

This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. This Accepted Manuscript will be replaced by the edited, formatted and paginated article as soon as this is available.

You can find more information about *Accepted Manuscripts* in the **Information for Authors**.

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard <u>Terms & Conditions</u> and the <u>Ethical guidelines</u> still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.



www.rsc.org/advances

**TOC figure** 



Analysis of bioactive constituents of saffron using ultrasonic assisted emulsification microextraction combined to high-performance liquid chromatography with diode array detector: A chemometric study	1 2 3
Madineh Chaharlangi <sup>a</sup> , Hadi Parastar <sup>b,*</sup> , Akbar Malekpour <sup>a</sup>	4
<sup>a</sup> Department of Chemistry, University of Isfahan, Isfahan, Iran.	5
<sup>b</sup> Department of Chemistry, Sharif University of Technology, P.O. Box 11155-3516, Tehran, Iran.	6 7
	8
* Author to whom correspondence should be addressed;	9
Tel.: +98- 21- 66165306; fax: +98- 21- 66029165 (Hadi Parastar)	10
E-mail: h.parastar@sharif.edu, h.parastar@gmail.com	11
	12
	13

#### 25

Advances Accepted Manu

50

51

52

53

54

Abstract

In recent years, there is an increasing interest in the analysis of major active components of 26 saffron owing to its significant role in various industries, such as food, medicine and perfume. In 27 other words, major active components of saffron can give a complete picture of its chemical 28 composition which can be used as a reliable index for quality control of different saffron samples 29 (i.e., natural and commercial). The aim of the present work was development of a simple, low 30 cost, efficient and comprehensive strategy for extraction and analysis of bioactive components of 31 saffron. In this regard, ultrasonic-assisted solvent extraction (UASE) combined with ultrasonic-32 assisted emulsification microextraction (USAEME) is proposed for extraction and 33 preconcentration of bioactive constituents of saffron. The extracted components are then 34 analyzed using reversed-phase high-performance liquid chromatography with diode array 35 detector (RP-HPLC-DAD) technique. The effective parameters on the efficiency of extraction 36 procedure are optimized using multivariate chemometric techniques. As a consequence, the 37 optimum extraction parameters were 79.6 mg saffron sample, 1.1 mL extraction solvent (water), 38 62.7µL preconcentration solvent (chloroform) and 18.6 min sonication time. In optimum 39 extraction conditions, the relative standard deviations (RSDs) were below 1.0% (n = 3) for all 40 components. Also, the enrichment factors were higher than 10 for most components. Finally, the 41 developed analytical method is used as a reliable method for quality control of fifteen 42 commercial saffron samples prepared from different markets. To do this, multivariate clustering 43 methods of principal component analysis (PCA) and k-means are used for finding similarities 44 45 and dissimilarities between standard and commercial saffron samples according to their HPLC fingerprints. It is concluded that the proposed method is a fast, simple, accurate and unbiased 46 method for analyzing bioactive components of saffron and fingerprinting of commercial saffron 47 samples which extracts a complete set of information from data compared to conventional 48 methods. 49

**Keywords:** Saffron; Chemometrics; High-performance liquid chromatography; Central composite design; Multivariate clustering; Ultrasonic-assisted emulsification microextraction.

# 1. Introduction

55

Saffron as the most expensive spice in the world is the dried stigmas of Crocus sativus L. 56 flowers. Crocus is a genus of Iridaceae family<sup>1</sup> and it is widely cultivated in Iran and other 57 countries such as Spain, India, China, Greece, Morocco, Turkey, Italy and Azerbaijan<sup>2</sup>. The 58 saffron stigmas contain essential compounds, such as vitamins, carbohydrates, minerals and 59 different pigments like flavonoids and carotenes<sup>3</sup>. It is appreciated by consumers as a colorant 60 for foodstuffs as well as for its aromatic and flavoring properties <sup>4,5</sup>. More recently, there has 61 been increasing interest in the biological effects of the saffron constituents and their possible 62 medical applications, particularly those based on their cytotoxic, anti-carcinogenic and anti-63 tumour properties<sup>6,7</sup>. The value of saffron is determined by the existence of three main secondary 64 metabolites<sup>8</sup>; crocin and its derivatives as hydrophilic carotenoids which are responsible for the 65 characteristic golden yellow color of saffron<sup>9,10</sup>, picrocrocin as a monoterpene glycoside which is 66 responsible for the bitter taste of saffron<sup>11, 12</sup> and safranal as a monoterpene aldehyde which is 67 responsible for saffron aroma. However, from medicinal point of view, the major biologically 68 69 active components of saffron are crocin analogues. The amount of these compounds in dried stigma tissues is the most important indicator of the quality of saffron<sup>1</sup>. 70

Different extraction techniques have been proposed for extraction and preconcentration of 71 volatile and non-volatile components of saffron. Hydrodistillation (HD), vacuum headspace 72 (VHS) <sup>12</sup>, supercritical fluid extraction (SFE) <sup>13, 14</sup>, thermal desorption (TD) <sup>15</sup>, extraction with 73 organic solvents <sup>16, 17</sup>, solid-phase microextraction (SPME)<sup>18</sup> and ultrasonic-assisted solvent 74 extraction (UASE) <sup>19</sup> have been used for the extraction of chemical components of saffron. 75 Amongst the above mentioned methods, UASE has some advantages due to the application of 76 ultrasonic waves for extraction. Ultrasound waves pass through a medium by creating 77

compression and expansion which create bubbles in a liquid and produce negative pressure. The 78 bubbles form, grow and finally collapse. This process produces a phenomenon called cavitation, 79 which means production, growth and collapse of bubbles. Therefore, a large amount of energy 80 can produce from the conversion of kinetic energy of motion into heating the contents of the 81 bubble. As a consequence, ultrasound in extraction can disrupt biological cell walls, facilitating 82 the release of contents. Thus, efficient cell disruption and effective mass transfer are cited as two 83 major factors leading to the enhancement of extraction with ultrasonic power. Coupling the 84 extraction process with a preconcentration method, such as ultrasound-assisted emulsification 85 microextraction (USAEME) <sup>20</sup> enhances the efficiency of the method. The USAEME method is a 86 fast and simple method with high efficiency, recovery and enrichment factor<sup>20, 21</sup>. 87

In recent years, most of the studies on the chemical composition of saffron have been focused on 88 the non-volatile compounds of saffron<sup>1, 3, 8</sup> owing to their medical applications. Optimization of 89 90 extraction procedures are usually performed using one-variable-at-a-time (OVAT) approach, which facilitate the interpretation of the obtained results, but interactions between variables are 91 not taken into account<sup>22, 23</sup>. Therefore, a false minimum or maximum may be attained which is 92 not the best analytical response. Experimental design methods (e.g., factorial designs and 93 response surface methodology) have been frequently applied to optimize the extraction 94 procedures <sup>22, 24</sup>. In this approach, the main effects of the factors, their interactions and 95 curvatures are estimated. The curvature in the response surface means curvature in the 96 relationship between factors as laid out in the model. These terms are quadratic terms in the 97 developed model. This is one of the greatest advantages of multivariate optimization compared 98 to OVAT optimization. Another advantage is that the number of experiments is considerably 99 reduced particularly in the case with many factors <sup>22, 24</sup>. 100

High-performance liquid chromatography with diode array detector (HPLC-DAD) is one of the 101 best techniques for separation and identification of the non-volatile and thermally labile 102 components of saffron. In other words, HPLC coupled with tandem mass spectrometry (LC-103 MS/MS) is a better technique for separation and identification of bioactive components of 104 saffron. However, it is more expensive than HPLC-DAD and providing access to it is not as 105 simple as HPLC-DAD. Nowadays, there is an increasing interest in identification of chemical 106 composition of complex samples by their chromatographic signals that is called chromatographic 107 fingerprints. A chromatographic fingerprint is a unique pattern that indicates the presence of 108 chemical components in the analyzed sample. Chromatographic fingerprinting becomes one of 109 the most powerful approaches for quality control of complex natural samples, such as herbal 110 medicines, and represents a comprehensive qualitative approach for the purpose of species 111 112 authentication, evaluation of quality and ensuring the consistency and stability of the chemical constituents observed by chromatography<sup>25, 26</sup>. 113

The aim of the present work was offering a simple, low cost, efficient and environment-friendly 114 115 technique for extraction, preconcentration and chromatographic analysis of bioactive 116 components of saffron with the aid of chemometric techniques. For this purpose, a two-step extraction process consisted of UASE followed by USAEME is proposed. The first step includes 117 direct extraction of saffron components from solid stigmas into water as a suitable solvent 118 accelerated by ultrasound. In the second step, USAEME method is used for preconcentration of 119 the isolated components. The important parameters of UASE-USAEME method including 120 saffron sample, extraction solvent, preconcentration solvent and sonication time are optimized 121 using response surface methodology (RSM). Finally, the developed method is used for analysis 122 of commercial saffron samples and to obtain their LC fingerprints to control the quality of 123

different saffron samples. The similarities and dissimilarities among samples are determined 124 using multivariate clustering techniques of principal component analysis (PCA) <sup>27,28</sup> and kmeans<sup>29</sup>. 126

#### 2. Experimental

## 2.1. Saffron samples and chemicals

Fresh stigmas of standard saffron sample were obtained from cultivation in the area of Qaen in South Khorasan province of Iran. The stigmas have been dried at temperature between 20 and 30 °C in the absence of light for 24 h. The dried stigmas were kept at 4°C in the absence of light until their analysis.

HPLC grade methanol and acetonitrile and analytical grade chloroform were purchased from Merck (Darmstadt, Germany). Deionized water was purified by a Milli-Q system from Millipore.

# 2.2. Extraction procedure

The procedure of extraction and preconcentration of saffron components was as follow: 138 grounded saffron sample was precisely weighted and placed in a round end test tube and water as 139 extraction solvent was added to it. The mixture was subsequently exposed to ultrasonic waves. 140 During this time the temperature of the ultrasonic bath was maintained at 25 °C. To separate the 141 solid remains of the saffron sample, the mixture was centrifuged. Then, chloroform as 142 preconcentration solvent was added to the upper phase solution and the mixture was sonicated. 143 Accordingly, the solution became cloudy containing tiny drops of chloroform distributed in the 144 sample solution. In this situation, the extraction process was accomplished. Then, the cloudy 145

solution was centrifuged to separate the chloroform as the lower phase. The extraction was 146 performed at ambient temperature and in the absence of direct light to protect the light-sensitive 147 components. The chloroform was dried using gentle flow of nitrogen and then methanol was 148 added to it. Finally, 20  $\mu$ L of extract was analyzed using reversed-phase high performance liquid 149 chromatography with diode array detector (RP-HPLC-DAD). In general, 30 experiments were 150 performed with 6 replicates. The relative standard deviations (RSD, %) of the replicates were 151 below 1.0 % which was acceptable. 152

# 2.3 Chromatographic conditions and instrumentation

Chromatographic analyses were performed using a Knauer HPLC system, equipped with a Smartline pump 1000 and a diode array absorbance detector (DAD) system. Analyses were carried out on a C18 column (150 mm  $\times$  4.6 mm i.d., 5 µm particle diameter, MN, Germany) with following conditions: flow rate 1 mLmin<sup>-1</sup>and injection volume 20 µL with mobile phase consisting of water ( A) and acetonitrile (B). The gradient elution program was: 20–40% B at 0–3 min, 40–50% B at 3–8 min, 50–50% B at 8–12 min, 50-80 B% at 12–15 min. The UV spectra were recorded between 200 and 499 nm. It should be mentioned that each extract was filtered through a syringe filter (0.22 µm) prior to injection to HPLC-DAD. It should be pointed out that no significant effects of the adsorption of the extracted components of saffron on the filter were observed in this work.

## 2.5 Software requirements

ChromGate v. 3.3.2 was used for HPLC-DAD data collection, exportation and conversion to 167 ASCII format. The statistical computer package "Design-Expert v. 7.1.3" (Stat-Ease Inc., 168 Minneapolis) was used for design of experiments, model development and optimization. The 169 PLS Toolbox version 3.5was used for multivariate cluster analysis. All calculations were 170 performed in MATLAB v. 8.3 (Mathworks, Natick, MA, USA). The MATLAB codes for 171 baseline correction and elution time shift correction were downloaded from the internet 172 (*http://www.models.kvl.dk/algorithms*). 173

# 3. Results and discussion

## 3.1. Multivariate optimization of UASE-USAEME procedure

In the first step of the present study, effective parameters on the UASE–USAEME method including type and volume of extraction solvent, type and volume of preconcentration solvent, amount of sample and sonication time was optimized using multivariate strategy. In this regard, the sum of peak areas of all detectable constituents in HPLC-DAD was chosen as the response for modeling. Due to the qualitative nature of the type of extraction and preconcentration solvents, their optimum solvents were chosen using univariate strategy.

The extraction solvents for UASE were chosen based on the number of components that can be 183 extracted from saffron and the peak area of these components in HPLC-DAD chromatogram. In 184 this regard, solvent polarity, molecular weight and viscosity were considered as important 185 solvent parameters in the extraction. According to these properties, several solvents such as 186 methanol as a protic solvent (MW= 32.04 g mol<sup>-1</sup>, d=0.7918 g cm<sup>-3</sup>, dipole moment= 1.69 D), 187 water (MW= 18.01 g mol<sup>-1</sup>, d= 0.9999 g cm<sup>-3</sup>, dipole moment= 1.85 D) and acetonitrile as an 188 aprotic solvent (MW=41.05 g mol<sup>-1</sup>, d=0.7860 g cm<sup>-3</sup>, dipole moment= 3.92D) were tested. 189

Among these solvents, water showed the highest efficiency in terms of peak areas and number of190peaks (i.e., 15 peaks). Therefore, it was selected as extraction solvent.191

On the other side, USAEME requires high –density preconcentration solvent which was chosen 192 based on immiscibility in water and good solubility of the target analytes. Therefore, 100  $\mu$ L of 193 aprotic organic solvents, such as ethyl acetate (C<sub>4</sub>H<sub>8</sub>O<sub>2</sub>) (d= 0.897 g cm<sup>-3</sup>), carbon tetrachloride 194 (CCl<sub>4</sub>) (d=1.587 g cm<sup>-3</sup>), chloroform (CHCl<sub>3</sub>) (d=1.489 g cm<sup>-3</sup>) and dichloromethane (CH<sub>2</sub>Cl<sub>2</sub>) 195 (d=1.330 g cm<sup>-3</sup>) were individually tested. Inspection of the results showed that chloroform is the 196 most effective solvent in preconcentration of the analytes (enrichment factor were higher than 197 10); therefore, it was chosen as USAEME solvent in this work. 198

#### Figure 1 goes here

Fig. 1 depicts the HPLC chromatogram of the extracted saffron components after UASE (a) (60 mg saffron sample, 2.0 mL H<sub>2</sub>O as extraction solvent and 20 min sonication time) and after preconcentration by UASE-USAEME (b) (60 mg saffron sample, 2.0 mL H<sub>2</sub>O as extraction solvent, 20 min sonication time and 100  $\mu$ L chloroform as preconcentration solvent). It should be pointed out that HPLC analyses were carried out on a C18 column (150 mm × 4.6 mm i.d., 5  $\mu$ m with the following conditions: flow rate 1 mLmin<sup>-1</sup> and injection volume 20  $\mu$ L with mobile phase consisting of water (A) and acetonitrile (B). The gradient elution program was: 20–40% B at 0– 1403 min, 40–50% B at 3–8 min, 50–50% B at 8–12 min, 50-80 B% at 12–15 min. It is clear that USAEME can enrich most of the extracted components in UASE step.

After finding proper solvents for extraction and preconcentration of saffron components,209optimization of other effective parameters on UASE-USAEME procedure including extraction210solvent volume, preconcentration solvent volume, sonication time and sample amount was211performed using response surface methodology (RSM).212

In order to achieve the highest practical method performance and to obtain the conditions that the 213 procedure generates the best response, a rotatable central composite design (CCD)<sup>30</sup> was used. In 214 this study, a rotatable CCD with  $\alpha$ = 2.00 was used for the optimization of the effective factors on 215 UASE-USAEME for the characterization of non-volatile components in saffron. Table 1 shows 216 the effective factors, their abbreviations and their levels for the rotatable CCD. Also, Table S1 217 (supporting information) demonstrates the CCD design matrix and obtained response for each 218 run. It is important to note that the low and high levels of each factor were determined according 219 to literature data and preliminary studies<sup>1,3,31</sup>. 220

# Table 1 goes here

A step-wise multiple linear regression (MLR) was used to select a suitable response surface model. To evaluate the model and the significance of the effects, analysis of variance (ANOVA) was used. Table 2 shows the ANOVA table for CCD design matrix. The F-values implies that the proposed model is important and the lack of fit is not significant relative to the pure error.

#### Table 2 goes here

After analyzing the data, a quadratic response surface model based on a higher F- and R-value and lower lack of fit (LOF) to fit the experimental data was selected. This model which consists of four main effects, a couple of two factor interactions and four curvature effects are shown in Eq. (1) in a coded form:

$$Y = 4.7 \times 10^{9} - 1.8 \times 10^{8} A + 1.4 \times 10^{9} B + 1.9 \times 10^{8} C - 2.7 \times 10^{9} D +$$
(Eq. 1)  
8.6×10<sup>8</sup> AD - 6.8×10<sup>8</sup> BD + 4.9×10<sup>8</sup> A<sup>2</sup> + 8.2×10<sup>8</sup> B<sup>2</sup> + 5.5×10<sup>8</sup> C<sup>2</sup> + 8.3×10<sup>8</sup> D<sup>2</sup>

236

The *p*-value of 0.077 for LOF implies that it is not significant relative to the pure experimental233error and confirms the validity of the model. Other statistical parameters of the model are shown234in Table 3.235

## Table 3 goes here

R-squared that is a measure of the amount of variation around the mean explained by the model was 0.873 for this model. Another important parameter for evaluating the model is adjusted R-238 squared  $(R_{Adi}^{2})$ . This parameter is considered as a measure of the amount of variation around the mean explained by the model adjusted for the number of terms in the model. In other words, the R<sub>Adi</sub><sup>2</sup> decreases as the number of terms in the model increases. In addition, predicted R-square 241  $(R_{Pred}^{2})$  which is a measure of the amount of variation in new data explained by the model can be applied for the evaluation of the model. The  $R_{Pred}^2$  and the  $R_{Adj}^2$  values for the above model were 0.806 and 0.641, respectively. The term "adequate precision" in Table 3 represents the signal-tonoise (S/N) ratio. Ratio greater than 4.0 indicates that the model is adequate<sup>32</sup>. For the proposed model, this value is 12.27 and indicates a very good signal-to-noise ratio. All of these statistical 246 parameters show the reliability of the model. After obtaining the desired model and statistical evaluation of it, confirming the absence of outlier in the data is very important. In this regard, two frequently used methods of leverage and Cook's distance were used<sup>24, 33</sup>. 249

## Figure 2 goes here

Fig. 2(a) shows the leverage plot for quadratic model obtained from central composite design. It251can be seen from this figure that all of the leverage values are lower than 0.75 (threshold value)252and it can be concluded that there is no outliers or unexpected errors in the model. This result has253been confirmed with the Cook's distance plot in Fig. 2(b) where all runs are in the confidence254interval and there no outlier in the model.255

#### Figure 3 goes here

Fig. 3(a) and (b) depicts the response surface and contour plot showing the effect of sample 257 amount (B) and extraction solvent volume (D) on the response at the fixed values of 258 preconcentration solvent volume (A) and sonication time (C) in their center values (see Table 1). 259 This figure clearly shows that the extraction solvent (water) volume has a negative effect on 260 response but sample amount has a positive effect on the response. Presence of curvature in the 261 model shows that interaction between sample amount and extraction time is significant. 262

## Figure 4 goes here

Fig. 4(a) and (b) demonstrates the response surface and contour plot showing the effect of preconcentration solvent volume (A) and extraction solvent volume (D) on the response at the fixed values of sample amount (B) and sonication time (C) in their center values (see Table 1). This figure also clearly shows interaction between preconcentration solvent volume (A) and extraction solvent volume (D) is significant.

In general, 30 experiments have been performed using experimental design to find optimum conditions for four effective extraction parameters in five levels with corresponding replicates. In case of OVAT strategy, at least 60 experiments (3 replicates for each run) were needed to find optimum conditions. However, the interaction effects between factors and their quadratic terms showing the curvature in the response surface cannot be studied.

The validated response surface model was finally optimized using Nelder-Mead simplex 274 optimization method (also known as variable-size simplex method) to get the optimum values of 275 the effective factors on UASE-USAEME. In this regard, the optimization space of the significant 276 factors in the obtained model was constrained in their initial range (shown in Table 1) and the 277 goal of optimization was obtaining maximum sum of peak areas. The simplex algorithm found 278

the maximum peak area of  $1.89 \times 10^{10}$  for the model in Eq. (1) where the optimum extraction 279 parameters were as follows: 79.6 mg sample amount, 1.1 mL water as extraction solvent, 62.7µL 280 chloroform as preconcentration solvent, and 18.6 sonication time. 281

Finally, for evaluation of the developed model and corresponding optimum extraction 282 parameters, the UASE-USAEME procedure and HPLC-DAD analysis were repeated three times 283 (n = 3) at optimum conditions and the experimental response of  $1.80 \times 10^{10}$  was obtained. The 284 experimental response was in agreement with the calculated one by model according to the 285 confidence interval in the data which was in the range  $1.6 \times 10^{10}$  to  $2.1 \times 10^{10}$ . 286

# 3.2. Characterization of the Non-volatile components of saffron

The HPLC-DAD Chromatogram of non-volatile components of saffron in the optimized extraction conditions is shown in Fig. 5.

# Figure 5 goes here

As it can be seen, a lot of number of components is extracted from saffron and separated with reasonable chromatographic resolution. Identification of the isolated components from saffron was carried out by comparing their spectral profiles and retention times with those of standards and identified components in the literature<sup>34,35,36</sup>.

#### Table 4 goes here

The main identified components and their retention times and their maximum absorption 297 wavelengths are presented in Table 4. These main components are safranal, picrocrocin<sup>34</sup>, 298 crocetin derivatives<sup>10</sup> such as crocin, crocetin-mono-( $\beta$ -D-glucosyl)-ester, crocetin-di-( $\beta$ -D- 299 glucsyl)-ester and carotenoids derivatives such as kaempferol<sup>35,36</sup> and kaempferol-3,7,40- 300 triglucoside<sup>35</sup>.

The obtained HPLC-DAD chromatograms of standard saffron sample can be considered as a 302 reference chromatographic fingerprint for the quality control of different commercial saffron 303 samples. Additionally, the proposed analytical method can be used as an alternative method to 304 ISO3632 for quality control of saffron. However, the proposed method has many advantages 305 prior to the ISO3632. These advantages are faster extraction (18.6 min instead of 60 min for ISO 306 method), lower solvent (1.1 mL instead of 5.0 mL for ISO method), lower sample amount (79.6 307 mg instead of 500 mg for ISO method), more efficient extraction of components (twenty 308 extracted chemical components for current method instead of three components for ISO method) 309 with higher relative concentrations), and considering more number of components in the quality 310 evaluation of saffron. 311

# 3.3 Multivariate clustering of commercial saffron samples

To show the potential of our method for saffron quality control, fifteen commercial samples are chosen and they extracted and analyzed using optimized UASE-USAEME-HPLC-DAD method in triplicate.

## Figure 6 goes here

Figure 6 shows the overlaid HPLC-DAD fingerprints of fifteen saffron samples from five 318 different commercial brands listed in Table 5. 319

## Table 5 goes here

Shifts of elution times for the same chemical components in different samples were an important 321 issue that can be clearly seen from this Figure. It should be pointed out that the amounts of 322 elution time shift were different among various runs and for different peaks. In addition, other 323 common chromatographic problems, such as baseline/background contribution, low S/N peaks, 324

noise and peak overlap existed in the chromatographic fingerprints of saffron samples. 325 Therefore, effects of baseline/background contribution and elution time shifts were corrected 326 using asymmetric least squares (AsLS)<sup>37</sup> and correlation optimized warping (COW)<sup>38</sup>, 327 respectively before cluster analysis. 328

For multivariate clustering of chromatographic fingerprints of commercial saffron samples and 329 comparing their fingerprints with the standard saffron sample, the corrected data matrix was 330 analyzed using PCA. Autoscaling was chosen as a preprocessing step before PCA analysis. 331

# Figure 7 goes here

Figure 7 shows the results of PCA analysis. The PC1-PC3 plot accounted for 51.86 % explained variance (PC1= 22.91 %, PC2=15.81 % and PC3=13.14 %). The scores plot in Figure 7 shows samples distribution in 3D space of the first, second and third principal components. The chromatographic fingerprint of standard saffron sample is shown in red. As can be seen, most of the samples have similar scores as standard. However, there are some samples with thoroughly different scores on three PCs. PCA can give a clear picture of the similarities and dissimilarities of chromatographic fingerprints of commercial saffron samples with the standard one. To have a better discrimination between clear-cut clusters distance-based clustering methods, such as hierarchical cluster analysis (HCA) and k-means can be used<sup>39</sup>.

# Figure 8 goes here

As an instance, Figure 8 shows the cluster analysis results obtained by k-means method. By selecting the linkage of 1.5 as the threshold in this dendrogram, samples belong to three clear-cut clusters. Standard saffron sample is highlighted as red in this figure. Similar to the PCA results, the similarities and dissimilarities between standard and commercial saffron samples can be 346

clearly seen using this figure. In other words, the samples with similar chemical composition 347 (chromatographic fingerprint) as standard saffron sample are placed in the same cluster (green 348 color) and the other samples are placed in two different clusters (red and blue colors). 349 In summary, the chemometrics-based strategy in this work provided a complete set of useful 350 information from the chromatographic fingerprints of saffron in the presence of different 351 chromatographic problems. Multivariate optimization of UASE-USAEME-HPLC-DAD was 352 performed and then the optimized method combined with multivariate clustering method was 353 used for quality control of commercial saffron samples. Additionally, main chemical components 354 of saffron were tentatively identified. 355

# 4. Conclusion

A chemometric-assisted strategy was proposed for extraction of bioactive constituents of saffron 358 using UASE-USAEME combined to HPLC-DAD. Multivariate optimization based on RCCD 359 and MLR was used for optimization of the effective parameters on the efficiency of extraction 360 procedure. Good statistical parameters were obtained for the developed model and the values of 361 RSDs and enrichment factors were below 1.0% (n=3) and higher than 10 for all extracted 362 components, respectively in the optimum extraction conditions. All of these results confirmed 363 the reliability of the proposed method. Finally, the developed analytical method was used as a 364 reliable method for quality control of commercial saffron samples provided from different 365 markets. Additionally, multivariate clustering methods of PCA and k-means are used for finding 366 similarities and dissimilarities between standard and commercial saffron samples according to 367 their HPLC fingerprints. Furthermore, the proposed strategy in this work can be used as an 368 alternative method to ISO3632 for quality control of saffron. Inspection of the results showed 369

that the proposed strategy in this work is more accurate and unbiased and also extracts a more370complete set of information from data, compared to conventional methods.371372372Acknowledgement373

The authors would like to thank Dr. F. Momenbeik from Department of Chemistry, University of Isfahan for his kind helps, comments and discussion in the HPLC-DAD analysis part of this work. Also, H. P. would like to thank National Elite Foundation of Iran for Dr. Ashtiyani Research grant.

# References

- 1. M. Jalali-Heravi, H. Parastar and H. Ebrahimi-Najafabadi, *J. Chromatogr. A*, 2009, 1216, 6088-6097.
- 2. M. Zougagh, B. Simonet, A. Rios and M. Valcarcel, *J.Chromatogr.A*, 2005, 1085, 293-298.
- 3. H. Sereshti, R. Heidari and S. Samadi, Food Chem., 2014, 143, 499-505.
- 4. A. Kyriakoudi, A. Chrysanthou, F. Mantzouridou and M. Z. Tsimidou, *Anal. Chim. Acta*, 2012, 755, 77-85.
- 5. M. Zougagh, A. Ríos and M. Valcárcel, Anal. Chim. Acta, 2005, 535, 133-138.
- J. Escribano, A. Rubio, M. Alvarez-Ortí, A. Molina and J. A. Fernández, J. Agric. Food Chem., 2000, 48, 457-463.
- 7. C.-Y. Li and T.-S. Wu, J. Nat. Prod., 2002, 65, 1452-1456.
- 8. M. Jalali-Heravi, H. Parastar and H. Ebrahimi-Najafabadi, Anal. Chim. Acta, 2010, 662, 143-154.
- R. Kumar, V. Singh, K. Devi, M. Sharma, M. Singh and P. S. Ahuja, *Food Rev. Int.*, 2008, 25, 392
   44-85.
- P. A. Tarantilis, A. Beljebbar, M. Manfait and M. Polissiou, *Spectrochim. Acta A*, 1998, 54, 651 394
   657.
   395
- 11. J. Escribano, G.-L. Alonso, M. Coca-Prados and J.-A. Fernández, *Cancer Lett.*, 1996, 100, 23-30. 396

12.	P. A. Tarantilis and M. G. Polissiou, J. Agric. Food Chem., 1997, 45.462-459,	397
13.	M. Zougagh, A. Ríos and M. Valcárcel, Anal. Chim. Acta, 2006, 578, 117-121.	398
14.	P. Lozano, D. Delgado, D. Gomez, M. Rubio and J. Iborra, J. Biochem. Bioph. Meth., 2000, 43,	399
	367-378.	400
15.	G. L. Alonso, M. R. Salinas , F. J. Esteban-Infantes and M. A. Sánchez-Fernández, J. Agric. Food	401
	Chem., 1996, 44, 185-188.	402
16.	P. A. Tarantilis, M. Polissiou and M. Manfait, J. Chromatogr.A, 1994, 664, 55-61.	403
17.	N. Zarghami and D. Heinz, Phytochem., 1971, 10, 2755-2761.	404
18.	M. D'Auria, G. Mauriello and G. L. Rana, Flavour Frag. J., 2004, 19, 17-23.	405
19.	C. D. Kanakis, D. J. Daferera, P. A. Tarantilis and M. G. Polissiou, J. Agric. Food Chem., 2004,	406
	52, 4515-4521.	407
20.	J. Regueiro, M. Llompart, C. Garcia-Jares, J. C. Garcia-Monteagudo and R. Cela, J. Chromatogr.	408
	<i>A</i> , 2008, 1190, 27-38.	409
21.	F. Kamarei, H. Ebrahimzadeh and Y. Yamini, Microchem. J., 2011, 99, 26-33.	410
22.	M. A. Bezerra, R. E. Santelli , E. P. Oliveira, L. S. Villar and L. A. Escaleira, Talanta, 2008, 76,	411
	965-977.	412
23.	D. Baş and İ. H. Boyacı, J. Food Eng., 2007, 78, 836-845.	413
24.	R. Leardi, Anal. Chim. Acta, 2009, 652, 161-172.	414
25.	N. Hakimzadeh, H. Parastar and M. Fattahi, J. Chromatogr. A, 2014, 1326, 63-72.	415
26.	H. Parastar, M. Jalali-Heravi, H. Sereshti and A. Mani-Varnosfaderani, J. Chromatogr. A, 2012,	416
	1251, 176-187.	417
27.	S. Wold, K. Esbensen and P. Geladi, Chemom. Intell. Lab. Syst., 1987, 2, 37-52.	418
28.	R. Bro, A. Smilde, Anal. Methods, 2014, 6, 2812-2831.	419
29.	P. A. Burrough, P. F. van Gaans and R. MacMillan, Fuzzy Sets Syst., 2000, 113, 37-52.	420
30.	D. T. Campbell, J. C. Stanley and N. L. Gage, Experimental and quasi-experimental designs for	421
	research, Houghton Mifflin Boston, 1963.	422
31.	A. Kyriakoudi, A. Chrysanthou, F. Mantzouridou and M. Z. Tsimidou, <i>Anal.Chim.Acta</i> , 2012, 755, 77-85.	423 424
32.	E. Morgan, Chemometrics: experimental design, Wiley New York, 1995.	425 426
33.	D. C. Montgomery, Design and analysis of experiments, John Wiley & Sons, 2008.	427
34.	H. Caballero-Ortega, R. Pereda-Miranda and F. I. Abdullaev, Food Chem., 2007, 100, 1126-	428
	1131.	429

35.	M. Carmona, A. M. Sánchez , F. Ferreres, A. Zalacain, F. Tomás-Barberán and G. L. Alonso,	430
	Food Chem., 2007, 100, 445-450.	431
36.	M. Ashrafi, S. Bathaie, M. Taghikhani and A. Moosavi-Movahedi, Int. J. Biol. Macromol.	432
, 2005,	36, 246-252.	433
37.	P. H. Eilers, Anal. Chem., 2004, 76, 404-411.	434
38.	G. Tomasi, F. van den Berg and C. Andersson, J. Chemom., 2004, 18, 231-241.	435
39.	R. G. Brereton, Multivariate pattern recognition in chemometrics: illustrated by case studies,	436
	Elsevier, 1992.	437
		438
		439
		440
		441
		442
		443
		444
		445
		446
		447
		448
		449 🚽
		450 <
		451
		452
		453
		454
		455
		456

Factor	Symbol	Level				
		-α	-1	0	+1	$+\alpha$
Preconcentration solvent volume ( $\mu$ L)	А	20	40	60	80	100
Sample amount (mg)	В	10	30	50	70	90
Sonication time (min)	С	5	10	15	20	25
Extraction solvent volume (mL)	D	1	2	3	4	5

Table 1Factors, their notations, and their levels in central composite design (CCD)

Source	SS	d.f.	MS	F-Value	Prob > F	
Model	$2.844 \times 10^{20}$	10	2.844×10 <sup>19</sup>	13.12	< 0.0001	Significant
А	$7.640 \times 10^{17}$	1	$7.640 \times 10^{17}$	0.35	0.5598	
В	4.575×10 <sup>19</sup>	1	4.575×10 <sup>19</sup>	21.10	0.0002	
С	$9.277 \times 10^{17}$	1	$9.277 \times 10^{17}$	0.43	0.5208	
D	1.796×10 <sup>20</sup>	1	1.796×10 <sup>20</sup>	82.86	< 0.0001	
AD	1.189×10 <sup>19</sup>	1	1.189×10 <sup>19</sup>	5.49	0.0302	
BD	7.522×10 <sup>18</sup>	1	7.522×10 <sup>18</sup>	3.47	0.0780	
$A^2$	$6.821 \times 10^{18}$	1	$6.821 \times 10^{18}$	3.15	0.0921	
$B^2$	1.853×10 <sup>19</sup>	1	1.853×10 <sup>19</sup>	8.55	0.0087	
$C^2$	$8.422 \times 10^{18}$	1	$8.422 \times 10^{18}$	3.88	0.0635	
$D^2$	$1.872 \times 10^{19}$	1	$1.872 \times 10^{19}$	8.63	0.0084	
Residual	4.119×10 <sup>19</sup>	19	$2.168 \times 10^{18}$			
Lack of fit	3.759×10 <sup>19</sup>	14	2.685×10 <sup>18</sup>	3.73	0.0768	Not significant
Pure Error	3.596×10 <sup>18</sup>	5	$7.192 \times 10^{17}$			
Corrected Total	3.256×10 <sup>20</sup>	29				

 Table 2 Analysis of variance (ANOVA) table of the quadratic response surface model.

Parameter	Value	Parameter	Value
Mean	6.88×10 <sup>9</sup>	R <sup>2</sup> <sub>Adj</sub>	0.807
C.V. %	21.40	$R^{2}_{Pred}$	0.642
$R^2$	0.874	Adequate Precision	12.27

Table 3 Statistical parameters for the quadratic model in Eq. (1)

	L			522
Peak	Retention	$\lambda_{max}(nm)$	Estimation of chemical	522
number	time(min)		species	523
1	1.3	250	Picrocrocin	52/
2	1.6	260, 320, 380	kaempferol-3,7,4'-triglucoside	524
3	3.7	320	Safranal	525
4	4.4	250, 330, 450	Cis-crocin3	F 2 C
5	5.0	250, 440, 470	Trans-crocin3	520
6	5.6	255, 425, 450	Trans-crocetin	527
7	5.9	260, 320, 448	Trans-crocin4	
8	6.9	319, 419, 443	Cis-crocetin	528
9	8.2	260, 353, 450	Cis-crocin4	529
				530
				531
				532
				533
				534
				535
				536
				537
				538
				539
				540
				541
				543
				542
				543
				544
				545
				546

**Table 4** Retention time, maximum wavelength of absorption in the UV/Vis spectra and tentative520identities of the most important detected constituents in saffron extract521

Number	Brand name	Samples code
1	Bahraman	B1(1), B2(2), B3(3)
2	Abbasszadeh	A1(4), A2(5), A3(6)
3	Standard	Std(7)
4	Naffis	N1(8), N2(9), N3(10)
5	Saharkhiz	S1(11), S2(12), S3(13)
6	Golestan	G1(14), G2(15), G3(16)

	÷
549	<b>.</b>
550	5
551	S
552	
553	<b>N</b>
554	
555	tec
556	Q
557	ö
558	A
559	S
560	00
561	Ï
562	23
563	Z
564	
565	S
566	Ŕ

567

Table 5 The commercial saffron samples and their codes for multivariate cluster analysis

# **Figure captions**

**Fig. 1**. HPLC chromatogram of the extracted saffron components (a) after UASE and (b) after extraction and preconcentration by UASE-USAEME.

**Fig. 2.** (a) Leverage plot and (b) Cook's distance plot for quadratic model obtained from central composite design. Red lines in (a) and (b) show the threshold level which calculates using statistical tests. An experiment with values greater than limit values is generally regarded as an outlier in the independent variable space.

**Fig. 3.** (a) 3D response surface and (b) contour plot for extraction solvent volume (D) vs. sample amount (B).

**Fig. 4.** (a) 3D response surface and (b) contour plot for preconcentration solvent volume (A) vs. extraction solvent volume (D).

**Fig. 5.** The second-order HPLC-DAD chromatogram of extracted saffron constituents in optimum extraction conditions.

**Fig. 6.** Overlaid HPLC-DAD fingerprints of fifteen saffron samples from five different commercial brands listed in Table 6.

Fig. 7. The score plot of PCA analysis. Red circle shows the standard saffron sample.

**Fig. 8.** Dendrogram obtained by k-means method for standard and commercial saffron samples. Red box demonstrates the standard saffron sample.







Run Number

Run Number

Figure 3







**RSC Advances Accepted Manuscript** 









Figure 7





