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Precise Synthesis of Thermoreversible Block Copolymers Containing Reactive Furfuryl Groups via Living Anionic Polymerization: Countercation Effect on Block Copolymerization Behavior

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The anionic block copolymerization of 4,4'-vinylphenyl-*N*,*N*-bis(4-*tert*-butylphenyl)benzenamine (**A**) with furfuryl isocyanate (**B**) was carried out using potassium naphthalenide (K-Naph) in tetrahydrofuran at -78 and -98 °C to prepare well-defined block copolymers containing furan groups for the formation of

¹⁰ thermoreversible networks via Diels-Alder (DA) reaction. While no block copolymerization was observed in the absence of sodium tetraphenylborate (NaBPh₄) due to side reactions, well-defined poly(**B**-*b*-**A**-*b*-**B**) (P**BAB**) copolymers with controlled molecular weights ($M_n = 18,700-19,500$ g/mol) and narrow molecular weight distributions ($M_w/M_n = 1.08-1.17$) were successfully synthesized in the presence of excess NaBPh₄. The occurrence of the undesirable side reactions during polymerization of **B**

¹⁵ was effectively prevented by NaBPh₄, which results in the change in countercation from K⁺ to Na⁺ for the further polymerization of **B**. The cross-linking via the DA reaction between the furan groups of P**BAB** and bismaleimide was proved by FT-IR and differential scanning calorimetry (DSC), and thermoreversible properties of the cross-linked polymer were subsequently investigated using DSC and solubility testing.

20 Introduction

The polymerization of isocyanates has been investigated by many researchers due to the fascinating properties of the polyisocyanates, resulting from their stiffness and helical conformations. Thus, polyisocyanates have been used for various ²⁵ applications such as chiral recognition and optical switches, and as liquid crystalline materials.¹⁻¹¹ Although polyisocyanates can

- be prepared readily by anionic polymerization,¹²⁻¹⁵ it has been difficult to synthesize well-defined polyisocyanates via living anionic polymerization because of backbiting reactions resulting via formation of trimers. To oversome this problem, we have
- ³⁰ in formation of trimers. To overcome this problem, we have sought efficient ways to prevent trimerization. In particular, we found that the anionic polymerization of *n*-hexyl isocyanate (HIC) can be successfully performed using sodium naphthalenide (Na-Naph) as initiator with additives such as 15-crown-5 or sodium
- ³⁵ tetraphenylborate (NaBPh₄), preventing the formation of trimers.^{16,17} In recent years, the successful living anionic polymerization of HIC has been achieved by using only low reactivity initiators such as sodium benzanilide (Na-BA) or sodium benzhydroxide (Na-BH) that serve the dual functions of ⁴⁰ controlled initiation and chain-end protection.^{18,19}

Building on the successful achievement of living anionic polymerization of HIC, we have sought to establish novel synthetic methods to prepare well-defined functional block copolymers containing HIC blocks. The anionic block 45 copolymerization of styrene (S) and 2-vinylpyridine (2VP) with

- ⁴⁵ copolymerization of styrene (S) and 2-vinylpyridine (2VP) with HIC was successfully carried out in the presence of NaBPh₄, which prevents side reactions during the polymerization of HIC by changing the countercation from K^+ to Na⁺ (Chart 1(a) and (b)).^{20,21} In these cases, the block copolymerization had to be
- ⁵⁰ carried out by sequential addition of the vinyl monomer as the first monomer and HIC as the second monomer due to very low reactivity of living PHIC, which generally cannot initiate polymerization of vinyl monomers. This strategy led to welldefined block copolymers such as PHIC-*b*-PS-*b*-PHIC and P2VP-

ss *b*-PHIC, showing controlled molecular weights (M_n) and narrow molecular weight distributions (M_w/M_n) .





Recently, we succeeded in synthesizing the well-defined polyisocyanate block copolymer, poly(furfuryl isocyanate)-*b*-⁶⁵ poly(*n*-hexyl isocyanate) (PFIC-*b*-PHIC), via living anionic polymerization.²² The PFIC block containing furan groups was functionalized via Diels-Alder (DA) reaction and self-assembly behavior of the functionalized PFIC-*b*-PHIC was subsequently investigated. From the viewpoint of post-polymerization modification of block copolymers, furan (diene) is an interesting ⁵ functional group due to its utility in the DA reaction with

- maleimide groups (dienophile).²³⁻³³ The DA reaction is an attractive synthetic tool to enhance the versatility and applications of block copolymers. In particular, this [4+2] cycloaddition reaction between a diene and a dienophile is a thermally reversible process, and therefore cross-linked polymeric products prepared via the DA reaction can be used for self-healing
- prepared via the DA reaction can be used for self-healing applications.³⁰⁻³³ Anionic polymerization is the most powerful polymerization

technique for precision synthesis of block copolymers. From the synthetic point of view, successful living anionic polymerization of functional monomers allows preparation of well-defined block copolymers with various functionalities.³⁴⁻³⁷ For example, the living character of 4,4'-vinylphenyl-*N*,*N*-bis(4-*tert*butylphenyl)benzenamine (A) provides us with many

- ²⁰ opportunities to synthesize controlled functional block copolymers for a variety of applications.³⁸⁻⁴³ Thermally crosslinkable block copolymers, poly(4-ethynylstyrene)-*b*-poly(**A**)-*b*poly(4-ethynylstyrene) and poly(**A**)-*b*-poly(4-ethynylstyrene), have been synthesized as an alternative hole-transporting layer to
- ²⁵ PEDOT:PSS in polymer light-emitting diodes and an active layer of the memory device. The block copolymers containing conducting and insulating components, poly(2-(2-(4vinylphenyl)ethynyl)pyridine)-*b*-poly(**A**)-*b*-poly(2-(2-(4-
- vinylphenyl)ethynyl)pyridine) and PHIC-*b*-poly(**A**)-*b*-PHIC ³⁰ (Chart 1(c)), have also been prepared for use in polymer memory device applications.



Scheme 1. Block copolymerization of A with B using K-Naph in ³⁵ the (a) absence and (b) presence of NaBPh₄ in THF at -78 and -98 °C.

To the best of our knowledge, block copolymers containing reactive furan groups synthesized by anionic polymerization have ⁴⁰ not been explored yet in the formation of thermoreversible networks via the DA reaction. In this study, we report on the anionic synthesis of well-defined block copolymers containing pendent triphenylamine and furan, and formation of thermoreversible network via the DA reaction. Furfuryl ⁴⁵ isocyanate (**B**) was chosen as a co-monomer for **A** in order to introduce furan groups into the block copolymers. The block copolymerization of **A** with **B** was carried out in the presence of NaBPh₄ to successfully synthesize well-defined poly(**B**-*b*-**A**-*b*-**B**) (P**BAB**) (Chart 1(d)). It will be shown that addition of NaBPh₄ ⁵⁰ significantly influences anionic block copolymerization behavior under the reaction conditions employed here. In addition, thermoreversible properties of cross-linked PBAB formed via the DA reaction with bismaleimide were investigated.





Experimental section

60 Materials

4,4'-Vinylphenyl-*N*,*N*-bis(4-*tert*-butylphenyl)benzenamine (A) and K-Naph were prepared based on literature methods.^{38,44} Furfuryl isocyanate (**B**, Aldrich, 97%) was dried overnight over CaH₂, distilled under reduced pressure, and finally distilled from CaH₂ on a neuron line into annulus acquired with break scale.

65 CaH₂ on a vacuum line into ampules equipped with break-seals. Sodium tetraphenylborate (NaBPh₄, Aldrich, 99.5%) was dried under high vacuum with stirring at 80 °C for 3 days. The monomers, K-Naph and NaBPh₄ were diluted with tetrahydrofuran (THF) and divided into ampules equipped with 70 break-seals. All ampules were stored at -30 °C before use.



Figure 2. Nucleophilicity order of various living polymers.

Measurements

⁷⁵ ¹H NMR spectra were obtained using CDCl₃ as solvent at 25 °C (JEOL JNM-ECX400). The chemical shifts are in reference to tetramethylsilane (TMS) at 0 ppm. The polymers were analyzed by size exclusion chromatography (SEC, Waters M77251, M510) with 4 columns (HR 0.5, HR 1, HR 3, and HR 4, Waters Styragel so columns run in series; the pore sizes of the columns are 50, 100, 10^3 , and 10^4 Å, respectively). The molecular weight distributions of the polymers were estimated by using SEC calibration with polystyrene standards (American Polymer Standards Corp.) using THF containing 2% triethylamine as the eluent at 40 °C. FT-IR 85 (Perkin-Elmer, Inc., version 5.0.1 spectrometer) was used to characterize block copolymers and cross-linked polymers. The block copolymer solution in chloroform was cast over a KBr cell. The cross-linked polymer, insoluble in chloroform, was ground with KBr and then a pellet was formed under pressure. FT-IR ⁹⁰ spectra were recorded over the range of 4000–400 cm⁻¹. The thermal stability of the block copolymers was investigated using thermogravimetric analysis (TA Instruments, TGA-Q50) at a heating rate of 10 °C/min under a nitrogen atmosphere. Differential scanning calorimetry (DSC) analyses of block 95 copolymers and cross-linked polymers were conducted with a TA Instruments DSC-Q20 unit at a heating rate of 20 °C/min under a nitrogen atmosphere.

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Table 1. Block copolymerization of A with B using K-Naph in THF in the presence of NaBPh4.

sample	K-Naph				block copolymer (homopolymer)			
		monomer		NaBPh ₄	<i>M</i> _n x 10 ⁻³		$M_{\rm w}/M_{\rm n}^{\ c}$	$f_{\text{poly}(\mathbf{A})}^{d}$
	mmol	1 st , mmol	2 nd , mmol	mmol	$calcd^a$	$obsd^b$		
P BAB 1	0.0710	A , 0.669	B , 1.87	0.251	15.3 (8.7)	18.7 (9.8)	1.17 (1.12)	0.23
PBAB2	0.0915	A , 1.46	B , 1.17	0.323	17.9 (14.7)	19.5 (16.4)	1.08 (1.08)	0.58

^{*a*} M_n (calcd) = (molecular weight of monomer) × [monomer] × 2 / [initiator]. ^{*b*} M_n of the block copolymer was determined by using M_n of the homopolymer and the molar ratio of each block estimated by ¹H NMR. ^{*c*} M_w/M_n was determined by using SEC calibration with PS standards using THF containing 2% triethylamine as the eluent at 40 °C. ^{*d*} Determined by ¹H NMR.

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5 Block copolymerization in the absence of NaBPh₄

35 Cross-linking via Diels-Alder reaction

All block copolymerizations were conducted in an all-glass apparatus equipped with break-seals under high vacuum. The first-stage polymerization of **A** was carried out with K-Naph in THF at -78 °C for 0.5 h. After sampling poly(**A**), **B** was added to

¹⁰ the living poly(**A**) solution at -98 °C, and the reaction was maintained at -98 °C for 0.5 h. The polymerizations were terminated with methanol and then poured into a large excess of methanol to obtain poly(**A**) and the copolymer product.

Block copolymerization in the presence of NaBPh₄

- ¹⁵ The first-stage polymerization of **A** was performed using K-Naph in THF at -78 °C for 0.5 h and NaBPh₄ was subsequently added to the living poly(**A**) solution at -98 °C after sampling a portion of the living poly(**A**). Then, addition of **B** was carried out at -98°C and allowed to react with the living poly(**A**) solution. The
- ²⁰ reaction was maintained at -98 °C for 0.5 h. The polymerizations were terminated with methanol and then poured into a large excess of methanol to afford poly(**A**) and poly(**B**-*b*-**A**-*b*-**B**) (P**BAB**). The resulting block copolymer was quantitatively obtained and characterized by means of SEC and ¹H NMR.

²⁵ PBAB (M_n (obsd) = 19,500 g/mol, M_w/M_n = 1.08 (Table 1, PBAB2)). ¹H NMR (400 MHz, CDCl₃): δ = 1.12–2.15 (CH_2 –CH and *tert*-butyl group of **A** block), 4.80 (C– CH_2 –N of **B** block), 6.04 (=CH–CH= in furfuryl group of **B** block), 6.20 (=CH–CH= in furfuryl group of **B** block), 6.32–7.39 (O–CH in furfuryl group 30 of **B** block and phenyl group of **A** block).



Figure 3. ¹H NMR spectra of (a) A, (b) B and (c) PBAB in CDCl₃.

PBAB2 block copolymer and 1,1-(methylenedi-4,1phenylene)bismaleimide (BM) were dissolved in chloroform in a 25 ml two neck round-bottom flask. The mixture was stirred at 50 °C for 24 h under a nitrogen atmosphere. The reaction mixture 40 was then cooled to room temperature, and the solvent was removed by evaporation in a vacuum oven at 50 °C to obtain the cross-linked polymer (PBAB2-BM). The resulting cross-linked polymer was characterized by FT-IR and DSC.

Results and discussion

45 Block copolymerization

From the synthetic viewpoint, successful living anionic polymerization of **A** allows various block copolymers to be precisely synthesized via sequential anionic block copolymerization with functional monomers. Here, a functional ⁵⁰ isocyanate derivative, **B**, was selected as a co-monomer in order to prepare well-defined block copolymers containing furan groups, which can be used to form thermoreversible networks via the DA reaction.



Scheme 2. Synthetic mechanism of PBAB in the presence of NaBPh₄.

The block copolymerization was performed by sequential ⁶⁰ addition of **A** as the first monomer and **B** as the second monomer using K-Naph as initiator in THF at -78 and -98 °C (Scheme 1(a)). The polymerization solution changed to deep violet after adding **A** to the dark green K-Naph initiator solution at -78 °C. However, the deep violet color immediately disappeared when **B** ⁶⁵ was added to the living poly(**A**) solution at -98 °C. The colorless solution was maintained at -98 °C for 0.5 h. After terminating the polymerization, it was verified by SEC that no block copolymerization occurred. The SEC curve of the final product was identical to that of the starting poly(A). As shown in Figure 1, the two curves essentially overlap. In general, the absence of second-stage polymerization reflects that the polymerization of

- 5 the second monomer cannot be initiated by living polymer derived from the first monomer.⁴⁵⁻⁴⁷ However, in this case, failure of **B** to polymerize cannot be attributed to low reactivity of living poly(A) because it has been confirmed that the chain-end carbanion of poly(A) is more reactive than the amidate anion of
- ¹⁰ polyisocyanate (Figure 2).^{20,21,38,42} Accordingly, it appears that an undesirable side reaction took place when B was added to the living poly(A) solution. As to the nature of this side reaction, we propose the direct attack of the chain-end carbanion of poly(A) on the reactive furan groups of **B**, resulting in no second-stage
- 15 polymerization due to living poly(A) being terminated by B. The experimental observations such as immediate disappearance of the unique color of the living poly(A) solution after addition of **B**, the identical nature of the SEC curves of poly(A) and the copolymer product, and quantitative recovery of **B** after the block
- 20 copolymerization support the side reaction proposed. Therefore, these findings indicate that the block copolymerization of A with B cannot be successfully carried out under the synthetic conditions employed above.
- On the basis of our previous investigations on precise synthesis 25 of block copolymers containing HIC blocks, such as PHIC-b-PSb-PHIC, P2VP-b-PHIC and PHIC-b-poly(A)-b-PHIC, we decided to introduce $NaBPh_4$ additive prior to addition of **B** in order to prevent the termination reaction observed in the previous section. The block copolymerization was carried out by sequential
- 30 addition of A as the first monomer and B as the second monomer using K-Naph as initiator in THF at -78 and -98 °C in the presence of NaBPh₄, as shown in Scheme 1(b). Excess NaBPh₄ was added to the living poly(A) solution at -98 °C after completion of the polymerization of A, and B was subsequently
- 35 added to the polymerization solution at the same temperature. When **B** was added, the solution color immediately changed from deep violet to pale yellow (Figure S1 in Supporting Information). The final solution color (pale yellow) was maintained for an additional 0.5 h until termination. This change in polymerization 40 solution color indicates that amidate anions derived from **B** were
- successfully formed.



Figure 4. SEC curves of poly(A) and PBAB2.

The ¹H NMR spectra of **A**, **B** and **PBAB** indicate that block copolymerization of A with B was successful. Figure 3 shows the broad characteristic peaks of tert-butyl and phenyl groups of the A block and the furfuryl group of the B block. The peaks 50 corresponding to the vinyl group of A completely disappeared after the polymerization. The characterization results shown in Figure 4 and Table 1 indicate that the desired block copolymers were obtained. Well-defined block copolymers with controlled $M_{\rm n}$ (18,700–19,500 g/mol) and narrow $M_{\rm w}/M_{\rm n}$ (1.08–1.17) were 55 quantitatively synthesized under the polymerization conditions employed. For example, the block copolymerization was successfully performed in the presence of NaBPh₄ (Table 1, PBAB2). The observed M_n (19,500 g/mol) of PBAB2 was in



Scheme 3. Synthesis of thermoreversible PBAB2-BM via DA reaction.

Cross-linking via Diels-Alder reaction

The DA reaction of PBAB2 with BM was carried out in order to 75 prepare cross-linked polymers (PBAB2-BM), as shown in Scheme 3. In this DA reaction, it was anticipated that the furan group of PBAB2 and the maleimide group of BM would act as diene and dienophile, respectively. The reaction solution of PBAB2 and BM (1:1 molar ratio) dissolved in chloroform was ⁸⁰ stirred at 50 °C for 24 h under nitrogen atmosphere. The reaction mixture was cooled to room temperature and PBAB2-BM was isolated. It was observed that the viscosity of the reaction solution increased during the DA reaction.

The formation of PBAB2-BM was verified by FT-IR analysis 85 (Figure 5). FT-IR spectrum of PBAB2 showed a specific peak at 1012 cm⁻¹, which is attributed to the breathing of the furan ring of PBAB2 (Figure 5(a)).²⁵ This serves as additional evidence that the reactive furan group of **B** was not attacked by the chain-end carbanion of living poly(A) in the presence of NaBPh₄, 90 confirming that well-defined block copolymers containing furan groups were successfully synthesized under the polymerization conditions employed in this study. As shown in Figure 5(b), the peak at 1012 cm⁻¹ disappeared after the DA reaction, suggesting that the furan group of PBAB2 took part in the formation of ⁹⁵ PBAB2-BM. Furthermore, the presence of a peak at 1775 cm⁻¹ ascribed to furan-maleimide cycloadduct, supports the successful formation of PBAB2-BM via the DA reaction between furan and maleimide.²⁷⁻³⁰ The formation of PBAB2-BM was also proved by comparison of glass transition temperature (T_g) between PBAB2 100 and PBAB2-BM. The T_g of PBAB2-BM was estimated to be as

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high as 120 °C from the second heating cycle, as shown in Figure 6(c). This is almost 30 °C higher than the T_g of PBAB2 (Figure S2 in Supporting Information). Such a large increase in T_g demonstrates that PBAB2 was cross-linked with BM, causing a ⁵ reduction in chain mobility.



Figure 5. FT-IR spectra of (a) PBAB2 and (b) PBAB2-BM.

Thermoreversible properties of the cross-linked polymer

¹⁰ DSC was used to characterize the thermoreversible properties of PBAB2-BM, as shown in Figure 6. The first heating curve exhibits an intense endothermic peak at 149 °C, indicating the cleavage of PBAB2-BM via a retro-DA (rDA) reaction (Figure 6(a)). Figure 6(b) shows the cooling curve with a broad ¹⁵ exothermic peak at 112–163 °C, which is due to the formation of covalent bonds via the DA reaction between furan and maleimide. The second heating curve shows the same curve as observed in the first heating cycle (Figure 6(c)). Therefore, the DSC results clearly show that PBAB2-BM formed via the DA reaction

20 reported herein is thermoreversible.²³⁻²⁵



Figure 6. DSC thermograms of P**BAB2**-BM: (a) first heating curve, (b) cooling curve and (c) second heating curve.

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In addition to DSC analysis, the thermoreversible properties of PBAB2-BM were directly observed by solubility testing. As shown in Figure 7, the solubility of PBAB2-BM was investigated in toluene at 30 and 120 °C. While PBAB2-BM cross-linked ³⁰ polymer was not soluble in toluene at 30 °C (Figure 7(a)), PBAB2-BM became soluble when heated at 120 °C for 10 min due to bond cleavage between furan and maleimide moieties via a rDA reaction (Figure 7(b)). When the polymer solution was again

³⁵ solution it was observed that the cross-linked polymer reformed via the DA reaction (Figure 7(c)). Addition of toluene again showed that the reformed PBAB2-BM was insoluble at 30 °C

heated at 50 °C for 24 h, after the solvent was removed from the

(Figure 7(d)). Thus, the solubility testing results also strongly support the thermoreversible characteristics of PBAB2-BM.



Figure 7. Solubility testing of PBAB2-BM: (a) PBAB2-BM insoluble in toluene at 30 °C, (b) PBAB2-BM soluble in toluene when heated 120 °C for 10 min, (c) PBAB2-BM reformed when 45 soluble polymer was heated 50 °C for 24 h and (d) PBAB2-BM insoluble in toluene at 30 °C.

Conclusions

Well-defined block copolymers containing furan groups were anionically synthesized without side reactions and subsequently ⁵⁰ used for the formation of thermoreversible networks via a Diels-Alder click reaction. It was found that NaBPh₄ plays an important role in the successful synthesis of the block copolymer. This additive changes the countercation from K⁺ to Na⁺, allowing the living anionic polymerization of **B**. The synthesis of a well-

⁵⁵ defined block copolymer results from the use of K⁺ and Na⁺ as the countercation for the polymerization of **A** and **B**, respectively. The resulting block copolymer was shown to form thermorevesible networks via a Diels-Alder reaction with bismaleimide. The thermoreversible properties of the cross-linked ⁶⁰ polymer were clearly observed by use of DSC and solubility testing. For further work, it is expected from this study that different mid blocks can be introduced into triblock copolymers with furan-containing end blocks in order to create various functional block copolymers having self-healing characteristics.

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Graphical Abstract

Title: Precise Synthesis of Thermoreversible Block Copolymers Containing Reactive Furfuryl Groups via Living Anionic Polymerization: Countercation Effect on Block Copolymerization Behavior

Authors: Beom-Goo Kang, Nabendu B. Pramanik, Nikhil K. Singha, Jae-Suk Lee,* Jimmy Mays*

TOC graphic:



Well-defined block copolymers containing furan groups were anionically synthesized in the presence of NaBPh₄ and used for the formation of thermoreversible networks via a Diels-Alder click reaction.