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Efficient Synthesis and Photovoltaic Properties of Highly Rigid Perylene-embedded Benzothiazolyls

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Perylene-embedded benzothiazolyls, designed by 2D-fusing of dithienylbenzothiadiazoles (DTBT), have been synthesized and characterized for organic photovoltaic (OPV) applications. A new polymer based on the new-developed electron-accepting building block exhibits excellent solubility, stability, and photoabsorbability with optimal bandgaps and frontier orbitals, achieving a power efficiency up to 3.22%.

Fuelled by continuous demands on clean, renewable and environmentally friendly energy sources[1], the rapid development of organic solar cells (OSCs) has been witnessed to show steadily improved organic photovoltaic (OPV) performance with power conversion efficiencies (PCEs) above 9% for single-junction cells[2] and 11% for tandem OSC devices[3] in recent years. The design and synthesis of organic donor materials, which play an indispensable role to effectively harvest solar illumination and transport dissociated holes mainly in donor-acceptor (D-A) molecular architecture, is the main engine that powers the dramatic PCE improvements of OSCs[4]. However, in sharp contrast to the high structure versatility of electron-donating building blocks for OPV donor construction, electron-accepting units[5] are limited to several extensively used ones, owing to the intrinsic electron-rich nature of π-conjugated organic aromatic molecules[6].

The 4,7-dithien-2-yl-2,1,3-benzothiadiazole (DTBT), as a famous strong acceptor for constructing D-A type OPV molecules, is among the most intensively investigated building blocks for high performance OSCs[7]. Impressively, chemical modifications of DTBT, such as fluorine substituting, pyridine replacing and heteroatom bridging, are highly effective to produce new electron-deficient DTBT derivatives for OPV

materials with PCE up to 7.2%[8]. Inspired by the 2D collinearly fused molecular structures of polycyclic aromatic hydrocarbons with flat and rigid aromatic π-conjugation and dense molecular packing to tailor not only the single-molecular electronic properties[9] but also the solid-state aggregation and intermolecular interactions[10] with enhanced charge mobility, we reasoned that the forced planarization of DTBT by covalently fastening adjacent thiophene and central benzothiadiazole may provide a new way to control the bandgap and to enhance the intrinsic charge mobility for high performance OSCs.

The 2D collinearly fused DTBT was designed by covalently fusing with ethylene to form a rigid DTBT (r-DTBT), followed by 2D-fusing with biphenyl to embed a 2D-conjugated perylene structure (**Figure 1**), which is flat and highly aromatic for molecular packing control and electronic property adjustment at both single molecular and aggregated solid states.[11] To increase the solubility and processability, long alkoxy substituents were introduced to afford the target unit (TNTPT); TNTPT was then copolymerized with a widely used electron-rich benzo[1,2-b:4,5-b']dithiophene (BDTT)[12] to test the photovoltaic performance of the new-developed electron-deficient building block.

The synthesis of the 2D-fused perylene-embedded benzothiazolyls commenced with bromination and boronation of benzothiadiazole, iodination of 3-bromothiophene, and alkylation and boronation of 4-bromophenol to prepare **1**, **2**, and **4**, respectively[13]. The Suzuki coupling reaction between **1** and **2** produces **3**, which subsequently reacts with **4** to give **5** also *via* Suzuki cross-coupling catalysed by a palladium(0) complex in good yields (see synthesis and characterization in Supporting Information, **Figure S1-S7**). After bromination and oxidative cyclization of 5, the target monomer **7** was facilely obtained in four steps with total yield around 25% (**Scheme 1**). From the key compound **7**, both small molecule (TNTPT) and

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Figure 1. Molecular design of perylene-embedded benzothiazolyls for OPV applications *via* 2D-fusing strategy

polymer (PTNTPT-BDTT) of the perylene-embedded benzothiazolyl can be prepared by debromodation reaction and Stille coupling polycondensation, respectively.

Owing to the introduction of the long alkoxy groups on the benzothiazolyls, both TNTPT and PTNTPT-BDTT show excellent solubility in common solvents, which is favorable for the spin-coating processing of the active layers during OPV device fabrications. Meanwhile, the embedded rigid perylene enables their good thermal stabilities as revealed by thermal gravimetric analysis (TGA) measurements with the decomposition temperature (Td) over 300°C at a 5% weight loss; the Td of TNTPT (310°C) is about 45°C higher than that of DTBT (265°C)[14], leading to further improved stability (Td=350°C) of its copolymer (PTNTPT-BDTT). The simultaneously achieved good solubility and stable thermal properties of the perylene-embedded benzothiazolyls (**Table 1**) are highly applausive for OPV materials to support the solution processing of the device and long-term stability upon exposure to the solar luminescence in the device operation[15].

To probe the validity of our design strategy for OPV donor materials, the frontier orbital energy levels of TNTPT and PTNTPT-BDTT were investigated using cyclic voltammetric (CV) measurements[16]. From the onset potential of the oxidation waves at 0.49 and 0.53 V, the HOMO energy levels of TNTPT and PTNTPT-BDTT were determined to be -5.28 and -5.32 eV, respectively (**Figure S8**). Combined with the optical energy bandgaps (E_g) of 1.94 and 1.60 eV measured by the absorption onsets at 638 and 770 nm in solid film, their LUMO energy levels were identified to be -3.34 and -3.72 eV (**Table 1**). In comparison with the optimal HOMO and LUMO energy levels of -5.4 and -3.9 eV with E_g of 1.5 eV of ideal OPV donor materials using the typical acceptor of [6,6]-phenyl-C61-butyric acid methyl ester (PC$_{61}$BM)[17], these values of PTNTPT-BDTT are much better than that of the widely used P3HT (poly(3-hexylthiophene), HOMO= -5.15 eV; E_g = 1.9 eV)[18] and PDTBT-BDTT (**Scheme S1**, HOMO= -5.26 eV; E_g = 1.74 eV)[19], showing the great potential of this newly designed perylene-embedded benzothiazolyl as a promising electron-deficient building block

Scheme 1. Synthetic route of perylene-embedded benzothiazolyl (TNTPT) and its polymer (PTNTPT-BDTT)
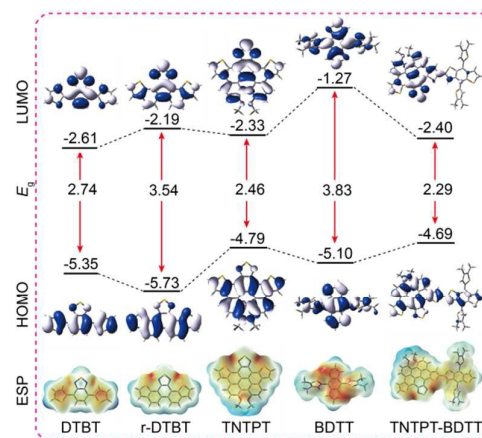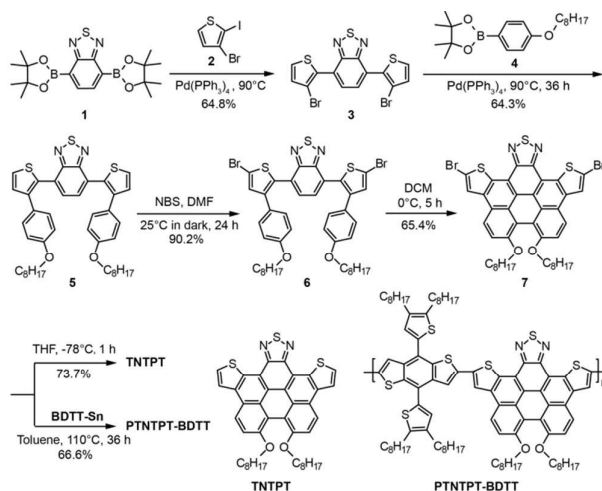
Figure 2. DFT calculated HOMO, LUMO, bandgap (E_g), frontier orbital iso-surface, and electrostatic potential (ESP) of DTBT, r-DTBT, TNTPT, BDTT, and TNTPT-BDTT.

for OPV applications.

Density functional theory (DFT) calculations were then carried out to figure out the tuning mechanism of the 2D-fusing molecular design strategy for OPV materials (**Figure 2**). The initial DTBT has low LUMO of -2.61 eV in theory and -3.40 eV in experiment with small bandgap, showing clearly its electron acceptor feature[7]. The fusing of the surrounding thiophene and the core benzothiadiazole using ethylene leads to increased LUMO and decreased HOMO with enlarged E_g, which can be understood according to our previously proposed HOMO-LUMO interaction model established on the investigation of heterofluorenes[20]. To reduce E_g for absorbing maximum sunlight in OPV applications, conjugated biphenyl is fused to DTBT two-dimensionally to afford a perylene-embedded benzothiazolyl of TNTPT, which shows both higher LUMO and HOMO but smaller E_g than that of DTBT due to extended π-conjugation. In light of the low LUMO of TNTPT (-2.33 eV in theory and -3.34 eV in experiment), the widely used BDTT was adopted as a comonomer to construct a conjugated copolymer[21] following "weak donor-strong acceptor" strategy reported previously in designing the DTBT and BDTT copolymer of PDTBT-BDTT[19]. The dominated LUMO wave function distribution on TNTPT unit of the repeating unit (TNTPT-BDTT) demonstrates clearly the acceptor feature of TNTPT; while the nearly equal contributions of the two monomers on HOMO suggests that BDTT indeed plays as a weak donor in the copolymer, leading to the highest HOMO and lowest E_g of TNTPT-BDTT. Furthermore, the continuous and flat electrostatic potential (ESP) of TNTPT-BDTT in donor-acceptor architecture is favorable for the efficient charge transport after exciton dissociation during OSC operation. These theoretical insights suggest that 2D-fusing is highly effective in π-conjugation extending for decreased E_g with high π-electron delocalization for carrier migration.

Another important factor for an efficient OPV material is the high photo absorption ability in a wide range of wavelength that covers the whole sunlight spectrum. Therefore, the absorption spectra of TNTPT and PTNTPT-BDTT were experimentally measured to assess their sunlight

Table 1. The physcial, optical, and electrochemical properties of DTBT[14], TNTPT, PDTBT-BDTT[19], and PTNTPT-BDTT

Molecule	T_d (^{o}C)	M_W (kDa)	M_n (kDa)	PDI	λ_{peak} (nm) THF	λ_{peak} (nm) Film	$^{opt}E_g$ (eV)	CV (eV) HOMO	CV (eV) LUMO	DFT (eV)[a] HOMO	DFT (eV)[a] LUMO
DTBT	265	0.30	0.30	1.0	445	471	2.16	-5.56	-3.40	-5.35	-2.61
TNTPT	310	0.70	0.70	1.0	544	565	1.94	-5.28	-3.34	-4.79	-2.33
PDTBT-BDTT	-	59	24	2.5	560	600	1.74	-5.26	-3.10	-4.97	-2.68
PTNTPT-BDTT	350	73	53	1.4	655	658	1.60	-5.32	-3.72	-4.69	-2.40

[a] The DFT calculated HOMO and LUMO energy levels of the repeating unit of copolymers.

absorption abilities and theoretically simulated to shed light on the structure-property relations of the 2D-fused DTBTs. From DFT simulations (**Figure 3a**), the fusing of DTBT (r-DTBT) with ethylene leads to enhanced and blue-shifted absorption, due to its rigid molecular structure, overlapped LUMO and HOMO distributions and enlarged E_g as the frontier orbital analysis indicates (**Figure 2**). The 2D-fusing with biphenyl, however, results in significantly red-shifted UV absorption spectrum; and it is further red-shifted (up to 50 nm) and enhanced (almost 2 folds) after the copolymerization with BDTT as in TNTPT-BDTT. In good accordance with the theoretical predictions, the experimentally measured UV-vis absorption spectra (**Figure 3b**) of TNTPT is peaked at 548 and 550 nm in THF and film respectively, which are significantly red-shifted in comparison with that of DTBT at 445 and 471 nm; the copolymer of PTNTPT-BDTT exhibits broader and more red-shifted absorption spectrum with onset absorption of 770 nm (1.60 eV), which is very close to the ideal optical bandgap of 1.50 eV for optimal sunlight absorption. The small red-shift (<5 nm) of

the absorption peaks between that in solution and film is in line with the rigid molecular structure of the perylene-embedded benzothiazolyl monomer (TNTPT) and polymer (PTNTPT-BDTT). Moreover, TNTPT has a very high molar extinction coefficient ($\varepsilon = 9.5 \times 10^4$ M^{-1} cm^{-1}), while that of PTNTPT-BDTT ($\varepsilon = 6.6 \times 10^5$ M^{-1} cm^{-1}) is even higher; these values are among the highest ε of OPV donors[22], indicating the great potentials of the new building block in sunlight absorption for OPV applications.

In light of the suitable frontier orbital energy levels for exciton disociation and optimal optical bandgap with high molar extinction coefficient for sunlight absorption, the photovoltaic properties of PTNTPT-BDTT as organic donor in BHJ solar cells were investigated. Devices A, B, and C were fabricated[23] in a conventional device structure of ITO/PEDOT:PSS (40 nm)/Polymer:Acceptor (120 nm)/Ca/Al (**Figure S9**). With the aid of the widely used acceptor of PC$_{61}$BM, the additive-free BHJ OSCs based on PTNTPT-BDTT show the highest PCE of 1.84% when the weight content of
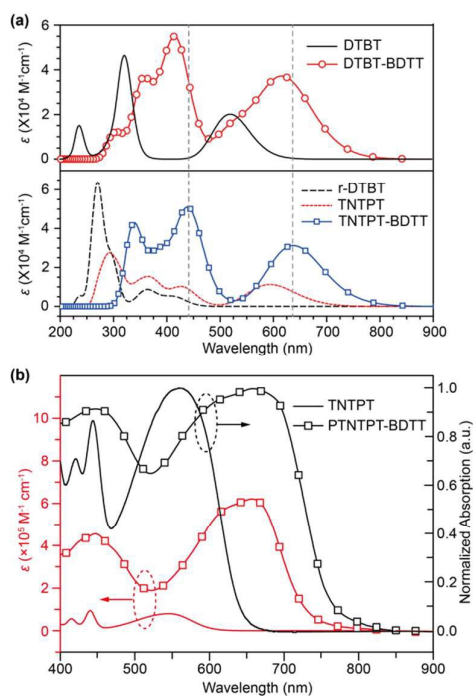
Figure 3. (a) DFT simulated absorption spectra of DTBT, r-DTBT, DTBT-BDTT, TNTPT, and TNTPT-BDTT; (b) Experimentally measured UV-Vis absorption spectra of TNTPT and PTNTPT-BDTT in films (black) and in solution with molar absorptivity (ε) (red).
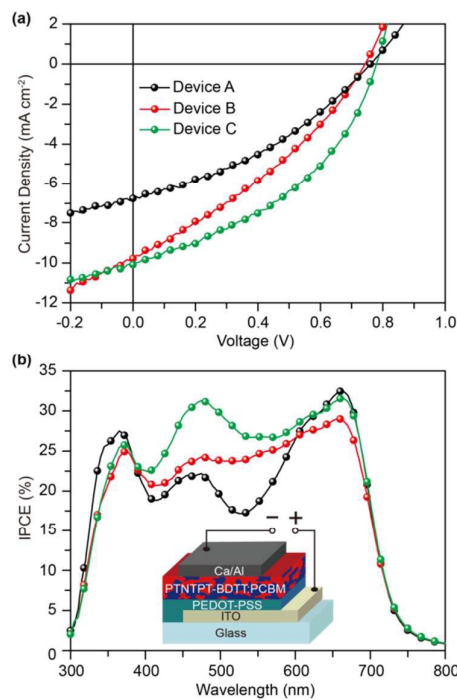
Figure 4. (a) Current density- voltage (*J-V*) curves under AM 1.5G illumination (100 mW/cm2) and (b) incident photon to current efficiency (IPCE) spectra of Devices A-C. inset, the BHJ OPV device structure.

Table 2. Photovoltaic performance of PTNTPT-BDTT:PCBM (w/w, 1:1) BHJ devices

	Acceptor	Additive	J_{sc} (mA cm^{-2})	V_{oc} (V)	FF (%)	PCE (%)
A	$PC_{61}BM$	--	6.75	0.76	35.8	1.84
B	$PC_{71}BM$	DIO	9.78	0.74	32.7	2.36
C	$PC_{71}BM$	CN	10.07	0.78	41.1	3.22

$PC_{61}BM$ is 50% (**Table S1**). At this donor/acceptor weight ratio (1:1), the device performance was improved (PCE=2.15%) using the additive of 1-chloronaphthalene (CN), probably due to the formation of a better bi-phase interpenetrating network of donor and acceptor with enhanced phase separation and increased sunlight absorption for significantly increased short-circuit current density (J_{sc}) and decreased serial resistance (R_s). When stronger sunlight absorber of $PC_{71}BM$ is adopted as the acceptor, the device performances are further improved to PCE=2.36% and 3.22% using additives of 3 vol% diiodooctane (DIO) and CN, respectively (**Figure 4**). To our delight, the preliminary performance of the new OPV material with PCE up to 3.22% and open circuit voltage (V_{oc}) around 0.75 V is better than that of PDTBT-BDTT in PCE (2.11%)[19], and comparable to that of the sophisticated devices of P3HT (PCE=3.5%, V_{oc} = 0.60 V)[18], indicating the effectiveness of our design of the perylene-embedded benzothiazolyls via 2D-fusing strategy for OPV applications (**Table 2**). The small fill factor (FF) may be due to the relatively poor morphology of the blend film (**Figure S10**), although a higher hole mobility (2.1×10^{-5} cm^2 V^{-1} s^{-1}) than that of PDTBT-BDTT (4.4×10^{-6} cm^2 V^{-1} s^{-1}) was identified (**Figure S11**).

In summary, we have synthesized a novel OPV building block of perylene-embedded benzothiazolyl (TNTPT) via a facile four-step synthetic route. This new OPV unit designed by 2D-fusing of DTBT shows low optical bandgap with electron-accepting property and high molecular rigidity with high thermal stability. When copolymerized with the electron-donating BDTT unit, the absorption profile of the copolymer (PTNTPT-BDTT) was red-shifted to a bandgap of 1.60 eV with strong sunlight absorption ability (ε = 6.6×10^5 M^{-1} cm^{-1}), and the frontier orbital energy levels were fine-adjusted to -5.32 (HOMO) and -3.72 eV (LUMO), approaching the optimal values of ideal OPV materials. Preliminary solution-processed BHJ OSCs using the $PC_{71}BM$ as acceptor and aromatic CN as additive gave PCE of 3.22%, which is much higher than that of the non-fused PDTBT-BDTT in similar device structure and comparable to that of the widely investigated P3HT-based OSCs. These findings are important not only for highlighting the feasibility of the 2D-fusing strategy on the widely-used electron-accepting DTBT to achieve low bandgap acceptors as new building blocks for OPV material design, but also for providing a convenient route for the facile synthesis of 2D-fused molecules containing both rigid perylenes and soluble substituents.

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