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Comparison of selenophene and thienothiophene incorporation into pentacyclic lactam-based conjugated polymers for organic solar cells

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In this work, we compare the effect of incorporating selenophene versus thienothiophene spacers into pentacyclic lactambased conjugated polymers for organic solar cells. The two cyclic lactam-based copolymers were obtained via a new synthetic method for the lactam moiety. Selenophene incorporation results in a broader and red-shifted optical absorption while retaining a deep highest occupied molecular orbital level, whereas thienothienophene incorporation results in a blueshifted optical absorption. Additionally, grazing-incidence wide angle X-ray scattering data indicates edge- and face-on solid state order for the selenophene-based polymer as compared to the thienothiophene-based polymer, which orders predominantly edge-on with respect to the substrate. In polymer:PCr₁BM bulk heterojunction solar cells both materials show a similar open-circuit voltage of ~ 0.80-0.84 V, however the selenophene-based polymer displays a higher fill factor of ~ 0.70 vs. ~ 0.65. This is due to the partial face-on backbone orientation of the selenophene-based polymer, leading to a higher hole mobility, as confirmed by single-carrier diode measurements, and a concomitantly higher fill factor. Combined with improved spectral coverage of the selenophene-based polymer, as confirmed by quantum efficiency experiments, it offers a larger short-circuit current density of ~ 12 mA/cm². Despite the relatively low molecular weight of both materials, a very robust power conversion efficiency ~ 7 % is achieved for the selenophene-based polymer, while the thienothiophene-based polymer demonstrates only a moderate maximum PCE of ~ 5.5 %. Hence, the favorable effects of selenophene incorporation on the photovoltaic performance of pentacyclic lactam-based conjugated polymers are clearly demonstrated

Introduction

The development of renewable energy technologies that answer the 1GW/day global energy increase is one of the great challenges of present time.¹ One technology to meet this challenge is the organic solar cell (OSC), combining cheap and high-throughput manufacturing with high power-conversion efficiencies (PCE) over 10 %,²⁻⁵ production of large area solar modules6 and lifetimes of up to 7 years.⁷ The best performing OSCs often utilize an interpenetrating network of a conjugated polymer as the primary light-harvesting and hole transporting

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Therefore, the past few years have seen a surge of material designs for conjugated polymers. Some of these stand out due their favorable material properties, to particularly diketopyrrolopyrrole^{8, 9} or perylene diimide¹⁰, and polymers containing large fused backbone moieties, e.g. various indacenodithiophene derivatives¹¹⁻¹⁴ or other large extended fused systems¹⁵⁻¹⁹. Their molecular design promotes inter-chain stacking via polar groups and/or π - π interactions resulting in improved solid-state order that enables efficient charge separation and transport. Additionally, this design allows for deposition of thick active layers which is essential for large-area processing and also improves phase purity, which potentially reduces recombination.^{17,18} Furthermore, extended fused moieties in the polymer backbone may improve charge separation.²⁰ This allows for a minimal LUMO_{polymer} – LUMO_{PCBM}

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offset that ensures a high open-circuit voltage (V_{oc}) and also a high short-circuit current density (J_{sc}) due the smaller bandgap and thus a wider absorption spectrum. Normally, a minimal LUMO_{polymer} – LUMO_{PCBM} offset would constitute a loss mechanism, either via reduced exciton separation efficiency²¹ or aggravated recombination.^{22, 23}

One building block that combines many of the aforementioned desirable properties is thieno[2',3':5,6]pyrido[3,4-g]thieno[3,2c]isoquinoline-5,11(4H,10H)-dione (TPTI). TPTI is a pentacyclic lactam ring which, when copolymerized with thiophene, yields a small bandgap and semi-crystalline conjugated polymer displaying considerable solid-state order in films. When blended with PC71BM a PCE of 7.8 % has been reached.24 However, reported derivatives of the original TPTI polymer that have incorporated various donor units (thienothiophene (TT), bithiophene (BT), benzodithiophene (BDT) and dithienopyrrole (DTP)) were never able to simultaneously achieve both a redshifted absorption and a high V_{oc} of the corresponding photovoltaic device.^{25, 26} Following several works, most notably by Heeney et al,²⁷⁻³¹ a selenophene donor could however be employed to combine a redshifted optical absorption while retaining a high V_{oc}.³² Although Se-TPTI has been shown to have a redshifted optical absorption (absorption onset = 710 nm), despite the improved spectral coverage, bulk heterojunction solar cells based on Se-TPTI:PC71BM still underperform (PCE ~ 6%) compared to some other well-performing TPTI derivatives. For example, BHJ OSC's based on TT-TPTI and BT-TPTI achieved a PCE of ~ 5.8 % and ~ 6.8 % respectively, whilst having a similar V_{oc} to Se-TPTI but larger bandgaps, i.e. lesser spectral coverage. The exact reason of why Se-TPTI:PC71BM would underperform is not clear.

Therefore, we investigate the effects of selenophene incorporation into TPTI in more detail. We report a new synthesis route for the TPTI unit and compare material

properties of the resulting Se-TPTI copolymer (designated P2) with the previously reported TT-TPTI copolymer (designated P1) as our reference, as it provides a similar open-circuit voltage but a larger bandgap. We find that the incorporation of selenophene indeed retains a deep HOMO level and a simultaneous improvement in spectral coverage. Atomic force microscopy (AFM), transmission electron microscopy (TEM) and photoluminescence (PL) quenching indicate similar polymer:PC71BM blend nanostructures, while grazing incidence wide-angle x-ray scattering (GIWAXS) reveals edge and face-on backbone orientations for Se-TPTI while TT-TPTI orients predominantly edge-on with respect to the substrate. The similar Voc in both blend systems is investigated by Fouriertransform photocurrent spectroscopy (FTPS) and electroluminescence (EL) measurements and is shown to originate due to the similar energy of the charge-transfer (CT) state and similar radiative and non-radiative losses. From external- and internal-quantum efficiency (EQE and IQE) measurements we then conclude that the improved J_{sc} of BHJ OSC based on Se-TPTI:PC71BM can be attributed to the increase in optical absorption and its partial face-on backbone orientation. Despite the low molecular weight of Se-TPTI, a promising PCE of 7.1 % was achieved as compared to the previously reported ~ 6 %. The higher PCE places Se-TPTI among the top selenophene-based conjugated donor polymers and reflects the true potential of the selenophene incorporation into TPTI-based copolymers.

Results and discussion

Material synthesis

To obtain the TPTI core unit, an experimental procedure as reported by Tour et al. was modified (Scheme 1).³³ Initially, a Stille coupling of tert-butyl 2-(trimethylstannyl)thiophen-3-



Scheme 1 | Synthetic routes towards TPTI, P1 and P2. a) LDA, Me₃SnCl, THF, -78 °C, b) NBS, CCl₄, reflux. c) bis(pinacolatodiboron), PdCl₂(dppf)₂, KOAc, DMF, 90 °C. d) Pd₂(dba)₃, P(o-Tol)₃, THF, 70 °C. e) Pd₂(dba)₃, P(o-Tol)₃, dioxane, NaHCO₃ (aq), 90 °C. f) DCM, TFA (route d) 6M HCl, RT (route e). g) 2-hexyldecylbromide, K₂CO₃, DMF, 90 °C. h) NBS, CHCl₃, DMF. i) Pd₂(dba)₃, P(o-Tol)₃, toluene, 90 °C.

ylcarbamate (1) with diethyl 2,5-dibromoterephthalate was performed, resulting in poor yields (16 %) of the 5-fused product after deprotection. Poor yields were attributed to the limited stability of the stannyl compound since part of the N-Boc thiophene was recovered after reaction. Therefore, we employed Suzuki conditions in an attempt to obtain the desired lactam 4. After synthesis of the respective bromide (2) and boronic ester derivative (3), the Suzuki reaction e was performed during which a dark yellow precipitate was formed. This observation suggests that intramolecular cyclization of the product already occurs during the Suzuki coupling reaction, as was also observed in aforementioned work of Tour et al. To ensure complete deprotection and subsequent intramolecular cyclization, 6M HCl was added in step d after which the insoluble TPTI core unit 4 was obtained in good yield (81%). Subsequent alkylation enabled us to purify and collect several yellow, fluorescent fractions. NMR and MALDI-TOF (SI) proved that 5 was obtained, however in moderate yield (19%). Analysis of the other larger obtained fractions suggests that the yield in step e is limited due to the formation of several structural isomers which originates from the ambident reactivity of the amide group. After bromination of 5, the final monomer 6 was obtained which was polymerized with either 2.5bis(trimethylstannyl)thieno[3,2-b]thiophene (P1) or 2,5bis(trimethylstannyl)selenophene (P2) in high yield (>95%).

Physico-chemical properties

We find both polymers to be only moderately soluble in orthodichlorobenzene (DCB), while chloroform (CF) and chlorobenzene (CB) permitted solutions with higher concentrations (10-20 g L⁻¹). The physico-chemical properties of P1 and P2 are listed in Table 1. Both polymers have comparable and limited molecular weights as determined via size-exclusion chromatography (SEC). The oxidation and reduction potentials of P1 and P2 were measured via square-wave voltammetry ((SWV), see SI). For P1 V_{red}^{peak} = -2.14 V, which corresponds to an estimated LUMO value -2.99 eV. For P2 V_{red}^{peak} = -2.06 V was measured, corresponding to a LUMO energy of -3.07 eV, indicating that the LUMO of P2 would be shifted by ~ 0.1 eV downwards in energy as compared to P1. The first and second oxidation peaks overlap for each polymer prevents accurate determination of HOMO values, which in any case seem to be similar in energy. From UV-vis absorption spectroscopy (Fig. 1a)

Table 1 | Summary of physico-chemical properties and GIWAXS results of P1 and P2



Figure 1 | Absorption and solid-state order of P1 and P2. a) UV-Vis spectra of P1 (red) and P2 (blue) in solution (dashed lines) and in films (sold lines). The gain in absorption by the use of P2 is indicated by the horizontal black arrow. b) POM images of the corresponding films suggest the ability to order for both P1 and P2. c) 2D-GIWAXS results of the corresponding pristine polymer films.

an absorption onset of ~ 650 nm was determined and E_q^{opt} ~1.92 eV for P1 and an absorption onset of ~ 700 nm for P2 with a correspondingly lower bandgap of E_g^{opt} ~ 1.76 eV. The advantage of incorporating the selenophene-moiety is a redshifted optical absorption in films by ~ 0.16 eV, thereby suggesting that if the lower LUMO level of P2 does not lead to less efficient charge separation, a larger photocurrent could be extracted from photovoltaic devices based on P2. The effect of incorporating the thienothiophene moiety (P1) is opposite, i.e. its extended conjugated system reduces the electron-donating ability and blue-shifts the optical absorption. Combined with the relatively weak acceptor strength of the TPTI unit it results in reduced intra-molecular charge transfer and therefore a larger bandgap. The above results therefore suggest that OSC's based on P2 will have a similar V_{oc} and potentially a higher short-circuit density as compared to P1.

Several vibronic features are visible in the solid state UV-Vis spectrum, which might suggest that both materials are ordered. Initially, we have probed solid-state order in P1 and P2 with polarized optical miscroscopy (POM) via epitaxial growth of each polymer onto the crystallizable solvent 1,3,5-

Material	M _{n,rel} ^a	PDI	V ^{peak} red	LUMO⁵	E_g^{opt}	Backbone orientation	Lamellar spacing	Disordered phase	π -spacing
Units	kg mol ⁻¹		V	eV	eV	-	Å	Å	Å
P1	9.6	4.7	-2.14	-2.99	1.92	Predominantly edge-on	~ 20.7	~ 4.1	~ 3.58
P2	12.5	5.1	-2.06	-3.07	1.76	Face-on and edge-on	~ 19.8	~ 4.1	absent

^a in 1,2,4-trichlorobenzene (TCB) at 150 °C, relative to polystyrene standards, ^b = $-V_{red}^{peak}$ - 5.13 eV

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trichlorobenzene. The images from POM (Fig. 1b) show that both polymer films exhibit birefringence under polarized light, indicating their ability to order. To obtain more detailed information regarding solid-state order of both polymers, we performed GIWAXS on thin films of P1 and P2 that were spincoated from a CB solution on silicon substrates with a native oxide layer (Fig. 1c and Table 1).

Both polymers show significant lamellar ordering, as indicated by the presence of first and second order out-of-plane diffractions. For P1 the predominant out-of-plane lamellar peaks are present at g value of 3.18 nm⁻¹ and 6.27 nm⁻¹, corresponding to an inter-chain d spacing of 19.8 Å. For P2, the diffraction representing lamellar stacking appears at q value of 3.04 nm⁻¹ and 5.94 nm⁻¹, corresponding to a slightly wider interchain spacing of 20.7 Å (in both cases an orthorhombic unit cell is assumed). For P1, the π -stacking diffraction peak in the GIWAXS pattern is absent, possibly due to the size limitation of the detector. Conversely, a predominant out-of-plane diffraction peak at q value of 17.6 nm⁻¹ was found in the GIWAXS pattern of P2. This peak was attributed to π -stacking with a distance of 3.58 Å that, despite the strong out-of-plane lamellar diffraction, suggests that a significant population of molecules have adopted a face-on orientation with respect to the substrate, potentially arising from nucleation at the interface.³⁴ Additionally, both polymers show a weak isotropic diffraction around 15.2 nm⁻¹ (d = 4.1 Å). Moreover, similar film thickness of P1 and P2 and an identical measurement setup used with the same exposure time reveals a much higher lamellar diffraction peak intensity for P1 (see SI), indicating higher solid state order for P1 compared to P2. While being less ordered than P1, the full width at half maximum (FWHM) value for P2 is slightly smaller which indicates slightly larger crystallites in P2. The incorporation of the selenophene moiety thus results in face-on and edge-on backbone orientation in P2, where the face-on backbone orientation is generally indicated to improve both vertical and lateral charge transport in OSC's and, thus, J_{sc} and FF.^{8, 35} In contrast, P1 appears to be more ordered but displays a predominantly edge-on backbone orientation, which potentially limits vertical charge transport.

Photovoltaic device properties

Photoactive blends were initially optimized in the technologically relevant inverted device geometry (ITO/PFPA-1/Active Layer/MoO₃/Ag), where charge collection polarity is reversed with respect to the conventional architecture to allow for high work-function metal electrodes such as Au and Ag to be used as hole-collecting reflective back electrodes. However, to investigate the full potential of P1:PC₇₁BM and P2:PC₇₁BM based devices we have also tested solar cells in the conventional, standard device geometry consisting of ITO/PEDOT:PSS/Active layer/LiF/Al, which we find currently outperforms inverted devices. Herein, we only discuss photoactive blends used in the standard device geometry. For details regarding processing, device optimization and the conventional and inverted geometries, see the supporting information

The nanostructure of P1:PC₇₁BM and P2:PC₇₁BM blends was investigated by photoluminescence (PL) quenching, transmission electron microscopy (TEM) (Fig. 2a+b, upper panels) and atomic force microscopy (AFM) experiments (Fig. 2a+b, lower panels). PL quenching experiments indicate efficient quenching in these blends: PL is quenched ~ 25 and ~ 18 times in in P2:PC₇₁BM and P1:PC₇₁BM respectively, suggesting that both polymers form a fine nanostructure with PC₇₁BM that favors charge separation and photocurrent generation. TEM imaging further corroborates formation of an



Figure 2 | Morphology studies of (a) P1:PC₇₁BM and (b) P2:PC₇₁BM photovoltaic blends. Top panel shows PL of pristine polymers (black lines) and polymer:PC₇₁BM blends (coloured lines), indicating considerable PL quenching in the blends. The morphology of the corresponding blend films is shown by the TEM images (to the right of PL experiments), indicating a well-intermixed blend morphology. Bottom panel shows the topography and phase AFM images (2µm x 2µm) of the corresponding blend films spin-coated on ITO/PEDOT:PSS as in solar cell devices. Fibril-like structure is more evidently visible in the phase image of P2:PC₇₁BM.

intimately mixed nanostructure for both active layer blends is formed. It is worth noting that both material blends need small additions (1-3%) of the processing agent 1,8-diiodooctane (DIO) to obtain a finely intermixed nanostructure and a concomitantly high J_{sc}. AFM images of P1:PC₇₁BM and P2:PC₇₁BM based devices reveal smooth thin films with root-mean-square height (RMS) values of 2.3 nm and 3.4 nm, respectively. The slightly increased surface roughness of P2:PC₇₁BM blends is attributed to a pronounced fibril-like texture which is present in thin films of both the P2:PC₇₁BM blend and pristine P2.

The enhanced absorption of P2 compared to P1 extrapolates to the performance of OSC's based on P2. For P1 a maximum PCE of 5.5 % is achieved, while OSC's incorporating P2 as the donor material reach PCE over 7 %. As P1 suffers from an inherently lesser spectral coverage as compared to P2, to achieve comparable J_{sc} in OSC's based on P1 the active layer thickness of P1 based devices was increased to match the J_{sc} of P2 (Fig.3a). Although comparable J_{sc} was achieved, we find that thicker P1 based OSC's suffer a loss in both FF and V_{oc} . We observe that if the thickness of the P1:PC₇₁BM devices is optimized, both the FF and the V_{oc} are increased and found comparable to devices based on P2:PC₇₁BM. Thus, FF and V_{oc} losses in thicker

Table 2 | Photovoltaic performance of the most efficient devices

Blend	Thickness (nm)	J _{sc} (mA/cm²)	FF	V _{oc} (V)	PCE (%)
P2: PC ₇₁ BM	~ 100 nm	11.9	0.70	0.84	7.1
P1: PC ₇₁ BM	~ 120 nm	10.1	0.64	0.83	5.4
P1: PC ₇₁ BM	~ 140 nm	11.6	0.59	0.80	5.5

P1:PC71BM are likely induced by a charge carrier transport limitation.³⁶ This is supported by single-carrier diode measurements (SCLC, see SI), which indicate considerably lower hole mobilities in P1:PC₇₁BM as compared to P2:PC₇₁BM, while electron transport was found to be rather similar. We attribute the improved hole transport and, thus, FF in P2:PC₇₁BM to the partly face-on backbone orientation of P2, as supported by the GIWAXS. Resulting OSC's based on P2 are therefore more efficient and outperform those based on P1, most notably due to higher J_{sc}. Additionally, we find the devices based on P2 to be



Figure 3 | Characterization of photovoltaic devices. (a) Current-voltage characteristics of P1:PC₇₁BM (red) and P2:PC₇₁BM (blue) based photovoltaic devices. The red dashed line indicates the j-V curve of a thicker P1:PC₇₁BM device **(b) Quantum efficiency** (open circles) of the corresponding devices in panel a. The shaded area indicates the gain in photocurrent in the red-spectral region by the use of P2. Estimated IQE is indicated by the filled circles, dashed lines are a guide to the eye. **(c) Charge-transfer state** studies by combined FTPS (lines with circles), EQE (open circles) and EL (solid lines) experiments. The shaded area indicates the gain in photocurrent as in panel b. The energy of the charge-transfer state is indicated by the coloured arrows. The fits to FTPS and EL according to Marcus theory are the dashed and the dotted lines respectively. **(d) Recombination** as studied by measuring the light-intensity dependence of the open-circuit voltage, plotted against the photocurrent at -2V. Open circles are the experiment, the dashed lines are fits according ref. 33.

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more reproducible compared to devices based on P1 (see SI). Table 2 summarizes device performance of the most efficient devices.

The redshifted absorption edge of P2 compared to P1 leads to an improvement in J_{sc} , as can be inspected by the EQE of the most efficient OSC's (Fig. 3b). This gain in J_{sc} is in part due to the additional absorption of P2, as the absorption coefficients of the blends in the red spectral region can be scaled to the EQE measurement (see SI), meaning that the absorbed photons are successfully extracted as charges. IQE measurements confirm this observation (Fig. 3b), where a relatively weakly wavelength dependent and similar IQE ~ 80-90 % is observed in both blend systems. Most importantly, the gain in spectral coverage induced by the use of the selenophene unit does not lead to a loss of V_{oc} , evidenced by the similar V_{oc} of P1:PC₇₁BM and P2:PC₇₁BM devices.

Subsequently, we investigated why comparable V_{oc} in both P2:PC₇₁BM and P1:PC₇₁BM devices is achieved, despite a ~ 0.15 eV difference in absorption onset. A well-established empirical rule states that the V_{oc} of a photovoltaic blend is directly related to the energy of the charge-transfer (CT) state.^{37, 38} We thus expect the energy of the CT state to be similar in both blend systems. The energy of the CT state was estimated for each photovoltaic blend by FTPS, i.e. a sensitive measurement of the EQE (Fig. 3c). Again, the gain in EQE by the use of P2:PC71BM instead of P1:PC71BM in the 1.8 - 2 eV region can be observed, as indicated by the shaded area. The lower energy part of the FTPS spectra originates from an excitation in the CT manifold and can be fitted by Marcus theory to estimate the energy of the CT state. We find the estimated energy of the CT state to be similar ~ 1.4 eV in both blend systems, see Figure 3c. This observation is further confirmed by EL measurements (Fig. 3c), as close to identical emission is found in both blend systems. According to detailed-balance theory there is a reciprocal relation between CT absorption and CT emission, 37, 38 i.e. one can be estimated from the other, as indicated by the dashed and dotted CT fit lines. Excellent agreement between scaled emission from EL measurements and CT emission, as estimated from the fit to FTPS experiments, is obtained - therefore EL emission does originate from the CT state and, thus, both blend systems have a similar CT energy. Knowledge of both the EQE from FTPS measurements and the EQE of electroluminescence (EQE_{EL}) allows us to estimate the V_{oc} as outlined in refs 31 and 32. We observe similar EQE_{EL} ~ $1-2 \times 10^{-5}$ values in both blend systems. Estimated radiative and non-radiative losses are ~ 0.28 eV and \sim 0.285 eV for P1:PC₇₁BM, and \sim 0.295 eV and \sim 0.29 eV for P2:PC71BM. Subtracting these losses from the energy of the CT state, the resulting V_{oc} predictions are ~ 0.82 eV and ~ 0.83 eV for P1:PC71BM and P2:PC71BM respectively, in excellent agreement with the experiment in Fig. 3a. We thus conclude that the origin of the similar V_{oc} in both blend systems is due to their similar energy of the CT state and similar radiative and non-radiative losses.

However, despite the advantages of incorporating the selenophene unit into TPTI, it might affect the dominant recombination mechanism present in the photovoltaic blend. We have thus investigated the dependence of the V_{oc} on

illumination intensity (Fig. 3d), i.e. a common tool to study the dominant recombination mechanism in OPV devices. A slope of kT/e = 1 would indicate bimolecular recombination to be the dominant recombination mechanism, however slopes higher than >1 have been shown to indicate trap-assisted recombination.³⁹ For P2:PC₇₁BM we observe a slope close to 1, indicating bimolecular recombination to be the dominant recombination mechanism, however a higher slope for P1:PC71BM is observed. Note that it does not lead to significant photocurrent losses as device J-V curves are largely fieldindependent at reverse bias (Fig. 3a), however it might affect extraction closer to V_{oc} and thus the FF of the operating OSC. Finally, we point out that in ref. 25 a higher FF was observed in devices based on P1:PC71BM than we report here. We propose that the reduced FF in our case originates from the relatively low-molecular weight of P1 (M_n: 9.8 KDa, PDI: 4.7) compared to the previously reported P1 backbone (M_n: 20.6 KDa, PDI: 1.98), which can be readily explained by our use of slightly shorter alkyl side chains (2-hexyldecyl vs. 2-octyldodecyl). Therefore, a higher J_{sc} (via a higher IQE) and a higher FF (via better transport and/or less traps) may be achieved in the future by optimizing the molecular weight and/or processing conditions of P2.

Conclusions

We have developed a new synthetic route for the pentacyclic lactam TPTI unit. Via polymerization with either thienothiophene or selenophene we obtained two TPTI donor copolymers, of which the selenophene derivative offers a combination of deep HOMO and redshifted optical absorption. Additionally, via GIWAXS we find a difference in the solid-state microstructure of each polymer; both edge-and face-on backbone orientations for the selenophene derivative, while the thienothienophene derivative showed only edge-on backbone orientation with respect to the substrate. AFM, TEM and PL-quenching experiments indicate similar blend nanostructures, while analysis of Fourier-transform photocurrent spectroscopy and electroluminescence data indicate virtually the same CT-energy and energy losses, which is the origin of the similar V_{oc} in both blend systems. SCLC revealed improved hole transport in photovoltaic blends based on the selenophene derivative which was attributed to its partly face-on backbone orientation, as supported by GIWAXS. We therefore conclude that the improved photovoltaic performance of BHJ OSC based on the selenophene derivative is due to the partial face-on backbone orientation and improved spectral coverage, leading to a promising PCE of 7.1 % with potential for improvement.

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