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RSC Advances

1	Fluorofenidone-loaded PLGA microspheres for targeted
2	treatment of paraquat-induced acute lung injury in rats
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30 Abstract

31 Lung-targeting fluorofenidone (AKF) loaded PLGA microspheres 32 (AKF-MS) for the treatment of paraquat (PQ)-induced acute lung injury in 33 rats, were constructed by a solvent evaporation method. The microspheres' 34 morphology, size distribution, drug loading ratio, encapsulation efficiency, in 35 *vitro* release characteristics and tissue distributions in rats were systematically studied. Scanning electron microscopy shows the microspheres are spherical 36 37 and well dispersed. The average particle size is 18.1 µm with 90% of the microspheres being in the range of 7 to 30 µm. The encapsulation efficiency 38 39 (EE%) and drug loading ratio (DL%) are 80.2 \pm 2.5% and 8.2 \pm 1.9%, respectively. The *in vitro* drug release behavior of AKF-MS follows the 40 Korsmeyer-Peppas model: $Q=11.141 \cdot t^{0.292}$ (R² = 0.9797). The tissue distribution 41 42 shows that the drug concentrations in lung tissue for the AKF-MS/18.1 μ m suspension is significantly higher than those for the AKF solution and the 43 AKF-MS/3.9 µm, and the drug-targeting index for lung is 6.4 and 4.6-fold 44 higher than that of AKF solution and AKF-MS/3.9 µm, respectively. In 45 46 addition, AKF-MS/18.1 µm significantly reduced the circulating levels of 47 TNF- α and IL-1 β . Histopathological studies confirm that the AKF-MS treatment significantly reduced the edema and neutrophil infiltration, as well 48 as the lung interval damage. Taken together, the results of the present study 49 demonstrated that AKF-MS/18.1 µm improved the treatment efficacy of AKF 50 against PQ-induced acute lung injury, compared to other forms of AKF (AKF 51 52 solution and AKF-MS/ $3.9 \mu m$).

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54 Keywords: paraquat fluorofenidone acute lung injury PLGA
55 microspheres lung-targeting

57 1. Introduction

Paraquat (1, 1'-dimethyl-4, 4'-bipyridylium dichloride, PQ, Figure 1a) 58 59 [CAS number 1910-42-5], a type of bipyridylium quaternary ammonium herbicide, has been widely used in agriculture owning to its efficiency, 60 especially in developing countries.¹ However, PQ is highly toxic. Accidental 61 exposure to PQ can cause serious poisoning and the mortality rate is between 62 50% and 90%.² The high fatality of PQ is partly due to its inherent toxicity and 63 64 the lack of effective treatment. Aside from supportive care alone, current 65 PQ treatment of poisoning involves various combinations of 66 immune-modulation (cyclophosphamide, MESNA, methylprednisolone and 67 dexamethasone), anti-oxidant therapy (Vitamin Ε, Vitamin С, N-acetylcysteine, Salicylic acid and Deferoxamine), haemoperfusion and 68 69 haemodialysis.³⁻⁵ Nevertheless, the overall mortality remains high even in 70 hospital routinely practising such intensive treatment.

71 The lung is the main target organ of PQ. Nearly all PQ poisoning cases 72 lead to acute lung injury and, ultimately, acute respiratory distress 73 syndrome.⁶ PQ tends to accumulate in the lung tissue, and its pulmonary 74 concentration can be 6-10 times higher than that in plasma.⁷ The mechanism for this organ specificity is postulated to be associated with the active 75 76 polyamine uptake transport systems that concentrate PQ rapidly into the type 77 II epithelial cells of the alveoli.⁸ Generally, the mechanism of PQ toxicity 78 involves a redox cyclic reaction, which generates superoxide anions, singlet oxygen and other free radicals, resulting in the depletion of NADPH with the 79 80 production of oxygen free radicals.^{9, 10} The free radicals generated by the 81 oxidation of PQ can interact with membrane lipids leading to genetic overexpression of fibrogenic cytokines.⁸ The pathological changes induced by 82 83 PQ involve fibroblast proliferation and augmented collagen synthesis in the lung.¹¹ Therefore, the initiation of PQ-induced lung injury is critically linked 84 85 with pulmonary fibrosis. Accordingly, anti-fibrotic drug is promising for PQ -induced lung injury treatment. 86

Fluorofenidone (AKF), 5-methyl-1-(3-fluorophenyl)-2-[1H]-pyridone 87 88 (**Figure 1b**), is a novel but well validated anti-fibrotic drug.¹²⁻¹⁵ The 89 mechanism of AKF involves the down regulation of connective tissue growth factor (CTGF) expression induced by transforming growth factor (TGF-B1) 90 and the related signaling pathway.¹⁶ It is also suggested that AKF inhibits the 91 92 generation of reactive oxygen species (ROS) induced by AngII and mediates the corresponding tissue repair. Meanwhile, it greatly reduces the over 93 expression and activity of NADPH oxidase.¹² Thus, we hypothesized that 94 95 AKF might be a candidate for the treatment of PQ-induced acute lung injury. 96 Moreover, pyridine agents, such as pirfenidone [PD. 5-methyl-1-phenyl-2(1H)-pyridone], are effective in treating idiopathic 97 98 interstitial pneumonia, which can prevent and reverse tissue fibrosis in several organs (**Figure 1c**).^{17,18} With a similar structure as PD, we estimate that 99 100 AKF may also possess similar effects in preventing and reversing tissue 101 fibrosis.

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Figure 1 Chemical structure of PQ (a), AKF (b) and PD (c).

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However, preliminary studies indicated that AKF distributes widely in
the body after oral administration and the concentration in lung is very low.
In order to treat PQ-induced acute lung injury, targeted delivery of AKF is
important. Microspheres are ideal vehicles to meet this requirement. With
diameter ranges from 12 to 44 µm, microspheres are lung-targeting due to

mechanical trapping effect in pulmonary blood vessels.^{19, 20} This technique is
expected to increase the AKF concentration in lung and, thusly, maximize the
efficacy while minimize the potential adverse side effects.

In this work, AKF loaded microspheres (AKF-MS) were prepared and characterized in terms of morphology, particle size and *in vitro* release characteristics. The drug-targeting index (DTI) of AKF-MS was measured to evaluate the potential to be a targeted delivery system for drugs administrated intravenously. The pharmacodynamics study was undertaken to compare the efficacy of AKF-MS with AKF in prevention of lung injury and changing cytokine levels in acutely PQ poisoned rats.

121

122 **2.** Materials and methods

123 **2.1** *Materials*

AKF (purity>99%, Lot No. 070704) was synthesized in the School of 124 125 Pharmaceutical Sciences of Central South University, China. PQ was 126 purchased from Syngenta China Co., Ltd. PLGA (50 : 50, MW = 18 000) was 127 obtained from Jinan Dai Gang Biomaterial Co., Ltd. Polyvinyl alcohol (PVA) 128 was from Sigma Aldrich (MO, USA). Chloral hydrate was from Hecang 129 Chemical Co., LTD (Shanghai, China). TNF- α , IL-1 β and NF- κ B Elisa Kits 130 were purchased from Neobioscience Technology Co., LTD (China). All other 131 reagents of analytical and chromatographic pure grade were obtained from 132 Sinopharm Chemical Reagent Co., Ltd (Shanghai, China). Double deionized 133 water was purified using a Millipore Simplicity System (Millipore, Bedford, 134 MA).

135 2.2 Preparation of AKF loaded microspheres (AKF-MS)

The AKF-MS was prepared by the solvent evaporation method and was modified based on a previous study.²¹ Briefly, 15 mg of AKF was dispersed in 0.5 mL methylene chloride containing 50 mg PLGA by sonication. The resulting organic phase was added drop-wise to 5 mL with 2% PVA (w/v) solution, and then stirred at 300 rpm for 3 h to allow methylene chloride to

evaporate completely. The microspheres were collected and washed three
times with double deionized water, and were dried under vacuum for further
use. For AKF-MS/3.9 μm, the primary O/W emulsion was formed via
homogenization at 3200 rpm for 5 min before evaporation (EmulsiFlex-C3,
Avenstin, Canada).

146 2.3 Morphological characterization and particle sizing

The surface morphology of AKF microspheres was observed using 147 148 scanning electron microscope (Quanta 650 FEG, FEI, USA). The lyophilized 149 microspheres were mounted on metal stubs with an adhesive carbon tape, 150 sputter-coated with gold and examined under the microscope. The average 151 particle size and size distribution of the microspheres were measured by 152 PM3089-2002 Micro-plus laser particle size analyzer (Malvern Instruments 153 Ltd., Malvern, UK). For this analysis, the lyophilized microspheres were 154 suspended in double deionized water.

155 2.4 Drug loading and entrapment efficiency

5 mg of AKF loaded microspheres was dispersed in 10 mL acetonitrile. After 15 min of sonication, the sample was filtered and the concentration of AKF in the filtrate was analyzed by HPLC (LC-2010C Shimadzu, Japan). The drug loading (DL) and encapsulation efficiency (EE) were calculated using the following formulas.

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$$DL = \frac{Drug \ loaded}{Drug \ loaded + plymer} \times 100\%$$

162
$$EE\% = \frac{Actual \, drug \, loading}{theoretical \, drug \, loading} \times 100\%$$

163 2.5 In vitro drug release studies

Drug release from the microspheres was performed by dialysis method. Briefly, 1 mg of AKF-MS was dispersed in 1 mL double deionized water and then transferred into a dialysis bag (MWCO: 3400). Dialysis was performed in a beaker containing 50 mL dissolution medium (phosphate buffer saline, pH 7.4). The beaker was then placed into a thermostatic shaker at 37 °C and 100

rpm (HZQ-C, Ha'erbin Dongming Medical Instrument Factory, China). 1 mL of the dissolution medium was taken at predetermined time intervals for analysis and an equal volume of fresh buffer was added immediately. The concentration of the released AKF was determined using HPLC. Then, the accumulative release of AKF was calculated as a function of time.

174 **2.6** *Tissue distribution*

175 Prior to experiments, 75 SD male rats were divided into 3 groups with 25 176 in each. All the animals were fasted for 12 h pre-injection, but with free access 177 to water. All animal experiments were conducted in accordance with the 178 Institutional Animal Ethics Committee and Animal Care Guidelines of 179 Central South University governing the use of experimental animals. Firstly, 180 each animal was intraperitoneal (i.p.) injected with PQ at a dose of 30 mg/kg181 to simulate the pathological state. After 30 min, each group of rats were 182 injected with AKF-MS/3.9 µm, AKF-MS/18.1 µm or AKF solution (dissolved 183 in physiological saline) with the dosage of 30 mg/kg through tail veins, 184 respectively. At 0.5, 6, 12, 24 and 48 h, 5 rats per group were injected with 185 chloral hydrate (400 mg/kg, i.p.) and sacrificed by cervical dislocation. Soon 186 after the sacrifice, the drug in blood, heart, lung, liver, kidney and spleen 187 were extracted. The concentrations of the drug in different tissues were 188 determined by HPLC. For sample preparation, the organs were homogenized, 189 extracted with 1.0 mL acetonitrile using ultrasonic for 1 h, centrifuged at 190 12,000 rpm for 5 min, and filtered through 0.22 µm filter (Millipore).

191 2.7 ELISA assay and histopathological studies

192 150 SD rats were equally divided into 5 groups. The control group 193 received normal saline. Three experimental groups were injected with 194 AKF-MS/3.9 μm, AKF-MS/18.1 μm and AKF solution, respectively, with a 195 dosage of 30 mg/kg after administration of PQ. For the positive control group, 196 only PQ was injected. For each group, five rats were sacrificed at 0, 0.5, 6, 12, 197 24 and 48 h after injection. Then, the lungs were dissected and washed with 198 saline. The lung tissues were fixed in 10% formaldehyde, embedded in

paraffin, and stained with hematoxylin-eosin (HE). All lung samples were examined using a light microscope. The levels of TNF-α, IL-1β and NF- κ B in the lung tissues were determined using commercially available ELISA kits. The levels of TNF-α, IL-1β and NF- κ B were calculated with reference to standard curves of purified recombinant TNF-α, IL-1β or NF- κ B at various dilutions.

205 2.8 Statistical analysis

206 Results expressed as mean ± SD were analyzed using student's t-test or 207 one-way ANOVA by SPSS 19.0. *P*-values < 0.05 were considered as 208 statistically significant.

209

210 **3. Results and discussion**

211 3.1 Preparation of the PLGA microspheres

212 The AKF loaded PLGA microspheres were prepared by solvent 213 evaporation method. The entrapment efficiency (EE) % and particle size are 214 the two key physicochemical properties of microspheres. The EE (%) is 215 important for assessing the drug loading (DL) capacity, and thus increasing 216 EE (%) can reduce the loss of drug and help to extend the duration and 217 dosage of treatment. The optimum formulation was selected based on 218 orthogonal experiment design. The influence of the initial O/W ratio (1 : 10, 1 : 219 15, and 1 : 20), drug/polymer ratio (0.5 : 1, 1 : 5, and 1.5 : 5) and shearing 220 velocity (800, 1600, and 3200 rpm) were evaluated, and particle size (µm), 221 EE(%) and DL(%) were chosen as the optimizing indexes. Only O/W ratio has 222 a significant influence on the properties of microspheres. Since EE (%) and DL 223 (%) tend to decrease at high O/W ratio, O/W (1: 10) was chosen for further 224 study. Finally, the optimized formulation was achieved with 10% PLGA 225 concentration (w/v), 2% PVA concentration (w/v) and 1:10 O/W ratio (v/v), 226 respectively. The average DL (%) and EE (%) at the optimal experimental 227 condition were $(8.2 \pm 1.9)\%$ and $(80.2 \pm 2.5)\%$, respectively.

228 3.2. Particle morphology and size distribution of the PLGA microspheres

229 Particle size distribution is an important particle property since it 230 controls the tissue location of the microspheres after their intra-artery infusion. 231 In addition, the size of microspheres affects the product's potential to become 232 an injection and the drug release rate. It has been reported that microspheres with the size ranges from 12 to 44 μ m have a notable lung-targeting efficacy.^{22,} 233 234 ²³ The surface morphology of AKF loaded PLGA microspheres observed by 235 the scanning electron microscopy (SEM) is shown in **Figure 2A** and **B**, which 236 revealed that the microspheres were spherical in shape with a smooth surface. 237 As a control, a smaller size of AKF-MS was also prepared (**Figure 2A**), and the 238 mean diameter was $3.9 \pm 1.6 \ \mu m$ from five batches. More than 90% of the microspheres fell within the size range of 7 to 30 µm, and the mean diameter 239 240 of the microspheres was $18.1 \pm 1.5 \,\mu\text{m}$ (Figure 2B).



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Figure 2 SEM images of (A) AKF-MS/3.9 μm and (B) AKF-MS/18.1 μm

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245 3.3 In vitro release profile

In vitro release behavior of AKF-MS was performed using the dialysis method. **Figure 3** shows the AKF release curves from AKF-MS and free AKF solution. The free AKF solution showed a burst release with approximate 90% of AKF released within 1 h. On the other hand, the AKF released from AKF-MS presented a two-stage character, i.e. a fast drug release stage was observed in the first 4 h and a subsequent sustained release stage was

monitored over 180 h. The results indicated that the AKF-MS had a well-sustained release capability which is typical for PLGA based drug delivery systems. The data obtained from in vitro release studies fitted various kinetic equations (For examples, zero-order, first-order, Higuchi model, Korsmeyer's Peppas and Hixson-Crowell model).²⁴⁻²⁶ The correlation coefficient value, R², was taken into account to determine the most suitable model (**Table 1**), and the Korsmeyer-Peppas model appeared to be the one

257 coefficient value, R², was taken into account to determine the most suitable 258 model (Table 1), and the Korsmeyer-Peppas model appeared to be the one with $R^2 = 0.9797$, suggesting diffusion dominant. The initial "burst" release of 259 260 AFK from AKF-MS was probably caused by drug releasing from the particle 261 surface facilitated by the swelling of microspheres. AKF-MS/3.9 µm 262 demonstrated a slight faster drug release rate compared to that of 18.1 µm 263 ones during the first 72 h, which might be explained by the relatively larger 264 particle surface area. However, the overall release pattern was quite similar 265 for the two kinds of microspheres (P < 0.05), which can be used as ideal comparison for the subsequent study. 266



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- **Figure 3** *In vitro* release profiles of free AKF, AKF-MS/18.1 µm and
- 269 AKF-MS/3.9 μm (n=3)
- 270
- 271

272 Table 1. Correlation coefficient values from diffe	erent model simulation of in
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Zero-order	First-order	Higuchi model	Korsmeyer-Peppas model	Hixson-Crowell model
Q=0.504·t	Q=100 [1-Exp(-0.01 t)]	$Q=6.039 \cdot t^{0.5}$	Q=11.141·t ^{0.292}	Q=100 ·[1-(1-0.003 t) ³]
R ² =0.5462	R ² =0.8110	R ² =0.9372	R ² =0.9797	R ² =0.7461

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275 3.4 Tissue distributions of PLGA microspheres

276 Lung-targeting effect of AKF-MS was evaluated by drug concentrations 277 in different tissues using HPLC after administration of AKF-MS or AKF 278 intravenously (30 mg/kg). As shown in **Figure 4A**, high drug concentrations 279 were observed in all tissues at 30 min after free drug administration. After 280 that, the drug was cleared and did not selectively accumulate in the lung. It 281 was reasonable since the drug was carried by the blood flow and distributed 282 to all the organs. Without a sustained release mechanism, free AKF was 283 quickly cleared from the body, mainly through urinary elimination. As to 284 AKF-MS/3.9 μ m, tissue distribution was quite different (Figure 4C), with 285 highest concentrations in liver, spleen, and lung. These results revealed the 286 importance of controlling drug delivery particle size distribution and 287 selecting the size appropriate for avoiding phagocytosis.²⁷ The uptake of 288 microspheres by human blood neutrophils and leukocytes decreased with 289 increasing particle size in the range of 0.5-8 µm.²⁸ In all these organs, the drug 290 concentration dropped by roughly half after 6 h and, the concentration 291 dropped to the background level after 1 day. Therefore, the microspheres 292 possessed targeted drug delivery function to some extent.

Very interestingly, when the AKF-MS/18.1 µm microspheres were administrated, the lung displayed the highest drug concentration (**Figure 4B**, the scale of y-axis is different). At the 30 min time point, it was 6.3 times higher than the free AKF injection and 5 times higher than the AKF-MS/3.9 µm. The drug concentration in lung as a function of time was quantified as

shown in Figure 4D, clearly indicating that the drug concentrations of
AKF-MS/18.1 µm group in lung were significantly higher than those in
AKF-MS/3.9 µm and free AKF injection at any subsequently time points.

More importantly, since most of the drug was accumulated in the lungs for AKF-MS/18.1 µm group, very little drug was found in any other tissues. For example, drug concentration in lung was 31.9 times higher than that in plasma (30 min). Compared with the drug targeting index of AKF-MS/18.1 µm in lung was 4.6 and 6.4 times higher than that of AKF-MS/3.9 µm and free AKF, respectively.



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Figure 4 The concentration of AKF in blood, heart, liver, spleen, lung and kidney at 0.5, 6, 12, 24, and 48 h after intravenous administration of AKF injection (30 mg/kg) (A), AKF-MS/3.9 μ m (B) and AKF-MS/18.1 μ m (C) in rats, and (D) the concentration of AKF in lung of rats with AKF-MS/18.1 μ m, AKF-MS/3.9 μ m and AKF injection at different time points (Mean ± SD, n=5) 313

314 3.5 AKF inhibited PQ-stimulated TNF-a, IL-1β and NF-κB release

315 PQ causes multiple organ dysfunction syndrome, and mainly acute lung 316 injury. Acute lung injury is characterized by acute lung inflammation 317 involving the local recruitment and activation of polymorphonuclear 318 neutrophils and the release of proinflammatory mediators, proteases, reactive 319 oxygen and nitrogen species.^{29,30} Eventually, these processes can cause 320 alveolar-capillary damage with high permeability pulmonary edema and 321 alteration of lung mechanics, resulting in severe gas exchange abnormalities.³¹ 322 As the major endotoxin in gram-negative infection, lipopolysaccharide can 323 stimulate the expression of a variety of proinflammatory mediators, including 324 tumor necrosis factor- α (TNF- α), and interleukin 1 β (IL-1 β).³² All of them can 325 lead to orchestrate inflammation and tissue damage. The pleiotropic 326 transcription factor nuclear factor-kappa B (NF-κB) plays a crucial role in 327 regulating the expression of cytokines, chemokines, adhesion molecules, and 328 other mediators.³³ So, the protective effect of AKF-MS for acute lung injury 329 was evaluated by these three critical inflammatory factors.

330 To investigate whether AKF-MS can reduce the release of 331 proinflammatory cytokines, rats were stimulated with PQ in the presence or 332 absence of AKF for specific time. Different formulations of AKF (30mg/kg) 333 were administered i.v. after PQ injection, and the concentrations of TNF- α , 334 IL-1 β and NF- κ B release were assayed by ELISA kits. As shown in **Figure 5**, 335 levels of the three inflammatory factors in lung were significantly higher than those in the control group, indicating that PQ stimulated the release of 336 337 proinflammatory cytokines. For the AKF-MS/18.1 µm group, the decreased 338 amounts of TNF- α and IL-1 β were in a time-dependent manner (Figure 5), 339 indicating that the protective role of AKF-MS in acute lung injury, at least 340 partially, related to the inhibition of the release of the proinflammatory 341 cytokines.



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346 347

(C)

Figure 5 Cytokines relative concentration versus time of TNF- α (A), IL-1 β (B) and NF- κ B (C) (Mean ± SD, n=5). C_t represents the measured value of concentration at time t, and C₀ represents the measured value of concentration before drug injection

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353 **3.6** Histopathological examination

354 Photomicrographs of the lung sections after 48 h treatment with AKF-MS 355 are shown in Figure 6. In the control group (administrated with normal 356 saline), the alveolar structure was complete, alveolar cavity did not bleed, and 357 there was no neutrophil infiltration (Figure 6A). The PQ group displayed 358 significant lung interval damage, alveolar cavity bleeding, and edema and 359 neutrophil infiltration (Figure 6B). The free AKF group was characterized by a 360 low level of infiltration of inflammatory cells in the lung interstitium (Figure 361 **6C**). The lung tissue of the blank microspheres group was similar to that of 362 the PQ group, suggesting that blank microsphere had no therapeutic effect on 363 acute lung injury, while no direct toxicity was found on lung (Figure 6D). 364 Compared to the PQ group, pulmonary hemorrhage, interstitial edema, and

365 infiltration of inflammatory cells were ameliorated to some degree in the 366 lungs of PQ+AKF-MS groups (Figure 6E, F). Especially in PQ+AKF-MS/18.1 367 µm group, the damage was further improved compared to that of the 368 PQ+AKF-MS/3.9 µm group, and less inflammatory cells infiltration was 369 found in the interstitial lung and alveoli, interstitial edema and alveolar 370 hemorrhage were ameliorated. Based on these observations, it could be 371 concluded the microsphere formulation was efficient as a passive targeted 372 drug delivery system to the lung.







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(C)

(D)





acute lung injury in rats. Free AKF or AKF-MS (equivalent to 30 mg/kg) were intravenously administered to PQ-treated rats. Histological examination was performed by HE staining on the right lung of each rat after PQ administration for 48 h (A–F, ×100). Saline (A), PQ (B), free AKF (C), blank microspheres (D), AKF-MS/3.9 μ m (E), and AKF-MS/18.1 μ m (F) treated groups.

386

387 **4. Conclusion**

388 In the present study, AKF-MS/18.1 μ m microspheres with high DL (%) and EE (%) were successfully prepared by a solvent evaporation method. In 389 390 vitro release test showed that AKF-MS/18.1 µm exhibited a sustained release characteristic compared with the free drug. AKF-MS/18.1 µm was 391 392 preferentially located in the lung tissue and was retained for 48 h after 393 intravenous administration. Compared with the AKF solution and 394 AKF-MS/3.9 µm, the drug concentration and the accumulated time of 395 AKF-MS/18.1 µm in the lung tissue were obviously increased while those in 396 non-targeted organs such as heart, kidney, brain, and plasma were effectively 397 reduced. Based on these results, it can be concluded that AKF-MS/18.1 µm 398 can be a promising carrier to deliver AKF to the lung to enhance its 399 therapeutic effects for the treatment of PQ-induced acute lung injury.

400

401 **Declaration of Interest**

The authors declare no conflicts of interest. The authors alone are responsiblefor the content and writing of this article.

404

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