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ARTICLE TYPE

## Kinetics and mechanism of photooxygenation of 4'-diethylamino-3-hydroxyflavone

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The photolysis reactions of 4'-diethylamino-3-hydroxyflavone (D), a versatile fluorescent probe showing excited-state intramolecular proton transfer (ESIPT), and the magnesium chelate of D ( $\text{MgD}^{2+}$ ) have been studied in acetonitrile solution. Upon UV irradiation both species were oxidized into *O*-4-diethylaminobenzoyl salicylic acid, differently from the photoreaction of the parent compound 3-hydroxyflavone (3HF) which was described to undergo a rearrangement to 3-hydroxy-3-phenyl-indan-1,2-dione. The photooxygenation of the  $\text{Mg}^{2+}$  complex was found significantly faster than the reaction of the pure dye. As the kinetic analysis of the absorption spectra of samples under irradiation showed, the rate coefficients for the oxygenations of the excited state dye and complex have close values,  $k_{\text{ox}}(\text{D}^*) = 2.4 \times 10^7 \text{ min}^{-1}$ ,  $k_{\text{ox}}(\text{MgD}^{2+*}) = 3.9 \times 10^7 \text{ min}^{-1}$ ; the difference arises from the higher photooxygenation quantum yield of the complex,  $\Phi(\text{MgD}^{2+*}) = 2.3 \times 10^{-3}$ , than the respective value for the pure dye,  $\Phi(\text{D}) = 1.5 \times 10^{-4}$ . The potential energy surface of the photooxygenation of D was calculated assuming a reaction path in which the phototautomer formed from D via ESIPT, reacts in its triplet state with triplet molecular oxygen  $\text{O}_2$ , a mechanism similar to that suggested for the photoreaction of the parent 3HF. The moderate values for the transition state energies confirmed the plausibility of the hypothetical mechanism.

### Introduction

3-hydroxy-flavones (3HFs) have two bands in their fluorescence spectra, one arising from the 'normal' ( $\text{N}^*$ ) excited molecules, the other from the tautomeric ( $\text{PT}^*$ ) species, the latter formed via excited state intramolecular proton transfer (ESIPT) from the

hydroxyl to the carbonyl group of the  $\text{N}^*$  molecules.<sup>1,2</sup> The position of the two bands, as well as their intensity ratio are sensitive to the local environment, making many of these compounds attractive ratiometric fluorescent sensors.<sup>1</sup> Besides, as was demonstrated by Kasha et al., 3HFs with their normal and tautomeric ground states (N and PT) and  $\text{N}^*$  and  $\text{PT}^*$  excited states can act as four-level laser materials.<sup>3</sup>

3-hydroxy-flavones with dialkylamino substituents in their 4' positions ( $\text{NR}_2$ -3HFs) proved to be particularly successful molecular fluorescence sensors. The intramolecular proton transfer cycle in 4'-diethylamino-3-hydroxyflavone, the title compound of this study, a prototype of these probes is exhibited in Scheme 1. The  $\text{S}_0 \rightarrow \text{S}_1$  excitation of  $\text{NR}_2$ -3HF probes is associated with a strong charge transfer.<sup>1,2</sup> As a consequence, the position of their  $\text{N}^*$  band is highly sensitive to the polarity of the environment. Besides,  $\text{NR}_2$ -3HF probes, as hydrogen bond acceptors via their CO groups, confer the additional advantage that the ratio of the fluorescence intensities of their  $\text{N}^*$  and  $\text{PT}^*$  forms characterizes the hydrogen bonding ability of their local environment.<sup>4</sup> Exploiting the special spectroscopic features of  $\text{NR}_2$ -3HF probes, detailed information can be obtained on their locations in phospholipid membranes.<sup>5,6,7,8</sup> Attaching such fluorophores covalently to peptides and proteins, peptide-

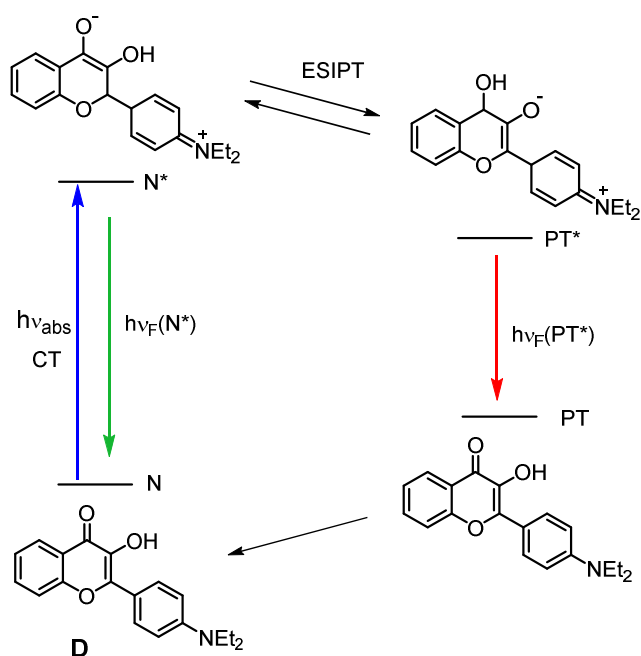
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**Scheme 1.** Photoinduced intramolecular proton transfer cycle of 4'-diethylamino-3-hydroxyflavone (D).

5 membrane interactions<sup>9,10</sup> and structural changes of proteins<sup>11</sup> can be studied. Furthermore, NR<sub>2</sub>-3HFs may have important future applications as fluorescent sensors for the detection of adenosine triphosphate (ATP), as their excitation spectra are sensitive to ATP,<sup>7,12</sup> and this effect is selective over the other nucleotides.<sup>13</sup>

10 The excitation of 3HFs may be followed by irreversible reactions (oxygenation, rearrangement),<sup>14</sup> the quantum yields of which characterize the photostability of these fluorescent probes, which is a key point when they are applied in analytical and imaging techniques. As no reports on such photoreactions of NR<sub>2</sub>-3HFs have been found in the literature, we performed this study on the photolysis of 4'-diethylamino-3-hydroxyflavone (D, see Scheme 1), involving the identification of the product(s), the determination of the photophysical and kinetic parameters, and the analysis of the reaction mechanism on the basis of quantum  
20 chemical calculations. Our study was extended to the photolysis of the Mg complex, MgD<sup>2+</sup>, as an example for the photoinduced reaction of a chelate complex of the 4'-diethylamino derivative of 3HF.

The reactions of other 3HFs, induced by UV irradiation, especially the reactions of the parent compound 3HF, have widely been studied, and basically three kinds of products have been identified (Scheme 2). In the absence of O<sub>2</sub>, the photolysis of 3HFs resulted in 3-hydroxy-3-aryl-indan-1,2-dione rearrangement products (IN) in apolar, polar aprotic as well as in polar protic solvents.<sup>15,16,17,18</sup> Some INs lost CO, transforming into 3-aryl-phthalides (FTs).<sup>16,17</sup> Under aerated conditions, in apolar solvents 3HFs underwent photooxygenation into *O*-benzoyl salicylic acid derivatives (SA) via oxidative decarbonylation.<sup>19</sup>

The presence of some metal ions (Mg<sup>2+</sup>, Ca<sup>2+</sup>, Hg<sup>2+</sup>, Zn<sup>2+</sup>, Pb<sup>2+</sup>) did not affect the photooxygenation of 3HF in MeOH or in MeOH/H<sub>2</sub>O mixtures, the same products were obtained – IN or IN + FT as in the neat solvent, whereas other metal ions (Cu<sup>2+</sup>,

Ni<sup>2+</sup>, Fe<sup>3+</sup>, Co<sup>2+</sup>, Al<sup>3+</sup>) prevented the photoreaction.<sup>16,17</sup> Recently, these investigations were extended to 3HF-tris(2-picolylamine) 40 ligand pair which forms penta- and hexacoordinated chelates with a series of transition metal ions, and their photooxygenation to SA and degradation to FT products have been observed – the type of reaction depended on the solvent.<sup>20,21</sup>

The mechanism of the photooxygenation of the parent compound, 45 3HF, observed in apolar solvents, has been studied in detail. In such solvents the formation of the S<sub>1</sub> state phototautomer, <sup>1</sup>PT\*, from the excited normal molecules, <sup>1</sup>N\* via ES IPT is a fast process (a transient absorption signal with 35 fs rise time was assigned to <sup>1</sup>PT\*)<sup>22</sup>, and the conversion is practically complete, as  
50 the less polar <sup>1</sup>PT\* form is more stable. The singlet phototautomer is converted into the triplet species, <sup>3</sup>PT\*, with a quantum yield of 0.18 (in *n*-heptane),<sup>23</sup> and it is this <sup>3</sup>PT\* form of 3HF, which is oxidized by the triplet state oxygen, <sup>3</sup>O<sub>2</sub>.<sup>24</sup> The quantum yield of the photooxidation is only ~ 0.001.<sup>25</sup> For the  
55 rate constant of the overall (physical + chemical) quenching of the T<sub>1</sub> state dye by <sup>3</sup>O<sub>2</sub>, 3.2 × 10<sup>9</sup> M<sup>-1</sup>s<sup>-1</sup> was obtained in *n*-heptane, a value close to the 1/9 of the diffusion controlled rate, which is the theoretical limit for a triplet-triplet energy transfer, i. e. the quenching was dominantly a physical process.<sup>23</sup> In the  
60 photochemical reaction, an exo-peroxide and an endo-peroxide were reported as intermediates. The exo-peroxide was the photolysis product of 3HF in O<sub>2</sub> matrix, and detected by infrared spectroscopy.<sup>26</sup> The endo-peroxide was obtained by photolysing 3HF on a silver electrode, and detected by surface enhanced  
65 Raman scattering.<sup>27</sup>

## Materials and methods

### Synthesis of D

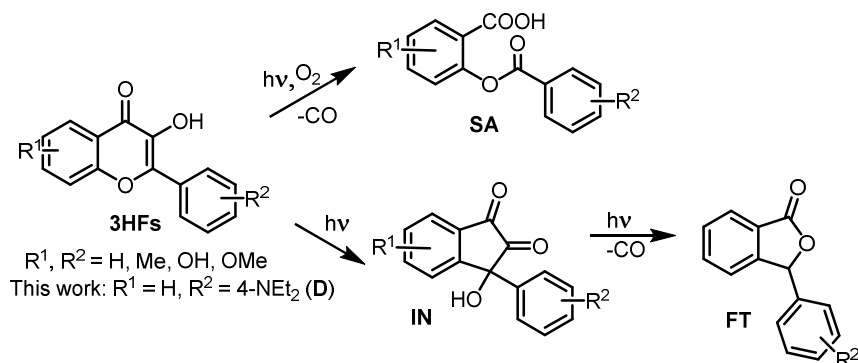
D was synthesized in two steps, following the general procedure of Smith et al. for the synthesis of flavones.<sup>28</sup> First, 2-hydroxyacetophenone was condensed in ethanol with 4-diethylamino-benzaldehyde to the corresponding chalcone,<sup>29</sup> which was  
70 oxidized then with H<sub>2</sub>O<sub>2</sub> in alkaline ethanol to obtain D in form of a yellow solid.<sup>30</sup> The product was identified by NMR spectroscopy and HRMS.<sup>31</sup>

### Spectroscopic and photochemical experiments

The acetonitrile solvent was of HPLC grade and purchased from Merck. Mg(ClO<sub>4</sub>)<sub>2</sub> was from Sigma Aldrich, and used without further purification.

80 The absorption spectra were recorded on an Agilent 8453 diode array UV-Vis spectrometer. The fluorescence spectra and the fluorescence decay curves were measured with an FLS920 Edinburgh Instruments combined steady state and fluorescence lifetime spectrometer. Two pulsed diode lasers – an EPL-375 (emitting at 378 nm, pulse width ~ 90 ps) and an EPL-470 (emitting at 473 nm, pulse width ~ 100 ps) were used as light sources when the decay curves were measured. NMR spectra were taken on a BrukerAvance DRX-500 spectrometer. The mass spectra were obtained using a Waters Q-TOF Premier mass  
85 spectrometer (Manchester, UK) in positive electrospray mode.

In the photolysis experiments the samples were irradiated by an Osram XBO OFR 150 W xenon arc lamp, placing a BG3/4g band-pass filter in front of the lamp, with a transmission range of ~ 300-420 nm. The photon flux incident on the samples was



**Scheme 2.** Photoreactions of 3-hydroxyflavones.

determined by chemical actinometry, via measuring the yield of photoconversion of *o*-nitrobenzaldehyde into *o*-nitrosobenzoic acid.<sup>32,33</sup>

The optical spectroscopic and photochemical experiments were carried out at 25 °C, in aerated solutions.

### Quantum chemical calculations

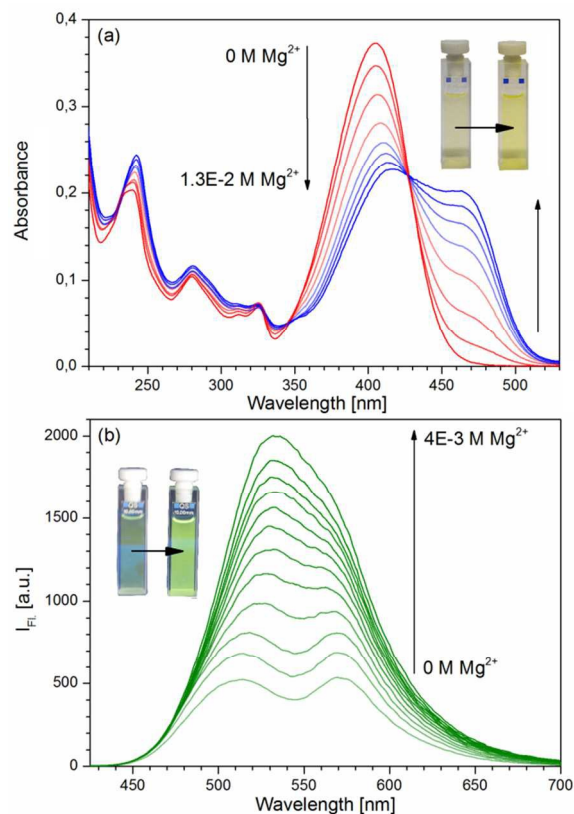
The theoretical calculations were carried out using the Gaussian09 program package<sup>34,35</sup> at the density functional theory (DFT) and time-dependent DFT (TD-DFT)<sup>36,37,38</sup> levels. We employed the M06-2X functional<sup>39</sup> with the 6-31++G\*\* basis set for geometry optimizations and for vibrational frequency calculations as well. Solvent effects were described by the integral equation formalism polarizable continuum model (IEF-PCM) with acetonitrile as solvent. The excited-state energy minimizations were started from the corresponding optimized ground state geometries. The transition state (TS) searches were executed in two steps. First, we used the quadratic synchronous transit (QST3)<sup>40</sup> method with a smaller 6-31G\* basis set using the optimized structures of the reactants and products. Secondly, a TS optimization was carried out using the Berny algorithm with the 6-31++G\*\* basis set, recomputing the Hessian in each geometry optimization cycle.

## Results and discussion

### Optical spectra

The absorption and fluorescence spectra of D in pure solvent and in the presence of  $\text{Mg}(\text{ClO}_4)_2$  in various concentrations are shown in Fig. 1. The fluorescence band at 510 nm belongs to the  $\text{N}^*$ , the band at 575 nm to the  $\text{PT}^*$  form of the dye.<sup>41</sup> The large shifts of the absorption and  $\text{N}^*$  fluorescence bands and the disappearance of the  $\text{PT}^*$  band are typical of the Mg complexes of 3HF-s: The parent compound 3HF and the dimethyl analogue of D, 4<sup>2</sup>- $\text{NMe}_2$ -3HF were reported to show similar spectral changes in the presence of  $\text{Mg}^{2+}$  ions.<sup>42,43</sup> In contrast, the addition of  $\text{Mg}^{2+}$  ions to the solutions of the respective 3-methoxyflavones, did not induce such changes in the spectra. By a simple explanation, the

hydroxyl protons of 3HFs dissociate and chelates  $\text{MgL}^+$  formed (L = ligand). Recent combined conductometric, spectroscopic and theoretical studies concluded that the parent compound 3HF forms a complex  $[\text{MgClO}_4(3\text{HF})]^+$ , i.e. an ion pair of the salt is bound by the ligand, in which the intramolecular  $\text{CO}\cdots\text{HO}$  hydrogen bond is ruptured, but the proton is not dissociated.<sup>44,45</sup> A least-square fitting of the absorbance data confirmed that ligand D and  $\text{Mg}^{2+}$  ions react in 1:1 ratio, and yielded a value of  $K_S = 110 \text{ M}^{-1}$  for the stability constant. For comparison, the



**Fig. 1.** (a) Absorption and (b) fluorescence ( $\lambda_{\text{ex}} = 427 \text{ nm}$ ) spectra of D at various  $\text{Mg}(\text{ClO}_4)_2$  concentrations.  $[\text{D}]_0 = 10^{-5} \text{ M}$ .



**Table 1.** Fluorescence decay time constants of D and MgD<sup>2+</sup>.

	$\lambda_{\text{ex}}$ (nm)	$\lambda_{\text{em}}$ (nm)	$\tau_1$ (ps), (rel. amplitude)	$\tau_2$ (ps), (rel. amplitude)
D	378	470	65 (9%)	376 (91 %)
		510	97 (7 %)	383 (93 %)
		630		376 (100 %)
MgD <sup>2+</sup>	473	535	3570 (100 %)	

stability constants of the other alkali earth metal complexes of D have also been determined, and significantly lower values have been obtained (see Table S1 in ESI).

The fluorescence decay data of the pure dye and its Mg complex are presented in Table 1. Decay curves of the dye were taken at an emission wavelength on the rising edge of the N\* band (470 nm), on the falling edge of the PT\* band (630 nm) and at some intermediate wavelengths (510 nm is shown in Table 1). Biexponential curves were obtained, with a dominant ~ 380 ps slower component, which practically did not change with the wavelength, indicating a quasi-equilibrium between the two excited state tautomers. Femtosecond fluorescence studies proved that the forward and reverse ESIP reactions in D and related molecules are much faster, than the deactivations of the N\* and PT\* forms.<sup>41,46</sup> The decay of the Mg complex was studied in a solution containing ~ 52 % of the dye in complexed state (the initial concentrations were  $[D]_0 = 2.5 \times 10^{-6}$  M and  $[\text{Mg}(\text{ClO}_4)_2]_0 = 10^{-2}$  M). The longer lifetime of the excited state complex, 3570 ps can be associated with the rigidity of the chelate structure and the lack of proton transfer as deactivation channel.<sup>43</sup>

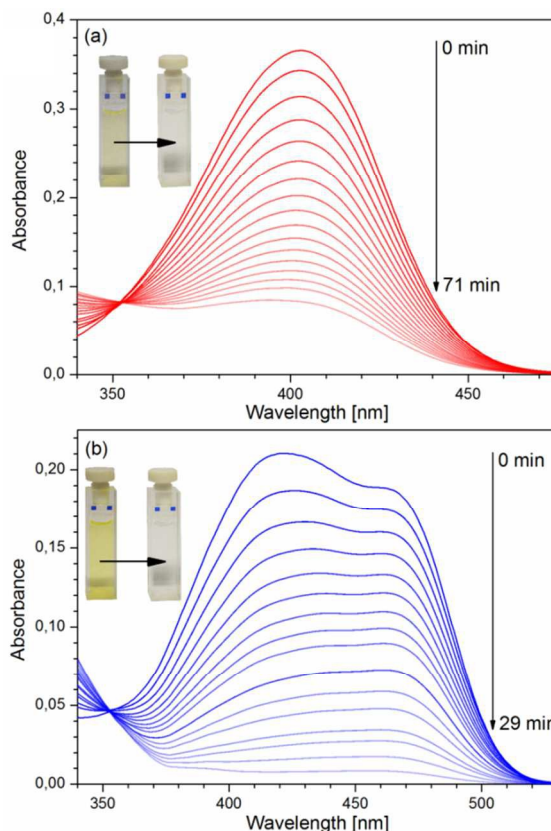
## 25 Product of photolysis

The variation of the absorption spectrum of the aerated solution of the dye upon irradiation by UV light, measured in a 1 cm cell is shown in Fig. 2a. Like in case of the parent compound, 3HF,3 photoinduced reaction was observed only in aerated solutions of D, the samples unaerated by nitrogen bubbling were insensitive to UV light. The spectra obtained irradiating a D-Mg(ClO<sub>4</sub>)<sub>2</sub> mixture (aerated), in which ~ 52 % of D was present in complexed form, are exhibited in Fig 2b.

A larger amount of the product, required for measuring NMR spectra was obtained then by irradiating a  $5 \times 10^{-4}$  M solution of D in a vessel for 24 h, using the same band-pass filter and UV lamp, achieving a ~ 70 % conversion by the UV-VIS spectrum. The product was isolated by column chromatography (Merck silica gel, toluene-methanol 9:1).

The <sup>1</sup>H NMR spectrum of the product (Fig. 3) is consistent with the salicylic acid derivative SA (see Scheme 2) and not with the 1,2-indanedione IN. The position of the doublet of the H5 protons (7.82 ppm) indicates the connection of an electron acceptor CO group, while the relatively low values of the H2 (6.83 ppm) and H4 (6.99 ppm) chemical shifts are indicative of an electron donating group (ester oxygen).

The HRMS measurement (see Fig. S1 in ESI) confirmed this structure, as the measured mass  $[\text{M}+\text{H}]^+ = 314.1396$  showed a good agreement (1.9 ppm) with the calculated mass for the salicylic acid ester SA, (C<sub>18</sub>H<sub>19</sub>NO<sub>4</sub>, MH<sup>+</sup> = 314.1392), whereas the rearrangement product IN (C<sub>19</sub>H<sub>19</sub>NO<sub>3</sub>, MH<sup>+</sup> = 310.1443)



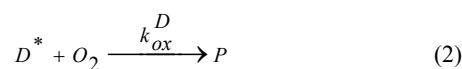
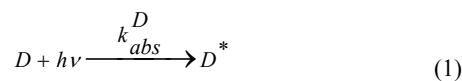
**Fig. 2.** Variation of the absorption spectra of solutions of D under irradiation by UV light. (a) pure D, (b) D – Mg(ClO<sub>4</sub>)<sub>2</sub> mixture. Initial concentrations: (a)  $[D]_0 = 10^{-5}$  M, (b)  $[D]_0 = 5 \times 10^{-5}$  M,  $[\text{Mg}(\text{ClO}_4)_2]_0 = 10^{-2}$  M.

would have had a mass, identical with that of D.

The irradiation of a mixture with MgClO<sub>4</sub>, with  $[D]_0 = 5 \times 10^{-4}$  M, and  $[\text{Mg}(\text{ClO}_4)_2]_0 = 7 \times 10^{-3}$  M initial concentrations, led also to SA, as proved by the <sup>1</sup>H NMR spectrum of the product.

## Kinetic analysis

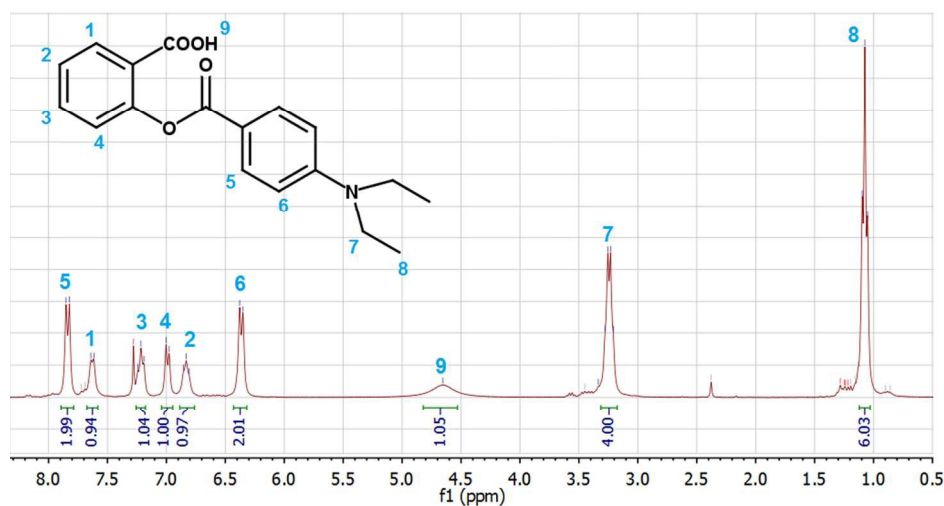
The aim of the analysis has been to compare the photooxidation rates and quantum yields of the dye and its Mg complex. The photooxidation of the pure dye has been followed by measuring the absorption spectra of the sample at 2 minute intervals for an hour. The instantaneous concentrations of the dye and the photooxidation product were obtained by resolving the spectra into the contributions of D and the product, P. The photoinduced processes in the solution of the pure dye were described by the set of equations



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Fig. 3. <sup>1</sup>H-NMR spectrum of the photolysis product of D.

The rate of process (1), the excitation of the dye, expressed in *mol photons L<sup>-1</sup> s<sup>-1</sup>*, could be obtained as the fraction of the intensity of the incident light, absorbed by the dye,  $I_{abs}^D$ , related to the volume of the sample,

$$v_1 = (k_{abs}^D [D]) = \frac{I_{abs}^D}{V} \quad (4)$$

where

$$I_{abs}^D = \frac{\int_{\lambda_1}^{\lambda_2} \varepsilon^D(\lambda)[D]}{\int_{\lambda_1}^{\lambda_2} \varepsilon^D(\lambda)[D] + \varepsilon^P(\lambda)[P]} \times \{1 - \exp[-A(\lambda)]\} I(\lambda) d\lambda \quad (5)$$

which is an extension of a formula derived for the absorption of monochromatic light by a single component of a multi-absorber solution,<sup>47</sup> to polychromatic light. In Eq. (5)  $\varepsilon^D(\lambda)$  and  $\varepsilon^P(\lambda)$  are the absorption coefficients of the dye and the product, respectively, at wavelength  $\lambda$ ,  $A(\lambda)$  is the absorption spectrum of the sample,  $I(\lambda)$  is the spectrum of the irradiating light. ( $I(\lambda)$  was derived from the spectra of the xenon arc lamp and the transmission filter applied, it is related to the intensity of the incident light,  $I^0$ , determined by actinometry, as  $I^0 = \int_{\lambda_1}^{\lambda_2} I(\lambda) d\lambda$

). The photooxidation of the dye, reaction (2), was treated as a first order reaction, because of the large excess of dissolved O<sub>2</sub> in the samples. (The reaction has been conducted under air-equilibrated conditions, using intensive stirring. The concentration of O<sub>2</sub> is  $[O_2]_{saturated}^{air} = 2.42 \times 10^{-3}$  M in air-saturated

acetonitrile,<sup>48</sup> two orders higher than the total concentration of D, a fraction of which are the excited state D\* molecules in reaction (2)). The rate coefficient of the oxidation, was calculated from the (numerical) derivatives of the  $[D]$ - $t$  function,

$$-\frac{d[D]}{dt} = k_{ox}^D [D^*] \quad (6)$$

taking the concentration of the excited state dye from

$$\frac{d[D^*]}{dt} = \frac{I_{abs}^D}{V} - k_{tau}^D [D^*] - k_{ox}^D [D^*] \cong 0 \quad (7)$$

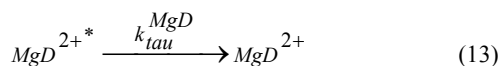
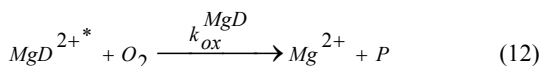
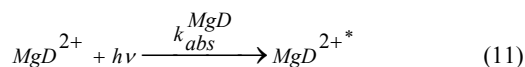
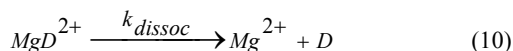
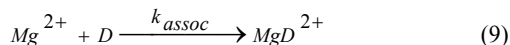
i. e. applying the quasi steady-state approximation for this species.

The quantum yield of the photooxidation of the dye was obtained then from  $k_{ox}^D$  and  $k_{tau}^D$  as

$$\Phi_{ox}^D = \frac{k_{ox}^D [D^*]}{I_{abs}^D / V} = \frac{k_{ox}^D}{k_{tau}^D + k_{ox}^D} \quad (8)$$

When Mg<sup>2+</sup> has been added to the reaction mixture in great excess (so that over 50% of D was complexed at the start of the reaction), the overall rate of photooxidation has increased considerably. Absorption spectra of the sample were taken at 1 minute intervals for 15 minutes. The concentrations of the dye, the complex and the product, the latter identical as in the photolysis of the pure dye, were obtained by resolving the spectra of the reaction mixtures into the spectra of the three absorbing components, taking the known equilibrium constant for the complexation into consideration, i.e. ensuring that  $[MgD^{2+}] / ([D] \cdot [Mg^{2+}])$  is constant all the time.

The reaction scheme (1) – (3) has been amended by the reactions:



The amount of light absorbed by  $\text{MgD}^{2+}$  was calculated according

$$I_{\text{abs}}^{\text{MgD}} = \int_{\lambda_1}^{\lambda_2} \frac{\varepsilon^{\text{MgD}}(\lambda)[\text{MgD}]}{\varepsilon^{\text{D}}(\lambda)[\text{D}] + \varepsilon^{\text{MgD}}(\lambda)[\text{MgD}] + \varepsilon^{\text{P}}(\lambda)[\text{P}]} \times \{1 - \exp[-A(\lambda)]\} I(\lambda) d\lambda \quad (14)$$

The rate coefficient of the oxidation of the excited complex was obtained then from the sum of the numerical derivatives of the  $[D]-t$  and  $[\text{MgD}]-t$  functions

$$-\frac{d[\text{MgD}]}{dt} = k_{\text{ox}}^{\text{MgD}} [\text{MgD}^*] \quad (15)$$

$$-k_{\text{assoc}} [\text{Mg}^{2+}] [\text{D}] + k_{\text{dissoc}} [\text{MgD}]$$

$$-\frac{d[\text{D}]}{dt} = k_{\text{ox}}^{\text{D}} [\text{D}^*] \quad (16)$$

$$+k_{\text{assoc}} [\text{Mg}^{2+}] [\text{D}] - k_{\text{dissoc}} [\text{MgD}]$$

hence

$$-\frac{d[\text{D}]}{dt} - \frac{d[\text{MgD}]}{dt} = \left( \frac{d[\text{P}]}{dt} \right) = k_{\text{ox}}^{\text{D}} [\text{D}^*] + k_{\text{ox}}^{\text{MgD}} [\text{MgD}^*] \quad (17)$$

$k_{\text{ox}}^{\text{D}}$  was known from the previous experiment. The concentrations of the excited state species were obtained using the steady-state approximations (7) and

$$\frac{d[\text{MgD}^*]}{dt} = \frac{I_{\text{abs}}^{\text{MgD}}}{V} - k_{\text{tau}}^{\text{MgD}} [\text{MgD}^*] - k_{\text{ox}}^{\text{MgD}} [\text{MgD}^*] \cong 0 \quad (18)$$

Finally, the quantum yield of the photooxidation of the complex was obtained as

$$\Phi_{\text{ox}}^{\text{MgD}} = \frac{k_{\text{ox}}^{\text{MgD}}}{k_{\text{tau}}^{\text{MgD}} + k_{\text{ox}}^{\text{MgD}}} \quad (19)$$

**Table 2.** Rate coefficients and quantum yields for photooxygenation.

Reactant	$k_{\text{ox}}/\text{min}^{-1}$	$\Phi_{\text{ox}}$
D	$2.4 \times 10^7$	$1.5 \times 10^{-4}$
$\text{MgD}^{2+}$	$3.9 \times 10^7$	$2.3 \times 10^{-3}$

The values obtained for the photooxidation rate coefficients and quantum yields are collected in Table 2. For D a lower  $\Phi_{\text{ox}}$  value was obtained than  $\Phi_{\text{ox}} \sim 0.001$ , reported for the parent compound, 3HF.<sup>25</sup> The rate coefficients for the oxidations of the excited state dye and Mg complex have close values. The higher rate of the photoreaction in the presence of the Mg salt, observable through the change of the absorption spectrum, is associated with the higher quantum yield for the oxidation of the complex.

### 35 Reaction mechanism

The transition states and intermediates of the photooxygenation of D were characterized with help of potential energy surface (PES) calculations. A reaction path analogous to the experimentally established steps of the photooxygenation of the parent compound, 3HF,<sup>23,24,25,26,27</sup> was considered. The results are illustrated in Fig. 4. The reaction takes place with the PT\* form, since the C=C-O( $\delta^-$ ) and CH-OH( $\delta^+$ ) functional groups as nucleophilic/electrophilic centers at the given positions in the tautomeric species (see Scheme 1), promote the addition of  $\text{O}_2$ .<sup>24</sup> The first steps are the  $\text{S}_0 \rightarrow \text{S}_1$  excitation of the N form, and the subsequent conversion of  $^1\text{N}^*$  to its tautomer,  $^1\text{PT}^*$ . We did not search for the TS of the ESIPT reaction on the  $\text{S}_1$  PES since this phototautomerization is well documented in the literature.<sup>49,50</sup> Since the triplet  $\text{O}_2$  molecule most probably attacks triplet species for spin rules,<sup>23,24</sup> we suppose that  $^1\text{PT}^*$  undergoes inter-system crossing to give  $^3\text{PT}^*$ . The reaction of  $^3\text{PT}^*$  with  $^3\text{O}_2$  leads to an  $\text{S}_0$  state endo-peroxide intermediate (IM in Fig. 4), which decomposes – on the  $\text{S}_0$  surface - into the final product (an SA derivative, see Scheme 2) and CO. Although the approaches (deficiencies of the TD-DFT method, relatively small basis set, continuum solvation model) introduced in the calculations allow only for qualitative results, the reasonable values for the Gibbs free energies of the intermediate, IM and transition states, TS1, TS2 confirm the plausibility of this hypothesized reaction mechanism.

The parent compound, 3HF was reported to show a ground-state solute-solvent proton transfer in DMSO, a hydrogen bond acceptor solvent.<sup>51</sup> Such an anion formation would obviously affect the reactivity of our derivative toward molecular  $\text{O}_2$ . The absorption spectrum of D in neat acetonitrile (Fig. S2 in ESI), however, does not indicate the occurrence of anionic species. On the other hand, the spectrum of the  $\text{MgD}^{2+}$  complex shows similarity to the spectrum of the anionic form of the dye, yet, its photolysis results in the same oxidation product, as the photolysis of the free dye. It is difficult to assess the reaction mechanism for the oxygenation of complexed D, but we note that the anionic form of 3HF was found to exhibit a significantly increased reactivity toward  $^1\text{O}_2$ , related to the uncharged species.<sup>24</sup>

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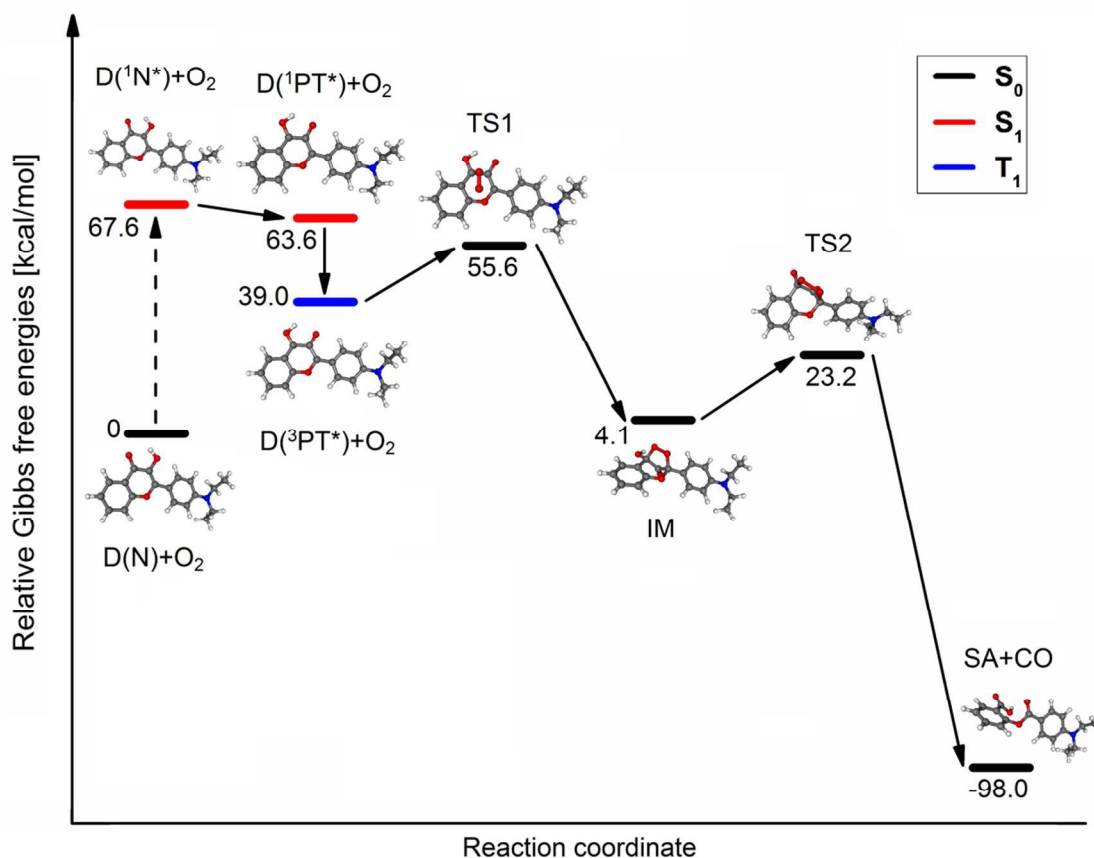


Fig. 4. The proposed reaction path of the photooxygenation

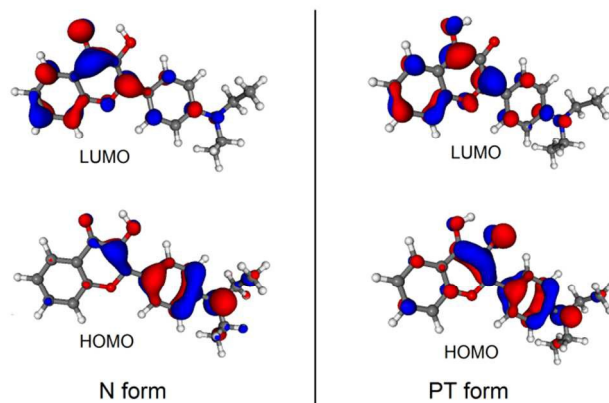


Fig. 5. Calculated molecular orbitals of the N and PT forms of D.

To understand why the oxygenation is preferred in the excited state, it is instructive to inspect the frontier molecular orbitals (MOs) of D in its N and T forms, which are visualized in Fig. 5. As can be seen, the lowest unoccupied molecular orbitals (LUMOs) are localized with opposite phases on the carbons where the oxygen molecule binds, unlike the highest occupied molecular orbitals (HOMOs). Thus, considering the electronic structure of the oxygen molecule, the electronic excitation to the  $S_1$  state (with the subsequent inter-system crossing) facilitates the formation of the T- $O_2$  complex.

## Conclusions

In studies on the photolysis of 3-hydroxyflavone and its substituted derivatives two types of reactions had been observed: a photooxygenation leading to the respective O-benzoyl salicylic acid and a rearrangement into the respective 3-hydroxy-3-aryl-indane-1,2-dione. The type of reaction depended on the substituents, the solvent and the aeration/deaeration of the



sample. In our present work it has been found that 4'-diethylamino-3-hydroxyflavone (D) which is a versatile fluorescent probe, undergoes the former reaction (photooxygenation) in acetonitrile. Our theoretical calculations on the  $S_0$ ,  $S_1$ , and  $T_1$  PESs of the system proved that the photooxygenation reaction is a possible reaction route. The excited molecule, after tautomerization, undergoes an intersystem crossing, and the triplet-state species reacts with the oxygen molecule. Our study has been extended to the Mg chelate of D ( $MgD^{2+}$ ), the photolysis of which resulted in the same product as that of the pure dye. Analyzing the temporal change of the absorption spectra of the reaction mixtures and with help of actinometric measurements, the quantum yields for the photoreactions of D and its Mg chelate and the rate coefficients for the oxidations of the excited state species ( $D^*$  and  $MgD^{2+*}$ ) have been determined, striving for obtaining data, which are, in principle independent of the intensity of the irradiating light. It can be hoped that these results will be utilized in the development of further 3-hydroxychromone based and other fluorescent sensors, in particular in the evaluation of their photostability.

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4'-diethylamino-3-hydroxyflavone is oxidized into a salicylic acid derivative under photolysis. The rate constant and the quantum yield of the photooxidation have been determined and – on the basis of P. E. S. calculations - the reaction path has been analyzed.

