

Organic & Biomolecular Chemistry

Accepted Manuscript



This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this *Accepted Manuscript* with the edited and formatted *Advance Article* as soon as it is available.

You can find more information about *Accepted Manuscripts* in the [Information for Authors](#).

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard [Terms & Conditions](#) and the [Ethical guidelines](#) still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.

21 method to synthesize asymmetrically substituted Pcs with both high water solubility
22 and one monoamino group for conjugation with biological agents for tumor homing,
23 using folic acid as the ligand model to direct the modified Pcs into target cells. Here,
24 we report studies on the syntheses and characterization of these Pcs. *In vitro* and *in*
25 *vivo* assays prove that the high solubility characteristic can greatly increase the tumor
26 targeting capability of Pcs by reducing non-specific uptake. This newly designed
27 photosensitizer accumulated almost completely in tumor regions, with a negligible
28 signal found in other tissues in the xenograft tumor model. These initial data provide
29 strong evidence of the high specificity tumor targeting of Pcs with folate and
30 tri-glycerol substitutions. Theoretically, the synthesized Pcs could be conveniently
31 conjugated to many other ligands, endorsing the broad applicability of this method for
32 tumor-targeted PDT.

33

34 **Keywords:** Photodynamic therapy; phthalocyanine; fluorescence imaging; folate
35 receptor; photosensitizer

36

37 **1. Introduction**

38 Photodynamic therapy (PDT) is a therapeutic procedure utilizing a photosensitizer
39 activated with light to create irreversible photo-damage to tissues, which has become
40 a well-established therapeutic modality for the treatment of a variety of premalignant
41 and malignant diseases.¹ As a non-invasive modality, PDT combines three

42 individually harmless components, a photosensitizer, light, and molecular oxygen, to
43 generate reactive oxygen species (ROS) leading to cellular and tissue damage.² PDT
44 also has the advantages of repeated dose tolerance and high specificity, which is
45 achieved through the precise application of light.³ Furthermore, PDT agents act as
46 fluorescent probes to be used for fluorescent imaging technology, which could offer
47 superior sensitivity and real-time imaging for *in vivo* cancer diagnoses and
48 presentations.⁴ Clearly identifying cancer cells before or during treatment would
49 likely increase the success of therapy. The natural connection of near-infrared (NIR)
50 fluorescence imaging with photodynamic therapy (PDT) forms a beneficial union as a
51 noninvasive tool for cancer therapy.⁵

52 The synthesis of photosensitizers with desired properties is considered to be an
53 important bottleneck in PDT therapy.⁶ Ideal photosensitizers are non-toxic to the host
54 in the absence of light, accumulate preferentially in tumor tissue, and most
55 importantly, have a high molar absorption coefficient and high singlet oxygen
56 generation efficiency in the biological wavelength window (650-900 nm) for deeper
57 penetration into biological tissues.⁷ Traditionally, porphyrin-based photosensitizers
58 have dominated the PDT field, and they usually have a relatively weak satellite
59 absorption band (Q-band) in the region of 600–650 nm.⁸ Phthalocyanines (Pcs), as
60 next generation photosensitizers, are among the most promising candidates for PDT
61 and have received considerable attention.⁹ They offer multiple desirable
62 characteristics, such as strong light absorption at long wavelengths (650 nm to 850

63 nm), high efficiency of singlet oxygen generation, extraordinary stability and
64 biocompatibility.¹⁰ Furthermore, the spectral and photophysical properties of Pcs can
65 be easily tuned by varying the substituents around the Pc aromatic core or the central
66 metal.¹¹

67 For most Pcs, extremely low solubility and aggregation phenomena in water
68 renders them photodynamically inactive in aqueous medium and thus have
69 significantly restricted their *in vivo* biological and medical applications.¹² Pcs have
70 not been systematically studied in aqueous solutions. In the literature, detailed
71 attention has been given to the absorption and emission properties of Pcs in DMSO
72 solutions, in which extremely low solubility could be obtained.¹³ To become
73 promising photosensitizers, high singlet oxygen quantum yields in aqueous medium
74 are necessary, thus water-solubility represents an important characteristic of good
75 photosensitizers.

76 Many studies have focused on the optimization of Pcs to use Pcs in aqueous
77 media.^{11,14} A historical approach was to incorporate insoluble Pcs primarily in
78 liposomes, biodegradable polymeric nanoparticles, or emulsions with the use of a
79 surfactant, e.g., Cremophor EL.¹⁵ Other efficient strategies include chemical
80 modification of Pcs through the attachment appropriate hydrophilic substituents. Until
81 recently, most of the chemical modifications were performed based on the attachment
82 of ionic substituents, such as sulfonates¹⁶ and carboxylates¹⁷ to form anionic Pcs, or
83 quaternized amino¹⁸ and aromatic groups¹⁹ to form cationic Pcs. Ionic substitutions

84 can strongly affect the characteristics of Pcs, leading to increased water solubility and
85 a reduced degree of aggregation as well as a high rate of singlet oxygen generation.
86 However, they also have serious drawbacks, including that they interact with
87 constituents of biological fluids (*e.g.*, plasma proteins) and interfaces²⁰ (*e.g.*, cell
88 membrane) and that they cause serious side effects. Non-ionic water-soluble Pcs
89 represent another efficient way to solve the solubility problems of Pcs, although
90 studies of their synthesis and application are rare. Recently several non-ionic
91 water-soluble Pcs have been synthesized through modification with functional groups,
92 such as carbohydrate substitution and polyhydroxylate substitution.²¹

93 Conventional PDT for cancer therapy is based on the preferential accumulation of
94 a photosensitizer in tumors with minimal damage to normal tissues. However, because
95 existing photosensitizers lack tumor selectivity, considerable damage occurs in normal
96 tissues, which leads to unwanted toxicity. Thus, current methods of PDT would be
97 improved if more selective targeting of the photosensitizer was possible, which would
98 increase the uptake of PDT agents by the targeted cancer cells. Most attempts at
99 targeting have been performed through encapsulation in liposomes and polymeric
100 nanoparticles via an enhanced permeability and retention (EPR) effect for tumor
101 accumulation.²² Conjugation with various tumor-specific vehicles, such as epidermal
102 growth factor, monoclonal antibodies²³ and small molecule ligands (*e.g.*, short
103 peptides or peptidomimetics), would provide another promising strategy to increase
104 the selectivity of photosensitizers with precise targeting properties. However, most of

105 the synthesized Pcs are not able for use in bio-conjugation, as unique functional
106 groups on the structure of Pcs that are needed for attachment are missing. The
107 asymmetrically substituted A₃B-type Pcs with one reactive group for conjugation are
108 ideally suited for these applications,²⁴ but difficulties in the synthesis and isolation of
109 these Pcs have limited their application.²⁵ Recently reported solid-phase synthesis of
110 Pcs presents an efficient method for the synthesis of pure AB₃-type
111 mono-functionalized Pcs.²⁶

112 For the above reasons, new types of Pcs with high water solubility, as well as with
113 one or more functional groups for conjugation with biological agents, have been
114 anxiously awaited. Here, we report our study on the synthesis and characterization of
115 just such an asymmetrically substituted highly water-soluble Pcs with two different
116 types of peripheral substituents: one reactive group for conjugation and others for
117 solubility. The functionalized amine group can be conveniently conjugated with
118 ligands, herein with folic acid as a model, for tumor targeting purposes.²⁷ The high
119 solubility characteristic can greatly reduce non-specific uptake and thus reduce the
120 background. However, the tumor homing ligand can selectively bring the PDT agents
121 inside tumor cells. The evaluation of their photophysical and photochemical
122 properties both *in vitro* and *in vivo* proves that they have high potential as
123 tumor-selective PDT agents. These preliminary studies may offer a useful strategy in
124 the quest for more efficient tumor-selective Pcs for PDT.

125

126 **2. Results and discussion**

127 **2.1. Molecular design of water-soluble Pcs**

128 Biological uses of photosensitizers in PDT often suffer from a lack of tumor
129 selectivity. Taking advantage of highly specific receptor-ligand interactions to direct
130 the photosensitizers into target cells leads to efficient strategies to solve this problem.
131 To synthesize new water-soluble Pcs for bio-conjugation with peptides or antibodies,
132 asymmetrically substituted Pcs with two different types of peripheral substituents, one
133 reactive group for conjugation and others for solubility, were designed (Fig. 1,
134 $\text{PcZn}_1\text{-lys}$ and $\text{PcZn}_2\text{-lys}$). For conjugation, an asymmetric monoamino group was
135 added using the strategy of solid phase synthesis of un-symmetric AB_3 type Pcs. Folic
136 acid was selected to covalently conjugate to these asymmetrically substituted Pcs as
137 model tumor-homing ligands for tumor targeting purposes (Fig. 1, $\text{PcZn}_1\text{-lys-FA}$ and
138 $\text{PcZn}_2\text{-lys-FA}$). For comparison, two types of hydrophilic substitutions, a triethylene
139 glycol monomethyl ether group and a glycerol group, were adopted to increase
140 solubility and reduce aggregation by attachment to peripheral positions of the
141 macrocycle. The symmetrical tetra-substituted PcZn_1 and PcZn_2 were also synthesized
142 for comparison. The water solubility and photochemistry of these Pcs were tested and
143 compared. Theoretically, the synthesized Pcs could be conveniently conjugated to
144 many other ligands for targeted PDT.

145

146 **2.2. Synthesis of symmetric tetra-substituted Pcs**

147 Scheme 1 shows the synthesis route used to prepare the tetra-substituted PcZn₁
148 and PcZn₂. 4-nitrophthalonitrile reacts with triethyleneglycol monomethylether **8** and
149 S-(+)-2,2-Dimethyl-1,3-dioxolane-4-methanol **10**, leading, respectively, to
150 monosubstituted phthalonitriles **9** and **11** in a 74% yield and 71% yield. These
151 monosubstituted phthalonitriles were cyclotetramerized into PcZn₁ and
152 isopropylidene protected Pc **11** in the presence of Zn(OAc)₂·2H₂O and
153 1,8-diazabicyclo[5.4.0]undec-7-ene (DBU) in n-hexyl alcohol. The isopropylidene
154 group of **12** could be removed readily upon treatment with a mixture of trifluoroacetic
155 acid (TFA) and H₂O (9:1 v/v), generating PcZn₂ in 93% yield. The prepared PcZn₁
156 and PcZn₂ can be easily dissolved in water. These oxygen-rich substituents added to
157 the peripheral positions of the macrocycles can greatly enhance the solubility of
158 PcZn₁ and PcZn₂ in water. However, glycerol substitution has stronger effects;
159 glycerol substituted PcZn₂ has an even higher water solubility than the respective
160 triethyleneglycol monomethylether substituted PcZn₁. The structures of the new
161 compounds were confirmed by NMR and high resolution mass spectrometry (HRMS).
162 The ¹H NMR spectra of PcZn₁ and PcZn₂ show that the Pc protons appear at a lower
163 field as a set of three multiplets, two multiplets between δ 8.5 and 9.1 ppm due to the
164 resonances of the eight Pc-alpha protons and a multiplet at δ 7.6 and 7.8 ppm due to
165 the four Pc-beta protons. The ESI-HRMS mass spectrum of PcZn₁ and PcZn₂
166 displayed ion peaks at m/z = 1247.4251 [M+Na]⁺ and 937.2102 [M+H]⁺, respectively,
167 which confirmed the proposed structures.

168

169 **2.3. Synthesis of asymmetric tetra-substituted Pcs using solid-phase synthesis**

170 Solid-phase synthesis was utilized for the preparation of asymmetric highly
171 water-soluble AB₃-type PcZn₁-lys and PcZn₂-lys with monoamino substitution (as
172 shown in Scheme 2) for the convenient purification of the isotypes. The syntheses
173 started from an amine-functionalized, solid-supported phthalonitrile **13** (see
174 supporting information for the synthesis of **13**). Briefly, a suspension of
175 polymer-bound phthalonitrile **13**, triethyleneglycol monomethylether-substituted
176 phthalonitrile **9** or glycerol-substituted phthalonitrile **11**, Zn(OAc)₂, and DBU in
177 n-hexyl alcohol were heated to 160 °C for 5 h to generate polymer-bound AB₃-type
178 Pc. The resin was washed with methanol and CH₂Cl₂ until a colorless filtrate was
179 collected, was cleaved in 95% TFA with triisopropylsilane (TIS) (TFA:H₂O:TIS =
180 95:2.5:2.5), and was then precipitated with diethyl ether and purified with HPLC to
181 afford AB₃-type asymmetrical PcZn₁-lys in approximately 20-30% yield. In addition
182 to the resin, the 4-methyltrityl (Mtt) group was also cleaved through TFA treatment.
183 PcZn₂-lys with glycerol-substituted chains was synthesized similarly, but the cutting
184 reagent was changed to a solution of TFA/H₂O (95:5) without TIS, as TIS could
185 interfere with the isopropylidene group and result in a very complex product.
186 Synthesis of ZnPc₁-lys and PcZn₂-lys supports the applicability of this method to
187 many other types of asymmetric Pcs.

188

189 **2.4. Conjugate of folic acid with the asymmetric tetra-substituted Pcs**

190 The synthesized asymmetric $\text{PcZn}_1\text{-lys}$ and $\text{PcZn}_2\text{-lys}$ could be conveniently
191 conjugated to biomolecules, such as antibodies, proteins or peptide ligands for
192 selective tumor targeting purposes in PDT. To test the application potential of these
193 asymmetric Pcs, we selected folic acid as the tumor homing ligand to synthesize
194 asymmetrically substituted $\text{PcZn}_1\text{-lys-FA}$ and $\text{PcZn}_2\text{-lys-FA}$. Two coupling strategies
195 were tested for this purpose. In the first (Scheme 3), the coupling reaction was
196 conducted on the solid support. The Mtt group was selectively removed by treatment
197 with a 2% trifluoroacetic acid solution (TFA:DCM:TIS = 2:96:2) without cleaving the
198 Pc from the solid support. Then, folate was coupled in the presence of HBTU and
199 DIEA in a solution of DMF/DMSO (1:1). Finally, polymer-bound $\text{AB}_3\text{-type Pc}$ was
200 treated with 95% TFA (TFA:H₂O = 95:5) to yield the desired $\text{PcZn}_1\text{-lys-FA}$ or
201 $\text{PcZn}_2\text{-lys-FA}$. In the second strategy (Scheme 4), the coupling of folate was
202 performed directly in the solution phase by the reaction of $\text{PcZn}_1\text{-lys}$ or $\text{PcZn}_2\text{-lys}$
203 with NHS-activated folate in DMSO solution, and the product was precipitated with
204 diethyl ether and purified through HPLC to produce $\text{PcZn}_1\text{-lys-FA}$ in 75% yield or
205 $\text{PcZn}_2\text{-lys-FA}$ in 80% yield.

206

207 **2.5. Ground state electron absorption and aggregation behavior.**

208 The electronic spectra of the synthesized Pcs consist of an intense and sharp
209 absorption band (Q band) at 682 nm and a broad Soret band (B band) at

210 approximately 350 nm in DMSO (Table 1 and Fig. 2), which are characteristic
211 absorptions of Pcs. Compared with the non-substituted ZnPc (~670 nm, as shown in
212 SI), the Q band area is red-shifted during its peripheral substitution, as can be
213 explained by the attachment of the electron-donating alkoxy groups at the peripheral
214 positions, reducing the energy gap of the Highest Occupied Molecular Orbital
215 (HOMO)–Lowest Unoccupied Molecular Orbital (LUMO) of the Pc ring.

216 Pcs are notorious for their strong tendency to aggregate, which can significantly
217 decrease their photosensitizing ability through self-quenching. Hydrophilic
218 substitutions can reduce the aggregation tendency of the Pc core. The UV–Vis spectra
219 of the Pcs were highly sensitive to the aggregation characteristics, which can be used
220 to indicate the aggregation status. The electronic spectra of all six of the synthesized
221 Pcs in DMSO showed completely monomeric behavior, as evidenced by a single and
222 narrow Q band (Fig. 2), typical for non-aggregated Pcs. However, in the spectra of the
223 un-substituted ZnPc (shown in SI, Fig. S5), in addition to intense Q absorption bands
224 at 670 nm, weaker absorptions at 621 nm were also present, which are generally
225 observed in the presence of aggregated species. The aggregation behavior of the Pcs
226 in DMSO were also investigated through the analysis of the concentration dependence
227 of their UV–Vis spectra in concentrations ranging from 1.0×10^{-5} to 2.0×10^{-6} M, as
228 aggregation is always concentration dependent (Fig. 2). The spectra had no new bands
229 appearing (normally blue-shifted) because the aggregated species and the normalized
230 spectra at all concentrations could be superimposed without a change in the shape of

231 the Q-band. The intensity of the absorption of the Q band increased with the increase
232 in concentration, obeying Beer–Lambert law, which suggested that aggregation of
233 these compounds in DMSO is negligible.

234 DMSO is known to be able to greatly reduce aggregation because it bind axially to
235 zinc(II) Pcs as a coordinating solvent. However, the electronic spectra of the formed
236 Pcs in water showed differences (Figs. 3), although all of these Pcs are soluble in
237 water. Their absorption spectra suggest cofacial aggregation in water, as evidenced by
238 the presence of a higher energy (blue-shifted) band at 620-640 nm in the Q band
239 region. This is evidence of the fact that both types of hydrophilic substitutions cannot
240 completely solve the aggregation problems of Pcs in water, even though all of the
241 synthesized Pcs have high water solubility. However, complete de-aggregation of Pcs
242 in pure water solution may not be necessary, as the pure water solution cannot
243 completely mimic physiological conditions and PDT agents could be de-aggregated to
244 recover their functions once they form complexes with lipids, the important
245 constituents of the cell membrane or organelle membranes. Recently Makoto
246 Mitsunaga found that photosensitizers can be effectively activated to kill tumor cells
247 through adhesion to the cell membrane.^{21a} Therefore, Triton X-100 was added to the
248 water solution of the six substituted Pcs (concentration = 0.5×10^{-5} M) to mimic the
249 lipid environment of cell membranes, and its ability to de-aggregate Pcs was
250 determined. The results (Fig. 3) showed that the aggregation of these molecules can
251 be broken up in the presence of Triton X-100 (10%). It is interesting to note the

252 difference between PcZn₁-lys-FA and PcZn₂-lys-FA compounds. The addition of
253 Triton X-100 can only partially break up the aggregation of PcZn₁-lys-FA (Fig. 3C),
254 yet completely broke up PcZn₂-lys-FA (Fig. 3F) aggregates, indicating that a glycol
255 chain substitution on Pcs has a stronger capability to decrease the aggregation
256 tendency of Pcs in aqueous media compared to triethylenglycol monomethylether
257 substitution. Alternatively, FA conjugation may reduce the water solubility and then
258 increase the aggregation of these conjugates.

259

260 **2.6. Fluorescence spectroscopy**

261 The fluorescence excitation and emission spectra of all Pcs were determined in
262 DMSO, as shown in Fig. 4. All of the synthesized Pcs display a fluorescent excitation
263 band at approximately 684 nm and an emission band at approximately 694 nm in
264 DMSO. They all showed similar fluorescence behavior, and the shape of their
265 excitation spectra was similar to that of the absorption spectra.

266 Although these Pcs have a quite low fluorescence intensity in water, the addition
267 of Triton X-100 to the water solutions of these Pcs can greatly increase the intensity
268 of these complexes due to the decreased aggregation of these complexes. To test the
269 influence of the presence of Triton X-100 on the fluorescent properties of these Pcs,
270 the fluorescence emission spectra of PcZn₁-lys-FA was determined in the aqueous
271 medium (concentration = 1.0×10^{-5} M) with the addition of varied concentrations of
272 Triton X-100. As shown in Figure 5, PcZn₁-lys-FA exhibited very low emission in

273 water (approximately 1/100 of the intensity in DMSO), and the addition of Triton
274 X-100 to the aqueous solution resulted in a significant increase in the fluorescence
275 intensity. Addition of Triton X-100 to 1.25% increased the fluorescence intensity
276 20-fold, while an increase to 10% increased the fluorescence intensity 100-fold, with
277 an intensity close to half of that in DMSO.

278

279 **2.7. Fluorescence quantum yields, lifetimes and Singlet oxygen quantum yields**

280 Fluorescence quantum yield refers to the ratio of the number of photons emitted to
281 the number of photons absorbed, and fluorescence lifetime refers to the average time
282 that a molecule remains in its excited state before returning to its ground state. Upon
283 excitation at 621 nm in DMSO, compounds **1-6** showed a fluorescence emission at
284 692–696 nm with a quantum yield of 0.12–0.14 and fluorescence lifetime of
285 approximately 3.0 ns. The quantum yields are lower when compared to
286 non-substituted ZnPc (quantum yield of 0.28 in DMSO). This is in accordance with
287 the general observation that the lower the energy of the Q band, the smaller the
288 quantum yield value.²⁸

289 A good photosensitizer must be very efficient in generating singlet oxygen. This is
290 quantified by the parameter of the singlet oxygen quantum yield. The singlet oxygen
291 quantum yields for Pcs 1-6 were determined using a chemical method by monitoring
292 the disappearance of 1,3-diphenylisobenzofuran (DPBF) in DMSO with a UV–Vis
293 spectrophotometer (shown in SI). Non-substituted ZnPc was used as the reference.

294 The results showed that all six of the synthesized Pcs have roughly the same singlet
295 oxygen quantum yield values in the range 0.45-0.55, as shown in Table 2. These
296 values are in similar range when compared to the non-substituted ZnPc. The
297 substituent ions have a very moderate influence on the singlet oxygen quantum yield.
298 It should be noted that there was no decrease in the Q-band or formation of new bands
299 during the singlet oxygen quantum yield determinations, indicating that the Pcs were
300 not damaged by the generated singlet oxygen.

301

302 **2.8. *In vitro* cellular uptake assays with confocal microscopy**

303 Folate can act as the targeted ligand to enhance the cellular uptake specificity of
304 cargo into folate receptor (FR)-overexpressing cancer cells. Therefore, the cellular
305 uptake behavior of these folate-conjugated Pcs was investigated with human cervical
306 cancer (HeLa) cells and murine embryonic fibroblast (NIH3T3) cells by analysis with
307 fluorescence microscopy. After the cells were exposed to 50, 100 and 200 μM
308 concentrations of PcZn₁-lys-FA or PcZn₂-lys-FA for 3 h, the Pc fluorescence could be
309 detected and highly distributed inside the cells, indicating an efficient uptake of the
310 Pcs by tumor cells (Fig. 6). Both compounds showed similar intracellular fluorescent
311 patterns, with strong punctate fluorescence primarily distributed in the cytoplasm.
312 These results are promising for the possible development of such conjugates for PDT.
313 It should be noted that even these two Pcs, which are almost non-fluorescent in
314 aqueous medium due to aggregation, show very strong fluorescence inside the

315 cytoplasm. Cellular uptake can significantly increase the fluorescence intensity of Pcs,
316 presumably due to monomerization of the aggregated dye species inside the cells.
317 These compounds showed some selectivity for the FA receptor on the tumor cells.
318 HeLa cells that have higher FA receptor expression levels took up more PcZn₁-lys-FA
319 and PcZn₂-lys-FA than the NIH3T3 cells. However, the selectivity was not high and
320 further improvements are needed to increase selectivity.

321

322 **2.9. *In vivo* imaging of the distribution of Pcs in tumor-bearing mice**

323 To examine the tumor-targeting capability of PcZn₁-lys-FA and PcZn₂-lys-FA *in*
324 *vivo*, we prepared a xenograft tumor model by subcutaneously inoculating mice with
325 human epidermoid carcinoma (KB) cells (1.0×10^6 cells per mouse) in the dorsum.
326 KB cells have high expression levels of folate receptor. When tumors grew to
327 approximately 50 mm³ in volume, PcZn₁-lys-FA or PcZn₂-lys-FA (250 µg per mouse)
328 was intra-venously injected into the mice *via* tail vein. The fluorescence signal and
329 intensity distribution of the Pcs were monitored continuously with an *in vivo*
330 fluorescence imaging system (IVIS Lumina II, Xenogen, Alameda, CA, USA). As
331 shown in Fig. 7A and 7C, PcZn₁-lys-FA injected into the mice showed strong
332 fluorescence, visualized throughout the whole body of the mice in 5 min, but an
333 intense fluorescence signal was primarily located in the liver, kidney and lung. After 3
334 h post-injection, the fluorescence signals began to decrease. However, the
335 tumor-to-background ratios increased and accumulation in the tumor could be

336 observed. Yet at 7 h post-injection, only very weak fluorescence signals could be
337 detected and were primarily located in the tumor and lung. In contrast, the tumor
338 distribution of PcZn₂-lys-FA was significantly different (as shown in Fig. 7B and 7D).
339 In the tumor region, the fluorescence intensity of PcZn₂-lys-FA was much stronger
340 than that of PcZn₁-lys-FA. At 3 hours post injection, accumulation of the
341 PcZn₂-lys-FA fluorescence signals in the tumor regions was already very strong. After
342 3 hours post injection, the fluorescence intensity in the tumors gradually increased,
343 while the signal in other regions (including liver, spleen, heart, kidney and lung) were
344 rapidly reduced, resulting in significantly increased tumor-to-background ratios. After
345 7 hours post injection, the signals were almost completely located in the tumor area;
346 only negligible signals could be found in other tissues. These initial data provided
347 strong evidence of high-specificity tumor targeting of PcZn₂-lys-FA with folate and
348 tri-glycerol substitutions. The high hydrophilicity of glycerol moieties may have the
349 ability to greatly reduce the non-specific affinity to normal tissues.

350

351 **3. Conclusion**

352 In conclusion, we developed a target-specific Pc based on a highly water-soluble
353 folate-Pc conjugate. Here, we report the synthesis, basic photophysical properties, and
354 *in vitro* and *in vivo* studies of these Pcs. PcZn₂-lys-FA can completely discriminate
355 between healthy and tumor tissues in a subcutaneous xenograft tumor model, making
356 this approach a promising therapeutic and diagnostic agent for the treatment of cancer.

357 The ability to covalently conjugate many different ligands to Pcs means that this may
358 be a highly flexible platform to synthesize Pcs for targeted PDT. The present
359 investigations are preliminary studies in the search for more efficient photodynamic
360 therapy agents based on Pcs.

361

362 **4. Experimental section**

363 **4.1. Chemicals and materials**

364 4-nitrophthalonitrile, triethyleneglycol monomethylether,
365 S-(+)-2,2-Dimethyl-1,3-dioxolane-4-methanol and 4-hydroxybenzoic acid were
366 purchased from Alfa Aesar (Tianjin, China). Triton X-100 and non-substituted ZnPc
367 were purchased from Aldrich. 1,3-diphenylisobenzofuran (DPBF) was purchased
368 from J&K (Beijing, China). RPMI-1640 without folic acid was purchased from
369 Sigma-Aldrich (St. Louis, MO, USA). Other chemical agents were purchased from
370 Alfa Aesar (Tianjin, China).

371 **4.2. Cells and animals**

372 HeLa, NIH3T3 and KB cells were obtained from Saierbio (Tianjin, China). The cells
373 were continuously cultured in folic acid-free RPMI 1640 medium supplemented with
374 10% fetal bovine serum (FBS) and 1% penicillin/streptomycin at 37 °C in a
375 humidified atmosphere containing 5% CO₂. The final folic acid concentration (with
376 FBS as the only source of folic acid) falls in the range of the physiological

377 concentration of human serum. BALB/c nude mice (4-6 weeks of age) were
378 purchased from Vital River Laboratory Animal Technology Co. Ltd. (Beijing, China)
379 and maintained in a germ-free environment with free access to food and water. All
380 animal procedures were conducted under a protocol approved by the Institutional
381 Animal Care and Use Committee of Nankai University (Tianjin, China).

382

383 4.3. Instruments

384 ^1H and ^{13}C NMR spectra were recorded in DMSO- d_6 solutions on a Bruker AV400
385 400 MHz spectrometer. The mass spectra were recorded on Varian 7.0T FTMS.
386 Optical spectra in the UV-visible region were recorded with USA Cary 5000 using a
387 1-cm path length cuvette at room temperature. Fluorescence measurements were
388 performed on a PTI QM/TM/NIR system (Photon Technology International,
389 Birmingham, NJ, USA) equipped with a quartz cell (1 cm \times 1 cm).

390

391 4.4. Synthesis experiments

392 **Synthesis of phthalonitrile 9.** To a solution of 4-nitrophthalonitrile (1.0 g, 5.78
393 mmol) in dry DMF (8 mL) were added triethyleneglycol monomethylether (1.42 g,
394 8.67 mmol) and finely ground K_2CO_3 (3.6 g, 26 mmol). The color of the solution
395 turned from yellow to dark brown. The mixture was stirred at room temperature for
396 24 h before being poured into 350 mL of ice-water and left overnight. The resulting
397 yellow precipitate was isolated by filtration and purified by column chromatography

398 on silica gel with ethyl acetate-petroleum ether (1:1) as an eluting solvent to give a
399 yellow solid (1.2 g) in 74% yield. ^1H NMR (400 MHz, CDCl_3) δ 7.70 (d, $J = 8.8$ Hz,
400 1H, Ar-H), 7.31 (d, $J = 2.5$ Hz, 1H, Ar-H), 7.22 (dd, $J = 8.8, 2.6$ Hz, 1H, Ar-H), 4.22
401 (dd, $J = 5.2, 3.9$ Hz, 2H, CH_2), 3.89 (dd, $J = 5.2, 3.9$ Hz, 2H, CH_2), 3.75 - 3.69 (m, 2H,
402 CH_2), 3.69 - 3.61 (m, 4H, CH_2), 3.57 - 3.52 (m, 2H, CH_2), 3.38 (s, 3H, CH_2).

403

404 **Synthesis of phthalonitrile 11.** The solution of 4-nitrophthalonitrile (1.0 g, 5.78
405 mmol), S-(+)-2,2-dimethyl-1,3-dioxolane-4-methanol (764 μL , 5.78 mmol) and finely
406 ground K_2CO_3 (3.6 g, 26 mmol) in dry DMF (8 mL) was stirred at 50 $^\circ\text{C}$, checking
407 for the completion (approximately 6 h) of the reaction by TLC (silica gel,
408 hexane/ethyl acetate = 1/1). The reaction mixture was poured into ice water and left
409 overnight. The resulting solid was filtered and purified by column chromatography
410 (silica gel, hexane/ethyl acetate = 3/1) to yield 1.05 g of **11** (71%) as a white solid. ^1H
411 NMR (400 MHz, CDCl_3) δ 7.73 (dd, $J = 8.8, 4.2$ Hz, 1H, Ar-H), 7.31 (d, $J = 2.5$ Hz,
412 1H, Ar-H), 7.23 (dd, $J = 8.8, 2.6$ Hz, 1H, Ar-H), 4.50 (dq, $J = 11.0, 5.4$ Hz, 1H), 4.18
413 (dt, $J = 9.9, 4.9$ Hz, 1H), 4.09 (qd, $J = 9.7, 5.3$ Hz, 2H), 3.89 (dt, $J = 14.4, 7.2$ Hz, 1H),
414 1.44 (s, 3H, CH_3), 1.40 (s, 3H, CH_3).

415

416 **Synthesis of PcZn_1 (1).** A solution of phthalonitrile **9** (145 mg, 0.5 mmol) and
417 $\text{Zn}(\text{OAc})_2 \cdot 2\text{H}_2\text{O}$ (60.4 mg, 0.25 mmol) in n-hexyl alcohol (2 mL) was heated to
418 120 $^\circ\text{C}$ to completely dissolve the salt before DBU (83.8 μL) was added. The mixture

419 was stirred at 160 °C for 5 h. The product was solidified by pouring into 20 mL of
420 petroleum ether and draining the solution. The green product was purified by silica
421 gel chromatography using a dichloromethane:methanol mixture (20:1) as an eluent
422 (94 mg, 62%). HRMS(ESI): calcd for $C_{60}H_{72}N_8NaO_{16}Zn [M+Na]^+$ 1247.4250, found
423 1247.4251. 1H NMR (400 MHz, DMSO- d_6) δ 8.81 (m, 4H, Ar-H), 8.34 (m, 4H, Ar-H),
424 7.58 (m, 4H, Ar-H), 4.63 (m, 8H, CH₂), 4.13 (m, 20H, CH₂ and CH₃), 3.91 - 3.81 (m,
425 8H, CH₂), 3.75 (m, $J = 3.0$ Hz, 8H, CH₂), 3.67 (m, $J = 2.1$ Hz, 8H, CH₂), 3.54 (m, 8H,
426 CH₂). ^{13}C NMR (DMSO- d_6) overlapping signals at 159.85, 151.36, 139.69, 130.97,
427 122.99, 117.32, 105.25, 71.34, 69.71, 67.78, 58.00.

428

429 **Synthesis of phthalocyanine 12.** A mixture of phthalonitrile **11** (145.2 mg, 0.56
430 mmol), Zn(OAc)₂·2H₂O (41.2 mg, 0.188 mmol) in n-hexyl alcohol (2 mL) was heated
431 to 120 °C for 10 min before DBU (76.5 μ L) was added. The mixture was stirred at
432 160 °C for 5 h. The product was solidified by pouring into 20 mL of petroleum ether
433 and draining the solution. The green product was purified by silica gel
434 chromatography using PE:EA (2:3) as an eluent (107 mg, 70%). 1H NMR (400 MHz,
435 DMSO- d_6) δ 9.06 (s, 2H, Ar-H), 8.69 (s, 2H, Ar-H), 7.75 (d, $J = 8.6$ Hz, 4H, Ar-H),
436 7.46 - 7.25 (m, 4H, Ar-H), 4.77 (s, 2H), 4.61 (s, 4H), 4.42 (d, $J = 26.4$ Hz, 4H), 4.24 (s,
437 2H), 4.14 (s, 6H), 3.79 (s, 2H), 1.30 (dd, $J = 34.7, 15.0$ Hz, 24H, CH₃).

438

439 **Synthesis of PcZn₂ (2).** Compound **12** (100 mg, 0.09 mmol) was stirred in a solution

440 of TFA:H₂O = 95:5 for 10 min. The reaction mixture was then evaporated to dryness
441 under reduced pressure, and the dark blue powder washed successively with ethyl
442 acetate, hexane, dichloromethane and chloroform to yield **2** as a dark blue powder (78
443 mg, 93%). HRMS (ESI): calcd for C₄₄H₄₁N₈O₁₂Zn [M+H]⁺ 937.2130, found 937.2102.
444 ¹H NMR (400 MHz, DMSO-d₆) δ 9.30 (m, 4H, Ar-H), 8.89 (m, 4H, Ar-H), 7.81 (m,
445 4H, Ar-H), 5.26 (m, 4H, CH₂), 4.94 (m, 4H, CH₂), 4.63 (m, 4H, CH), 4.51 (m, 4H,
446 CH₂), 4.15 (m, 4H, CH₂), 3.73 (s, 8H, O-H). ¹³C NMR (DMSO-d₆) overlapping
447 signals at 160.45, 152.07, 139.65, 130.81, 123.15, 117.63, 105.56, 70.35, 63.00.

448

449 **Synthesis of PcZn₁-lys (3).** Phthalonitrile **9** (1.8 mmol) and Zn(OAc)₂ (0.6 mmol)
450 were added to resin **13** (0.3 g, 0.0225 mmol) that was pre-swelled in anhydrous
451 n-hexanol (3 mL) for 30 min. The mixture was heated to 120 °C for 10 min, and DBU
452 (1.2 mmol, 163 μL) was added to mixture. The reaction was carried out at 160 °C for
453 5 h. The mixture was solidified by pouring into 30 mL of petroleum ether and
454 draining the solution. The resin was washed with dichloromethane and methanol until
455 a colorless filtrate was obtained. The resin was suspended into a solution of
456 TFA/H₂O/triisopropylsilane (TIS) (95:2.5:2.5) and shaken for 1 h at room temperature.
457 The filtrate was evaporated to dryness, and the crude mixture was purified by
458 filtration through high performance liquid chromatography (HPLC, LC-20AT,
459 Shimadzu, Kyoto, Japan) to yield product PcZn₁-lys as a blue solid (7.5 mg, 25%
460 yield). HRMS (ESI): calcd for C₆₆H₇₅N₁₁NaO₁₅Zn [M+Na]⁺ 1348.4628, found

461 1348.4632. ^1H NMR (400 MHz, DMSO- d_6) δ 9.20 (m, 1H, NH), 9.04 (m, 3H, Ar-H),
462 8.71-8.51 (m, 3H, Ar-H), 8.18 (m, 2H, Ar-H), 7.69 (m, 4H, Ar-H), 7.58 – 7.47 (m, 2H,
463 Ar-H), 7.11 (m, 2H, Ar-H), 4.67 (m, 6H, CH₂), 4.46 (m, 1H, CH), 4.10 (m, 6H, CH₂),
464 3.82 (m, 6H, CH₂), 3.71 (m, 6H, CH₂), 3.63 (m, J = 4.1 Hz, 6H, CH₂), 3.50 (m, J =
465 4.1 Hz, 6H, CH₂), 3.29 – 3.25 (m, 9H, CH₃), 2.82 (m, 2H, CH₂), 1.81 (m, 2H, NH₂),
466 1.59 (m, 2H, CH₂), 1.45 (m, 2H, CH₂), 1.24 (m, J = 11.2 Hz, 2H, CH₂).

467

468 **Synthesis of PcZn₂-lys (4).** Phthalonitrile **11** (1.8 mmol) and Zn(OAc)₂ (0.6 mmol)
469 were added to Resin **13** (0.3 g, 0.0225 mmol), which was pre-swelled in anhydrous
470 n-hexanol (3 mL) for 30 min. The mixture was heated to 120 °C, and DBU (1.2 mmol,
471 163 μL) was added to mixture. The reaction was conducted at 160 °C for 5 h. The
472 mixture was solidified by pouring into 30 mL of petroleum ether and draining the
473 solution. The resin was washed with dichloromethane and methanol until a colorless
474 filtrate was obtained. The resin was suspended into a solution of TFA/H₂O = 95/5 and
475 shaken for 1 h at room temperature. The filtrate was evaporated to dryness, and the
476 crude mixture was purified by filtration through high performance liquid
477 chromatography (HPLC, LC-20AT, Shimadzu, Kyoto, Japan) to yield PcZn₂-lys as a
478 blue solid (6.9 mg, 27% yield). HRMS (ESI): calcd for C₅₄H₅₁N₁₁NaO₁₂Zn⁺ [M+Na]⁺
479 1132.2902, found 1132.2908. ^1H NMR (400 MHz, DMSO- d_6) δ 9.24 (m, 2H,
480 Pc-Ar-H), 9.02 – 8.67 (m, 2H, Pc-Ar-H), 8.45 (m, 1H, Pc-Ar-H), 8.16 (m, 2H,
481 Pc-Ar-H), 7.96 (m, 1H, Pc-Ar-H), 7.72 (m, 4H, 2Pc-Ar-H, 2Ar-H), 7.50 (m, 2H,

482 Pc-Ar-H), 7.08 (m, 2H, Ar-H), 4.54 (m, 6H, CH₂), 4.14 (m, 3H, CH), 3.73 (m, 6H,
483 CH₂), 2.81 (m, 2H, CH₂), 1.78 (m, 2H, CH₂), 1.59 (m, 2H, CH₂), 1.40 (s, 6H, O-H),
484 1.21 (m, *J* = 23.1 Hz, 2H, CH₂).

485

486 **Synthesis of PcZn₁-lys-FA (5).** The Mtt protecting group of Resin **14** was
487 deprotected in a solution of TFA/CH₂Cl₂/TIPS (1:98:1). Folic acid (0.11 mmol),
488 HBTU (0.1 mmol) and DIEA (0.22 mmol) were dissolved in 5 mL of DMF/DMSO
489 (1:1) and added to the resin. The mixture was agitated for 3 h in the dark and then
490 washed with DMF/DMSO (1:1) (5 × 8.0 mL) to yield resin **16**. The resin **16** was
491 suspended in a solution of TFA/ H₂O/TIPS (95:2.5:2.5; 2 mL) and agitated for 1 h.
492 The resin was removed by filtration. After removing the TFA of the filtrate in a
493 vacuum, the residue was dissolved in DMSO and purified using high performance
494 liquid chromatography (HPLC, LC-20AT, Shimadzu, Kyoto, Japan) to yield product
495 PcZn₁-lys-FA as a blue solid (3.1 mg, 7.9% yield). In the second strategy,
496 phthalocyanine **3** (5.0 mg, 0.0037 mmol) was mixed with Folic Acid-NHS (3.0 mg,
497 0.0056 mmol) in DMSO and then triethylamine (2.0 μL) was added. The mixture was
498 stirred in dark for 8 h and purified with HPLC to yield product PcZn₁-lys-FA as a blue
499 solid (4.9 mg, 75%). HRMS (ESI): calcd for C₈₅H₉₂N₁₈NaO₂₀Zn⁺ [M+Na]⁺ 1771.5919,
500 found 1771.5925. ¹H NMR (400 MHz, DMSO-d₆) δ 9.20 (m, 1H, NH), 9.01 (m, 3H,
501 Pc-Ar-H), 8.62 (m, 4H, 3Pc-Ar-H, 1FA-Ar-H), 8.22 (m, 2H, Ar-H), 7.87 (m, 4H,
502 2Pc-Ar-H), 7.67 (m, 2H, 2FA-Ar-H), 7.51 (m, 2H, 2Pc-Ar-H), 7.09 (m, 2H, Ar-H),

503 6.64 (m, 2H, FA-Ar-H), 4.67-3.37 (m, 36H, CH₂), 3.10 (m, 9H, CH₃), 1.79-1.34 (m,
504 6H, CH₂).

505

506 **Synthesis of PcZn₂-lys-FA (6).** The Mtt protecting group of Resin **15** was
507 deprotected in a solution of TFA/ CH₂Cl₂/TIPS (1:98:1). Folic acid (0.11 mmol),
508 HBTU (0.1 mmol) and DIEA (0.22 mmol) were dissolved in 5 mL of DMF/DMSO
509 (1:1) and added to the resin. The mixture was agitated for 3 h in the dark and then
510 washed with DMF/DMSO (1:1) (5 × 8.0 mL) to yield resin **17**. The resin **17** was
511 suspended in a cleavage cocktail of TFA/H₂O = 95:5 and purified using HPLC to
512 yield product PcZn₂-lys-FA as a blue solid (7.4 mg, 24% yield). In the second strategy,
513 phthalocyanine 4 (4.2 mg, 0.0037 mmol) was mixed with Folic Acid-NHS (3.0 mg,
514 0.0056 mmol) in DMSO and then triethylamine (2.0 μL) was added. The mixture was
515 stirred in dark for 8 h and purified using HPLC to yield product PcZn₂-lys-FA as a
516 blue solid (4.5 mg, 80%). HRMS (ESI): calcd for C₇₃H₆₉N₁₈O₁₇Zn⁺[M+H]⁺1533.4374,
517 found 1533.4370. ¹H NMR (400 MHz, DMSO-d₆) δ 9.11 (m, 2H, Pc-Ar-H), 8.66 –
518 8.48 (m, 4H, 3Pc-Ar-H, 1FA-Ar-H), 8.19 (m, 3H, Pc-Ar-H), 7.88 (m, 4H, 2Pc-Ar-H,
519 2Ar-H), 7.66 (m, 4H, 2Pc-Ar-H, 2FA-Ar-H), 7.50 (m, 2H, Pc-Ar-H), 7.09 (m, 2H,
520 Ar-H), 6.64 (m, 2H, FA-Ar-H), 4.54-3.10 (m, 27H, 16CH₂, 5CH, 6OH), 2.34-1.19(m,
521 10H, CH₂).

522

523 **4.5. Photophysical and Photochemical properties**

524 **UV-Vis spectra**

525 Optical spectra in the UV-Vis region were recorded in the wavelength range of 300–
526 850 nm with a USA Cary 5000 using a 1-cm path length cuvette at room temperature.

527

528 **Excitation and emission spectra**

529 Fluorescence excitation and emission spectra were recorded in the wavelength range
530 of 500–850 nm using 1-cm path length cuvettes at room temperature. The
531 photomultiplier tube (PMT) voltage was set at 1074 V. The decayed curves of Pcs
532 emission at 692 nm were excited by the high resolution laser (N2 laser at 337 nm
533 tuned by laser dye PLD665) at 665 nm.

534

535 **Quantum yield:**

536 The quantum yield (Φ_F) of Pcs in DMSO was determined on an PTI QM/TM/NIR
537 system spectrometer under excitation of 665 nm, using a comparative method with
538 non-substituted ZnPc ($\Phi_F = 0.18$ in DMSO)²⁹ as the reference,

$$\Phi_F = \Phi_{F(\text{Std})} \frac{F \cdot A_{\text{Std}} \cdot n^2}{F_{\text{Std}} \cdot A \cdot n_{\text{Std}}^2}$$

539 where F and F_{Std} are the areas under the fluorescence emission curves of the samples
540 and the standard, respectively. A and A_{Std} are the respective absorbance of the
541 samples and standard at the excitation wavelengths. n^2 and n_{Std}^2 are the refractive
542 indices of solvents used for the sample and standard, respectively. The absorbance of
543 the solutions at the excitation wavelength ranged between 0.03 and 0.05.

544

545 **Natural radiative life times**

546 Natural radiative life times (τ_0) were determined using the PhotochemCAD program
547 which uses the Strickler-Berg equation. The fluorescence lifetimes (τ_F) were
548 evaluated using the equation: $\Phi_F = \frac{\tau_F}{\tau_0}$

549

550 **Singlet Oxygen Quantum Yields.**

551 Singlet oxygen quantum yield (Φ_Δ) values were determined by the comparative
552 method using 1,3-diphenylisobenzofuran (DPBF) as a singlet oxygen chemical
553 quencher in DMSO with ZnPc as references:

$$\Phi_\Delta = \Phi_\Delta^{\text{Std}} \frac{R \cdot I_{\text{abs}}^{\text{Std}}}{R^{\text{Std}} \cdot I_{\text{abs}}}$$

554 where Φ_Δ^{Std} is the singlet oxygen quantum yield for the standard ZnPc ($\Phi_\Delta^{\text{Std}} = 0.67$
555 in DMSO). R and R^{Std} are the DPBF photobleaching rates in the presence of
556 respective samples and standards, respectively, and I_{abs} and $I_{\text{abs}}^{\text{Std}}$ are the rates of
557 light absorption by synthetic phthalocyanines and reference substance, respectively.
558 The degradation of the solutions was monitored at 417 nm, and DPBF concentrations
559 were lowered to $\sim 2.5 \times 10^{-5} \text{ mol.L}^{-1}$. The light intensity of $6.0 \times 10^{15} \text{ photons s}^{-1} \text{ cm}^{-2}$
560 was used for Φ_Δ determinations.

561

562 **4.6. *In vitro* cellular uptake assays**

563 HeLa and NIH3T3 cells that were continuously cultured in folic acid-free RPMI 1640

564 medium supplemented with 10% FBS and 1% penicillin/streptomycin at 37 °C and 5%
565 CO₂ were seeded into 24-well chambered coverglass (Lab-Tek, Nunc, USA) at a
566 density of 5×10^4 cells/well (0.2 mL) 24 h before initiating experiments. Two hours
567 before the experiments, the medium was removed and replaced with 1.0 mL of fresh
568 folic acid-deficient RPMI-1640. After incubation with a series of concentrations of
569 PcZn₁-lys-FA or PcZn₂-lys-FA at 37 °C for 30 min, the cells were washed three times
570 with phosphate buffer saline (PBS). The cells were then fixed with 4% formaldehyde
571 for 10 min at room temperature and washed three times with PBS. Then, the cells
572 were stained with 1 µg/mL DAPI for 3-5 min and washed three times with PBS.
573 Confocal images were acquired using a Confocal Laser Scanning Microscope (TCS
574 SP8, Leica, Wetzlar, Germany).

575

576 **4.7. *In Vivo* Imaging**

577 To setup the tumor model, Balb/c nude mice (8 weeks of age) were implanted with
578 KB cells (2×10^6 cells per mice in a volume of 100 µL) subcutaneously in the flank.
579 When the tumor volumes reached approximately 50 mm³ (approximately 10 days
580 post-tumor inoculation), PcZn₁-lys-FA or PcZn₂-lys-FA was injected into the mice via
581 tail vein at a dose of 250 µg per mouse. Images were captured at 0, 5 min, 1 h, 4 h and
582 7 h after injection using the Xenogen *in vivo* imaging system with a Cy 5.5 filter (λ_{ex}
583 = 615-665 nm, λ_{em} = 695-770 nm). The Xenogen images were obtained with the same
584 settings (small binning value 2, exposure time 5 s, F/stop 1). The nude mice were

585 sacrificed at 7 or 9 h after injection. Then, the organs including liver, spleen, heart,
586 lung, kidney and tumor were collected and analyzed by the Xenogen *in vivo* imaging
587 system.

588

589 **Acknowledgements**

590 This work was supported by the Research Fund for the Doctoral Program of Higher
591 Education of China (No. 20110031110019) and National Natural Science Foundation
592 of China (No. 31270926).

593

594 **References**

- 595 1. (a) H. Ali and J. E. van Lier, In *Handbook of Porphyrin Science*, ed. K. M. Kadish,
596 K. M. Smith and R. Guilard, World Scientific, 2010, **4**, 1-120; (b) A. P. Castano, P.
597 Mroz and M. R. Hamblin, *Nat. Rev. Cancer*, 2006, **6**, 535; (c) D. E. Dolmans, D.
598 Fukumura and R. K. Jain, *Nat. Rev. Cancer*, 2003, **3**, 380-387; (d) H. Ali and J. E.
599 van Lier, *Chem. Rev.*, 1999, **99**, 2379.
- 600 2. (a) A. Juarranz, P. Jaen, F. Sanz-Rodriguez, J. Cuevas and S. Gonzalez, *Clin.*
601 *Transl. Oncol.*, 2008, **10**, 148-154; (b) B. W. Henderson and T. J. Dougherty,
602 *Photochem. Photobiol.*, 1992, **55**, 145-157.
- 603 3. S. B. Brown, E. A. Brown and I. Walker, *Lancet Oncol.*, 2004, **5**, 497.
- 604 4. (a) G. M. van Dam, G. Themelis, L. M. Crane, N. J. Harlaar, R. G. Pleijhuis, W.
605 Kelder, A. Sarantopoulos, J. S. de Jong, H. J. Arts, A. G. van der Zee, J. Bart, P. S.
606 Low and V. Ntziachristos. *Nat. Med.*, 2011, **17**, 10; (b) Q. T. Nguyen, E. S. Olson,
607 T. A. Aguilera, T. Jiang, M. Scadeng and L. G. Ellies, *Proc. Natl. Acad. Sci. USA*,
608 2010, **107**, 4317-4322; (c) H. Kobayashi, M. Ogawa, R. Alford, P. L. Choyke and

- 609 Y. Urano, *Chem. Rev.*, 2010, **110**, 2620-2640.
- 610 5. (a) L. B. Josefsen and R. W. Boyle, *Theranostics*, 2012, **2**, 916-966; (b) J. P. Celli,
611 B. Q. Spring, I. Rizvi, C. L. Evans, K. S. Samkoe, S. Verma, B. W. Pogue and T.
612 Hasan, *Chem. Rev.*, 2010, **110**, 2795-2838.
- 613 6. P. Agostinis, K. Berg, K. A. Cengel, T. H. Foster, A. W. Girotti, S. O. Gollnick, S.
614 M. Hahn, M. R. Hamblin, A. Juzeniene, D. Kessel, M. Korbelik, J. Moan, P. Mroz,
615 D. Nowis, J. Piette, B. C. Wilson and J. Golab, *CA Cancer J Clin.*, 2011, **61**,
616 250-281.
- 617 7. (a) S. L. Gibbs, *Quant. Imaging Med. Surg.*, 2012, **2**, 177-187; (b) N. M. Idris, M.
618 K. Gnanasammandhan, J. Zhang, P. C Ho, R. Mahendran and Y. Zhang, *Nat. Med.*,
619 2012, **18**, 1580-1585; (c) M. Ethirajan, Y. Chen, P. Joshi and R. K. Pandey, *Chem.*
620 *Soc. Rev.*, 2011, **40**, 340-362; (d) S. Luo, E. Zhang, Y. Su, T. Cheng and C. Shi,
621 *Biomaterials*, 2011, **32**, 7127-7138; (e) H. Kobayashi, M. Ogawa, R. Alford, P. L.
622 Choyke and Y. Urano, *Chem. Rev.*, 2010, **110**, 2620-2640.
- 623 8. M. Ethirajan, Y. Chen, P. Joshi and R. K. Pandey, *Chem. Soc. Rev.*, 2011, **40**, 340-
624 362.
- 625 9. (a) J.-P. Taquet, C. Frochot, V. Manneville and M. Barberi-Heyob, *Curr. Med.*
626 *Chem.*, 2007, **14**, 1673-1687; (b) T. Nyokong, *Coord. Chem. Rev.*, 2007, **251**, 1707;
627 (c) R. Hudson and R. W. J. Boyle, *J. Porphyrins Phthalocyanines*, 2004, **8**, 954-
628 975.
- 629 10. J. D. Spikes, *Photochem. Photobiol.*, 1986, **43**, 691-699.
- 630 11. V. T. Verdree, S. Pakhomov, G. Su, M. W. Allen, A. C. Countryman, R. P.
631 Hammer and S. A. Soper, *J. Fluoresc.*, 2007, **17**, 547-563.
- 632 12. F. Dumoulin, M. Durmus, V. Ahsen and T. Nyokong, *Coord. Chem. Rev.*, 2010,
633 **254**, 2792-2847;

- 634 13. K. E. Sekhosana and T. Nyokong, *Optical Materials*, 2014, **37**, 139-146.
- 635 14. (a) I. Laville, S. Pigaglio, J. C. Blais, F. Doz, B. Loock, P. Maillard, D. S.
636 Grierson, and J. Blais, *J. Med. Chem.*, 2006, **49**, 2558-2567; (b) S. Ballut, D.
637 Naud-Martin, B. Loock, and P. Maillard, *J. Org. Chem.*, 2011, **76**, 2010-2028; (c)
638 F. Hammerer, G. Garcia, S. Chen, F. Poyer, S. Achelle, C. Fiorini-Debuisschert, M.
639 P. Teulade-Fichou, and P. Maillard, *J. Org. Chem.*, 2014, **79**, 1406-1417; (d) J.
640 Gravier, R. Schneider, C. Frochot, T. Bastogne, F. Schmitt, J. Didelon, F.
641 Guillemin, and M. Barberi-Heyob, *J. Med. Chem.*, 2008, **51**, 3867-3877; (e) V.
642 Sarrazy, G. Garcia, J. P. MBakidi, C. L. Morvan, G. Bégau-Grimaud, R. Granet,
643 V. Sol, P. Krausz, *J. Photochem. Photobiol B.*, 2011, **103**, 201-206; (f) G. Garcia,
644 V. Sol, F. Lamarche, R. Granet, M. Guilloton, Y. Champavier and P. Krausz,
645 *Bioorg. Med. Chem. Lett.*, 2006, **16**, 3188-3192.
- 646 15. (a) M. Kurupparachchi, H. Savoie, A. Lowry, C. Alonso and R. W. Boyle, *Mol.*
647 *Pharmaceutics*, 2011, **8**, 920-931; (b) F. C. Rossetti, L. B. Lopes, A. R. H. Carollo,
648 J. A. Thomazini, A. C. Tedesco, M. Vitória and L. B. Bentley, *J. Control. Release*,
649 2011, **155**, 400-408; (c) E. Ricci-J'uniór and J. M. Marchetti, *Int. J. Pharm.*, 2006,
650 **310**, 187-195; (d) P. Jacques and A. M. Braun, *Helv. Chim. Acta*, 1981, **64**, 1800.
- 651 16. C. Dubuc, R. Langlois, F. Benard, N. Cauchon, K. Klarskov, P. Tone and J. E.
652 van Lier, *Bioorg. Med. Chem. Lett.*, 2008, **18**, 2424-2427.
- 653 17. (a) N. Masilela, M. Idowu and T. Nyokong, *J. Photochem. Photobiol., A*, 2009,
654 **201**, 91; (b) W. Liu, T. J. Jensen, F.R. Fronczek, R. P. Hammer, K. M. Smith and
655 M. G. H. Vicente, *J. Med. Chem.*, 2005, **48**, 1033.
- 656 18. J. Alzeer, B. R. Vummidi, P. J. C. Roth and N. W. Luedtke, *Angew. Chem., Int.*
657 *Ed.*, 2009, **48**, 9362.
- 658 19. (a) H. Li, T. J. Jensen, F. R. Fronczek and M. G. H. Vicente, *J. Med. Chem.*, 2008,
659 **51**, 502; (b) S. Makhseed, M. Machacek, W. Alfadly, A. Tuhl, M. Vinodh, T.
660 Simunek, V. Novakova, P. Kubat, E. Rudolf and P. Zimcik, *Chem. Commun.*, 2013,

- 661 **49**, 11149-11151.
- 662 20. A. Pashkovskaya, E. Kotova, Y. Zorlu, F. Dumoulin, V. Ahsen, I. Agapov and Y.
663 Antonenko, *Langmuir*, 2010, **26**, 5726.
- 664 21. Y. Zorlu, M. A. Ermeydan, F. Dumoulin, V. Ahsen, H. Savoie and R.W. Boyle,
665 *Photochem. Photobiol. Sci.*, 2009, **8**, 312.
- 666 22. (a) R. A. Petros and J. M. Simone, *Nat. Rev. Drug Discov.*, 2010, **9**, 615-627; (b)
667 M. A. C. Stuart, W. T. S. Huck, J. Genzer, M. Muller, C. Ober, M. Stamm, G. B.
668 Sukhorukov, I. Szleifer, V. V. Tsukruk, M. Urban, F. Winnik, S. Zauscher, I.
669 Luzinov and S. Minko, *Nat. Materials*, 2010, **9**, 101-113.
- 670 23. (a) M. Mitsunaga, M. Ogawa, N. Kosaka, L. T. Rosenblum, P. L Choyke and H.
671 Kobayashi, *Nat. Med.*, 2011, **17**, 12, 1685-1691; (b) C. Alonso, R. W. Boyle, in
672 *Handbook of Porphyrin Science*, K. M. Kadish, K. M. Smith, R. Guilard, Eds.;
673 World Scientific, 2010; **4**, 121-190; (c) C. Alonso, A. Palumbo, A. J. Bullous, F.
674 Pretto, D. Neri and R. W. Boyle, *Bioconjugate Chem.*, 2010, **21**, 302-313.
- 675 24. E. Ranyuk, N. Cauchon, K. Klarskov, B. Guérin, and J. E. van Lier, *J. Med.*
676 *Chem.*, 2013, **56**, 1520-1534.
- 677 25. A. Wang, L. Long and C. Zhang, *Tetrahedron*, 2012, **68**, 2433-2451.
- 678 26. (a) S. S. Erdem, I. V. Nesterova, S. A. Soper and R. P. Hammer, *J. Org. Chem.*,
679 2009, **74**, 9280-9286; (b) S. S. Erdem, I. V. Nesterova, S. A. Soper and R. P.
680 Hammer, *J. Org. Chem.*, 2008, **73**, 5003-5007.
- 681 27. For the conjugation of folic acid with photosensitizers, see: (a) B. S. Wang, J.
682 Wang and J. Y. Chen, *J. Mater. Chem. B.*, 2014, **2**, 1594-1602. For the application
683 of folic acid in drug delivery and imaging, see: (b) W. Xia and P. S. Low, *J. Med.*
684 *Chem.*, 2010, **53**, 6811-6824; (c) D. K. Armstrong, A. Bicher, R. L. Coleman, D. G.
685 Gibbon, D. Glenn, L. Old, N. N. Senzer, A. Schneeweiss, R. H. Verheijen, A. J.
686 White and S. Weil, *J. Clin. Oncol.*, 2008, **26**, 5500; (d) L. C. Hartmann, G. L.

- 687 Keeney, W. L. Lingle, T. J. Christianson, B. Varghese, D. Hillman, A. L. Oberg,
688 and P. S. Low, *Int. J. Cancer*, 2007, **121**, 938-942.
- 689 28. N. Kobayashi, H. Ogata, N. Nonaka and E. A. Luk' yanets, *Chem. Eur. J.*, 2003, **9**,
690 5123-5134.
- 691 29. P. Jacques and A. M. Braun, *Helv. Chim. Acta*, 1981, **64**, 1800-1806.
692

693 **Fig. 1.** The structure of the designed Pcs.

694

695 **Scheme 1.** The syntheses of the symmetric Pcs with hydrophilic moiety substitutions.

696

697 **Scheme 2.** Solid-phase syntheses of the asymmetric Pcs with monoamino group and
698 hydrophilic moiety substitutions.

699

700 **Scheme 3.** The conjugation of folate with the water soluble asymmetric Pcs on resin.

701

702 **Scheme 4.** The conjugation of folate with the water soluble asymmetric Pcs in
703 solution.

704

705 **Fig. 2.** Absorption spectra of PcZn₁ (a), PcZn₁-lys (b), PcZn₁-lys-FA (c), PcZn₂ (d),
706 PcZn₂-lys (e) and PcZn₂-lys-FA (f) at various concentrations in DMSO. The inset
707 plots are Q-band absorbance versus the concentration of the corresponding
708 compounds.

709

710 **Fig. 3.** Absorption spectra of PcZn₁ (a), PcZn₁-lys (b), PcZn₁-lys-FA (c), PcZn₂(d)
711 PcZn₂-lys (e) and PcZn₂-lys-FA (f) in DMSO (black), water (red) and water + Triton
712 X-100 (blue) at 5 μM.

713

714 **Fig. 4.** Absorption, excitation and emission spectra of PcZn₁ (a), PcZn₁-lys (b),
715 PcZn₁-lys-FA (c), PcZn₂(d) PcZn₂-lys (e) and PcZn₂-lys-FA (f) in DMSO. Excitation
716 wavelength: 615 nm.

717

718 **Fig. 5.** Emission spectra of PcZn₁-lys-FA in water with varied concentrations of
719 Triton X-100. Excitation wavelength: 615 nm.

720

721 **Fig. 6.** Confocal images of cultured Hela cells and NIH3T3 cells incubated with
722 different concentration of PcZn₁-lys-FA and PcZn₂-lys-FA (red). The nuclei of the
723 cells were stained with DAPI (blue). Upper panels, incubated with PcZn₁-lys-FA;
724 lower panels, incubated with PcZn₂-lys-FA.

725

726 **Fig. 7.** Distribution of PcZn₁-lys-FA and PcZn₂-lys-FA (red) *in vivo* and in different
727 organs. The BALB/c nude mice bearing KB human tumour xenografts at their spine
728 were intravenously injected with PcZn₁-lys-FA and PcZn₂-lys-FA *via* tail vein. (A) *In*
729 *vivo* images of the mice treated with PcZn₁-lys-FA; (B) *In vivo* images of the mice
730 treated with PcZn₂-lys-FA; (C) PcZn₁-lys-FA and (D) PcZn₂-lys-FA distribution in
731 different organs: liver, spleen, heart, kidney, lung and tumor (from left to right).

732

733 **Table 1.** Absorption spectral data of PcZn₁, PcZn₁-lys, PcZn₁-lys-FA, PcZn₂
734 PcZn₂-lys and PcZn₂-lys-FA in DMSO, H₂O and a H₂O + Triton X-100 solution. For

735 ZnPc in DMSO, $\lambda_{\max} = 672$ nm, $\log \epsilon = 5.14$.

736

737 **Table 2.** Photophysical and photochemical parameters of PcZn₁, PcZn₁-lys,

738 PcZn₁-lys-FA, PcZn₂, PcZn₂-lys and PcZn₂-lys-FA in DMSO. Φ_F : fluorescence

739 quantum yield, τ_F : fluorescence lifetimes, τ_0 : natural radiative lifetime, κ_F : rate

740 constants for fluorescence, Φ_Δ : singlet oxygen quantum yield. For ZnPc, $\Phi_F = 0.18$,

741 $\Phi_\Delta = 0.67$.