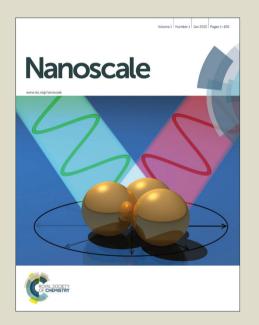
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Determining the 3D Orientation of Optically Trapped Upconverting Nanorods by in situ Single-particle Polarized Spectroscopy

Paloma Rodríguez-Sevilla, ^a Lucía Labrador-Páez, ^a Dominika Wawrzyńczyk, ^b Marcin Nyk, ^b Marek Samoć, ^b Ajoy Kumar Kar, ^c Mark D. Mackenzie, ^c Lynn Paterson, ^d Daniel Jaque, ^a and Patricia Haro-González ^a

An approach to unequivocally determine the three dimensional orientation of optically manipulated NaYF4:Er3+,Yb3+ upconverting nanorods (UCNRs) is demonstrated. Long-term immobilization of individual UCNRs inside single and multiple resonant optical traps allow for stable single UCNR spectroscopy studies. Based on the strongly polarization dependent upconverted luminescence of UCNRs it is possible to unequivocally determine, in real time, their three dimensional orientation when optically trapped. In single-beam traps, polarized single particle spectroscopy has concluded that UCNRs orientate parallel to the propagation axis of the trapping beam. On the other hand, when multiple-beam optical tweezers are used, single particle polarization spectroscopy demonstrated how full spatial control over UCNR orientation can be achieved by changing the trap-to-trap distance as well as on the relative orientation between optical traps. All these results show the possibility of real time three dimensional manipulation and tracking of anisotropic nanoparticles with wide potential application in modern nanobiophotonics.

Introduction

Rare earth doped Upconverting Nanoparticles (hereafter UCNPs) have being emerged during last years as useful fundamental building blocks in modern photonics. UCNPs are being extensively used in a large variety of research fields including solar energy, nanomedicine and bioimaging, among many others. ¹⁻⁸ UCNPs show the unique capability of producing visible (VIS) luminescence under near-infrared (NIR) optical excitation by a multiphoton excitation process known as upconversion. ^{6, 9} When compared to other nanomaterials also capable of NIR-to-VIS optical conversion (such as metallic nanoparticles and semiconductor nanocrystals), UCNPs present several remarkable advantages including long-term photochemical stability, narrow emission lines, long luminescence lifetimes, and size-independent spectral shape of their

luminescence bands. 10-14 Recent works also pointed out that, since in UCNPs the multiphoton excitation is assisted by real electronic states, large NIR-to-VIS efficiencies could be achieved upon cw excitation without requiring the use of expensive, ultrafast, high power laser sources (as is the case when using gold nanoparticles or semiconductor nanocrystals). This fact boosted the use of UCNPs for optical conversion in solar cells as well as in the development of cost-effective bioimaging equipment. 15-17 In the particular field of fluorescence bioimaging, UCNPs are nowadays considered as one of the most promising in vitro luminescence probes because of the low autofluorescence generated by continuous wave NIR optical excitation. 18 Moreover, recent works demonstrated that some UCNPs are not only capable of in vitro imaging but, in addition, they can also be used as intracellular thermal and chemical sensing units. 19-22 In addition, intracellular studies based on single UCNP are nowadays a real possibility as the synthesis of ultra-small (< 10 nm in diameter) UCNPs showing large NIR-to-VIS optical conversion efficiencies has been, indeed, recently demonstrated.²³ As a consequence, UCNPs are being also considered as promising optical probes for experiments at single-cell and single-particle level.

As a result of the intensive research carried out on UCNPs during last years, a wide range of UCNPs consisting of different host lattices and dopants have appeared into scene.^{4, 24, 25} Modifying the different synthesis parameters different emission bands¹², particle sizes²³ and morphologies^{3, 4, 13, 26-28} could be achieved. Among all of them, Erbium and Ytterbium codoped NaYF₄ UCNPs are considered as the reference combination of dopants and host material.^{13, 23} In

^{a.} Fluorescence Imaging Group, Departamento de Física de Materiales, Modulo 4, Universidad Autónoma de Madrid C/Francisco Tomás y Valiente 7, Madrid, 28049, Spain.E-mail: patricia.haro@uam.es.

^{b.} Advanced Materials Engineering and Modelling Group, Wroclaw University of Technology, Wyb. Wyspianskiego 27, 50-3 70 Wroclaw, Poland.

^{c.} Institute of Photonics and Quantum Science, Heriot Watt University, Riccarton Campus, Edinburgh EH14 4AS, United Kingdom. E-mail: a.k.kar@hw.ac.uk

^d Institute of Biological Chemistry, Biophysics and Bioengineering, School of Engineering and Physical Sciences, Heriot Watt University, Edinburgh, EH14 4AS, United Kingdom.

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particular, the recently demonstrated possibility of synthesizing NaYF₄:Er³⁺,Yb³⁺ upconverting nanorods (hereafter NaYF₄:Er³⁺,Yb³⁺ UCNRs) appears to be specially interesting as they constitute an unique system that could be used in a wide range of applications including security inking, cell tracking, cell manipulation, and bottom-up fabrication of nanofluidic and optical devices. ^{14, 26, 29, 30} However, the full exploration of the potential applications of NaYF₄:Er³⁺,Yb³⁺ UCNRs would require remote three dimensional control of their spatial arrangement. Such control becomes mandatory for, as an example, the construction NaYF₄:Er³⁺,Yb³⁺ UCNR assemblies (interesting for security inking and nanofluidic applications) as well as for controlled incorporation of single NaYF₄:Er³⁺,Yb³⁺ UCNRs into living cells. At present, several techniques have been demonstrated to be capable of remote manipulation and assembly of different nanorods. These include the application of electric and magnetic fields as well as the use of laminar flows in microfluidic channels. 31-33 Despite the good results obtained so far by these techniques, they lack the ability to provide real time three-dimensional spatial control. Optical tweezers are an appealing tool for real three dimensional manipulation of nanosized objects in aqueous environments.34, 35 Firstly demonstrated by Askhin and co-workers, Optical Trapping (OT) of sub-micrometric structures is based on the gradient force created in the surroundings of a tightly focused laser beam. 36, 37 When a nanoparticle is randomly moving close to the laser focus, optical electric field polarizes the nanoparticle so that it behaves as an electric dipole in a non-homogenous electric field. As a consequence, the polarized nanoparticle is pushed towards the beam focus. OT and remote manipulation of a great variety of different nanostructures (including metallic nanoparticles, semiconductor nanowires, semiconductor quantum dots (QDs) and, more recently, UCNPs) have been already demonstrated. 37-43 In previous experiments, the characterization of the dynamics of trapped nanostructures has been limited to accurate measurements of both trapping force and particle incorporation rates. Nevertheless, practical applications require a deep understanding of the exact orientation of the optically trapped nanoparticles inside the optical trap. This is a challenging task since conventional approaches cannot be used: the trapped particle size is well below the resolution provided by optical microscopy. Thus, the design and development of non-direct strategies for nanoparticle tracking inside optical traps become necessary. As an example, Jauffred et al. determined the position distribution curve of optically trapped QDs by accurate tracking of their two-photon excited emission.³⁷ In the case of highly asymmetric nanoparticles (such as nanorods) not only the position inside the trap is relevant but also their intra-trap orientation needs to be known. Such knowledge has been achieved in the case of optically trapped Gold Nanorods (GNRs) and niobates nanowires by the real time analysis of luminescence and by polarized Second Harmonic Generation, respectively. These pioneer experiments demonstrated that orientation of asymmetric nanoparticles inside optical traps is far from being easy to predict. 39, 44 Niobates nanowires were found to align along the trapping beam axis whereas GNRs orientated

parallel to the polarization vector of the trapping laser beam. It also worth mention that, a part from the particle material, the characteristics of the trapped particle, such as its length in the case of nanorods, deeply affect the way it is trapped. Despite the interest from both fundamental and applied point of view neither optical trapping of UCNRs nor their intra-trap orientation has been demonstrated so far.

In this work, a single-beam OT setup has been used to demonstrate stable optical trapping of NaYF₄:Er³⁺,Yb³⁺ UCNRs, with highly anisotropic morphology. Resonant optical tweezers, in which the laser trapping radiation is partially absorbed by the optically trapped particle, allowed for real-time detection of the sequential incorporation of NaYF₄:Er³⁺,Yb³⁺ UCNRs into the optical trap by luminescence microscopy. Single NaYF₄:Er³⁺,Yb³⁺ UCNR spectroscopy in dried environment was used to reveal the strong polarization dependence of the VIS luminescence generated by NaYF₄:Er³⁺,Yb³⁺ UCNRs. This property has been further used, through the analysis of the polarization state of intra-trap luminescence, to unequivocally elucidate the equilibrium orientation of optically trapped NaYF₄:Er³⁺,Yb³⁺ UCNRs in respect to the laser trapping beam.

Experimental

Synthesis of NaYF₄:Er³⁺,Yb³⁺ upconverting nanorods.

For the synthesis of NaYF_a:2%Er³⁺,18%Yb³⁺ UCNRs we used a recently published protocol.⁴⁶ The hydrothermal processing using microwave radiation (MAGNUM II ERTEC MV 02-02 reactor) was used to first prepare the lanthanide nitrate precursor salt (1.2 mM), which was further mixed with 14 mL of deionized water, 0.7 g, (17.5 mM) of NaOH, 7.1 g, (22.6 mM) of oleic acid (90 wt.%), 10.0 g (21.7 mM) of ethanol and 0.3g (7.2 mM) of NaF to obtain milky homogenous solution. After 30 min of stirring in room temperature, the solution was transferred into a Teflon vessel, sealed and placed in the microwave reactor, followed by the 8.5 h of hydrothermal processing at 250 °C, under average pressure lower than 25 atm, the solution of NaYF₄:Er³⁺,Yb³⁺ UCNRs was obtained. The synthesized NaYF₄:Er³⁺,Yb³⁺ UCNRs were collected by centrifugation at 12,000 rpm for 15 min, and washed twice with ethanol. As synthesized NaYF₄:2%Er³⁺,18%Yb³⁺ UCNRs were dispersed in cyclohexane with a concentration of ca. 20 mg/mL. In order to perform optical trapping experiments it was necessary to transfer NaYF₄:Er³⁺,Yb³⁺ UCNRs to the distilled water solution, for which we used the ligand removal procedure reported by Bogdan et al.²¹ Briefly, 1 mL of NaYF₄:Er³⁺,Yb³⁺ UCNRs in cyclohexane was mixed with 10 ml of deionized water for 2 h, while the pH of the solution was set at 4 with 0.1 M hydrochloric acid. After the reaction the aqueous solution was extracted with excess of cyclohexane to remove oleic acid molecules, further collected by centrifugation at 12,000 rpm for 15 min, washed and finally dispersed in distilled water with concentration of c.a. 7 mg/mL. Taking into account the mean size of single NaYF₄:Er³⁺,Yb³⁺ UCNR and the density of the bulk NaYF₄ matrix the concentration of the solution was recalculated to be $\sim 10^{11}$ NRs/cm 3 . Distilled water was used as Journal Name ARTICLE

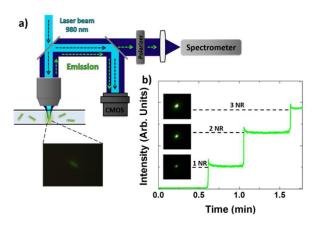


Fig. 1.(a). Schematic representation of the experimental setup. **(b)** Time evolution of the recovered luminescent at the trap position during multiple-particle trapping experiment. Sequential steps denote the incorporation of a single NaYF₄:Er³⁺, Yb³⁺ UCNR to the optical trap. Luminescent images show the change in the recovered intensity.

trapping solution since NaYF₄:Er³⁺,Yb³⁺ UCNRs present a good colloidal character when dispersed in it without any evidence of agglomeration between particles (see DLS measurements in Fig S1). Moreover, the low density of distilled water facilitates NaYF₄:Er³⁺,Yb³⁺ UCNR manipulation when trapped since no strong optical forces are needed in order to overtake the drag force. At this point, we should note that distilled water shows a nonnegligible absorption coefficient at the trapping wavelength (980 nm). This could lead to the appearance of thermal effects in the surroundings of the trap. According to previous works, in our experimental conditions, we had estimated that the temperature increment induced by the focused laser radiation is lower than 5 °C. We state that such reduced heating does not affect the optical trapping experiments.⁴⁷

Optical trapping experimental setup.

Single-beam optical tweezers setup is depicted in Fig 1a. The 980 nm laser radiation coming from a single-mode fiber-coupled laser diode is collimated by a mounted rochester aspheric lens (Thorlabs, A230TM-B) and then expanded by a 2X beam expander (Thorlabs, BE02M). This laser radiation is used for both optical trapping and to excite NaYF₄:Er³⁺,Yb³⁺ UCNRs luminescence. All the experiments were performed by using the maximum laser power provided by the laser (60 mW). For multiple-beam optical trapping experiments, the single-beam optical trapping setup was modified as it is schematically illustrated in Figure S2. We experimentally observed that the total laser power provided by the 980 nm laser diode was insufficient to form two highly stable optical traps by itself. A second 1064 nm laser beam (Nd:YAG laser, Ventus, Laser Quantum, Photonic Solutions) was used to create two additional traps perfectly overlapping with the 980 nm ones. The 1064 nm beam was made collinear with the 980 nm beam using a beamsplitter (Thorlabs, BS020) to combine the two beams (as seen in Fig S2). Then, the collinear laser beams were both split into two by using a second beamsplitter, and then reflected off by plane mirrors to

recombine them as shown in Fig S2. By slightly changing the alignment of either of the plane mirrors it was possible to create a slight angular difference in the propagating beams, resulting in two separate optical traps when focused through a high numerical aperture microscope objective. The laser power at any of these two traps (80 mW) is the sum of the overlapping 980 and 1064 nm laser powers. The extra contribution of the 1064 nm laser beam lead to two stable optical traps. In summary, in this configuration, each optical trap is composed of the 1064 nm beam and the 980 nm laser beam; the more powerful laser operating at 1064 nm used to provide the power for stable optical trapping, while the 980 nm radiation is needed for exciting NaYF₄:Er³⁺,Yb³⁺ UCNRs luminescence (1064 nm absorption does not provide the sufficient emission from the trapped UCNR to perform accurate luminescence analysis). A 100x 1.25 NA oil-immersed microscope objective was used for single NaYF₄:Er³⁺,Yb³⁺ UCNR experiments while a 10x 0.10 NA microscope objective was used for spectral analysis of the NaYF₄:Er³⁺,Yb³⁺ UCNRs suspension in order to ensure the analysis of multiple NaYF₄:Er³⁺,Yb³⁺ UCNRs. For single NaYF₄:Er³⁺,Yb³⁺ UCNR polarized emission experiments, a drop of the colloidal suspension of NaYF₄:Er³⁺,Yb³⁺ UCNRs (~ 10¹¹ NRs/cm³) was dried on a microscope slide and covered by a cover glass to prevent oil coming into contact with the sample. For optical trapping experiments, a diluted colloidal suspension of NaYF₄:Er³⁺,Yb³⁺ UCNRs (~ 5 x10⁹ NRs/cm³) was placed inside a 100 µm height microchannel (Ibidi Inc., μ-Slide I 80106). For the analysis of the colloidal suspension of NaYF₄:Er³⁺,Yb³⁺ UCNRs (~ 10¹¹ NRs/cm³), it was placed inside a spectrometer cuvette (2 mm x 10 mm). For optical imaging a CMOS camera was used. Luminescent analysis was performed by optically coupling to the OT setup a high sensitivity Si CCD camera (Synapse, Horiba) attached to a monochromator (iH320, Horiba). At the entrance of the spectrometer a linear polarizer (Thorlabs, LPNIR050-MP2) mounted on a graduated wheel was placed for the selection of the polarized emission collected from the sample.

Results and Discussions

Fig 2a shows a Transmission Electron Microscopy (TEM) image of the NaYF₄:Er³⁺,Yb³⁺ UCNRs used all along this work. Statistical analysis of the TEM images provided the size histograms included in Fig 2b from which the NaYF₄:Er³⁺,Yb³⁺ UCNR diameter and length were determined to be 140±20 nm and 1100±200 nm, respectively. Details about the synthesis method and sample preparation can be found in Experimental Section. Additionally, the crystal structure and detailed spectroscopic characterization of studied NaYF₄:Er³⁺,Yb³⁺ UCNRs are published elsewhere. 46 Fig 2c shows an optical image of an aqueous suspension of NaYF₄:Er³⁺,Yb³⁺ UCNRs (0.7 wt.%) revealing the excellent colloidal character of our NaYF₄:Er³⁺,Yb³⁺ UCNRs without evident signs of precipitation during days. Fig 2c also includes the optical image of the same cuvette containing the non-colored solution when optically excited by a 980 nm laser beam as obtained by using a 600 nm longpass filter. A clear red emission is observed that, according to the schematic energy level diagram included in Fig 2d, can be attributed to the

 ${}^{4}F_{9/2} \rightarrow {}^{4}I_{15/2}$ transition of Er³⁺ ions, optically excited by an Yb³⁺ \rightarrow Er³⁺ non-radiative energy transfer process.

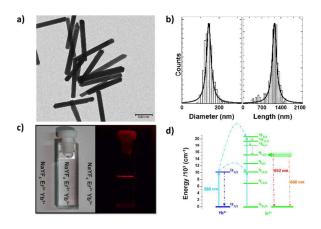


Fig 2. (a) TEM image of the studied NaYF₄:Er³⁺,Yb³⁺ UCNRs. (b) Size histograms obtained of TEM images representing diameter and length of the NaYF₄:Er³⁺,Yb³⁺ UCNRs. (c) Optical images of the colloidal suspension of NaYF₄:Er³⁺,Yb³⁺ UCNRs. The transparent colloidal suspension on the left presents a bright red emission when excited with a 980 nm laser beam. (d) Energy levels diagram of the upconversion energy transfer from Yb³⁺ to Er³⁺ ions. The transition of the emission levels of interest (652 and 660 nm) in the 4 F_{9/2} band are represented.

Dried sample experiments.

It is possible to find in the literature numerous examples revealing that highly anisotropic nanoparticles could generate a highly polarized luminescence. 48-50 This was firstly demonstrated in nonspherical QDs that show well differentiated polarization states in their luminescence when their aspect ratio (length to diameter ratio) exceed 2.48 Later, a strong polarization anisotropy was discovered in codoped Tm³⁺ and Yb³⁺ NaYF₄ nanorods with aspect ratios close to 7.49 The exact origin of the polarization anisotropy in rare earth doped NaYF₄ UCNRs is still unclear and, up to now, it is far from being fully understood. Indeed, prior to this work, it was not clear whether or not this phenomenon is restricted uniquely to the particular case of Tm³⁺ and Yb³⁺ ions. In order to check the possible polarization dependence of the upconversion luminescence generated by NaYF₄:Er³⁺,Yb³⁺ UCNRs, we have performed single-particle polarized spectroscopy experiments. For this purpose, a drop of the colloidal suspension of NaYF₄:Er³⁺,Yb³⁺ UCNRs was dried over a microscope slide and imaged by a CMOS camera. The luminescence of a single NaYF₄:Er³⁺,Yb³⁺ UCNR immobilized at the surface of the microscope slide was achieved by focusing on it a continuous wave 980 nm laser beam by using a high numerical aperture (1.25 NA) microscope objective. The spot size of the 980 nm excitation beam was estimated to be 500 nm in radius. The visible luminescence generated by the NaYF₄:Er³⁺,Yb³⁺ UCNR was collected by the same microscope objective and, after passing through different filters and confocal apertures, was spectrally analyzed with a CCD camera coupled to a high resolution

spectrometer. In this work we have focused our attention on the $^{4}F_{9/2} \rightarrow ^{4}I_{15/2}$ red emission band as, under our experimental conditions, its intensity was several times higher than that of the green (${}^{2}H_{11/2}$, ${}^{4}S_{3/2} \rightarrow {}^{4}I_{15/2}$) emission, as it can be observed in Fig S3a of the Supporting Information. The polarization state of the NIRexcited VIS-luminescence, in respect to the NaYF₄:Er³⁺,Yb³⁺ UCNR axis, was selected by placing a linear polarizer at the entrance of the spectrometer. Fig 3a shows the normalized luminescence spectra generated by a single NaYF₄:Er³⁺,Yb³⁺ UCNR corresponding to polarization states parallel and perpendicular to the NaYF₄:Er³⁺,Yb³⁺ UCNR axis (see inset in Fig 3a). As can be observed, the luminescence intensities of the two main peaks (652 and 660 nm) appear to be strongly polarization dependent. This is further evidenced in the two dimensional map showed in Fig 3a that includes the dependence of the emitted intensity as a function of the emission polarization angle (in respect to the NaYF₄:Er³⁺,Yb³⁺ UCNR axis). As can be seen, intensity peaks at 652 nm and 660 nm change with polarization angle in a cyclic way. Fig 3c reveals that the intensity ratio between 652 and 660 nm peaks (R_{652/660}) is hypersensitive to the polarization state. Values under and above 1 (inside and outside the red dashed circle) denote two polarization states, one dominated by 652 nm peak intensity and a second one characterized by reaching maximum intensity at 660 nm. Note that the $R_{652/660}$ coefficient varies from 2.5 down to 0.5 when the polarization state is driven from being parallel to perpendicular to the NaYF₄:Er³⁺,Yb³⁺ UCNR axis. At this point we would like to note that similar results were obtained when the ${}^{2}H_{11/2}$, ${}^{4}S_{3/2} \rightarrow {}^{4}I_{15/2}$ green emission was analyzed (see Fig S4 in the Supporting Information). The large induced polarization variation (fivefold) in the intensity ratio reveals the $R_{652/660}$ coefficient as a reliable and precise parameter to determine the relative orientation of NaYF₄:Er³⁺,Yb³⁺ UCNRs by polarized single-particle spectroscopy.

A tentative explanation of the polarized luminescence of NaYF_a:Er³⁺,Yb³⁺ UCNRs can be given based on the recently published

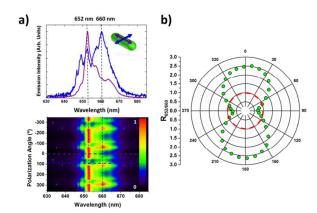


Fig. 3. (a) Emission spectra from a single $NaYF_4:Er^{3+}, Yb^{3+}$ UCNR immobilized on a surface for two perpendicular emission polarization angles. Purple (blue) line represents the recover emission parallel (perpendicular) to the optical axis of the $NaYF_4:Er^{3+}, Yb^{3+}$ UCNR. Inset represents these two different polarizations of the electric field that gives the two distinct spectra. Two dimensional map represents the emission intensity of red band as a function of the emission polarization angle. (b) Polar plot of the ratio ($R_{652/660}$) between 652 and 660 peak intensities as a function of the emission polarization angle. Red dashed circle delimits ratio values above and under 1.

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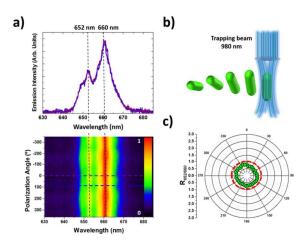


Fig. 4. (a) Emission spectra from a single optically trapped NaYF₄:Er³+,Yb³+ UCNR for two arbitrary perpendicular emission polarization angles. Two dimensional map represents the emission intensity of red band as a function of the emission polarization angle. **(b)** Schematic representation of the trajectory of a NaYF₄:Er³+,Yb³+ UCNR moving towards the optical trap. NaYF₄:Er³+,Yb³+ UCNR longitudinal axis is parallel to the laser propagation direction when trapped. **(c)** Polar plot of the ratio between 652 and 660 nm peak intensities as a function of the emission polarization angle. Red dashed circle delimits ratio values above and below 1.

work of Ping Chen et al.⁵¹ In this work, authors demonstrated that the polarized luminescence of Erbium doped NaYF₄ nanodisks is due to the deterministic orientation of the crystallographic optical axis inside the nanoparticle. They claim that the optical axis in their nanostructures is perpendicular to the hexagonal (largest) face, so that the luminescence recorded along this direction do not show any polarization dependence. On contrary, when measuring perpendicularly to this direction, due to the existence of an anisotropic crystal field, the luminescence becomes strongly polarized dependent due to the appearance of polarization induced forbidden and allowed transitions. 52 We state at this point that, very likely, the optical axis of our NaYF₄:Er³⁺,Yb³⁺ UCNRs lies parallel to their longest dimensions (i.e. optical axis coincides with the geometrical axis of the UCNRs). This would lead to a non-polarized luminescence when measured along the longest dimension (as it is observed later in optical trapping experiments) and to a strongly polarized luminescence when spectra are recorded perpendicularly to the longest dimension of the UCNR (see Fig 3).

Optical trapping experiments.

Single-beam resonant optical trapping. The experimental setup used for simultaneous luminescence tracking and OT of a single ${\rm Er}^{3+}/{\rm Yb}^{3+}$ codoped UCNR is schematically depicted in Fig 1a. A detailed description of all the optical components can be found in Experimental Section. Briefly, a 980 nm laser beam was focused into a 100 μ m height microchannel, which contains a diluted colloidal suspension of NaYF₄: ${\rm Er}^{3+},{\rm Yb}^{3+}$ UCNRs, by using a 1.25 NA microscope objective. The 980 nm laser beam, partially absorbed by Yb³⁺ ions inside the NaYF₄: ${\rm Er}^{3+},{\rm Yb}^{3+}$ UCNRs, was used to both trap

the NaYF₄:Er³⁺,Yb³⁺ UCNR and, simultaneously, to excite its luminescence when incorporated into the trap. The luminescence generated by the optically trapped NaYF₄:Er³⁺,Yb³⁺ UCNR was collected with the same objective used for focusing the 980 nm excitation laser beam, and it was spectrally analyzed by optically coupling the OT setup to the a high resolution spectrometer. Simultaneously, the luminescence image of the optical trap was recorded with a CMOS camera. A 700 nm shortpass filter was used to avoid 980 nm radiation to reach neither the CMOS camera nor the spectrometer. The sequential incorporation of individual NaYF₄:Er³⁺,Yb³⁺ UCNRs to the trap could be monitored in real time by recording the time evolution of the trap luminescence intensity by using the CMOS camera. A typical intensity profile is included in Fig 1b. From this intensity profile, it is possible to identify three sharp intensity steps at 0.6, 1 and 1.6 min after switching on the 980 nm laser beam. Each intensity step accounts for a single trapped NaYF₄:Er³⁺,Yb³⁺ UCNR whose luminescence is added to that generated by a previously trapped NaYF4:Er3+,Yb3+ UCNR. The luminescence images obtained after the incorporation of each single NaYF₄:Er³⁺,Yb³⁺ UCNR are included as inset in Fig 1b. At this point it should be noted that the time interval between consecutive incorporation of NaYF4:Er3+,Yb3+ UCNRs has been found to be strongly dependent on the concentration of NaYF₄:Er³⁺,Yb³⁺ UCNRs in the suspension and by the 980 nm laser power, as it was already demonstrated to occur for spherical shaped Er3+/Yb3+ codoped UCNPs. 53 The optical force acting on NaYF_a:Er³⁺,Yb³⁺ UCNRs was measured by applying the so-called hydrodynamic-drag method that is explained in detail in the Supporting Information. Fig S5 includes the optical trapping force acting on a single NaYF₄:Er³⁺,Yb³⁺ UCNR as obtained for different 980 nm laser powers. Minimum laser power required for OT of a single NaYF₄:Er³⁺,Yb³⁺ UCNR in our experimental conditions was found to be 10 mW. This value is 5 times lower than corresponding one previously reported by us for spherical Er3+/Yb3+ codoped UCNPs.53 Fig S5 clearly reveals that optical force increases linearly with the 980 nm laser power as it was, indeed, expected. From the linear fit of experimental data we have obtained an optical trapping constant close to $k_{trap} \approx 2$ pN/W·µm, which can be compared to that previously found for other UCNPs.54

Finally, it should be also mentioned that, although fluctuations in the position of NaYF4:Er³+,Yb³+ UCNRs could be taking place, the optically trapped NaYF4:Er³+,Yb³+ UCNRs remained stable inside the trap. This is evidenced by the intensity profile included in Fig 1b in which sharp intensity drops down to zero level (indicating the NaYF4:Er³+,Yb³+ UCNRs have escaped from the trap and no luminescence is recorded) are not observed. This is at variance with the results recently published by Jauffred et al. who evidenced the escape of QDs from an optical trap by the observation of intensity drops in the intensity time profiles. The state at this point that the larger size of our NaYF4:Er³+,Yb³+ UCNRs lead to a reduced mobility as well as to a larger optical force in such a way that optically trapped NaYF4:Er³+,Yb³+ UCNRs remain stable in the optical trap.

Once stable OT of UCNRs was experimentally achieved, the orientation of NaYF₄:Er³⁺,Yb³⁺ UCNRs inside the trap was elucidated.

This has been accomplished by performing polarized spectroscopy on a single optically trapped NaYF₄:Er³⁺,Yb³⁺ UCNR. The emission spectra generated by an optically trapped NaYF₄:Er³⁺,Yb³⁺ UCNR were systematically analyzed for different polarization states. At variance with the experimental results included in Fig 3, we observed that the luminescence spectra generated along the trapping direction was only slightly polarization dependent. This is evidenced in Fig 4a that includes the emission spectra generated by a single optically trapped $NaYF_4:Er^{3+},Yb^{3+}$ UCNR as obtained for two arbitrary orthogonal polarizations. Within experimental error, they have been fund to be virtually the same. In the emission spectra included in Fig 4a, the 660 nm line clearly dominates over the 652 nm peak, being this behavior independent of the polarization state as shown in the two dimensional map where emission intensity of the red band is represented as a function of the emission polarization angle. According to data included in Fig 3, this fact suggests that the collected luminescence is polarized orthogonally to the axis of the trapped NaYF₄:Er³⁺,Yb³⁺ UCNR. This unequivocally implies that the NaYF₄:Er³⁺,Yb³⁺ UCNR axis is parallel to the k vector of the trapping laser beam, i.e. the NaYF₄:Er³⁺,Yb³⁺ UCNR is aligned parallel to the propagating direction of the trapping beam (see Fig 4b). Fig 4c shows the polar plot of the $R_{\rm 652/660}$ ratio with emission polarization angle. When analyzing in detail the polar plot obtained for $R_{652/660}$, we observed that a nearly circular plot is obtained. This reveals that, when the luminescence is collected along the direction of trapping beam, an isotropic scenario is obtained. This also concludes that the NaYF₄:Er³⁺,Yb³⁺ UCNR aligns parallel to the propagation direction of trapping laser beam.

At this point it should be noted that near circular plot diagrams of the R_{552/660} intensity ratio would be achieved if the NaYF₄:Er³⁺,Yb³⁺ UCNR was orientated perpendicular to the trapping beam while rotating inside the trap with a rotation period much smaller that the acquisition time of luminescence spectra. In this scenario, the collected luminescence spectra result from a combination of the spectra obtained for the two polarization states shown in Fig 3a, as it does occur when polarized spectroscopy is performed on a colloidal suspension of randomly oriented NaYF₄:Er³⁺,Yb³⁺ UCNRs (see Fig S3b in Supporting Information). Nevertheless, the emission spectra generated by an optically trapped NaYF₄:Er³⁺,Yb³⁺ UCNR does not show any relevant contribution of parallel polarized emission. Thus, the rotation of NaYF₄:Er³⁺,Yb³⁺ UCNR in a plane perpendicular to the laser trapping bean can be disregarded.

Multiple-beam optical trapping. The potential application of single particle polarized spectroscopy for three dimensional monitoring of optically trapped UCNRs has been further explored by using a multiple-beam optical trap. A two beam optical tweezer setup was used to three dimensionally manipulate a single optically trapped NaYF₄:Er³⁺,Yb³⁺ UCNR. For this purpose a modification of the optical tweezers setup depicted in Fig 1a was used (for more details see the Experimental Section and Fig S2). Such a setup allowed for an accurate control over distance and relative position between two optical traps. Two different situations were found to take place. When optical traps are separated a distance longer than one micron (approximately), they behave as two independent single-beam

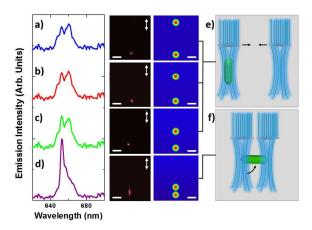


Fig 5. Evolution of the emitted spectra of a trapped NaYF₄:Er³⁺, Yb³⁺ UCNR when the distance between traps is reduced. The recorded emission polarization angle is fixed to that indicated by white arrows. It can be seen that the emission spectra changes from that attributed to perpendicular polarization **(a-c)** to that for the parallel polarization state **(d).** Scale bar corresponds to 1 μm in all images. Panels **(e-f)** are schematic representations of the two NaYF₄:Er³⁺, Yb³⁺ UCNR positions.

optical traps. When a single NaYF₄:Er³⁺,Yb³⁺ UCNRs is optically trapped within one of the traps it aligns and remains parallel to the propagation axis of the beam (see Fig 5a-c where a single UCNR is trapped in the bottom trap). Orientation of the UCNR is again evidenced by the luminescence spectrum in which the 652 nm and 660 nm emission peaks are of similar intensity, independent of the polarization angle. This is exactly the same result obtained in singlebeam optical trap experiments. When the traps are close enough (distance between traps close to 1 μm), the trapped NaYF₄:Er³⁺,Yb³⁺ UCNR is subjected to the force field resulting from the superposition of both traps. Under these conditions, the force field becomes anisotropic and, as a consequence, the NaYF₄:Er³⁺,Yb³⁺ UCNR flips and orientates parallel to the line connecting both traps. Thus, the proximity of both traps orientates the NaYF₄:Er³⁺,Yb³⁺ UCNR in the plane perpendicular to the trap beam propagation direction. This is evidenced in the fluorescence image of Fig 5d as well as in the associated single-particle luminescence spectrum acquired with the selection polarized parallel to the inter-trap connection line (see white arrows in Fig 5a-d that indicate the analyzed polarization angle). Under these conditions, the emission spectrum is dominated by the 652 nm emission line indicating that the NaYF₄:Er³⁺,Yb³⁺ UCNR is aligned parallel to the polarizer direction, i.e. that the NaYF₄:Er³⁺,Yb³⁺ UCNR is aligned parallel to the inter-trap connection line. The relative orientation of the UCNRs and the two traps is schematically drawn in Fig 5e-f.

Further manipulation of the two trap positions by keeping this inter-trap distance close to $1\mu m$ allows, in principle, for full control/rotation of the NaYF₄:Er³+,Yb³+ UCNR within the plane perpendicular to the laser beams' direction. This possibility has been demonstrated by rotating one trap around the other by 90° and keeping the inter-trap distance fixed at $1\mu m$ (see schematic drawings in right column of Fig 6). Rotation of the optically trapped

luminescence.

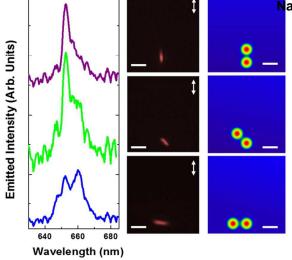


Fig 6. Evolution of the emitted spectra when rotating the longitudinal axis of the NaYF₄:Er³⁺,Yb³⁺ UCNR. Emission polarization angle is fixed to that indicated by white arrows. Perpendicular polarization is measured when NaYF₄:Er³⁺,Yb³⁺ UCNR axis happen to be perpendicular to the emission polarization angle (lower panel). An intermediate state is observed when NaYF₄:Er³⁺,Yb³⁺ UCNR axis form approximately 45 $^{\circ}$ with the emission polarization angle and both polarization states are measured (middle panel). Scale bar corresponds to 1 μm in all images.

UCNR is shown by the fluorescence images at central column of Fig 6. This in-plane rotation is further evidenced by the changes observed in the spectral shape of the polarized upconversion spectrum obtained by keeping the measured polarization angle fixed as indicated by arrows in Fig 6. Rotation of the optical traps causes a shift from an emission spectrum dominated by the 652 nm emission line to an emission spectrum in which both 652 and 660 nm emission lines are of comparable intensity. This unequivocally reveals a complete 90° in-plane rotation of the NaYF₄:Er³⁺,Yb³⁺ UCNR. Note that the data included in Fig 5 and 6 does not only reveal the possibility of accurate three dimensional optical manipulation of single UCNRS but also the continuous monitoring of their three dimensional orientation by single particle spectroscopy.

Conclusions

In summary, resonant stable optical trapping of NaYF₄:Er³⁺,Yb³⁺ upconverting nanorods has been demonstrated. The efficient excitation of the Er³⁺ visible luminescence by the partially absorbed 980 nm trapping radiation of the Yb3+ ions, allowed for real time tracking of the optically trapped NaYF₄:Er³⁺,Yb³⁺ upconverting nanorods by simple luminescence imaging microscopy. The time evolution of the luminescence images from the optical trap evidenced the sequential incorporation of single NaYF₄:Er³⁺,Yb³⁺ upconverting nanorods as well as their stable localization inside the trap. Single-particle polarized spectroscopy experiments performed on immobilized NaYF₄:Er³⁺,Yb³⁺ upconverting nanorods revealed that their two-photon excited luminescence is strongly polarized, evidence for the existence of two well defined polarization states corresponding to the polarization of luminescence perpendicular and parallel to the axis of NaYF₄:Er³⁺,Yb³⁺ upconverting nanorod. This strong polarization dependence of luminescence has been used to unequivocally determine the orientation of optically trapped

NaYF₄:Er³⁺,Yb³⁺ upconverting nanorods inside single and double beam optical traps. It has been concluded that, under our experimental conditions, NaYF₄:Er³⁺,Yb³⁺ upconverting nanorods orientated parallel to the propagation direction of the trapping laser beam in a single-beam optical tweezers setup. Two beam optical trapping allowed for controlled three dimensional manipulation of single NaYF₄:Er³⁺,Yb³⁺ upconverting nanorod whose three dimensional orientation was unequivocally determined by the analysis of the polarization state of their single particle

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The possibility, demonstrated here, of applying simple experimental approaches to achieve three dimensional manipulation of upconverting nanorods, whilst knowing their exact position and orientation, open up a new avenue into their use in numerous applications ranging from biology to nanofluidics.

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