 Biomass was introduced into the process chain along with IL (Stream 1) and heated to 140°C for 3 hours
299 to break the cell walls of biomass. Liquid (Stream 2) and solid (Stream 3) fractions from pretreatment
300 were separated after homogenization and centrifugation steps. Energy in biomass was split into these
301 two streams. The liquid stream contained major fraction of lignin dissolved in the $[C_2C_1im][OAc]$, along
302 with small amounts of hemicelluloses and cellulose. The rest of biomass in the solid fraction, primarily
303 rich in cellulose, was then used as the feedstock in enzymatic hydrolysis. After enzymatic hydrolysis,
304 mass and energy in recovered solid fraction after pretreatment (Stream 3) were further split into liquid
305 and solid fractions. Most saccharides were converted into monosaccharides located in the liquid stream
306 (Stream 6), while part of unreacted lignin and insoluble solid remained in the solid stream (Stream 5).
307 The product stream (Stream 6) represents the mass and energy from biomass that was eventually

308 converted through a bio-chemical process to ferment biomass sugars and produce biofuel (Lucas et al.,
309 2014).

310 Energy in each of the solid stream numbers 1, 3, and 5 was calculated as a product of the mass
311 of the biomass released into the steam along with its ED α . Energy in liquid streams 2 and 6 were
312 calculated as the difference between the energy input and energy output in the solid streams associated
313 with the unit process. The product stream (Stream 6) represents the mass and energy from biomass that
314 was eventually experimentally evaluated through a bio-chemical process, where the biomass sugars
315 were fermented to biofuel²⁸. While streams 2 and 5 also are product streams, they were not
316 experimentally reclaimed in this or other studies. However, hemicellulosic sugars in stream 2 can be
317 converted to advanced biofuels, and recent research indicates that low-molecular weight lignin can also
318 be converted through bio-chemical processes to advanced biofuels^{29, 30}. Furthermore, stream 5
319 contained lignin in the residual solid that can directly, without any further processing, replace coal for
320 electricity production^{4, 29, 30}.

321 MY from switchgrass (68.0% theoretical) after IL pretreatment was lower than EY (61.6%
322 theoretical). In contrast, EY (68.0% theoretical) after IL pretreatment of eucalyptus, was lower than MY
323 (74.3% theoretical), see Figure 2 (b). This disparity was primarily due to the higher ED α of untreated
324 eucalyptus, possibly due to the higher Klason-lignin concentration in eucalyptus at 32.5% compared with
325 that in untreated switchgrass at 22.1% (w/w). In spite of higher lignin removal during IL pretreatment of
326 eucalyptus, stream 3 carried more energy into the enzymatic hydrolysis process. Again, due to the lignin
327 removal, stream 2 for switchgrass carried lower EY out of pretreatment process but at a much higher
328 rate than MY. In the case of mixed feedstock, there is very little variation between the two parameters
329 after IL pretreatment; EY and MY = 70.3 and 70.4%, respectively, see Figure 2 (c). MY and EY for both
330 feedstocks through enzymatic hydrolysis was similar, probably due to the strong influence of
331 pretreatment rendering feedstocks similar to this unit operation. While EY in the form of sugars in this
332 process was higher for switchgrass than for eucalyptus, the total energy recovered after IL pretreatment
333 and enzymatic hydrolysis of 600 g of eucalyptus was 7.9 MJ compared to 7.3 MJ from 600 g
334 switchgrass²¹. This anomaly is, again, primarily due to the higher initial lignin concentration and thereby
335 higher ED α of eucalyptus than that of switchgrass. The ED of bisabolane, a C15 alkane and an advanced
336 biofuel, can be assumed to be that of biodiesel at 48 MJ/kg, and the ED of ethanol has been measured in
337 this study to be 29.2 MJ/kg³¹. The theoretical conversion rates of glucose to bisabolane and ethanol are
338 25.4 and 51.0%, respectively³². If all the sugars in stream 6 of switchgrass were converted at a

339 theoretical rate to ethanol, we would obtain MY and EY of 18.0 and 29.5% (theoretical). But when
340 converting the sugars to bisabolane at a theoretical rate, the MY would be much lower at 9.0%, even
341 though the EY value would be comparable to that from ethanol at 24.2%. Production of higher quality or
342 energy-dense liquid fuels from renewable sources at EYs, not MYs, comparable to that from lower
343 quality fuels is vital for the current transportation infrastructure, especially in the aviation industry³¹. By
344 incorporating ED_a measurements to sugar MYs, we were able to understand EYs from the entire
345 deconstruction process and extrapolate the possible outcomes from fermentation systems. In the future,
346 through this founding study, calorimetry can be used to identify the ideal feedstock or mixture of
347 feedstocks to obtain maximum EY and thereby maximum economical return from a single or several
348 biofuel production pathways.

349 **3.5. Perspective**

350 Fermentable sugars can be converted to ethanol or an advanced biofuel that can be readily
351 incorporated into the current infrastructure. Precision bomb calorimetry is useful in measuring a single
352 analytical characteristic, ED, of such fuels, because they are often comprised of mixtures of
353 hydrocarbons, rather than pure, single molecules. Unrefined biofuels, those which have not been
354 distilled or otherwise purified to meet final specifications, may carry several components derived from
355 the process chain, especially elements such as chloride, sulfur, and nitride. While such components may
356 be not be concentrated in the liquid output streams, they may have a significant impact on the ED of the
357 biofuel and thereby should be assessed when trying to establish mass and energy balances for the entire
358 conversion system. Bomb calorimetry provides a rapid and accurate assessment of a single advanced
359 biofuel or mixture of fuels.

360 Investigators are only beginning to consider integrated processes for production and recovery of
361 advanced biofuels. Individual unit operations, studied in isolation, that are highly effective (> 90%
362 conversion) may not lead to a high mass fuel upon integration for a complete production chain; 6
363 processes at 90% conversion rate will yield 54% overall conversion. This, in addition to low-value
364 electricity generation from lignin, can potentially lead to lower MYs and EYs than desired for reasonable
365 economic returns from a biorefinery. It is necessary to maximize the conversion of all energy stored in
366 biomass to high-quality fuels and co-products, primarily by the high-efficiency conversion of
367 fractionated lignin to energy-dense liquid fuels or other chemicals. Chemical pathways for such
368 conversions already exist and are more well-studied than bio-chemical pathways that are being invented
369 to ferment low molecular weight lignin^{30, 33-35}. Lignin is not a single molecule and chromatographic

370 measurements of the several molecules of lignin and their conversion to several hydrocarbons for MY
371 measurements can be complicated and tedious. Precision bomb calorimetry can be a very useful tool in
372 such cases, where a single analytical technique can be applied to advanced biofuel production pathways
373 that produce multiple hydrocarbons from several components, such as polysaccharides and fractionated
374 lignin molecules. Energy yield, along with mass yield of precursors and fuel, is an informative parameter
375 that is required to assess novel biofuel production pathways. Bomb calorimetry is a simple, accurate,
376 and precise analytical technique that provides the measured, not calculated, EY values for traditional
377 and advanced pathways alike.

378 **4. Conclusion**

379 We developed a method to measure energy densities (ED) of several process samples obtained
380 from a biofuel production process chain. The method exhibited less than 1% coefficient of variation over
381 repeated measurements for various standards giving a 100% statistical power for samples from several
382 feedstocks, indicating that ED measurements adjusted for ash, ED_a , was accurate for each sample. The
383 strong correlation between lignin concentrations in pretreated solids and ED_a was observed to be valid
384 mathematical correlations. ED_a of the solid output stream after pretreatment decreased but increased
385 after enzymatic saccharification, primarily due to the influences of ash and lignin concentrations,
386 respectively. Finally, we were able to use this analytical method to establish EY as a function of mass
387 yield (MY) of fermentable sugars from biomass conversion.

388 **5. Acknowledgements**

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390 Energy's Energy Efficiency and Renewable Energy (DOE – EERE) division for providing the funds required
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394 in the project. Our special thanks to Dr. Jian Shi from the Joint BioEnergy Institute (JBEI) for providing
395 the pre-pelleted feedstocks that were also tested in this project.

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1. Energy density and Ash content of biomass samples

400

2. Correlation between Concentrations of Biomass Components (w/w %) and Energy Density (ED_a) (MJ/kg)

401

402

403

Table 1. Energy density and Ash content of biomass samples

Sample #	Biomass Type	Treatment	Ash (% w/w)	Energy Density, ED (MJ/kg)	"Ash-free" Energy Density, ED _a (MJ/kg)
1	Eucalyptus	UN	5.22 ± 0.10	19.48	19.61
2	Switchgrass #1	UN	6.26 ± 0.20	17.39	18.22
3	Switchgrass #2	UN	9.63 ± 0.20	17.82	18.38
4	Lodgepole Pine	UN	6.70 ± 1.20	18.79	19.45
5	Eucalyptus pellet	UNP	1.21 ± 0.32	19.25	19.02
6	Switchgrass pellet	UNP	4.47 ± 0.24	18.31	17.49
7	Corn Stover pellet	UNP	6.26 ± 0.22	17.96	16.84
8	Lodgepole Pine pellet	UNP	1.95 ± 0.27	19.59	19.21
9	Biomass Mix 1 [†]	UN	3.82 ± 0.20	18.57	17.86
10	Switchgrass #2	PT 1	5.42 ± 0.10	15.88	16.79
11	Switchgrass #2	PT 1	5.20 ± 0.09	16.63	17.54
12	Switchgrass #2	PT 1	5.89 ± 0.23	17.35	18.43
13	Switchgrass #2	PT 2	4.44 ± 0.08	16.56	17.33
14	Switchgrass #2	PT 2	4.93 ± 0.59	16.99	17.87
15	Switchgrass #2	PT 2	6.15 ± 0.04	17.63	18.79
16	Eucalyptus	PT 3	0.49 ± 0.15	19.73	19.83
17	Eucalyptus	PT 3	0.38 ± 0.08	19.71	19.79
18	Eucalyptus	PT 3	0.44 ± 0.08	19.61	19.69
19	Switchgrass #1	PT 3	2.74 ± 0.13	17.79	18.29
20	Switchgrass #1	PT 3	2.48 ± 0.52	17.78	18.23
21	Switchgrass #1	PT 3	1.86 ± 0.20	17.86	18.20
22	Biomass Mix 2 [†]	PT 3	1.31 ± 0.23	18.94	19.20
23	Biomass Mix 2 [†]	PT 3	1.05 ± 0.19	18.69	18.89
24	Biomass Mix 2 [†]	PT 3	1.22 ± 0.22	18.40	18.63
25	Eucalyptus	EH	0.57 ± 0.05	22.15	22.28
26	Eucalyptus	EH	0.60 ± 0.01	22.34	22.48
27	Eucalyptus	EH	0.60 ± 0.03	21.67	21.80
28	Switchgrass #1	EH	8.78 ± 0.03	18.43	20.20
29	Switchgrass #1	EH	8.83 ± 0.03	18.62	20.43
30	Switchgrass #1	EH	8.70 ± 0.02	19.95	21.85
31	Biomass Mix 2 [†]	EH	2.65 ± 0.12	21.44	22.03
32	Biomass Mix 2 [†]	EH	2.49 ± 0.09	19.79	20.30
33	Biomass Mix 2 [†]	EH	2.55 ± 0.05	19.82	20.34

404 Notes:

405 [†]Biomass Mix 1: Mass ratio of Eucalyptus: Switchgrass: Corn Stover: Pine= 1:1:1:1; Biomass Mix 2: Mass ratio of Eucalyptus:
406 Switchgrass = 1:1407 *UN is Untreated, UNP is Untreated but Pelletized, PT is Pretreated, and EH is Enzymatic Hydrolyzed biomass samples. The
408 treatment reaction conditions (Reaction Temperature, Reaction Time, Solids Concentration, and Catalyst Loading) for PT 1 are
409 160°C, 3hrs, 15% (w/w); PT 2 are 120°C, 3hrs, 15% (w/w); PT 3 are 140°C, 3hrs, 10% (w/w); and EH are 50°C, 72 hrs, and 10%
410 (w/w) with an enzyme loading of 54mg and 6mg of CTec2 and HTec2 /g glucan in pretreated solid.

411

412 Table 2. Correlation between Concentrations of Biomass Components (w/w %) and
413 Energy Density (ED_a) (MJ/kg)

Specific component of biomass	Untreated (UN)	Pelletized (UNP)	Pretreated (PT)	Enzymatically hydrolyzed (EH)
	$n_{\text{tested}} = 5$	$n_{\text{tested}} = 4$	$n_{\text{tested}} = 15$	$n_{\text{tested}} = 9$
Klason Lignin	$y = 0.13x + 15.19$	$y = 0.09x + 16.16$	$y = 0.10x + 16.70$	$y = 0.21x + 11.41$
	$R^2 = 0.60$	$R^2 = 0.77$	$R^2 = 0.83$	$R^2 = 0.55$
	$r = -0.88$	$r = 0.78$	$r = 0.91$	$r = 0.74$
	$n_{\text{required}} \geq 7$	$n_{\text{required}} \geq 10$	$n_{\text{required}} \geq 6$	$n_{\text{required}} \geq 12$
	$p\text{-value} > 0.01$	$p\text{-value} > 0.01$	$p\text{-value} < 0.01$	$p\text{-value} > 0.01$
	$= 0.05$	$= 0.22$	< 0.00001	$= 0.02$
Non-glucan sugars	$y = -0.12x + 22.10$	$y = -0.12x + 21.78$	$y = -0.14x + 21.50$	$y = -0.30x + 25.07$
	$R^2 = 0.96$	$R^2 = 0.72$	$R^2 = 0.78$	$R^2 = 0.55$
	$r = -0.85$	$r = -0.98$	$r = -0.88$	$r = -0.74$
	$n_{\text{required}} \geq 8$	$n_{\text{required}} \geq 4$	$n_{\text{required}} \geq 7$	$n_{\text{required}} \geq 12$
	$p\text{-value} > 0.01$	$p\text{-value} > 0.01$	$p\text{-value} > 0.01$	$p\text{-value} > 0.01$
	$= 0.07$	$= 0.02$	$= 1.2E^{-05}$	$= 0.02$
Glucan	$y = 0.11x + 14.30$	$y = 0.01x + 18.57$	$y = -0.13x + 25.66$	$y = 0.07x + 19.07$
	$R^2 = 0.26$	$R^2 = 6E^{-05}$	$R^2 = 0.38$	$R^2 = 0.04$
	$r = 0.01$	$r = 0.51$	$r = -0.62$	$r = 0.19$
	$n_{\text{required}} \geq 125761$	$n_{\text{required}} \geq 28$	$n_{\text{required}} \geq 18$	$n_{\text{required}} \geq 217$
	$p\text{-value} > 0.01$	$p\text{-value} > 0.01$	$p\text{-value} > 0.01$	$p\text{-value} > 0.01$
	$= 0.99$	$= 0.49$	$= 0.02$	$= 0.63$

414

415 Note: ED_a is Energy Density of a sample after adjusting for ash and moisture contents416 n is sample size, y is ED_a, x is the concentration (w/w %) of the corresponding biomass, R² is the coefficient of determination, r

417 is Pearson's coefficient, and p-value represents the statistical significance of correlation. Sample size was calculated assuming a

418 power of 0.80.

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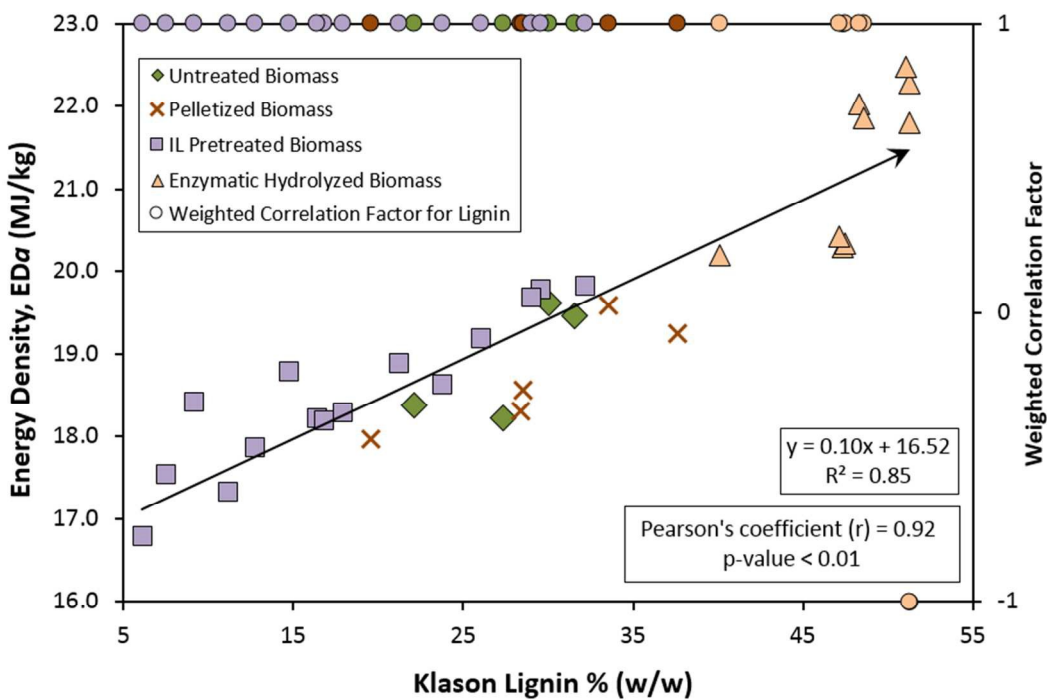
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422 glucan, and (c) glucan concentration in biomass samples from various treatments
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424 pretreatment and subsequent enzymatic hydrolysis; *calculated values

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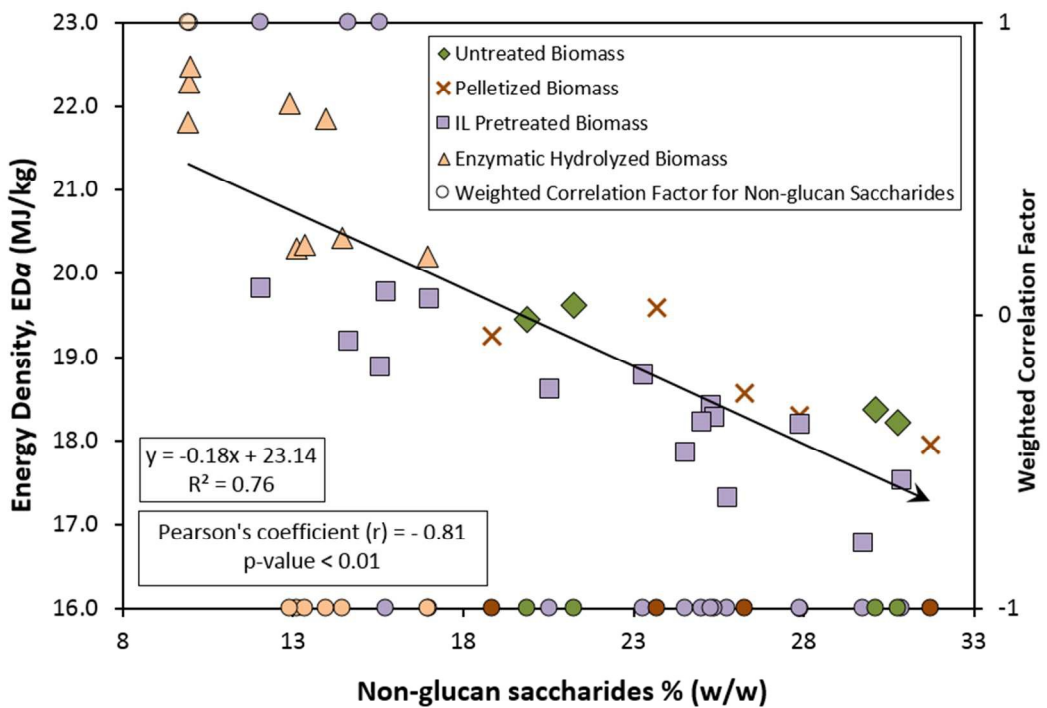
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(a)

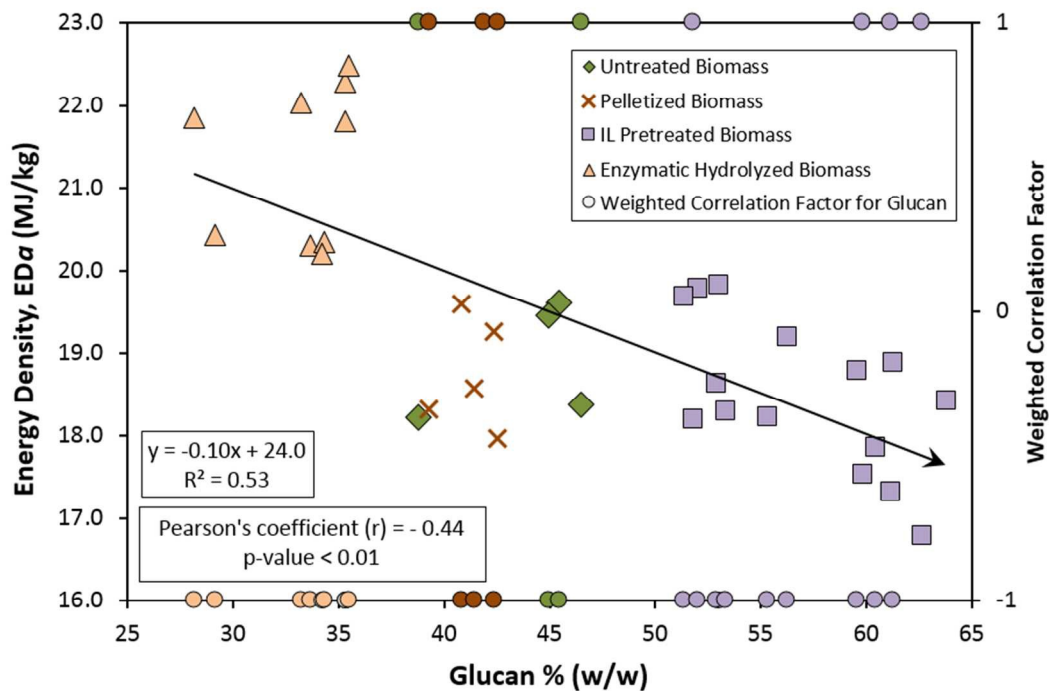


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(b)



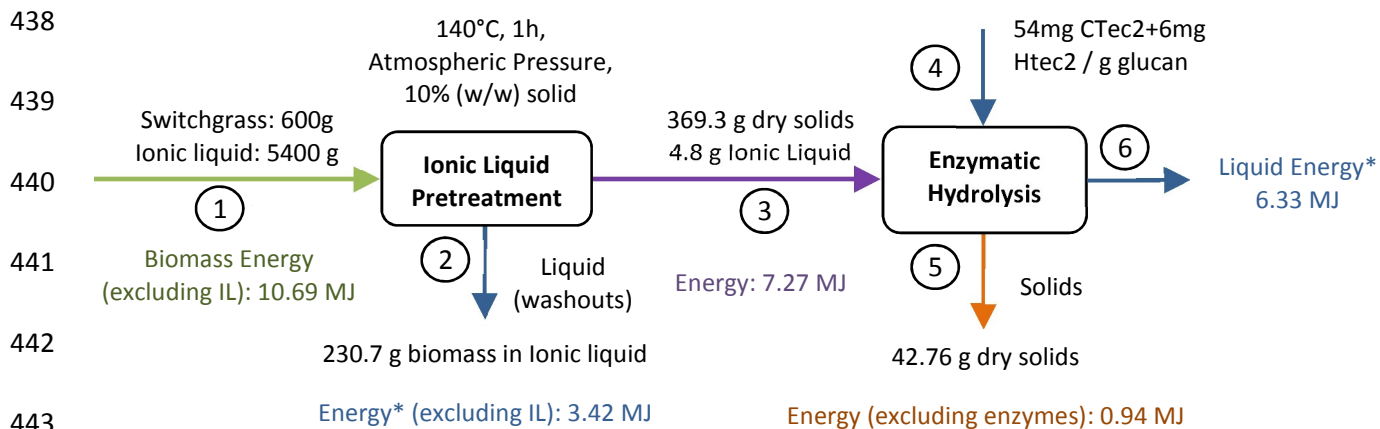
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(c)

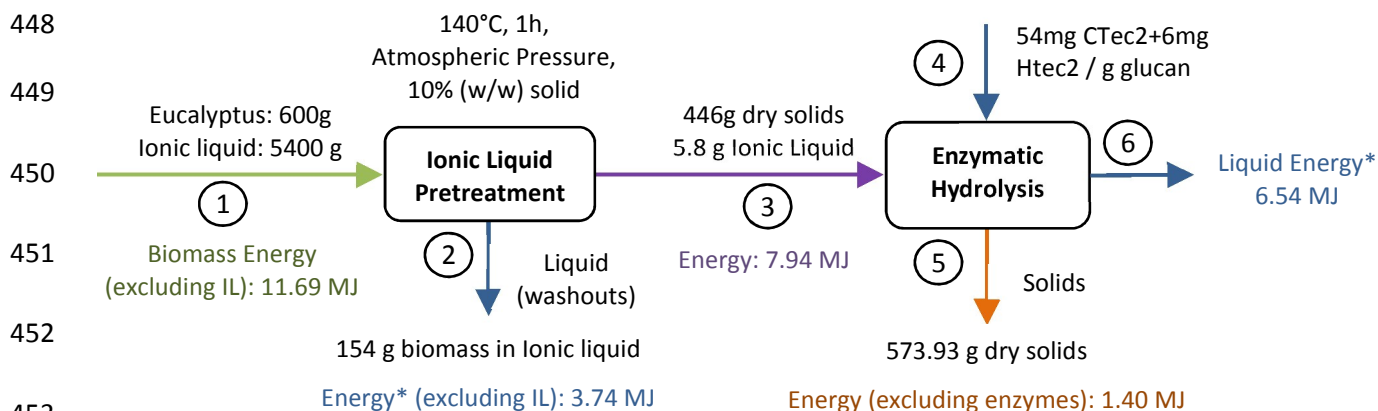
434 Figure 1. Correlation of energy density to (a) Klason lignin, (b) non-glucan, and (c) glucan concentration
 435 in biomass samples from various treatments; ED_a is Energy Density of a sample after adjusting for ash
 436 and moisture contents

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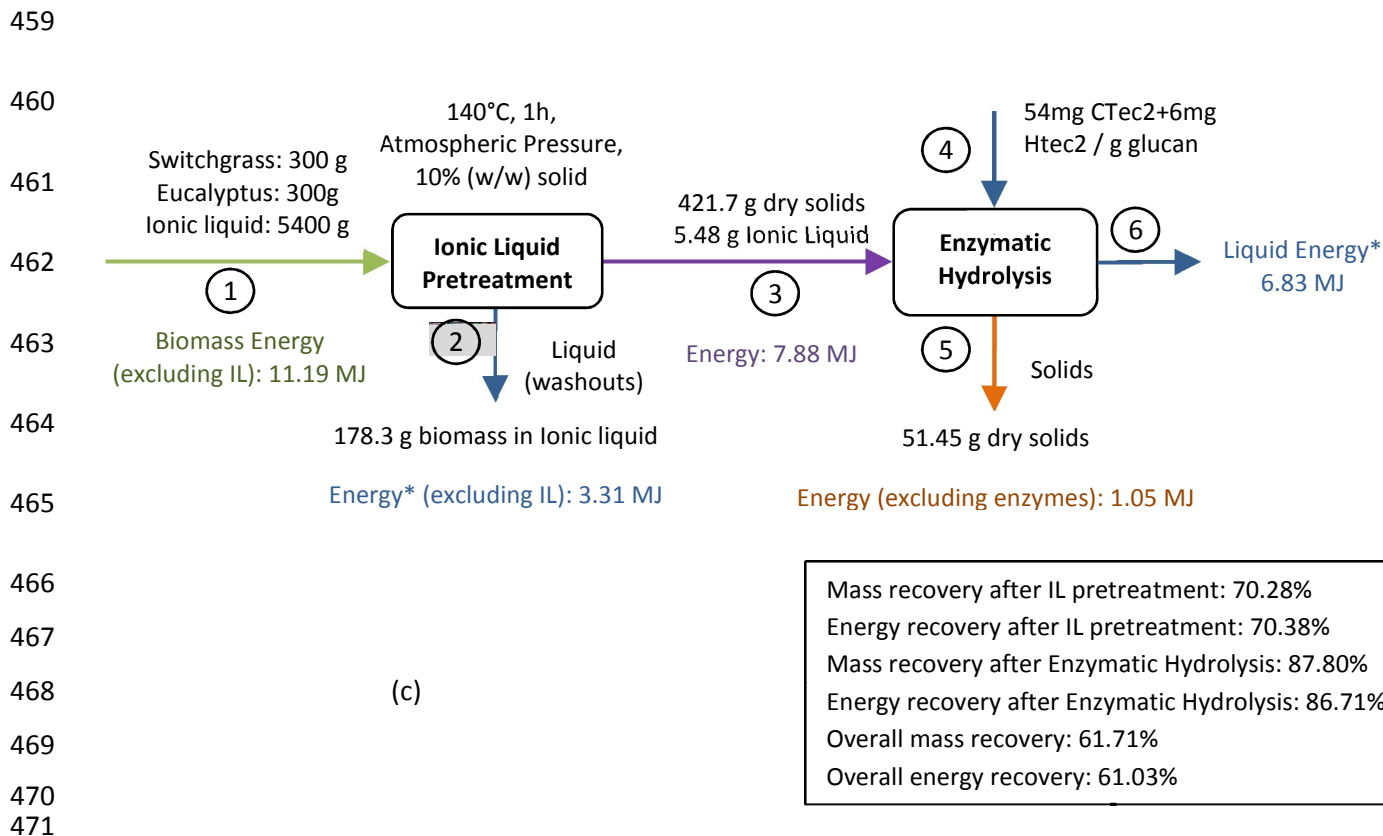
(a)

Mass recovery after IL pretreatment: 61.55%
Energy recovery after IL pretreatment: 67.99%
Mass recovery after Enzymatic Hydrolysis: 88.42%
Energy recovery after Enzymatic Hydrolysis: 87.03%
Overall mass recovery: 54.43%
Overall energy recovery: 59.17%



(b)

Mass recovery after IL pretreatment: 74.33%
Energy recovery after IL pretreatment: 67.96%
Mass recovery after Enzymatic Hydrolysis: 83.42%
Energy recovery after Enzymatic Hydrolysis: 82.31%
Overall mass recovery: 62.01%
Overall energy recovery: 55.94%



472 Figure 2. Mass balance and energy yields from (a) switchgrass #1, (b) eucalyptus, and (c) mixed
473 feedstocks after [C₂mim][OAc] pretreatment and subsequent enzymatic hydrolysis; *calculated values
474 Note: All energy values are reported after adjusting energy density for ash and moisture content in the sample

475

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