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# Medium-Sized Au<sub>40</sub>(SR)<sub>24</sub> and Au<sub>52</sub>(SR)<sub>32</sub> Nanoclusters with Distinct Gold-Kernel Structure and Spectroscopy Features

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## Abstract

We have analyzed structures of two medium-sized thiolate-protected gold nanoparticles (RS-AuNPs) Au<sub>40</sub>(SR)<sub>24</sub> and Au<sub>52</sub>(SR)<sub>32</sub> and identified the distinct structural feature in their Au kernels [*Sci. Adv.*, 2015, **1**, e1500425]. We find that both Au kernels of the Au<sub>40</sub>(SR)<sub>24</sub> and Au<sub>52</sub>(SR)<sub>32</sub> nanoclusters can be classified as the interpenetrating cuboctahedra. Simulated X-ray diffraction patterns of the RS-AuNPs with the cuboctahedra kernel are collected and then compared with the X-ray diffraction patterns of RS-AuNPs with two other prevailing Au-kernels identified from previous experiments, namely, the Ino-decahedral kernel and icosahedral kernel. The distinct X-ray diffraction patterns of RS-AuNPs with the three different types of Au-kernels can be utilized as

signature features for future studies of structures of RS-AuNPs. Moreover, the simulated UV/Vis absorption spectra and Kohn-Sham orbital energy-level diagrams are obtained for the  $\text{Au}_{40}(\text{SR})_{24}$  and  $\text{Au}_{52}(\text{SR})_{32}$ , on basis of the time-dependent density function theory computation. The extrapolated optical band-edges of  $\text{Au}_{40}(\text{SR})_{24}$  and  $\text{Au}_{52}(\text{SR})_{32}$  are 1.1 eV and 1.25 eV, respectively. The feature peaks in the UV/Vis absorption spectra of the two clusters can be attributed to the  $d \rightarrow sp$  electronic transition. Lastly, catalytic activities of the  $\text{Au}_{40}(\text{SR})_{24}$  and  $\text{Au}_{52}(\text{SR})_{32}$  are examined using the CO oxidation as a probe. Both medium-sized thiolate-protected gold clusters can serve as effective stand-alone nanocatalysts.

## Introduction

Thiolate-protected gold nanoclusters (RS-AuNPs) have received considerable attention over the past decade owing to their unique structures and physicochemical properties compared with other types of gold nanoparticles,<sup>1-7</sup> and potential applications in catalysis, nanotechnology, biomedicine, among others.<sup>8-11</sup> To date, the total atomic structures of a number of RS-AuNPs, including  $\text{Au}_{18}(\text{SC}_6\text{H}_{11})_{14}$ ,<sup>12</sup>  $\text{Au}_{20}(\text{TBBT})_{16}$  (TBBT = SPh-p-<sup>t</sup>Bu),<sup>13</sup>  $\text{Au}_{24\pm 1}(\text{SAdm})_{16}$ ,<sup>14</sup>  $\text{Au}_{25}(\text{PET})_{18}$ <sup>-1/0</sup> (PET = phenylethylthiolate  $\text{SCH}_2\text{CH}_2\text{Ph}$ ),<sup>15-17</sup>  $\text{Au}_{28}(\text{TBBT})_{20}$ ,<sup>18</sup>

$\text{Au}_{30}\text{S}(\text{S-t-Bu})_{18}$ ,<sup>19</sup>  $\text{Au}_{36}(\text{TBBT})_{24}$ ,<sup>20</sup>  $\text{Au}_{38}(\text{PET})_{24}$ ,<sup>21</sup>  $\text{Au}_{102}(\text{p-MBA})_{44}$   
 (p-MBA = p-mercaptobenzoic acid,  $\text{SC}_7\text{O}_2\text{H}_5$ ),<sup>22</sup>  $\text{Au}_{130}(\text{p-MBT})_{50}$   
 (p-MBT = para-methylbenzenethiol),<sup>23</sup> and  $\text{Au}_{133}(\text{TBBT})_{52}$ ,<sup>24, 25</sup> etc. have  
 been successfully resolved via X-ray crystallography. Among these  
 clusters,  $\text{Au}_{102}(\text{p-MBA})_{44}$  and  $\text{Au}_{25}(\text{PET})_{18}$ <sup>-1/0</sup> were the first two  
 crystalized and characterized in 2007 and 2008, respectively. The total  
 structure determination for  $\text{Au}_{102}(\text{p-MBA})_{44}$  and  $\text{Au}_{25}(\text{PET})_{18}$ <sup>-1/0</sup> provides  
 profound insights into many new characteristics such as the gold–sulfur  
 bonding, the atomic packing structure in RS-AuNPs, the staple motifs of  
 ligands, as well as some generic rules in Au distribution among the  
 Au-kernel and ligands. Until now,  $\text{Au}_{133}(\text{TBBT})_{52}$  is the largest  
 ligand-covered gold cluster whose crystal structure has been obtained.

Although tremendous progress has been made in structural  
 determination of RS-AuNPs from small to large-sized via X-ray  
 crystallography, the crystal structures in the medium-size range from  
 $\text{Au}_{38}(\text{PET})_{24}$  to  $\text{Au}_{102}(\text{p-MBA})_{44}$  are largely unknown. The lack of crystal  
 structures of medium-sized thiolate-protected gold clusters hinders the  
 understanding of structure-property relationship for many known stable  
 clusters, such as  $\text{Au}_{44}(\text{TBBT})_{28}$ ,<sup>26</sup>  $\text{Au}_{55}(\text{PET})_{31}$ ,<sup>27</sup>  $\text{Au}_{64}(\text{SC}_6\text{H}_{11})_{32}$ ,<sup>28</sup>  
 $\text{Au}_{67}(\text{PET})_{35}$ ,<sup>29</sup>  $\text{Au}_{75}(\text{SC}_6\text{H}_{13})_{40}$ ,<sup>30</sup>  $\text{Au}_{76}(\text{4-MEBA})_{44}$  (4-MEBA =  
 4-(2-mercaptoethyl) benzoic acid),<sup>31</sup> and  $\text{Au}_{99}(\text{SPh})_{42}$ ,<sup>32, 33</sup> all synthesized  
 or isolated in the laboratory. Recently, Azubel *et al.* applied the

single-particle transmission electron microscopy (SP-TEM) to determine the positions of 68 Au atoms without a single crystal of  $\text{Au}_{68}(\text{3-MBA})_{32}$ .<sup>34</sup> However, this SP-TEM measurement still requires theoretical input on the protection ligands to achieve total structure of the cluster.

Very recently, two medium-sized clusters,  $\text{Au}_{40}(\text{o-MBT})_{24}$  and  $\text{Au}_{52}(\text{TBBT})_{32}$ , are successfully crystallized by Zeng *et al.*<sup>35</sup> It was found that both nanoclusters are composed of 4-atom tetrahedral units which can coil up into a Kekulé-like ring in the  $\text{Au}_{40}(\text{o-MBT})_{24}$  (o-MBT = ortho-methylbenzenethiol) cluster or a DNA-like double helix in  $\text{Au}_{52}(\text{TBBT})_{32}$ . In particular, the  $\text{Au}_{52}(\text{TBBT})_{32}$  cluster is a new species to the RS-AuNP family, while the  $\text{Au}_{40}(\text{o-MBT})_{24}$  cluster is akin to the known phenylethylthiolate-capped  $\text{Au}_{40}(\text{PET})_{24}$  cluster which is an intermediate in the synthesis of the  $\text{Au}_{38}(\text{PET})_{24}$  cluster.<sup>36, 37</sup> However, the marked differences in absorption spectrum indicate that  $\text{Au}_{40}(\text{o-MBT})_{24}$  and  $\text{Au}_{40}(\text{PET})_{24}$  have different structures.<sup>38</sup> The Kekulé-like ring in  $\text{Au}_{40}(\text{o-MBT})_{24}$  is completely different from the  $\text{Au}_{26}$  bi-icosahedral core in the  $\text{Au}_{40}(\text{PET})_{24}$  originally proposed by Malola *et al.*<sup>39</sup> The experimental work by Zeng *et al.* sheds new light on the total structures of RS-AuNPs within the range of  $\text{Au}_{38}(\text{PET})_{24}$  and  $\text{Au}_{102}(\text{p-MBA})_{44}$ .<sup>35</sup>

In this communication, we perform an in-depth kernels structure analysis of  $\text{Au}_{40}(\text{o-MBT})_{24}$  and  $\text{Au}_{52}(\text{TBBT})_{32}$ . We classify all known RS-AuNPs into three groups: (1) cuboctahedra, (2) icosahedra, and (3)

icosahedra. Calculated powder X-ray diffraction (XRD) results reveal different characteristic peaks for the Au-kernel structures in these groups, which can be useful to identify the structures of gold nanoparticles even when the crystal structure is lacking. In addition, computed optical absorption spectra of Au<sub>40</sub>(*o*-MBT)<sub>24</sub> and Au<sub>52</sub>(TBBT)<sub>32</sub> are found consistent with the experimental measurements. Lastly, the catalytic properties of both clusters are examined by using the CO oxidation as a probe.

### Computational methods

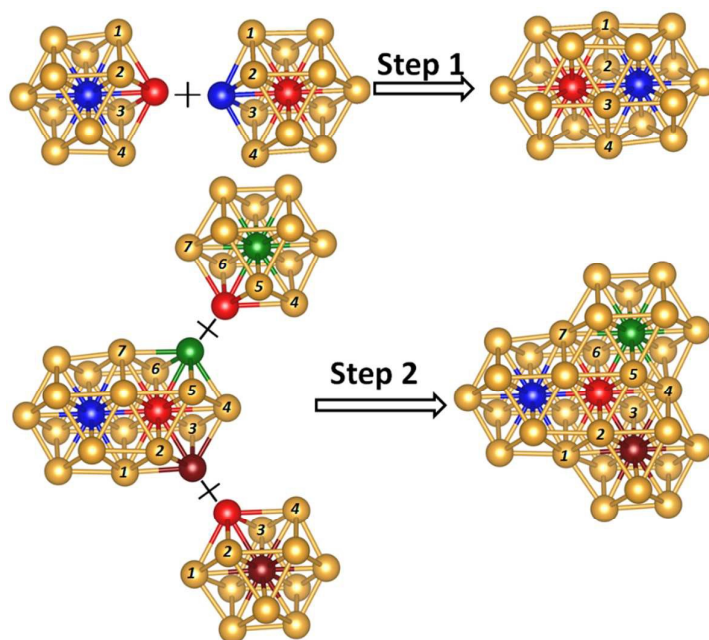
The theoretical powder X-ray diffraction (XRD) curve is calculated using the Debye formula:

$$I(s) = \sum_i \sum_{j \neq i} \frac{\cos \theta}{(1 + \alpha \cos(2\theta))} \exp\left(-\frac{Bs^2}{2}\right) f_i f_j \frac{\sin(2\pi d_{ij})}{2\pi d_{ij}},$$

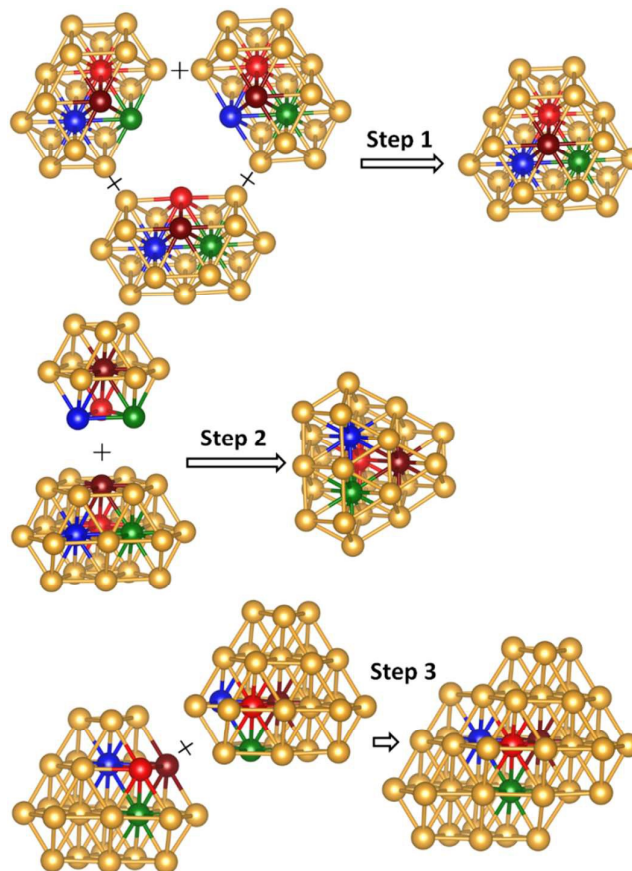
where  $s$  is the diffraction vector length and  $\theta$  is the scattering angle, satisfying  $s = 2\sin\theta/\lambda$ . The  $\lambda$  and  $\alpha$  are determined by the experimental setup and are set to be 0.1051967 nm and 1.01, respectively.  $B$  is the damping factor, which reflects thermal vibrations, and is set to be 0.03 nm<sup>2</sup>. The corresponding atomic numbers are used for the scattering factors  $f_i$ .  $d_{ij}$  is the distance between atoms  $i$  and  $j$ . The atomic distance  $d_{ij}$  is taken from the optimized structure of clusters based on the density function theory (DFT) code Dmol<sup>3</sup> (version 7.0).<sup>40,41</sup> The generalized gradient approximation with the Perdew-Burke-Ernzerhof (PBE)<sup>42</sup>

functional and the double numeric polarized (DNP) basis set coupled with semi-core pseudo-potential are employed. Self-consistent calculations are done with a convergence criterion of  $10^{-5}$  Hartree for the total energy. The linear and quadratic synchronous transit (LST/QST) method is used to locate the transition state of CO oxidation on the  $\text{Au}_{40}(\text{o-MBT})_{24}$  and  $\text{Au}_{52}(\text{TBBT})_{32}$ .<sup>43-48</sup> In all calculations, the *o*-MBT in  $\text{Au}_{40}(\text{o-MBT})_{24}$ , TBBT in  $\text{Au}_{52}(\text{TBBT})_{32}$ , and the ligands of other RS-AuNPs are simplified by methyl groups to lower computation cost.

## Results and discussions



**Figure 1.** The formation of  $\text{Au}_{34}$  kernel in  $\text{Au}_{40}(\text{SR})_{24}$ . The Au atoms marked with the same number and color can be fused together.



**Figure 2.** The formation of  $\text{Au}_{44}$  kernel in  $\text{Au}_{52}(\text{SR})_{32}$ . The Au atoms marked with the same color can be fused together.

To understand the structure formation of  $\text{Au}_{40}(\text{SR})_{24}$  and  $\text{Au}_{52}(\text{SR})_{32}$ , their kernel structures are analyzed first. The formation of  $\text{Au}_{34}$  kernel of  $\text{Au}_{40}(\text{SR})_{24}$  can be divided into two steps, with the  $\text{Au}_{13}$  cuboctahedra as building blocks, as shown in Figure 1. In step 1, the two  $\text{Au}_{13}$  cuboctahedra interpenetrate each other to form a  $\text{Au}_{20}$  structure by sharing six Au atoms. This rod-like  $\text{Au}_{20}$  geometry has been found as a kernel in the structures of  $\text{Au}_{28}(\text{SR})_{20}$  and  $\text{Au}_{30}\text{S}(\text{SR})_{18}$ .<sup>18, 19</sup> Next, one of the  $\text{Au}_{13}$  cuboctahedra in the  $\text{Au}_{20}$  interpenetrates with other two  $\text{Au}_{13}$



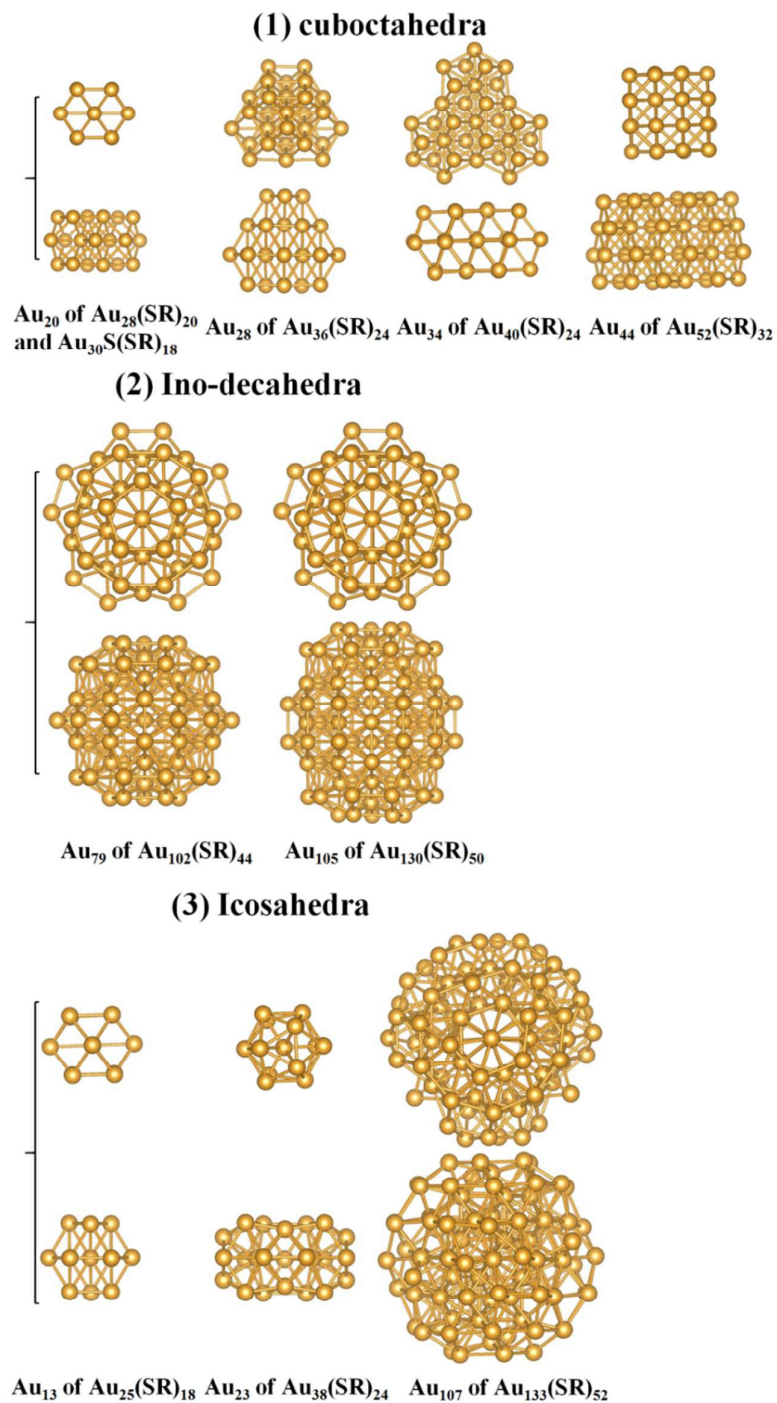
cuboctahedra in the same way as in step 1 to form the kernel of  $\text{Au}_{34}$  with fcc-like structure. Likewise, as shown in Figure 2, the formation of  $\text{Au}_{44}$  kernel of  $\text{Au}_{52}(\text{SR})_{32}$  can be divided into three steps in the same way as  $\text{Au}_{40}$  in  $\text{Au}_{40}(\text{SR})_{24}$ . In step 1 three  $\text{Au}_{20}$  geometries interpenetrate each other to form an  $\text{Au}_{25}$  structure. Next, the  $\text{Au}_{25}$  structure interpenetrates with another  $\text{Au}_{13}$  cuboctahedra to form a  $\text{Au}_{28}$  geometry, a kernel found in the structure of  $\text{Au}_{36}(\text{SR})_{24}$ .<sup>17</sup> In step 3, the  $\text{Au}_{44}$  kernel with fcc-like symmetry can be formed through two interpenetrating  $\text{Au}_{28}$  geometries. The structure analysis above on kernels of  $\text{Au}_{40}(\text{SR})_{24}$  and  $\text{Au}_{52}(\text{SR})_{32}$ , as well as  $\text{Au}_{28}(\text{SR})_{20}$ ,  $\text{Au}_{30}\text{S}(\text{SR})_{18}$ , and  $\text{Au}_{36}(\text{SR})_{24}$  (Electronic Supplemental Information (ESI)<sup>†</sup> Figure S1), shows that the Au kernels of all these clusters with fcc-like symmetry are comprised of several interpenetrating cuboctahedra.

Besides  $\text{Au}_{28}(\text{SR})_{20}$ ,  $\text{Au}_{30}\text{S}(\text{SR})_{18}$ ,  $\text{Au}_{36}(\text{SR})_{24}$ ,  $\text{Au}_{40}(\text{SR})_{24}$ , and  $\text{Au}_{52}(\text{SR})_{32}$ , structure analysis for kernels of other RS-AuNPs such as  $\text{Au}_{25}(\text{SR})_{18}$ ,  $\text{Au}_{38}(\text{SR})_{24}$ ,  $\text{Au}_{102}(\text{SR})_{44}$ ,  $\text{Au}_{130}(\text{SR})_{50}$ , and  $\text{Au}_{133}(\text{SR})_{52}$  are presented in ESI Figures S2 and S3. Interestingly, according to different types of kernel structures, those crystallized RS-AuNPs larger than  $\text{Au}_{25}(\text{SR})_{18}$  can be classified into three categories, as shown in Figure 3: The first category includes  $\text{Au}_{28}(\text{SR})_{20}$ ,  $\text{Au}_{30}\text{S}(\text{SR})_{18}$ ,  $\text{Au}_{36}(\text{SR})_{24}$ ,  $\text{Au}_{40}(\text{SR})_{24}$ , and  $\text{Au}_{52}(\text{SR})_{32}$ , whose Au kernels possess fcc-like symmetry, are comprised of several interpenetrating cuboctahedra. The  $\text{Au}_{102}(\text{SR})_{44}$

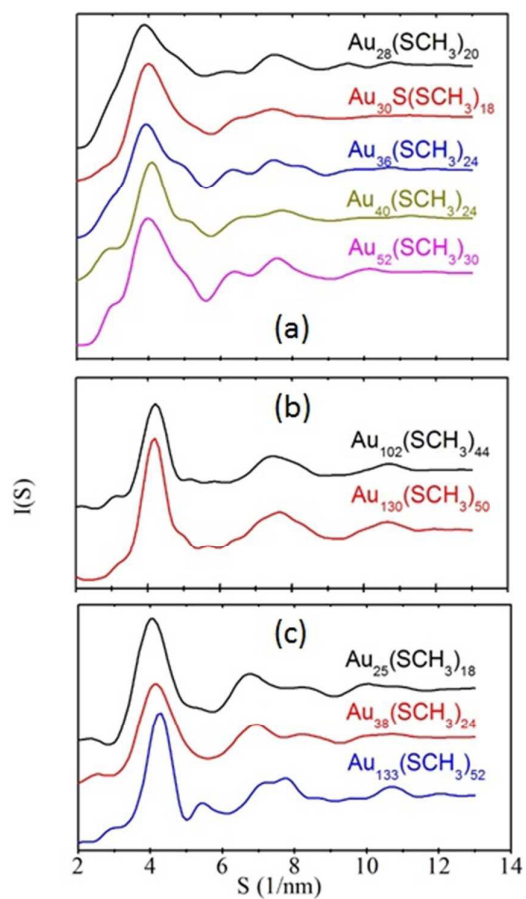
and  $\text{Au}_{130}(\text{SR})_{50}$  nanoclusters in which the Au kernels possess Ino-decahedral ( $D_{5h}$ ) symmetry can be grouped into the second category. The last category includes remaining RS-AuNPs such as  $\text{Au}_{25}(\text{SR})_{18}$  and  $\text{Au}_{133}(\text{SR})_{52}$  with icosahedral Au kernels, and  $\text{Au}_{38}(\text{SR})_{24}$  with fused biicosahedral kernel.

Simulated powder X-ray diffraction (XRD) curves of these crystallized RS-AuNPs exhibit different characteristic peaks for nanoclusters with different Au kernel structures. First, we confirm reliability of the theoretical formula for computing the XRD curves by comparing the simulated XRD curves and the measured XRD curves of  $\text{Au}_{25}(\text{SR})_{18}$  and  $\text{Au}_{38}(\text{SR})_{24}$ , as shown in ESI Figure S4. Next, the XRD spectra of the RS-AuNPs in all three categories are presented in Figure 4. From Figure 4(a), one can see that the  $\text{Au}_{28}(\text{SR})_{20}$ ,  $\text{Au}_{30}\text{S}(\text{SR})_{18}$ ,  $\text{Au}_{36}(\text{SR})_{24}$ ,  $\text{Au}_{40}(\text{SR})_{24}$ , and  $\text{Au}_{52}(\text{SR})_{32}$  nanoclusters exhibit similar diffraction pattern, where a main peak is located at about  $4.0 \text{ nm}^{-1}$ , and two weaker peaks are located at  $6.5 \text{ nm}^{-1}$  and  $7.5 \text{ nm}^{-1}$ , respectively. In the second category (Figure 4(b)), the consistent XRD curves of  $\text{Au}_{102}(\text{SR})_{44}$  and  $\text{Au}_{130}(\text{SR})_{50}$  nanoclusters, where only one less pronounced peak is located at  $7.7 \text{ nm}^{-1}$ , are significantly different from those in the first category. For the last category, as shown in Figure 4(c), the diffraction pattern of  $\text{Au}_{133}(\text{SR})_{52}$  nanocluster, where four weaker peaks are located in the range  $5\text{-}9 \text{ nm}^{-1}$ , differ from those of  $\text{Au}_{25}(\text{SR})_{18}$  and  $\text{Au}_{38}(\text{SR})_{24}$ .

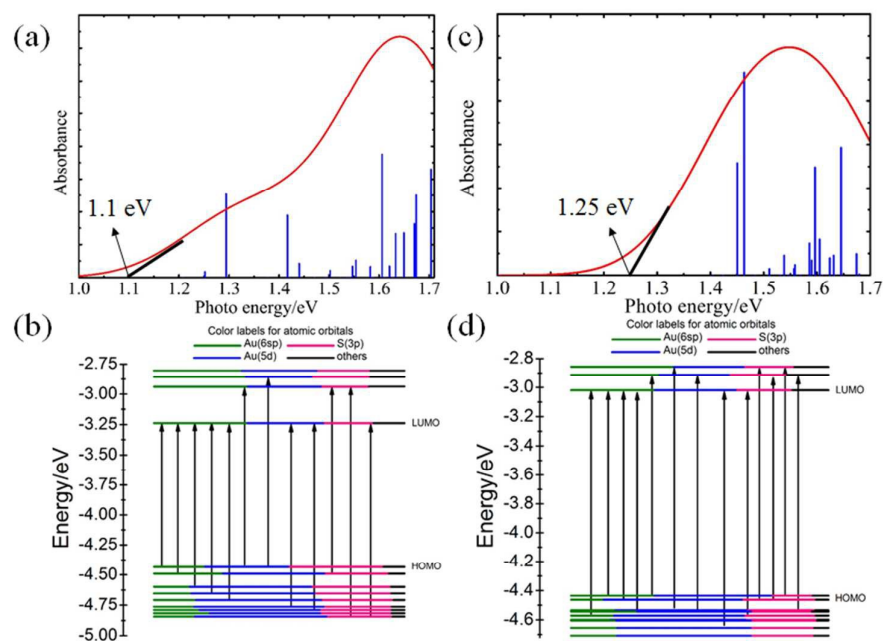
The multi-peak diffraction pattern can be attributed the multi-shell structure of  $\text{Au}_{133}(\text{SR})_{52}$  nanocluster. The analysis above shows that the diffraction pattern is closely related to the structure of Au kernel in RS-AuNP, which can offer clues to theoretical prediction of the structure of RS-AuNP even when the single crystal is lacking.



**Figure 3.** Two orthogonal views of the Au kernels of the crystallized RS-AuNPs larger than  $\text{Au}_{25}(\text{SR})_{18}$ , all classified into three categories: (1) cuboctahedra, (2) Ico-decahedra, and (3) Icosahedra.



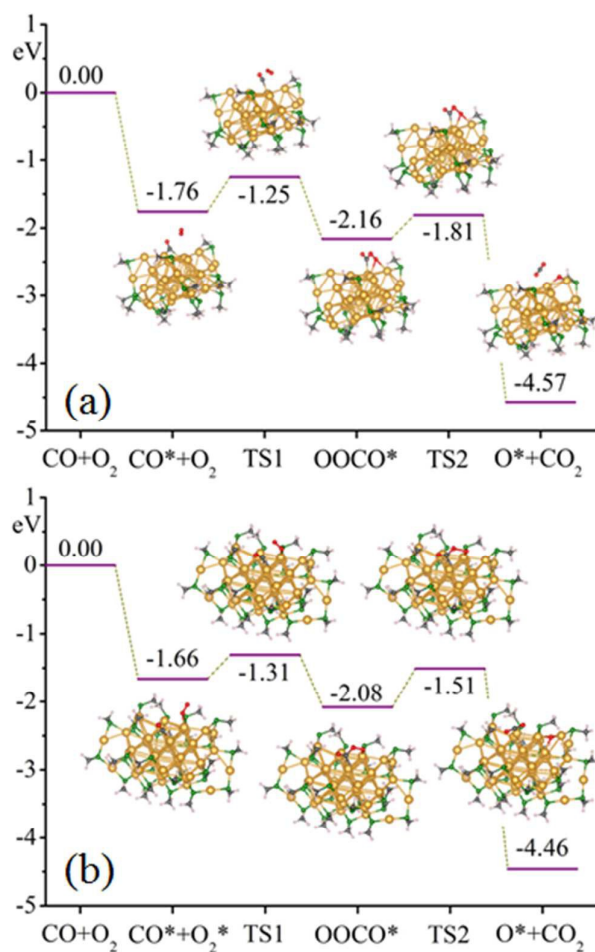
**Figure 4.** Theoretical powder X-ray diffraction (XRD) curves of the crystallized RS-AuNPs.



**Figure 5.** Simulated UV/Vis absorption spectra and Kohn-Sham orbital energy level diagrams of  $\text{Au}_{40}(\text{SR})_{24}$  (a and b) and  $\text{Au}_{52}(\text{SR})_{32}$  (c and d). The energies are in eV. Each Kohn-Sham orbital is drawn to show the relative contributions (line length with color labels) of the atomic orbitals of Au(6sp) olive, Au(5d) blue, S(3p) magenta, and other orbital contributions from C and H atoms are in black.

Figure 5 presents the simulated UV/Vis optical absorption spectra of  $\text{Au}_{40}(\text{SR})_{24}$  and  $\text{Au}_{52}(\text{SR})_{32}$  based on the time-dependent DFT (TD-DFT) computation. In addition, the atomic orbital (AO) component of Kohn-Sham molecular orbitals is displayed. As shown in Figure 5(a), the extrapolated optical band-edge of  $\text{Au}_{40}(\text{SR})_{24}$  is 1.1 eV, consistent with measured optical gap.<sup>39</sup> The extrapolated optical band-edge of  $\text{Au}_{52}(\text{SR})_{32}$  is 1.25 eV (Figure 5(c)). The population analysis of Kohn-Sham (KS)

molecular orbitals, as shown in Figure 5(b) and 5(d), demonstrates that the occupied frontier molecular orbitals are mainly contributed from the Au(5d), denoted as the d band. While the Au(6sp) atomic orbitals show dominant contributions to the unoccupied orbitals (sp band). The feature absorption peaks can therefore be assigned to the  $d \rightarrow sp$  interband transition.



**Figure 6.** Computed reaction pathway for the CO oxidation on Au<sub>40</sub>(SR)<sub>24</sub> (a) and Au<sub>52</sub>(SR)<sub>32</sub> (b) clusters. Here, the symbol \* denotes adsorption of the corresponding molecules on Au atoms. Au, S, C, O, and H atoms are in gold, olive, dark gray, red, and white, respectively.

Finally, catalytic properties of  $\text{Au}_{40}(\text{SR})_{24}$  and  $\text{Au}_{52}(\text{SR})_{32}$  are examined by using the CO oxidation as a probe. To this end, a few surface staple motifs on the clusters are removed to allow for the catalytic reaction.<sup>49, 50</sup> The computed catalytic reaction pathway for the CO oxidation on the  $\text{Au}_{40}(\text{SR})_{24}$  cluster is shown in Figure 5(a). CO and  $\text{O}_2$  is coadsorbed initially with the binding energy of  $-1.76$  eV. Then the  $\text{O}_2$  molecule moves toward to the adsorbed CO molecule to form a bridge-like metastable intermediate state characterized by the O-C-O-O species with the O-O bond length being  $1.45$  Å, the CO oxidation requires overcoming a reaction barrier of  $0.51$  eV (TS1). The O-O bond length is further elongated to  $1.81$  Å while CO fully grasps an O atom of  $\text{O}_2$  to form a  $\text{CO}_2$  molecule. The  $\text{CO}_2$  can eventually desorb by overcoming a relatively low-energy barrier of  $0.35$  eV (TS2), leaving the other O atom adsorbed on the gold cluster.

For CO oxidation on the  $\text{Au}_{52}(\text{SR})_{32}$  cluster, as shown in Figure 5(b), the CO and  $\text{O}_2$  molecules can be favorably coadsorbed on two neighboring low-coordinated Au atoms, with the coadsorption energy of CO and  $\text{O}_2$  being about  $-1.66$  eV. The reaction pathway is similar as that for the  $\text{Au}_{40}(\text{SR})_{24}$ , in which the two molecular species require to overcome reaction barriers of  $0.35$  eV (TS1) and  $0.57$  eV (TS2), respectively, to arrive at the final product state. Both reaction barriers are



comparable to those of typical nanogold catalysts,<sup>51-53</sup> indicating that the  $\text{Au}_{40}(\text{SR})_{24}$  and  $\text{Au}_{52}(\text{SR})_{32}$  clusters can be a stand-alone nanoscale catalyst for CO oxidation.

## Conclusions

We have performed a systematic structure analysis of two medium-sized  $\text{Au}_{40}(\text{SR})_{24}$  and  $\text{Au}_{52}(\text{SR})_{32}$  nanoclusters. We find that the kernel structures of both nanoclusters can be viewed as several interpenetrating cuboctahedra. Based on this observation, we suggest classification of crystallized RS-AuNPs into three groups according to their kernel structures. In the first group, Au kernels of RS-AuNPs such as  $\text{Au}_{28}(\text{SR})_{20}$ ,  $\text{Au}_{30}\text{S}(\text{SR})_{18}$ ,  $\text{Au}_{36}(\text{SR})_{24}$ ,  $\text{Au}_{40}(\text{SR})_{24}$ , and  $\text{Au}_{52}(\text{SR})_{32}$  exhibit fcc-like symmetry, comprised of several interpenetrating cuboctahedra. In the second group, Au kernels of RS-AuNPs such as  $\text{Au}_{102}(\text{SR})_{44}$  and  $\text{Au}_{130}(\text{SR})_{50}$  possess Ino-decahedral ( $D_{5h}$ ) symmetry. The third group includes  $\text{Au}_{25}(\text{SR})_{18}$ ,  $\text{Au}_{133}(\text{SR})_{52}$  with icosahedral Au kernels, or  $\text{Au}_{38}(\text{SR})_{24}$  with fused icosahedral Au kernel. Clusters in each group give rise to distinct diffraction patterns, thereby providing important clues for the theoretical prediction of the structure of RS-AuNP as long as the XRD data is known. We have also computed the UV/Vis absorption spectra and Kohn-Sham orbital energy level diagrams for  $\text{Au}_{40}(\text{SR})_{24}$  and  $\text{Au}_{52}(\text{SR})_{32}$ . Our calculation indicates that the first absorption peak can be

assigned to the  $d \rightarrow sp$  interband transition. Examination of the catalytic properties of  $Au_{40}(SR)_{24}$  and  $Au_{52}(SR)_{32}$  suggests that both clusters can be stand-alone nanoscale catalysts for the CO oxidation.

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## Graphic Abstract

