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Enhancement of Fluorescent Properties of Near-Infrared Dyes using Clickable Oligoglycerol Dendrons

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Abstract— Near-infrared (NIR) fluorescent dyes are gaining increased attention due to their potential to serve as molecular probes for *in vivo* imaging. Here, we demonstrate that oligoglycerol dendrons effectively enhance the fluorescence properties of an NIR dye by increasing the solubility in water and the prevention of aggregate formation. First- and second-generation oligoglycerol dendrons were conjugated to an NIR dye via a dipolar-cycloaddition (click) reaction. The two new dye conjugates exhibited enhanced NIR fluorescent emission and considerably higher fluorescent quantum yields than the dye alone. The high photostability measured for one of the oligoglycerol-linked dyes, in comparison to commonly used fluorogenic dyes such as Cy5 and Cy7, was validated using fluorescence microscopy of macrophages.

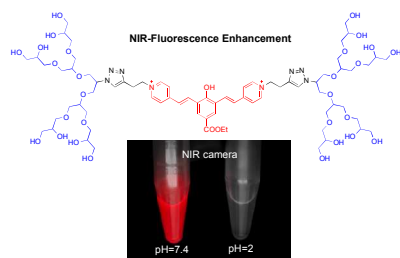


Table of contents entry: Oligoglycerol dendrons effectively enhance the fluorescence properties of a cyanine NIR dye by increasing the solubility in water and the prevention of aggregate formation.

Fluorescence imaging is increasingly used in the chemical, biological, and medical sciences¹⁻³ and drives a growing interest in near-infrared (NIR) fluorophores as imaging probes.^{4,5} Fluorophores that emit in the NIR region have some advantages for *in vivo* applications compared to shorter wavelength fluorophores including deeper penetration through organic tissues and higher signal-to-noise ratios due to lower autofluorescence background. To be useful *in vivo*, fluorophores must be water-soluble and physiologically stable. In addition, they should have a high fluorescent quantum yield, large Stokes shift, and good photostability.

In order to image alterations of fluorescent signals using molecular probes, a turn-ON mechanism is usually employed. In cyanines, a commonly used family of NIR dyes, turn-ON is often achieved using a fluorescence resonance energy transfer (FRET) process. Recently, we reported on a novel strategy for the design of long-wavelength fluorogenic probes with a turn-ON option.⁶ The design is based on a donor-two-acceptor π -electron cyanine dye that can undergo an intramolecular charge transfer to form a new fluorochrome with an extended π -conjugated system. This strategy was translated to the synthesis of a library of dyes with fluorescence emission in the near-infrared (NIR) region.^{7, 8} A representative example for the design of a donor-two-acceptor dye system is illustrated in Figure 1. The dye is composed of a protected phenol moiety (**I**) that functions as a latent donor conjugated with two picolinium acceptors. Deprotonation of the phenol leads to the formation of a phenolate active donor **II** that is able to donate a pair of π -electrons to either one of the conjugated picolinium acceptors (structures **III** and **IV**). This intramolecular charge transfer

generates a resonance species with a π -electron pattern similar to that of a cyanine fluorochrome.⁹ The donor capability of the phenolate species **II** can be masked by a proton or a specific protecting group. This type of protected phenol can be used as a molecular probe for detection or imaging of an analyte that reacts with the probe to remove the protecting group.¹⁰

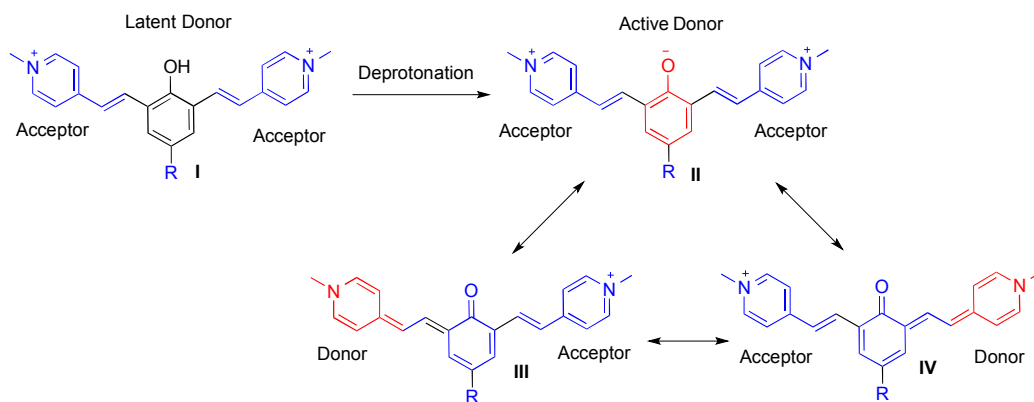


Figure 1: Example for a donor-two-acceptor fluorescent cyanine dye design

Based on this design, a series of donor-two acceptor dyes were synthesized and their spectroscopic properties were evaluated.⁷ Some of the dyes exhibited very good fluorescence properties, whereas others showed relatively low fluorescence in the NIR region. The low fluorescence was attributed to poor water solubility and the formation of aggregates. The Haag oligoglycerol dendrons¹¹⁻¹⁵ enhance water solubility and reduce the aggregation of hydrophobic perylene diimide dyes.^{16,17} In the present work we sought to study the effect of oligoglycerol dendrons on one of our donor-two-acceptor dyes that exhibits moderate fluorescence properties.

To evaluate the influence of the oligoglycerol dendrons on the fluorescence properties of our cyanine based dyes, we chose dye **1** as a study-model. The dye

is equipped with two acetylene handles on the picolinium acceptors, ready for click-conjugation¹⁸ with suitable azide derivatives of oligoglycerol-dendrons (Figure 2). Dye **2** and **3** were obtained following a click reaction with [G1]-oligoglycerol dendron and [G2]-oligoglycerol dendron correspondingly. To better understand the effect of the oligoglycerol dendrons on the hydrophobic dye, we also prepared a sulfonated dye-derivative (dye **4**). Since sulfonate groups are commonly incorporated into non-polar compounds to increase their aqueous solubility¹⁹, we were interested in the relative effects of the sulfonate and the oligoglycerol dendrons.

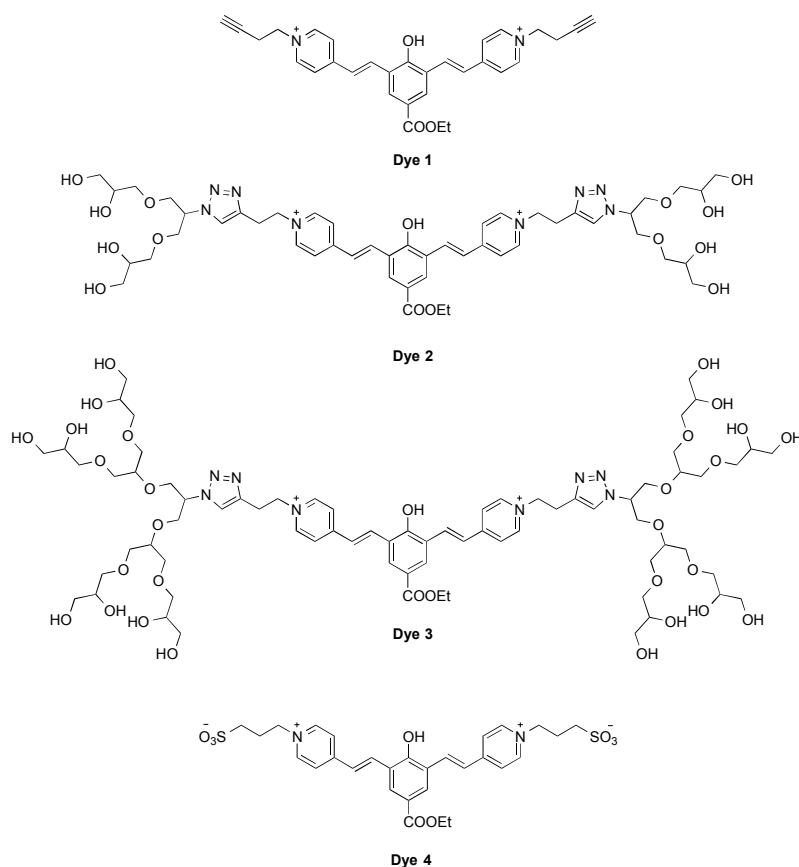


Figure 2: Chemical structures of oligoglycerol dendronized and sulfonated cyanine-based dyes.

The syntheses of dyes **1**, **2**, and **3** were achieved as illustrated in Figures 3 and 4. Alkylation of 4-picoline with 4-bromobut-1-yne gave picolinium **1b**, which was then condensed with dialdehyde **1a** to afford dye **1**. Click reaction of two eq. of oligoglycerol dendron **2a** with dye **1** afforded dye **2** (Figure 3). Similarly, dye **3** was obtained by a click reaction of dye **1** with two eq. of oligoglycerol dendron **3a**. A simple deprotection step yielded the desired dye.

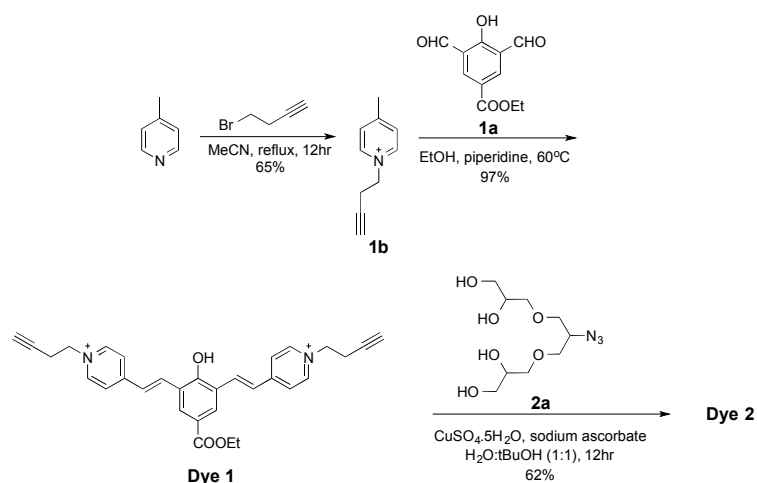


Figure 3: Chemical syntheses of dyes **1** and **2**.

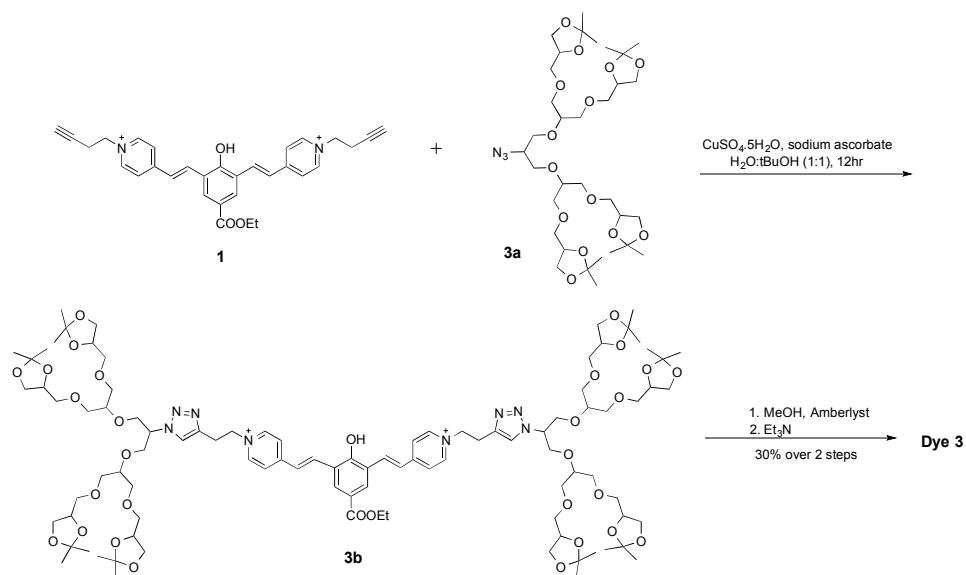


Figure 4: Chemical synthesis of dye **3**.

Dye **4** was synthesized starting from alkylation of 4-picoline with 1,3-propane sultone to give picolinium **4a**. Condensation of two eq. of picolinium **4a** with dialdehyde **1a** gave dye **4** (Figure 5).

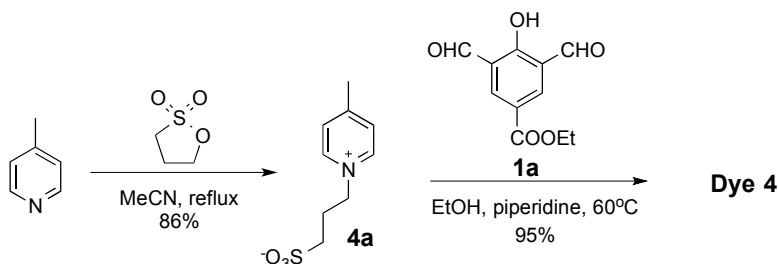


Figure 5: Chemical synthesis of dye **4**.

With dyes **1-4** in hand, we measured the absorbance and fluorescence spectra (Figure 6). The spectroscopic data are summarized in Table 1. The absorbance spectra of the four dyes were almost identical with a maximum absorbance wavelength of 500 nm (Figure 6A). Similarly, all four dyes exhibited fluorescence spectra with an emission wavelength at 700 nm. The fluorescence intensity of dye **3**, bearing two [G2]-oligoglycerol dendrons, was significantly higher than that of the other three dyes. The fluorescence intensity of dye **2**, bearing two [G1]-oligoglycerol dendrons was lower than that of dye **3**, but was still considerably higher than that of core-dye **1**. Interestingly, whereas the addition of oligoglycerol dendrons on dye **1** resulted in a dramatic enhancement of fluorescence, the introduction of sulfonate groups on the same core structure (as in dye **4**), failed to provide a similar effect (Figure 6B). Dye **4** showed fluorescence intensity values slightly higher than that of unsubstituted dye **1** but significantly inferior to dendronized dyes **2** and **3**. The attachment of [G2]-

oligoglycerol dendron to dye **1** resulted in a remarkable increase of the fluorescence quantum yield from 4.9% to 12.7%. In contrast, the addition of the sulfonate groups had little effect on the quantum yield. The advantage of the oligoglycerol dendron to increase the fluorescent quantum yield can be attributed to their bulky volume, which sterically shields the aromatic fluorophore to prevent aggregation. These observations confirm the superiority of the oligoglycerol dendron relative to the sulfonate to enhance fluorescent properties.

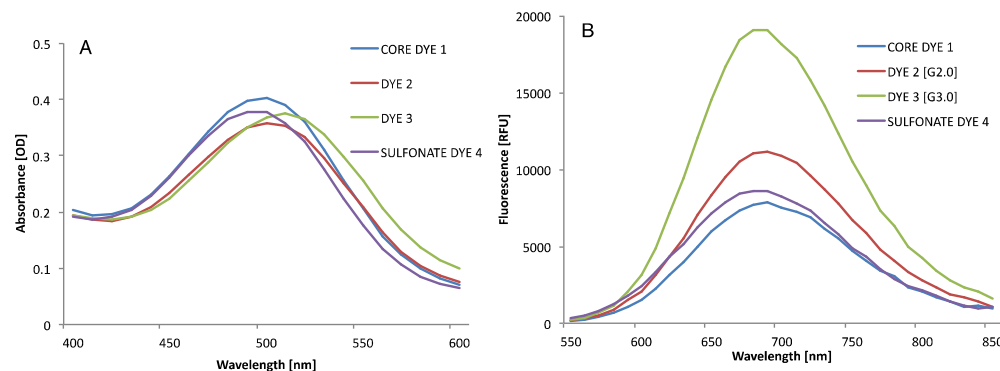


Figure 6: A) Absorption spectra of dyes **1-4** in PBS (concentration: 50 microM, pH 7.4). B) NIR fluorescence spectra of dyes **1-4** in PBS (concentration: 50 microM, pH 7.4) ($\lambda_{ex}=500$ nm).

	λ_{ex} [nm]	λ_{em} [nm]	Φ [%]	$\epsilon_{500nm}[\frac{1}{M \cdot cm}]$	Brightness $[\frac{1}{M \cdot cm}]$
Dye 1	500	700	4.9	21586	105.77
Dye 2	500	700	5.9	21856	128.95
Dye 3	500	700	12.7	22276	282.9
Dye 4	500	700	5.4	20193	109

Table 1. Spectroscopic data of cyanine-based dyes **1-4**.

The structural properties of the dye molecule result in a turn-ON fluorescence signal upon deprotonation of the phenolic functional group (Figure 1). Thus, while the phenol is protonated, the intramolecular charge transfer is blocked and the fluorescence of the dye is OFF. To demonstrate this effect, we focused on dye **3** and measured its UV-Vis and fluorescence spectra under acidic and neutral conditions (Figure 7).

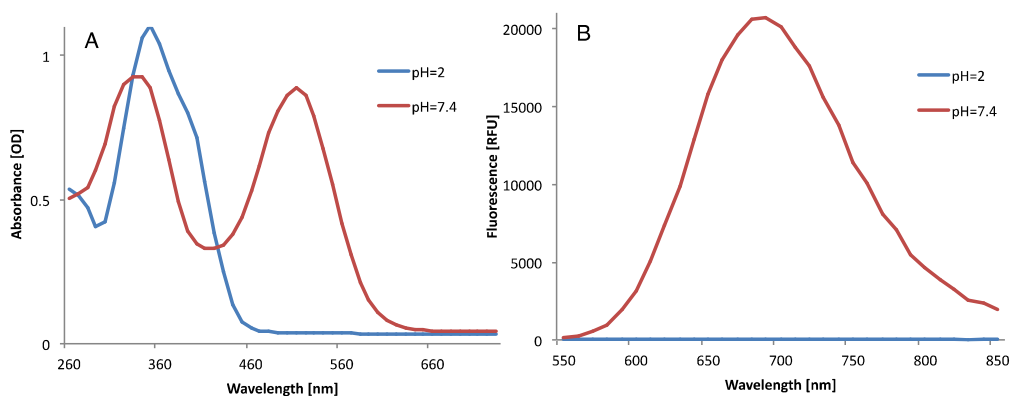


Figure 7: A) UV-Vis spectra of dye **3** in PBS (concentration: 50 microM, pH 7.4) (red) and in PBS (concentration: 50 microM, pH 2), (blue). B) NIR fluorescence spectra of dye **3** in PBS (concentration: 50 microM, pH 7.4) (red) and in PBS (concentration: 50 microM, pH 2), (blue) ($\lambda_{\text{ex}}=500$ nm).

At pH 2, the dye is protonated and showed no emission in the NIR region. However, when the pH was increased to 7.4, the UV-Vis-spectrum was red-shifted and strong NIR fluorescence emission was observed. These color changes between the protonated and deprotonated forms of the dye are clearly shown in Figure 8A. The yellow color observed at pH 2 indicates that the dye is in its phenol form, whereas the red color observed at pH 7.4 indicates that the dye is in

its quinone form. The turn-ON fluorescence signal of the dye produced by the increase of the pH can be imaged under an NIR camera (Figure 8B).

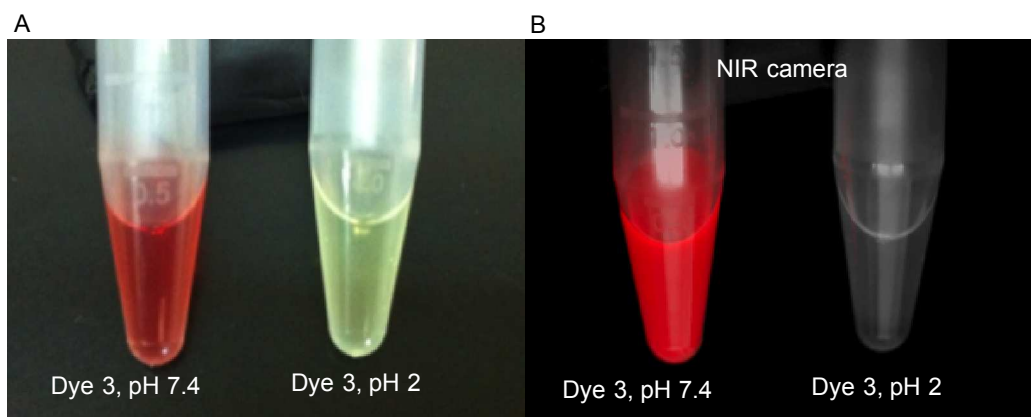


Figure 8: A) Photograph of dye **3** in PBS (concentration: 50 μM , pH 7.4) (left) and in PBS (concentration: 50 μM , pH 2), (right). B) Photograph of dye **3** in PBS (concentration: 50 μM , pH 7.4) (left) and in PBS (concentration: 50 μM , pH 2), (right) taken using an NIR camera ($\lambda_{\text{ex}}=500$ nm, cutting filter of >635 nm).

The pKa of dye **3** was determined by measuring the emitted fluorescence at different pH values (see Supporting Information). Dye **3** was found to have a pKa value of 3.9, indicating that under physiological conditions (pH 7.4) and also in the acidic pH of the lysosome (pH \sim 5), the dye will exist in its fluorescent quinone form. Since the change in the spectral properties of the dye is reversible and controlled completely by environmental pH, such dyes can be utilized as pH probes in the range of their pKa value. In its deprotonated fluorescence form, the dye exhibits a Stokes shift of 200 nm between absorption and emission peaks.

This relatively large Stokes shift is yet another favorable feature as this indicates little potential for self-quenching of the fluorescence signal.

Photostability is an important factor of a dye, especially when it is considered for use as an *in vivo* imaging probe. Thus, we evaluated the photostability of dye **3** in comparison to that of Cy5 and Cy7, commonly used NIR fluorophores. The dyes were dissolved in PBS buffer and irradiated for 50 minutes. The fluorescence intensity values of the dyes were recorded during the irradiation time and plotted as a function of time (Figure 9). The fluorescence emission intensity of Cy5 and Cy7 decreased relatively rapidly; dye **3** was significantly more photostable. Although a quantitative comparison is impossible due to the different excitation wavelengths, this finding clearly demonstrates the high photostability of dye **3**.

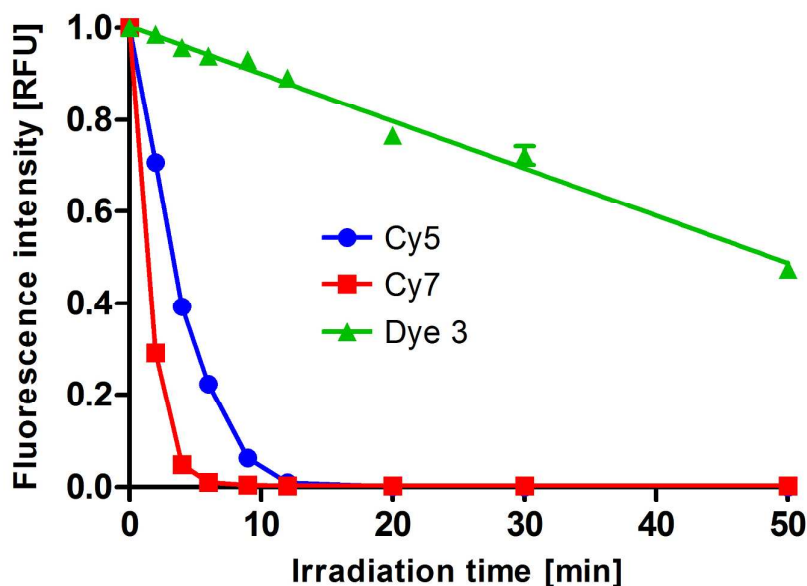


Figure 9: Photobleaching of dye **3**, Cy5, and Cy7 in solution: Fluorescence intensity [RFU] emitted upon irradiation of 100 μ M Dye **3** ($\lambda_{\text{ex}}=500$ nm, $\lambda_{\text{em}}=700$ nm), Cy5 ($\lambda_{\text{ex}}=650$ nm, $\lambda_{\text{em}}=670$ nm), and Cy7 ($\lambda_{\text{ex}}=740$ nm, $\lambda_{\text{em}}=760$ nm) in PBS

(concentration: 50 μM , pH 7.4) as a function of time. The compounds were irradiated using a UV crosslinker as described in the Supporting Information. The fluorescence intensity of each dye was normalized to the intensity at the beginning of the experiment. Data are representative of three independent experiments.

To further explore the photostability of dye **3** and its compatibility with in-cell assays, we compared the photobleaching of dye **3** and of the Cy7 fluorophore after uptake into macrophage cells (Figure 10). The Cy7 fluorescence decreased with each laser scan. The fluorescence intensity of dye **3** was only slightly reduced after 10 laser scans. This observation provides additional indication for the potential of dye **3** as a suitable fluorescence probe for biological assays.

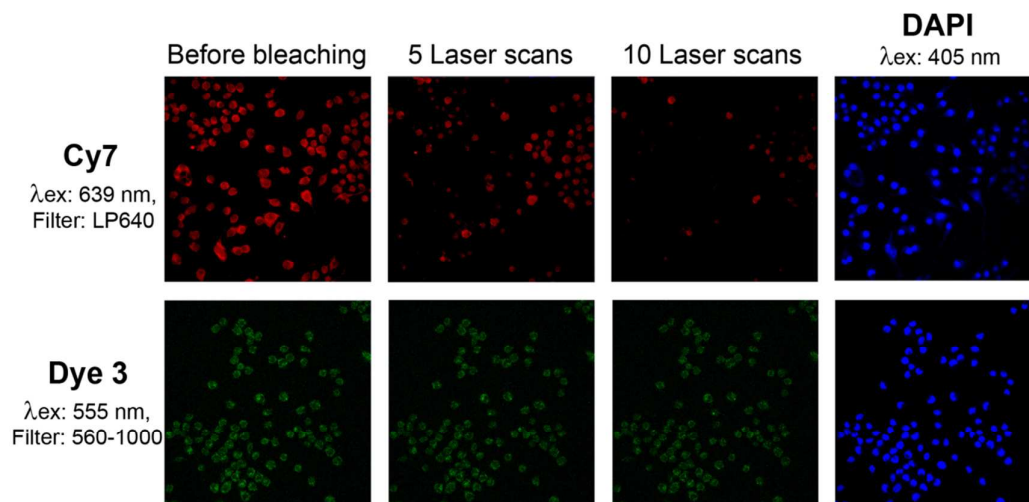


Figure 10: Photobleaching of dye **3** and Cy7 after uptake into macrophages: Following incubation with the fluorescent dyes, the cells were fixed with paraformaldehyde, quenched with glycine buffer, and stained with DAPI (4',6-diamidino-2-phenylindole) as described in the Supporting Information. Photobleaching was induced by repeated scanning. The following laser/filter

combinations were used: DAPI: Laser 405 nm, Filter 420-1000; Dye 3: Laser 555 nm, Filter 560-1000; Cy7: Laser 639 nm, Filter LP 640.

In summary, we have demonstrated that oligoglycerol dendrons can be incorporated into NIR dye molecules to significantly enhance fluorescent properties. Conjugation of the dye to a first-generation dendron resulted in a moderate increase of the fluorescent quantum yield compared to the dye alone; the second-generation dendron conjugation produced a dye derivative with considerably higher fluorescent emission. In addition, the oligoglycerol dendrons exhibited superior ability to prevent aggregate formation of hydrophobic dye when evaluated versus traditional sulfonate functional groups. The oligoglycerol-NIR-dye conjugate showed high photostability in comparison to commonly used fluorogenic dyes such as Cy5 and Cy7. The remarkable stability of the dye to photobleaching was visually demonstrated in imaging of macrophages. We anticipate that the clickable oligoglycerol approach described here will enhance the fluorescent properties other hydrophobic aromatic dyes as well.

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