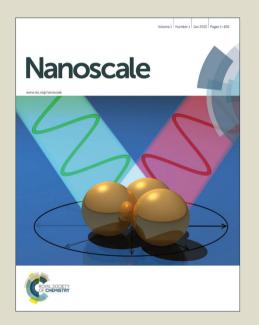
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Enhanced Surface Passivation Effect in InGaN/GaN Disk-in-Nanowire Light Emitting Diodes for Mitigating Shockley-Read-Hall Recombination

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We present a detailed study on the effects of dangling bond passivation and the comparison of different sulfides passivation process on the properties of InGaN/GaN quantum-disk (*Qdisk*)-innanowire based light emitting diodes (NW-LEDs). Our results demonstrated the first organic sulfide passivation process for nitride nanowires (NWs). The results from Raman spectroscopy, photoluminescence (PL) measurements, and X-ray photoelectron spectroscopy (XPS) showed octadecylthiol (ODT) effectively passivated the surface states, and altered the surface dynamic charge, thereby recovered the band-edge emission. The effectiveness of the process with passivation duration was also studied. Moreover, we also compared the electro-optical performance of NW-LEDs emitting at green wavelength before and after ODT passivation. We have shown that the Shockley-Read-Hall (SRH) non-radiative recombination of NW-LEDs can be greatly reduced after passivation by ODT, which led to a much faster increasing trend of quantum efficiency, and higher peak efficiency. Our results highlighted the research opportunity in employing this technique for further design and realization of high performance NW-LEDs and NW-lasers.

Introduction

III-nitride material is the basis of solid-state lighting, display and water purification.¹⁻³ Commercial III-nitride is typically grown on foreign substrates like sapphire or silicon carbide (SiC). However the performance of light emitting diodes (LEDs) on sapphire suffers from lattice and thermal expansion mismatch induced high dislocation density, the existence of spontaneous polarization and piezoelectric polarization fields, the related quantum-confined Stark effect (QCSE) and efficiency droop, etc. 4,5,6,7 The LEDs on SiC show superior performance to LEDs on sapphire because of the lower defect density, but with higher cost.8 In recent years, GaN bulk substrates have also been developed because of the matched lattice and the possibility to reduce polarization field when growing on semi-polar or non-polar substrates.⁹⁻¹¹ GaN-on-silicon wafers were considered to be a cheaper replacement for GaN substrates, but they are apt to crack because of the lattice and thermal expansion mismatch between GaN and silicon. 12-14 It is necessary to develop an alternative way for high efficiency, low cost nitride based LEDs and lasers to be produced.

III-nitride vertically aligned nanowire and nanowire based light-emitting diodes (NW-LEDs) on silicon substrates have attracted much attention in recent years. ^{15,16} The nanowires (NWs) can be grown without catalyst by using high V/III ratio during growth, which enhances the diffusion of III column element adatoms along the sidewalls of NWs, therefore enhances the vertical growth and limits the lateral growth of NWs. ^{17,18,19} The process avoids the formation of dislocation in the active region and crack generation by relieving the strain laterally. Besides, the geometry of NWs will also increase the light extraction efficiency of LEDs. The growth of NWs on large size

of silicon substrates is one of the ways to achieve high quality III-nitride material with low cost. NW-LEDs show a lot of advantages over the two-dimensional planar LEDs, such as, reduced piezoelectric polarization field, efficiency droop elimination, wavelength tunability to close the "green gap" and light extraction enhancement. 20,21,22,23 Wei Guo *et al.* reported catalyst-free growth of InGaN NWs and white-emitting LEDs which show negligible QCSE. 20 Zetian Mi *et al.* demonstrated ultrahigh efficiency phosphor-free white NW-LEDs with nearly zero efficiency droop. 21 Yong-Ho Ra *et al.* used high quality non-polar and semi-polar InGaN/GaN quantum-well (QW) nanowire structures on n-GaN core to achieve NW-LEDs without polarization. 22 Besides NW-LEDs, we have also reported the first electrically pumped edge-emitting, green and red lasers using NWs on silicon substrates. 15,24

Although the material quality of NWs is high, the large surface area of NWs also induces new properties. A. Armstrong observed the GaN NW surface state located 2.6 eV above the valence band of GaN. The transition due to donor bound excitons close to the surface was also reported in the photoluminescence (PL) spectra of GaN nanorods. The band bending caused by the surface Fermi level pinning has to be taken in to account in the device design. Another the quantum efficiency and output power of nanowire devices are limited by Shockley-Read-Hall (SRH) non-radiative recombination, due to their large specific surface and therefore the presence of high density of surface states. Moreover, the existence of surface states/defects leads to the slow increasing trend with current and peak quantum efficiency at high injection current. There is a need to effectively reduce the surface recombination arising from these surface states to improve the performance of the nanowire devices. A remarked area of NWs is high, the large surface area and surface and surface area and surface area.

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It is noted that sulfides are widely used for the surface passivation of III-V semiconductors by forming strong bonds between the sulfur atoms and surface atoms in InP,33,34 GaAs,35,36 and InAs.37,38 Among them, ammonium sulphide ((NH₄)₂S_x) is most commonly used. Organic sulfides, such as thioacetamide (TAM) 37 and octadecylthiol (ODT), 38,39 also showed effective passivation in InAs materials. Passivation of GaN layers has been reported by using various inorganic sulfides. 40 There are also few reports on the passivation of GaN NWs and nanowire devices. Zetian Mi et al. investigated the use of (NH₄)₂S_x for passivation, which can reduce surface recombination velocity and improve LED performance. 21,41 We have also reported the passivation of GaN nanowire with (NH₄)₂S_x to improve photoluminescence and reduce the p-contact resistance of the devices. 15 Other materials such as parylene, Si₃N₄ and SiO₂ were also used to passivate GaN surface. 42,43 However, the comprehensive study on the underlying mechanism of passivation and the comparison of chemicals used for passivation on the properties of nitride NWs are lacking.

In this letter, we present a detailed study on the effects of dangling bond passivation and the comparison of different sulfides used for passivation on the properties of InGaN/GaN quantum-disk (Qdisk)in-NW LEDs. Our results demonstrated the first organic sulfide passivation process in nitride NWs. The blueshift of surface optical (SO) mode and intensity decrease of longitudinal optical (A₁(LO)) mode in the Raman spectrum for ODT passivated sample indicated the dynamic charge at the surface was altered and the band bending was weakened by passivating the surface states. The passivation also recovered the band-edge emission, leading to an increase in GaN and InGaN PL peak intensity. X-ray photoelectron spectroscopy (XPS) reveals the contribution of complete removal of native oxide and the occupation of the dangling bonds by sulfur atoms after passivation. The effectiveness of the process with passivation duration was also studied. Moreover, we also compared the performance of NW-LEDs emitting at green colour before and after ODT passivation. We have shown that the SRH non-radiative recombination of NW-LEDs can be greatly reduced after the passivation by ODT, which can lead to a much faster increasing trend of quantum efficiency, and higher peak efficiency.

Experimental

The NWs samples were grown using Veeco Gen-II plasma assisted molecular beam epitaxy (PAMBE) on low-resistivity n-type Si(111) substrates. The detailed process was also described in our previous report. 15 The NW-LEDs have ~ 300 nm n-type GaN (n $\sim 4 \times 10^{18}$ cm⁻¹ 3) grown at 800 °C, 8 pairs of InGaN-disk (~3 nm)/GaN-barrier (12 nm) grown at 620 °C and ~150 nm p-type GaN (p ~ 7×10^{17} cm⁻³) grown at 650 °C. After growth, the native oxides of NWs were removed by rinsing samples in the 1:1 (v/v) solution of deionized (DI) water and 49 wt % HF acid before the passivation process. Three different passivation solutions were prepared. For the ODT solution, the ODT powder was dissolved in ethanol, mixed with NH₄OH, and kept at 60 °C; for the TAM solution, the TAM powder was dissolved in NH₄OH, and kept at 70 °C; the ammonium sulfide solution was mixed with isopropyl alcohol (IPA), and kept at 50 °C. The samples were then soaked in these solutions for the same duration, rinsed in methanol and then dried under nitrogen flow. The surface morphologies of the NW-LEDs were characterized using a FEI Quanta 3D FEG field emission scanning electron microscopy (FE-SEM). The high-angle annular dark field scanning transmission electron microscopy (HAADF-STEM) image of NW-LEDs was taken using a FEI Titan 80-300 kV(ST) S/TEM operated at 300 kV. Raman measurements were carried out on a Horiba Jobin Yvon confocal micro-Raman, the HR 800 system, using a 532 nm laser excitation

source. A 100×objective lens with numerical aperture (N.A.) of 0.90 was used to focus the laser beam and collect scattered light in the backscattering geometry. Micro-photoluminescence measurements were carried out using a 325 nm excitation laser at room temperature. XPS spectra were acquired on an Oxford Applied Research Escabase XPS system equipped with a CLASS VM 100 mm mean radius hemispherical electron energy analyser with multichannel detectors in an analysis chamber with a base pressure of 5.0×10^{-10} mbar. Core level scans were acquired with a step size of 0.1 eV, dwell time of 0.5 s and pass energy of 20 eV averaged over 50 scans. A non-monochromated Al-kα X-ray source at 200 W power was used for all scans. All spectra were acquired at a take-off angle of 90 ° with respect to the analyser axis and were charge corrected with respect to the C 1s photoelectric line.

The NW-LEDs with chip areas of 60 µm in diameter were fabricated as illustrated in the fabrication flow chart in Figure 1. To study the surface passivation of NW-LEDs, a sample was also soaked in ODT solution for passivation prior to the LED fabrication. The NWs were first planarized by 2 µm thermal evaporated parylene using a SCS Parylene Deposition Equipment, followed by O2 plasma etching to expose top p-GaN of NWs. The SiO2 dielectric layer was then deposited followed by photolithography to define the size of LEDs. The opening area was then etched by buffered oxide etch (BOE) to expose the p-GaN. The p-contact area was then defined by photolithography to make sure all the LED area was covered by pcontact metal. Before depositing 10 nm Ni by electron beam evaporation and 250 nm indium-tin-oxide (ITO) by magnetron sputtering on the top of NWs for the p-contact, the sample was dipped in HCl at 90 °C to remove the surface oxide and make sure a good contact. Ni/ITO was annealed in argon ambient at 500 °C for 1 minute to form a good ohmic contact. Another Ti/Au (10 nm/400 nm) layer was deposited at the top of the LEDs by electron beam evaporation to serve as a current spreading layer. Finally, 500 nm of aluminium was deposited by magnetron sputtering at the backside of the silicon substrate for the n-contact. The light power-current (L-I), current-voltage (I-V) characteristics and electroluminescence (EL) spectra were measured for the NW-LEDs with and without ODT passivation under direct current (DC) injection using a microscope based EL system integrated with a Keithley 2400 source meter, a Newport power meter (Model 2936-C) and an *Ocean Optics* QE65000 spectrometer. The light output power was measured from the top surface of the NW-LEDs, through an optical microscope objective, using a calibrated silicon photodiode connected to the optical power meter.

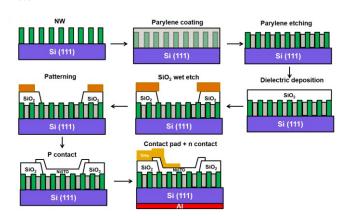


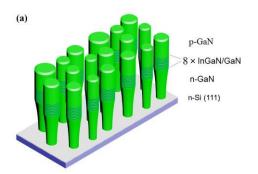
Fig. 1. Flow chart illustrating the fabrication process for NW-LEDs: (i) growth of NWs on Si(111) substrates using MBE, (ii) parylene coating, (iii) parylene etching, (iv) SiO₂ deposition, (v) SiO₂ window opening by wet etching, (vi) pcontact area patterning, (vii) Ni/ITO deposition, (viii) Ti/Au and Al deposition.

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Results and discussion

Figure 2(a) shows the schematic and layer structures of the vertically aligned InGaN/GaN Qdisk NW-LEDs, with the c-axis along the growth direction normal to the substrate. Figure 2(b) and 2(c) show the corresponding SEM images of NW-LEDs. The NWs' density is 4×10¹⁰ cm⁻² with 40-60 nm lateral size, 600 nm in length and hexagonal shape. The InGaN/GaN Qdisk and p-GaN section showed a larger diameter compared to the initial n-GaN roots, due to the lower growth temperature during the InGaN and p-GaN growth, similar to our previous report. 15, 23 As a result, the coalescence of adjacent NWs happened. For some NWs, earlier nucleation on the substrate led to the longer length, ¹⁸ as shown as bright NWs in Figure 2(b) and longer NWs in Figure 2(c). There was no obvious etching effect when the NWs were examine under SEM after the passivation processes. Highresolution HAADF image of InGaN/GaN Qdisk NW-LEDs in Figure 2(d) shows 8 InGaN Qdisks which appear as brighter regions due to the higher atomic number of indium.



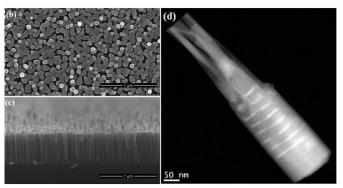


Fig.2. (a) Schematic and layer structures of the InGaN/GaN *Qdisk* NW-LEDs; (b) top view and (c) cross-section view SEM images of *Qdisk* NW-LEDs; (d) high-resolution HAADF image of NW-LEDs.

Figure 3 shows Raman spectra of the as-grown sample, samples with ODT, TAM and $(NH_4)_2S_x$ passivation, respectively. The Raman spectrum for the silicon substrate was also shown for reference. The signal from InGaN *Qdisk* is weak, and we only focus on the Raman signals from GaN to evaluate the effectiveness of the passivation processes. The peaks at 619.9 and 672.5 cm⁻¹ were attributed to the silicon substrates. The modes at around 650.7 and 736.8 cm⁻¹ were attributed to SO phonon and $A_1(LO)$ phonon modes, respectively. The frequencies were deduced by subtracting the background and fitting the spectra using the *Lorentzian* functions (not shown here). We observed that the peak position for $A_1(LO)$ remained at 736.8 cm⁻¹ for all the samples after passivation, whereas only the SO mode peak for ODT passivated sample showed apparent blueshift from 651.0 cm⁻¹ to 654.2 cm⁻¹, the SO mode peaks for other samples remained at 651.0 cm⁻¹.

The frequency of SO, ω_{SO} can be written as follows according to Liu *et al.*:⁴⁴

$$\omega_{SO} = \omega_{TO} \sqrt{1 + \frac{(\epsilon_0 - \epsilon_{\infty})e_S^*/e^*}{\epsilon_m + \epsilon_{\infty}}}$$

where ω_{TO} is the transverse optical frequency of the bulk, ε_0 is the static dielectric constant, ε_{∞} is the high-frequency dielectric constant, ε_{m} is the dielectric constant of the surface medium, e_S^* is the dynamic charge at the surface and e^* is the bulk dynamic charge value.

The surface dynamic charge e_s^* can be estimated according to the equation of motion for the relative atomic displacement and the Lyddane-Sachs-Teller relation, 45,46 which was found to be lower than the bulk value. It was deduced that with decreasing surface states, the value of (e_s^*/e^*) will increase, leading to the increase in the frequency of SO mode. 47,48 Consistent with this deduction, we observed a blueshift in SO mode for the sample after ODT passivation, confirming the alteration of the surface dynamic charge through the passivation of surface states.⁴⁴ It is noted that, the intensity ratio of $A_1(LO)$ to SO (I_{LO}/I_{SO}) in Figure 3, calculated after *Lorentzian* peak fitting, was the lowest for the ODT passivated samples. The relative intensity of A₁(LO) for (NH₄)₂S_x passivated sample was comparable to the as-grown sample, and the intensity for TAM passivated sample also decreased. Since the intensity of A₁(LO) is proportional to the square of the strength of the surface electric field, we believe that the weakened band bending lowers the surface barrier height and reduces the surface electric field, and therefore it is the most effective passivation technique. Similar SO and LO phonon behaviour was also observed in ZnO submicron crystals.44

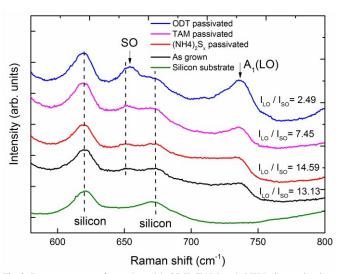
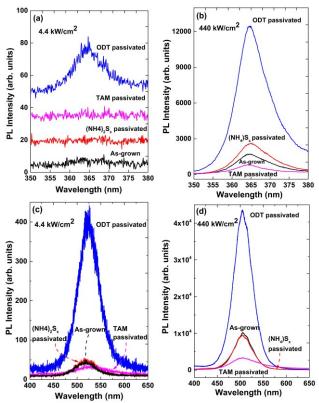


Fig.3. Raman spectra of samples with ODT, TAM and $(NH4)_2S_x$ passivation, as-grown sample, and the silicon substrate, respectively, with the corresponding LO - SO intensity ratios indicated.

The surface passivation effect is further evident in the room temperature PL spectra of NWs. Figure 4 (a) and (b) show the GaN PL spectra of the as-grown sample, sample passivated using ODT, TAM and (NH₄)₂S_x at 4.4 kW/cm² and 440 kW/cm², respectively. At relatively low laser excitation density of 4.4 kW/cm² as shown in Figure 4 (a), the GaN PL emission from the as-grown, TAM-passivated and (NH₄)₂S_x passivated GaN NWs were below detection limit, which were attributed to photo-generated carriers captured by the non-radiative recombination centres mainly related to the surface states. For the ODT passivated sample, the increase in GaN PL

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intensity is most notable, compared to that of the as-grown sample in both low and high laser excitation density of 4.4 kW/cm² and 440 kW/cm². The GaN PL peak intensity was about 6 times higher than that of as-grown sample in Figure 4(b). In addition, the PL full-width at half-maximum (FWHM) decreased from 12 nm for as-grown sample to 10.5 nm for ODT passivated sample. Figure 4 (c) and (d) also show the enhancement in PL from InGaN Odisks upon passivation with ODT. We attribute this to the effective surface states passivation using ODT which reduces surface states and recovers the band-edge emission, leading to a reduction in the surface recombination velocity and an increase in PL peak intensity.⁴⁰ Meanwhile, the (NH4)₂S_x and TAM passivated samples showed comparable PL emission in the GaN NWs. We also observed the slight increase in PL intensity for GaN emission, as well as the slight decrease in the PL intensity of the InGaN Qdisks at excitation density of 440 kW/cm² for samples after (NH4)₂S_x passivation. Although there are reports on the PL intensity enhancement after (NH4)₂S_x passivation, other groups reported the insignificant change in PL intensity,³⁸ which agrees with our observation. This is likely due to the combination of difference in the passivation process and material systems. The power dependent GaN PL results of the samples are also shown in Figure 4(e). The ignorable GaN PL intensity at the low excitation power indicates the non-radiative recombination dominates at these excitation levels. The super-liner dependence of the PL intensity at higher excitation indicates the transition between nonradiative and radiative recombination process. Compared to ODT passivated sample, the slower increase of the PL intensity for asgrown, (NH4)2Sx and TAM passivated sample indicates a large number of surface states are participating in recombination as the quasi-Fermi levels through the bandgap. 49 The power dependent GaN PL result for sample with ODT passivation was also shown in Figure 4 (f). The GaN PL peak showed blueshift with increasing excitation power at low excitation due to band filling and the screening of QCSE, then redshift at high excitation probably because of the band-gap renormalization of GaN.50



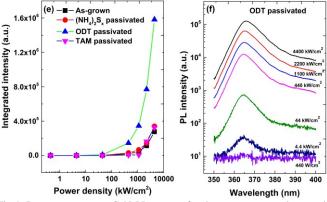
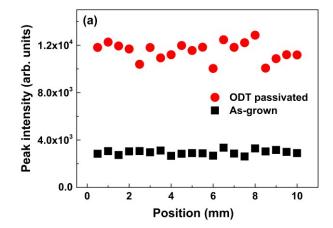


Fig.4. Room temperature GaN PL spectra for the as-grown sample, sample with ODT, TAM and (NH4)₂S_x passivation at: (a) 4.4 kW/cm² (spectra are staggered for clarity), and (b) 440 kW/cm² laser excitation intensity, respectively. The InGaN PL spectra for the as-grown sample, sample with ODT, TAM and (NH4)₂S_x passivation at (c) 4.4 kW/cm² and (d) 440 kW/cm² laser excitation intensity, respectively. (e) PL intensity of GaN with laser excitation density. (f) Power dependent PL results of GaN for the sample with ODT passivation.

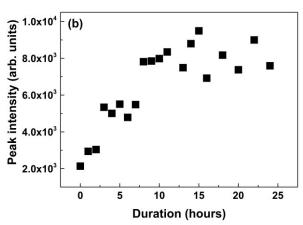
For LEDs emitting at green wavelength and beyond, high indium compositions are required. The indium composition within NWs and among NWs is inherently not uniform. The highly inhomogeneous InGaN NWs and broad emission wavelength of the devices remain one of the concerns for studying these NWs. To check the possible effect of inhomogeneity on the results, we have measured the PL spectra at different positions of the sample. Figure 5(a) shows the peak intensity distribution of the InGaN Qdisks PL spectra across 1 cm length of the as-grown sample and ODT passivated sample. The peak intensity for the ODT passivated sample is 4 times higher than the asgrown sample, although the deviation of the intensity is also higher maybe because of the non-uniformity of the passivation, further confirming an effective surface states passivation process for NWs.

The time dependent passivation studies were also done by soaking the sample in the ODT solution for different duration. As shown in Figure 5 (b), the intensity of the GaN PL peak increased with the passivation duration at the beginning then saturated after 11 hours, indicating sufficient time is necessary for the formation of bonds between the sulfur atoms and surface atoms at the whole surface, after which the surface states were completely passivated.^{51,52} The temperature dependent PL results (not shown here) also show that the sample after ODT passivation has higher PL efficiency compared to the as-grown sample. It is believed that with ODT passivation, the surface state was reduced, leading to the decreased non-radiative surface recombination rate and increased PL efficiency.



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Fig.5. (a) The InGaN *Qdisks* PL peak intensity across 1 cm length of the asgrown sample and ODT passivated sample; (b) the GaN PL peak intensity of the ODT passivated sample with the passivation duration.

To study the mechanism for the improved optical properties of the passivated samples, XPS measurements were performed. Figure 6 shows the O 1s core-level and Ga 2p core-level spectra for NWs before and after ODT passivation. The spectra were processed using CasaXPS software where the Shirley background correction was employed and peaks were fitted using Voigt profiles. In Figure 6 (a), the binding energy (BE) of 531.2 eV is related to elemental O in GaO_x, the BE of 532.7 eV is related to O-H bond, 53, 54 the BE of 528.8 eV and 531.9 eV in Figure 6 (c) are related to O=C and O-C bonds of ODT after passivation process. 55-57 It is evident that the O-Ga peak for the as-grown sample disappeared after ODT passivation. This implies that the passivation process effectively removed the surface oxide. The BE of 1116.5 eV in Figure 6 (b) and (c) is related to elemental Ga in GaN, the BE of 1118.8 eV in Figure 6 (b) is related to Ga-O bond, the BE of 1118.6 eV in Figure 6 (d) is related to Ga-S bond as presented in the literature.^{53, 58-60} The Ga 2p core-level spectra indicates the native oxide can be removed and Ga-S bonds are formed after ODT passivation. From the above results, it's obvious the surface states were passivated by the complete removal of native oxide and the occupation of the dangling bonds.

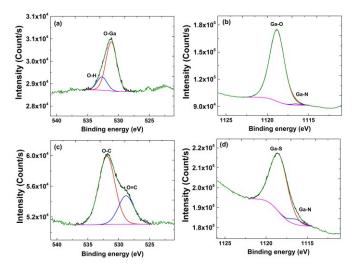


Fig.6. O 1s spectra of the as-grown sample (a) and ODT passivated sample (c); Ga 2p spectra of the as-grown sample (b) and ODT passivated sample (d).

NW-LEDs were also fabricated to compare the performance before and after ODT passivation. Figure 7(a) shows the current-voltage (I-

V) characteristics of the LEDs with and without ODT passivation under direct current (DC) injection at room temperature. The LEDs with and without passivation showed similar turn on voltages of ~3.5 V. Figure 7(b) shows the EL spectra of the NW-LEDs at different injection current densities at room temperature. The peak emission wavelength for LEDs with and without passivation are both at around 510 nm and showed negligible blueshift in the injection current range of 2.5 - 10 mA. Figure 7(c) and 7(d) also show the light output power versus current curves (L-I) of the LEDs. Light output power of asgrown LEDs is lower than that of the passivated LEDs. The relative external quantum efficiency (EQE) of the LEDs was calculated using the equation $\eta_{ext} = (P / hv) / (I / e)$, where P is the light output power of the LED, v is the frequency of the light from the EL wavelength of the LED and I is the injection current, h is Planck's constant, e is the electron charge. The EQE of the as-grown LED is low compared to passivated LED due to higher non-radiative recombination rate because of surface states for the as-grown sample.⁴³

The EQE of the as-grown sample reached the maximum at injection current density of 110 A/cm², and then decreased, with a slope about 2.08×10⁻⁴/A cm⁻². At 350 A/cm², the quantum efficiency of the sample dropped to 71.2% of the maximum. In comparison, the EQE of the ODT passivated sample reached the maximum at lower injection current density of 70 A/cm², and dropped with the same slope about 2.08×10⁻⁴/A cm⁻². The identical slop indicates the passivation process suppresses SRH non-radiative recombination not Auger recombination which is active layer related. At injection current density of 350 A/cm², the EQE of the sample is still 81.1% of the maximum. The slow rise of the EQE with current of the as-grown LED is directly related to the large SRH non-radiative recombination rate, compared to the ODT passivated LEDs.⁶¹ Therefore, we can confirm that ODT can reduce the surface states effectively to reduce the non-radiative recombination of NWs.

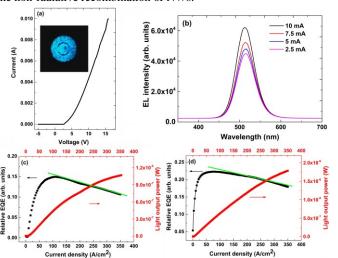


Fig.7. (a) Measured I-V characteristics of the 60 μm diameter LEDs; inset shows the charge-coupled devices (CCD) image for the uniformly illuminated LED; (b) the EL spectra of the LED at different injection current; the relative EQE and L-I characteristics of LEDs without (c) and with (d) ODT passivation, respectively. The green lines in (c) and (d) have identical slope values of $2.08 \times 10^{-4} / A \text{ cm}^{-2}$.

Conclusions

In conclusion, we have systematically compared the chemicals used for passivation, and studied the effect of surface passivation of InGaN/GaN *Qdisks* NW-LEDs emitting green light (510 nm) using ODT. Our results demonstrated the first organic sulfide passivation

process in nitride NWs. The ODT effectively reduced the surface states, altered the surface dynamic charge and recovered the bandedge emission. The effectiveness of the process indicated from the enhancement of PL intensities was studied by varying the passivation duration. We have also shown that the SRH non-radiative recombination of NW-LEDs can be greatly reduced after passivation by ODT, leading to a much faster increasing trend in quantum efficiency as well as higher peak efficiency. This technique can be employed as a critical step for further design and realization of high performance nanowire emitters. Our study highlighted the research path which was not fully explored in current nitride based nanowire research.

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Notes and references

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