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the nanoscale using Scanning Microwave Microscopy this: DOI: 10.1039/x0xx00000x Enrico Brinciotti¹, Georg Gramse², Soeren Hommel³, Thomas Schweinboeck³, Andreas Altes³, Matthias Fenner⁵, Juergen Smoliner⁴, Manuel Kasper¹, Giorgio Badino¹, Silviu-Sorin Tuca¹, and Ferry Kienberger^{1,#} Received ooth January 2012, Accepted ooth January 2012 We present a new method to extract resistivity and doping concentration of semiconductor materials from Scanning Microwave Microscopy (SMM) S_{II} reflection measurements. Using a three error parameters de-embedding workflow, the S_{11} raw data are converted into calibrated capacitance and resistance images where no calibration sample is required. The SMM capacitance and resistance values were measured at 18 GHz and ranged from 0 to 100 aF and from 0 to $1M\Omega$, respectively. A tip-sample analytical model that includes tip radius, microwave penetration skin depth, and semiconductor depletion layer width has been applied to extract resistivity and doping concentration from the calibrated SMM resistance. The method has been tested on two doped silicon samples and in both cases the resistivity and doping concentration are in quantitative agreement with the data-sheet values over a range of $10^{-3} \Omega \cdot cm$ to $10^{1} \Omega \cdot cm$, and $10^{14} \text{ atoms/cm}^{-3}$ to 10^{20} atoms/cm³, respectively. The measured dopant density values, with related uncertainties, are $[1.1\pm0.6] \times 10^{18}$ atoms/cm³, $[2.2\pm0.4] \times 10^{17}$ atoms/cm³, $[4.5\pm0.2] \times 10^{16}$ atoms/cm³, $[4.5\pm1.3]$ x10¹⁵ atoms/cm³, $[4.5\pm1.7]$ x10¹⁴ atoms/cm³. The method does not require sample treatment like cleavage and cross-sectioning, and high contact imaging forces are not necessary, thus it is easily applicable to various semiconductor and materials science investigations.

Probing resistivity and doping concentration of semiconductors at

Index Terms-2. Nanotechnology: Calibration, complex impedance, resistivity, resistance, dopant profiling, scanning microwave microscopy.

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1. Introduction

SMM is a quantitative nanoscale electrical characterization technique operating at broadband microwave frequencies [1-5]. It combines the nanoscale spatial resolution of an Atomic Force Microscope (AFM) with the broadband (1-20 GHz) electrical measurements capabilities of the Vector Network Analyser (VNA) [6-18]. In SMM reflection mode, the VNA sends an incident microwave signal through a conductive platinum tip. Depending on the impedance of the tip/sample interface, part of the microwave signal is reflected and measured by the VNA as scattering S_{11} reflection signal. Together with the reflection signal coming from the tip/sample interface, reflections are also generated from RF cables and connectors. These add up to the measured S_{II} signal and a de-embedding step is necessary in

order to obtain from the measured S_{11} calibrated values of relevant physical quantities such as complex impedance, capacitance, and resistance [19-23].

Recently, a calibration workflow has been introduced to extract in situ (i.e. without the need of a calibration sample) complex impedance values from the measured S_{11} signal [19]. This has been applied to successfully obtain calibrated capacitance and resistance images of semiconductor and dielectric materials [12, 19]. To obtain intrinsic material properties such as dielectric permittivity and resistivity from the SMM calibrated impedance, typically a tip-sample Finite Element Method (FEM) based model is used [19, 24-27]. In this process, the calibrated SMM data are compared with the FEM results in order to

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Figure 1. a) Sketch of the SMM experimental setup and of the doped Si sample with flat topography. The sample consists of 10 different n-implant areas (left region) and 10 different p-implant areas (right region), each area with a width of 2 μ m, with doping concentrations ranging from 4x10¹⁵ to 1x10²⁰ atoms/cm³. The SMM raw images of this sample are shown including flat topography (b), *S*₁₁ amplitude (c) and *S*₁₁ phase (d). The horizontal cross-sections are given below the images.

extract a physical quantity (i.e. permittivity, resistivity, etc.). In this work we follow another approach: an analytical model is used to extract, from the calibrated SMM resistance image obtained from the S_{II} measurements, resistivity and doping concentration of a doped silicon substrate. We validated this deembedding method applying it to two doping profiling samples with known doping and resistivity characteristics.

The technique that we propose offers a valid alternative to Scanning Spreading Resistance Microscopy (SSRM), a wellestablished technique to perform 1-D and 2-D nanoscale carrier profiling [28-30]. In SSRM, the resistance is measured by applying a small bias voltage through a conductive diamond AFM-probe while scanning at a high force across a cleaved cross-sectioned surface. Applying a high tip-sample contact force ensures that the measured resistance is dominated by the spreading resistance rather than by the contact resistance and, for silicon samples, it is also required to penetrate the native oxide and to establish a stable electrical contact [31]. The SMM based approach that we propose exploits the capability of microwaves to penetrate through the oxide [12]. This implies that there is no need of applying a high contact force. In fact, quantitative SMM imaging can be performed with contact forces in the nN range, three orders of magnitude smaller than the μ N contact forces typically used in SSRM. Furthermore, in SMM sample cleavage is not required and, since no high contact forces are required, simple metal tips can be used instead of doped diamond tips.

Our results extend the actual capabilities of the SMM, allowing its use to quantify the resistivity and the dopant density of unknown semiconductor samples directly from the calibrated SMM resistance. The method introduced can have direct applications in materials science and semiconductor industry, particularly in the characterization of thin films and in the failure analysis sectors.

2. Materials and Methods

2.1. SMM setup

A commercial transmission line SMM (Keysight Technologies, Santa Rosa, CA, USA) was used in all the experiments. It consists of a standard 5600 Atomic Force Microscope (AFM) interfaced with a 20 GHz Vector Network Analyser (VNA). All measurements were carried out in dry atmosphere (RH < 5%). Rocky Mountain Nanotechnology (RMN) solid platinum AFM tips with 18N/m and 0.3N/m spring constant were used. Figure 1a shows a sketch of the SMM experimental setup. By using the AFM tip as a nanoscale imaging and microwave probe, SMM allows for simultaneous topographic and electromagnetic (EM) characterization of the sample under test. The ratio of the incident and reflected signal at the AFM tip, the so called scattering S_{11} parameter, is measured with the VNA. A halfwavelength coaxial resonator in conjunction with a 50 Ω shunt resistor is used to transform the high impedance of the tipsample to the characteristic 50 Ω impedance of the VNA. The system is designed to obtain a high signal-to-noise ratio (SNR) with good matching conditions every ~1 GHz, with the exact value depending on the cable lengths. In this work, all the measurements were performed at frequencies between 18-20 GHz, while the SMM works in the range of 1-20 GHz.

2.2. Samples under test

Two commercially available doping samples were used to validate the analytical model. The first sample is a topographically flat doped silicon (Si) sample, produced by Infineon Technologies (Failure Analysis Division, Munich) [23]. It consists of a p-Si substrate (10^{15} atoms/cm³) with 10 different p-type and 10 different n-type implant areas, each with $4x10^{15}$, $1x 10^{16}$, $4x10^{16}$, $1x10^{17}$, $4x10^{17}$, $1x10^{18}$, $4x10^{18}$, $1x10^{19}$, $4x10^{19}$, and $1x10^{20}$ atoms/cm³. The doped areas in the active region are 2μ m wide and 200nm deep. In each doped area the doping level is uniform until 200nm and then it drops exponentially and completely falls off at roughly 1 μ m. The n-doped and p-doped regions are next to each other and therefore can be imaged in one SMM scan. No further processing or cleaning steps were performed prior imaging it with the SMM.

The second commercially available doping sample is produced by IMEC CAMS (Center for Advanced Metrology Solutions, Belgium) [32, 33]. It consists of several 5 μ m wide layers of silicon with varying donor dopant density ranging between 1x10¹⁵ atoms/cm³ and 1x10¹⁹ atoms/cm³ grown on a silicon wafer. The wafer is then cleaved to expose the cross sections of the layers. While the Infineon sample can be imaged in a standard top-down configuration, the IMEC sample is imaged in the cross-section profile. Another difference is that the doping depth is 200 nm for the Infineon sample, while in the IMEC sample it extends over the full sample depth (i.e. ~1cm).

2.3. Complex impedance calibration

To convert the measured SMM S_{II} raw data into calibrated complex impedance values, the calibration procedure recently

proposed by Gramse et al. [19] was used. In this procedure, the complex impedance Z is extracted from the measured S_{11} using the one-port black-box calibration [20]. By simultaneously recording complex S_{11} and electrostatic force microscopy (EFM) approach curves, the three complex error parameters (e_{00} , e_{01} , and e_{11}) are calculated and used to convert acquired S_{11} images into admittance images. The three error parameters can be calculated using $S_{11} = e_{00} + e_{01}S_{11,a}/(1 - e_{11}S_{11,a})$, where $S_{11,a} = (Z_{in} - Z_{ref})/(Z_{in} + Z_{ref})$, and Z_{ref} is the characteristic impedance of the VNA (i.e. 50Ω). From the electrostatic force, $F_{es,2\omega}$, measured at the second harmonic of the excitation voltage $v(t) = V_0 sin(\omega t)$ in the EFM approach curve, the gradient of the capacitance with respect to the tip-sample distance is obtained by $dC/dz = 4F_{es,2\omega}/V_0^2$. By integration, the capacitance C(z) can be calculated. Figure 1a shows the calibration plane located directly before the cantilever chip.

2.4 From SMM resistance to resistivity

Here we extract the resistivity ρ (Ω ·m) from the calibrated SMM resistance $R_m(\Omega)$. For the incident microwave signal, the surface resistance is defined as $R_s = \rho/\delta$ [34], where δ (m) is the penetration skin-depth of microwave fields given as

$$\delta = \left(\frac{1}{\omega}\right) \left\{ \left(\frac{\mu\varepsilon}{2}\right) \left[\left(1 + \left(\frac{1}{\rho\omega\varepsilon}\right)^2\right)^{1/2} - 1 \right] \right\}^{-1/2}$$
(1)

with $\omega = 2\pi f$ being the angular frequency (rad/s), μ the magnetic permeability (H/m), and ε the electrical permittivity (F/m). In this model, the resistance R_s is in series with the C_{tot} and the sheet-resistance R_x of silicon, which is defined as ρ/d_{eff} where d_{eff} is the effective length scale corresponding to the distance from the top of the sample to nearest grounded conductor. In the case of a doped semiconductor, d_{eff} is defined by the width of the depletion layer $W_{DL} = \left(2 \cdot \varepsilon \cdot \Xi_i / q \cdot n\right)^{1/2}$ and by the contact area $A = \pi r^2$ (m²)

$$d_{eff} = \frac{\pi r^2}{W_{DL}} = \frac{\pi r^2}{\sqrt{\frac{2 \cdot \varepsilon \cdot E_T}{q \cdot n}}}$$
(2)

where \overline{B}_i is the total band bending (V), q is the elementary charge (C), and n is the doping concentration (cm⁻³). For a Pt tip, the total band bending has the expression

$$\sum_{t} = \frac{\sum_{t} - \frac{N_{t}}{2} + qV_{t} \cdot \ln\left[\frac{N_{c}}{n}\right]}{q}$$
(3)

where $\square_{Pt}=5.3\text{eV}$ is the work function of platinum, $\square_{Si}=4.05\text{eV}$ is the electron affinity of silicon, $V_t = 25.843mV$ is the thermal voltage, $N_c=2.8\times10^{19}\text{ cm}^{-3}$ is the effective density of states in the conduction band for silicon, and *n* is the doping concentration (cm⁻³) [35, 36]. The measured resistance R_m can therefore be modelled as

$$R_m = R_S + R_x = \rho \left(\frac{1}{\delta} + \frac{W_{DL}}{\pi r^2}\right). \tag{4}$$

The tip apex radius r can be obtained, as shown elsewhere [37-39], from the experimental EFM capacitance approach curve using the approximation:

$$C(r, ", H, c, c_{stray}, z, h, \not=) = C_{apex} + C_{cone} + C_{stray}$$
(5)

$$C_{apex} = 2\pi \, \mathfrak{P}_{r} \ln \frac{h + \mathfrak{P}_{z}}{h + \mathfrak{P}_{r+z} - \mathfrak{P}_{r} \sin "}$$

$$C_{cone} = \frac{2\pi \frac{\pi}{0}}{\left(\ln(\tan\frac{\pi}{2})\right)^2} \left(z \ln\left(H\left(\frac{h}{\frac{\pi}{2}} + z + r(1 - \sin\frac{\pi}{2})\right)^{-1}\right)\right) + \left(\frac{h}{\frac{\pi}{2}} + r(1 - \sin\frac{\pi}{2})\right) \ln\left(\frac{\pi}{2}\left(\frac{h}{\frac{\pi}{2}} + z + r(1 - \sin\frac{\pi}{2})\right)\right)$$

 $C_{stray} = c_{stray}z + c$

where H is the cone height (nominally 80μ m), \Box the cone angle (nominally 15 degrees), *z* the tip sample distance, *h* the thickness of the dielectric thin film, and \ddagger its dielectric constant. To obtain an accurate value of the tip apex radius the experimental approach curve has been performed on a highly doped part of the sample. Different tips have been used and the radii varied between 100 nm and 2 µm. The smaller the tip diameter, the better is the SMM lateral resolution. For instance, the narrow transition regions between differently doped areas in figure 2, become more and more smoothened the bigger is the tip apex radius.

Based on eq. (4) the resistivity ρ can be extracted from the measured SMM resistance R_m by

$$\rho = R_m \left(\frac{\delta \pi r^2}{\delta W_{DL} + \pi r^2} \right). \tag{6}$$

The resistivity can be converted into doping concentration values by

$$\rho = \frac{1}{q(\mu_n N_D + \mu_p N_A)} \tag{7}$$

where q is the elementary charge $(1.60218 \cdot 10^{-19} \text{C})$ [35]; μ_n and μ_p are the negative and positive charge carriers mobilities, respectively, in cm²·V⁻¹·s⁻¹; N_D and N_A are the negative and positive charge carriers concentration, respectively, in cm⁻³.

For p-type and n-type silicon, equation (7) reduces to $N_A = 1/(q\mu_p\rho)$ and $N_D = 1/(q\mu_n\rho)$, respectively. It is convenient to express equation (4) in a form where the dependence of the skin depth \triangle and of the depletion layer depth W_{DL} on the resistivity \square is explicit.

Depending on the majority carrier type, the two latter expressions can be substituted with the doping concentration n into equation (2) and (3).



Figure 2. Calibrated SMM data including capacitance (a) and resistance (b) images. Based on the raw S_{II} amplitude and phase data (see Fig. 1) the three error parameter model was used to calibrate the complex impedance images. The doped Si sample has 10 different n- and 10 different p-implant areas (each 2 µm wide) with doping concentrations ranging from 4×10^{15} to 1×10^{20} atoms/cm³. The resistivity in (c) is calculated from the calibrated resistance using a tip/sample analytical model including skin depth \triangle and depletion width W_{DL}. The tip radius *r* was determined from the EFM dC/dz approach curve. The SMM resistivity is compared to the datasheet resistivity.

Therefore $W_{DL},$ expressed as a function of $\ensuremath{\overline{\textbf{D}}}$ has the form

$$W_{DL} = \sqrt{2\varepsilon\mu\rho \cdot \left(\frac{\Re_{t} - \bigvee_{i} + qV_{t} \cdot \ln\left[\frac{N_{c}}{n}\right]}{q}\right)}$$
(8)

Substituting equation (1) and (8) in equation (4), leads to the expression

$$R_{m} = \rho \left(\left(\frac{1}{\omega}\right) \sqrt{\left(\frac{\mu\varepsilon}{2}\right) \left(\sqrt{\left(1 + \frac{1}{(\rho\omega\varepsilon)^{2}}\right)} - 1\right)} + \frac{\sqrt{2\varepsilon\mu\rho} \left(\frac{\varphi_{t} - \overleftarrow{\xi}_{t} + qV_{t} \ln\left[\frac{N_{t}}{n}\right]}{q}\right)}{\pi r^{2}} \right)$$
(9)

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Figure 3. SMM raw data (a,b) and calibrated impedance (c,d) of the IMEC n-type Si dopant sample. The sample has a flat topography (inset in a) and different n-doped areas with doping concentrations ranging from 10^{14} to 10^{19} atoms/cm³. The 5 µm wide doping areas are separated by 1 µm wide bulk interface layers as observed also in the capacitance and resistance images. The resistivity and doping concentration (e) are calculated from the SMM resistance based on the tip/sample analytical model. (f) Comparison of SMM resistivity and doping concentration values to the datasheet values (provided by IMEC) determined with Secondary Ion Mass Spectroscopy (SIMS).

which shows that the SMM resistance R_m is related to the resistivity \Box via a non-linear dependency. Knowing the electric permittivity and the magnetic permeability of the sample under test and using the SMM frequency to calculate the angular frequency •, equation (9) can be solved for \Box . This procedure can be applied to any Si sample with unknown doping characteristics. The non-linearity of equation (9) implies the existence of multiple mathematical solutions where the only one that has a physical meaning, and that corresponds to the unknown resistivity, is the real positive solution. Once the resistivity extracted from the SMM resistance is obtained, the doping concentration can be calculated analytically using equation (6).

Equation (9) can be further simplified. For $n \le 10^{18}$ atoms/cm³ $R_S \ll R_x$ and equation (4) reduces to the expression

$$R_m \cong R_x = \frac{\rho W_{DL}}{\pi r^2},$$

that can be expressed

$$R_m \simeq \rho \cdot \frac{\sqrt{2\varepsilon\mu\rho \cdot \left(\frac{\Psi_t - \overleftarrow{\xi}_i + qV_t \cdot \ln\left[\frac{N_c}{n}\right]}{q}\right)}}{\pi r^2} \tag{10}$$

3. Results and Discussion

The doping samples were imaged with the SMM at 18 GHz and the raw data were acquired including topography, S_{II} amplitude and S_{II} phase (Figure 1). The complex impedance calibration workflow [19] was applied resulting in calibrated capacitance and resistance images (Figure 2a,b). For the impedance calibration, SMM and EFM approach curves were acquired directly on the dopant sample and the three-error parameter model was used to transfer the complex S_{II} values into tip-sample impedances Z (cf. Materials and Methods). There are two main

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Figure 4. Physical parameters of the doping sample used in the analytical model of the tip/sample resistivity. (a) Datasheet resistivity of the doped Si sample with respect to the different n- and p-implant doping concentrations (Infineon Technologies, Germany). (b) Depletion width [cm; left scale] and total band bending [V; right scale] at different doping concentrations. (c) Skin depth [m] of p-doped and n-doped regions for different doping concentrations.

advantages of this complex impedance calibration approach. Firstly, it works in situ on the sample under test and no calibration sample is required. Secondly, the calibration plane is located directly before the cantilever chip, therefore eliminating the contributions from RF cables and connectors. The calibration can be applied to any non-lossy material, like dielectrics or highly conductive materials, for which the change in impedance Z with respect to the tip-sample distance $z, Z_{in}(z)$, is only capacitive. The SMM capacitance measured on the doping sample changes from 0 to 100 aF while the resistance values range between 0 and 1 M Ω (Figure 2). The resistance image exhibits, as expected, a monotonous trend with lower values in the regions where the dopant concentration is higher. The capacitance image shows higher values in regions where the doping concentration is higher. Also, the individually doped areas can be nicely distinguished.

From the SMM resistance R_m (Figure 2b) we calculated the resistivity (Figure 2c) according to the following tip-sample resistance model (cf. Materials and Methods). A lumped element model [34] expresses R_m as two resistors in series, $R_m = R_S + R_x = \rho(1/\delta + W_{DL}/\pi r^2)$, where R_s represents the surface resistance at a given frequency and R_x is the sheet resistance. The AFM tip radius *r* was determined from an experimental EFM approach curve and the values in this study ranged between 100 nm and 2 μ m. δ and W_{DL} are the skin depth and the depletion width, respectively. They both directly depend on the resistivity, electric permittivity \ddagger and the magnetic permeability μ of the sample under test. Therefore, the resistivity \Box can be calculated analytically from the SMM resistance R_m knowing \ddagger and μ of the sample. This procedure can be applied to any Si sample with unknown doping characteristics.

In Figure 2c the SMM resistivity has been compared to the data-sheet resistivity and for both n-type and p-type regions a quantitative agreement is obtained. For the same doping concentrations, the p-type regions have higher resistivity than the n-type regions. This can be explained considering the higher mobility of the negatively charged impurities in n-type with respect to the positively charged ones in p-type.

In Figure 3 we show the procedure to obtain SMM resistivity and doping concentration applied on an n-doped Si sample imaged in cross-section geometry. Here, each doped region extends over the entire sample depth (~1cm) whereas in the previous sample the implanted regions were only 200 nm - 1 um deep. In both cases the sample surface is flat and the topography shows no information. Therefore, no cross-talk between topography and SMM images is obtained. Applying the same SMM impedance calibration workflow to the raw S_{11} amplitude and phase images (Figure 3a,b), calibrated capacitance and resistance images were obtained (Figure 3c,d). Also in this case the calibrated resistance and capacitance images show lower and higher values for the highly doped areas, respectively. The SMM resistance image was converted into a resistivity and dopant density image using equations (6) and (7), respectively. Fig. 3e shows the resistivity and dopant density image with the logarithmical colour scale bar where both physical quantities are mapped. Figure 3f compares the SMM resistivity and doping concentration with the data-sheet values of the sample. Again, a quantitative agreement is obtained for the entire range of doping concentrations. Additionally, the SMM resistivity and doping concentration data clearly shows the intermediate interface layers that separate the individually doped areas.

The depletion width and the skin depth for the standard topdown doping sample are shown in Figure 4. The depletion width ranges from 4 nm in the most heavily doped region to 574 nm in the region with the lowest doping concentration. The skin depth ranges from 0.7 mm (low doping) to 10 μ m (high doping) for the p-type region and from 0.4 mm to 9 μ m for the n-type region. Accordingly, the penetration depth is mostly governed by the skin depth, which is frequency dependent. By changing the SMM measurement frequency between 1-20 GHz it is therefore possible to probe different layers in the bulk material. Based on the skin depth dependence on the frequency, we expect on a n-type silicon substrate with doping levels ranging from 4x10¹⁵ atoms/cm³ to 1x10²⁰ atoms/cm³, the penetration depth to vary between 1.5 mm and 38 μ m at 1 GHz, and between 0.3 mm and 9 μ m at 20 GHz.

4. Conclusions

We have demonstrated the ability of SMM to simultaneously probe topography, capacitance, and resistance of semiconductor samples. Additionally, a method is presented to calculate resistivity and doping concentration from SMM resistance that can be applied to any semiconductor sample. The method has been validated on two doping profiling silicon samples, one measured in cross-section and the other one in standard top-down approach. The SMM capacitance and resistance values were characterized at 18 GHz with a noise level of 1 aF for capacitance. Resistance differences as small as 20 Ω could be distinguished. For both samples the SMM resistivity and the doping concentration are in quantitative agreement with the data-sheet values over a range of $10^{-3} \Omega$ ·cm up to $10^1 \Omega$ ·cm and 10^{14} atoms/cm³ to 10^{20} atoms/cm³, respectively.

The error associated with the SMM resistivity and dopant density measurements, calculated from the logarithmic difference between SMM and Datasheet in Figure 3f, is 54% in the region with 10^{18} atoms/cm³ doping level, 18% in the region with 10^{17} atoms/cm³ doping level, 5% in the region with 10¹⁶ atoms/cm³ doping level, 28% in the region with 10^{15} atoms/cm³ doping level, and 36.5% in the region with 10¹⁴ atoms/cm³ doping level. Accordingly, taking into account the uncertainty, the SMM dopant density in the different doping regions is $[1.1\pm0.6]$ x10¹⁸ atoms/cm³, $[2.2\pm0.4]x10^{17}$ atoms/cm³, $[4.5\pm0.2]x10^{16}$ at oms/cm^3 , $[4.5\pm1.3]x10^{15}$ atoms/cm³, $[4.5\pm1.7]x10^{14}$ atoms/cm³. As opposed to well-established 2-D carrier profiling nanoscale techniques like scanning spreading resistance microscopy (SSRM), the proposed method has the advantage that neither particular sample preparation methods nor high contact imaging forces are required. The lateral resolution of SMM is limited by the probe apex radius while the bulk penetration is mainly determined by the skin-depth. The skin-depth and therefore the microwave penetration depth can be changed by varying the SMM frequency. In this way, frequency dependent depth profiling can be realized. In future, quantitative and calibrated subsurface and tomographic imaging might be possible based on the broadband measurement capabilities of SMM.

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