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Precise and reversible band gap tuning in single-layer MoSe² by uniaxial strain

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ABSTRACT. We present photoluminescence (PL) spectroscopy measurements of single-layer MoSe₂ as a function of uniform uniaxial strain. A simple clamping and bending method is described that allows for application of uniaxial strain to layered, 2D materials with strains up to 1.1% without slippage. Using this technique, we find that the electronic band gap of single layer $MoSe₂$ can be reversibly tuned by -27 ± 2 meV per percent of strain. This is in agreement with our density-functional theory calculations, which estimate a modulation of -32 meV per percent of strain, taking into account the role of deformation of the underlying substrate upon bending. Finally, due to its narrow PL spectra as compared with that of MoS_2 , we show that $MoSe_2$ provides a more precise determination of small changes in strain making it the ideal 2D material for strain applications.

INTRODUCTION

The two-dimensional (2D), transition metal dichalcogenides (TMDC) have attracted considerable attention in recent years.^{[1-5](#page-10-0)} While bulk semiconductors are quite brittle and typically break under strains larger than 1%, 2D semiconductors can withstand deformations one order of magnitude larger before rupture[.](#page-10-1)⁶ This large breaking strength has increased the interest in controlling the electrical and optical properties of atomically thin semiconductors by strain engineering. In the past few years many

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theoretical works have been devoted to the study of the role of strain on the electronic properties of semiconducting transition metal dichalcogenides.^{[7-18](#page-10-2)} Very recently, experimental works employing uniform uniaxial, uniform biaxial, and local uniaxial deformations have determined the role of mechanical strain on the electronic properties of atomically thin MoS_2 , WSe_2 , and $ReSe_2$ but the strain tunability of other members of the dichalcogenides family remains unexplored.^{[19-24](#page-11-0)} In particular, comparisons between two materials with a simple change in the metal (M vs. W) or chalcogenide atom (S vs. Se) have not been reported.

Here we employ photoluminescence (PL) spectroscopy to probe the changes in the electronic band structure of atomically thin $MoSe₂$ by uniaxial strain. Through a simple clamping and bending technique, we measure reproducible strains in $MoSe₂$ up to 1.1%. Additionally, we directly compare the photoluminescence spectra of $MoSe₂$ to that of $MoS₂$ to show that the narrow photoluminescence peak (smaller full width half maximum) allows for higher accuracy in determining small changes in strain. Upon uniform application of strain, we find that the energy of the direct band gap reduces linearly with strain by -27 \pm 2 meV per percent of strain for single-layer MoSe₂. We carry out density-functional calculations to further support our experimental findings. By taking into account the Poisson's ratio of the underlying substrate, we calculate a band gap shift of -32 meV per percent of strain.

Sample Fabrication and bending apparatus

Slippage of the flakes during the straining/relaxing cycles is a well-known problem in uniaxial straining experiments on 2D materials that severely affects the reproducibility of the results. In conventional substrate bending experiments on graphene or $MoS₂$, strain levels of ~1% can be reliably achieved without suffering from slippage. Clamping the flake to the substrate with deposited metal electrodes has proven to be an effective method to solve the slippage issue to a great extent. In fact, Conley et al. have shown reliable uniaxial straining of $MoS₂$ up to 2.2% by evaporating metallic bars

onto the flake.^{[21](#page-11-1)} However, this method typically requires extra steps of cleanroom fabrication. If a shadow mask is employed instead of lithography, a careful alignment of the mask is still needed.

In this work we fabricate single-layer MoSe₂ samples by mechanical exfoliation of bulk crystals onto a thin polydimethylsiloxane (PDMS) substrate (Fig. 1(a)). Subsequently, the face of the PDMS substrate containing thin flakes is gently placed, face-down, onto a flexible polycarbonate substrate, sandwiching the flakes between the two layers. Special care is taken to place the small PDMS film on the central part of the polycarbonate strip to prevent built-in strain before measurements and for an accurate determination of the applied strain. The PDMS film acts as a clamp to secure the flake during straining. Figure 1(b) shows a transmission mode optical image of a MoSe₂ flake sandwiched between the PDMS and polycarbonate substrates (see inset of Fig. 1(b)). Single-layers can be easily distinguished from multilayer counterparts because of their strong photoluminescence yield due to the direct band gap nature of monolayer $MoSe₂$ in contrast to multilayered flakes that are indirect gap semiconductors. See the Supplemental Information (SI) for a comparison between the photoluminescence spectra of the single layer portion of this flake (top-most part) and the bilayer region (directly below the single layer). The polycarbonate substrate is then loaded into a custom made, two-point bending apparatus shown in Fig. 1(c) and secured between two screw-posts. The moveable plateaus of the apparatus (arrow at the right-side of in Fig. 1(c)) allow full control over the bend of the polycarbonate substrate. Given the thickness (*t*) of the substrate (0.8 mm) and an estimation of the radius of curvature (*R*) for a particular strain (see SI for details), the strain can be estimated by $\epsilon = t/2R$.^{[21,](#page-11-1) [25](#page-11-2)}

Results

Figure 2(a) shows PL spectra measured on monolayer M_0S_2 and M_0S_2 flakes for direct comparison at strain levels of 0% and 1%. The spectra acquired for the relaxed $MoSe₂$ and $MoS₂$ samples (red curves in Figure 2(a) and inset of 2(a), respectively) agree with those reported in the literature.^{[4,](#page-10-3) [26-28](#page-11-3)} Specifically, the prominent peak at 662 nm (782 nm), determined from a Lorentzian fit, corresponds to a

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direct transition at the K point, giving an optical band gap of 1.59 eV (1.87 eV) for MoSe₂ (MoS₂).^{[4,](#page-10-3) [26-28](#page-11-3)} It can be seen that PL peak for MoS_2 (FWHM of 46 nm) is much broader than that of the $MoSe_2$ peak (FWHM of 22 nm). Upon increasingly higher uniaxial strain of 1% the PL peaks shift towards lower energy (red shift). As the exciton binding energy in transition metal dichalcogenides is expected to be nearly independent of the uniaxial strain^{[29](#page-11-4)} this shift of the PL emission can be directly correlated with a reduction of the band gap in the monolayer flakes. While the shift in the PL peak for $MoSe₂$ is quite clear, shifting more than one FWHM, that for $MoS₂$ is relatively smaller compared with the width of the peak. This suggests $Mose₂$ as a superior material in strain applications where precise measurements of small variations in strain are required.

In order to verify that slippage is not affecting the measurements, we subjected a characteristic single layer MoSe₂ device to several straining/relaxing cycles. Figure 2(b) shows the peak center, from a fit, for the MoSe₂ flake for repeated cycles of straining and relaxing. The PL emission reproducibly shifts from ~782 nm a uniaxial tensile strain of 0% to higher wavelengths for strains of 0.7%, 0.9%, and 1.1%. Between each cycle, the PL emission peak always comes back to the same value indicating that the flake does not slip during the measurement. By repeating this measurement at increasingly high strain levels, we determine the threshold strain value before slippage starts to play an important role. We have found that for strains higher than 1.1 % these measurements are not reproducible anymore and thus we are limited to a range of strains below this threshold.

We now turn to the change in the band gap of single-layer $MoSe₂$ for given strains up to 1.1% using the described bending apparatus. Figure 3(a) shows the shifts in the PL emission peak for a single-layer MoSe₂ flake for progressively increasing strain levels. The PL emission steadily shifts toward lower energies, indicating a reduction of the band gap for higher strains. Figure 3(b) shows the change in the band gap energy for two devices. Device 1 corresponds to the PL spectra in Figure 3(a). The change in the band gap per % of strain is extracted from the slope of a linear fit to the data for both devices. We

measure a change of -27 ± 2 meV in the bad gap energy per percent strain. While reported values for the band gap change in MoS₂ are higher (~45 meV)^{[21](#page-11-1)}, as pointed out earlier, the peak widths are broader making small variations in strain difficult to resolve. The normalized PL peak intensity is plotted as a function of strain in the inset of Figure 3(b). We note that the peak intensity is modulated with strain but a clear direct-to-indirect bandgap transition, as reported for $M_0S_2^{21}$ $M_0S_2^{21}$ $M_0S_2^{21}$, could not be resolved. Johari and Shenoy suggest that such a transition would occur at strains of a factor of 2 higher than those required in $MoS₂$ because of the diffuse nature of the heavier chalcogenide atoms $(Se)^{13}$ $(Se)^{13}$ $(Se)^{13}$ [.](#page-11-5) Given the observed transition for MoS₂ at 1.3% strain^{[21](#page-11-1)}, we would expect to observe the direct-to-indirect transition at strains of roughly 2.6% which is larger than the achievable strains here.

We have employed Density-Functional Theory (DFT) to calculate the band structure of monolayer MoSe² at different strain levels (see Materials and Methods for details). Figure 3(c) shows the band structure for single-layer $MoSe₂$. We calculate a band gap of 1.35 eV including spin-orbit coupling. This value, lower than our experimentally measured value of 1.58 eV, is expected as the PBE functional is well known to underestimate the band gap energy. However, our comparative conclusions of the strained systems hold, as the band gap underestimation due to the PBE functional is the same in all cases studied here. Figure 3(d) shows the band structure at a strain of 1.5% for armchair and zigzag strain directions which show similar changes in the band gap (see SI for band gap values at strains from 0% to \sim 2% for uniaxial strain in both directions and biaxial strain). We calculate a linear change in the band gap of -47 and -48 meV per percent of strain for the armchair and zigzag directions, respectively. Considering this deviation from the experimental shift of -27 meV per percent of strain, it is important to note the strain properties of the polycarbonate substrate itself. The polycarbonate substrate has a Poisson's ratio of $\sim 0.37^{30}$ $\sim 0.37^{30}$ $\sim 0.37^{30}$ at room temperature which means that an application of 1 % strain along the long side of the substrate (see Figure 1(c)) results in a contraction of 0.37 % along the short side. A contraction leads to an increase in the band gap (See SI for band gap change with compression and strain

along the armchair axis) Taking this effect into account and applying a perpendicular contraction of 0.37% for 1% uniaxial strain results in a linear band gap shift of -32 meV per percent strain (see SI for linear trend), improving substantially the agreement with the experimental result above.

Conclusion

In summary, we have observed a red-shift of the PL emission of single-layer $MoSe₂$ subjected to uniform uniaxial tensile strain, corresponding to a strain modulation of the bad gap. A simple technique is described to clamp the single-layer flakes to a bendable polycarbonate substrate and apply reproducible strains up to 1.1% without flake slippage. We find that the PL peak of MoSe₂ is much sharper than $MoS₂$ suggesting that the material would be better suited for applications of precise bandgap tuning. The experimental strain tunability of monolayer MoSe₂ is found to be -27 \pm 2 meV per percent of strain. The measured shift of the PL upon uniaxial strain is in good agreement with DFT calculations that predict a reduction of the band gap of -32 meV per percent of strain taking into account the Poisson's ratio of the underlying substrate. The possibility to tune the PL emission in combination with the bright and narrow PL peak of single-layer MoSe₂ opens opportunities to use this material for tunable optoelectronic applications.

Materials and Methods

Synthesis and characterization: Bulk MoSe₂ material was grown by the vapor phase transport method.^{[31](#page-12-1)} X-ray diffraction was performed to confirm the 2H- polytype of the MoSe₂ single cyrstals.^{[27](#page-11-6)} Raman spectroscopy (not shown) and photoluminescence measurements were performed (Renishaw in via) in a backscattering configuration excited with a visible laser light (λ = 514 nm). Spectra were collected through a 100× objective and recorded with a 1800 lines mm⁻¹ grating providing a spectral resolution of ∼1 cm−1. To avoid laser-induced heating and ablation of the samples, all spectra were

recorded at low power levels P∼500 μW and short integration times (∼1 s). Photoluminescence measurements however require longer integration times (∼60–180 s).

Calculations: All calculations were carried out using density-functional theory (DFT) with the PBE^{[32](#page-12-2)} exchange-correlation functional, with London dispersion corrections as proposed by Grimme^{[33](#page-12-3)} and with Becke and Johnson damping (PBE-D3(BJ)) as implemented in the ADF/BAND package.^{[34,](#page-12-4) [35](#page-12-5)} Local basis functions (numerical and Slater-type basis functions of valence triple zeta quality with one polarization function (TZP)) were adopted, and the frozen core approach (small core) was chosen. All calculations included the scalar relativistic corrections within the Zero Order Regular Approximation $(ZORA)$ ^{[36-39](#page-12-6)} We have fully optimized the MoSe₂ monolayer (atomic positions and lattice vectors). The optimized lattice parameter of $a = 3.322$ Å was obtained for the hexagonal representation, in a good agreement with experimental data ($a = 3.288$ Å)^{[40](#page-12-7)}. The atomic positions of MoSe₂ monolayer were further reoptimized for a given uniaxial or biaxial tensile strain. Electronic band gaps were obtained both from the ZORA calculations as well as from the simulations with the spin-orbit coupling (SOC). The *k*-point mesh over the Brillouin zone was sampled according to the Wiesenekker-Baerends scheme.^{[41](#page-12-8)} where the integration parameter was set to 5, resulting in 15 *k*-points in the irreducible wedge. The calculated band gap of $MoSe₂$ monolayer is 1.46 and 1.35 eV from the ZORA and SOC calculations, respectively.

FIGURES

FIG. 1. (a) A viscoelastic (PDMS) stamp with exfoliated $MoSe₂$ is placed upside down onto a polycarbonate (PC) substrate to create a PC-MoSe₂-PDMS stack. (b) Optical transmission image of a MoSe² flake sandwiched between PC and PDMS. The inset shows a cartoon of the sandwich for a single-layer flake. (c) Optical image of the apparatus used to bend the sandwich and apply strain to the MoSe₂ flake.

FIG. 2. (a) PL spectra for a single layer MoSe₂ flake at 0% and 1% strain. The inset shows the PL spectra for a single-layer MoS_2 flake at 0% and 1% strain. Note that the wavelength scale is the same width for both plots showing clearly the difference in the FWHM between the $MoSe₂ PL$ peak and the MoS₂ PL peak. (b) Center of the PL peak for single-layer MoSe₂ as a function of strain for several straining cycles. PL shifts for strains up to 1.1% are reproducible using the simple clamp and bend apparatus in Figure 1(c).

FIG. 3. (a) PL spectra for a single-layer $MoSe₂$ flake for increasing strains up to 1.1%. (b) Change in band gap for two single-layer samples (device 1 is from the spectra in (a)). The inset shows the normalized PL peak intensity for device 1 (black squares) and device 2 (red circles). (c) Calculated band structure of single-layer $MoSe₂$ including spin-orbit coupling at 0% strain and (d) at 1.5% uniaxial strain.

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