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**Page 1 of 19 RSC Advances**



#### **Abstract**

Lung-targeting fluorofenidone (AKF) loaded PLGA microspheres (AKF-MS) for the treatment of paraquat (PQ)-induced acute lung injury in 33 rats, were constructed by a solvent evaporation method. The microspheres' morphology, size distribution, drug loading ratio, encapsulation efficiency, *in vitro* release characteristics and tissue distributions in rats were systematically studied. Scanning electron microscopy shows the microspheres are spherical and well dispersed. The average particle size is 18.1 µm with 90% of the 38 microspheres being in the range of to  $30 \mu m$ . The encapsulation efficiency 39 (EE%) and drug loading ratio (DL%) are 80.2  $\pm$  2.5% and 8.2  $\pm$  1.9%, respectively. The *in vitro* drug release behavior of AKF-MS follows the 41 Korsmeyer-Peppas model:  $Q=11.141 \cdot t^{0.292}$  ( $R^2= 0.9797$ ). The tissue distribution shows that the drug concentrations in lung tissue for the AKF-MS/18.1 µm suspension is significantly higher than those for the AKF solution and the AKF-MS/3.9 µm, and the drug-targeting index for lung is 6.4 and 4.6-fold higher than that of AKF solution and AKF-MS/3.9 µm, respectively. In addition, AKF-MS/18.1 µm significantly reduced the circulating levels of TNF-α and IL-1β. Histopathological studies confirm that the AKF-MS treatment significantly reduced the edema and neutrophil infiltration, as well as the lung interval damage. Taken together, the results of the present study demonstrated that AKF-MS/18.1 µm improved the treatment efficacy of AKF against PQ-induced acute lung injury, compared to other forms of AKF (AKF 52 solution and AKF-MS/3.9  $\mu$ m).

**Keywords:** paraquat· fluorofenidone· acute lung injury· PLGA microspheres· lung-targeting

## **Page 3 of 19 RSC Advances**

# **1. Introduction**

Paraquat (1, 1'-dimethyl-4, 4'-bipyridylium dichloride, PQ, **Figure 1a**) [CAS number 1910-42-5], a type of bipyridylium quaternary ammonium herbicide, has been widely used in agriculture owning to its efficiency, 61 especially in developing countries.<sup>1</sup> However, PQ is highly toxic. Accidental exposure to PQ can cause serious poisoning and the mortality rate is between  $50\%$  and  $90\%$ . The high fatality of PQ is partly due to its inherent toxicity and the lack of effective treatment. Aside from supportive care alone, current treatment of PQ poisoning involves various combinations of immune-modulation (cyclophosphamide, MESNA, methylprednisolone and dexamethasone), anti-oxidant therapy (Vitamin E, Vitamin C, N-acetylcysteine, Salicylic acid and Deferoxamine), haemoperfusion and 69 haemodialysis.  $3-5$  Nevertheless, the overall mortality remains high even in hospital routinely practising such intensive treatment.

The lung is the main target organ of PQ. Nearly all PQ poisoning cases lead to acute lung injury and, ultimately, acute respiratory distress 73 syndrome.<sup>6</sup> PQ tends to accumulate in the lung tissue, and its pulmonary concentration can be 6-10 times higher than that in plasma. The mechanism for this organ specificity is postulated to be associated with the active polyamine uptake transport systems that concentrate PQ rapidly into the type II epithelial cells of the alveoli.<sup>8</sup> Generally, the mechanism of PQ toxicity involves a redox cyclic reaction, which generates superoxide anions, singlet oxygen and other free radicals, resulting in the depletion of NADPH with the 80 production of oxygen free radicals.<sup>9, 10</sup> The free radicals generated by the oxidation of PQ can interact with membrane lipids leading to genetic 82 overexpression of fibrogenic cytokines.<sup>8</sup> The pathological changes induced by PQ involve fibroblast proliferation and augmented collagen synthesis in the 84 1 $\mu$  lung.<sup>11</sup> Therefore, the initiation of PQ-induced lung injury is critically linked with pulmonary fibrosis. Accordingly, anti-fibrotic drug is promising for PQ -induced lung injury treatment.

#### RSC Advances **Page 4 of 19**

Fluorofenidone (AKF), 5-methyl-1-(3-fluorophenyl)-2-[1H]-pyridone 88 (**Figure 1b**), is a novel but well validated anti-fibrotic drug.<sup>12-15</sup> The mechanism of AKF involves the down regulation of connective tissue growth factor (CTGF) expression induced by transforming growth factor (TGF-β1) 91 and the related signaling pathway.<sup>16</sup> It is also suggested that AKF inhibits the generation of reactive oxygen species (ROS) induced by AngII and mediates the corresponding tissue repair. Meanwhile, it greatly reduces the over 94 expression and activity of NADPH oxidase.<sup>12</sup> Thus, we hypothesized that AKF might be a candidate for the treatment of PQ-induced acute lung injury. Moreover, pyridine agents, such as pirfenidone [PD. 5-methyl-1-phenyl-2(1H)-pyridone], are effective in treating idiopathic interstitial pneumonia, which can prevent and reverse tissue fibrosis in several organs (**Figure 1c**).<sup>17,18</sup> With a similar structure as PD, we estimate that AKF may also possess similar effects in preventing and reversing tissue fibrosis.



- **Figure 1** Chemical structure of PQ (a), AKF (b) and PD (c).
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However, preliminary studies indicated that AKF distributes widely in the body after oral administration and the concentration in lung is very low. In order to treat PQ-induced acute lung injury, targeted delivery of AKF is important. Microspheres are ideal vehicles to meet this requirement. With diameter ranges from 12 to 44 µm, microspheres are lung-targeting due to

#### **Page 5 of 19 RSC Advances**

111 mechanical trapping effect in pulmonary blood vessels.<sup>19, 20</sup> This technique is expected to increase the AKF concentration in lung and, thusly, maximize the efficacy while minimize the potential adverse side effects.

In this work, AKF loaded microspheres (AKF-MS) were prepared and characterized in terms of morphology, particle size and *in vitro* release characteristics. The drug-targeting index (DTI) of AKF-MS was measured to evaluate the potential to be a targeted delivery system for drugs administrated intravenously. The pharmacodynamics study was undertaken to compare the efficacy of AKF-MS with AKF in prevention of lung injury and changing cytokine levels in acutely PQ poisoned rats.

# **2. Materials and methods**

## *2.1 Materials*

AKF (purity>99%, Lot No. 070704) was synthesized in the School of Pharmaceutical Sciences of Central South University, China. PQ was purchased from Syngenta China Co., Ltd. PLGA (50 : 50, MW = 18 000) was obtained from Jinan Dai Gang Biomaterial Co., Ltd. Polyvinyl alcohol (PVA) was from Sigma Aldrich (MO , USA). Chloral hydrate was from Hecang Chemical Co., LTD (Shanghai, China). TNF-α, IL-1β and NF-κB Elisa Kits were purchased from Neobioscience Technology Co., LTD (China). All other reagents of analytical and chromatographic pure grade were obtained from Sinopharm Chemical Reagent Co., Ltd (Shanghai, China). Double deionized water was purified using a Millipore Simplicity System (Millipore, Bedford, MA).

# *2.2 Preparation of AKF loaded microspheres (AKF-MS)*

The AKF-MS was prepared by the solvent evaporation method and was 137 modified based on a previous study.<sup>21</sup> Briefly, 15 mg of AKF was dispersed in 0.5 mL methylene chloride containing 50 mg PLGA by sonication. The 139 resulting organic phase was added drop-wise to 5 mL with  $2\%$  PVA (w/v) solution, and then stirred at 300 rpm for 3 h to allow methylene chloride to

# **RSC Advances Page 6 of 19**

evaporate completely. The microspheres were collected and washed three times with double deionized water, and were dried under vacuum for further use. For AKF-MS/3.9 µm, the primary O/W emulsion was formed via homogenization at 3200 rpm for 5 min before evaporation (EmulsiFlex-C3, Avenstin, Canada).

# *2.3 Morphological characterization and particle sizing*

The surface morphology of AKF microspheres was observed using scanning electron microscope (Quanta 650 FEG, FEI, USA). The lyophilized microspheres were mounted on metal stubs with an adhesive carbon tape, sputter-coated with gold and examined under the microscope. The average particle size and size distribution of the microspheres were measured by PM3089-2002 Micro-plus laser particle size analyzer (Malvern Instruments Ltd., Malvern, UK). For this analysis, the lyophilized microspheres were suspended in double deionized water.

# *2.4 Drug loading and entrapment efficiency*

5 mg of AKF loaded microspheres was dispersed in 10 mL acetonitrile. After 15 min of sonication, the sample was filtered and the concentration of AKF in the filtrate was analyzed by HPLC (LC-2010C Shimadzu, Japan). The drug loading (DL) and encapsulation efficiency (EE) were calculated using the following formulas.

$$
DL = \frac{Drug\ loaded}{Drug\ loaded + plymer} \times 100\%
$$

162 
$$
EE\% = \frac{Actual \ drug \ loading}{theoretical \ drug \ loading} \times 100\%
$$

# *2.5 In vitro drug release studies*

Drug release from the microspheres was performed by dialysis method. Briefly, 1 mg of AKF-MS was dispersed in 1 mL double deionized water and then transferred into a dialysis bag (MWCO: 3400). Dialysis was performed in a beaker containing 50 mL dissolution medium (phosphate buffer saline, pH 168 7.4). The beaker was then placed into a thermostatic shaker at 37  $^{\circ}$ C and 100

#### **Page 7 of 19 RSC Advances**

rpm (HZQ-C, Ha'erbin Dongming Medical Instrument Factory, China). 1 mL of the dissolution medium was taken at predetermined time intervals for analysis and an equal volume of fresh buffer was added immediately. The concentration of the released AKF was determined using HPLC. Then, the accumulative release of AKF was calculated as a function of time.

*2.6 Tissue distribution* 

Prior to experiments, 75 SD male rats were divided into 3 groups with 25 in each. All the animals were fasted for 12 h pre-injection, but with free access to water. All animal experiments were conducted in accordance with the Institutional Animal Ethics Committee and Animal Care Guidelines of Central South University governing the use of experimental animals. Firstly, each animal was intraperitoneal (i.p.) injected with PQ at a dose of 30 mg/kg to simulate the pathological state. After 30 min, each group of rats were injected with AKF-MS/3.9 µm, AKF-MS/18.1 µm or AKF solution (dissolved in physiological saline) with the dosage of 30 mg/kg through tail veins, respectively. At 0.5, 6, 12, 24 and 48 h, 5 rats per group were injected with chloral hydrate (400 mg/kg, i.p.) and sacrificed by cervical dislocation. Soon after the sacrifice, the drug in blood, heart, lung, liver, kidney and spleen were extracted. The concentrations of the drug in different tissues were determined by HPLC. For sample preparation, the organs were homogenized, extracted with 1.0 mL acetonitrile using ultrasonic for 1 h, centrifuged at 12,000 rpm for 5 min, and filtered through 0.22 µm filter (Millipore).

*2.7 ELISA assay and histopathological studies* 

150 SD rats were equally divided into 5 groups. The control group received normal saline. Three experimental groups were injected with AKF-MS/3.9 µm, AKF-MS/18.1 µm and AKF solution, respectively, with a dosage of 30 mg/kg after administration of PQ. For the positive control group, only PQ was injected. For each group, five rats were sacrificed at 0, 0.5, 6, 12, 24 and 48 h after injection. Then, the lungs were dissected and washed with saline. The lung tissues were fixed in 10% formaldehyde, embedded in

# RSC Advances **Page 8 of 19**

199 paraffin, and stained with hematoxylin-eosin (HE). All lung samples were 200 examined using a light microscope. The levels of TNF- $\alpha$ , IL-1β and NF- $\kappa$ B in 201 the lung tissues were determined using commercially available ELISA kits. 202 The levels of TNF- $\alpha$ , IL-1 $\beta$  and NF- $\kappa$ B were calculated with reference to 203 standard curves of purified recombinant TNF- $\alpha$ , IL-1 $\beta$  or NF- $\kappa$ B at various 204 dilutions.

### 205 *2.8 Statistical analysis*

206 Results expressed as mean ± SD were analyzed using student's t-test or 207 one-way ANOVA by SPSS 19.0. *P*-values < 0.05 were considered as 208 statistically significant.

209

# 210 **3. Results and discussion**

#### 211 *3.1 Preparation of the PLGA microspheres*

212 The AKF loaded PLGA microspheres were prepared by solvent 213 evaporation method. The entrapment efficiency (EE) % and particle size are 214 the two key physicochemical properties of microspheres. The EE (%) is 215 important for assessing the drug loading (DL) capacity, and thus increasing 216 EE (%) can reduce the loss of drug and help to extend the duration and 217 dosage of treatment. The optimum formulation was selected based on 218 orthogonal experiment design. The influence of the initial  $O/W$  ratio  $(1:10, 1:$ 219 15, and  $1: 20$ , drug/polymer ratio  $(0.5: 1, 1: 5,$  and  $1.5: 5)$  and shearing 220 velocity  $(800, 1600, \text{ and } 3200 \text{ rpm})$  were evaluated, and particle size  $(\mu m)$ , 221 EE(%) and  $DL$ (%) were chosen as the optimizing indexes. Only O/W ratio has 222 a significant influence on the properties of microspheres. Since EE (%) and DL 223 (%) tend to decrease at high O/W ratio, O/W  $(1: 10)$  was chosen for further 224 study. Finally, the optimized formulation was achieved with 10% PLGA 225 concentration (w/v), 2% PVA concentration (w/v) and 1:10 O/W ratio (v/v), 226 respectively. The average DL  $(\%)$  and EE  $(\%)$  at the optimal experimental 227 condition were  $(8.2 \pm 1.9)$ % and  $(80.2 \pm 2.5)$ %, respectively.

228 *3.2. Particle morphology and size distribution of the PLGA microspheres* 

#### **Page 9 of 19 RSC Advances**

Particle size distribution is an important particle property since it controls the tissue location of the microspheres after their intra-artery infusion. In addition, the size of microspheres affects the product's potential to become an injection and the drug release rate. It has been reported that microspheres 233 with the size ranges from 12 to 44  $\mu$ m have a notable lung-targeting efficacy.<sup>22,</sup> 234 <sup>23</sup> The surface morphology of AKF loaded PLGA microspheres observed by the scanning electron microscopy (SEM) is shown in **Figure 2A** and **B**, which revealed that the microspheres were spherical in shape with a smooth surface. As a control, a smaller size of AKF-MS was also prepared (**Figure 2A**), and the 238 mean diameter was  $3.9 \pm 1.6$  µm from five batches. More than 90% of the microspheres fell within the size range of 7 to 30 µm, and the mean diameter of the microspheres was 18.1± 1.5 µm (**Figure 2B**).



**Figure 2** SEM images of (A) AKF-MS/3.9 µm and (B) AKF-MS/18.1 µm

# *3.3 In vitro release profile*

In vitro release behavior of AKF-MS was performed using the dialysis method. **Figure 3** shows the AKF release curves from AKF-MS and free AKF solution. The free AKF solution showed a burst release with approximate 90% of AKF released within 1 h. On the other hand, the AKF released from 250 AKF-MS presented a two-stage character, i.e. a fast drug release stage was observed in the first 4 h and a subsequent sustained release stage was

### RSC Advances **Page 10 of 19**

monitored over 180 h. The results indicated that the AKF-MS had a well-sustained release capability which is typical for PLGA based drug delivery systems. The data obtained from in vitro release studies fitted various kinetic equations (For examples, zero-order, first-order, Higuchi 256 model, Korsmeyer's Peppas and Hixson-Crowell model).<sup>24-26</sup> The correlation coefficient value,  $\mathbb{R}^2$ , was taken into account to determine the most suitable model (**Table 1**), and the Korsmeyer-Peppas model appeared to be the one 259 with  $R^2$  = 0.9797, suggesting diffusion dominant. The initial "burst" release of AFK from AKF-MS was probably caused by drug releasing from the particle surface facilitated by the swelling of microspheres. AKF-MS/3.9 µm demonstrated a slight faster drug release rate compared to that of 18.1 µm ones during the first 72 h, which might be explained by the relatively larger particle surface area. However, the overall release pattern was quite similar 265 for the two kinds of microspheres  $(P< 0.05)$ , which can be used as ideal comparison for the subsequent study.



**Figure 3** *In vitro* release profiles of free AKF, AKF-MS/18.1 µm and

269  $AKF-MS/3.9 \mu m (n=3)$ 

**Table 1.** Correlation coefficient values from different model simulation of *in* 



 $R^{2}=0.5462$   $R^{2}=0.8110$   $R^{2}=0.9372$   $R^{2}=0.9797$   $R^{2}=0.7461$ 

# *3.4 Tissue distributions of PLGA microspheres*

Lung-targeting effect of AKF-MS was evaluated by drug concentrations in different tissues using HPLC after administration of AKF-MS or AKF intravenously (30 mg/kg). As shown in **Figure 4A**, high drug concentrations were observed in all tissues at 30 min after free drug administration. After that, the drug was cleared and did not selectively accumulate in the lung. It was reasonable since the drug was carried by the blood flow and distributed to all the organs. Without a sustained release mechanism, free AKF was quickly cleared from the body, mainly through urinary elimination. As to AKF-MS/3.9 µm, tissue distribution was quite different (**Figure 4C**), with highest concentrations in liver, spleen, and lung. These results revealed the importance of controlling drug delivery particle size distribution and selecting the size appropriate for avoiding phagocytosis.<sup>27</sup> The uptake of microspheres by human blood neutrophils and leukocytes decreased with 289 increasing particle size in the range of 0.5-8  $\mu$ m.<sup>28</sup> In all these organs, the drug concentration dropped by roughly half after 6 h and, the concentration dropped to the background level after 1 day. Therefore, the microspheres possessed targeted drug delivery function to some extent.

Very interestingly, when the AKF-MS/18.1 µm microspheres were administrated, the lung displayed the highest drug concentration (**Figure 4B**, the scale of y-axis is different). At the 30 min time point, it was 6.3 times higher than the free AKF injection and 5 times higher than the AKF-MS/3.9 µm. The drug concentration in lung as a function of time was quantified as

#### **RSC Advances Page 12 of 19**

298 shown in **Figure 4D**, clearly indicating that the drug concentrations of 299 AKF-MS/18.1 µm group in lung were significantly higher than those in 300 AKF-MS/3.9 µm and free AKF injection at any subsequently time points.

More importantly, since most of the drug was accumulated in the lungs for AKF-MS/18.1 µm group, very little drug was found in any other tissues. For example, drug concentration in lung was 31.9 times higher than that in plasma (30 min). Compared with the drug targeting index of AKF-MS/18.1  $\mu$ m in lung was 4.6 and 6.4 times higher than that of AKF-MS/3.9  $\mu$ m and free AKF, respectively.



307

308 **Figure 4** The concentration of AKF in blood, heart, liver, spleen, lung and 309 kidney at 0.5, 6, 12, 24, and 48 h after intravenous administration of AKF 310 injection (30 mg/kg) (A), AKF-MS/3.9  $\mu$ m (B) and AKF-MS/18.1  $\mu$ m (C) in 311 rats, and (D) the concentration of AKF in lung of rats with  $AKF-MS/18.1 \mu m$ , 312 AKF–MS/3.9  $\mu$ m and AKF injection at different time points (Mean  $\pm$  SD, n=5) 313

# 314 *3.5 AKF inhibited PQ-stimulated TNF-α, IL-1β and NF-κB release*

#### **Page 13 of 19 RSC Advances**

PQ causes multiple organ dysfunction syndrome, and mainly acute lung injury. Acute lung injury is characterized by acute lung inflammation involving the local recruitment and activation of polymorphonuclear neutrophils and the release of proinflammatory mediators, proteases, reactive  $oxygen$  and nitrogen species. $29,30$  Eventually, these processes can cause alveolar-capillary damage with high permeability pulmonary edema and 321 alteration of lung mechanics, resulting in severe gas exchange abnormalities.<sup>31</sup> As the major endotoxin in gram-negative infection, lipopolysaccharide can stimulate the expression of a variety of proinflammatory mediators, including 1324 tumor necrosis factor-α (TNF-α), and interleukin  $1β$  (IL-1 $β$ ).<sup>32</sup> All of them can lead to orchestrate inflammation and tissue damage. The pleiotropic transcription factor nuclear factor-kappa B (NF-κB) plays a crucial role in regulating the expression of cytokines, chemokines, adhesion molecules, and 328 other mediators.<sup>33</sup> So, the protective effect of AKF-MS for acute lung injury was evaluated by these three critical inflammatory factors.

To investigate whether AKF-MS can reduce the release of proinflammatory cytokines, rats were stimulated with PQ in the presence or absence of AKF for specific time. Different formulations of AKF (30mg/kg) were administered i.v. after PQ injection, and the concentrations of TNF-α, IL-1β and NF-κB release were assayed by ELISA kits. As shown in **Figure 5**, levels of the three inflammatory factors in lung were significantly higher than those in the control group, indicating that PQ stimulated the release of proinflammatory cytokines. For the AKF-MS/18.1 µm group, the decreased amounts of TNF-α and IL-1β were in a time-dependent manner (**Figure 5**), indicating that the protective role of AKF-MS in acute lung injury, at least partially, related to the inhibition of the release of the proinflammatory cytokines.



342



344

 $345$  (B)



(C)

**Figure 5** Cytokines relative concentration versus time of TNF-α (A), IL-1β (B) 349 and NF- $\kappa$ B (C) (Mean  $\pm$  SD, n=5). C<sub>t</sub> represents the measured value of 350 concentration at time t, and  $C_0$  represents the measured value of concentration before drug injection

# *3.6 Histopathological examination*

Photomicrographs of the lung sections after 48 h treatment with AKF-MS are shown in **Figure 6**. In the control group (administrated with normal saline), the alveolar structure was complete, alveolar cavity did not bleed, and there was no neutrophil infiltration (**Figure 6A**).The PQ group displayed significant lung interval damage, alveolar cavity bleeding, and edema and neutrophil infiltration (**Figure 6B**).The free AKF group was characterized by a low level of infiltration of inflammatory cells in the lung interstitium (**Figure 6C**). The lung tissue of the blank microspheres group was similar to that of the PQ group, suggesting that blank microsphere had no therapeutic effect on acute lung injury, while no direct toxicity was found on lung (**Figure 6D**). Compared to the PQ group, pulmonary hemorrhage, interstitial edema, and

# RSC Advances **Page 16 of 19**

infiltration of inflammatory cells were ameliorated to some degree in the lungs of PQ+AKF-MS groups (**Figure 6E, F**). Especially in PQ+AKF-MS/18.1 µm group, the damage was further improved compared to that of the PQ+AKF-MS/3.9 µm group, and less inflammatory cells infiltration was found in the interstitial lung and alveoli, interstitial edema and alveolar hemorrhage were ameliorated. Based on these observations, it could be concluded the microsphere formulation was efficient as a passive targeted drug delivery system to the lung.









#### **Page 17 of 19 RSC Advances**

acute lung injury in rats. Free AKF or AKF-MS (equivalent to 30 mg/kg) were intravenously administered to PQ-treated rats. Histological examination was performed by HE staining on the right lung of each rat after PQ 383 administration for 48 h (A–F,  $\times$ 100). Saline (A), PQ (B), free AKF (C), blank microspheres (D), AKF-MS/3.9 µm (E), and AKF-MS/18.1 µm (F) treated groups.

#### **4. Conclusion**

388 In the present study,  $AKF-MS/18.1 \mu m$  microspheres with high DL  $(\%)$ 389 and EE (%) were successfully prepared by a solvent evaporation method. *In vitro* release test showed that AKF-MS/18.1 µm exhibited a sustained release characteristic compared with the free drug. AKF-MS/18.1 µm was preferentially located in the lung tissue and was retained for 48 h after intravenous administration. Compared with the AKF solution and AKF-MS/3.9 µm, the drug concentration and the accumulated time of AKF-MS/18.1 µm in the lung tissue were obviously increased while those in non-targeted organs such as heart, kidney, brain, and plasma were effectively 397 reduced. Based on these results, it can be concluded that  $AKF-MS/18.1 \mu m$ can be a promising carrier to deliver AKF to the lung to enhance its therapeutic effects for the treatment of PQ-induced acute lung injury.

#### **Declaration of Interest**

The authors declare no conflicts of interest. The authors alone are responsible for the content and writing of this article.

#### **Acknowledgment**

This work was supported by grants from National Science and Technology

Major Project-The substantial drug discovery initiative (NO. 2009ZX09102-011)

- and Natural Science Foundation of China (81200047).
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# Page 19 of 19 **RSC** Advances

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