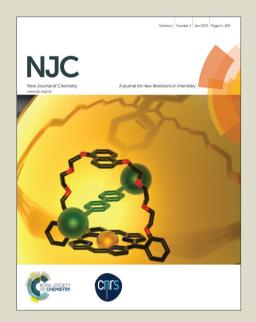
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#### **ARTICLE**

# Physical Properties Exploration of Highly Oriented V<sub>2</sub>O<sub>5</sub> Thin Films Prepared by Electron Beam Evaporation

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Shrividhya Thiagarajan<sup>a</sup>, Mahalingam Thaiyan<sup>b</sup> and Ravi Ganesan<sup>a\*</sup>

Highly oriented vanadium pentoxide  $(V_2O_5)$  thin films were grown by electron beam (EB) evaporation on glass substrates at 473 K.  $V_2O_5$  thin films were coated with various thicknesses ( $\approx$ 800-1200 nm) by varying the distance from the source and substrates. Influence of film thickness on its physical properties were deeply studied by various characterization techniques. XRD studies were performed to investigate the structural confirmation and preferential lattice orientation of EB evaporated films. Voigt profile method was used to estimate the microstructural parameters and elaborately discussed its dependency of film thickness. SEM micrographs perceived that the prepared films were nearly homogeneous with densely packed morphology. AFM topographical images depicted that the nanograins on the surface of the films. Raman spectra confirmed the formation of  $\alpha$ - $V_2O_5$  polymorph without secondary phase formation. From the optical absorption data, the indirect optical band gap  ${}^{\circ}E_g{}^{\circ}$  was found to be 2.36 eV for higher thickness  $V_2O_5$  thin film. Optical constants such as refractive index (n), extinction coefficient (k), optical conductivity ( $\sigma$ ) and dielectric constants ( $\varepsilon$ ) were evaluated using an approximation protocol developed from well recognized procedures using the data obtained from UV-Vis spectroscopic technique. The luminescence properties variations was observed by photoluminescence (PL) spectroscopy and it has elucidated that the film thickness role on the EB evaporated  $V_2O_5$  films. The Hall mobility and carrier density were found to increase with film thickness.

#### Introduction

Transition metal oxides are of great interest because of their wide range of peculiar optical <sup>1</sup>, transport <sup>2</sup> and magnetic properties which remain incompletely understood. Strongly correlated transitional metal oxide system exhibiting metal to insulator transition (MIT) is explored in recent past as a fundamental functional materials <sup>4</sup>. Among them MIT and Mott-Hubbard behavior of vanadium oxide system which occurs above room temperature (340 K) has attracted many researchers and is investigated in recent past <sup>5</sup>. V<sub>2</sub>O<sub>5</sub> has many unusual properties owing to its rich oxidation states  $(V^{2+} \text{ to } V^{5+})$  and its coordination geometries yielding remarkable structural arrangements <sup>6</sup>. It finds wide range of applications in thermochromic devices like thermal regulators, IR detectors, tagging and labeling due to its negative differential thermal emittance and hence it is demonstrated as naturally disordered meta material by Mikhail et al <sup>7</sup>. The existence of VO<sub>5</sub> octahedral coordination geometry makes it a suitable intercalation/deintercalation host for lighter elements. This gives them reversible and persistent optical, electronic and structural properties that can be employed in electrochromic devices and Li/

In the present work,  $V_2O_5$  thin films were deposited by electron beam physical vapor evaporation method at various thicknesses from \$\approx\!800 to \$\approx 1200 nm. Various probing tools like Raman spectroscopy, X-ray diffractometer, scanning electron microscopy, atomic force microscopy, energy dispersive spectroscopy, photoluminescence spectroscopy, UV-Vis spectroscopy and Hall measurements were used to analyze and understand the influence of thickness on the structural, optical, morphological, compositional, luminescence and transport properties of  $V_2O_5$  thin films at room temperature.

#### **Experimental**

The vanadium pentoxide ( $V_2O_5$ ) thin films were deposited by electron beam evaporation technique. Well etched glass substrates were ultrasonically cleaned using isopropyl alcohol for 30 minutes.

Na based energy storage devices  $^{8\text{-}13}$ . Dietze et al have illustrated the feasibility of using VO2 as potential candidate for photoconductive devices  $^{14}$ . Apart from these, V2O5 finds applications in sensors  $^{15}$ , actuators  $^{16}$ , magnetic applications  $^{17}$  and fiber optic devices  $^{18}$ . Furthermore, tuning of thickness, morphology and particle size has pronounced effect in altering properties of any material due to enhanced surface to volume ratio and dimension  $^{19}$ . 2D confinement of materials, only not yields good property but also gives possibility for miniaturization of devices  $^{20}$ . Several methods were used for the preparation of  $V_2O_5$  thin films such as spray pyrolysis  $^{21}$ , RF sputtering  $^{22}$ , electrodeposition  $^{23}$ , pulsed laser deposition  $^{24}$ , chemical methods  $^{25}$ , thermal evaporation  $^{26}$  etc. Among them, electron beam – physical vapor deposition suitable for constructing device prototypes for various applications in research and industries.

<sup>&</sup>lt;sup>a.</sup>School of Physics, Alagappa University, Karaikudi, India-630004 E-mail: gravicrc@gmail.com; raviganesa@rediffmail.com Telephone: +91-04565-225206; Fax: +91-04565-225202

<sup>&</sup>lt;sup>b</sup>Department of Electrical and Computer Engineering, Ajou University, South

Korea, Suwon 443749

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The pelletized  $V_2O_5$  (purity > 99.99%) were taken in graphite crucibles, kept on water-cooled copper hearth of the electron gun, inside the vacuum chamber. The chamber was evacuated to a high vacuum of the order of  $10^{-5}$  mbar using rotary and diffusion pumps. In the electron gun, the electrons were extracted from a dc heated cathode of tungsten filament, by the application of electric field which pass through an anode and deflected through an angle of about 180° by the magnetic field and reach the target material. The surface of V<sub>2</sub>O<sub>5</sub> pellet was scanned by the resultant and deflected electron beam with an accelerating voltage of 5 kV. The vapor phase of the evaporated material were condensed and deposited as thin film layer on the surface of the substrates. The vertical distance was fixed as 12 cm from the source, while the substrates were placed at different horizontal distances from the source to vary the thickness of the films. The detailed substrate position variations are provided in the schematic diagram of **fig.1(a)**. Substrate temperature (T<sub>S</sub>) was maintained at 473 K throughout all depositions<sup>27</sup>.

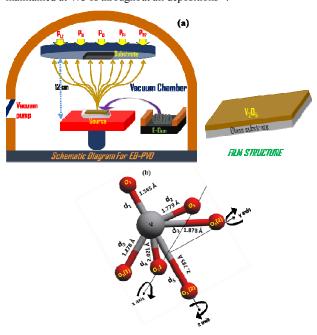


Fig. 1(a) Schematic diagram for EB-PVD deposition and the substrate position for  $V_2O_5$  thin films preparation. The substrate positional variation is obviously enlightened in the schematic; (b) Geometrical 3D view of  $V_2O_5$  structure with the characteristic interatomic distances

Thickness of the deposited V<sub>2</sub>O<sub>5</sub> thin films were measured using a stylus profilometer (Mitutoyo SJ-301) equipped with diamond needle. Micro-Raman spectra were collected using Princeton Acton SP 2500 instrument with 0.5 focal length grating monochromator. Raman scattering measurements were performed using 514.5 nm excitation line from Ar<sup>+</sup> laser. X-ray diffractograms were recorded X'PERT PRO Panalytical diffractometer Cu- $K_{\alpha}$  ( $\lambda = 0.154$  nm) as source radiation over the range 10-80°. Morphological analyses were performed using FEI QUANTA scanning electron microscope (SEM). Atomic force microscope (AFM) analysis was used for topographical studies. The optical properties were studied using UV-Vis spectrophotometer (PERKIN ELMER LAMDA 9) over the range of 400-1500 nm. Luminescence properties were demonstrated using Cary Eclipse fluorescence spectrophotometer using 330 nm Xe laser as excitation source at room temperature. Hall effect measurements were carried out using ECOPIA HMS-3000 using Van Der Pauw method to comprehend the room temperature transport properties of V<sub>2</sub>O<sub>5</sub> thin films deposited at different thickness.

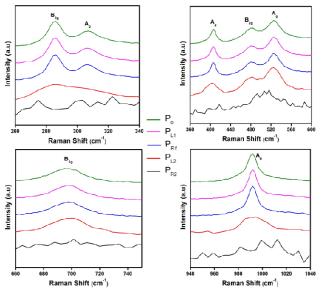
#### Results and discussion

#### (a) Structural studies

V<sub>2</sub>O<sub>5</sub> thin films were deposited onto glass substrates with various thicknesses by placing the substrate at different distances from the target using electron beam-physical vapor deposition method. The horizontal distance from the center of the source to the substrate position was varied in both directions and named position as P<sub>0</sub> (center),  $P_{R1}$  (4 cm to right side),  $P_{R2}$  (8 cm to right side),  $P_{L1}$  (4 cm to left side) and P<sub>L2</sub> (8 cm to left side). The detailed schematic diagram is presented in fig. 1(a) to reveal the substrate positions in the experiment. In fig. 1(b), the crystal structure is depicted by the geometrical diagram with its characteristics interatomic distance <sup>21</sup> V<sub>2</sub>O<sub>5</sub> belongs to the orthorhombic structure with Pmmn space group symmetry and  $D_{2h}$  point group with the lattice constants a = 11.51 Å, b = 3.56 Å and c = 4.37 Å [JCPDS Card No # 41-1426]. The vanadium atom and the three inequivalent oxygen positions O<sub>3</sub> (bridge), O<sub>1</sub> (vanadyl) and O<sub>2</sub> (chain) are shown together with the bonds between the vanadium and the nearest neighbouring oxygen atoms. The linear chain oxygen atoms are linked together with vanadium atoms in the y direction. In the x direction, the vanadium atoms are connected by the bridge oxygen atoms. The bond length of vanadium and oxygen atoms are 1.779 Å (V-O<sub>3</sub>), 1.878 Å (V-O<sub>2</sub>(2)) and 2.021 Å (V-O<sub>2</sub>(1)). The vanadyl oxygens are positioned above and below the vanadium atoms creating the shortest (1.585 Å) and longest (2.785 Å) vanadium-oxygen distances in this structure along the z direction. This structure can be defined as a ladder structure with the legs running along y and the rungs along x described by Atzkern et al 29. Each vanadium atom and its five nearest oxygen neighbours create VO<sub>5</sub> pyramids which share their corners within the ladder and their edges between neighbouring ladders. The resulting layers are stacked along the c direction. We would like to remark that actually the vanadium is shifted out of the base plane of the pyramid toward the vanadyl oxygen <sup>29</sup>. The film thickness analysis is performed by a stylus profilometer. The measured thickness are  $\approx$ 840,  $\approx$ 910,  $\approx$ 998,  $\approx$ 1100 and  $\approx$ 1200 nm for the relative substrate positions of P<sub>R2</sub>, P<sub>L2</sub>, P<sub>R1</sub>, P<sub>L1</sub> and P<sub>0</sub>, respectively. Ad-atoms nearer to the electron gun source are expected to gain more kinetic energy than that is farther. The surface diffusion of these ad-atoms with the momentum transfer sets off nucleation and growth of V<sub>2</sub>O<sub>5</sub> thin films onto the substrate surface. This growth kinetics results in reduced thickness while moving away from the source <sup>30</sup>. The film thickness plays a prominent role in the microstructural and electrical properties of V<sub>2</sub>O<sub>5</sub> matrix.

Raman spectroscopy is known to be a very useful tool for monitoring the structural order-disorder degree at short range and crystallinity of oxide materials. There are 14 atoms per unit cell and hence 42 degrees of freedom corresponding to zone-center modes <sup>31</sup>. Baddour et al., demonstrated 3 translational (zero frequencies) and 39 vibrational (optical) irreducible representations using group symmetry analysis. Among them 15 belongs to IR active phonon modes and remaining 21 corresponds to Raman active phonon modes  $^{32}$ . Fig. 2 depicts the micro-Raman spectra of  $V_2O_5$  thin films with various thicknesses deposited at substrate temperature 473 K (T<sub>S</sub>). From the Raman spectra, the prepared film can be assigned to the specific signature of  $\alpha$ -V<sub>2</sub>O<sub>5</sub> polymorph <sup>33</sup>. The peaks are at lower frequency range of 500-100 cm<sup>-1</sup> which is hard to predict due to the coupling effect. However, all the frequencies are attributed to the corresponding modes of vibrations as a supporting information in the table S1, which are in good agreement with the earlier reports<sup>12, 23, 34, 35</sup>. No other peaks representing secondary phases of vanadium and oxygen were observed that ascertains single phase formation. Also, the absence of peak at 850 cm<sup>-1</sup> indicated that the films are not hydrated (V<sub>2</sub>O<sub>5</sub>.H<sub>2</sub>O) normally observed in V<sub>2</sub>O<sub>5</sub><sup>36</sup>. The enhanced peak intensity and broadening with increase of film NJC COMMUNICATION

thickness manifests the decrease of crystallite size which may be due to lattice-phonon confinement effect <sup>37</sup>.



**Fig. 2** Raman spectra of  $V_2O_5$  thin films prepared at different thicknesses. (a) The stretching mode V=O related  $B_{2g}$  and intra ladder oxygen atoms related  $A_g$  Raman shift is exhibited. In the lower thickness (800 nm) exhibited film does not emit the Raman peak. Also, 900 nm thickness film exhibits solely displayed V=O related  $B_{2g}$  peak with lower intensity. The  $B_{2g}$  and  $A_g$  Raman shift intensity and broadening are increased with increase of film thickness; (b) The stretching mode V=O related  $A_g$  Raman shift is observed and its intensity linearly increases with thickness. The bending vibration of V-O-V related  $B_{2g}$  bond ( $d_2$ ) and stretching vibration of V-O-V bridging  $A_g$  bond ( $d_4$ ) are displayed at 483 and 525 cm<sup>-1</sup>, respectively; (c) Asymmetric bond stretching mode of V-O-V bridging  $B_{1g}$  bond ( $d_3$ ) is exhibited at 701 cm<sup>-1</sup>; (d) The stretching mode vanadium connected oxygen atoms (V=O)  $A_g$  bond ( $d_1$ ) observed at 996 cm<sup>-1</sup> whose intensity increased linearly with the film thickness.

Fig.3(a) shows XRD patterns of V<sub>2</sub>O<sub>5</sub> thin films deposited at various thicknesses. It reveals crystalline thin films with orthorhombic structure. The observed XRD reflections at an angle 20.26° and 41.4° corresponding to the lattice orientation of (001) and (002) planes respectively, which is in good agreement with the JCPDS card No # 41-1426. The deposited film seems to be single lattice orientation up to 1000 nm thickness of the film and (002) reflection plane is exhibited for beyond the thickness value of 1000 nm. From this observation, the higher film thickness encourages polycrystalline nature of film and also increases the crystallinity. It is believed that the high mobility of ad-atom enhances the crystallinity of thin films. It is worthy to note that thin films are highly oriented along the (001) preferential plane perpendicular to c-axis. Lakshmi et al., suggested that such orientations is very useful for intercalation of hosts for Li ions, like in rechargeable batteries and electrochromic devices The peak broadening of (001) lattice orientation is given in **fig. 3(b)**. The broadening of the peak is obviously increased with increase of film thickness. This broadening also increases the full-width at half maximum (FWHM) values of the film. In this FWHM values are given the prominent role to evaluate microstructural properties of prepared V<sub>2</sub>O<sub>5</sub> thin films.

Microstructural parameters were evaluated using Voigt profile method  $^{39}$ . The structural studies depicted the overall influence of film thickness on the crystalline nature of  $V_2O_5$  thin films. Debye-Scherer's  $^{39}$  formula using full-width at half-maximum intensity (FWHM) expressed in radians as

$$D = \frac{0.9\lambda}{\beta \cos \theta} \tag{1}$$

where D is crystallite size and  $\beta$  is the FWHM. The crystallite size can be precisely altered by varying the substrate position on the substrate holder as given in schematic diagram. The microstrain ' $\varepsilon$ ' is evaluated from the equation (2)<sup>39</sup>

$$\frac{\beta \cos \theta}{\lambda} = \frac{1}{D} + \frac{\varepsilon \sin \theta}{\lambda} \tag{2}$$

where ' $\lambda$ ' is the wavelength of X-ray, 'D' is the crystallite size, ' $\beta$ ' the FWHM of the predominant orientation and ' $\theta$ ' is Bragg's angle respectively. The dislocation density ' $\delta$ ' <sup>39</sup> can be defined as the length of dislocation lines per unit volume of the crystal and to be determined from the crystallite size 'D' by the relation:

$$S = \frac{n}{D^2} \tag{3}$$

where 'n' is a factor, when equal to unity gives minimum dislocation density. The number of crystallites/unit area <sup>39</sup> is calculated using the formula

$$N = \frac{t}{D^3} \tag{4}$$

where 't' is a thickness of the film. The texture coefficient <sup>39</sup> is calculated from the formula.

$$T_{C}(hkl) = \frac{I(hkl) / I_{o}(hkl)}{N^{-1} \sum_{n=1}^{N} I(hkl) / I_{o}(hkl)}$$
(5)

where I(hkl) is the measured relative intensity of a plane (hkl),  $I_o(hkl)$  is the standard relative intensity of the plane (hkl) taken from the JCPDS card, N is the number of reflections.

Stacking fault probability was calculated from the formula,

$$\alpha = (\frac{2\Pi^2}{45\sqrt{3}})\left[\frac{\Delta(2\theta)}{\tan\theta}\right] \tag{6}$$

Table 1 shows the variation of microstructural parameters such as crystallite size, microstrain, dislocation density and number of crystallites for V<sub>2</sub>O<sub>5</sub> thin films. The crystallite sizes of V<sub>2</sub>O<sub>5</sub> thin films are linearly decreased with increase of film thickness. The crystallite size values are found to be 31, 35, 40 and 45 nm for  $\approx 1200$ ,  $\approx 1100$ ,  $\approx 1000$  and  $\approx 900$  nm thickness  $V_2O_5$  thin films, respectively. The crystallite size decreases with increase of film thickness is due to the quantum confinement effect. The lattice imperfection nature could be decreased due to the crystallite size decrement 40. The evaluated microstrain values are inversely proportional to the crystallite size of the films. This may due to an enhancement of internal microstrain with decrease in crystallite size of the films. The maximum value of microstrain is obtained at  $0.025 \text{ lines}^{-2}\text{m}^{-4}$  for  $V_2O_5$  thin film deposited at  $P_0$  position. An aggregate of distorted crystallites as a measure of the crystallite size and strain could affect the variance of the X-ray diffraction line profiles. It is evident from table 1, the dislocation density values are increased with increase of film thickness. This behavior might be due to increase of microstrain with film thickness enhancement. The maximum value of dislocation density is estimated as  $1.01 \ x \ 10^{15} \, lines/m^2$  for  $V_2O_5$  thin film deposited at  $P_0$  position. The decrease of crystallite size is attributed to the increase of the grain boundaries and the amount of defects in the crystalline structure. The increase of dislocation density  $(\delta)$  values indicated that the enrichment of dislocation defect in the structure. The number of crystallites value is increased with the increase of thickness due to the detraction of crystallite size as given in the table 1. The substrate

position highly dominates the deposition rate by the absorption of vaporized particles from the source. The change is stacking fault probability is plotted as a function of thickness in **fig. S1**. It may be noted that the stacking fault probability reduces with decrease of crystallite size. This manifests reduced defects at higher thickness.

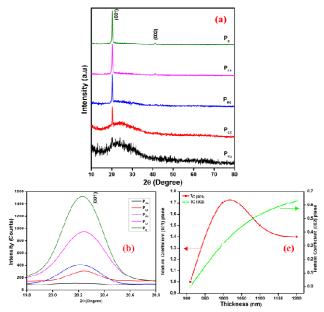


Fig. 3 (a) XRD patterns of  $V_2O_5$  thin films prepared at various thickness by adjusting substrate positions. The lower thickness film reflects amorphous signature in the XRD pattern; (b) Peak broadening in XRD along (001) reflection for  $V_2O_5$  thin films. The peak broadening and intensity of the peak are linearly enhanced with the film thickness; (c) Texture coefficient along (001) and (002) planes.

Table 1 Microstructural parameters of  $V_2O_5$  thin films prepared at different substrate positions

Sample Code	Thickness (nm)± 30 nm	Crystallite Size (nm)	Dislocation Density (× 10 <sup>15</sup> lines/m²)	Micro strain (lines <sup>-2</sup> m <sup>-4</sup> )	No of Crystallites per unit area (10 <sup>15</sup> crystallites/m²)
$P_0$	1200	31	1.01	0.025	40
$P_{\rm L1}$	1100	35	0.82	0.022	25
$P_{R1}$	998	40	0.63	0.020	15
$P_{L2}$	910	45	0.54	0.017	9
$P_{R2}$	840	-	-	-	-

The variation in film thickness influences the film stresses induced in the layers. Consequently the dislocation density is increased due to the release of stresses built-up in the layers. The maximum value of strain and dislocations exhibited at higher thickness  $V_2O_5$  thin films improves the stoichiometry in the films, which in turn causes the volumetric expansion of films. The functional dependency of strain and dislocation density and decrease of crystallite size indicates that the alteration of substrate position is the key factor for creating structures of various sizes with limited strain and dislocations. The dislocation density and microstrain values are increased with the film thickness may be due to the strain induced mismatch of thermal expansion coefficient between the material  $(8\times10^{-6}/K)$  and glass substrate  $(4.6\times10^{-6}/K)^{41}$ . The texture coefficient gives the quantitative information about the preferential

crystallite orientation. The texture coefficient along (001) and (002) planes were calculated and plotted in **fig. 3(c)** for  $V_2O_5$  thin films. A sample with randomly oriented crystallite presents  $T_C$  (hkl)  $\leq 1$ , while the high value is an indicator of the larger abundance of crystallites oriented at the particular (hkl) plane. The texture coefficient value of predominant peak (001) is found to be 1.72 for higher film thickness. The increase of thickness might have induced the regular arrangement of atoms in the highly textured  $V_2O_5$  film.

#### (b) Surface properties

Scanning electron microscopy (SEM) is demonstrated to be a unique and versatile method to analyze morphological properties of V<sub>2</sub>O<sub>5</sub> films and also to determine the grain size. Fig.S2 (a-e) shows typical SEM micrographs of V<sub>2</sub>O<sub>5</sub> thin films deposited at different substrate positions such as P<sub>0</sub>, P<sub>R2</sub>, P<sub>L2</sub>, P<sub>R1</sub>, P<sub>L1</sub> as indicated in schematic diagram. Fig.S2 shows the smooth surface morphology with the nano-sized grains covered the entire surface of the films. However, films prepared at various substrate positions exhibit strong adherence to the substrates. Also, these films are compact and dense. It is observed that the surface is highly homogenous and is free from pin holes, cracks or voids. No agglomerations are observed on the surface. Such morphology indicates that the films possess good microstructure. The particles are very densely packed and could not be measured from the SEM images. These surface properties have strong effect on the optical properties such as transmittance, absorbance and reflection. There is no other significant variation observed in the SEM micrographs of various thickness prepared  $V_2O_5$  thin films.

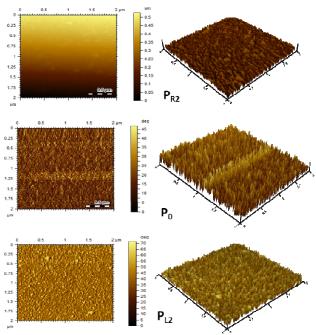
Furthermore, the surface topology of  $V_2O_5$  thin films was examined using atomic force microscopy (AFM). Fig. 4 shows the twodimensional (2D) and three-dimensional (3D) topographical images of V<sub>2</sub>O<sub>5</sub> thin films deposited at various film thicknesses. The amorphous nature topology of the surface is exhibited by lower thickness V<sub>2</sub>O<sub>5</sub> thin film prepared at P<sub>R2</sub> position. Also, at some places presence of patchy type of grains demonstrated the agglomeration process. The displayed AFM images are an obvious evidence to understand surface properties of V<sub>2</sub>O<sub>5</sub> films prepared at various thicknesses. The needle shaped crystalline topology of the film is enlightened by 2D and 3D AFM image of V<sub>2</sub>O<sub>5</sub> thin film deposited at higher thicknesses by keeping the substrates at P<sub>0</sub> position. 3D images confirmed the grain growth with uniform heights and are highly oriented in particular direction, which is consistent with the XRD and Raman results. The mixture of needle shaped grains with slight amorphous signature is observed in topographical 2D and 3D image of  $V_2O_5$  thin film with  $\approx 900$  nm thickness deposited at  $P_{L2}$  position. This  $V_2O_5$  thin film exhibits higher thickness than the one deposited at P<sub>R2</sub> position. The nano level grains with pin holes and voids are observed in 2D image of P<sub>1,2</sub>. The smaller grains are joined together to form bunches of grains in the surface of the film. The AFM images are correlated with XRD patterns and also indicate a columnar growth of V2O5 films. The highly dense state of topography is observed with an inhomogeneous distribution of grains on the surface as shown in fig.4. AFM reveals the granular nature of particles and agglomeration of particles is seen from the 3D micrographs. These images support the SEM data (ESI) revealing tight packing density

#### (c) Optical and electrical properties

The optical properties of  $V_2O_5$  thin films were analyzed using UV-Vis-NIR spectrometer recorded at room temperature in air. Fig. 5(a) represents spectral transmittance as a function of wavelength for  $V_2O_5$  thin films of different thickness measured at normal incidence. The transmittance percentage is decreased from 82 to 60 % in the visible region as film thickness increases. This is associated with the higher packing density of thicker films resulting in higher grain boundary scattering  $^{42}$ . The optical properties dissimilarity might be

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highly correlated with the film thickness variation. This finding is consistent with the XRD, Raman and SEM data. The transmittance values rapidly increased in the 410-570 nm wavelength region and thereafter slightly decreased up to 850 nm and saturated for  $V_2O_5$  thin films deposited at  $P_{\rm L2}$  and  $P_{\rm R1}$  positions. The interference pattern in transmittance spectra are observed for  $V_2O_5$  thin films deposited at higher thickness as shown in fig. 5(a). This nature can be enabled due to the highly homogeneity of the film. The band edge is shifted to the higher wavelength region due to the alteration of film thickness and grain boundaries. The band edge is comparable with the earlier reports of Santos et al $^{43}$ .



**Fig. 4** AFM topographs of  $V_2O_5$  thin films prepared at various thickness by adjusting substrate positions. The amorphous background topograph is envisaged at  $P_{R2}$  position prepared  $V_2O_5$  film. The needle like regularly arranged topograph is observed for  $P_0$  position prepared film. The different sizes of grains are observed due to the agglomeration of smaller size grains in the topograph of  $P_{12}$ positionprepared film.

The capability of a material to absorb light is estimated by its absorption coefficient <sup>44</sup>. The band gap value of semiconductors can be evaluated by employing the following equation:

$$\alpha h v = K(h v - E_g)^n \tag{7}$$

where  $\alpha$  is absorption coefficient, hv is the discrete photon energy, K is a constant, Eg is the band gap energy and n depends upon the type of optical transmission in the band gap region. The band gap value is estimated using n=1/2 corresponding to indirect band gap transition. The extrapolation of plot to the x-axis gives the band gap energy of V<sub>2</sub>O<sub>5</sub> thin film (**Fig. 5(b)**). The energy band gap value of electron beam evaporated V<sub>2</sub>O<sub>5</sub> thin film is found to be 2.18-2.36 eV using Tauc's plot. The indirect band gap energy of V<sub>2</sub>O<sub>5</sub> thin film is found to be 2.36 eV for higher thickness films and this value is in good agreement with the earlier report 45. The crystallite size and strain values are given predominant role to shift band gap energy from lower to higher. The crystallinity of V<sub>2</sub>O<sub>5</sub> thin films are proven by XRD pattern due to the film thickness alterations. In the present work, the increase in the band gap energy may be attributed to predominant crystallite size reduction and enhancement of strain with enlargement of FWHM at higher film thickness. It is evident

that indirect band gap shifts towards higher energy with shrinkage in crystallite size. The band gap is increased from 2.18 to 2.36 eV with corresponding crystallite size reduction of  $45-31\,\mathrm{nm}$  for  $V_2O_5$  thin films deposited at different substrate positions. It is well known that the optical properties of the vanadium pentoxide are strongly affected by the type and concentration of defects in the sample. The enrichment of bandgap with reduction of crystallites size suggested that the surface defects are responsible for the intra-gap states. Furthermore, the experimental transmittance data are used to calculate the optical constants for much strengthen our observation.

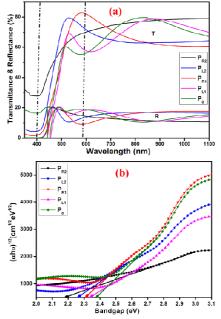


Fig. 5 (a) Transmittance and reflectance spectra of  $V_2O_5$  thin films deposited at different thickness by adjusting substrate positions. The interference pattern in the transmission spectra is elucidated that the highly crystalline nature of film surface; (b) Tauc's plot of  $V_2O_5$  thin films deposited at various film thickness;

Refractive index plays a major role in the fabrication of optoelectronic devices. Fig. 6(a) represents the variation of refractive index (n) with wavelength for  $V_2O_5$  thin films deposited at different substrate positions. Transmission spectra values are used to estimate the refractive index using a modified algorithm of the envelope method proposed by Swanepoel<sup>46</sup>. It is observed that refractive index values tend to decreases function of wavelength for V<sub>2</sub>O<sub>5</sub> thin films. Also, refractive index values are decreased with film thicknesses. The reduction of refractive indices might be due to the close packing nature of the grains as coalescence of grains caused the densification of the layers with increase of film thickness. The extinction coefficient (k) V<sub>2</sub>O<sub>5</sub> thin films is estimated using an expression reported by Shrividhya et al 42. Fig. 6(b) shows the variation of extinction coefficient of V<sub>2</sub>O<sub>5</sub> thin films as a function of wavelength. The extinction coefficient is decreased as increase of V2O5 thin film thicknesses which may be due to increase of absorption coefficient <sup>47</sup>. These results suggest that the V<sub>2</sub>O<sub>5</sub> films exhibited at normal dispersions in the UV-Vis-NIR region. The earlier reports are in close agreement with our proposed results 48,49

The complex dielectric constant variations for  $V_2O_5$  thin films prepared at different substrate position are shown in **fig. S3(a, b).** The imaginary and real parts of the dielectric constant can be designed and it is linearly associated to the density of states within the forbidden gap of the investigated oxides <sup>50</sup>. The real part of dielectric constant decreases with increase in wavelength for all the

prepared V<sub>2</sub>O<sub>5</sub> films. The complex dielectric constant values are decreased with increase of film thickness, which may be due to the reduction of grain boundaries thereby changing the structure and morphology of the surface. It has been well established that the complex dielectric constant clamp down as the size of quantum confined physical systems are in the nanometric range. The observation of dielectric suppression might be due to the atomic coordination-number imperfection, which dictates that the size dependence of the atoms orientation and electron-phonon coupling. thus determines the entire band structure such as band gap reduction or expansion. Optical conductivity, which is defined as the transport of photons in a material is one of the powerful tools for studying the electronic states of semiconductors. The optical conductivity of V<sub>2</sub>O<sub>5</sub> thin films deposited at various substrate positions as a function of wavelength are estimated using refractive index and absorption coefficient and displayed in the fig. S3(c). The optical conductivity values are originated from the inter band transition due to electron-phonon interaction <sup>5</sup>

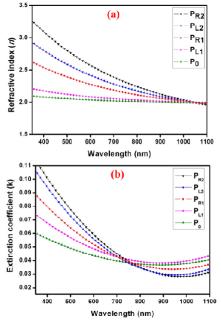


Fig. 6(a) The variation of refractive index as a function of wave length for  $V_2O_5$  thin films with various thickness; (b) The variation of extinction coefficient as a function of wave length for  $V_2O_5$  thin films various thickness

Fig. 7(a) shows photoluminescence (PL) emission spectra at room temperature for V<sub>2</sub>O<sub>5</sub> thin films deposited at various thicknesses using an emission wavelength of 258 nm. A wide emission peak is observed at ~485 and 526 nm. The peak broadening and intensity is increased as increase of film thickness which is due to the enrichment behaviour of luminescence properties of V<sub>2</sub>O<sub>5</sub>. The Gaussian resolved peak position is enlightened in the fig. 7(b) for V<sub>2</sub>O<sub>5</sub> thin film deposited at P<sub>0</sub> position which yielded maximum film thickness in the present work. The closeness of PL peak position with the absorption edge implied that the luminescence is related to the band edge recombination in  $V_2O_5$  thin films  $^{52,\ 53}$ . The peak emission near ~542 nm may be due the recombination of conduction band lowest split-off V-3d electron and valence band O-2p electron <sup>4</sup>. Interestingly, the emission spectra revealed that the V<sub>2</sub>O<sub>5</sub> thin films have exhibited considerable enhancement in the luminescence properties due to variations in film thickness. It is known that the nano level crystallite size generally possess a high-density of surface state. These surface states may act as traps for the photo excited carriers and may suppress excitonic luminescence considerably. This result may be attributed to effective distribution of surface states in

 $V_2O_5$  matrix, thereby enhancing the luminescence yield. The luminescence spectra band diagram is provided to represent the dual peak emission (**fig. 7(c)**). The wide range of Gaussian resolved emission peak for lower thickness  $V_2O_5$  thin films is given in **fig. S4(a-d)**.

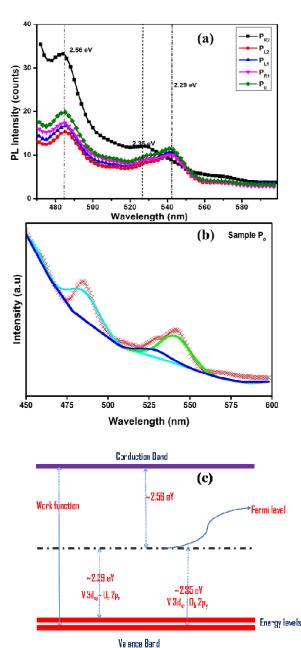


Fig. 7 (a) Photoluminescence spectra of  $V_2O_5$  thin films deposited at different thickness by adjusting substrate positions; (b) Gaussian resolved PL spectra of 1200 nm thick  $V_2O_5$  thin film; (c) The luminescence spectra band diagram for  $V_2O_5$  thin films.

**Fig. 8** shows that the electrical transport properties for V<sub>2</sub>O<sub>5</sub> thin films measured by van der Pauw Hall measurement. As the film thickness increases, the resistivity decreases and the carrier concentration and mobility of the films are increase. The transport properties of thin film are greatly influenced by their crystallinity<sup>55</sup>.

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This may lead to enhancement of mobility and carrier concentration with increase of film thickness. Also, the amorphous signatures at lower film thickness contributed to higher resistivity in these films.  $V_2O_5$ thin film with higher thickness has resistivity (1011  $\Omega$ .cm) and high mobility (496 cm<sup>2</sup>/V.s) and carrier concentration (3.202×10<sup>13</sup> cm³) compared to other thin films deposited at lower thickness. This is a desired property for electrochromic device <sup>56</sup>. There are very few experimental reports available in literature for RT Hall measurement of V<sub>2</sub>O<sub>5</sub> thin films. Abo et al., reported RT Hall measurement for thin films deposited at various substrate temperatures. They showed that the conductivity in V<sub>2</sub>O<sub>5</sub> thin films are due to the presence of complex charge transfer mechanism of V<sup>4+</sup> ions <sup>57</sup>. Manil et al., reported temperature Hall measurement crystalline dependent for amorphous thin films<sup>58</sup>. The reported higher mobility value than the previous reports is be due to the homogeneous surface nature, lower crystallite size and higher crystallinity at higher thickness prepared V<sub>2</sub>O<sub>5</sub> thin film.

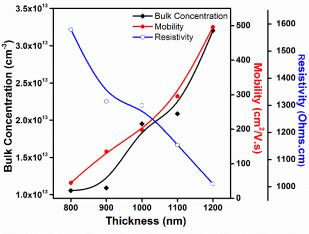


Fig. 8 Room temperature Hall measurement properties variation of  $V_2O_5$  thin films deposited at different thickness by adjusting substrate positions.

#### **Conclusions**

We have demonstrated the formation of highly oriented V<sub>2</sub>O<sub>5</sub> thin films with an orthorhombic phase using the Electron Beam - Physical Vapour Deposition (EB-PVD) technique. The film thickness was varied by adjusting the substrate position on the substrate holder and the thickness were found to be in the range of 800-1200 nm. The prepared V<sub>2</sub>O<sub>5</sub> thin films were crystalline with orientation along (001) lattice plane. XRD and Raman studies were carried out for the structural confirmation of prepared V<sub>2</sub>O<sub>5</sub> films. Optical characterization shows that the characteristic transition of semi-conductive indirect band gap energy was lying between 2.18 and 2.36 eV. The optical parameters like refractive index, extinction coefficient, dielectric constant and optical conductivity values were proposed determined from Swanepoel's formulae. Morphological studies revealed that uniform morphology with nano-sized grains were occupied the entire surface of the film. The mobility and carrier concentration values increased with increase of film thickness. V<sub>2</sub>O<sub>5</sub> thin films with higher thickness can be optimized and used as a suitable candidate for electrochromic applications.

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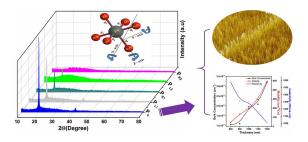
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## **GRAPHICAL ABSTRACT**



Highly crystalline  $\alpha$ -V<sub>2</sub>O<sub>5</sub> thin film nanostructures with single phase exhibiting higher mobility was prepared by EB-PVD technique.