



**Asymmetric Aza-Henry Reaction to Provide Oxindoles with Quaternary Carbon Stereocenter Catalyzed by a Metal-Templated Chiral Brønsted Base**

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| Journal:                      | <i>Organic Chemistry Frontiers</i>  |
| Manuscript ID:                | QO-RES-04-2015-000132.R1  |
| Article Type:                 | Research Article  |
| Date Submitted by the Author: | 03-Jun-2015   |
| Complete List of Authors:     | Hu, Ying; Xiamen University, Chemistry<br>Zhou, Zijun; Xiamen University, Chemistry<br>Gong, Lei; Xiamen University, Chemistry<br>Meggers, Eric; University of Pennsylvania, Fachbereich Chemie |
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2 **Asymmetric Aza-Henry Reaction to Provide Oxindoles with Quaternary Carbon**  
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4 **Stereocenter Catalyzed by a Metal-Templated Chiral Brønsted Base**  
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9 Ying Hu,<sup>a</sup> Zijun Zhou,<sup>a</sup> Lei Gong,<sup>a,\*</sup> and Eric Meggers<sup>a,b\*</sup>  
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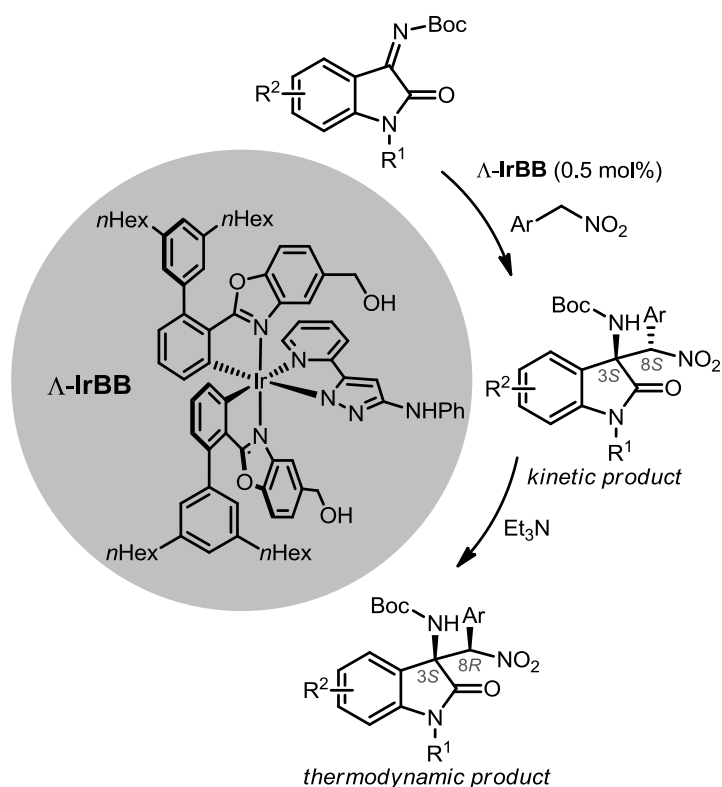
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14 <sup>a</sup>Key Laboratory for Chemical Biology of Fujian Province and Department of Chemical Biology,  
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16 College of Chemistry and Chemical Engineering, Xiamen University, Xiamen 361005, People's  
17  
18 Republic of China  
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21  
22 <sup>b</sup>Fachbereich Chemie, Philipps-Universität Marburg, Hans-Meerwein-Strasse, 35043 Marburg,  
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25 Germany  
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29 \*Email: gongl@xmu.edu.cn, meggers@chemie.uni-marburg.de  
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**Abstract:**

An asymmetric aza-Henry reaction between isatin-derived ketimines and aryl nitromethanes is catalyzed by an inert octahedral chiral-at-metal iridium(III) complex which serves as a chiral Brønsted base. Initially, a kinetically favored diastereomer is formed with high diastereoselectivity and excellent enantioselectivity, and can be epimerized efficiently under base catalysis into the thermodynamically favored diastereomer. The work underscores the potential of our metal-templated approach for the design of high performance asymmetric catalysts.

**TOC graphic:****TOC text:**

An inert octahedral chiral-at-metal iridium(III) complex serves as a highly effective chiral Brønsted base catalyst for implementing a quaternary carbon stereocenter.

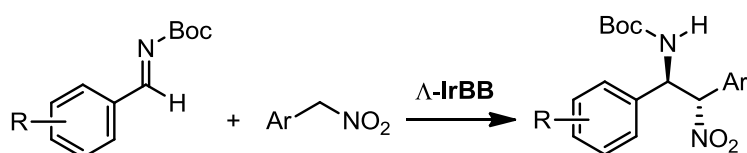
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2 The aza-Henry (nitro-Mannich) reaction, namely the addition of nitronate nucleophiles to imine  
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4 electrophiles, is a highly useful C-C bond forming reaction and provides straightforward access to a  
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6 variety of nitrogen-containing chiral building blocks and scaffolds.<sup>1</sup> The significant  $\alpha$ -C-H acidity of  
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8 nitroalkanes in combination with the high nucleophilicity of the resulting nitronates offers attractive  
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10 opportunities to develop asymmetric versions of these reactions through chiral Brønsted base  
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12 catalysis.<sup>2</sup> Furthermore, the ability to increase the reactivity of imines through hydrogen bond  
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14 interactions provides an additional handle for lowering the energy of the transition state and for  
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16 controlling the asymmetric induction by means of bifunctional catalysis.<sup>2,3</sup>  
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24 Our group recently introduced a new class of bifunctional chiral Brønsted base catalysts based  
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26 on chiral octahedral iridium(III) complexes. In these metal-templated catalysts, the metal fulfills a  
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28 purely structural role and constitutes the exclusive source of chirality (metal-centered chirality),<sup>4-8</sup>  
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30 while the actual catalysis is mediated through carefully arranged functional groups within the organic  
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32 ligand sphere. Based on this concept, we developed iridium(III) 3-aminopyrazolate complexes as  
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34 low-loading catalysts for sulfa-Michael (down to 0.02 mol% catalyst loading) and aza-Henry reactions  
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36 (down to 0.25 mol% catalyst loading).<sup>9,10</sup> We believe that the stereochemical complexity of the  
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38 octahedral scaffold provided us with an advantage with respect to the proper arrangement of  
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40 functional groups and the intrinsic rigidity facilitated a reduced entropic penalty when reaching the  
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42 transition state. To further investigate the merit of such metal-templated chiral Brønsted base catalysts  
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44 in asymmetric catalysis, we herein expand the scope of the previously investigated aza-Henry reaction  
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46 of benzaldehyde derived imines to isatin ketimine substrates.<sup>11,12</sup> Isatin-derived ketimines are highly  
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48 attractive substrates since they are converted to 2-oxindoles with a quaternary stereocenter in  
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50 3-position which constitutes an important chiral structural motif for bioactive natural products and  
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52 drug candidates (Figure 1).<sup>13,14</sup> A few asymmetric catalysts have been reported recently for the  
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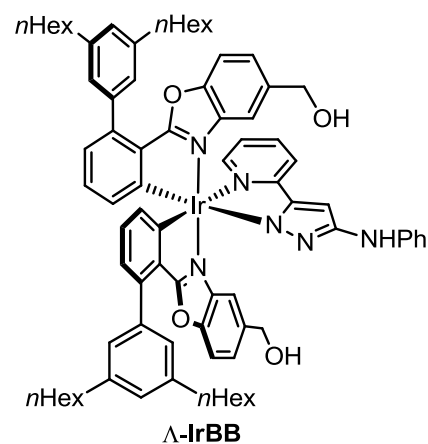
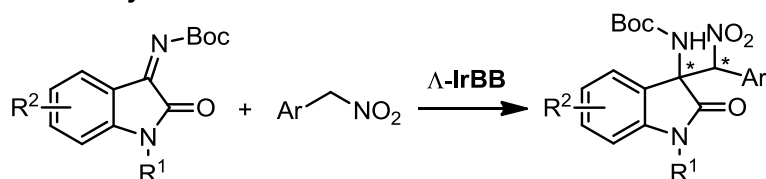
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aza-Henry reaction with isatin-derived ketimines, such as quinine-derived bifunctional organocatalysts developed by Zhou and coworkers,<sup>12a</sup> bis(imidazolidine)pyridine-nickel(II) complexes by Arai's group,<sup>12b</sup> bisoxazoline copper(II) complexes by Pedro and coworkers,<sup>12c</sup> and a bifunctional guanidine-amide catalyst by Feng's group.<sup>12d</sup> However, despite providing excellent results, these reports are limited to nitromethane, nitroethane, and nitropropane, whereas the here presented study deals with the substrate class of aryl nitromethanes.

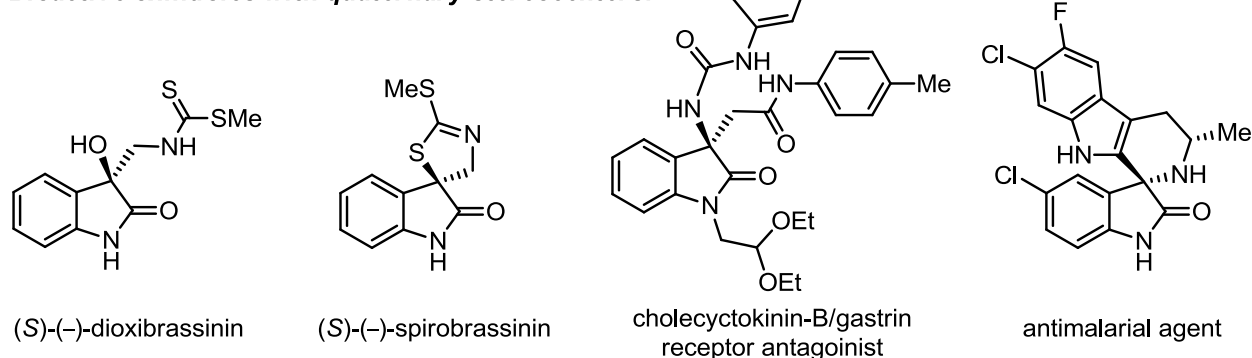
**Previous work:**



**This study:**



**Bioactive oxindoles with quaternary stereocenters:**



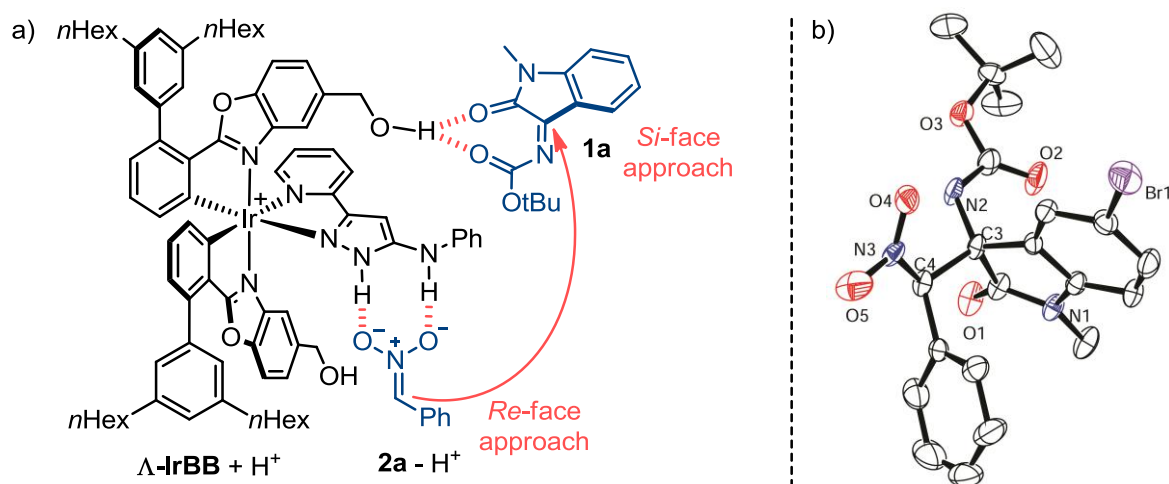
**Figure 1.** Previous work and this study regarding asymmetric aza-Henry reactions catalyzed by a metal-templated Brønsted base together with a selection of bioactive oxindoles with a quaternary stereocenter in the 3-position.

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5 We started our study with the aza-Henry reaction between the isatin *N*-Boc ketimine **1a** and  
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8 (nitromethyl)benzene **2a** (Figure 2, top). Under optimized reaction conditions, at -30 °C using *i*Pr<sub>2</sub>O  
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10 as the solvent (see Supporting Information for a comparison with other solvents), we found that a  
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12 catalyst loading of merely 0.5 mol% led to a complete conversion to the C-C-bond formation product  
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15 **3a** after 24 hours. HPLC analysis on chiral stationary phase revealed that the crude compound was  
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18 formed with high enantioselectivity (96% ee) and high diastereoselectivity (72:1 dr) (Figure 2,  
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21 bottom). Compound **3a** could be isolated as a pure single enantiomer (> 99% ee, 138:1 dr) by washing  
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24 the crude product with a solvent mixture out of toluene and *n*-hexane (1:2). The compound is prone to  
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27 epimerization and, in our hands, could not be purified by silica gel chromatography. When we treated  
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30 the crude **3a** (96% ee, 72:1 dr) with triethylamine (2 equiv) in THF at room temperature, we observed  
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33 a conversion to the diastereomer **3a'** (1:8.5 dr, 96% ee) whose absolute and relative configuration  
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36 were assigned by X-ray crystallography of a brominated derivative (Figure 3).  
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2 stabilization and are optimized by using a fairly nonpolar solvent. Furthermore, it is plausible to  
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4 assume that a three center hydrogen bond is established between two carbonyl groups of the isatin  
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8 *N*-Boc ketimine and one hydroxy group of the catalyst, providing the ternary complex as shown in  
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10 Figure 3a.<sup>16</sup> We verified the importance of the hydroxy group by using a catalyst devoid of both  
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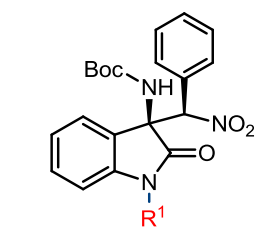
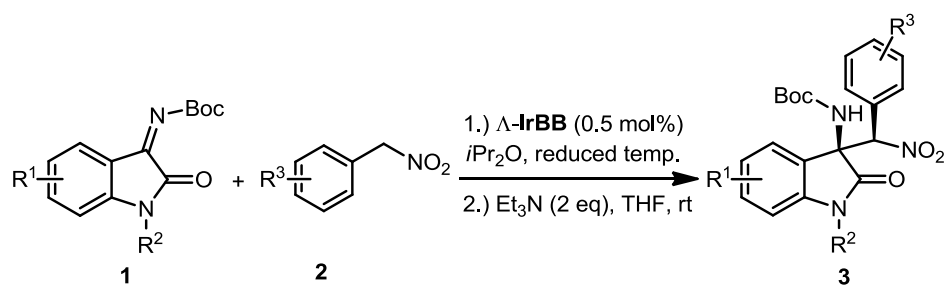
stabilization and are optimized by using a fairly nonpolar solvent. Furthermore, it is plausible to assume that a three center hydrogen bond is established between two carbonyl groups of the isatin *N*-Boc ketimine and one hydroxy group of the catalyst, providing the ternary complex as shown in Figure 3a.<sup>16</sup> We verified the importance of the hydroxy group by using a catalyst devoid of both CH<sub>2</sub>OH groups and we observed only a sluggish catalysis that required an increased catalyst loading to reach a full conversion and provided the product **3a** only with a low diastereo- and enantioselectivity (see Supporting Information for more details). According to our model for the catalysis with  $\Lambda$ -**IrBB**, the two substrates are brought into close proximity and preorganized for a *Si*-face / *Si*-face attack of the nitronate nucleophile to the ketimine electrophile, thereby being consistent with the observed stereochemistry of **3a**. This compound apparently constitutes the kinetically favored product and the high acidity of the proton in  $\alpha$ -position of the nitro group allows a base-catalyzed conversion to the thermodynamically more stable diastereomer **3a'**.



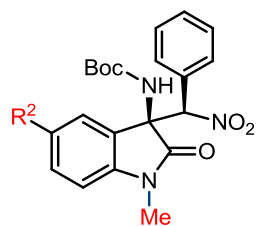
**Figure 3.** Plausible mechanism. a.) Proposed hydrogen bonded ternary complex after proton transfer which leads to the kinetic product **3a**. b.) Crystal structure of the thermodynamic product **3k'** for which the absolute configuration was assigned as *3S,8R*.



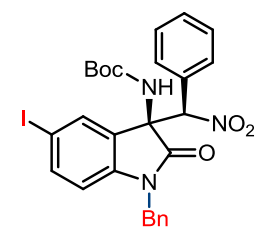
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6 Finally, we investigated the substrate scope of the reaction between isatin *N*-Boc ketimines and  
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8 aryl nitromethanes for the formation of the thermodynamically more stable diastereomers (**3a'-t'**)  
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10 Figure 4 demonstrates that the reaction tolerates electron donating and electron withdrawing groups  
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12 within the indole moiety and within the phenyl group of the nitro substrate, and different substituents  
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14 on the indole nitrogen. Overall, under optimized conditions with a catalyst loading of 0.5 mol%,  
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16 excellent yields were observed (95-99%), high enantioselectivities (92-98% ee), whereas  
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18 diastereoselectivities were found to be in the range between 23:1 and 8:1, which must reflect the  
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20 relative thermodynamic stabilities of the two diastereomers. It is worth noting that the catalyst loading  
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22 can even be reduced to 0.25 mol% while only slightly affecting the stereoselectivity. For example,  
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24 with a loading of 0.25 mol%  $\Lambda$ -**IrBB**, the kinetic product **3a** was obtained with 96% ee and 82:1 dr  
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26 after an elongated reaction time of 36 h at -30 °C, and subsequently converted to the thermodynamic  
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28 product upon treatment with Et<sub>3</sub>N to obtain **3a'** in a yield of 98% with 10:1 dr and 95% ee.  
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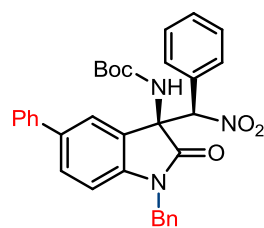
Et (**3b'**): method A, 25 h, 98% yield, 15:1 dr, 98% ee  
Allyl (**3c'**): method A, 23 h, 97% yield, 14:1 dr, 97% ee  
Bn (**3d'**): method A, 12 h, 99% yield, 14:1 dr, 98% ee  
Acetyl (**3e'**): method A, 20 h, 92% yield, 9:1 dr, 98% ee  
H (**3f'**): method E, 30 h, 96% yield, 8:1 dr, 92% ee



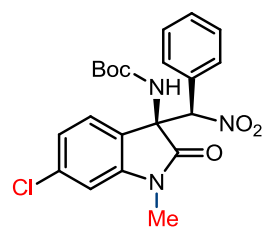
MeO (**3h'**): method C, 22 h, 98% yield, 9:1 dr, 94% ee  
F (**3i'**): method D, 17 h, 99% yield, 19:1 dr, 92% ee  
Cl (**3j'**): method D, 17 h, 99% yield, 11:1 dr, 93% ee  
Br (**3k'**): method D, 24 h, 99% yield, 10:1 dr, 93% ee



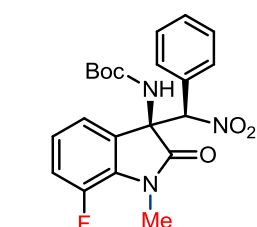
method D, 19 h, 97% yield  
16:1 dr, 97% ee



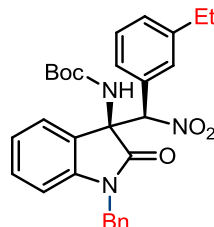
method A, 22 h, 97% yield  
11:1 dr, 98% ee



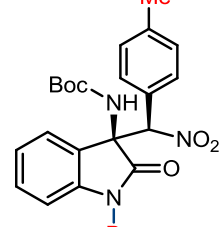
method B, 17 h, 98% yield  
10:1 dr, 92% ee



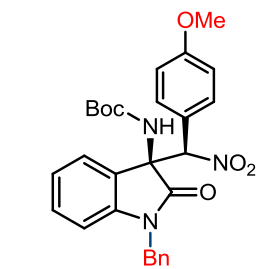
method A, 19 h, 98% yield  
16:1 dr, 90% ee



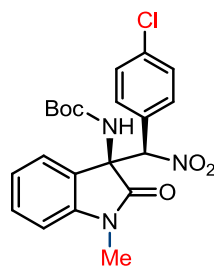
method B, 15 h, 98% yield  
23:1 dr, 98% ee



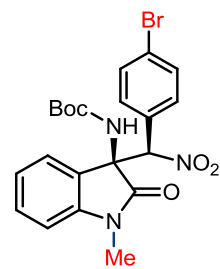
method B, 14 h, 99% yield  
18:1 dr, 98% ee



method C, 14 h, 95% yield  
20:1 dr, 97% ee



method A, 5 h, 99% yield  
9:1 dr, 97% ee



method A, 6 h, 98% yield  
10:1 dr, 96% ee

Figure 4. Scope of the aza-Henry reaction with isatin ketimines catalyzed by  $\Delta$ -IrBB. Method A:

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2 -30 °C at 100 mM imine. Method B: -20 °C at 100 mM imine. Method C: 0 °C at 100 mM imine.  
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5 Method D: -40 °C at 50 mM imine. Method E: -10 °C at 100 mM imine. Shown are isolated yields.  
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8 Diastereo- and enantioselectivities were determined by HPLC on a chiral stationary phase.  
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10 1-Methyl-2-(nitromethyl)benzene and ketimine **1a** with an additional methyl group at the 4-position  
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12 of the oxindole are not suitable substrates for this reaction, presumably due to a hindrance of hydrogen  
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14 bond interactions with the catalyst.  
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21 In conclusion, we developed a diastereoselective (up to 23:1 dr) and highly enantioselective (up to  
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23 98% ee) method to oxindoles bearing a quaternary stereocenter in 3-position by using an aza-Henry  
24  
25 reaction between isatin *N*-Boc ketimines and aryl nitromethanes, catalyzed by an inert octahedral  
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27 bis-cyclometalated iridium(III) complex which served as a chiral Brønsted base. This work  
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29 underscores the potential of our metal-templated approach for the design of high performance  
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31 asymmetric catalysts.  
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## 40 Acknowledgements

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42 We gratefully acknowledge funding from the National Natural Science Foundation of P. R. China  
43  
44 (grant nos. 21272192, 21201143, and 21472154) the Program for Changjiang Scholars and Innovative  
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46 Research Team of P. R. China (PCSIRT), the National Thousand Talents Program of P. R. China, and  
47  
48 the 985 Program of the Chemistry and Chemical Engineering disciplines of Xiamen University.  
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