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Novelty of the work

In this work we performed the first transcriptional study of a filamentous fungus (*N. crassa*) in response to chitosan.

Graphic



1 Neurospora crassa transcriptomics reveals oxidative stress and plasma membrane

2 homeostasis biology genes as key targets in response to chitosan

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24 Abstract

Chitosan is a natural polymer with antimicrobial activity. Chitosan causes plasma 25 26 membrane permeabilization and induction of intracellular reactive oxygen species (ROS) in Neurospora crassa. We have determined the transcriptional profile of N. 27 28 crassa to chitosan and identified the main gene targets involved in the cellular response to this compound. Global network analyses showed membrane, transport and 29 30 oxidoreductase activity as key nodes affected by chitosan. Activation of oxidative 31 metabolism indicates the importance of ROS and cell energy together with plasma 32 membrane homeostasis in N. crassa response to chitosan. Deletion strain analysis of chitosan susceptibility pointed, NCU03639 encoding a class 3 lipase, involved in 33 plasma membrane repair by lipid replacement and NCU04537 a MFS monosaccharide 34 transporter related with assimilation of simple sugars, as main gene targets of chitosan. 35 NCU10521, a glutathione S-transferase-4 involved in the generation of reducing power 36 for scavenging intracellular ROS is also a determinant chitosan gene target. Ca²⁺ 37 increased tolerance to chitosan in N. crassa. Growth of NCU10610 (fig 1 domain) and 38 SYT1 (a synaptotagmin) deletion strains was significantly increased by Ca^{2+} in presence 39 of chitosan. Both genes play a determinant role in N. crassa membrane homeostasis. 40 Our results are of paramount importance for developing chitosan as antifungal. 41

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46 Keywords

47 Drug targets, time-series analysis, ROS, membrane remodeling, calcium

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50 Introduction

Chitosan is a polymer obtained by partial chitin N-deacetylation¹ which has antifungal 51 activity.² Chitosan inhibits growth of filamentous fungi and yeast human pathogens.^{3,4} 52 To develop chitosan as an antifungal treatment, a full understanding of its mode of 53 54 action is necessary. In Saccharomyces cerevisiae, the response to chitooligosaccharides is mediated by proteins associated with plasma membrane, respiration, ATP production 55 and mitochondrial organization.⁵ Five genes (arl1, bck2, erg24, msg5 and rba50) were 56 characterized that provided chitosan resistance when overexpressed or increased 57 58 sensitivity as a deletion strains. These genes have important roles in signaling pathways, cell membrane integrity and transcription regulation.⁵ Other transcriptional studies in S. 59 cerevisiae revealed the relevance of oxidative respiration, mitochondrial biogenesis and 60 transport in the response to chitosan.⁶ Previous physiological studies in N. crassa 61 demonstrated that chitosan causes plasma membrane permeabilization.⁷ Membrane 62 fluidity is a key factor determining chitosan sensitivity in fungi.⁸ Cell energy and 63 mitochondrial activity have also an important role in moderating the antifungal activity 64 of chitosan.⁷ The transcriptional response of filamentous fungi to this antifungal 65 remains unknown. 66

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Membrane damage caused by currently used antifungals (eg. azoles) is associated 68 with the induction of intracellular reactive oxygen species (ROS).^{9,10} We have recently 69 shown that low chitosan concentration increased intracellular ROS levels in N. crassa 70 leading to partial membrane permeabilization.⁴ Increasing chitosan dose dramatically 71 raised ROS levels causing full membrane permeabilization and subsequent cell death. 72 Oxidative stress by chitosan is mediated by the energetic status of the cell. A reduction 73 in cell energy by blocking the electron transport chain protected N. crassa from chitosan 74 damage.⁷ The plasma membrane of *N. crassa* contains high levels of polyunsaturated 75 free fatty acids (FFA), this fact is directly associated with its susceptibility to chitosan.⁸ 76 Fungal plasma membrane lipids could be easily oxidized by an induction of intracellular 77 oxidative stress generated by chitosan as found for other antifungals.^{10,11} This fact 78 79 would link ROS and membrane homeostasis biology in the mode of action of chitosan.

Ca²⁺ is known to be involved in plasma membrane repair.¹² Previous molecular 81 studies revealed SYT1, a synaptotagmin, involved in membrane repair in several 82 organisms¹³ including N. crassa.¹⁴ Moreover, Ca^{2+} plays a role in the response to 83 oxidative stress and programmed cell death in N. crassa.¹⁵ PRM1 and FIG1 are key 84 proteins in calcium-dependent plasma membrane remodeling during membrane fusion 85 in S. cerevisiae and N. crassa.¹⁶⁻¹⁹ In N. crassa, two additional proteins, LFD1 and 86 LFD2 are also involved in Ca²⁺-dependent plasma membrane repair during cell 87 fusion.^{14,20} It is currently unknown, however, how fungi repair membrane damage 88 89 caused by chitosan.

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In this work, we analyzed the transcriptional response of N. crassa germinating 91 conidia and determined the main gene functions related with the exposure to chitosan. 92 We applied temporal series analysis (Next-maSigPro²¹ and ASCA-genes²²) and a 93 network analysis approach $(Cytoscape)^{23}$ to understand the dynamics of functions and 94 95 gene targets involved in N. crassa response to chitosan. This study has pointed mitochondrion (ROS) and membrane homeostasis as the main functions in the response 96 97 of N. crassa to chitosan and has identified key gene targets. Deletion strains of these key genes were evaluated for fitness and growth. We further demonstrated that 98 extracellular calcium protects fungal cells from damage caused by chitosan. These 99 studies are a key step for improving the knowledge on the mode of action of chitosan, 100 which is essential for its future development as antifungal. 101

102 Results and Discussion

103 Chitosan causes an early activation and late repression of *N. crassa* genes

104 The experimental conditions for analyzing the effect of chitosan on *N. crassa* 105 germination and development are shown in Fig. 1. Time-course of *N. crassa* conidia 106 germination is included in Figure 1A. Germination defects were quantified after 8h 107 exposure of *N. crassa* conidia to 0.5 μ g ml⁻¹ chitosan (Fig. 1B; IC₅₀) which showed an 108 approximately 50% reduction in germination. This chitosan concentration was used for 109 high throughput transcriptomic study.

To identify transcriptional changes caused by exposure of N. crassa to chitosan a 3-110 stage time-course (4, 8 and 16h post-inoculation) was performed. A total of 523 N. 111 crassa genes were considered differentially expressed (p-value < 0.05), with a fold 112 113 change ≥ 2 (lower fold change values were considered non-significant), in response to chitosan (Fig. 2A). Of these, 55.6% (291 genes) were down-regulated and 45.3% (237 114 genes) up-regulated. Our time-course experiment showed a progressive reduction in the 115 number of genes whose expression increased upon exposure to chitosan (142 induced 116 genes at 4h, 119 at 8h and 45 at 16h; Fig. 2B). In contrast, exposure to chitosan resulted 117 in an increase in the number of genes whose expression levels decreased over time (79, 118 93 and 207 genes down-regulated at 4, 8 and 16h, respectively; Fig. 2C). A subset of 22 119 genes was differentially expressed consistently (*p*-value < 0.05; log₂foldchange ≥ 2) 120 throughout the whole time-course (Fig. 2A). Most of these genes (19) were down-121 122 regulated, two genes were up-regulated and only one gene of this set (NCU05018) had 123 an early (4 and 8h) induction and a late (16h) gene repression (Fig. 2D).

124 Expression of 10 N. crassa genes representative of functional categories that were 125 differentially expressed by exposure to chitosan were selected to validate our RNA-seq analysis. Gene expression was evaluated by qRT-PCR following an 8h exposure to 126 chitosan (Fig S1). These genes were NCU05134, NCU06123, NCU07610, NCU01382 127 and NCU05712 (involved in response to oxidative stress), NCU02363 (involved in 128 response to chemical compounds), NCU05018, NCU3494 pin-c (related with 129 130 heterokaryon incompatibility and membrane biology), NCU05764 (a sam-dependent 131 methyltransferase) and a transcription factor with a zinc-finger domain (NCU05767). All genes analyzed by qRT-PCR showed an expression pattern consistent with that 132 derived from RNA-seq data analysis (Fig. S1). 133

134 *N. crassa* main gene functions differentially expressed with chitosan are 135 oxidoreductase activity, membrane homeostasis and microtubule organization.

A gene ontology (GO) functional annotation of *N. crassa* genes differentially expressed
in response to chitosan was carried out using Blast2GO (Fig. 3 and Figs. S2 and S3). All
GO-domains (molecular function, MF; biological process, BP; cell component, CC) and
times were considered together for a complete functional gene expression analysis (Fig.

3A). Oxidoreductase activity (70 genes), membrane (57 genes) and transport (44 genes)
were the most enriched GO-terms.

Using maSigFun software for RNA-seq data time series analysis combined with GO 142 annotation, we generated the time-course of functional gene expression for the most 143 significantly enriched GO-terms representing N. crassa response to chitosan (Fig. 3B). 144 The analysis identified 12 significant GO-terms using FDR=0.05 and R^2 =0.4 levels, as 145 suggested in previous studies²⁴. Chitosan modified patterns of expression of ROS-146 related GO terms mitochondrion and peroxisome organization (Fig. 3B). Mitochondrion 147 genes increased expression through time reaching maximum values of expression at 148 16h, suggesting that chitosan enhances synthesis/turnover of mitochondrion components 149 150 (respiration). Genes associated with peroxisome organization, involved in ROS 151 degradation and catabolism of free fatty acids, were first highly expressed (4h) then completely repressed (16h). Likewise, chitosan modified patterns of expression of GO 152 categories related with membrane structure and biology. Exposure to chitosan was 153 associated with repression at 16h of genes involved in cell cortex, vesicle organization 154 and conjugation (Fig. 3B). Moreover, G-protein coupled receptor signaling were 155 compromised by chitosan during all the time-course study (Fig. 3B). These features 156 indicate that chitosan significantly compromised both structure and signaling associated 157 with cell membrane homeostasis. Genes associated with GO-terms related to cell 158 growth such as microtubule organizing center and motor activity had decreased 159 expression values by chitosan through time (Fig. 3B). This behavior suggests the 160 161 importance of cytoskeleton in the antifungal action of chitosan. Conversely, chitosan increased expression of genes associated with GO terms involved in protein synthesis 162 (ribosome and ribosome biogenesis, Fig. 3B). This would support the increasing 163 164 expression of genes and synthesis of proteins related to oxidoreductase activity by chitosan (Fig. 3A). In a similar way, nucleolus and structural molecule activity (Fig. 3B) 165 166 genes were also late activated by chitosan.

167 Potential gene targets of *N. crassa* to chitosan and their dynamics of expression

168 Initial time-course analysis showed 5% of *N. crassa* genes significantly expressed in 169 response of *N. crassa* to chitosan. A subset of 33 genes with a relevant change (*p*-value

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< 0.05; \log_2 fold-change > \pm 4.5) of expression is shown in Table S1. A restrictive cut-off was applied with the aim of detect the genes with large change in expression in response to chitosan. This subset included the 22 genes found in the differential gene expression analysis (Fig. 2) plus genes highly expressed (at early or late steps) associated with enriched GO-terms after chitosan exposure.

175 When applying ASCA-genes method we focused on submodel (b+ab) that represents 67.18% of total variation. Two components were selected, explaining 93% of this 176 177 variability (52.38% and 40.62%, respectively; Fig. S4). They, therefore, represented the main gene expression in response to chitosan. First component identified a gene 178 expression difference between chitosan and control constant through time (Fig. S4A). 179 180 Second component identified expression pattern characterized by a clear interaction 181 through time (Fig. S4B). The analysis of the squared prediction error (SPE) and leverage, determined a cut-off using gamma method, revealed 410 genes which 182 followed the selected components (which explained 93% of variation) and 474 with a 183 behaviour not identified in these (Fig. S5). Comparisons between ASCA and the fold-184 change gene selection methods (523 genes in total) revealed 447 genes in common (Fig. 185 S4C). Summarizes graphically this comparison where is observed a high overlap 186 between fold-change gene selection and genes with high leverage (also scores can be 187 observed). Moreover 33 genes with a relevant change (listed in Table S1) were also 188 identified showing high scores for the two components identified after PCA (Fig.S4C). 189

To inspect ASCA gene selection time series two cluster analyses were applied: one to the well-modelled genes (M) and another to the bad-modelled genes (NM) obtaining 4 and 6 clusters respectively (Fig4 and S6). Both analyses were performed with the hierarchical method. Cluster 1M (Fig. 4A) contained genes associated with an early response to chitosan including two dioxygenases: NCU01849, the most highly expressed gene in response to chitosan (11.16 fold-induction) and NCU01071 a predicted 2OG-Fe dioxygenase, both involved in response to oxidative stress.

We also found a set of genes mainly associated with plasma membrane, signaling and response to chemical compound (NCU02363; RTA1-like protein). In addition, a plasma membrane protein (*het* domain) associated with intracellular oxidative stress

(NCU07840), hypothetical protein with a C-terminal homeodomain (NCU00733) and 200 201 hypothetical protein with a peroxisome membrane anchored protein conserved region (NCU04555) which strongly decreased in expression levels in N. crassa conidia treated 202 203 with chitosan. Cluster 4M showed a steady increase of gene expression (Fig. 4D). 204 Genes in this cluster were involved in cell response to oxidative stress (NCU05134 and NCU08907) and a monosaccharide transporter perhaps involved in chitosan 205 assimilation or detoxification (NCU04537, fold-induction 9.27 after 16h growing with 206 chitosan). Besides, other genes related with sugars assimilation were also induces in 207 208 presence of chitosan such as NCU01633 (hxt13; Table S2). Clusters 2M and 3M, had gene expression changes in the control but not in the chitosan treatment (Figs. 4B and 209 4C). Genes in these clusters were mainly related with fungal reproduction and 210 development and response to oxidative stress. Cluster 2M included two genes 211 212 associated with membrane homeostasis: NCU03494 (pin-c) essential for non-selfrecognition and NCU10610, a protein with a fig 1 domain (Ca^{2+} regulator and 213 membrane fusion) related with cell fusion. 214

Genes which did not fit the model (NM), with high SPE and leverage in the ASCA 215 analysis, were grouped in 6 clusters including 474 genes (Fig. S6). Cluster 5NM which 216 showed a late activation in presence of chitosan, included genes such as NCU10521 217 (fold-induction 8.16 at 16h) a glutathione S-transferase-4 possibly involved in the 218 generation of reducing power for scavenging intracellular ROS. Other genes involved in 219 220 ROS assimilation were also induced at 16h such as NCU05780 (gst-1; Table S2). 221 Cluster 3NM included expression of genes such as NCU08770 a hypothetical protein 222 with a histone chaperone domain with slight changes of expression in presence of chitosan (Fig. S6). Cluster 4NM included genes with an early induction (4-8h) and then 223 224 a reduction of gene expression such as NCU03639, a lipase class 3 involved in lipid, fatty acids and isoprenoid metabolism. The overexpression of this gene suggests its role 225 226 in plasma membrane homeostasis during chitosan damage.

Other significantly expressed (more than 6 fold-change expression, Table S2) genes in response to chitosan related with the main functions described previously included NCU03213 encoding a predicted mannosyl-phosphorylation protein related with phosphocholine metabolism (lipid modification). Early induction of other genes related with predicted roles in lipid metabolism such as NCU16960 (geranyl reductase) putative

involved in the biosynthesis of plasma membrane lipids were also detected.

233 *N. crassa* deletion strains involved in membrane homeostasis and ROS 234 detoxification showed increased sensitivity to chitosan

235 Fifteen deletion strains of genes highly expressed and associated with enriched GOterms in response to chitosan were evaluated to identify gene targets in N. crassa. Five 236 deletion strains showed increased sensitivity to chitosan (Fig. 5 and Fig. S7). 237 $\Delta NCU03639$ (lipase) and $\Delta NCU04537$ (monosaccharide transporter) were the most 238 sensitive. These deletion strains exhibited a minimal inhibitory concentration (MIC, 3 239 μ g ml⁻¹) lower than the WT (MIC 6 μ g ml⁻¹; Fig. 5A). They also showed a 6-8h delay in 240 the start of the exponential growth phase at 2 μ g ml⁻¹ of chitosan in comparison to the 241 WT (Figs. 5B-5D). Furthermore, ΔNCU10521 (glutathione S-transferase), ΔNCU08907 242 Clock controller gene 13 (*ccg-13*) and Δ NCU07840 (plasma membrane protein with a 243 *het* domain) were moderately (MIC at 4 μ g ml⁻¹) sensitive to chitosan (Fig. 5A). These 244 strains showed a 6-12h delay in the start of exponential growth phase with respect to 245 WT at 3 µg ml⁻¹ of chitosan (Fig. S7). Δ NCU10610 (Ca²⁺ regulator with *fig 1* domain) 246 showed the same MIC as WT (6 µg ml⁻¹), but had a delay (8h) in the start of exponential 247 growth phase at 4 µg ml⁻¹ chitosan (Fig. S7 and Table S3). Conversely, $\Delta NCU02363$ 248 (RTA1 like-protein) and $\Delta NCU05134$ (hypothetical protein) with the same MIC as the 249 250 WT, started their exponential phases 7 and 16h earlier than WT (Fig. S7 and Table S3) indicating moderate tolerance of chitosan respect to WT. $\Delta NCU08770$ (hypothetical 251 protein with a histone chaperone domain CHZ) had increased resistance to chitosan 252 (MIC > 6 μ g ml⁻¹; Fig. 5E). The start of the exponential growth phase in this deletion 253 strain was 15h earlier than WT at 4 μ g ml⁻¹ of chitosan (Table S3). 254

Thirteen deletion strains (mating type a) were crossed to WT (mating type A) to assess meiotic segregation of chitosan sensitivity phenotype with the hygromycin marker. Seggregants of each mutant showed similar chitosan sensitivity than the original deletion strain. In four chitosan gene targets (Δ NCU03639, Δ NCU04537, Δ NCU07840 and Δ NCU10521), segreggants showed the same chitosan antifungal phenotype (MIC) and hygromycin resistance than the original deletion strains.

261 Ca^{2+} protects *N. crassa* conidia from chitosan damage

Ca²⁺ increased tolerance to chitosan in *N. crassa* (Fig. 6). The WT strain at 0.68 mM CaCl₂ with 0.5 μ g ml⁻¹ chitosan resumed growth 4h earlier than without Ca²⁺ (Figs. 6A). A higher level of CaCl₂ (2.72 mM) in the presence of 0.5 μ g ml⁻¹ chitosan further improved fungal growth with a 7h advance in the start of the exponential phase with respect to *N. crassa* with chitosan and no calcium (Fig. 6A). Increasing CaCl₂ concentrations with no chitosan did not affect fungal growth (data not shown).

Conidia in calcium-free medium treated with chitosan (0.5 μ g ml⁻¹) were stained (Fig. 6B) with the vital dye propidium iodide (PI) indicating cell mortality. On the contrary, conidia treated with both chitosan (0.5 μ g ml⁻¹) and calcium chloride (0.68 mM), this showed no staining remaining alive (Fig. 6C). Similar results were found when increasing chitosan concentrations (Fig. S8). In particular, 0.5, 2.5 and 5 μ g ml⁻¹ chitosan and CaCl₂ treated cells had significantly (*p*-value < 0.05) lower mortality than conidia treated with chitosan but no calcium.

Treatment with Ca²⁺ also reduced chitosan damage in deletion strain in the locus 275 Δ NCU10610 with a *fig 1* domain and Δ NCU03263 (*svt-1*), both associated with plasma 276 277 membrane remodeling (Figs. 6D and 6E). Increasing CaCl₂ concentration (10 mM to 20 mM) significantly improved growth of WT, ΔNCU10610 and ΔNCU03263 strains in a 278 medium amended with a high amount of chitosan (4 μ g ml⁻¹; Figs. 6D and 6E). With 279 less concentration of Ca^{2+} in the medium (0.68 mM), chitosan completely inhibited 280 fungal growth. ANCU10610 showed more tolerance to chitosan respect to WT, this 281 282 strain started exponential phase at 27h, whereas WT strain did so 3h later under the same conditions. ANCU03263 was most sensitive to chitosan with high amount of 283 calcium, starting the exponential phase after 35h, with slower growth than WT and 284 $\Delta NCU10610$. When [CaCl₂] was increased (20 mM) all strains tested showed higher 285 resistance to chitosan (Fig. 6E). This was especially relevant for $\Delta NCU03263$ which 286 287 showed a ca. 2 fold growth increase under these conditions (Fig. 6E).

We found in this work that chitosan significantly induced changes of expression of 289 290 5% of N. crassa genes in the genome. A global Cytoscape network showed membrane and transport as key nodes grouping genes affected by chitosan (Fig. 7). Plasma 291 292 membrane was connected with cell vesicles and cell wall suggesting the importance of 293 these outer structures and their dynamics in presence of chitosan. Oxidoreductase 294 enhanced node indicated the importance of ROS and cell energy in N. crassa response to chitosan.^{4,7} Several nodes related with cytoskeleton dynamics indicate that chitosan 295 also affects cell growth (Fig. 7). Other transcriptional studies, using S. cerevisiae 296 297 mutant collections determined genes associated with plasma membrane, respiration, ATP production and mitochondrial organization 298 as main targets of chitooligosaccharides.5,6 299

300 In this study, we demonstrated that exposure to chitosan increased the expression of genes involved in plasma membrane dynamics such as lipases. Imidazoles and triazoles 301 (e.g. fluconazole, voriconazol and others) mode of action is based on the ergosterol 302 biosynthesis inhibition.^{25,26} thereby altering plasma membrane fluidity. Chitosan is also 303 an antifungal affecting plasma membrane. Fungi with enriched unsaturated free fatty 304 305 acids in their plasma membrane (increased fluidity) are sensitive to chitosan (e.g. N. crassa). In contrast, fungi with less unsaturated free fatty acids in their membranes (low 306 fluidity) such as the nematophagus fungus Pochonia chlamydosporia, are resistant to 307 chitosan.^{8,27} In our work, we show that chitosan activates genes related with plasma 308 309 membrane homeostasis such as the class 3 lipase NCU03639 (Fig. 8). The increase on 310 chitosan sensitivity of NCU03639 deletion strain and the induction of genes related with free fatty acid plasma membrane remodeling such as NCU16960 (geranyl reductase), 311 suggests their role in lipid replacement. This group of genes is mainly associated with 312 plasma membrane stabilization by changes in free fatty acid composition caused by 313 other abiotic stresses.²⁸ Furthermore, chitosan also activated genes related with vesicular 314 transport, which is associated with lipid transfer.²⁹ 315

Moreover, chitosan also induced expression of *N. crassa* genes related with movement of molecules through plasma membrane such as MFS transporters. The activation of a monosaccharide transporter and other genes related with exchange of molecules is one of the general responses of *N. crassa* to chitosan. Transport activation

is a widely described response of several filamentous fungi and yeast in response to 320 antifungals.³⁰ C. albicans activates genes involved in transport and molecule trafficking 321 in presence of ketoconazole.³¹ Susceptibility to azoles has been likely found due to a 322 reduced efflux activity of pumps.³² Likewise, amphotericin B induces expression of 323 high-affinity glucose transporters (MFS transporters) and permeases encoding genes in 324 S. cerevisiae.³⁰ In our study, N. crassa NCU04537 deletion strain, encoding a 325 monosaccharide transporter, showed an increase in chitosan sensitivity, suggesting a 326 327 determinant role of this protein in the assimilation of glucosamine and N-acetyl glucosamine monomers.³³ 328

329 Currently used antifungals, as well as chitosan, induce intracellular oxidative stress affecting plasma membrane permeability. This may be associated with an imbalance of 330 intracellular redox state.^{4,10} An increase in the intracellular ROS is a general response to 331 several antifungals and antimicrobial peptides which target the plasma membrane.^{9,34} 332 We have also recently demonstrated that chitosan elicited a rise in ROS coincident with 333 the start of plasma membrane permeabilization.⁴ In this paper we have demonstrated 334 that chitosan induced the expression of genes encoding mono- and dioxygenases and 335 other proteins related with ROS homeostasis. Other antifungals (e.g. rotenone and 336 staurosporine) also increase levels of intracellular oxidative stress associated with 337 subsequent cellular death.^{35,36} Increase in associated ROS by chitosan could induce 338 plasma membrane free fatty acid oxidation and formation of oxylipins.³⁷ These would 339 damage plasma membrane and cause its subsequent permeabilization.³⁸ In our study, 340 when NCU10521, encoding a glutathione S-transferase (GST), was eliminated 341 sensitivity of N. crassa to chitosan increased. GST is known to deaden ROS by-342 products such as peroxidized lipids.³⁹ This suggests a link between ROS and membrane 343 damage in the mode of action of chitosan (Fig. 8). Other antifungals also induce 344 glutathione enzymes to reduce intracellular ROS levels in *N. crassa.*⁴⁰ 345

We have discovered that chitosan inhibits gene functions related with cytoskeleton dynamics such as microtubule organization and motor activity. Increased levels of intracellular ROS in *Magnaporthe oryzae* caused F-actin depolymerization affecting hyphal polar growth.⁴¹ In *N. crassa* deletion of a NOX gene encoding a NADPH oxidase results in reduction of hyphal growth.⁴² These observations support the 12

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hypothesis that an increase in intracellular ROS causes an abnormal distribution of Factin. Cytoskeleton disorganization could then be one of the mechanisms by which chitosan inhibits fungal growth. The oxidative stress and associated phenomena such as free fatty acid peroxidation or F-actin polymerization could be directly involved in chitosan antifungal activity.

It is known that the balance between Ca^{2+} and ROS affects intracellular signaling and 356 cell homeostasis.⁴³ We have demonstrated that Ca^{2+} is involved in *N. crassa* tolerance to 357 chitosan. Ca^{2+} is also involved in the increasing threshold of N. crassa to antifungals 358 such as staurosporine.⁴⁴ Calcium plays a role in the mechanisms of plasma membrane 359 remodeling in S. cerevisiae budding⁴⁵ and during cell fusion in N. crassa.^{14,17} In this 360 work, we report NCU10610 (Ca^{2+} regulator with *fig 1* domain) significantly repressed 361 by chitosan. The presence of a fig 1 domain suggests its role as Ca^{2+} regulator in cell 362 fusion. In view of the relevance of this phenomenon in plasma membrane remodeling, 363 we have also evaluated the role of SYT1 in the mechanisms of plasma membrane 364 remodeling mediated by Ca²⁺. SYT1 may be involved in membrane damage restored 365 during fusion of germlings in N. crassa.¹⁴ In our study $\Delta syt1$ had increased sensitivity to 366 chitosan. When $\Delta sytl$ was exposed to chitosan together with Ca²⁺ (10 mM) we found 367 increased sensitivity of this deletion strain to chitosan respect to WT. This would be 368 associated with the capability of this gene to trigger mechanisms of plasma membrane 369 damage repair mediated by Ca^{2+} . Besides, high levels of extracellular Ca^{2+} (20 mM). 370 highly reduced chitosan damage in $\Delta syt1$. This deletion strain grow with the same 371 fitness that the WT under these conditions. This would be associated with the activation 372 of other N. crassa genes involved in plasma membrane remodeling mediated by Ca^{2+} . 373 Our results would suggest the importance of Ca^{2+} on the mechanisms of plasma 374 membrane remodeling after chitosan damages. 375

376 Conclusion and outlook

This work provides the first study of the gene expression response of a filamentous fungus (*N. crassa*) to chitosan. Transcriptomics revealed oxidoreductase activity, membrane homeostasis and microtubule organization as the main gene functions differentially expressed. We identified a class 3 lipase, a MFS monosaccharide

transporter and a glutathione transferase as main gene targets of chitosan in *N. crassa*. Our study opens new possibilities to study gene pathways involved in membrane remodeling after chitosan damage with a relevant role of Ca^{2+} . These studies are a key step to develop chitosan as antifungal drug in the future. Our results could help to identify the main gene targets of chitosan in medical important fungi.

386 Methods

387 Growth conditions

Neurospora crassa wild-type strain was 74-OR23-IVA (FGSC2489) and the deletion
strains were generated by the *Neurospora* Genome Project^{46,47} and kindly provided by
the Fungal Genetics Stock Center (FGSC, Kansas, USA)⁴⁸ are shown in Table S1.
Strains were grown on Vogel's minimal medium agar (VMMA) (1x Vogel's salts, 2%
sucrose and 1.5% technical agar).

393 Chitosan

A medium molecular weight chitosan (70 kDa) with an 82.5% deacetylation degree (T8s; Marine BioProducts GmbH; Bremerhaven, Germany) was used. Chitosan was prepared as described in Palma-Guerrero *et al.*, 2008.²⁷

397 Germinating conditions and time-course of *N. crassa* sensitivity to chitosan

To determine the optimal medium to assess the behavior of *N. crassa* exposed to chitosan, three variants of the Vogel's minimal medium were evaluated (VMM). These media were standard VMM (1x salts, 2% sucrose), VMM salts diluted 100 times with 2% sucrose and VMM salts diluted 100 times and 0.02% sucrose. We finally adopted the second one because chitosan precipitated with some salts included in standard VMM. Time-course experiments of germination were assessed every 2h for 24h under continuous light, shaking at 200 rpm and 25°C.

405 *N. crassa* conidia sensitivity to chitosan was evaluated using selected media, with 406 sub-lethal concentrations of chitosan (0.1-1 μ g ml⁻¹). The percentage of *N. crassa* 407 conidial germination with chitosan for 2, 4, 6, 8, 10, 12 and 16h after inoculation was 408 measured. We selected a chitosan dose that resulted in a 50% inhibition of germination

409 respect to the control (IC_{50}).

410 RNA extraction and cDNA synthesis.

From *N. crassa* cultures in contact with chitosan and controls (without chitosan) for 4, 411 412 8 and 16h total RNA was isolated using TRIzol reagent (Life Tech) according to the manufacturer's instructions. RNA was then treated with DNase (Turbo DNA-free, 413 Ambion) to eliminate DNA remains. For poly (A+) RNA purification, 10 µg of total 414 RNA was bound to dynal oligo (dT) magnetic beads (Invitrogen) twice, using the 415 416 manufacturer's instructions. Purified poly (A+) RNA was fragmented by metal-ion catalysis (Ambion) followed by precipitation with 1/10 vol 3M sodium acetate and 3× 417 vol 100% ethanol. Precipitated RNA was 70% ethanol washed and then resuspended 418 into 10.5 μ l nuclease free water. For first strand cDNA synthesis, the fragmented poly 419 (A+) RNA was incubated with 3 μ g random hexamers (Invitrogen), incubated at 65°C 420 421 for 5 min and then transferred to ice. First strand buffer (4 µL; Invitrogen), Dithiothreitol (DTT), dNTPs and RNAseOUT (Invitrogen) were added to a final 422 concentration of 1×, 10 mM, 200 μ M and 1U/ μ L, respectively in a final volume of 20 μ l 423 and the samples were incubated at 25°C for 2 minutes. Superscript II (200 U; 424 Invitrogen) were added and the samples were incubated at 25°C for 10 min, 42°C for 50 425 426 min and 70°C for 15 min. For second strand synthesis, 51 μ L of H₂O, 20 μ L of 5× second strand buffer (Invitrogen), and dNTPs (10 mM) were added to the first cDNA 427 428 strand synthesis mix and incubated on ice for 5 min. RNaseH (2 U; Invitrogen), DNA pol I (50 U; Invitrogen) were then added and the mixture was incubated at 16°C for 429 2.5h. 430

431 Library construction and sequencing

End-repair was performed by adding 45 μ L of H₂O, T4 DNA ligase buffer with 10 mM ATP (NEB; 10 μ L), dNTP mix (10 mM), T4 DNA polymerase (15 U; NEB), Klenow DNA polymerase (5 U; NEB), and T4 PNK (50 U; NEB) to the sample and incubating for 30 min at 20°C. A single base was added each to cDNA fragment by adding Klenow buffer (NEB), dATP (1 mM), and Klenow 3' to 5' exo- (15 U; NEB). The mixture was then incubated at 37°C for 30 min. Standard Illumina adapters (FC) were ligated to the

cDNA fragments using $2 \times$ DNA ligase buffer (Enzymatics), 1 µL of adapter oligo mix 438 439 and DNA ligase (5 U; Enzymatics). The sample was incubated at 25°C for 15 min. The sample was purified in a 2% low-melting point agarose gel, and a slice of gel containing 440 441 200-bp fragments was removed and the DNA purified. The polymerase chain reaction (PCR) was used to enrich the sequencing library. A 10 µL aliquot of purified cDNA 442 library was amplified by PCR using the pfx DNA polymerase (2 U; Invitrogen) and 443 with 1 µl of genomic primers 1.1 and 2.1 (Illumina). PCR cycling conditions included a 444 denaturing step at 98°C for 30 sec, 12 cycles of 98°C for 10 sec, 65°C for 30 sec, 68°C 445 for 30 sec, and a final extension at 68°C for 5 min. All libraries were sequenced on a 446 HiSeq 2000 platform to a depth of over 190 million 50 bp reads using standard Illumina 447 operating procedures. 448

449 Transcript abundance, annotation and functional analysis.

Sequenced libraries were mapped against predicted transcripts from the Neurospora 450 crassa OR74A genome (v10) with TopHat $(v2.0.4)^{49}$ and the short sequence aligner 451 Bowtie (v2.0.0.6).⁵⁰ Transcript abundance measured as FPKMs (Fragments Per 452 Kilobase transcript model per Million fragments mapped) was calculated with Cufflinks 453 (v 2.0.2) using counts that exclusively mapped to predicted transcripts to estimate the 454 FPKM denominator. Genes which had a differential expression cut-off of p-value < 455 0.05 (we adjusted *p*-value as the Benjamini Hochberg filter; q value in TopHat; to adjust 456 457 for the false discovery rate) between control and sample were used for further analysis. In the fold change analysis a lo₂foldchange ≥ 2 was adopted to characterize the main 458 gene functions and genes involved in the response of N. crassa to chitosan. The project 459 of N. crassa gene expression profile in response to chitosan has been deposited in 460 NCBI's Gene Expression Omnibus⁵¹ and is accessible through GEO Series accession 461 number GSE75293 (https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE75293). 462

N. crassa transcript sequences were re-annotated using Blast2GO software (Version
2.7.1) to improve the standard annotation provided by the Broad *N. crassa* genome
(http://www.broadinstitute.org/annotation/genome/neurospora/MultiHome.html), a
consensus set of transcripts were functionally annotated (gene ontology, GO) using
Blast2GO (http://www.blast2go.com/b2ghome).⁵² Gene families were established using

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the InterPro (http://www.ebi.ac.uk/interpro) and KEGG databases (http://www.genome.jp/kegg/pathway.html). For *N. crassa* gene annotation we also used several tools, HMMR⁵³ including Pfam, TIGRFAM, Gene 3D and Superfamily databases. In addition, Wolf PSORT⁵⁴ was used to obtain information about domains and cellular gene localizations. Gene annotations were finally examined using

473 BLASTp.⁵⁵

474 RNA-seq time-series data analysis

Significant differential gene expression changes over time were assessed by applying 475 the maSigPro R package²¹ to the groups of genes included in each functional GO 476 category. This approach was described, as an adaptation of maSigPro⁵⁶ named 477 maSigFun²⁴ for microarray data. This algorithm has been updated for RNA-seq data in 478 this work. The maSigPro method follows a two-stage regression strategy to identify 479 genes with significant changes in expression over time. False discovery rate (FDR) and 480 R^2 level as measure of the good of fit of the regression model are the factors for gene 481 selection. Finally the package includes several clustering algorithms and visualization 482 tools available to group and display the selected gene-profiles. 483

Transcriptional responses of interest were detected with the application of ASCA-484 genes method.²² Considering an experiment with 2 factors (a and b, usually time and the 485 experimental group, in our case chitosan treatment), data can be collected in a data 486 matrix X, where rows represent samples and columns represent genes. ASCA first 487 decomposes X into matrices (Xa, Xb and Xab) with the estimates of the ANOVA 488 489 (Analysis of Variance) parameters: X_a contains the time effects, X_b treatment effects and X_{ab} the interactions, obtained gene by gene. When the main interest of a study is the 490 identification of genes with differences in the experimental groups, X_b is joined to X_{ab} . 491 Principal Component Analysis (PCA) is then applied on each of these matrices to 492 493 summarize the information of each source of variation and giving as a result two PCA 494 analyses that are called submodels. ASCA-genes compute the main patterns of variation and two statistics for each gene in each submodel: leverage and the squared-prediction 495 error (SPE). Leverage indicates the importance of a gene in the main behavior 496 discovered. SPE quantifies the variability of a gene that is not detected for the model. 497

Focusing on these measures, ASCA-genes provides two lists of genes: the first one with genes that follow the main general patterns. The second one including genes with odd behaviors or outlier data. To obtain this gene selection the gamma method⁵⁷ was applied.

502 Real time quantitative PCR for RNA-seq validation

cDNA was synthetized with a retro-transcriptase RevertAid (Thermo) using oligo dT 503 (Thermo). Gene expression was quantify using real-time reverse transcription PCR 504 (qRT-PCR), SYBR Green with ROX (Roche) were used following the manufacturer's 505 506 instructions. Gene quantifications were performed in a Step One Plus real-time PCR system (Applied Biosystems). Relative gene expression was estimated with the $\Delta\Delta Ct$ 507 methodology,⁵⁸ with three technical replicates per condition. Primers used to quantify 508 the expression of genes related with N. crassa response to chitosan are shown in Table 509 S4. Expression of the TATA-binding protein (NCU04770) and transcription elongation 510 511 factor S-II (NCU02563) were used as endogenous controls for all experiments, since these genes showed Ct stability for all conditions tested. 512

513 Evaluation of selected deletion strains to determine the genes involved in the 514 response of *N. crassa* to chitosan

Experiments in liquid media were set to evaluate growth kinetics of *N. crassa* (WT) and selected homokaryons deletion strains (Table S1). *N. crassa* conidia were obtained from 8-10 day-old sporulated cultures, by adding 2 ml of distilled water. The resulting conidial suspensions were then filtered through Miracloth (Calbiochem) to remove hyphal fragments. Conidial suspensions were adjusted to a final concentration of 10^6 conidia ml⁻¹ with 1/100 VMM salts and 2% sucrose.

521 Chitosan (1-6 μ g ml⁻¹) was added to the medium and 200 μ L per well were dispensed 522 into 96 well microtiter plates (Sterillin Ltd., Newport, UK). Plates were inoculated with 523 *N. crassa* conidia (2×10⁵ conidia per well) and then incubated at 25 °C during 48h in a 524 GENiosTM multiwell spectrophotometer (Tecan, Männedorf, Switzerland) in the dark. 525 The chitosan effect on growth of *N. crassa* strains was evaluated by measuring optical 526 density at 490 nm (OD₄₉₀).⁴ In order to identify the antifungal activity of chitosan on *N*. *crassa* strains, we applied a spot assay in SFG medium (2% sorbose, 0.05% glucose and
 fructose and 1.5% agar).⁵⁹

Growth in presence of the same concentration of deletion strains (mating type a) to chitosan was confirmed by segregation analysis.⁶⁰ Ascospore progeny were selected from crosses with FGSC 2489 (mating type A). Seggregants were tested both for chitosan and hygromycin sensitivity. The latter was tested in all deletion strains used in this work. Seggregants had the same chitosan sensitivity than the original deletion strain and were hygromycin (200 μ g ml⁻¹) resistant.

535 Evaluation of the effect of Ca^{2+} in the response of *N. crassa* to chitosan

To evaluate the effect of Ca^{2+} on conidia treated with chitosan, we exposed *N. crassa* conidia (10⁶ conidia ml⁻¹) to chitosan (0.5 µg ml⁻¹) with either 0.17; 0.34; 0.68; 1.36 or 2.72 mM CaCl_{2.} Growth kinetics was evaluated in a 96-multiwell microplate by measuring optical density at 490 nm for 48h, as described above.

Viability of conidia was determined using propidium iodide (PI; Sigma)⁷ after exposure to chitosan (0.5 μ g ml⁻¹), and CaCl₂ at 0.68 mM, conidia without CaCl₂ were used as a controls for this compound. *N. crassa* conidia were treated with chitosan for 2 h and then labeled with 2 μ g ml⁻¹ PI to evaluate cell viability. Fluorescence in conidia was assessed using an Olympus BH-2 fluorescence microscope with 488 nm and 560 nm as excitation and detection wavelengths, respectively, and then photographed with a Leica DFC480 digital camera (Leica Microsystems, Wetzlar, Germany).

547 The effect of higher concentrations of Ca^{2+} (10 and 20 mM) on WT and two deletion 548 strains, $\Delta NCU10610$ (Ca^{2+} regulator with *fig 1* domain) and $\Delta NCU03263$ (*syt1*) when 549 combined with chitosan (4 µg ml⁻¹) was also determined.

550 Cytoscape network of functional gene annotation of *N. crassa* gene response to 551 chitosan

For this analysis, we performed functional enrichment analysis with GSEA (Gene Set
Enrichment Analysis).⁶¹ The enrichment maps were generated with Enrichment Map
Plugin v1.1⁶² developed for Cytoscape.²³ Nodes in the maps were clustered with the

555 Markov clustering algorithm, using an overlap coefficient computed by the plugin as the 556 similarity metric (coefficient < 0.5 were set to zero) and an inflation parameter with 557 value of 2. For each cluster, the leading edge was computed as in Subramanian *et al.* 558 $(2005)^{61}$ for each member of a node. A complete functional gene network map of *N*. 559 *crassa* in response to chitosan was finally generated.

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687 Figure legends

Figure 1. Time-course effect of chitosan on *N. crassa* conidia germination. **(A)** *N. crassa* germination started prior to 4h then conidia develop a germ tube (6-8h) and established a young mycelium before 16h. **(B)** Effect of chitosan on conidia germination at 8h, IC_{50} (50% germination) was found at 0.5 µg ml⁻¹ chitosan. IC_{50} : half maximal inhibitory concentration.

Figure 2. Venn diagram of differential gene expression of *N. crassa* in response to chitosan. (A) Complete differential gene expression (DGE) including induced and repressed genes in the 4-16h time-course. (B) Increased DGE, up-regulated genes. (C) Decreased DGE, down-regulated genes. (D) Fold-change of 22 genes significantly differentially expressed in response to chitosan during the whole time-course expermient.

Figure 3. Gene Ontology (GO) functional annotation of *N. crassa* genes
 differentially expressed in response to chitosan. (A) Global GO annotation of
 significantly expressed genes. (B) Selected GO-terms time-series with maSigFun
 represented as the average expression profile of the associated genes to each GO.

Figure 4. (A-D) Time-series analysis of genes associated with the response of *N*.
 crassa to chitosan by ASCA-genes. Graphs represent gene expression average trend of
 four clusters of genes that follow the discovered general patterns of the ASCA model.
 Genes that are well represented by the PC obtained with the ASCA model.

Figure 5. Effect of chitosan on growth of *N. crassa* WT and selected deletion strains from RNAseq data. (A) Chitosan minimal inhibitory concentration (MIC) of selected deletion strains and WT. (B-E) Fungal growth kinetics of (B) WT, (C) $\Delta NCU03639$, (D) $\Delta NCU04537$ and (E) $\Delta NCU08770$ in response to increasing concentrations of chitosan (n=4; mean ± SE).

Figure 6. Effect of Ca^{2+} on chitosan antifungal activity to N. crassa WT and 712 deletion strains from membrane remodeling genes (ANCU10610 and ANCU03263-A 713 syt 1). (A) N. crassa WT growth in response to chitosan (0.5 µg ml-1) under several 714 Ca^{2+} concentrations. (B) Nuclear damage after treatment of conidia of a strain in which 715 PI has been targeted to the nuclei. Conidia treated with chitosan and stained with 2 µg 716 ml⁻¹ propidium iodide (PI). Fluorescence images right and DIC images of same conidia 717 on the left. Bar = 5 μ m. (C) Evaluation of conidia viability treated with chitosan and 718 Ca^{2+} stained with PI. 719

Figure 7. Cytoscape network of functional gene annotation of *N. crassa* gene response to chitosan. Large font tittles represents a summary of GO-terms found enriched in clusters. Node size correlates to the number of genes annotated to that functional category. Each node represents a gene function significantly enriched (FDR \leq 0.1).

Figure 8. Key genes associated with N. crassa response to chitosan. In this 725 model, NCU03639 would increase membrane permeability by altering mechanisms of 726 plasma membrane remodeling and fluidity. NCU10610 (Ca²⁺ regulator with fig 1 727 728 domain) would be associated with the mechanisms of plasma membrane remodeling mediated by Ca²⁺. NCU04534 (MFS transporter) could be involved in mechanisms of 729 assimilation or detoxification monosaccharaides (e.g. monomers of N-acetyl 730 glucosamine). NCU10521 (glutathione transferase), NCU01849 and NCU01071 731 732 (dioxygenases) would be related with the response of the fungus to the oxidative stress, 733 the key response of N. crassa to chitosan. Genes involved in mechanisms associated 734 with protein synthesis (NCU04555) and resistance to chemical compounds (NCU02363) are also differentially expressed in response to chitosan. 735

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Fig. 1



Fig. 3







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