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Journal:	<i>Photochemical & Photobiological Sciences</i>
Manuscript ID:	PP-ART-03-2015-000117.R1
Article Type:	Paper
Date Submitted by the Author:	09-Jun-2015
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Spectral Fine Tuning of Cyanine Dyes: Electron Donor-Acceptor Substituted Analogues of Thiazole Orange[†]

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Abstract: The introduction of electron donor and acceptor groups at strategic locations on a fluorogenic cyanine dye allows fine-tuning of the absorption and emission spectra while preserving the ability of the dye to bind to biomolecular hosts such as double-stranded DNA and a single-chain antibody fragment originally selected for binding to the parent unsubstituted dye, thiazole orange (TO). The observed spectral shifts are consistent with calculated HOMO-LUMO energy gaps and reflect electron density localization on the quinoline half of TO in the LUMO. A dye bearing donating methoxy and withdrawing trifluoromethyl groups on the benzothiazole and quinoline rings, respectively, shifts the absorption spectrum to sufficiently longer wavelengths to allow excitation at green wavelengths as opposed to the parent dye, which is optimally excited in the blue.

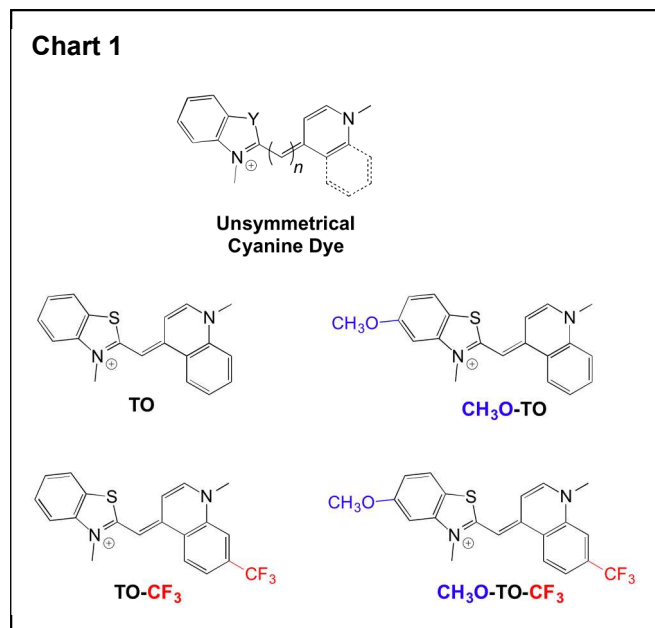
[†]Electronic Supporting Information Available

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Introduction

Fluorogenic dyes are a special class of fluorophores that show distinctly different emission intensities depending on their local environment¹⁻⁷. For example, unsymmetrical cyanine dyes exhibit low fluorescence quantum yields in fluid solution but strongly enhanced emission in viscous solution or other environments that conformationally constrain the dyes^{8, 9}. This phenomenon arises from a nonradiative twisting pathway about the central methine bridge that is inhibited when the dye is constrained^{8, 10}. The unsymmetrical cyanines (Chart 1) were originally used as fluorogenic DNA stains where intercalation into the DNA base pair stack leads to >100-fold fluorescence enhancements¹¹⁻¹³. Subsequently, conjugation of unsymmetrical cyanines to various classes of molecules (e.g. DNA, peptides, PNA) yielded “light-up probes” that exhibit enhanced fluorescence upon binding to another molecule¹⁴⁻²⁴. More recently, combination of fluorogenic cyanines and other dyes with single chain antibody fragment partners has allowed creation of a modular catalogue of dye-protein complexes with absorption and emission spectra spanning most of the visible spectrum²⁵⁻³⁴. The dye and protein are each nonfluorescent separately, but become strongly fluorescent upon formation of a noncovalent complex, with quantum yields up to 100%²⁹.

Cyanine dye colors can be designed to extend over the entire visible and near-IR range through variation of the length of the central polymethine bridge ($n = 1, 3, 5, 7, 9$) and the identity of the heterocycles (e.g. dimethylindole, benzothiazole, benzoxazole, quinoline)^{35, 36}. For example,



each increase in bridge length (e.g. $n = 1$ to $n = 3$) results in an approximate 100 nm red shift of the absorption and emission spectra. Addition of substituents to the polymethine bridge can also result in significant spectral shifts, although conformational and electronic factors can sometimes offset one another, leading to minimal change in color^{27, 30, 37, 38}.

Alternatively, fine-tuning of the cyanine dye spectra can be accomplished through introduction of substituents on the aromatic heterocycles¹⁰. For example, substitution of electron-withdrawing fluorines for hydrogens on the benzothiazole ring of TO led to blue-shifted spectra, with the magnitude of the shift correlating with the number of fluorine atoms. On the other hand, substitution of a trifluoromethyl group on the quinoline ring of TO led to a red-shift. These observations were rationalized in terms of the frontier orbitals: the HOMO has more electron density on the benzothiazole ring, so EWGs on the benzothiazole will stabilize the HOMO more than the LUMO, leading to a larger HOMO-LUMO gap and therefore blue-shifted spectra. Conversely, EWGs on the quinoline will preferentially stabilize the LUMO, leading to a smaller HOMO-LUMO gap and red-shifted spectra.

The prior results for TO analogues lead to the prediction that EDGs on the benzothiazole ring will result in red-shifted spectra, due to preferential destabilization of the HOMO, thereby reinforcing the effects of EWGs on the quinoline ring. To test this prediction, we synthesized two new TO analogues bearing an electron-donating methoxy group on the benzothiazole ring and characterized their spectral properties computationally, in solution, and when bound to either DNA or a TO-binding protein.

Materials and Methods

General Experimental

All reagents were purchased from Sigma Aldrich or Alfa Aesar and purity was checked by ¹H NMR (300MHz). Solvents were ACS grade. 4-chloro-1-methylquinolinium iodide (Q) was obtained from Dr. N. Shank. UV-Vis spectra were recorded on a CARY-300 Bio UV-visible spectrophotometer, Fluorescence spectra were recorded on a CARY Eclipse fluorimeter, ¹H and ¹³C NMR spectra were run on a Bruker Avance spectrometer at 500 and 75.47 MHz, respectively. Chemical shifts are reported as δ values (ppm) with reference to the residual solvent peaks. ESI-MS spectra were taken in a Finnigan ESI/APCI Ion Trap Mass Spectrometer on positive ion mode.

Dye Synthesis

Synthesis of 5-methoxy-2,3-dimethylbenzothiazol-3-ium iodide (CH₃O-BT). 5-methoxy-2-methylbenzothiazole (2.00 g, 11.2 mmol) was dissolved in Iodomethane (7.04 mL) and microwave irradiated for 35 minutes at 100 °C. The precipitated product was collected through

filtration and washed with cold ether and dried under vacuum. A chalky white solid was obtained (2.13 g, 60%).

^1H NMR (500 MHz, $\text{DMSO-}d_6$) δ 8.29 (d, J = 9.00 Hz, 1H); 7.78 (d, J = 2.3 Hz, 1H); 7.43 (dd, J = 9.0, 2.3 Hz, 1H); 4.17 (s, 3H); 3.97 (s, 3H); 3.138 (s, 3H). ^{13}C NMR (75 MHz, DMSO) δ 177.6, 161.1, 143.6, 125.6, 120.9, 118.3, 100.5, 59.9, 36.6, 17.6. *ESI-MS* (positive) m/z calcd for $\text{C}_{10}\text{H}_{12}\text{NOS}^+$: 194.2; Found : 194.1.

Synthesis of 1-methyl-4-(methylthio)-7-trifluoromethylquinolinium iodide (Q-CF₃). To a solution of 7-trifluoromethylquinolinethiol (1.00g, 4.33 mmol) dissolved in acetonitrile (5 mL), Iodomethane (326 μL , 0.037 mg, 5.19 mmol) was added under argon. After 1 hr of stirring, the product precipitated as a dark red solid. The mixture was then stirred for an additional 3 days. The resulting reaction mixture was filtered and washed with acetonitrile. The mother liquid was recrystallized using acetonitrile and the crystals were filtered and washed with cold ether. A red solid was obtained (120 mg, 15 %)

^1H NMR (500 MHz, $\text{DMSO-}d_6$) δ 9.29 (d, J = 6.6 Hz, 1H); 8.77(s, 1H); 8.67 (d, J = 8.8 Hz, 1H); 8.30 (dd, J = 8.8, 1.4 Hz, 1H); 8.03 (d, J = 6.6 Hz, 1H); 4.57 (s, 3H); 2.94 (s, 3H). ^{13}C NMR (75 MHz, DMSO) δ 164.3, 148.9, 136.6, 127.2, 125.5, 118.8, 117.7, 44.0, 15.1. *ESI-MS* (positive) m/z calcd for $\text{C}_{12}\text{H}_{11}\text{F}_3\text{NS}^+$: 258.1; Found: 258.2.

Synthesis of CH₃O-TO-CF₃: **CH₃O-BT** (33.4 mg, 0.104 mmol) and **Q-CF₃** (40.0 mg, 0.104 mmol) were dissolved in methanol (2 mL). Triethylamine (73.3 μL , 52.6 mg, 0.52 mmol) was added drop wise over a minute and the product precipitated as a red solid. The reaction mixture was then stirred for 17 hours. To the reaction mixture ether (15 mL) was added drop wise and a red precipitate was filtered (20 mg, 20% yield).

^1H NMR (500 MHz, $\text{DMSO-}d_6$) δ 9.03 (d, J = 8.9 Hz, 1H), 8.60 (d, J = 7.3 Hz, 1H), 8.31 (s, 1H), 8.01 (d, J = 8.7 Hz, 2H), 7.45 (d, J = 2.2 Hz, 1H), 7.34 (d, J = 7.2 Hz, 1H), 7.11 (dd, J = 8.8, 2.3 Hz, 1H), 7.03 (s, 1H), 4.21 (s, 3H), 4.11 (s, 3H), 3.95 (s, 3H). ^{13}C NMR (75 MHz, DMSO) δ 162.5, 160.8, 147.4, 146.1, 142.4, 138.5, 132.4, 127.8, 126.7, 124.1, 122.4, 116.3, 116.1, 113.6, 108.6, 99.0, 89.8, 56.6, 55.4, 42.7, 34.8. *ESI-MS* (positive) m/z calcd for $\text{C}_{21}\text{H}_{18}\text{F}_3\text{N}_2\text{OS}^+$: 403.1 m/z Found: 403.2.

Synthesis of CH₃O-TO: **CH₃O-BT** (100 mg, 0.33 mmol) and 4-chloro-1-methylquinolinium iodide, (105 mg, 0.33 mmol) were dissolved in 3mL of methanol. Triethylamine (231 μL , 1.64

mmol) was added dropwise over 2 minutes to the yellow reaction mixture. Upon the addition of TEA the reaction mixture turned dark red. The reaction was stirred for 23 hours at room temperature and 20 mL of ether was added to the reaction mixture and a red precipitate was filtered and washed with cold ether (105 mg, 67%)

^1H NMR (500 MHz, $\text{DMSO-}d_6$) δ 8.81 (d, $J = 8.15$ Hz, 1H); 8.59 (d, $J = 7.2$ Hz, 1H); 8.05 (m, 2H); 7.93 (d, $J = 8.7$ Hz, 1H); 7.78 (t, $J = 7.8$ Hz, 1H); 7.38 (d, $J = 2.2$ Hz, 1H); 7.33 (d, $J = 7.15$ Hz, 1H); 7.05 (dd, $J_1 = 8.7$ Hz, $J_2 = 2.2$ Hz, 1H); 6.95 (s, 1H); 4.17 (s, 3H); 4.03 (s, 3H); 3.92 (s, 3H). ^{13}C NMR (75 MHz, DMSO) δ 161.4, 160.7, 148.6, 145.4, 142.4, 138.5, 133.6, 127.3, 125.9, 124.5, 123.9, 118.7, 115.6, 112.8, 108.2, 98.8, 88.6, 56.5, 42.8, 34.4 *ESI-MS* (positive) m/z calcd for $\text{C}_{20}\text{H}_{19}\text{N}_2\text{OS}^+$: 335.2, Found: 335.1.

Spectroscopic Studies

Dye solutions. Dye stock solutions (~ 1 mM) were prepared in DMSO and stored in the dark at 0 °C; concentrations were determined using extinction coefficients reported in the literature (TO: $\epsilon_{502} = 63,000 \text{ M}^{-1}\text{cm}^{-1}$; TO- CF_3 : $\epsilon_{516} = 65,000 \text{ M}^{-1}\text{cm}^{-1}$). Extinction coefficients for new compounds were determined in methanol $\text{CH}_3\text{O-TO}$: $\epsilon_{512} = 68,600 \pm 1,100 \text{ M}^{-1}\text{cm}^{-1}$; $\text{CH}_3\text{O-TO-}\text{CF}_3$: $\epsilon_{526} = 58,000 \pm 2,600 \text{ M}^{-1}\text{cm}^{-1}$

DNA solutions. DNA was purchased from GE-Biosciences, calf thymus DNA (ct-DNA) was used as received. Its concentration was determined in PBS buffer at 260 nm using the manufacturer extinction coefficient ($\epsilon_{260} = 13,200 \text{ M}^{-1}\text{cm}^{-1}$) per base pair.

Protein Solutions. Soluble scFv K7 was prepared as described previously.²⁶ Protein concentration was determined using a Nanodrop spectrometer ($\epsilon_{280} = 36,040 \text{ M}^{-1}\text{cm}^{-1}$).

Fluorescence Quantum Yield

Fluorescence quantum yields for all dyes were determined relative to standards fluorescein and lucifer yellow, which were chosen due to their spectral overlap with TO analogs ($\Phi_{\text{fluorescein}} = 0.79$ in 0.1 N NaOH³⁹; $\Phi_{\text{lucifer yellow}} = 0.21$ in nanopure H_2O at 22 °C⁴⁰). Serial dilutions of the standards were made and the absorbance and fluorescence (ex 470) were measured. Fluorescence and absorbance measurements were performed with the dye in a solution of 90% glycerol in water as well as dye in the presence of excess soluble protein or calf thymus DNA. All standard and

sample solutions had a maximum absorbance that did not exceed 0.1. All measurements were performed in triplicate to determine mean quantum yields and standard deviations.

Each fluorescence spectrum was integrated using Origin software. Then the integrated fluorescence intensity was plotted vs absorbance at 470 nm and a trendline calculated with y intercept = 0. The slope of this line is the gradient (*grad*). Using the gradient of the unknown (*grad_x*) as well as the standard (*grad_{std}*) in addition to the refractive index of their respective solvents (*n*), the unknown quantum yield (Φ_x) was determined using the equation below.

$$\Phi_x = \Phi_{std} * \left(\frac{grad_x}{grad_{std}} \right) * \left(\frac{n_x}{n_{std}} \right)^2$$

Protein Binding

*Range Finding Assay.*⁴¹ A serial dilution of 10:1 (dye:scFv) as well as a control serial dilution of just dye were made, starting at 10 μ M dye to 2 nM. The resulting samples were allowed to equilibrate in eppendorf tubes at room temperature overnight. Then the background signal was corrected by subtracting the fluorescence intensity of just dye from the fluorescence intensity of the complex. The corrected fluorescence was multiplied by the dilution factor and then normalized. The expected results should show a definite plateau at 1, this indicates that the system is above the K_D and the assumption that a 1:1 binding relationship is true. However the point in which the normalized fluorescence decreases indicates that the system is nearing the K_D and the complex starts to dissociate. We use this curve to estimate the concentration in which half of the dye or protein is bound.

K_D Determination for Dye and Soluble FAP. For each dye, a parallel dilution series was performed using 16 different concentrations of dye that was centered around the estimated K_D (determined by range finding assay). From that dilution series two different samples were generated: a control dye in wash buffer, as well as dye:K7 in wash buffer. The concentration of K7 was kept constant for each dye sample and the experiment was performed in triplicate. The resulting data were fit using the ligand depletion model saturation binding equation in Graph Pad.

Cell Imaging

HeLa cells were plated on MatTec dishes were incubated with a 1:1000 dilution of either TO or CH₃O-TO-CF₃ for 30 minutes at 37°C in a humid tissue culture incubator at 37°C in the

presence of 9% CO₂. The dyes were washed off using 1 mL of complete DMEM. Following incubation with the dyes, the cells were imaged live using a Nikon A1 confocal microscope. Dyes were excited with a 488 nm and 561 nm laser and the emissions were collected with a band pass filter between the wavelengths of 505-550 nm and 603-678 nm, respectively. Imaging parameters were kept identical for each dish of cells. Images were processed using ImageJ software where a false color which represented the emission window was applied.

Computations

The molecular geometries of the ground electronic state were optimized using DFT with the B3LYP functional⁴² and 6-31G** basis set. Vertical excitations were obtained from single-point TDDFT calculations with CAM-B3LYP/6-31G**⁴³, since this functional has been shown to perform well on cyanine dyes⁴⁴⁻⁴⁶. In addition, the correlation between the predicted and observed λ_{max} is significantly better for CAM-B3LYP than with the M06HF or PBE0 functionals or ZINDO⁴⁷ (see Supplemental Information). All calculations were performed using GAUSSIAN09⁴⁸ and included the effects of the methanol solvent through the Polarization Continuum Model (PCM)⁴⁹.

Results and Discussion

Two new methoxy-substituted TO derivatives, CH₃O-TO and CH₃O-TO-CF₃ (Chart 1) were synthesized as their iodide salts by a standard route⁵⁰: (A) *N*-methylation of 5-methoxy-2-methylbenzothiazole, (B) methylation of either 7-(trifluoromethyl)quinoline-4-thiol or 4-chloroquinoline, and (C) condensation in the presence of triethylamine to yield the final dyes (Scheme 1).

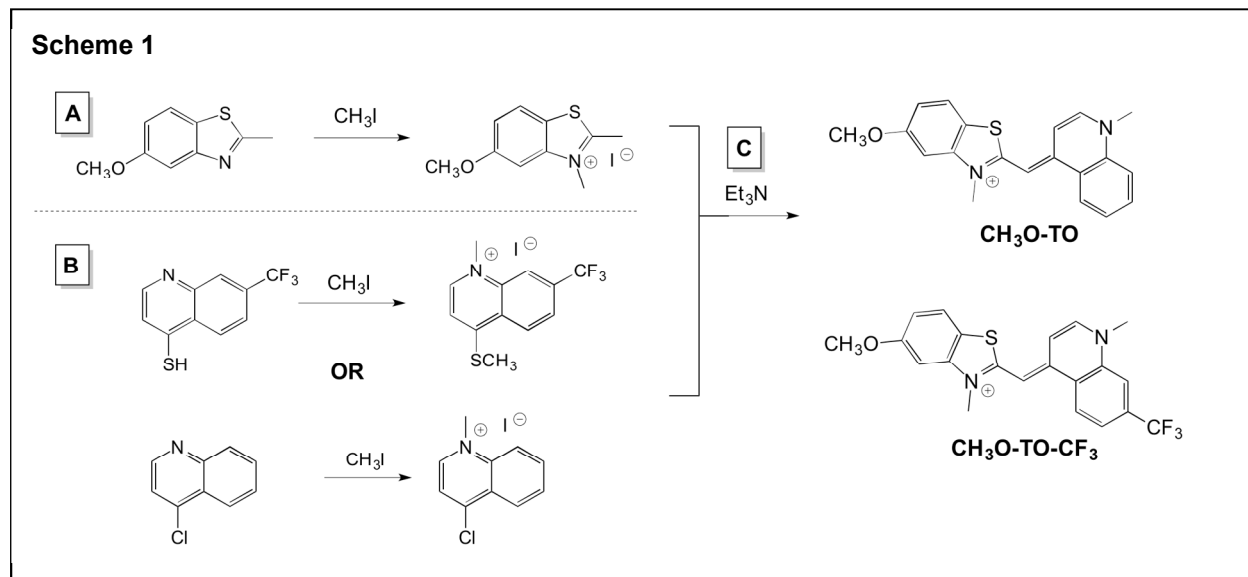
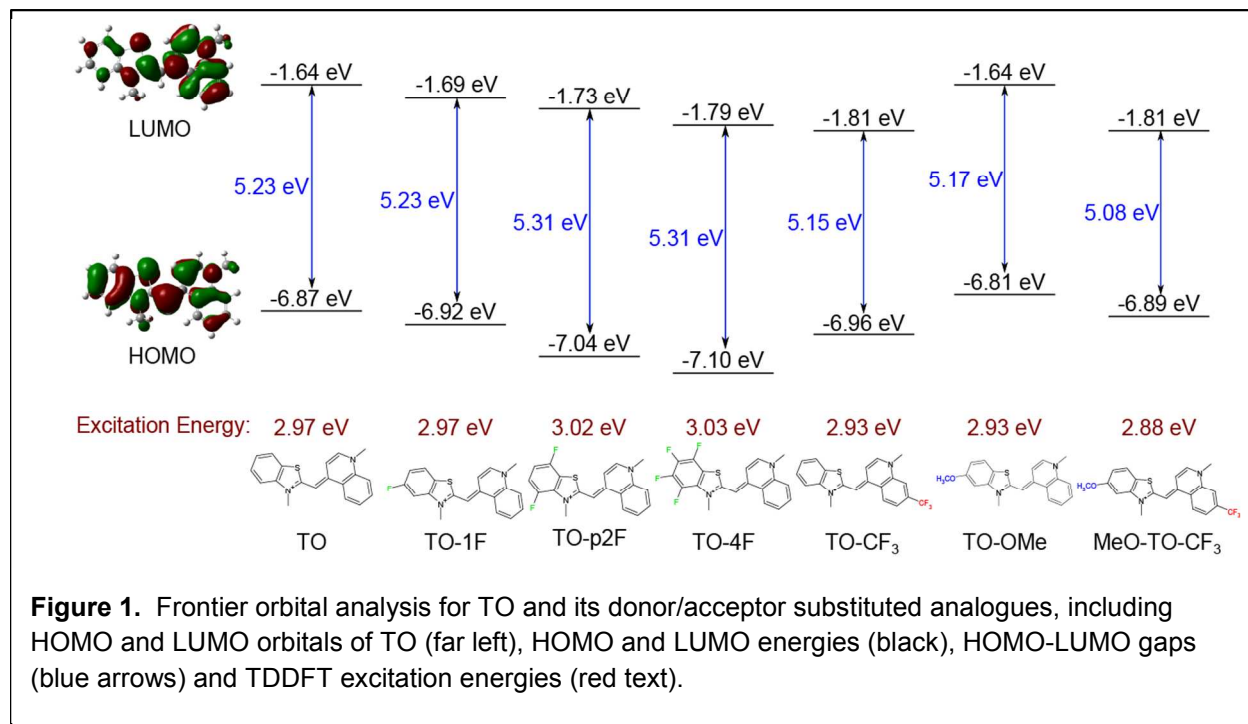
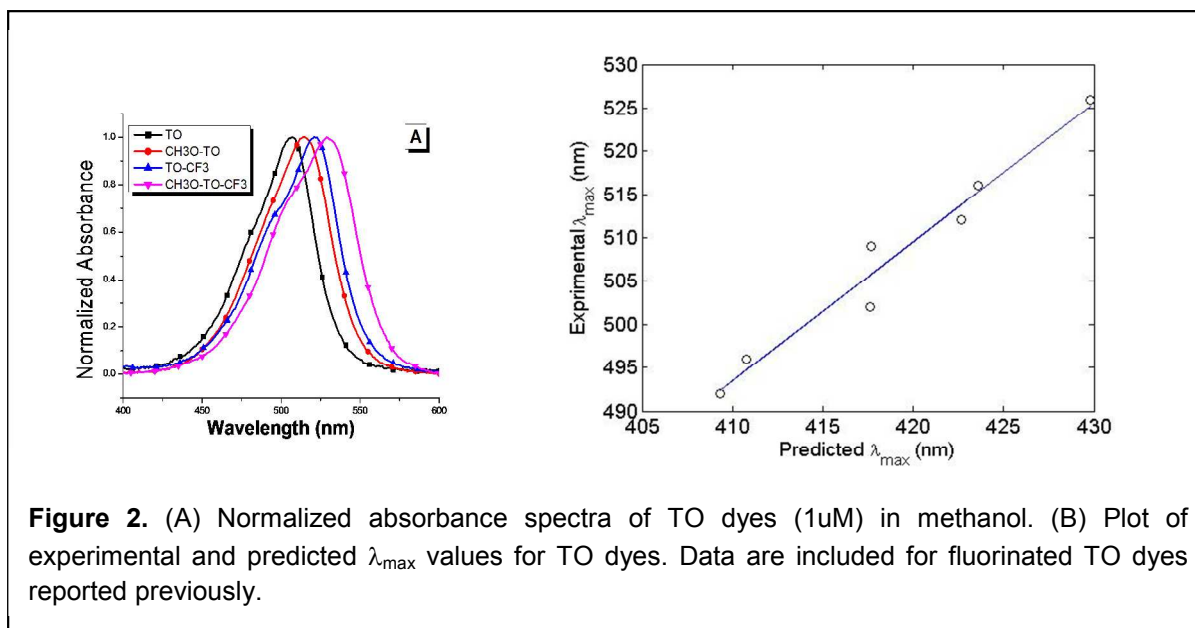


Figure 1 shows the calculated frontier orbitals for TO, along with the effects of the substituents on the HOMO and LUMO energies and on the excitations energies predicted by TDDFT. The trends in the HOMO-LUMO gaps correlate well with the excitation energies, such that the spectral shifts can be understood in terms of the effects of the substituents on the frontier orbitals. These effects are consistent with EWGs (F and CF₃) preferentially stabilizing, and EDGs (methoxy) preferentially destabilizing, the frontier orbital whose density is largest on the heterocycle to which the group is attached. Fluorine substitution on the benzothiazole thus preferentially stabilizes the HOMO and leads to blue-shifted absorption, while CF₃ substitution on the quinoline preferentially stabilizes the LUMO and leads to red-shifted absorption. Methoxy substitution on the benzothiazole preferentially destabilizes the HOMO, leading to red-shifted absorption, as observed previously by Ivanov and co-workers.⁵¹ The substituent effects reinforce one another in CH₃O-TO-CF₃, leading to the largest red shift (Table 1).



Normalized UV-vis spectra for the new TO derivatives in methanol solution are shown in Figure 2A, along with the spectra for TO and the previously reported TO-CF₃. Trifluoromethylation of the quinoline group causes a 14 nm red shift in the absorption spectrum relative to TO. Introduction of a methoxy group at position 5 on the benzothiazole heterocycle causes a similar red shift of 10 nm, and the combination of both substituents, methoxy on the benzothiazole and trifluoromethyl on the quinoline, shows an additive effect with a red shift of 24 nm (Table 1). In Figure 2B we see that there is a good correlation between predicted and experimentally observed absorption λ_{\max} values.



Similar results were observed in fluorescence emission spectra recorded for the dyes (Figure 3 and Table 1). Since TO and its analogues exhibit very low fluorescence in fluid solution, we measured emission spectra in a viscous solvent consisting of 90% glycerol in water. The spectra are relatively broad, but the emission clearly red-shifts in the order TO < TO-CF₃ \approx CH₃O-TO < CH₃O-TO-CF₃.

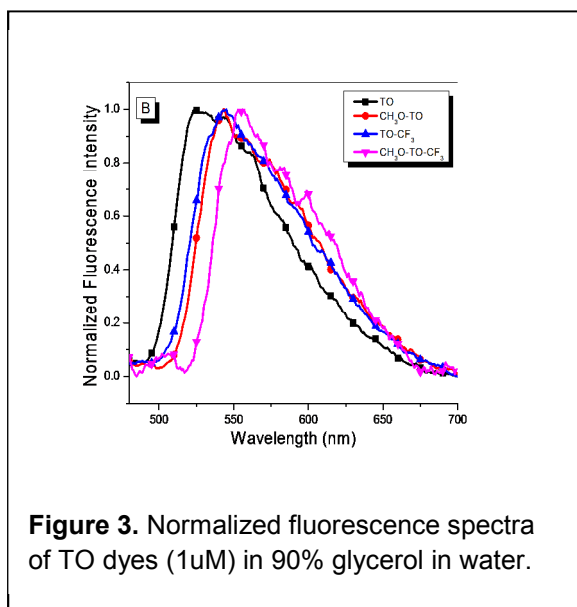


Table 1. Extinction coefficients, wavelength maxima (nm) and fluorescence quantum yields for TO dyes in homogeneous solution.

Dye	ϵ ($M^{-1}cm^{-1}$) ^a	λ_{max} ^a	Em λ_{max} ^b	ϕ_f ^b
TO	68,000	502	529	0.013
CH ₃ O-TO	68,600	512	545	0.009
TO-CF ₃	65,000	516	547	0.009
CH ₃ O-TO-CF ₃	58,000	526	560	0.007

^a in methanol, ^b in 90% glycerol in water

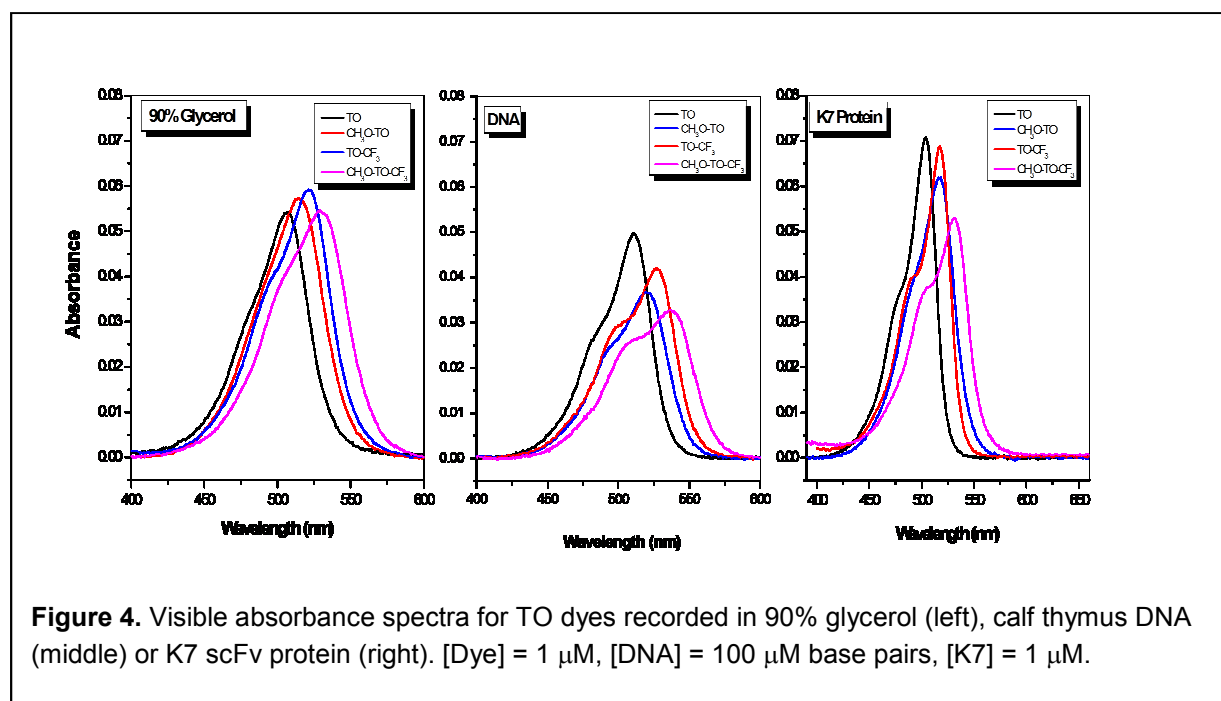
The fluorescence quantum yields were also determined for TO analogs in 90% glycerol in water (Table 1; the values for TO and TO-CF₃ are lower than we previously reported; this could be due to slight variation in the glycerol content.) As previously reported the addition of the trifluoromethyl group to the quinoline side decreases the quantum yield due to reduction in the activation barrier for twisting about the central methine in the excited state. Addition of a methoxy substituent to the benzothiazole group also decreases the quantum yield, and when both substituents are present the quantum yield decreases further.

The noncovalent binding interactions between the TO analogues and two biomolecular hosts, namely double-stranded DNA and a single-chain antibody fragment (scFv) protein called K7, which was previously shown to bind to a range of unsymmetrical cyanine dyes, were also investigated. K_D values for the four dyes to K7 are all in the low nanomolar range (Table 2). Figure 4 shows unnormalized absorbance spectra for the four dyes in glycerol/water or excess DNA or K7. The spectra exhibit the same progressive red-shift as the donor-acceptor nature of the substituents increases that was evident in methanol. Also, all four dyes exhibit greater vibronic structure upon binding to DNA and K7, as evidenced by the more prominent short wavelength shoulders observed in the corresponding absorbance spectra compared with the glycerol spectra, indicating that the dyes experience a narrower range of conformations when bound to the biopolymers.

Table 2. Wavelength maxima (nm), fluorescence quantum yields and binding affinities for TO dyes in the presence of DNA and a dye-binding protein.

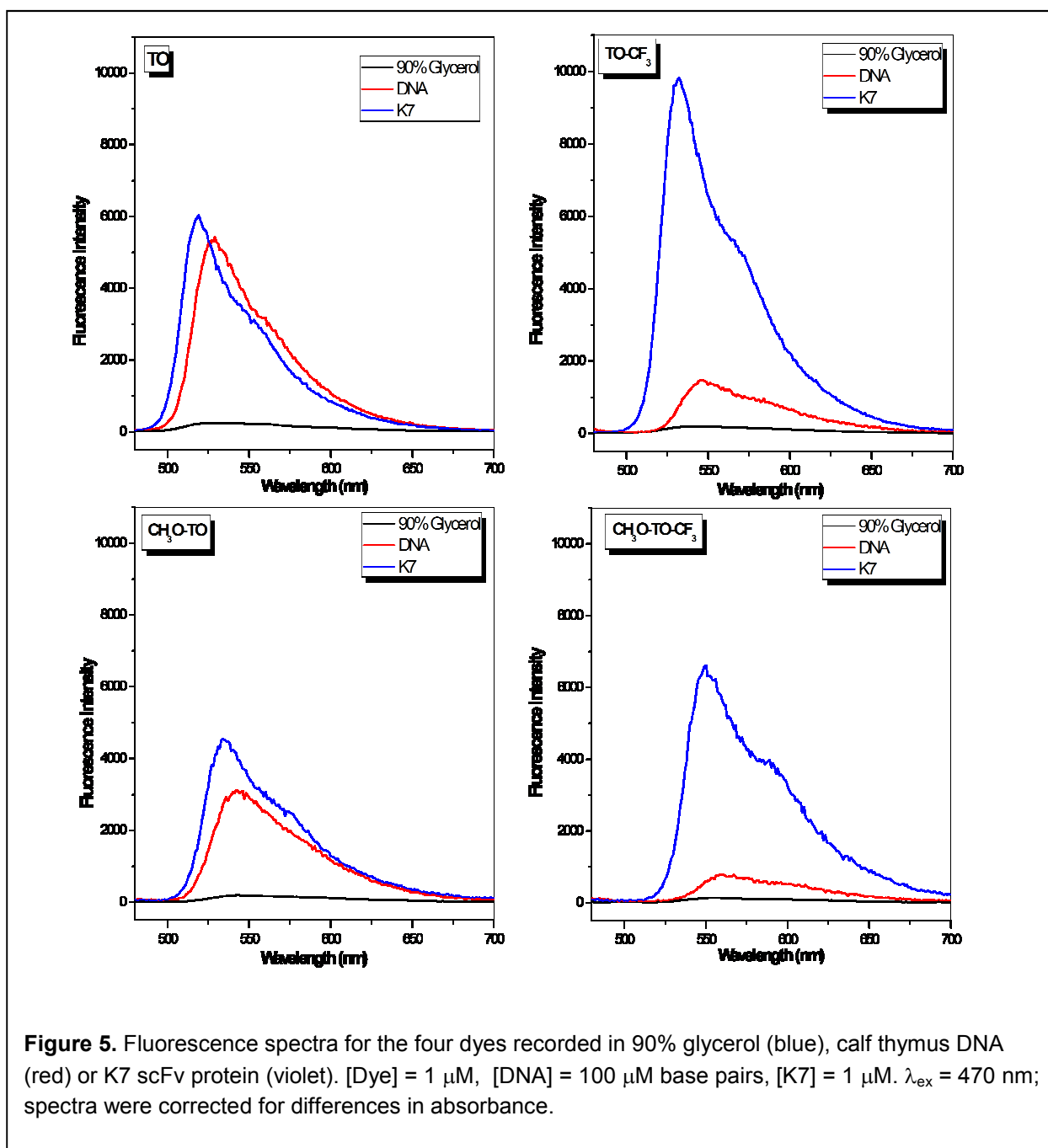
Dye	$\lambda_{A_{max}}$ (nm)	ϕ_f (DNA)	$\lambda_{A_{max}}$ (nm) Protein	ϕ_f (Protein)	K_D (Protein) ^a
TO	511/528	0.14	502/518	0.17	2.1 ± 0.5
CH ₃ O-TO	520/543	0.10	511/534	0.11	3.8 ± 0.6
TO-CF ₃	527/548	0.05	516/535	0.21	1.0 ± 0.2
CH ₃ O-TO-CF ₃	536/561	0.04	527/548	0.17	5.4 ± 1.2

^a K_D values in nM



Fluorescence spectra recorded for the four dyes in methanol, glycerol, DNA and K7 protein are overlaid in Figure 5. The spectra were corrected for absorbance differences at the excitation wavelength and therefore reflect differences in quantum yields. In all four cases, the brightest fluorescence is observed when the dye is bound to the scFv protein, with intensities 10-20-fold brighter than in glycerol. Relative to TO, substitution with CH₃O causes approximately 2-fold decrease in fluorescence for both DNA and K7. In contrast, substitution with CF₃ results in nearly 2-fold *increase* in K7 fluorescence but 5-fold *decrease* in DNA fluorescence relative to TO. The disubstituted dye exhibits K7 fluorescence that is comparable to TO, but the lowest

DNA fluorescence of any of the dyes. Overall, these results indicate that the protein tolerates both substituents reasonably well, but methoxy substitution on the benzothiazole ring is detrimental to fluorescence activation by DNA. (Under the conditions of the experiment, all dyes were completely bound by the DNA and protein, so the differences in intensities reflect quantum yield differences rather than affinity differences.)



The red-shifted fluorescence exhibited by $\text{CH}_3\text{O-TO-CF}_3$ is of practical significance. In particular, whereas TO is efficiently excited by a 488 nm laser, $\text{CH}_3\text{O-TO-CF}_3$ can be excited by a 561 nm laser with greater than 60-fold higher extinction coefficient than TO (Table 3), more than compensating for the lower quantum yields for $\text{CH}_3\text{O-TO-CF}_3$ (Table 2). Thus, fine-tuning of the TO absorption spectrum through the rational introduction of donor and acceptor groups allows different excitation sources to be used without significantly changing the dye structure, permitting promiscuous receptors such as the K7 protein to be used as a multi-color fluorescent tag differentiated by the fluorogenic dye used for imaging.

In order to visually demonstrate the spectral fine tuning potential of these dyes, we tested the two extrema, TO and $\text{CH}_3\text{O-TO-CF}_3$, as stains for mammalian cells. We imaged HeLa cells stained with 500 nM dye without washing at two different excitation wavelengths, 488 and 561 nm. As seen in Figure 6, the dyes stain both nuclei and cytoplasm, similar to other fluorogenic cyanines.^{52, 53} As expected, TO gives brighter staining upon excitation at 488 nm while $\text{CH}_3\text{O-TO-CF}_3$ is significantly brighter for 561 nm excitation.

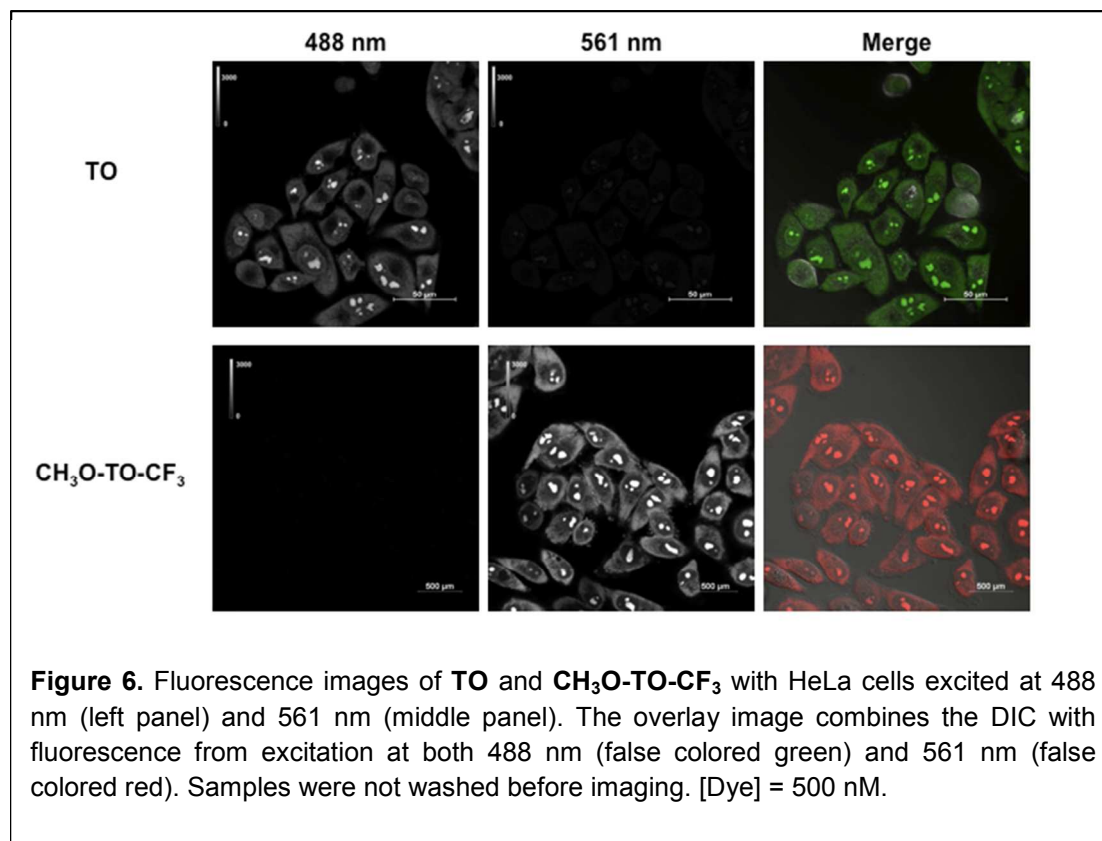


Table 3. Extinction coefficients ($M^{-1} \text{ cm}^{-1}$) at common laser wavelengths of TO dyes bound to CT DNA and scFv protein K7

Dye	CT DNA			scFv protein K7		
	ϵ_{488}	ϵ_{532}	ϵ_{561}	ϵ_{488}	ϵ_{532}	ϵ_{561}
TO	30,000	11,000	160	44,000	3,800	120
CH ₃ O-TO	22,000	27,000	1,100	40,000	30,000	1,700
TO-CF ₃	22,000	40,000	2,700	43,000	26,000	476
CH ₃ O-TO-CF ₃	15,000	32,000	10,000	25,000	48,000	6,800

Conclusion

The results reported here show the synthesis and spectral characterization of a family of fluorogenic cyanine dyes with strategic placement of electron donating and withdrawing groups in order to finely tune the absorbance and fluorescence spectra. Whereas methoxy groups were used in the present case, additional tuning of the spectra could be achieved using other donor (e.g. amino) and/or acceptor (e.g. cyano) groups placed at appropriate positions on the heterocyclic ring systems. The new TO derivatives retained, to varying degrees, the fluorogenicity and DNA/protein binding properties of the parent dye. The ability to precisely adjust the excitation and emission wavelengths without eliminating specific biomolecule binding properties can be used to optimize fluorescent labeling reagents for microscopy and cytometry applications.

Acknowledgment

This work was supported by the U.S. National Institutes of Health (grant U54 RR022241). NMR instrumentation at Carnegie Mellon University was partially supported by the NSF (CHE-0130903). Mass spectrometers were funded by NSF (DBI-9729351). Computational work was funded by NSF (CHE-1027985). We are grateful to Morgan Jessup of the Center for Biologic Imaging at the University of Pittsburgh for technical assistance with cell imaging.

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