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1	A DFT/ TDDFT Mission to Probe Push-Pull Vinyl Coupled
2	Thiophene Oligomers for Optoelectronic Applications
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# 24 Abstract

Vinyl coupled thiophene oligomer (VCTO) is one of the active 25 components in organic solar cells. In the present study, VCTOs with various 26 acceptor groups (-CN, -NO<sub>2</sub> & -COOH) have been considered and their 27 optoelectronic properties evaluated using DFT/TDDFT calculations. Totally 17 28 VCTOs including 3 already reported, have been considered. The computed 29 results reveal that the reference VCTOs (VCTO1, 2 & 3) can be used as 30 possible electron transport materials and newly designed VCTOs are found to 31 be promising hole transport materials. Among these, VCTO4b is found to show 32 lower band gap whereas VCTO3c has higher band gap. Further the study 33 explores the role of donor and acceptor groups on the band gap, ionization 34 potential, electron affinity, exciton binding energy and light harvesting 35 36 efficiency of these VCTOs. The spectral analysis shows that modelled VCTOs have a strong  $n \rightarrow \pi^*$  transition while the reference VCTOs found to show 37 predominant  $\pi \rightarrow \pi^*$  transition. In summary, 9 out of 14 designed VCTOs are 38 found to show better optoelectronic properties than their reference molecules. 39

- 40
- 41 Keywords: TDDFT, Thiophene, Push-Pull, NLO, NBO
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# **1.0 Introduction:**

The design and development of renewable energy sources using organic 47 solar cells (OSC) in recent years attract considerable interest due to increasing 48 energy demand<sup>1-7</sup>. Owing to large surface area and porous structure, metal 49 organic framework (MOF) and covalent organic framework (COF) has been the 50 subject of research in gas storage and catalysis<sup>8-12</sup>. Quantum chemical 51 calculations have been used to understand not only the nature of MOFs/COFs 52 (both in ground and excited states) but also the character of bands.<sup>13-20</sup> A unique 53 blend of optical and electronic properties of them has led to associating them in 54 the areas of photo catalytic, photovoltaic and electrochemical devices<sup>21, 22</sup>. The 55 OSC research is currently undergoing rapid growth due to its cost effective 56 production by vacuum processing on flexible substrates and higher power 57 conversion efficiency (PCE) of 10.7% in an active area of 1.1cm<sup>2, 23</sup>. Typically, 58 in solution processed solar cells, with nano phase separated blend of a 59 semiconducting polymer as the donor and soluble fullerene as the acceptor 60 affords PCE exceeding 8%<sup>24</sup>. In this context, OSC made from  $\pi$ - conjugated 61 oligomer or dye appears as one of the promising materials in the conversion of 62 solar energy into electricity. The intensive research effort to prepare conjugated 63 poly heterocycles by electro polymerisation was originated in  $1979^{25}$ . Poly 64 pyrrole was prepared using pyrrole by electro polymerisation method<sup>25, 26</sup>. Later 65 on this was extended to thiophene<sup>27-29</sup>, furan<sup>30</sup>, indole<sup>31</sup>, carbazole<sup>32</sup>, benzene<sup>33</sup> 66 and fluorene<sup>34</sup>. Among these poly heterocycles, thiophene oligomer is one of the 67

subjects of considerable interest due to its simple structure and they were used
as models<sup>35-40</sup>, to study electronic properties of poly thiophene<sup>41-47</sup>. So, the uses
of thiophene based molecules are inevitable in the material fabrication for solar
cells<sup>48</sup>. Recent developments in small molecule organic solar cells (SMOSC)
mainly use these conjugated oligomers<sup>49</sup>.

Recently a series of  $\pi$ - conjugated oligomers were synthesized by Peter 73 Bauerle et al.<sup>23</sup> and reported to have high PCE of 6.9%. They have synthesized 74 a novel series of  $\pi$ -conjugated vinyl coupled thiophene oligomer (VCTO), 75 which is an electron withdrawing molecule, containing -CN group on either 76 side of the molecule for photovoltaic (PV) applications<sup>23</sup>. It is well known that 77 thiophene oligomers have excellent conjugation, planarity and rigidity in the 78 ring but are flexible for tuning their structure for better Photo Voltaic 79 performance. This prompted us to substitute various electron releasing and 80 withdrawing groups and screen various VCTOs for their optoelectronic 81 properties. Particularly, the role of electro releasing group, electron withdrawing 82 group and  $\pi$ -bridge on their optoelectronic properties was analyzed using 83 modern computational tools. A set of molecules have been designed with 84 electron releasing group and electron withdrawing group at the terminal end of 85 VCTOs and their suitability for better optoelectronic applications are examined. 86 Their properties have been computed and compared with available experimental 87 results. 88

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89	In this work, the structure and properties of VCTOs have been
90	systematically analyzed via quantum chemical calculations using density
91	functional theory (DFT) and time dependent density functional theory (TDDFT)
92	methods. The optimized geometries, electronic properties, frontier molecular
93	orbital analysis (FMO), energy gap, ionization energy (IP), electro affinity
94	(EA), light harvesting efficiency (LHE), excitation binding energy $(E_b)$ ,
95	hyperpolarizabillity( $\beta^{o}$ ) and charges have been calculated and analyzed. The
96	NBO analysis helps to ascertain the most stable donor-acceptor interaction in
97	these molecules and the effect of solvation has been studied using Polarizable
98	Continuum Model (PCM) calculations. The results are discussed one by one
99	here.

### **2.0 Computational Details** 100

The structures of various thiophene oligomers chosen for the work are 101 shown in figure 1. B3LYP<sup>50, 51</sup> is known to perform well for most of the 102 organic molecules and therefore the same is adopted here<sup>52-54</sup>. The ground state 103 geometries of the molecule have been optimized using hybrid exchange-104 correlation B3LYP functional with the 6-31g (d) basis set. All stationary points 105 have been confirmed as minima in the potential energy surface (PES) by 106 frequency analysis. The computed and experimental values for bond length and 107 angles are in agreement which emphasize the reliability of DFT method [SIT1]. 108 109 First order hyper polarizability has been computed to check the NLO response

of the molecules. The first order hyper polarizability ( $\beta_0$ ) has been calculated at the 6-31g (d) level. From this  $\beta_{total}$ , a scalar quantity can be computed as reported earlier<sup>27, 54, 55</sup> from the X, Y and Z components of  $\beta$  using equation (1).  $\beta_{tot} = (\beta_x^2 + \beta_y^2 + \beta_z^2)^{1/2}$  .....(1)

114 Where

115 
$$\beta_x^2 = (\beta_{xxx} + \beta_{xyy} + \beta_{xzz})^2$$

116  $\beta_y^2 = (\beta_{yyy} + \beta_{yzz} + \beta_{yxx})^2$ 

117 
$$\beta_z^2 = (\beta_{zzz} + \beta_{zxx} + \beta_{zyy})^2$$

Time dependent density functional theory (TDDFT) has been employed 118 here to calculate the excitation energy, absorption wavelength, oscillator 119 strength on the ground state optimized geometries at 6-31g (d) level<sup>56</sup>. Peter 120 Bauerle *et al.* have reported the absorption spectra in dichloromethane (DCM) 121 medium for VCTO 1, 2, 3 and TDDFT calculations have been performed at 122 M062X level in the same medium. Polarizable Continuum Model (PCM) has 123 been used to find the excitation energy in the solvent medium<sup>57</sup>. The ionization 124 potential (IP) and electron affinity (EA) have been calculated according to 125 Koopman's theorem<sup>58</sup>. Further NBO analysis<sup>59-61</sup> has been done at B3LYP/6-126 31g (d) level to find the most stable ground state interactions in these molecules. 127 All calculations have been carried out using the Gaussian 03 and 09 suites of 128 programs<sup>62</sup>. 129

# **3. RESULTS AND DISCUSSION**

In the present study, a total of 17 asymmetric VCTOs including three 131 (VCTO1, VCTO2 & VCTO3) experimentally reported have been considered 132 (figure 1) and they are taken as reference for validation. The chosen candidates 133 fall into four categories, first (VCTO1) being the 5 alternate thiophene ring 134 having methyl groups at 1<sup>st</sup> and 5<sup>th</sup> ring. The second category (VCTO2) of 135 oligomer is taken in such a way that, it has no substitution on 5 thiophene rings, 136 137 and this can be used to check effects of methyl substitution. The third category (VCTO3) have only 1 thiophene ring and this can be used to analyze the effect 138 of  $\pi$  conjugation, with increase in thiophene rings. VCTO4 type candidates have 139 been constructed using  $-N(CH_3)_2$  as donor group. Especially VCTO4ew 140 141 (electron withdrawing group) and VCTO4er (electron releasing group) has been designed to check the contribution of electron withdrawing group and electron 142 releasing groups towards their optoelectronic properties. This may offer a 143 valuable clue to design more efficient newer molecules. All the three parent 144 molecules (VCTO1, VCTO2 & VCTO3) have four -CN groups (figure 1) 145 attached on either side of their vinyl moiety. Diverse structural features of these 146 VCTOs prompted us to study how various substitutions alter the photo-physical 147 properties of these VCTOs. Therefore, fourteen new VCTO derivatives have 148 been designed with various substitutions as shown in figure 1 where 'a' 149 represents -CN substitution **b** & **c** denotes -NO<sub>2</sub> and -COOH substitutions 150

respectively. The parent molecules do not have electron push-pull character as both the sides have -CN groups; it would be interesting to substitute electron donor group at one end of the molecule and examine its push-pull character. In the literature dimethyl amine<sup>54</sup> is found to act as a good donor group and the new molecules have been designed [**Figure 1**].

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# 3.1 Ground state geometries:

The optimized ground state geometries of VCTOs are given in SIF1 157 (Supporting Information Figure 1). The important geometrical parameters are 158 listed in table SIT1. The computed and experimental bond parameters are in 159 good agreement. For instance, C-S bond length in VCTO3 is1.745Å and the 160 calculated value is 1.744Å, C=C bond length is 1.366Å whereas calculated C=C 161 bond length is 1.370Å which emphasize the reliability of choice of B3LYP here. 162 163 To understand the delocalization of  $\pi$ -electrons, computed bond parameters are presented in figure 2. From the figure 2, it is observed that C-C, C-S, C-N are 164 all well within their single and double bond limits respectively. This indicates 165 the presence of extended  $\pi$  conjugation in these VCTOs. The C-C-C angle 166 between each thiophene rings varies from 124-131°. The twist angle for all 167 VCTOs lies from 153-179°. After validating the DFT method, the role of 168 electron withdrawing and releasing groups on bond parameters were analysed. 169 For example, in VCTO4ew, where there is no electron releasing group, the 170 vinylic  $C_{32}=C_{39}$  bond length is 1.34 Å meanwhile, vinylic  $C_{10}=C_{11}$  near the 171 electron withdrawing group experiences an elongation to 1.37Å. Moreover, in 172

<sup>173</sup> VCTO4er, the same vinylic carbon near electron releasing group displayed an <sup>174</sup> extended bond length of 1.37Å and  $C_{10}=C_{11}$  near the pull group showed bond <sup>175</sup> length of 1.34 Å. This shows that when the vinylic carbon is substituted by <sup>176</sup> electron withdrawing and electron releasing groups, the bond length was <sup>177</sup> stretched considerably which resulted in single and double bond character and <sup>178</sup> make extended conjugation in VCTOs.

# **3.2 Frontier Molecular analysis:**

The characterization of chemical reactivity and kinetic stability of a 180 molecule could be made using Frontier Molecular Orbital (FMO) analysis<sup>54, 63,</sup> 181 <sup>64</sup>. Highest Occupied Molecular Orbital (HOMO), Lowest Unoccupied 182 Molecular Orbital (LUMO) and HOMO-LUMO energy gap gives qualitative 183 information about charge transfer interaction that occurs within a molecule<sup>27, 54,</sup> 184 <sup>63, 64</sup>. In order to predict the optical and electronic properties of these VCTOs, 185 the HOMO/LUMO levels were calculated and listed in supporting information 186 (SIT2) and shown in figure 3. It is well known that the electron and hole 187 transport materials should have suitable HOMO/LUMO levels in order to 188 transport electron and hole from the respective electrodes into the emitting layer 189 of OLED. Thereby controlling the HOMO and LUMO levels resulted in 190 molecules with desirable charge transport properties. 191

HOMOs are observed in the range of -7.11eV to -4.31eV and LUMOs in the range of -4.08eV to -1.57eV (**Figure 3**). The calculated band gap for the reported VCTOs (VCTO 1, 2 & 3) are 2.32eV, 2.23eV and 3.03eV respectively.

It is important to note that VCTO1 ( $R = -CH_3$ ) has 0.09eV higher than VCTO2 195 (R=H). This shows that methyl substitution has minimum contribution towards 196 the FMOs. Out of 14 designed VCTOs, six VCTOs are found to have band gap 197 lower than that of VCTO2 (2.23eV). From the figure 3, it is clear that 198 VCTO4b has lowest band gap (1.50eV) whereas the VCTO3c shows largest 199 band gap (3.16eV). In VCTO1 group, VCTO1b is found to show 0.24eV lower 200 than VCTO1. It is interesting to see that though VCTO1c has higher HOMO (-201 4.63eV), still it shows largest band gap due to its higher LUMO (2.20eV). Thus 202 the increasing order of band gap in this VCTO1 group can be listed as VCTO1b 203 < VCTO1 < VCTO1a < VCTO1c. The HOMO of VCTO2 group of molecules 204 lie over a range of -5.64eV to -4.86eV. The HOMO-LOMO energy gap of 205 VCTO2c is found to be maximum (2.42eV) and is minimum (2.15eV) for 206 207 VCTO2b. Four out of five molecules (VCTO4a, 4b, 4c & 4ew) in VCTO4 group is found to show reduced band gap than VCTO2. VCTO4er is found to 208 have higher band gap 2.51eV than the rest in VCTO4 group. This shows that the 209 acceptor group is very important in the band gap reduction in these molecules. 210 VCTO4b and VCTO1b differ only one donor and acceptor group ( $-NO_2 \& -$ 211 N(CH<sub>3</sub>)<sub>2</sub> group and this additional donor and acceptor group in VCTO4b plays a 212 major role in bringing down the HOMO-LUMO energy gap from 2.08eV to 213 1.50eV. When comparing VCTO1a and VCTO4a, HOMO is elevated to -4.49 214 from -4.67 while LUMO lowers to -2.78eV from 2.31eV. it is evident that the 215 additional donor and acceptor groups is playing vital role in altering the FMOs. 216

217	By looking at the structure of VCTO4s (figure 1), this group can be classified
218	into three categories; VCTO4er (donor alone), VCTOew (acceptor alone) and
219	VCTO4a, 4b & 4c (Donor- $\pi$ -Acceptor). The increasing band gap order in
220	VCTO4s is as follows, VCTO4b < VCTO4a < VCTO4c < VCTOew <
221	VCTO4er. This shows that Donor- $\pi$ -Acceptor molecules are superior to
222	Acceptor- $\pi$ -Acceptor (VCTO1, VCTO2 & VCTO3) type molecules.

The Frontier Molecular Orbitals (LUMO+1, LUMO, HOMO & HOMO-223 1) of all 'b' molecules of VCTOs (VCTO1b, 2b, 3b & 4b) are given in figure 4. 224 The complete FMOs of all the VCTOs are deposited in SIF2-SIF5. From the 225 figure, it is clear that HOMO and LUMO of VCTO3b is evenly localized on the 226 entire molecule. This ensures the HOMO $\rightarrow$ LUMO transition in VCTO3b is due 227 to  $\pi \rightarrow \pi^*$  transition. In contrast to the HOMO of VCTO1b, 2b & 3b is mainly 228 229 localized by the donor and  $\pi$ -bridge units whereas LUMO is largely stabilized by the acceptor groups and few thiophene units. This indicates that HOMO  $\rightarrow$ 230 LUMO transition arises from intra-molecular charge transfer character (IMCT). 231 Meanwhile, the experimentally reported VCTOs (VCTO1, 2 & 3) exhibits a 232 typical  $\pi - \pi^*$  transition (SIF2-SIF4). This shows that the introduction of 233 electron releasing group on VCTOs made HOMO $\rightarrow$ LUMO transition as IMCT. 234 This IMCT character of HOMO  $\rightarrow$ LUMO transition is reflected in absorption 235 maxima ( $\lambda_{max}$ ) which is discussed in section 3.7. 236

To gain further insights from these FMOs, energetics of the HOMOs and LUMOs are analyzed using QMForge<sup>27, 54, 64</sup> and the results are summarized in

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table 1. The whole molecule is segmented into four fragments namely, 239 thiophene rings ( $\pi$ -bridge), N,N-Dimethyl amine (Donor), -CN,-NO<sub>2</sub>,-COOH 240 (Acceptor) & methyl groups at thiophene ring (Methyl) and their corresponding 241 compositions are computed. From the table, it is clear that both the HOMO and 242 LUMO of VCTO1 is found to get 87% & 79% from the  $\pi$ -bridge unit 243 respectively. Only 1% of contribution is from methyl group towards HOMO 244 and LUMO of VCTO1 and this is also reflected in the FMOs (SIF2).  $\pi$ -bridge 245 is contributing nearly ~50% towards HOMO & LUMO in VCTO3s and this is 246 due to lesser number of thiophene units in this group. The contribution of donor 247 in VCTO3s is the highest (~35%) among the VCTOs. The lowest band gap 248 VCTO4b gets 27% from the donor towards HOMO and its contribution is 249 reduced to less than 1% in LUMO. But contribution of acceptor unit raises 250 251 from 1% to 34% moving from HOMO to LUMO in VCTO4b. As expected the donor contributes 24% towards HOMO in VCTO4er while acceptor contributes 252 21% towards the stabilization of LUMO in VCTOew. Invariably in all the 253 molecules, the  $\pi$ -bridge predominantly contributes to HOMO & LUMO (43% to 254 93%). Except VCTO3s, all the other VCTOs, the contribution of  $\pi$ -bridge is at 255 least twice higher than corresponding donor and acceptor. On the whole, this 256 study implies the fact that  $\pi$ -bridge needs to be substituted by electron releasing 257 groups to alter the HOMO while LUMO can be altered by substituting electron 258 withdrawing groups. Thus fragment analysis, sheds light on how to alter 259

HOMO and LUMO contributions by making suitable substitution on the  $\pi$ bridge.

# 262 **3.3 Dipole moment:**

The dipole moment calculations give a clear picture of the electronic 263 charge distribution in the molecule. So it is intended to analyse and compare the 264 dipole moment  $(\mu)$  in gas phase and solution phase (dichloromethane medium, 265 DCM) of the VCTOs considered here (table 2). The highest dipole moment ( $\mu$ 266 in Debye) in gas phase is observed for VCTO4b (16.94D) VCTO3 & VCTO3c 267 who have wide band gap have lower dipolemoment (4.41D). Table 2 shows that 268 VCTOew and VCTOer are found to show large (6.63D) difference in 269 dipolemoment. This shows that electron withdrawing group enhances the 270 dipolemoment of VCTOs. VCTO2 has dipolemoment of 9.37D which is twice 271 as that of VCTO3 (4.41D). This is due to the presence of long  $\pi$ -bridge 272 (thiophene units) in VCTO2. It is noteworthy that solvation increases the 273 dipolemoment upto 7.11D (Table 2). Solvation has least effect (0.8D) on the 274 dipolemoment of VCTO2c whereas difference of 7.11D is observed for 275 VCTO3 while moving from gas to solution phase. In general, the dipolemoment 276 of reference molecules (VCTO1, 2 & 3) are largely affected by solvation. But 277 the dipolemoment of all the carboxylic acid derivates (VCTO1c, 2c, 3c & 4c) 278 are found to show less response (~1D) to solvation. Among the designed 279 VCTOs, highest dipolemoment of 21.20D is exhibited by VCTO4b whereas 280

only 5.39D is observed for VCTO3c. In general the calculated dipolemoments
are in harmony with the calculated HOMO-LUMO energy gap.

283 **3.4 NLO properties:** 

The NLO response of whole molecule has been made by individual contribution of basic molecular unit in an organic material. Study on NLO activity emphasized a third rank tensor called first-order hyper polarizability ( $\beta_0$ ). It can be described by a 3x3x3 matrix. This 3D matrix with 27 components can be reduced to 10 components due to kleinman symmetry<sup>65</sup>. It is aimed to analyse and compare the electronic effects on  $\beta_0$  of VCTOs and the results are summarized in **table 2**.

The calculated  $\beta_0$  of VCTO4s, follows the increasing order as VCTO4er 291 < VCTO4ew < VCTO4c < VCTO4a < VCTO4b. It is important to note that 292 293 there is an excellent agreement with the computed NLO response and the computed band gap. For example, the lowest band gap VCTO4b is found to 294 show high hyper polarizability (3278.83esu) whereas the wide band gap 295 VCTO3c has a very low hyper polarizability of 43.69esu. It is evident from the 296 table 2, the first order hyper polarizability of VCTO1 & 2 are much lower than 297 their designed candidates. For instance, VCTO2 has 44.8esu and 963.55esu is 298 observed for VCTO2b. This shows that D- $\pi$ -A type is better than A- $\pi$ -A type 299 molecules. It is interesting to note that VCTO3s have a very low 300 hyperpolarizability values (upto  $\sim 19$  esu) even after the introduction of donor or 301 acceptor. This suggests that  $\pi$ -conjugation is important in these systems for 302

enhanced NLO property. The relationship between band gap and hyper 303 polarizability ( $\beta_0$ ) has been evaluated using correlation coefficient parameter 304  $(R^2)$  by quadratic fitting equation. About 99% correlation was achieved in  $\beta_0$ 305 against band gap. This linear trend has shown in figure 5. Earlier study<sup>66</sup> 306 indicates,  $\beta_0$  is inversely related to transition energy ( $\Delta E$ ). Accordingly, 307 VCTO4b, with minimum transition energy of 2.28eV showcased a highest  $\beta_0$ 308 value of 3278.83 X  $10^{-30}$ esu. A higher magnitude of  $\beta_0$  and dipole moment is 309 essential for pronounced NLO activity and the present study clearly illustrates 310 that the D- $\pi$ -A VCTOs are the best choice of material for NLO application than 311 the electron withdrawing type of molecule. 312

# 313 **3.5 Conducting properties:**

The ability to transport charge, its injection and their balance always 314 315 associated with the performance of optoelectronic compounds. Therefore it is essential to investigate global reactivity descriptors such as Ionization potential 316 (IP), electron affinity (EA) and exciton binding energy  $(E_b)$  to evaluate transport 317 ability of these VCTOs (**Table 3**). The IPs and EAs can be expressed through 318 HOMO and LUMO orbital energies according to Koopman's theorem<sup>58</sup>. It is 319 well known that in OLEDs, lower IP leads to easy injection of holes from the 320 hole transport layer (HTL) and higher EA paves the way for the easy injection 321 of electrons from electron transport layer (ETL). From table 3, it is clear that 322 the reference VCTOs (VCTO1, 2 & 3) found to show high IPs than the 323 designed VCTOs. The increasing order of IPs in reference VCTOs follows as 324

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VCTO1< VCTO2< VCTO3. This shows that these VCTOs are found to have poor hole transport character. But all the designed VCTOs are found to show lower IPs than the reference molecules. Among D- $\pi$ -A molecules, all the carboxylic acid derivatives found to have low IPs than their corresponding cyano and nitro derivatives. The calculated EA values suggest that carboxylic acid derivatives have lesser EA than that of designed VCTOs. This shows that these carboxylic acid derivatives are good hole transport materials. It is important to note that VCTO4ew has high EA value than that of VCTO4er. This shows that EW group improves the electron transporting character while

shows that EW group improves the electron transporting character while
VCTOer has the least IP value among all the VCTOs suggesting that ER group
increases the hole transport properties. Thus the donors and acceptors alter the
IPs and EAs significantly.

337 Exciton binding energy  $(E_b)$  is used to estimate the energy conversion efficiency of a molecule. Hence the exciton binding energy has been calculated 338 as reported earlier<sup>67</sup>. The E<sub>b</sub> values reported in table 3 suggests that the 339 reference VCTOs have nearly the same value, -0.10, -0.10, -0.11eV higher than 340 that of designed VCTOs. This tells that D- $\pi$ -A type molecules found to have 341 better energy conversion efficiencies than A-  $\pi$ -A type molecules. The energy 342 conversion efficiencies are in good agreement with the calculated FMO 343 energies. For instance the low band gap VCTO4b has the least E<sub>b</sub> of -0.78eV 344 and the wide band gap VCTO3s have higher E<sub>b</sub>. This shows lower the HOMO-345 LUMO gap, lower the  $E_b$  and higher the energy conversion efficiency. 346

347 **3.6 Charge analysis:** 

The NBO charges help to identify distribution of charge in the 348 molecule<sup>61</sup>. Moreover the charge transport ability of a molecule depends on the 349 magnitude of charge on the different segments of a molecule. Therefore NBO 350 charges have been calculated on donor and acceptor moieties of VCTOs (SIF6) 351 and the results are shown in figure 6 & SIT3. Before going into the discussion, 352 it is important to recall that a good charge transport molecule should have more 353 positive charge on donor moiety which can be readily available for donation. 354 Similarly the acceptor moiety should accept the donated charges and become 355 more negative. This facilitates the transportation of charge throughout the 356 molecule. From the Figure 6, it is clear that all the donors have more positive 357 358 charges. Especially VCTO3a has the largest (+0.336) among all the VCTOs. As expected, the acceptors have more negative charges (from -0.151 to -0.692). 359 Especially D- $\pi$ -A molecules have high positive and negative charges on either 360 ends. This suggests that charge is readily moved from donor to acceptor thereby 361 making these molecules to have a high charge transport character. At the same 362 time, VCTO4er and VCTO4ew has positive and negative charges on either 363 ends respectively. This shows that these two molecules have relatively less 364 charge transport character than other VCTO4s. 365

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# **369 3.7 Electronic absorption spectra**

For a molecule to display good NLO property it has to show good 370 absorption and emission properties<sup>54</sup>. TDDFT calculations have been performed 371 to compute excited state properties<sup>68-71</sup> and to get electronic vertical singlet 372 excitation energies in gas phase. In the literature, different functionals have 373 been reported for the calculation of absorption spectra of organic molecules<sup>72-74</sup>. 374 Therefore, it is essential to use appropriate functional that gives accurate 375 predictions of absorption spectra. In order to identify the more reliable method 376 to predict the absorption spectra of these VCTO derivatives, different 377 functionals (B3LYP, B1LYP, CAM-B3LYP, PBE and MO62X) have been 378 tested by comparing with the available experimental results (SIT4). From the 379 computed results, it is clear that the  $\lambda_{max}$  estimated at MO62X/6-31g(d) level 380 agrees well with the experimentally observed absorption maxima (see table 381 SIT4). Therefore the M062X functional is used for TDDFT calculations 382 throughout the study. 383

The calculated vertical transition energies ( $\Delta E$ ), oscillator strength (f), transition assignments and their percentage of various configurations to the excitations are summarized in **table 4**. The  $\lambda_{max}$  value displayed in **table 4** is the most intense band that can be assigned to  $S_0 \rightarrow S_1$  transition. The computed absorption maxima for all these VCTOs lie in the range of 360-545nm which implies that their emission will be at still higher wavelengths making them suitable for optoelectronic applications. From the table it is clear that the

absorption of these systems can be shifted to longer or shorter wavelengths by 391 by substituting ER and EW groups in the skeleton. As expected, lowest band 392 gap VCTO4b has the longest wavelength absorption (545nm). This arises due to 393 64% & 19% of contributions from HOMO→LUMO and HOMO-1→LUMO 394 respectively. It is evident from the data presented in table 4 that VCTO3s have 395 predominant HOMO $\rightarrow$  LUMO contributions (>97%) for their intense band and 396 are due to  $\pi$ - $\pi$ \* transitions. But other VCTOs (VCTO1, 2 & 4 group of 397 molecules) found to show both  $\pi$ - $\pi$ \* transitions and intramolecular charge 398 transfer character. In VCTO2s, all the molecules found to have absorption 399 wavelength of more than 400nm with VCTO2b & VCTO2 as highest and 400 lowest the  $\lambda_{max}$  respectively. The substituent EW groups has a large influence on 401 the absorption spectrum than ER group. For instance, VCTO4ew has the  $\lambda_{max}$  at 402 476 nm VCTO4er  $\lambda_{max}$  has it at 444nm. 403

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# **3.8 Effect of solvent on UV absorption spectra:**

The solvent effect on the absorption spectrum of VCTOs is investigated by performing PCM calculations with dichloromethane as solvent. The PCM calculations have been identified as the most successful model for describing solvent effect in DFT and TDDFT calculations.<sup>75, 76</sup>. The results of the calculations for ten lowest lying excited states were calculated on VCTOs and their important excitation wavelength along with their oscillator strength are shown in **table 5**. It is interesting to note that the calculated absorption maxima

of experimentally reported VCTOs are in good agreement with the experimental 413  $report^{23}$ . The measured results show that the molecules show a shift in the 414 absorption spectra upon solvation (15-117nm). Especially VCTO2 is found to 415 show a shift from 415nm to 532nm. But solvation has little effect on VCTO2a 416 & 2c where they have a shift of only 15nm upon solvation. The 417 HOMO→LUMO configurations of VCTO1 contributes 83% to absorption at 418 513nm which is 109nm higher than that of in gas phase. The transition arising 419 from  $S_0 \rightarrow S_1$  is the most intense band in all VCTOs. All the VCTOs found to 420 show positive solvatochromism yet the overall trend in the absorption remains 421 the same in both gas and solvent phases. Light Harvesting Efficiency (LHE), 422 another interesting property, is calculated and results are given in table 5. It is 423 important to note that the LHE varies from 0.85 to 0.99 which is closer to 424 425 1. This shows that all the VCTOs are found to have good light harvesting character. 426

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# 428 **3.9 NBO analysis:**

The NBO analysis is a powerful tool that reveals the nature of electronic interactions and of electronic structure properties, which are solely responsible in deciding the chemical entity of the molecules<sup>27, 54, 61</sup>. The properties are Lewis donor, non Lewis acceptor, orbital energy, % electron density (%ED), stabilization energy (E2) and the energy splitting ( $E_j$ - $E_i$ ) between Lewis and non Lewis structures. The energy gap between Lewis bonding orbital and non Lewis

anti bonding orbital decides the feasibility of interaction between filled donor and acceptor moieties. The % ED of the Lewis donor and non Lewis acceptor involved in hyper conjugative interaction, orbital energy, and stabilization energy has been computed using second order perturbation approach<sup>61</sup> at the B3LYP/ 6-31g (d) level and the results are displayed in **table 6**. The interactions between occupied and unoccupied levels of VCTO1s are depicted in **figure 7** and the same for other systems are collected in **SIF7-SIF10**.

As seen from the table, the stabilization energy is high for VCTO (1b, 2b, 442 3b and 4c) and increases in the following order VCTO3b > VCTO4b > 443 VCTO1b > VCTO2b. The calculated % of electron density distribution (ED) on 444 non-Lewis moieties in VCTO2a is 48.44 (C<sub>13</sub>)-51.56 (C<sub>14</sub>). Though several 445 types of electronic interactions are present between occupied and unoccupied 446 levels the most dominant stabilization comes from  $n \rightarrow \pi^*$  and  $\pi \rightarrow \pi^*$  in VCTOs. 447 The lone pair (LP) of N, S and O donate electrons to anti bonding  $\pi^*_{C-C}$ ,  $\pi^*_{C-O}$ , 448  $\pi^*_{N-\Omega}$  orbitals. The second order stabilization (39.86 kJ/mol) in VCTO1a is due 449 to lone pair localized on N<sub>54</sub> and  $\pi^*_{C11-C18}$ . The computed second order 450 perturbation analysis conducted on VCTOs, clearly conveys the presence of 451 most stable orbital overlap between donor and acceptor fragments. Especially 452 all the nitro derivative VCTOs are found to show large second order 453 perturbation interactions than that of other VCTOs (Table 6). 454

The present studies show that 9 out of 17 VCTOs have promising optoelectronic properties than their corresponding reference VCTO. Among the designed VCTOs, VCTO4b is found to show excellent optoelectronic properties with low band gap (1.50 eV), high wavelength of absorption (576 nm), displayed a remarkable NLO property ( $\beta_0$ =3278.83 esu), and would be a best bet for future optoelectornic applications.

- 461
- 462 **4.0 Conclusions**

Totally 17 VCTOs, 3 of them reported by Peter Baurele et al and 14 463 newly designed here, have been studied using DFT/TDDFT methods, in order 464 to sort candidates with best optoelectronic properties. Computed results show 465 that experimentally synthesized, acceptor- acceptor type VCTOs have low band 466 gap and the designed candidates have high wavelength absorption. VCTO4b is 467 found to be the best candidate with low band gap whereas VCTO3c is to be the 468 469 poor candidate. Further VCTO4b possesses high wavelength of absorption, high  $\beta_0$  value and high dipole moment. HOMO-LUMO analysis reveals that -NO<sub>2</sub> 470 group is playing a major role in stabilizing the LUMO compared to other 471 acceptors (-CN & -COOH). The reference VCTOs showed  $\pi \rightarrow \pi^*$  transition 472 while, designed VCTOs displayed  $n \rightarrow \pi^*$  transition with IMCT character. The 473 exciton binding energy was less for designed VCTOs and exhibits a huge light 474 harvesting efficiency than the reference molecules. The electronic stabilization 475 of the molecule was understood by NBO analysis. Further this study reveals that 476 the reference VCTOs can be better electron transport material while designed 477 VCTOs are hole transport material, which leads to the point that donor-478

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acceptor substitution in the skeleton can convert a electron transport material
into hole transport material. Overall, the present study rationalizes the optical
property of VCTO can be tuned by suitable substitution to achieve remarkable
optoelectronic properties.

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**6.0 Supporting Information:** 

491 XYZ coordinates, bond parameters, NBO analysis, HOMO-LUMO energies are
492 available in the supporting information.

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637	Figure captions;
638	Figure 1 Structure of the designed and reference VCTO molecules.
639	Figure 2 Depiction of delocalization of electron in VCTOs from calculated C-C, C-N, C-S
640	bond length.
641	Figure 3 Frontier molecular orbital energy level diagram of designed VCTOs.
642	Figure 4 Frontier molecular orbitals of nitro derivatives of VCTOs.
643	Figure 5 Relationship between band gap and hyper polarizability ( $\beta_0$ ) from correlation
644	coefficient analysis.
645	Figure 6 NBO charges of VCTOs calculated on donor & acceptor moieties.
646	Figure 7 Illustration of second order perturbation interactions in VCTO1s from NBO
647	analysis.
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Molecules	Orbitals	π bridge	Donor	Acceptor	Methyl
	НОМО	86.94	0	11.51	1.55
VCT01	LUMO	79.38	0	19.61	1.01
	HOMO	75.91	20.88	0.88	2.33
VCTO1a	LUMO	83.13	0.45	15.34	1.08
	HOMO	75.26	21.47	0.90	2.37
VCTO1b	LUMO	66.11	0.20	32.67	1.03
	номо	77.51	19.10	1.06	2.33
VCTO1c	LUMO	83.91	0.54	14.44	1.11
	HOMO	87.62	0	12.38	-
VCTO2	LUMO	80.94	0	19.06	-
	HOMO	86.54	11.18	2.28	-
VCTO2a	LUMO	85.44	1.11	13.45	-
	HOMO	84.88	13.12	1.99	-
VCTO2b	LUMO	70.85	0.46	28.67	-
	HOMO	86.66	10.96	2.36	-
VCTO2c	LUMO	85.38	1.26	13.28	-
	HOMO	43.16	0	56.84	-
VCTO3	LUMO	55.40	0	44.60	-
	HOMO	46.71	32.32	20.97	-
VCTO3a	LUMO	56.76	18.85	24.40	-
	HOMO	48.88	35.24	15.88	-
VCTO3b	LUMO	44.35	14.02	41.63	-
	HOMO	49.22	35.94	14.84	-
VCTO3c	LUMO	53.55	18.11	28.34	-
	HOMO	89.13	5.22	3.61	2.04
VCTO4ew	LUMO	77.63	0.21	21.00	1.16
	HOMO	70.35	26.38	0.79	2.48
VCTO4a	LUMO	77.05	0.39	21.37	1.19
	HOMO	69.49	27.17	0.85	2.49
VCTO4b	LUMO	64.96	0.29	33.65	1.09
	HOMO	71.69	25.12	0.67	2.52
VCTO4c	LUMO	77.14	0.42	21.12	1.32
	HOMO	73.22	23.74	0.53	2.52
VCTO4er	LUMO	93.40	1.98	3.68	0.94

903

Table 1: Frontier Molecular Orbital composition (%) by various fragments of the VCTOs optimized at B3LYP/6-31g(d) level.

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9	2	5
ი	2	c

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		Dipole moment (Debye)		
38	Molecules	Gas phase	CH <sub>2</sub> Cl <sub>2</sub>	Hyperpolarizability (esu)
39	VCTO 1	12.16	16.13	50.93
	VCTO1a	10.35	12.21	676.3
10	VCTO1b	11.47	13.73	1083
	VCTO1c	6.61	7.59	595.05
1	VCTO2	9.37	13.23	44.8
-	VCTO2a	9.65	11.32	754.69
2	VCTO2b	11.19	13.42	963.55
<b>-</b>	VCTO2c	6.03	6.83	499.05
3	VCTO3	4.41	11.52	58.36
1	VCTO3a	12	15.95	55.5
+	VCTO3b	10.70	14.19	76.19
5	VCTO3c	4.41	5.39	43.69
	VCTO4ew	11.44	14.38	740.90
6	VCTQAa 3	15.43	18.70	2280.90
	VCTO4b	16.94	21.20	3278.83
7	VCTO4c	9.32	10.92	1242.28
	VCTO4er	4.81	5.63	411.48

Table 2: Dipole moment in gas phase, DCM medium and calculated Hyperpolarizabilty values at B3LYP/6-31g(d) level.

2.31

2.64

2.20

3.40

2.58

2.83

2.43

4.08

2.45

2.27

1.5

2.91

2.78

3.02

2.36

1.80

4.67

4.72

4.63

5.64

4.90

4.98

4.86

7.11

5.31

5.14

4.73

5.13

4.49

4.53

4.41

4.31

Е<sub>ь</sub>

-0.36

-0.50

-0.29

-0.10

-0.25

-0.35

-0.22

-0.11

0.13

0.10

0.08

-0.45

-0.67

-0.78

-0.55

-0.29

965	Molecules	IP	EA	
966				
900	VCTO 1	5.51	3.19	

VCTO1a

VCTO1b

VCTO1c

VCTO2

VCTO2a

VCTO2b

VCTO2c

VCTO3

VCTO3a

VCTO3b

VCTO3c

VCTO4ew

VCTO4a

VCTO4b

VCTO4c

VCTO4er

967	

9	968	
c	969	

- 978 979
- 980
- 981
- 982 983
- 984
- 985 986
- Table 3: Calculated ionization potential (IP), electron affinity (EA) and exciton binding energy (E<sub>b</sub>) at B3LYP/6-31g(d) level for VCTOs in eV.
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- 1006

Molecules	λ <sub>max</sub> (gas	s phase)		
	nm	eV	f	Transition assignments
VCTO 1	404	3.07	2.74	$H \rightarrow L (85\%)$
VCTO1a	361	3.44	2.39	$H \rightarrow L (62\%)$
VCTO1b				H → L (55%)
	462	2.69	2.04	H-1→ L (20%)
				$H \rightarrow L+1(18\%)$
VCTO1c	442	2.81	2.14	$H \rightarrow L (66\%)$
VCTO2	415	2.99	2.96	H→L (87%)
VCTO2a	468	2.65	2.29	H→ L (76%)
VCTO2b	475	2.61	2.20	H→ L (68%)
VCTO2c	455	2.73	2.30	H→ L (77%)
VCTO3	371	3.35	1.13	H→ L (99%)
VCTO3a	412	3.01	0.89	H→L (99%)
VCTO3b	399	3.11	0.94	H→L (97%)
VCTO3c	373	3.32	0.72	H→L (98%)
VCTO4ew	476	2.60	1.98	H→ L (74)
VCTO4a				H→L (60%)
	522	2.38	1.89	H-1→ L (21%)
VCTO4b	545	2.28	1.68	H→L (64%)
	545	2.20	1.08	H-1→L (19%)
VCTO4c				H→L (53%)
	476	2.6	2.14	H-1→L (21%)
				H→L+1(20%)
VCTO4er	444	2.80	2.00	H→ L (74%)

# 

1013Table 4: Absorption maxima ( $\lambda_{max}$ ), electronic transition energies ( $\Delta E$ ), oscillator strength (f), light harvesting efficiency (LHE) and<br/>transition assignments of VCTOs in gas phase from TDDFT calculations at MO62X/6-31g(d) level.

1029							
1030		Molecules	λ <sub>max</sub> (DC	$\lambda_{max}$ (DCM) f		f LHE	Transition assignments
1031			nm				
1032		VCTO 1	513(527)	2.42	2.64	0.997	H→L (83%) H-1→ L+1(11%)
1033		VCTO1a	455	2.72	2.31	0.995	$H \rightarrow L (58\%)$ H-1→L (20%) H→L+1(15%)
1034		VCTO1b	481	2.58	2.22	0.994	$H \rightarrow L (48\%)$ H-1 → L (24%) $H \rightarrow L+1(19\%)$
1035		VCTO1c	456	2.72	2.30	0.995	$ \begin{array}{c} H \rightarrow L \ (61\%) \\ H - 1 \rightarrow L \ (19\%) \\ H \rightarrow 1 + 1 (14\%) \end{array} $
1027		VCTO2	532 (530)	2.33	2.81	0.998	$H \to L (85\%)$ H-1→L+1(10%)
1037		VCTO2a	483	2.57	2.48	0.996	$\begin{array}{c} H \rightarrow L (76\%) \\ H \rightarrow L + 1(9\%) \\ H - 1 \rightarrow L(8\%) \end{array}$
1039		VCTO2b	495	2.5	2.40	0.996	$H \rightarrow L (65\%)$ $H \rightarrow L+1(15\%)$ $H \rightarrow L(15\%)$
1040 1041		VCTO2c	470	2.64	2.47	0.996	$ \begin{array}{l} H \rightarrow L (76\%) \\ H \rightarrow L + 1 (9\%) \\ H - 1 \rightarrow L (8\%) \end{array} $
1041		VCTO3	394(406)	3.14	0.91	0.878	H→L (99%)
1042		VCTO3a	456	2.72	1.03	0.907	H→L (98%)
		VCTO3b	449	2.76	1.07	0.916	H→L (96%)
1043		VCT04ew	402	3.08	0.83	0.852	H→L (9/%) H→L (73%)
1044		VC104ew	499	2.49	2.11	0.992	$\begin{array}{c} H \rightarrow L(75\%) \\ H - 1 \rightarrow L(15\%) \\ H \rightarrow L + 1(8\%) \end{array}$
1045		VCTO4a	544	2.28	2.10	0.992	$H \rightarrow L(55\%)$ H-1 $\rightarrow L(25\%)$ H $\rightarrow L+1(14\%)$
1046		VCTO4b	576	2.15	1.84	0.985	$H \rightarrow L+1(14\%)$ $H \rightarrow L(59\%)$ $H-1 \rightarrow L(24\%)$ $H \rightarrow L(24\%)$
1047		VCTO4c	496	2.50	2.29	0.994	$H \rightarrow L+1(8\%)$ $H \rightarrow L(48\%)$ $H - 1 \rightarrow L(23\%)$ $H \rightarrow L+1(21\%)$
1049		VCTO4 er	461	2.69	2.09	0.991	$H \rightarrow L(12\%)$ $H \rightarrow L(12\%)$ $H \rightarrow L(11\%)$ $H \rightarrow L + 1(0\%)$
1050		<u> </u>			1	I	Π <b>7</b> L+1(9%)
1051							
1052							
1053							
1054							
1055							
1056 1057	Table 5: Absorp           medium from TE	tion maxima (λ DDFT calculation	max), electron	ic transi X/6-31g	tion ene (d) leve	ergies (ΔI l.	E), oscillator strength (f) and

Molecules	Donor (i)	ED(i)	% ED	Orbital	Acceptor(j)	ED(j)	%ED	Orbital	E(2)	Ej-Ei
		(e)	Polarization	Energy(au)		(e)	Polarization	Energy(au)	kcal/mol	
VCTO1	$\pi (C_{9}C_{36})$	1.7076	57.10 C <sub>9</sub> -	-0.2516	π*(C32-C39)	0.2833	59.07C <sub>32</sub> -	0.0462	26.50	0.28
			42.90 C <sub>36</sub>				40.93 C <sub>39</sub>			
VCTO1a	LP(1) N <sub>54</sub>	1.7016	-	-0.2408	$\pi * (C_{11}-C_{18})$	0.2437	44 C <sub>11</sub> -	0.0544	39.86	0.30
							56 C <sub>18</sub>			
VCTI1b	LP(3) O <sub>64</sub>	1.4822	-	-0.2549	$\pi * (N_{63}-O_{65})$	0.6520	59.96N <sub>63</sub> -	-0.1153	154.88	0.14
							40.04 O <sub>65</sub>			
VCTO1c	LP(2) O <sub>65</sub>	1.8240	-	-0.3181	$\pi * (C_{63}-O_{64})$	0.2910	70.35 C <sub>63</sub> -	0.0188	44.79	0.34
							29.65 O <sub>64</sub>			
VCTO2	$\pi(C_{31}C_{39})$	1.7173	56.38C <sub>31</sub> -	-0.2971	$\pi * (C_{34}-O_{13})$	0.2787	58.85 C <sub>34</sub> -	-0.0079	26.10	0.29
			43.62 C <sub>39</sub>				41.15 O <sub>13</sub>			
VCTO2a	LP(2) S <sub>2</sub>	1.6710	-	-0.2607	$\pi * (C_{13}-C_{14})$	0.3685	48.44 C <sub>13</sub> -	0.0049	21.31	0.27
							51.56 C <sub>14</sub>			
VCTO2b	LP(3) O <sub>53</sub>	1.4781	-	-0.2578	$\pi * (N_{51}-O_{52})$	0.6508	59.88N <sub>51</sub> -	-0.1185	156.27	0.14
							40.12 O <sub>52</sub>			
VCTO2c	LP (2)O <sub>52</sub>	1.8232	-	-0.3210	$\pi * (C_{51}-O_{53})$	0.2880	70.21 C <sub>51</sub> -	0.0161	44.92	0.34
							29.79 O <sub>53</sub>			
VCTO3	LP(2) S1	1.6041	-	-0.2911	$\pi * (C_6 - C_7)$	0.3856	45.65 C <sub>6</sub> -	-0.0385	23.07	0.25
							54.35 C <sub>7</sub>			
VCTO3a	LP(1) O <sub>24</sub>	1.6634	-	-0.2718	$\pi * (C_2 - C_{11})$	0.2844	42.66 C <sub>2</sub> -	0.0151	49.71	0.29
							57.34 C <sub>11</sub>			
VCTO3b	LP(3)O <sub>24</sub>	1.4942	-	-0.2491	$\pi * (N_{23}-O_{25})$	0.6712	60.42N <sub>23</sub> -	-0.1076	146.51	0.14
							39.58 O <sub>25</sub>			
VCTO3c	LP(2) O <sub>25</sub>	1.8289	-	-0.3103	$\pi * (C_{23}-O_{24})$	0.3090	70.96 C <sub>23</sub> -	0.0237	43.86	0.33
							29.04 O <sub>24</sub>			
VCTO4ew	$\pi$ (C <sub>8</sub> -C <sub>15</sub> )	1.7050	57.23C <sub>8</sub> -	-0.2819	$\pi * (C_{11}-C_{18})$	0.2914	59.26 C <sub>11</sub> -	0.0014	27.02	0.28
			42.77 C <sub>15</sub>				40.74 C <sub>18</sub>			
VCTO4a	LP(1) N <sub>59</sub>	1.7564	-	-0.2575	$\pi * (C_{32}-C_{39})$	0.3297	43.13 C <sub>32</sub> -	0.0387	27.94	0.30
							56.87 C <sub>39</sub>			
VCTO4b	LP(3) O <sub>77</sub>	1.4807	-	-0.2701	$\pi * (N_{75}-O_{76})$	0.6335	59.01N <sub>75</sub> -	-0.1232	148.24	0.15
							40.99 O <sub>76</sub>			
VCTO4c	LP(2) O <sub>74</sub>	1.8092	-	-0.317	$\pi * (C_{72}-O_{73})$	0.2862	70.85 C <sub>72</sub> -	0.0133	48.59	0.33
							29.15 O <sub>73</sub>			
VCTO4er	LP(1) N <sub>55</sub>	1.7606	-	-0.2516	$\pi * (C_{28}-C_{35})$	0.3255	43.23 C <sub>28</sub> -	0.0462	27.62	0.30
	1	1				1	56.77 C <sub>35</sub>			1

Table 6: Stable NBO donor-acceptor interactions of VCTOs with its occupancy, %ED, and O.E obtained from B3LYP/6-31g(d)

calculations. E (2) is the energy of hyper conjugative interaction. ED is the electron density; Ej-Ei is the energy difference between donor (i)
 and acceptor (j) NBO orbitals.