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## Selective Modification of Nanoparticle Arrays by Laser-Induced Self Assembly (MONA-LISA): putting control into bottom-up plasmonic nanostructuring

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Nano-structuring of metals is one of the greatest challenges for the future of plasmonic and photonic devices. Such a technological challenge calls for the development of ultra-fast, high-throughput and low-cost fabrication techniques. Laser processing, accounts for the aforementioned properties, representing an unrivalled tool towards the anticipated arrival of modules based in metallic nanostructures, with an extra advantage: the ease of scalability. In the present work we take advantage of the ability to tune the laser wavelength to either match the absorption spectral profile of the metal or to be resonant with the plasma oscillation frequency, and demonstrate the utilization of different optical absorption mechanisms that are size-selective and enable the fabrication of pre-determined patterns of metal nanostructures. Thus, we overcome the greatest challenge of Laser Induced Self Assembly by combining simultaneously large-scale character with atomic-scale precision. The proposed process can serve as a platform that will stimulate further progress towards the engineering of plasmonic devices.

### Introduction

Nanomaterial and nanodevice manufacturing has traditionally followed two distinct routes: the top-down approach,<sup>1-3</sup> where physical processes such as e-beam and photo-lithography are exploited for slicing and dicing macroscopic entities in the nanoscale, and the bottom-up approach, where atomic-scale chemical forces take centre stage and drive molecular self-assembly.<sup>4-7</sup> Crudely speaking, one might say they correspond to the competing worlds of Physics and Chemistry. In practice, the top-down route offers unparalleled control and reproducibility down to a few nanometres in feature size but at high cost for large area processing, while the bottom-up approach naturally applies for macroscopic scale nano-patterning albeit without the fine feature and reproducibility

control. Laser Annealing (LA from now on) might be viewed as the means to a third, intermediate route for nanotechnology manufacturing. Light-matter interactions offer precise energy delivery and control over the physico-chemical processes in the nanoworld. It has already been implemented for the reshaping of plasmonic nanoparticles,<sup>8-11</sup> alloying at the nanoscale<sup>12</sup> and nanomanipulation in optical tweezers.<sup>13-15</sup> Today's laser technology in nano-manufacturing, however, lacks the simultaneous atomic-scale control and large-scale character: processes can be either extremely accurate but implemented in infinitesimally small volumes<sup>8,13</sup> or can be macroscopically implemented with a lesser control on the produced features.<sup>10</sup> In this work we show that it is possible to overcome these limitations and substantially improve the control of bottom-up nano-patterning. The targeted plasmonic applications span from size- and shape-selective biocompatible surfaces,<sup>16</sup> surface enhanced Raman scattering,<sup>17,18</sup> optical storage of information,<sup>10</sup> production of metal nanocavities for light manipulation and enhancement of solid state lighting,<sup>19,20</sup> as well as broadband solar energy harvesting by incorporating the considered plasmonic nanoparticles into photothermal, photocatalytic and photovoltaic devices.<sup>21-24</sup> At the very heart of these applications lie the light-matter interactions, in the form of coherent surface plasmon oscillations excited by the incident light at specific frequencies with high selectivity on the metal nanostructure's size and shape. But selective plasmon excitation translates into selective light absorption, and thus into selective heating of the nanostructure.

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† Electronic Supplementary Information (ESI) available: Extensive experimental results that corroborate the results and conclusions presented in the manuscript, but due to their extent cannot fit in the manuscript. The manuscript refers to specific sections here, but in its entirety this document presents the complete results of our MONA-LISA work. See DOI: 10.1039/x0xx00000x

It is natural thus to allow this core property of the target application, to become itself one of the drivers in the self-assembly process. Furthermore, besides heating, selective cooling is also an integral part of restructuring. But as the cooling rate depends on the geometric features of the nanostructure, a second driver for the self-assembly process is introduced.

The available light absorption mechanisms in the case of nanostructured noble metals such as Ag, Au and Cu, can be intuitively summarized in the following modes:

- interband absorption, probing the metal's internal d-electrons (Figure 1a). This is typically enabled at UV frequencies and in general is not size-dependent,
- off-resonance intraband (free electron) absorption (Figure 1b), which however is too weak to cause substantial temperature rise,
- resonant intraband absorption (Figure 1c), probing the conduction electrons with laser wavelengths close to the spectral position of Localised Surface Plasmon Resonance (LSPR). This mechanism is strongly size- and shape-selective, and
- photon ionization that may occur only for high laser fluences.<sup>25</sup>

As an example, the overall absorption spectrum of Ag nanoparticle with diameter of 40 nm on top of a Si substrate is plotted in Figure 1d, showing the spectral domains of the two absorption mechanisms. Hereby, we utilize modes (a) and (c), combined with the size selectivity of cooling ( $\sim$  nanostructure surface/volume ratio) and demonstrate that this approach provides an exceptional and versatile tool for controlling the self-assembly of nanoparticles, leading to desired nanoparticles distributions, which cannot be achieved by the exclusive use of UV laser annealing.

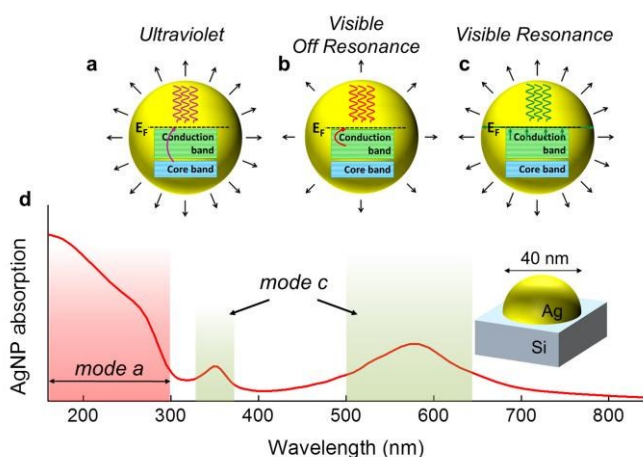


Fig. 1. (a-c) Schematic representation of the main physical mechanisms of absorption we exploit in the current work and their corresponding thermal effect on metallic nanostructures. (d) Calculated absorption spectrum of a 40nm diameter Ag nanoparticle (Ag NP) on top of a Si substrate, as shown in the inset schematic. Interband absorption (mode a) dominates the red shaded region, while intraband absorption (LSPR driven, mode c) is dominating the green shaded regions (at  $\sim$ 350nm the quadrupole and  $\sim$ 580nm the dipole LSPR contributions respectively).

## Experimental

### Sample preparation

Metallic thin films were deposited employing the following experimental techniques:

- Radio-Frequency Magnetron Sputtering in a high vacuum chamber (base pressure  $< 10^{-3}$  Pa, Ar pressure 0.67 Pa, 3'' Ag or Cu or Au targets 99.99%, power at the target set at 40 W, 11 rpm substrate rotation) on commercial, Czochralski-grown, n-type (resistivity 1-10  $\Omega$ cm) Si(100) wafers, with a 2 nm native oxide. The deposition time varied in order to get thin films of an effective thickness of 5 and 10 nm. These substrates served as core templates in the framework of the present study.

- Electron Beam Evaporation in an ultra-high vacuum chamber (base pressure  $< 10^{-6}$  Pa). The e-gun was operated at 6 V and 50 mA. Ag thin films of an effective thickness of 10nm were produced on commercial n-type Si(100) wafers.

- Chemical reduction of  $\text{AgNO}_3$  on commercial n-type Si (100) wafers by drop casting a droplet (30  $\mu$ l) of a solution containing 0.001M  $\text{AgNO}_3$  and 0.01M HF was casted on to Si at room temperature in ambient environment for 10 min. The samples were rinsed with HPLC grade water and dried in air flow.

### Sample processing

Laser Annealing (LA) was carried out with an Excimer laser (LAMBDA PHYSIK LPX 305i) which is capable of delivering unpolarized light (pulse length of 25 ns) and up to 1200 mJ/pulse at 248 nm (KrF) and 600 mJ/pulse at 193 nm (ArF). The raw beam is approximately 30 mm x 15 mm with a Gaussian like profile on the long axis and an almost top-hat profile on the short axis. The beam delivery system comprises a variable attenuator, a beam homogeniser and a mask-projection system. A X-Y-Z translational stage was used in order to manipulate the sample during the laser process. The laser spot delivered onto the samples was set, by an appropriate mask, to be a 2.5x2.5 mm<sup>2</sup> spot. An extensive experimental schedule was followed, of varying the number of pulses (1 to 15 at 1 Hz repetition rate) and the fluence (200 – 900 mJ/cm<sup>2</sup> with a step of 50 mJ/cm<sup>2</sup>).

Selected samples, with LSPR close to 532 nm, were irradiated with laser pulses generated by the second harmonic of a Nd:YAG laser (pulse width of 6 ns). Samples with LSPR close to 620 nm were irradiated with laser pulses generated by an Optical Parametric Oscillator (OPO) unit pumped by the third harmonic (355 nm) of the Nd:YAG. The fluence ranged from 75- 175 mJ/cm<sup>2</sup> and the repetition rate was set at 10 Hz.

### Sample characterisation

Samples were imaged by Scanning Electron Microscopy (SEM) in Plan-View (Top Down) using a FEI Helios Nano lab 600 MK 2. High-End imaging was obtained with an ultra-short working distance of 2.4 mm and an operating voltage of 3 keV. The High-End operating mode utilised the "Through Lens Detector" in immersion mode. SEM images were analysed with an open source software (imageJ) to acquire statistical information on the nanoparticle's size distribution in relation to the surface

coverage of the nanoparticles (ratio of the footprint area covered by the particles to the total area).

The optical properties of the samples were studied on a home-built spectroscopic reflectivity measurement system (200–870 nm range) at normal incidence using a white light deuterium-halogen source (Ocean Optics DH-2000), a co-axial fiber-optic assembly (Avantes reflection probe), a high line density grating and a CCD detector (Ocean Optics USB4000); the reflectivity spectra were normalized using a silicon reference mirror.

### Optical and thermal calculations

A full-vector 3D finite-difference time-domain (FDTD) simulator<sup>26,27</sup> for Maxwell's equations<sup>28</sup> with a narrow Gaussian-temporal-profile plane-wave normal incidence was used, where the detailed spatial absorption distribution  $\alpha(z)$  was extracted for each frequency by Fourier transformations.<sup>29</sup> Material dielectric properties were taken from literature.<sup>30</sup> The plasmonic structure studied was a hexagonal array of hemispherical Ag domes at separation/diameter = 1.2 ratio.

Due to the extended pulse profile ( $\sim$ millimeters) and shallow heating depth ( $\sim$ microns), solving the 1D heat diffusion equation<sup>31</sup> suffices, where a variable area  $s(z)$  was assumed as a function of depth  $z$  in order to take into account the actual shape of the nanoparticles:

$$s(z)c(z)\rho(z)\frac{\partial T(z,t)}{\partial t} = \frac{\partial}{\partial z}\left[s(z)k(z)\frac{\partial T(z,t)}{\partial z}\right] + s(z)Q(z,t) \quad (1)$$

Material parameters (heat capacity  $c(z)$ , mass density  $\rho(z)$  and thermal conductivity  $k(z)$ ) were taken from literature.<sup>32</sup> An extended laser heating source term  $Q(z,t) = \alpha(z) \cdot \phi(t)$  was used, defined by the product of the absorption spatial profile  $\alpha(z)$  (obtained from the FDTD calculations) and the experimental laser pulse temporal profile  $\phi(t)$  (either that of an excimer or of a Nd:YAG laser depending of laser annealing wavelength assumed), where the total laser fluence was:

$$\Phi = \int_{-\infty}^{\infty} \phi(t) dt \quad (2)$$

Upon time-integration of the heat diffusion equation we derived the temperature transient profile at every point in the structure. We assumed that (i) electronic and lattice temperatures were in equilibrium (valid for slow heating times in the ns regime<sup>33</sup>) and (ii) radiation and convection losses were insignificant (indeed, even for a temperature of about 5000 K, unit emissivity and forced air cooling for the duration of the laser annealing pulse ( $\sim$ 30 ns), we found radiation and convection losses of the order of 0.1 mJ/cm<sup>2</sup>, which were many orders of magnitude smaller than the typical fluence used in this study). Furthermore, since we were only interested in estimating the temperature rise and not in the exact physics at the very high temperatures, we simplified our calculations by (iii) assuming that the material properties (absorption, heat capacity, mass density and thermal conductivity) remained constant during heating and by (iv) ignoring the thermodynamics of phase changes, e.g. melting and resolidification.

## Results and discussion

### Theoretical investigation

Computer simulations can help us explore the potential use of the various modes presented above. Assume for example that after some laser treatment of a Ag thin film, an array of 40 nm in diameter hemispherical nanoparticles (Ag NP) has been formed within some area on top of a Si substrate. We first consider what the effect of a new incoming laser pulse would be. In Figure 2a the absorption per nm is plotted at different depths for this structure.

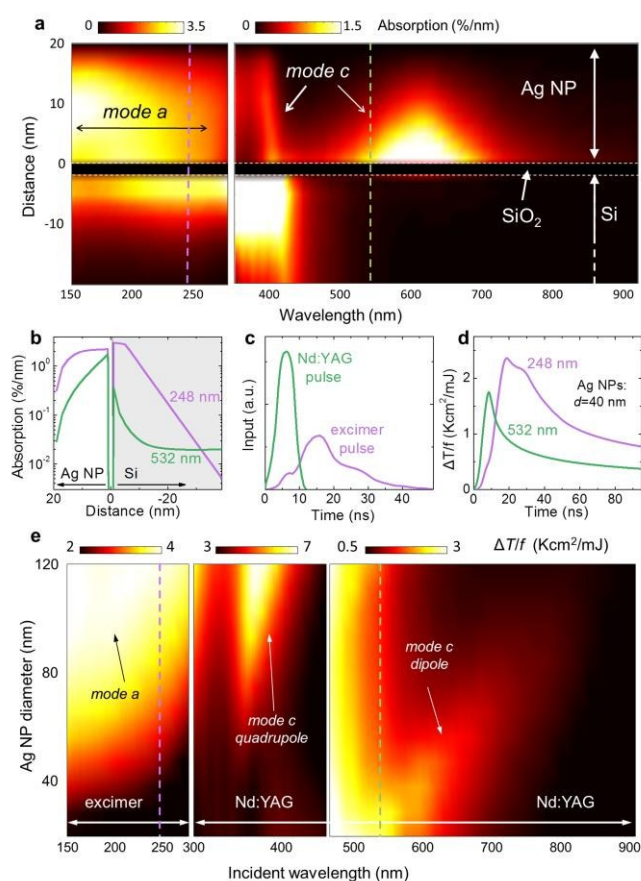


Fig. 2. (a) Calculated vertical absorption profile for the 40 nm diameter Ag nanoparticle in units of percent per nanometer. Indicated with the two dashed lines are the two primary wavelengths used in this study. (b) Absorption percentage per nm profile in our model structure for the two principal wavelengths used in the study. (c) Measured temporal profile of the two laser sources used. (d) Corresponding temperature transients developed in the Ag NP, normalized to the laser fluence. (e) Calculated global map showing the peak temperature developed in a Ag NP on top of Si as a function of laser wavelength and NP size. The dashed lines indicate the two primary wavelengths used in this study.

The different modes relating to interband and intraband absorptions in the nanoparticle, as well as the absorption in the substrate, are clearly shown. These kinds of detailed absorption distribution maps are a necessary input in the thermal dissipation calculations. As a particular case, assume the UV 248 nm and VIS 532 nm wavelengths, corresponding to the KrF excimer and the 2<sup>nd</sup> harmonic of the Nd:YAG lasers respectively, used in our experimental demonstrations. These are indicated by the dashed lines in Figure 2a and their corresponding absorption profiles are plotted in detail in

Figure 2b. Furthermore, the actual laser pulse profiles are shown in Figure 2c. Combining these two in the heat dissipation equation (Eq. 1), we solve for the transient temperatures developed in the nanoparticles, plotted in Figure 2d. For simplicity, we have assumed a linear response regime and plot the temperature rise per laser fluence (in  $\text{mJ}/\text{cm}^2$ ). We note here that the temperature transient follows the actual pulse temporal shape, but a significant transient tail also persists for longer times. These two features indicate that the processes studied here are both power and fluence dependent respectively, making the explicit time-dependent simulations necessary. For a particular laser fluence, direct information regarding particle heating is extracted, and the probability of the nanoparticle melting or not can be assessed.

The global picture is then constructed by considering how nanoparticle arrays of different sizes of nanoparticles react to different wavelength illuminations, which is plotted in Figure 2e, showing the peak transient temperature developed (per unit of laser fluence) in the nanoparticle as a function of wavelength and nanoparticle size. Note that the apparent "band" at around 500 nm (Figure 2e, right panel) is the continuation of the previous panel, as evident by the scale bars. Indeed, the dipole contribution is weaker than the contributions at shorter wavelengths (combined quadrupole LSPR and bulk Si absorption), however, within our proposed selective annealing scheme we always irradiate at a single wavelength, and so the relative strength of each band is of no importance other than defining the laser fluence that should be used in each case.

The size dependence of the different absorption mechanisms is now fully revealed: interband transitions (mode a) and quadrupole LSPR (mode c) favour the melting of the larger nanoparticles, while the dipole LSPR (mode c) favors the melting of the smaller nanoparticles. It is important to note here that for mode (c) the peak absorption is generally redshifted from the actual LSPR frequency (at which a maximum in reflectivity is found), with increasing redshift for larger nanoparticles. Upon resolidification, a random distribution of sizes will emerge. However, a repeated laser treatment at a particular wavelength will lead to the repeated melting of one particular size group and thus to its eventual extinction. This is the main concept behind our approach: repeated laser treatments, targeting different nanoparticle size groups with different laser wavelengths, driving the final size distribution towards the predetermined one. We call this process: 'Modification of Nanoparticles Arrays by Laser-Induced Self Assembly' (MONA-LISA).

### Experimental investigation

We now proceed to the experimental demonstration of MONA-LISA. Our first step is a single shot UV LA to initialize nanoparticle formation from a semi-continuous metal thin film, by Laser Induced Self-Assembly (LISA). This results to the restructuring of noble metal (Cu, Ag, Au) layers into nanoparticle arrangements in macroscopic scales (several  $\text{mm}^2$  and with potential for substantial upscaling).

This LISA process with a UV laser (248 nm) is strongly fluence-dependent as demonstrated in the SEM images of Figure 3a (i, iii, v, vii). The "as grown" sample is also shown in Figure 3a(ix) for comparison. The Ag NP size distributions are generally bimodal, consisting of one set of coarse and one set of fine nanoparticles (NP). Increasing the laser fluence from  $350 \text{ mJ}/\text{cm}^2$  to  $800 \text{ mJ}/\text{cm}^2$  results in a decreasing difference between the two NP sets, as shown by the quantified SEM images (Figure 3b, purple, cyan, yellow and red bars). The bimodal NP size distributions are accompanied by two corresponding LSPR peaks in the optical reflectivity spectra (Figure 3c, purple, cyan, yellow and red lines). The coarse particles are accompanied by a region of depleted Ag around them, indicating that they are formed in the expense of the fine particles via surface diffusion and an Ostwald ripening process. At higher fluence the Ostwald ripening process becomes less pronounced and results into a higher population of fine particles and a smaller size of the coarse particles. This behaviour indicates that the process is more thermal for lower fluence, which superficially contradicts the simplified thermal description. In fact, the higher fluence is sufficient to produce hot electrons during the pulse,<sup>25</sup> resulting into ionization and positive charging of Ag nanoparticles. This hinders the Ostwald ripening process via Coulomb repulsion among the nanoparticles and stabilizes them in well separated distances. This argument is further supported by considering the results of the LISA process with a 193 nm laser (see the on line supplementary information, section 1.2, page 5, Figure S4). Indeed, for the 193 nm UV LA the bimodal distribution is less pronounced, even for the lower fluence, due to the higher ionization probability of Ag when irradiated by higher energy photons. On the contrary, LISA is not possible at all for long wavelengths, e.g. the 532 nm used in this work, due to the high reflectivity of metallic layers at these wavelengths.

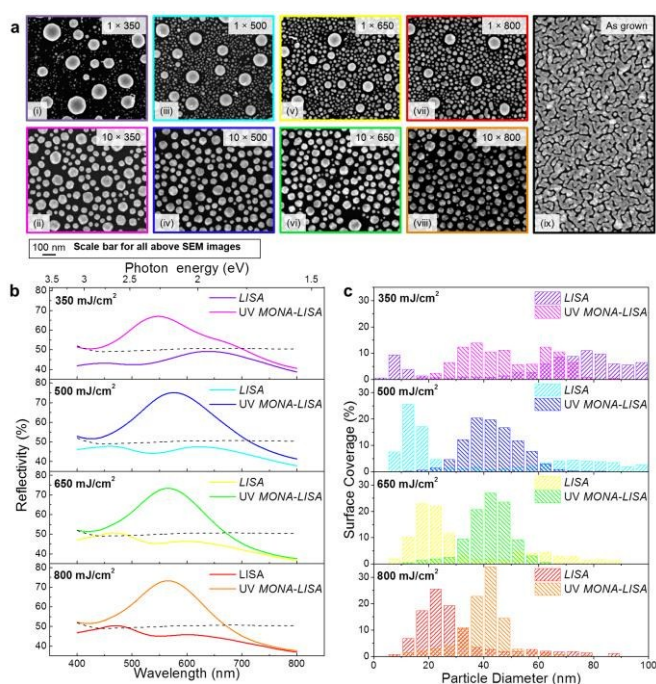


Fig. 3. (a) SEM images of samples processed with LISA (1 pulse, 248 nm LA) and UV MONA-LISA (10 pulses, 248 nm) under various applied fluences (i – viii), including the as grown sample (ix). (b) The effect of LISA and UV MONA-LISA on the optical reflectivity spectra of a 10 nm Ag thin film under various applied fluences. The spectra of the as grown is shown with a dashed line for comparison. (c) Surface coverage of the nanoparticles in relation to the particle diameter for all the cases of (a).

The modification of nanoparticle assemblies by multiple laser pulses (MONA-LISA process) involves quite different physics due to the selective optical absorption and heat dissipation by the nanoparticles, as mentioned earlier. In order to demonstrate this, we subject the initial assemblies of nanoparticles produced by LISA to a series of UV and/or VIS LA steps. We explore this process with the laser wavelengths 193, 248, 532 and 620 nm and combinations of them for a variety of fluences, number of sequential pulses and initial metal film thickness of 5 and 10 nm for Ag on a Si(100) substrate. Furthermore we investigate the process for Au and Cu thin films, deposited via sputtering (for more details refer to the on line supplementary information, sections 1.3-1.4, page 6). Additionally, we demonstrate that our proposed process is independent to the thin film fabrication technique by applying MONA-LISA to Ag film deposited by e-beam evaporation as well as chemical reduction of AgNO<sub>3</sub> (for more details refer to the on line supplementary information, section 2.1.ii : page 34).

First we consider the case of UV MONA-LISA, i.e. processing by multiple UV (248 nm) laser pulses, and we correlate the effect of interband absorption with the size-selective heat dissipation; Figure 3c shows the optical reflectivity spectra of a 10 nm Ag film recorded after UV MONA-LISA using 10 successive UV laser pulses at various fluences (magenta, blue, green and orange lines for 350, 500, 650, 800 mJ/cm<sup>2</sup>, respectively). Comparing these spectra with those for the previous LISA processing (purple, cyan, yellow and red lines) reveals that the consecutive pulses transform the two reflectivity peaks to one, indicating a gradual transformation of the bimodal distributions to unimodal (reflectance data for intermediate cases of less than ten successive pulses are presented in the on line supplementary information, section 1.2: Figure S4, page 5). This is also confirmed by the corresponding SEM images in Figure 3a (ii, iv, vi, viii) and the size distribution histograms in Figure 3b (magenta, blue, green and orange bars), respectively. The reshaping of the particles is a combination of the disappearance of the coarse particles (by melting upon LA) and the enlargement of the surrounding fine particles, as they become the recipients of mass transfer from the melted coarse ones.

The physics behind that observation is based on the faster heat dissipation from the finer nanoparticles due to their higher effective surface (surface to volume ratio). As a result, the finer nanoparticles remain cold during UV MONA-LISA. This was quantified by photothermal calculations, which determine the equi-temperature contour lines vs nanoparticle diameter, from which the critical size under which every particle remains practically cold during the UV MONA-LISA process of Ag on Si can be inferred; assuming for simplicity the bulk Ag melting temperature of 960 °C, the calculated values of the critical

diameter vs. the fluence of the 248 nm laser are presented in Figure 4a. Note that the critical diameter is strongly dependent on the thermal conductivity of the substrate, as a less thermally conductive substrate would hinder heat dissipation.<sup>34</sup> For example, SiC should behave very similarly to Si, having similar thermal conductivities (Si: 150 Wm<sup>-1</sup>K<sup>-1</sup>, SiC: 140-180 Wm<sup>-1</sup>K<sup>-1</sup>). The behaviour of MgO and Al<sub>2</sub>O<sub>3</sub> should also be similar as well, albeit would require lower fluences to achieve similar nanoparticle arrangements due to their lower thermal conductivity (Al<sub>2</sub>O<sub>3</sub>: 25-30 Wm<sup>-1</sup>K<sup>-1</sup>, MgO: 45-60 Wm<sup>-1</sup>K<sup>-1</sup>). SiO<sub>2</sub> on the other hand is thermally resistive enough (SiO<sub>2</sub>: 1.5-2 Wm<sup>-1</sup>K<sup>-1</sup>) to probably change the process, i.e. the heating and cooling rates would be much smaller, and so the particle shaping should be quite different.

The UV MONA-LISA process is thus based on the larger particles having the ability to raise their temperature above their melting point, and consequently melting and re-solidifying forming smaller particles with a stochastic size distribution, while the smaller ones remain below the melting threshold and therefore remain practically unaffected. Evidently, successive pulses suppress the population of the coarse particles, gradually transforming them to stable finer particles via stochastic size redistribution.

A secondary mechanism is observed as the laser fluence is increased to high values; in particular, above 700 mJ/cm<sup>2</sup> a gradual decrease in the absolute reflectivity values is observed when several pulses are used (see on line supplementary information, section 1.1: Figure S3, page 4) indicating loss of material due to ablation, concomitantly with a slight blueshift of the LSPR peak (due to increased empty space between the nanoparticles). This mechanism is also observed for high fluence UV MONA-LISA processing at other wavelengths, such as the 193 nm (see on line supplementary information, section 1.1: Figure S2, page 3 and section 1.2: Figure S4, page 5), and 355 nm (studied and reported by Stietz *et al.*<sup>35</sup>). In fact, ablation is observed at a lower fluence (650 mJ/cm<sup>2</sup>) for the 193 nm laser, due to the higher energy of the corresponding photons.

The wide range of size distributions achieved by LISA and UV MONA-LISA results in a vast colour palette as those presented in Figures 4b and 4c (real colour appearance of the Ag nanoparticle assemblies and quantitative spectral reflectivity response, respectively), which quantifies UV MONA-LISA as a process most capable for tuning the size and distribution of NPs and thus their plasmonic resonance wavelength, spanning from 480 – 735 nm as shown in Figure 4c. Combining the LISA and MONA-LISA process with projection masks may produce multicolour images of high spatial resolution and colour contrast as that presented in the inset of Figure 4a, depicting the logo of the “Marie Curie Actions” (People Programme – EU:FP7).

The implementation of MONA-LISA employing exclusively UV pulses has the inherent limitation of not being able to probe nanoparticles of size below the critical size described in Figure 4a. This has the severe consequence that only the coarse particles are affected after successive laser pulses. In order to directly probe and manipulate the finer particles we must

exploit their selective optical absorption via LSPR using a visible laser wavelength that would result in stronger optical absorption and hence higher temperature rise, as predicted by the computational results presented in Figure 2e.

The optimum condition for narrowing the bandwidth of the LSPR at 532 nm was achieved by applying 500 pulses at 125 mJ/cm<sup>2</sup> (refer to on line supplementary information, section 2.1: Figure S7, page 7). The fact that hundreds of VIS pulses are

needed in order to induce a change reflects the lower temperature rise profile per pulse (compared to the UV processing where 10 pulses are enough to reach a steady state), as predicted by the computational results of Figure 2e, where a lower temperature rise is evident at VIS wavelengths compared to UV processing.

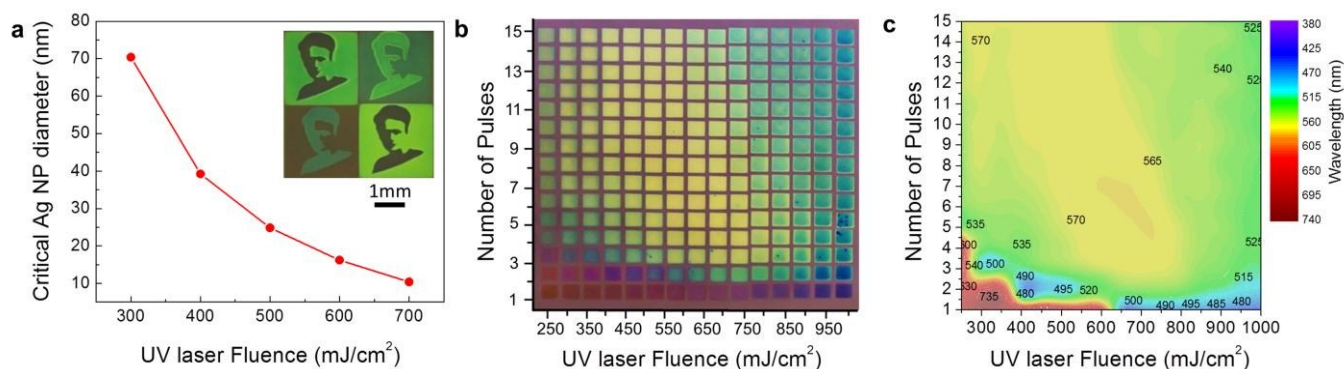


Fig. 4. (a) Critical nanoparticle diameter (the diameter value under which every particle remains practically cold during the UV LA process; hence these particles cannot be further manipulated upon irradiation) as a function of applied laser fluence. The inset shows an optical microscopy image of the “Marie Curie Actions” logo produced by projection mask under LISA / UV MONA-LISA. Each colour represents a different UV LA fluence. (b) A digital photo of a grid of LISA / UV MONA-LISA spots (2.5×2.5 mm<sup>2</sup> each) of varying fluence (x-axis) and number of pulses (y-axis) produced on a 10nm Ag thin film. (c) Contour plot of the dominant LSPR maximum acquired from optical reflectivity spectra from each spot of (b) (in the case of bimodal responses, the spectral value of the stronger reflectivity peak is reported).

Nevertheless, this combined process produces plasmonic nanoparticle assemblies with size distributions comparable to colloidal nanoparticles,<sup>17,36</sup> but crucially with our MONA-LISA method they are firmly attached on the substrate.

A broader view of the VIS MONA-LISA process, that demonstrates its selective nature, is obtained by considering its effect to Ag nanoparticle assemblies of varying size distributions. For this purpose we compare two grids of samples pre-processed by LISA and subsequently processed by VIS MONA-LISA and UV MONA-LISA (Figure 5a, left-hand side and right-hand side, respectively). Each grid consists of square spots (2.5×2.5 mm<sup>2</sup>) pre-processed by 1, 2, 3, 5, 10 and 15 pulses of 248 nm at fluences of 350, 500, 650, 800 mJ/cm<sup>2</sup>. All spots on the left-hand side grid only were subsequently processed by VIS MONA-LISA with 500 pulses from a 532 nm laser (2nd harmonic from an Nd:YAG laser) at 125 mJ/cm<sup>2</sup> (round laser spot of 2 mm in diameter). After applying VIS MONA-LISA there is a remarkable change in their optical response. This is vividly illustrated in the left-hand side grid of Figure 5a where the circular Nd:YAG spot (the result of VIS MONA-LISA) is clearly distinguished inside the excimer pre-treated square (the result of the UV LISA). The appearance of all VIS MONA-LISA spots shifts to green proving the reshaping of the nanoparticles to sizes that exhibit LSPR at the processing wavelength. In particular, if we consider the two spots indicated in Figure 5a with a black and a red dashed box, the shift to green after VIS MONA-LISA is depicted in their optical reflectance spectroscopy data shown in Figure 5b. Figure 5c shows their particle size distributions, as calculated from image analysis of their corresponding SEM images (Figure 5d and 5e), where it is clearly evident that the VIS MONA-LISA

process creates a narrower size distribution around 40 nm diameter. This is also evident by the decrease of the standard deviation ( $\sigma$ ) values (expressed in nm), which the VIS MONA-LISA process demonstrates. The values of  $\sigma$  are shown in Fig. 5c and present a measure of the variability of the size distribution of the nanoparticle array for each sample. Nanoparticles with LSPR close to the processing wavelength (532 nm) are less affected by the laser process due to their high reflectivity in that particular wavelength. The melting process requires a high temperature, which scales with the total absorption of the system. At the LSPR frequency the reflectivity becomes maximum and thus the total system absorption becomes minimum. However the rest of the particles melt and upon re-solidification some of those will eventually cool down to a size that is giving a resonance close to 532 nm. These nanoparticles will remain now practically cold and as this process evolves, the majority of the particles that prevail will be of that particular size.

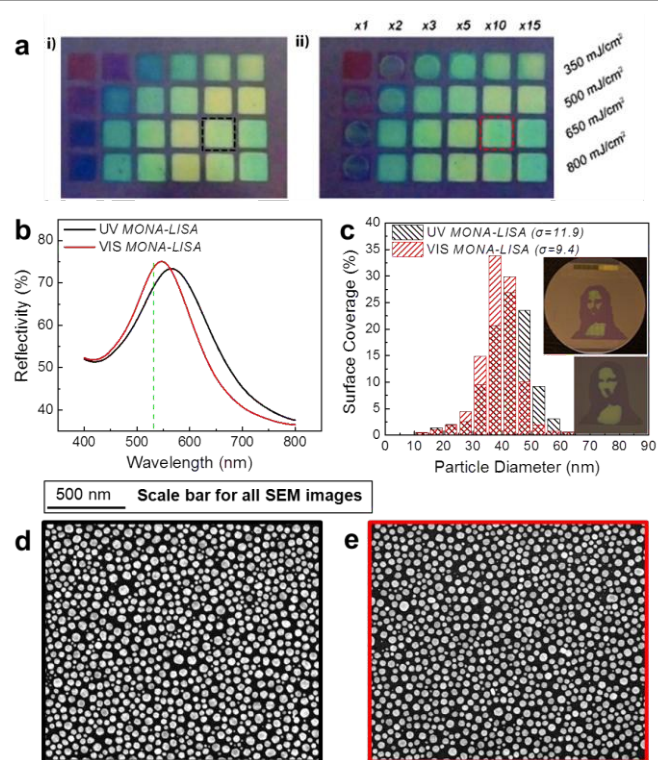


Fig. 5. (a) A digital photo of a grid of LA spots of varying fluence (y-axis) and number of pulses (x-axis) produced on a 10 nm Ag thin film: i) produced via the LISA / UV MONA-LISA process and ii) replica of i) with subsequent VIS MONA-LISA treatment (combined UV and VIS laser annealing). (b) Optical response of a Ag/Si sample (initial effective thickness of 10 nm) after UV MONA-LISA (10 pulses, 248 nm at 650 mJ/cm<sup>2</sup> - black line) and UV + VIS MONA-LISA (10 pulses, 248 nm at 650 mJ/cm<sup>2</sup> and 500 pulses, 532 nm at 125 mJ/cm<sup>2</sup> - red line). (c) Surface coverage of the nanoparticles in relation to the particle diameter for the two spots indicated with red and black dashed boxes in (a) and with optical response shown in (b). Inset depicts two portraits of Da Vinci's Mona Lisa validating the potential and scalability of the MONA-LISA process. (d) SEM image of the UV MONA-LISA sample. (e) SEM image of the UV + VIS MONA-LISA sample.

This effect of VIS MONA-LISA is more pronounced in the cases of nanoparticle assemblies with optical response away from green: for example all the LISA pre-treated spots (first column in grid of Figure 5a). Examining the later in more detail one can see that the LSPR created by the initial UV MONA-LISA process (248 nm, 2x350 mJ/cm<sup>2</sup>) exhibits a double reflectance peak, due to its bimodal nanostructuring, notably with no particular response close to 532 nm, as shown in Figure 6a (black line, UV MONA-LISA). Applying VIS MONA-LISA to this area, with 500 pulses (i), 2000 pulses (ii) and 4000 pulses (iii) results in gradually changing the bimodal distribution to one with a peak very close to the processing wavelength (532 nm). Their nanoparticle distributions are presented in the histograms of Figure 6b, as resulted from the corresponding SEM images shown in Figure 6c, d, e, f. It is noteworthy that the VIS MONA-LISA probes particularly the finer Ag nanoparticles (<20 nm), confirming the computational analysis presented in Figure 2e. Similar behaviour was observed using other visible wavelengths. For example, applying VIS MONA-LISA at 620 nm onto the above mentioned UV MONA-LISA sample produced by 248 nm with 2x350 mJ/cm<sup>2</sup>, results in a shift of the LSPR peak from ~650 nm to ~625 nm (refer to the on line supplementary information, section 2.3, Figure S38, page 35).

This proves the general character of the VIS MONA-LISA approach and the flexibility of producing any selected plasmonic particle distribution by probing their LSPR absorption during visible laser annealing.

From the practical point of view, combining the two laser wavelengths (UV and VIS) and appropriately selecting the processing conditions (number of pulses and fluence), provides an unprecedented asset for tuning the nanoparticle assemblies into size distributions that are:

- i) Bimodal (UV LISA),
- ii) Multimodal (UV LISA and VIS MONA-LISA of intermediate number of pulses),
- iii) Unimodal of a limited variation in size due to self-assembly and heat dissipation selectivity (UV MONA-LISA),
- iv) Unimodal of any size due to LSPR selectivity (UV MONA-LISA and VIS MONA-LISA at steady state).

The last case (iv) goes beyond any laser-driven process for particle formation reported so far, and provides enhanced control and selectivity on the particle formation, allowing us to drive them towards narrower distributions. At the same time, besides unimodal distributions the MONA-LISA process can also be tuned to produce multimodal distributions with broadband optical response covering the entire visible range, which is of particular importance for example in solar harvesting applications.

The potential and scalability of the MONA-LISA process is finally demonstrated by the two portraits of Da Vinci's "Mona Lisa" presented as insets in Figure 5c. The upper inset is a macroscopic, large-scale pattern created with two contact masks on a 2" Si wafer coated with 10 nm Ag (purple areas correspond to a process of one pulse at 500 mJ/cm<sup>2</sup>, whereas the green areas to three pulses of the same fluence). It was realized by rastering the UV laser annealing with a pixel size of 2.5x2.5 mm<sup>2</sup>. On the contrary, the lower inset is a microscopic pattern (2.5x2.5 mm<sup>2</sup>) created on the same wafer in a single pixel processing using two projection masks. The resolution of the method may be further reduced to a feature size less than a micron (restricted only by the diffraction limit and taking into account that in this case we would need a 3D model to simulate the process) by using appropriate photolithographic or projection masks.<sup>10</sup>



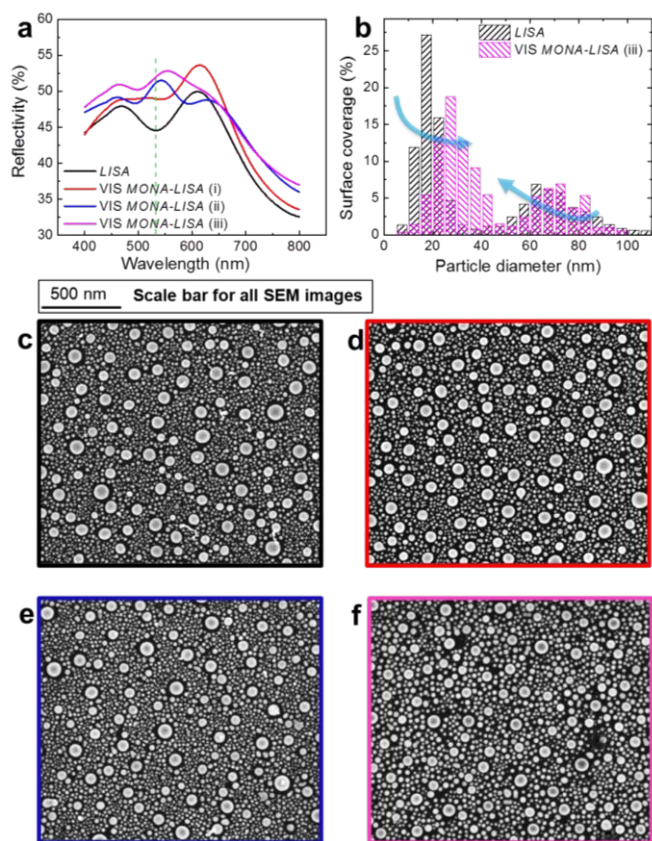


Fig. 6. (a) Optical reflectivity spectra of UV MONA-LISA (2 pulses, 248 nm at 350 mJ/cm<sup>2</sup> - black line), used as a template for the subsequent VIS MONA-LISA (532 nm at 125 mJ/cm<sup>2</sup>) with 500 pulses (red line), 2000 pulses (blue line) and 4000 pulses (magenta line). The green dashed line sets the 532 nm mark. (b) Surface coverage of the nanoparticles in relation to the particle diameter for all the cases of (a). (c) SEM image of the UV MONA-LISA processed sample. (d) SEM image of the VIS MONA-LISA (i) sample (500 pulses). (e) SEM image of the VIS MONA-LISA (ii) sample (2000 pulses) and (f) SEM image of the VIS MONA-LISA (iii) sample (4000 pulses).

The UV MONA-LISA process has been also tested in Au and Cu thin films (shown in the on line supplementary information, section 1.3, 1.4, Figures S5, S6 respectively, page 6). The results show that NP formation is possible albeit the successful processing window becomes narrower. Especially for Cu's case, its LSPR is too deep into the red-IR range and the LSPR strength is more faint than that of Ag and Au, therefore the VIS MONA-LISA is not expected to be as effective.

## Conclusions

Different optical absorption and heat diffusion mechanisms in plasmonic metal nanoparticles were taken into advantage as a means of controlling matter at the nanoscale, via laser driven processes for restructuring a metal thin film into a predetermined nanoparticle template.

The stochastic recrystallization of melted metal nanoparticles by UV processing only is governed by the morphology of the particle template itself, i.e. the existence of a critical size diameter as a function of the laser fluence. This self-limiting process (that in some cases may well provide the desired outcome) could be overcome by the subsequent application of

VIS processing, allowing a further modification to the nanoparticles templates. This is due to the selective heat dissipation from the nanoparticle size groups away from the VIS processing laser wavelength, providing an exceptional capability for selective formation of nanoparticles with predesigned and desired size distributions. As a result, successive pulses of UV LA reduce the volume fraction of larger nanoparticles (>50 nm), while VIS LA may either further refine the size distributions or induce the emergence of nanoparticles, which exhibit LSPR close to the irradiation wavelength. This combined UV and VIS LA treatment provides unprecedented control on the size distributions and plasmonic behaviour of nanoparticle arrays of noble metals (Ag, Au, Cu), independently of their deposition method and can create nanoparticles with sizes and distributions that are otherwise impossible to produce by single wavelength UV laser annealing. We have named this approach MONA-LISA: MOdification of Nanoparticle Arrays by Laser-Induced Self Assembly.

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