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ARTICLE TYPE

Dual emission in Asymmetric “Giant” PbS/CdS/CdS Core/Shell/Shell Quantum Dots

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10 Semiconducting nanocrystals optically active in the infrared region of the electromagnetic spectrum enable exciting avenues in fundamental research and novel applications compatible with the infrared transparency windows of biosystems such as chemical and biological optical sensing, including nanoscale thermometry. In this context, quantum dots (QDs) with double color emission may represent ultra-accurate and self-calibrating nanosystems. We present the synthesis of giant core/shell/shell asymmetric
15 QDs having a PbS/CdS Zinblende (Zb)/CdS Wurtzite (Wz) structure with double color emission close to the near-infrared (NIR) region. We show that the double emission depends on the excitation condition and analyze the electron-hole distribution responsible of the independent and simultaneous radiative exciton recombination in the PbS core and in the CdS Wz shell, respectively. These results highlight the importance of the driving force leading to preferential crystal growth in asymmetric QDs, and provide a
20 pathway for a rational control of the synthesis of double color emitting giant QDs, leading to the effective exploitation of visible/NIR transparency windows.

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1. Introduction

Colloidal quantum dots (QDs) are very attractive nanoscale systems due to the possibility of controlling their optical and electronic properties by tuning their size and shape.¹⁻³ Such systems have already demonstrated their potential in various applications, including light-emitting diodes, photodetectors, excitonic solar cells, biomedical labeling, nanothermometers and photocatalysis.⁴⁻¹⁰

Recent studies demonstrated that the formation of a core/shell structure can largely enhance the chemical, thermal, and photochemical stability of QDs, thanks to the ability of the shell to passivate the core, by removing defects/traps, preserving it from oxidation. Improved functional properties of devices based on core/thin shell QDs have been recently demonstrated, thanks to the passivation of the external shell, which guarantees enhanced charge lifetime and improved stability of the core/shell system.¹¹⁻¹³

The optical and electronic properties of the QDs are found to be significantly different in core/shell structures.¹⁴⁻¹⁷ In general, in core/shell QDs, the shell influences the charge distribution and acts as protective layer for the core, keeping it away from the surface interactions and the surrounding environment.^{10,14-16,18} In most cases, the shell does not emit light due to core/shell level alignment and charge relaxation or to the ultrafast non-radiative Auger recombination of the exciton after excitation.^{14,16,17,19} In few very recent cases core/shell systems were shown to exhibit double emission, due to fluorescence,^{16,20-23} amplified spontaneous emission²⁴ and laser emission²⁵ originating from distinct core and shell radiative recombination. Double emission was also reported in CdSe/CdS core/shell tetrapods due to a spatially direct transition in the core and a spatially indirect emission across the CdSe/CdS heterojunction.²⁰ In core/shell/shell quantum systems, double emission is obtained by the extra energy barrier of the inner shell between the core and external shell, which inhibits charge transfer.²⁶⁻²⁸ For example, Peng *et al.* demonstrated double color emission in the CdSe/ZnS/CdSe core/shell/shell QDs originating from the CdSe core and the CdSe shell, respectively.²⁸ An analogous phenomenon was reported in chunky rod-shaped Te-doped CdSe/CdS/CdZnSe core/shell/shell nanostructures.²⁷ A similar effect was found in “dot-in-bulk” CdSe/CdS nanocrystals, in which the thin layer of Zincblende (Zb) phase CdS, sandwiched between the core and the thick Wurtzite (Wz) CdS shell, is thought to act as the barrier.^{21,29} In almost all the double color emission QDs, the geometric structure is assumed to be quasi-spherical, the core occupying the geometric center of the dot, even though a systematic structural investigation is still lacking.

A special case in the frame of the core/shell systems is represented by the so-called “giant” core/shell structures, in which a relatively thick shell (shell thickness up to tens of nm) surrounds a small core exhibiting quantized electronic properties. Compared to thin shell systems, the “giant” shell can be optically

active (as opposed to thin shell systems) and can be involved in processes like photoluminescence (PL), contributing significantly to the optical “fingerprint” of the “giant” core/shell system. Double emission in quantum confined “giant” core/shell systems is a very important property because they typically exhibit very good chemical and photo-stability toward photobleaching (in particular, suppression of photobleaching under continuous laser excitation has been demonstrated over periods of several hours repeated for several days³⁰), so it is possible to exploit these double color materials for end-user applications in biology and optoelectronics including, for example, self-calibrating and highly accurate ratiometric probes to measure intracellular temperature.^{21,29}

In the panorama of QDs, increasing interest is devoted to lead chalcogenide systems, which are optically active in the near infrared (NIR) region. These kinds of QDs have great potential for use in several applications, like bio-sensors and bio-imaging, due to the deep tissue penetration of light in their emission range and their long PL lifetime (in the microsecond range), which is significantly different from the lifetime of biological tissue (~ns). Dual emission giant NIR QDs will allow the detection of bio-local pH or temperature through the ratiometric emission probe with super photostability, which is impossible in single emission QDs or dual emission-visible QDs. In simple core/shell PbS/CdS QDs double emission has been reported in very few cases.^{16,21} In these QDs the long wavelength emission was attributed to the PbS core and the short wavelength was assigned to trap emission of the CdS shell. However, this trap-related emission is highly unstable: such instability makes it almost impossible to precisely control the double emission, and inhibits any possible exploitation for practical applications. In addition, bandgap emission from the shell is very hard to achieve and has never been reported in NIR core/shell systems, due to the presence of traps after long time/high temperature cation exchange reaction.

Here we report double color emission in “giant” PbS/CdS/CdS core/shell/shell asymmetric QDs optically active in the visible and close to NIR region, and describe the effect that underpins the double peak fluorescence. The “giant” QDs are synthesized through a successive ionic layer absorption and reaction (SILAR) technique, which allows us to coat the PbS pure QD with a CdS shell of variable thickness (Figure 1). For shells thicker than 3.5 nm, QDs begin to exhibit two distinct PL peaks, associated with the quantum confined PbS core and the bulk-like CdS shell emissions. We clearly identified an anisotropic growth of the QDs leading to an optically active PbS core, a tetrahedrally shaped Zb shell and a further asymmetric Wz shell responsible for emission. Zb and Wz shells exhibit precise crystallographic orientation relationships leading to the formation of strongly asymmetric QDs with Wz nanograins growing on some of the tetrahedron {111} faces of the inner CdS shell. By using ultrafast pump-probe spectroscopy and high resolution transmission electron microscopy (HR-TEM) we provide evidence of electron delocalization and of the presence of a Zb CdS layer between the

PbS core and the Wz CdS shell. Electron delocalization and blocking of the hole relaxation towards the core^{21,24} give rise to direct radiative recombination in the CdS shell.

2. Experimental

2.1 Materials

Lead chloride (98%), sulfur (100%), oleylamine (OLA) (technical grade, 70%), cadmium oxide (99%), oleic acid (OA), cardiogreen (IR 125), Rhodamine 6G and octadecene (ODE) were obtained from Sigma-Aldrich Inc. Hexane, toluene, dimethyl sulfoxide and ethanol were purchased from Fisher Scientific Company. All chemicals were used as purchased.

2.2 Synthesis

2.2.1 Synthesis of PbS QDs

PbS QDs were synthesized by using OLA or OA as ligands.¹⁶ Typically, PbCl₂ (3.6 mmol) in OLA (2.4 mL) and sulfur (0.36 mmol) in OLA (0.24 mL) were purged, respectively, by N₂ at room temperature for 30 min. The PbCl₂-OLA suspension was heated and kept at 160 °C for 1 hour. The PbCl₂-OLA suspension was cooled to 120 °C under vacuum for 15 min. The flask was then reopened and the N₂ flux was restored. Sulfur in OLA at room temperature was quickly injected into the PbCl₂-OLA suspension under vigorous stirring. The reaction cell was quenched with cold water after the growth reaction was conducted at 100 °C for 1–360 min to obtain PbS QDs of different sizes. Ethanol was added, and then the suspension was centrifuged and the supernatant was removed. The QDs were dispersed in toluene.

2.2.2 Synthesis of PbS/CdS QDs by two-step cation exchange

PbS/CdS QDs with a thin shell were synthesized via a cation exchange method.^{15,16} Typically, CdO (2.3 mmol), OA (2 mL) and ODE (10 mL) were heated to 255 °C under N₂ for 20 min. The clear solution was cooled to 155 °C under vacuum for 15 min. The flask was then reopened and the N₂ flux was restored. PbS QDs suspension in toluene (1 mL, Absorbance = 3 at the first exciton peak) was diluted in 10 mL toluene, bubbled for 30 min and then immediately heated to 100–150 °C. The Cd/OA mixture was injected. The reaction cell was quenched with cold water after the growth reaction was conducted at 100 °C for 10 min to 120 min.

PbS/CdS QDs with a thick shell were synthesized via a two-step cation exchange procedure.¹⁶ In the first step, a thin shell was synthesized as described above. Without any purification, the reaction temperature was further increased to 240 °C and the reaction was allowed to proceed for 2 hours. The reaction was quenched by injection of cold toluene (-20 °C). Ethanol was added, and then the suspension was centrifuged and supernatant was removed. The QDs were dispersed in toluene.

2.2.3 Synthesis of “giant” PbS/CdS/CdS asymmetric QDs

Deposition of the CdS layer on PbS/CdS/CdS asymmetric QDs followed the procedure described in Dennis et al.^{31,32} Typically, in a 100 mL round-bottom flask, OLA (5ml), ODE (3 mL) and PbS/CdS QDs (7 mg in toluene) were degassed at 110 °C for 20 min. The reaction flask was re-stored with N₂ and the temperature

was further raised to 240 °C with stirring. The sulfur dispersed in ODE (1 mL, 0.2 M) was added dropwise and the mixture allowed to react for 90 min, followed by dropwise addition of 1 mL 0.2 M Cd(OA)₂ in ODE. The shell was further annealed for 120 min. All subsequent shells were annealed at 240°C for ~1.5 h following the injection of sulfur and ~2 h following dropwise addition of the Cd(OA)₂ in ODE. Sulfur/Cd(OA)₂ addition volumes for shell addition cycles 1-8 were as follows: 1, 1, 1.5, 1.5, 2, 2, 3, and 3 mL, respectively. Samples of the reaction solution were taken after 2, 4, 6 and 8 shells (0.5, 0.5, 1 and 5 mL, respectively). Ethanol was added, and then the suspension was centrifuged and the supernatant was removed. The QDs were dispersed in toluene. For the annealing reaction, the recipe was kept the same, only without the addition of Sulfur/Cd(OA)₂. The reaction was kept at 240 °C for 3 h.

2.3 Structural and optical characterization

The morphology of PbS@CdS QDs was determined using a JEOL 2100F transmission electron microscopy (TEM). Technical details on TEM measurements are described in the Supporting Information (SI). The Pb/Cd ratio was measured by using inductively coupled plasma optical emission spectrometry (ICP-OES) (Perkin Elmer Model Optima 7300 DV). The small angle XRD study of extensively purified PbS or PbS/CdS QDs was carried out with a Philips X'pert diffractometer using a Cu K_α radiation source ($\lambda = 0.15418$ nm).

The absorption spectra were acquired with a Cary 5000 UV-Vis-NIR spectrophotometer (Varian) with a scan speed of 600 nm/min. Fluorescence spectra were taken with a Fluorolog®-3 system (Horiba Jobin Yvon).

The PL lifetime of PbS cores in QDs in toluene was measured using a pulsed laser diode of 444 nm and fast multichannel scaler mode in the Fluorolog®-3 system. The PL lifetime of the CdS shell was measured in the time-correlated single-photon counting (TCSPC) mode with a 444 nm laser.

QY measurements for PbS or PbS/CdS/CdS QDs were carried out using Dye IR 125 as a reference. The QY of CdS shell was measured by using rhodamine 6G as a reference.

Transient transmission $\Delta T/T$ measurements were carried out by the pump-probe technique. We used a regeneratively-amplified Ti:Sapphire laser system delivering pulses with 150 fs duration, 500 μ J energy, at 1 kHz repetition rate and 800 nm central wavelength. More details are described in the SI.

3.1 Results and discussion

3.1 Synthesis and structure of PbS/CdS/CdS core/shell/shell QDs

PbS QDs were first synthesized according to procedures found in the literature, and were subsequently used to grow a shell via the cation exchange approach.^{15,16} Subsequently, a thick CdS shell was grown by a successive ionic layer absorption and reaction (SILAR) method at 240 °C under N₂ flow, similar to the reported approach for the synthesis of visible/NIR giant PbSe/CdSe/CdS QDs.^{31,32} So in the present study, a two-step cation exchange was used, followed by SILAR. First, we induce the growth of a thin CdS shell at low temperature, T_1 and then the growth of a thicker CdS shell at a higher temperature T_2 ($T_2 > T_1$). By using this approach we stabilize the PbS core during high-temperature

processing.¹⁶ During this process, the overall size of QDs does not change (see Figure 1 h, blue histogram and red histogram corresponding to Cyc 0). The as-synthesized CdS shell provides a strong protection to the PbS core against the Ostwald ripening during SILAR and allows us to attain “giant” PbS/CdS/CdS core/shell/shell (asymmetric) QDs with reasonably uniform particle size and morphology at high temperature (as high as 240 °C) (Figure 1).³⁰

exchange is not thick enough (thinner than 1.0 nm), Ostwald ripening of PbS QDs occurs during SILAR, giving rise to very broad QD size distributions and leading to a complete loss of the PL signal.¹⁶

The starting PbS/CdS core/shell QDs after the cation exchange (Cyc 0) have a PbS core diameter of ~1.2 nm and a CdS shell 1.7 nm thick. These values are obtained by considering the Pb/Cd molar ratio obtained by ICP-OES and the overall particle size as obtained by TEM, according to the procedure described in Ref. 16. We produced different SILAR cycles, and the TEM analysis (Figure 1 a–e, Table 1) reveals the linear increase of QD size. After 8 cycles (sample Cyc 8), the final diameter of the “giant” QDs was 12.1±0.5 nm, with an overall shell thickness of around 5.4±0.5 nm (Table 1). The PbS core size after SILAR in Table 1 was calculated by taking into account the Pb/Cd molar ratio obtained by ICP-OES before SILAR (Cyc 0), under the hypothesis that the PbS core does not shrink during SILAR. To confirm this hypothesis, the correct evaluation of the core size and the presence of Pb in the final QDs, we carried out ICP-OES after SILAR in the Cyc 8 sample. Pb was clearly detected in the spectra. We re-calculated the expected core size and shell thickness for these samples and we compared the results with the estimate obtained from the Pb/Cd molar ratio before SILAR and under the hypothesis of no-shrinking of the core during SILAR. The results are reported in Table S 1 and demonstrate a good agreement of the estimate before and after SILAR and confirm the hypothesis that the PbS core does not shrink during the growth of the shell.

Table 1. Size evolution of QDs during SILAR reaction, leading to the formation of “giant” QDs. Cyc 0 corresponds to PbS/CdS core/shell obtained after cation exchange, before SILAR. The overall size was measured by TEM. The core size was estimated according to Ref. 16. Shell thickness was estimated by combining the overall size from TEM and the core size. For Cyc 8 sample, a comparative analysis between these estimates and alternative measurements based on ICP-OES measurement after SILAR is reported in Table S1).

Sample	Overall size (nm)	Core size (nm)	Shell thickness (nm)	Lifetime (ns) @480 nm	Lifetime (ns) @690 nm
PbS	4.9 ± 0.2	4.9	0	---	---
Cyc 0	4.9 ± 0.3	1.2	1.8	---	1130 ± 30
Cyc 2	6.6 ± 0.7	1.2	2.7	---	1180 ± 30
Cyc 4	8.2 ± 0.5	1.2	3.5	13 ± 1	1190 ± 30
Cyc 6	10.4 ± 0.4	1.2	4.6	16 ± 1	1150 ± 30
Cyc 8	12.1 ± 0.5	1.2	5.4	17 ± 1	1140 ± 30

XRD (Figure 2) illustrates the structural evolution of the QDs during shell growth. PbS QD exhibits a rock-salt structure, as expected. The core/shell nanocrystals after cation exchange (0 cyc) show a Zb CdS-like pattern. The XRD pattern from the PbS core is still present, but difficult to detect, due to the very small PbS content (1.4% by volume, see Figure 1 (g)), which is close to the detection limit. After 2 cycles of CdS SILAR coating, new reflections appear, due to the CdS hexagonal Wz structure, which overlaps with the Zb. After 8 cycles of CdS coating, the PbS pattern almost completely disappears (PbS content is 0.1% in vol.) and the overall diffraction pattern is dominated by the two-phase crystal structure with hexagonal Wz and Zb structure (Figure 2). The obtained core/shell/shell QDs are inherently asymmetric, as demonstrated below.

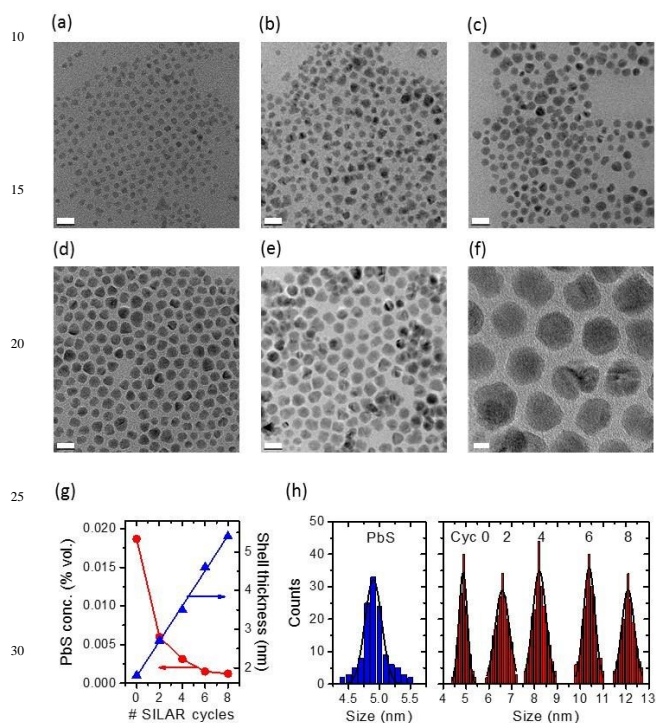


Figure 1. Size and size distribution of giant QDs. (a)-(f): TEM. (a) Representative TEM images of parent PbS/CdS QDs after cation exchange, before SILAR. (b)-(f): PbS/CdS QDs after coating with 2 (b), 4 (c), 6 (d) and 8 (e) SILAR cycles. (f) HR-TEM image of sample (e). Scale bar is 20 nm in (a)-(e) and 5 nm in (f). (g) Overall shell thickness and Pb volume concentration as a function of the number of SILAR cycles. (h) Size distribution of parent PbS QDs before cation exchange (blue) and of the core/shell QDs after different SILAR cycles. The solid lines are Gaussian fits of the experimental data.

Unlike the giant NIR InP/CdS QDs,³⁰ it was found that the growth of SILAR CdS shells on as-synthesized pure PbS/CdS QDs is very sensitive to the reaction temperature. In this respect, we carried out SILAR growth of the CdS shell at temperatures as low as 200 °C, to investigate the final shape of the crystals as a function of the growth temperature. Typically, at 240 °C, SILAR growth results in the presence of different grains inside the observed nanoparticles, and hexagonal and distorted polygonal projected shapes are found after 8 cycles (see Figure 1 f). Triangular, rectangular and rhombic projected shapes are found in nanostructures, when adding SILAR precursor at 200 °C, as shown in Figure S 1 a and b, consistent with previous reports for “giant” PbSe/CdSe/CdS QDs,³³ because the precursor may only grow along a preferential crystallographic direction. This may be entirely attributed to the kinetics of Cd and S atom deposition.³¹ At higher temperature (240 °C), crystal growth becomes less preferentially oriented. If the protective shell produced by cation

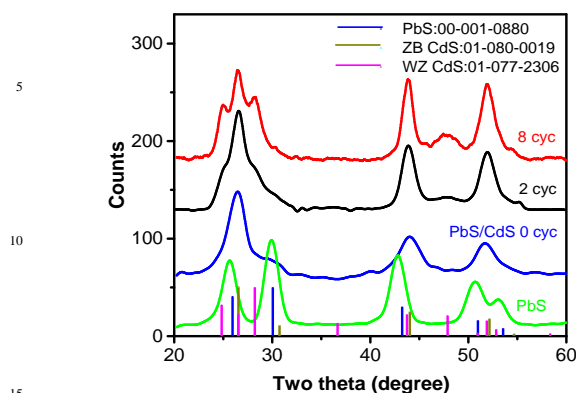


Figure 2. Structural evolution of the giant QD during the synthesis. XRD patterns of parent PbS (~4.9 nm in diameter) and PbS/CdS QDs after cation exchange (0 cyc), and after SILAR coating with 2 (black) and 8 (red) cycles. The JCPDS card files for PbS (001-0880, blue line) and CdS (01 080 0019, black for Zinc Blende and 01-077-2306, magenta for Wurtzite) are shown for identification.

To confirm these results and gain deeper insight into the observed structural modifications, we performed a detailed high-resolution electron microscopy (HREM) investigation of the QD structural evolution after different SILAR cycles. The results of this investigation are summarized in Figure 3 (and in Figure S 1c, Figure S 2 and Figure S 3 of the Supplementary Information). In the HREM micrograph reported in Figure 3 a, obtained on a sample after 8 SILAR cycles, two typical QDs are observed along a [110] Zb zone axis. The presence of two distinct CdS phases, i.e. the cubic Zb and the hexagonal Wz, is observed, thus confirming previous XRD findings (see also Figure S 3). However, the structure of these QDs appears to be ruled by precise crystallographic orientation relationships between the two CdS phases leading to a shape that can be hardly described by a simple core/shell/shell spherical model. In both particles observed in Figure 3 a, two Wz grains appear to have grown on the {111}Zb planes of a central Zb grain. The crystallographic orientation relationship between the two CdS phases are as follows:

$$\begin{aligned} \langle \bar{1}\bar{1}\bar{1} \rangle_{Zb} &\parallel \langle 0002 \rangle_{Wz} \\ \langle 220 \rangle_{Zb} &\parallel \langle 2\bar{1}\bar{1}0 \rangle_{Wz} \end{aligned}$$

The former relationship has been marked for both the Wz grains in the diffraction pattern reported in Figure 3b obtained on the upper particle in Figure 3a. The projected triangular shape of the central Zb grain as well as the fact that this phase is bound by {111}Zb planes suggest a tetrahedral shape for the cubic inner particles. To confirm this, we performed HREM and high-resolution scanning transmission electron microscopy (HR-STEM) investigations of the PbS/CdS QDs before any SILAR cycles. All the QDs were found to share the CdS cubic Zb structure (see Figure S 1c and Figure S 2 in the Supplementary Information for details).

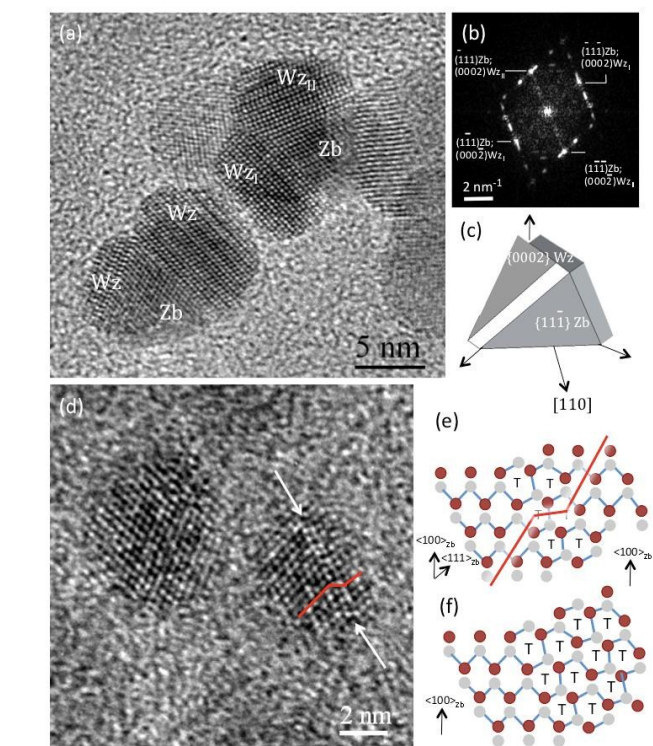


Figure 3. HREM investigation of the modifications of the PbS/CdS/CdS QDs structure after different SILAR cycles. (a) and (d) HREM micrographs of QDs after 8 and 0 SILAR cycles, respectively; (b) diffraction pattern acquired from the upper particle visible in (a); (c) schematic representation of the shape of the particles observed in (a); (e) and (f) schemes of the atomic structure of a stacking fault (SF) in the Zb structure and of the interface between Zb and Wz phases, respectively.

As to the formation of the Wz phase on the {111}Zb faces of the tetrahedral particles, observed in Figure 3 a after 8 SILAR cycles, a possible nucleation mechanism is suggested by a closer HREM investigation of the structure of single QDs prior to SILAR treatment. In Figure 3 d, a stacking fault on a {111}Zb plane is observed close to one of the tetrahedron's faces. In Figure 3 e and f, schemes of the atomic structure of this defect and of the interface between Zb and Wz are reported, respectively. The experimentally observed SF structure corresponds to that of an intrinsic SF that may result from vacancy agglomeration. A comparison of the schemes in Figure 3 e and f demonstrates the similarity between the defect core and the Zb-Wz interface suggesting that this defect may be the site for the nucleation of this phase onto the {111}Zb tetrahedron's faces. Nucleation and growth of highly asymmetric QDs is very important, because QDs presenting double color emission were typically supposed to be spherical,³⁴ and the role of asymmetry was never considered or reported in similar systems. Finally, an attempt to ascertain a difference in composition between the core and the external layers of these QDs by EDS X-ray microanalysis was not successful. In the EDS simulations reported in Figure S4, we show that the Pb signal should be just above the EDS detection limit only in the case the electron beam is exactly focused above the particle core. Thus the reason why this signal is not observed is most probably due to the lack of stability of the nanoparticle under intense electron beam irradiation during the tens of seconds needed to get a spectrum with sufficient statistics

(in some cases a rotation of the particles under the electron beam was observed). As detailed above, Pb detection required the use of ICP-OES.

Hetero-epitaxial nucleation occurs in the first stages of shell formation, leading to the presence of Zb CdS; subsequently the shell structure steadily switches to the hexagonal Wz, which is the typical phase for CdS growth at this temperature.^{21,30,32}

3.2 Origin of double emission in core/shell/shell QDs

The PL spectrum of the QDs exhibits a peak at around 690 which is very stable as confirmed through annealing the PbS/CdS sample at 240 °C after cation exchange (before SILAR) (see Figure S 5 a and b). After the cation exchange reaction, the PL peak of the core/shell/shell QDs shifts to lower wavelengths due to the shrinking of the PbS core (the first excitonic peak shifts from 1360 nm to 690 nm, near the IR region, see Figures 4a and S5 b).¹⁶ Further growth of the CdS shell induces enhanced absorption at wavelengths below 500 nm (see Figure 4 b). The (weak) absorption features related to the PbS core are still clearly present in the spectral range in which the PbS core is expected to be active (550 – 600 nm) (Figure S5c). PL spectra of all QDs, regardless of the shell thickness, exhibit a clear peak at around 690 nm, ascribed to radiative recombination in the PbS core, for any excitation condition. In the samples Cyc 6 and Cyc 8, another broad feature appears, centered at about 610 nm (Figure 4 c) and attributed to radiative recombination from CdS trap states that form during SILAR (see Figure S 6).^{16,21} This band is clearly detected, when exciting at 430 nm, yet it is not present when the system is pumped at 520 nm (Figure 4 d). In that case, no difference is detected, and only the PL peak at 690 nm is visible in all the samples of the Cyc 0 – Cyc 8 series (Figure 4 d), corroborating the hypothesis of defects linked to the CdS shell.

When pumping at 430 nm, QDs with thick overall shell (thicker than ~3.5 nm) exhibit two emission peaks (Figure 4 c). The PL spectrum can be well fitted using a two-component Gaussian, presenting two peaks located at 480 nm and 650 nm (Figure S7). This new emission peak at 480 nm is characteristic of CdS and we thus assign this PL to recombination in the CdS shell. When the pump beam is centered at 520 nm this peak does not appear (Figure 4 d), regardless of the shell thickness. The same behaviors can be observed for various excitation wavelengths (Figures 4 g and h). We measured the PL spectra and PL excitation (PLE) spectra for samples of Cyc 0 and Cyc 8 as shown in Figure 4 g, h and i. The PL spectrum shows two distinct peaks when excited at 400 nm, 430 nm and 460 nm for Cyc 8, while it exhibits only one peak in Cyc 0. The PLE spectra (red and green line in Figure 4 i) of the two PL peaks of cycle 8 suggest that the long PL peak is associated with the core and the short wavelength one is associated with the shell. The PLE of the long wavelength PL peak follows the absorption spectrum of the sample very well at the wavelength range >480 nm and shows significantly lower intensity at wavelengths <480 nm, where the absorption of the CdS resides. Instead, for Cyc 0, the PLE signal follows the absorption spectrum in the full range, without any interference of CdS absorption.

The lifetime of the two emission bands was investigated through transient PL spectrometry under excitation at $\lambda_{ex}=444$ nm, by focusing on emission at 690 nm and 480 nm (Figure 4 e and f, respectively). For all the samples, the decay curves of the

PL peak centered at 690 nm of QDs are well fitted by a three-component decay. As reported in Ref. 31, due to the multi-exponential nature of the PL decay in our heterostructures, it is difficult to assign a physically meaningful average lifetime based on the linear combination of an arbitrary number of single-exponential decays, nevertheless we considered the results of the fitting procedure to obtain more insight in the physical processes. A detailed analysis of lifetimes of the emission bands at 480 nm and 690 nm is reported in Table S2. The intensity-weighted average lifetime $\langle\tau\rangle$ is estimated as reported in Eq. S1, according to the literature.^{33,36} The final results are reported in Table 1.

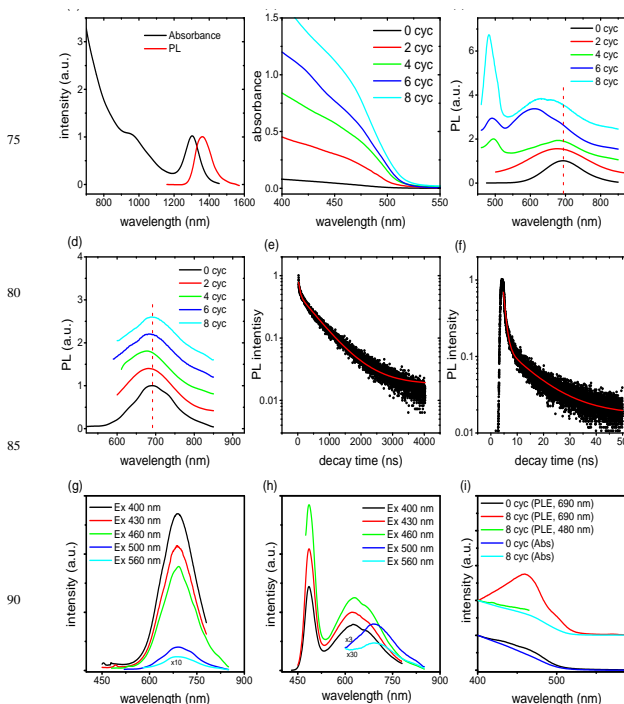


Figure 4. Optical properties of the giant QDs. (a) Absorption and PL spectra of the pure PbS QDs before cation exchange. Absorption (b) and PL (c and d) spectra of the PbS/CdS/CdS core/shell/shell QDs after different cycles of CdS shell growth. The excitation position is set at 430 nm in (c) and 520 nm in (d). (e) and (f): Fluorescence decays of QDs in toluene for sample Cyc 8, when the emission position was fixed at (690 ± 15) nm (e) and at (480 ± 7) nm (f), respectively. The excitation wavelength is $\lambda_{ex}=444$ nm. The red curves are the fitting of the experimental data using a three-component exponential decay. The measurements were carried out at room temperature. All the samples have the same concentration for fair comparison of the relative absorption and emission intensity. PL spectra of the QDs with (g) cycle 0 and (h) cycle 8 with tunable excitation wavelength. (i) Absorption and PLE are shown for the cycle 0 and cycle 8 samples.

We focus first on the emission at around 690 nm. The lifetime of this band is around (1.12 ± 0.03) μ s for sample Cyc 0, in agreement with previously reported data for PbS QDs.^{16,22,35-37} The long lifetime component (1.3-1.7 μ s) corresponds to the typical values for PbS nanocrystals with the effects of dielectric screening,³⁷⁻⁴⁰ and it can be thus assigned to radiative recombination through the PbS bandgap. The shorter lifetime component (in the range 40 - 100 ns) cannot be easily attributed, as extensively reported in previous work.³⁷⁻⁴⁰ The lifetime component of the order of several hundred nanoseconds may be

due to the contribution from CdS trap-related radiative recombination, possibly also responsible for the band at around 610 nm in Cyc 6 and Cyc 8 samples, in very good agreement with a very recent paper on double-emission CdS QDs (see Figure S6).⁴¹ This strengthens the assignment of trap bands at around 610 nm. All the core/shell/shell QDs show a reduction in both the average lifetime and in the longest component of the lifetime with respect to the pure PbS QDs, most probably due to the increased contribution of non-radiative recombination because of the presence of traps/defects forming during shell growth.^{41,42} This conclusion is consistent with the reduction of QY during the SILAR process (Table S2) and with analogous results in the literature.³¹ The decrease in QYs induced by SILAR implies that PL decay is dominated by non-radiative processes. Bearing all considerations in mind we can finally conclude that the emission at long wavelength is due to long living radiative recombination in PbS dots, with a short living contribution from CdS trap states.

The emission peak at 480 nm is close to the reported band gap energy of bulk Wz and Zb CdS (488 nm, and 496 nm, respectively).^{21,43,44} The absorption spectrum (Figure 4b) shows a knee at about 470 nm corresponding to a quantum confined CdS. In addition, the emission peak width is very narrow (~25-35 nm), the lifetime is well fitted by a bi-exponential decay (Figure 4f), and yields an average lifetime of ~(13-17) ns (see Table 1), which is in the typical range of CdS emission.^{45,46} The two lifetime components (around 10~18 ns and 3 ns, respectively) are within the range typically reported for CdS QDs.^{41,46,47} In particular, the shorter lifetime (3 ns) is attributed to the initially populated band-edge state, while the longer-lifetime (16~18 ns) is attributed to the involvement of surface states in the carrier recombination process.⁴⁸ All these features suggest the attribution of the peak at 480 nm to the band edge emission of the CdS shell.

The formation of the shell induces two different processes, which affect the PL lifetime in different ways. The first is the possibility of electron delocalization through the whole QD, which would lead to an increased lifetime of radiative recombination processes, the second is the formation of traps, which implies a reduction of the lifetime through the activation of other trap-mediated relaxation processes.

To gain a clear picture of all physical processes involved in PL, we performed pump-probe experiments with ~100 fs time resolution so as to assess directly the electron-hole dynamics in double emission QDs. We probed the visible spectral region with the pump tuned at various photon energies, chosen to selectively excite the whole system (PbS and CdS) or only the PbS and then follow the exciton dynamics. The CdS bandgap, as mentioned above, is at around 2.65 eV (470 nm). The assignment of the bandgap of the PbS is not as trivial for giant QDs, from the absorption spectrum (Figure S5c), as already mentioned, as we identify a PbS broad feature around (550-600 nm). To give a more precise value we cannot use semi-empirical laws, which correlate the dot size with the bandgap finely reproducing experimental data for big dots (size larger than about 2 nm) but failing for small dots, because in this region the bandgap vs size dependence is highly nonlinear.^{49,50} According to the data reported in Table 1, we have a very small PbS dot, with a size of about 1.2 nm, so we expect a large bandgap, and we can estimate its value, even if with some uncertainty, from the few

experimental data reported in the literature. Using the data from Ref. 40 and 50, we expect a bandgap around 2.2 eV (560 nm) with a Stokes shift of about 420 meV. This last value, in turn, is in agreement with data reported in Ref. 40 and similar Stokes shifts are also reported in Ref. 51 and 52. On this basis, we can obtain insights on the level alignment between PbS and CdS by performing pump-probe experiments.

We excite the sample with different photon energies (3.1 eV – 400 nm, 2.64 eV – 470 nm and 2.38 eV – 520 nm) and we record the differential transient transmission $\Delta T/T$ at various pump-probe delays. The main feature which appears in all $\Delta T/T$ spectra is a photo-bleaching (PB) signal at around 490 nm, which denotes the presence of excited carriers in the CdS. This PB appears irrespectively of the pump wavelength (Figure 5 a and b): at high pump photon energies (higher than 2.64 eV, with wavelength lower than 470 nm) it is due to electrons and holes directly injected in the CdS, but at low pump photon energies (lower than 2.64 eV, with wavelength higher than 470 nm) holes are hardly promoted in CdS. In particular, with the pump tuned at 470 nm (2.64 eV), we inject electrons and holes close to the CdS bandgap, but with the pump tuned at 520 nm (2.38 eV), we excite only the PbS core, and holes cannot populate CdS states, because the valence band of CdS is at higher energies (Figure 5e). PB in this case is thus assigned to electrons delocalized along the shell. The PB can be well fitted by a two Gaussian component curve (Figure 5a), and considering the schematic of the energy levels of the system (Figure 5 e), we might ascribe the low wavelength component to the CdS Wz shell, and the high wavelength to the Zb layer. The PB shows a very fast rise time (200-400 fs) (Figure 5 c), a different evolution in the first picoseconds, due to electron-hole redistribution in the CdS, and a long living signal (Figure 5 d). The PB signal rises rapidly when pumping at low photon energies (2.38 eV), below the bandgap of CdS. Such a fast rise is to be correlated to an “instantaneous” population of CdS electron states, suggesting that the conduction band of the PbS and that of the CdS are almost aligned. So, even if we cannot access the exact value of the PbS bandgap and cannot quantify the confinement effect in the CdS shells, we can draw the important conclusion that the system shows a quasi-type II alignment. In Figure 5 e we sketch this, using reference parameters.

The PB signal decays (Figure 5d) on a time scale that exceeds the time interval that we probe (200 ps), with a time constant that we estimate to be in the ns range. Such long living feature is present for any pump photon energy and either at low or at high pump fluences (see Figure S 8 in the supporting information). This corresponds to long living excited electrons in the CdS, which can thus give rise to a PL signal at around 480 nm, by radiative recombination, when also holes are promoted in the CdS. In our picture the presence of holes in CdS is possible only if the pump photon energy is tuned to energies higher than 2.64 eV (wavelength lower than 470 nm). Nevertheless also in these conditions holes should relax in the PbS valence band. The double emission previously observed reveals that some holes decay in the PbS, giving rise to radiative recombination at around 690 nm in the PbS, and some holes remains in the CdS, giving rise to PL at around 480 nm. Such hole behavior can be ascribed to a blocking of relaxation towards the core due to a hole-

blockade effect, as in dot-in-bulk CdSe/CdS QDs,^{21,53} that show a similar potential landscape in the valence band, or to saturation of the core transition, as in dot-in-rod CdSe/CdS QDs.²⁴ On the other hand, when exciting with low energy pump photons (with energy below 2.64 eV and wavelength higher than 470 nm) we observe only PL from PbS, as in this case holes remains confined in core. We can thus conclude that the scheme of figure 5e correctly describes the dual emission of the QDs, regardless of the uncertainty on the energy values.

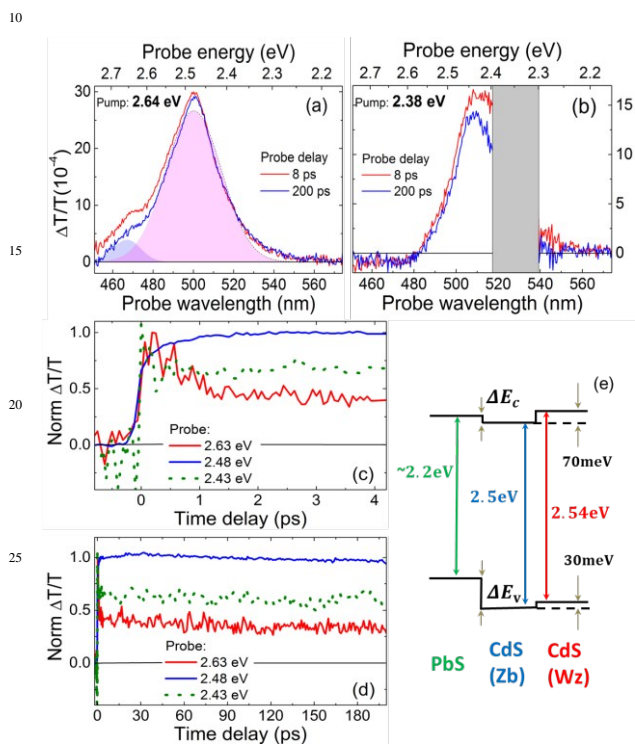


Figure 5. $\Delta T/T$ for the Cyc 8 sample. (a,b) $\Delta T/T$ spectra at different pump-probe delays: (a) pump at 2.64 eV (470 nm), absorbed fluence of $1.3 \mu\text{J}\cdot\text{cm}^{-2}$, dashed line is the fit of the blue spectrum with the two Gaussian components (violet and pink areas); (b) pump at 2.38 eV (520 nm), absorbed fluence of $1.8 \mu\text{J}\cdot\text{cm}^{-2}$ (the gray region is due to scattering of the pump beam). (c,d) Corresponding normalized $\Delta T/T$ dynamics at different pump and probe wavelength; red and blue solid line: pump at 2.64 eV (470 nm), probe at 2.63 eV (472 nm) and 2.48 eV (500 nm) respectively; green dotted line: pump at 2.38 eV (520 nm), probe at 2.43 eV (510 nm). (e) Scheme of the energy level diagram using bulk parameters for CdS Zb and Wz and for PbS data discussed in the text with inversely enlarged energy bands according to the effective masses (in m_0 units $m_n^* = m_e^* = 0.085$).

In $\Delta T/T$ spectra we also observe a weak broad band of photo-induced absorption (PA), at probe wavelengths higher than 570 nm (photon energies below 2.18 eV), when the excitation is tuned at high photon energies (above 2.64 eV), with high pump fluences (Figures S7 b and d). The PA spectrum is almost featureless, extending over all our probed region (up to 750 nm), and the signal is long lasting (over 200 ps). This signal can be ascribed to PA from defects related to the CdS trap states.

Conclusions and Perspectives

In conclusion, we demonstrated double color emission in core/shell/shell asymmetric giant QDs composed of a PbS core, a CdS Zb intermediate shell and an additional CdS Wz shell. The growth of the Wz phase with precise crystallographic orientation with respect to the Zb phase leads to the formation of highly asymmetric QDs, in which the Wz phase is spatially separated from the PbS core, decreasing the probability of hole localization. We showed that the systems have a quasi-type II structure: excited electrons are delocalized throughout the QD regardless of the pump conditions and for high energy pump photons, holes partially relax in the core, and partially remains in the CdS valence band. The double PL characteristics (emission wavelengths and lifetime) together with the proposed band alignment match very well with emission from quantum confined PbS core (close to NIR region) and CdS Wz (in the visible), which can take place in ultra large shells, several nanometers thick. These results pave the way to new strategies for the synthesis of double color emitting nanocrystals, based on nucleation and growth of selected crystal phases. We also demonstrated the possibility of fine tuning the electronic band structure in heterostructures active in the visible/NIR region, which regulates color emission. This approach may be applied to other heterostructured nontoxic semiconductors in a suitable temperature and composition range, such as Si, Ge, CuInS QDs. The next steps for breakthrough developments in the field rely on the control of the interface structure between the different parts of the system: transition from single-to-double color emission QDs is possible, as proven for QDs active in the visible,²¹ or multi-color giant QDs can be synthesized. In addition, these results represent a major advance for practical applications in biology, biochemistry and nanothermometry,^{54,55} where optical detection at the nanoscale, color monitoring/modulation and control in the visible/NIR region is a key challenge, as we have recently demonstrated.⁹

Author contributions

The manuscript was written through contributions (conceptual, experimental, theoretical) of all authors. All authors have given approval to the final version of the manuscript.

Additional information

The authors declare no competing financial interests.

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