

**The Occurrence of Tricin and Its Derivatives in Plants**

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# Green Chemistry

## REVIEW

### The Occurrence of Tricin and Its Derivatives in Plants

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Our understanding of the structure and biosynthetic pathway of lignin, a phenylpropanoid heteropolymer, continues to evolve especially with the discovery of new lignin monomers/structural moieties such as monolignol acetate, hydroxycinnamyl aldehyde/alcohol, and *p*-hydroxybenzoate in the past decades. Recently, tricrin has been reported as a component incorporated into monocot lignin. As a flavonoid compound widely distributed in herbaceous plants, tricrin has been extensively studied due to its biological significance in plant growth as well as potential for pharmaceutical importance. Tricrin is biosynthesized as a constituent of plant secondary metabolites through a combination of phenylpropanoid and polyketide pathways. Tricrin occurs in plants in either free or conjugated forms such as tricringlycosides, tricrin-lignans, and tricrin-lignan-glycosides. The emergence of tricrin covalently incorporated with lignin biopolymer implies the possible association of lignification and tricrin biosynthesis. This review summarizes the occurrence of tricrin and its derivatives in plants. In addition, synthesis, potential application, and characterization of tricrin are also discussed.

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### Introduction

Lignocellulosic biomass is now well acknowledged as a viable sustainable resource for the production of bioenergy, biofuels, and biobased chemicals. U.S.A. governmental policy has outlined goals to produce 20% of its transportation fuels and 25% of its petroleum based chemical commodities from biomass over the next two decades.<sup>1</sup> Terrestrial plants are mainly composed of 35–45% cellulose, 15–30% hemicellulose, and 15–35% lignin, and to-date most developed biorefinery technologies have focused on the utilization of plant polysaccharides into fuels and chemicals. Whereas lignin, the second most abundant terrestrial polymer, is usually underutilized, and frequently used as a resource to generate power by combustion.<sup>2</sup> For this reason, valorization of lignin can be a solution for effective utilization of total biomass.<sup>1,2</sup> Lignin is a racemic aromatic heteropolymer derived mainly

from three traditional monolignols (i.e., *p*-coumaryl, coniferyl, and sinapyl alcohol). The monolignols are synthesized through the phenylpropanoid pathway followed by monolignol-specific pathways.<sup>3</sup> Subsequent lignin polymerization occurs via an oxidative radicalization and combinatorial radical coupling of monolignols.<sup>4</sup> Although lignin has been studied for decades, its structure and biosynthesis is still not completely understood. Substantial refinements about lignin especially in the biosynthetic pathway have been achieved over the last two decades due, in part, to the discovery of new lignin monomers and structural moieties such as dihydroconiferyl alcohol, monolignol acetate, coniferyl aldehyde, ferulic acid, *p*-hydroxybenzoate, and *p*-coumarate ester in normal, transgenic, or mutant plants.<sup>5</sup> The flexibility of lignin structures has been further extended by the recent discovery of C-lignin (catechyl lignin) derived from a new lignin monomer—hydroxycinnamyl alcohol (caffeyl alcohol).<sup>6–8</sup> More recently, the incorporation of tricrin into lignin has also been reported.<sup>9,10</sup>

Tricrin (IUPAC, 5,7-dihydroxy-2-(4-hydroxy-3,5-dimethoxyphenyl)-4H-chromen-4-one), a flavonoid type compound, belongs to the flavanones subclass.<sup>11</sup> It is structurally comprised of two phenyl rings and one heterocyclic ring (Fig. 1): a benzoyl system (ring-A), a

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cinnamoyl system (ring-B), and a heterocyclic system (ring-C), which features the flavanone backbone.<sup>12</sup> Like other flavonoids, tricetin is originated from the plant secondary metabolic pathways.<sup>12, 13</sup> The biosynthesis of tricetin includes two stages: the flavanone backbone is formed by coupling compounds from polyketide and phenylpropanoid pathways followed by mediation with chalcone synthase and isomerase; the flavone synthesis and sequential methylation leading to tricetin occur at a later stage.<sup>14</sup> Tricetin is typically accumulated in the leaves and stems in herbaceous and cereal plants with sporadic amounts<sup>15, 16</sup> and is frequently isolated by solvent extraction from plant tissue.<sup>14, 17, 18</sup> The reported forms of the isolated tricetin include free tricetin (or tricetin aglycone) and tricetin derivatives (e.g., tricetin-glycosides, tricetin-lignans, and tricetin-lignan-glycosides) (Fig. 1). Recently, tricetin has also been observed as a moiety occurring in lignin isolated from a few monocots, such as perennials,<sup>19, 20</sup> rice straw,<sup>21</sup> wheat straw,<sup>22</sup> maize plant,<sup>10, 23, 24</sup> bamboo,<sup>25</sup> sugarcane,<sup>26</sup> and even brewer's spent grain.<sup>27</sup> The incorporation of tricetin into lignin was further confirmed by biomimetic coupling reactions of tricetin with monolignols, and the results indicated that tricetin could act as an initiative lignification site and that the polyketide pathway for tricetin biosynthesis was possibly associated with lignification.<sup>10</sup> In this review, advances in tricetin related studies including the occurrence and identification of tricetin and its derivatives, tricetin biosynthesis, and its potential for biological and pharmaceutical applications are discussed. In particular, the recent development in our understanding of tricetin incorporation into lignin is highlighted.

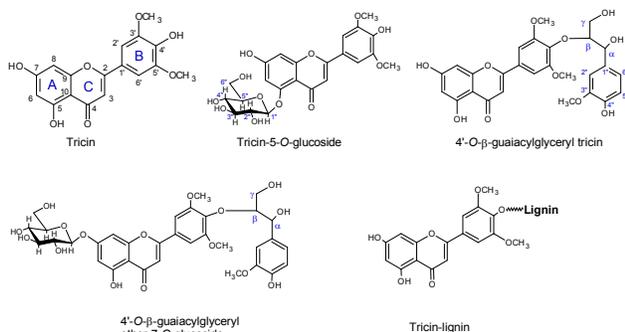


Fig. 1 Exemplary structure of tricetin,<sup>14</sup> tricetin-glycosides,<sup>28</sup> tricetin-lignans,<sup>29</sup> tricetin-lignan-glycosides,<sup>30</sup> and tricetin-lignin.<sup>10</sup>

## Occurrence of tricetin and its derivatives

Tricetin, in the free form, was first isolated from rusted wheat leaves dating back to 1930<sup>31</sup> and later was also observed in many monocotyledonous plants such as the family of Gramineae<sup>32, 33</sup> and Cyperaceae.<sup>34, 35</sup> The isolated tricetin from plants usually contains tricetin derivatives including tricetin-glycosides, tricetin-lignans, and tricetin-lignan-glycosides. In tricetin-glycoside, tricetin is attached with carbohydrates units while tricetin-lignan refers to tricetin derivatives in which tricetin is acylated with monolignols (Fig. 1). Tricetin-lignan-glycosides

consists of both carbohydrate and monolignol moieties. The detection of tricetin and tricetin conjugates from many new plant species indicates that tricetin is more widespread than it was previously thought.<sup>14</sup>

### Free tricetin

The natural presence of free tricetin occurs in a number of sources of plants and tissues, and its content varies from a few to hundred milligrams per kilogram of plants on a basis of reported isolation yields (Table 1). Tricetin has been mostly isolated from leaves of cereal plants such as wheat, rice, and barley. It has also been reported in bamboo, palms, sugarcane, and even in the grains, seed,<sup>36</sup> and juice<sup>37</sup> of plants. It also exists as different forms such as 3-O-acetyl tricetin<sup>38</sup> and 4'-O-methyl tricetin.<sup>39</sup> Due to the plant protection function of tricetin, the leaves of pest-resistant rice contained higher tricetin than the susceptible controls.<sup>40</sup> Similarly, accumulation of tricetin in wheat was enhanced when the wheat seedlings were treated with herbicide safener.<sup>41</sup> The seeds of *Orobancha ramosa*<sup>42</sup> and rice bran of *Oryza sativa* L.<sup>43, 44</sup> contain relatively high content of tricetin. The cold-acclimated wheat and wheat husks also showed higher quantity of tricetin when compared to the wheat under non-acclimated conditions and other parts of the plant (e.g. leaves and brans).<sup>45, 46</sup> Tricetin was recently observed in two species of evergreen shrubs in Okinawa: *M. bontiodides* A.<sup>47, 48</sup> and *Gynerium sagittatum*.<sup>49</sup> Other than in plants, tricetin and its derivatives were also discovered as pigments in insects.<sup>50</sup> The occurrence of tricetin in plants was previously overlooked because the difficulty to distinguish it from other methylated compounds via traditional characterization and separation techniques.<sup>15</sup> In addition, the presence of tricetin in free form is also questioned due to the possibility of enzymatic hydrolysis during the extraction process.<sup>51</sup>

Table 1 Occurrence of free tricetin in plants

Plant	Species	Part of plant	Isolation yield (mg/kg)
Arundo grass	<i>Arundo donax</i> L. <sup>52</sup>	Aerial part	-
Bamboo	<i>Indocalamus herklotzii</i> & <i>pedalis</i> <sup>53</sup>	Leaves	10-20
	<i>Neosinocalamus affinis</i> <sup>54</sup>	Leaves	7.6
	<i>Phyllostachys glauca</i> <sup>55</sup>	Leaves	26500*
	<i>Phyllostachys nigra</i> <sup>56, 57</sup>	Leaves	618* <sup>38</sup>
	<i>Pleioblastus amarus</i> <sup>58</sup>	Leaves	1.2
	<i>Sasa albo-marginata</i> <sup>59-61</sup>	Leaves	0.2
Barley	<i>Sasa borealis</i> <sup>62</sup>	Whole plants	10
	<i>Sasa senanensis</i> (Rehder) <sup>63</sup>	Leaves	46
	<i>Sasa veitchii</i> (Carr.) <sup>64</sup>	Leaves	1.4
	<i>Hordeum vulgare</i> <sup>65</sup>	Grains	10.4
	<i>Hordeum vulgare</i> <sup>66</sup>	Leaves	17.4
	<i>Echinochloa utilis</i> <sup>67</sup>	Grains	36
Barnyard millet	<i>Epimedium brevicornum</i> <sup>68</sup>	Aerial parts	6.4
Barrenwort	<i>Epimedium humanense</i> <sup>69</sup>	Aerial parts	2
Blue grass	<i>Poa ampla</i> <sup>70</sup>	Stromata, seeds, Spikelet, leaves	6
Bristlegrass	<i>Setaria viridis</i> <sup>71</sup>	Aerial part	128
Buttercup	<i>Ranunculus sieboldii</i> Miq. <sup>72</sup>	Whole plant	1.2
	<i>Ranunculus sceleratus</i> L. <sup>72</sup>		
Copacopa	<i>Artemisia copa</i> Phil. <sup>73</sup>	Aerial parts	5
Fenugreek	<i>Trigonella foenumgraecum</i> L. <sup>74</sup>	Seeds	-
Fescue	<i>Festuca spp.</i> <sup>75</sup>	Leaves	33
Halfa	<i>Desmostachia bipinnata</i> <sup>76</sup>	Aerial parts	172.5
Himalayan poppy	<i>Meconopsis horridula</i> <sup>77</sup>	Aerial parts	4
Jungle rice	<i>Echinochloa colona</i> L. <sup>78</sup>	Shoots	-
Malagasy	<i>Agelae pentagyna</i> <sup>38</sup>	Leaves	67
Oat plants	<i>Avena sativa</i> L. <sup>79</sup>	Brans	2.4
Palm	<i>Hyphaene thebaica</i> L. <sup>80</sup>	Leaves	1.9
Pearl millet	<i>Pennisetum glaucum</i> <sup>81</sup>	Fruit	-
Johnsongrass	<i>Sorghum halepense</i> <sup>82</sup>	Aerial parts	63.8
Corn stover	<i>Zea mays</i> L. <sup>83</sup>	Stems	0.5
Pyrethrum	<i>Pyrethrum tatsienense</i> <sup>84</sup>	Whole plant	99
Rice plant	(pest resistant rice plant) <sup>85</sup>	Leaves, stems	18.4
	<i>Oryza sativa</i> L. <sup>86</sup>	Aerial parts	-
	<i>Oryza sativa</i> L. <sup>40, 87-89</sup>	Leaves	7060 <sup>89</sup>
	<i>Oryza sativa</i> L. <sup>90</sup>	Rice hulls	-



	<i>Calamus quiquieseternervius</i> <sup>129</sup>	Siem	4	0.2
Rice	<i>Oryza sativa</i> L. <sup>29, 43</sup>	Rice bran	1	61
	<i>Oryza sativa</i> <sup>22</sup>	Different tissues	1	-
	<i>Oryza sativa</i> L. <sup>130</sup>	Aerial parts	1; 5	0.9; 0.8
Sugarcane	<i>Zizania latifolia</i> <sup>121</sup>	Aerial parts	1	15.4
	<i>Zizania latifolia</i> <sup>93</sup>	Aerial parts	1; 5	11.8; 14.6
	<i>Saccharum officinarum</i> L. <sup>122</sup>	Bagasse, leaves	1	-
	<i>Saccharum officinarum</i> L. <sup>111</sup>	Bagasse, leaves, juice	1	-
	<i>Saccharum</i> spp. Hybrids <sup>125</sup>	Bagasse	1	-
Thatching grass	<i>Hyparrhenia hirta</i> L. <sup>30</sup>	Leaves	1	30
Tumbleweed	<i>Salsola collina</i> <sup>91</sup>	Epigeal part	1	1.1
Vetivergrass	<i>Vetiveria zizanioides</i> <sup>132</sup>	Aerial parts	1	28.9

–: not reported.

### Tricin-lignan-glycosides

Compared to tricin-glycosides and tricin-lignans, tricin-lignan-glycosides have only been reported in a few plants such as alfalfa, rice plant, and sugarcane (Table 4). In tricin-lignan-glycosides, the phenylpropanoid unit usually links to the 4'-OH of tricin, while the carbohydrate unit attaches to 7-OH separately. However, the phenylpropanoid unit (*p*-methoxycinnamate) has been reported to link with tricin through glucose moiety in tricin-lignan-glycoside occurring in sugarcane juice.<sup>18</sup> The guaiacylglyceryl ether and glucose glycosylation are the two main moieties reported in tricin-lignan-glycosides. Another group of tricin-lignan-glycosides, in which the ferulic, coumaric, and sinapic acids unit was coupled with tricin through glucuronic acid at the 7-OH, were also observed in alfalfa and barrel medic from species of *Medicago*.<sup>106–108</sup>

Table 4 Occurrence of tricin-lignan-glycosides in plants

Plant	Plant species	Compound	Isolation yield (mg/Kg)	
			6; 7; 8; 9	100–160 <sup>107</sup> 500–2,000 <sup>109</sup>
Alfalfa	<i>Medicago sativa</i> L. <sup>106–109</sup>	6; 7; 8; 9	2–30 <sup>106</sup>	
Barrel medic	<i>Medicago truncatula</i> <sup>108</sup>	6; 7; 8; 9; 10; 11	-	
Gum arabic tree	<i>Acacia nilotica</i> Linn. <sup>133</sup>	4	82.5	
Rice	<i>Oryza sativa</i> L. <sup>134</sup>	3	1.3	
	<i>Zizania latifolia</i> <sup>121</sup>	1; 2	10.6	
Sugarcane	<i>Saccharum officinarum</i> L. <sup>122</sup>	1	-	
	<i>Saccharum officinarum</i> L. <sup>111</sup>	1	-	
	<i>Saccharum</i> spp. Hybrids <sup>125</sup>	1	-	
	<i>Saccharum</i> spp. Hybrids <sup>18</sup>	5	13	
Thatching grass	<i>Hyparrhenia hirta</i> L. <sup>30</sup>	1	<0.7	

–: not reported.

### Tricin-lignin

The presence of tricin in lignin was not determined until a recent report by Del Río et al. in 2012.<sup>9</sup> Later on, tricin was also found in lignin isolated from a few more monocot plants such as rice straw, coconut coir fibres, bamboo, corn stover (maize stover), and brewer's spent grain (Table 5). Both the whole cell wall and the isolated lignin of wheat straw,<sup>9</sup> corn stover,<sup>10, 24</sup> and sugarcane bagasse<sup>26</sup> showed the presence of tricin. In dioxane lignin (DL) of wheat straw without ball-milling, tricin constituted 15% of the sum of H, S, and G units; however, this amount decreased down to 8% when the biomass milled with

extended time (i.e., 16 h).<sup>135</sup> Tricin in wheat straw lignin was reduced from % to 2% per hundred C6-C3 units after steam explosion pretreatment.<sup>22</sup> The presence of tricin was not detectable when the milled wood lignin (MWL) of bamboo was acetylated.<sup>25</sup> It appears that the detectable content of tricin in lignin is affected by the lignin isolation process as well as by pretreatments. In addition, the occurrence of tricin component in lignin varies on the tissue of plant sampled. For example, tricin was detected in the milled wood lignin and alkaline extracted lignin from foliage but not the stem of arundo grass.<sup>19</sup> The alkaline extracted lignin from rice straw rather than rice husk showed the presence of tricin.<sup>21</sup> Wen et al. reported that tricin was present in the milled wood lignin from bamboo stem but not in the pith.<sup>25</sup>

Table 5 Occurrence of tricin-lignin in plants

Plant	Plant species	Type of lignin
Arundo grass	<i>Arundo donax</i> Linn. <sup>19</sup>	MWL and alkaline lignin
Bamboo	<i>Phyllostachys pubescens</i> <sup>25</sup>	MWL of stem
Brewer's spent grain	<i>Hordeum vulgare</i> L. <sup>27</sup>	MWL, DL, CEL
Coconut coir	<i>Cocos nucifera</i> <sup>136</sup>	MWL
Corn stover	<i>Zea mays</i> <sup>10, 24</sup>	MWL, whole cell
Maize plant	Transgenic <sup>23</sup>	MWL
Rice straw	-	Alkaline lignin <sup>21</sup>
Sugarcane	<i>Saccharum</i> spp. hybrids <sup>26</sup>	MWL, whole cell
Wheat straw	<i>Triticum aestivum</i> L. <sup>135</sup>	DL and AL
	<i>Triticum durum</i> C. <sup>9</sup>	MWL, whole cell
	<i>Triticum sativum</i> <sup>137, 138</sup>	MWL and CEL
	-	EMAL <sup>22</sup>
Wula sedge	<i>Carex meyeriana</i> Kunth <sup>20</sup>	MWL

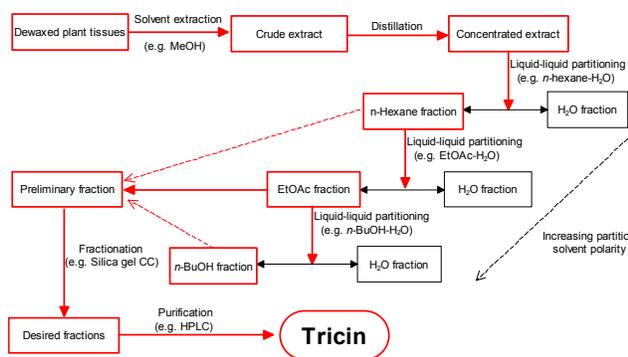
MWL: milled wood lignin; DL: dioxane lignin; CEL: cellulolytic enzyme lignin; AL: acidolysis lignin; EMAL: enzymatic mild acidolysis lignin; -: not reported.

## Preparation and isolation

### Solvent extraction from plant

Tricin can be isolated from plants by a combination of solvent extraction, liquid-liquid partitioning, and chromatography separation and purification (Scheme 1).<sup>139</sup> In general, plant tissue is cut and dewaxed and defatted by petroleum ether (PE) or hexane to remove lipids and chlorophyll pigments prior to solvent extraction. Tricin and its derivatives are usually extracted by aqueous methanol (MeOH) or ethanol (EtOH). It was reported that MeOH had better extraction efficiency than EtOH, and 80% MeOH was superior to pure and 50% MeOH for extracting tricin from Pyrethrum.<sup>84</sup> Other solvents such as hot-water,<sup>57, 59, 60, 115</sup> dichloromethane (CH<sub>2</sub>Cl<sub>2</sub>),<sup>73, 131</sup> and acetonitrile (CH<sub>3</sub>CN)<sup>113, 116</sup> have also been used to obtain crude tricin extract. After concentration, the condensed extract (oily residue) is then partitioned by liquid-liquid extraction with solvent such as *n*-hexane, diethyl ether (Et<sub>2</sub>O), ethyl acetate (EtOAc), chloroform (CHCl<sub>3</sub>), CH<sub>2</sub>Cl<sub>2</sub> and butanol (*n*-BuOH) with increasing polarity. A preliminary fraction containing tricin is obtained from the layer with lower polarity (e. g. ethyl acetate).

The separation and purification are the key steps to achieve desired fraction for identification and quantification of tricin. The available techniques for tricin separation and purification include thin-layer chromatography (TLC), column chromatography (CC), semi-preparative high-performance liquid chromatography (HPLC), preparative HPLC, and analytical HPLC. A list of separation techniques and columns used for tricin and its derivatives are summarized in Table 6.



Scheme 1. Isolation procedure for tricrin and its derivatives from natural plants. Adapted from <sup>140</sup>

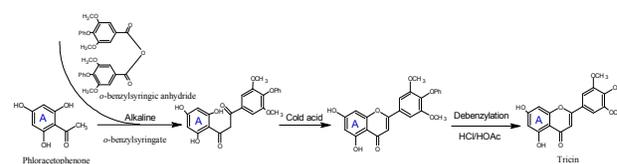
A repeated CC separation technique using appropriate stationary and mobile phases systems is often employed to get the target fractions and compounds. Silica gel CC was primarily employed to obtain the desired fractions.<sup>78, 93</sup> Further purification was conducted with diverse columns filled with dextran (e.g. Sephadex LH 20), C<sub>18</sub>, octadecylsilyl (ODS), and polyamide. A simple purification method of tricrin from wheat leaves was accomplished by injecting filtered MeOH extract directly into reversed-phase HPLC coupling with C<sub>18</sub> and semi-preparative column.<sup>84, 141</sup> Recently, preparative HPLC<sup>56</sup> and resin CC followed by dialysis<sup>99</sup> were proposed to separate tricrin from the extract of bamboo leaves and sugarcane, respectively. Other methods such as high performance thin layer chromatography (HPTLC)<sup>53, 95</sup> and high-speed counter-current chromatography (HSCCC) with two-phase solvent system<sup>123</sup> have been developed to isolate and purify tricrin. In addition, capillary electrophoretic (CE)<sup>116</sup> and high-performance capillary electrophoresis (HPCE)<sup>57, 131</sup> have all been used for qualitative and quantitative analysis of tricrin-glycosides and tricrin-lignans.

Table 6 Isolation and purification techniques for tricrin and its derivatives

Chromatography type	Columns
Normal-phase	Silica gel <sup>30, 38, 39, 43, 47, 59, 60, 68-70, 76-78, 83, 90, 93, 94, 96, 121</sup>
	Celite 535 <sup>28, 94</sup>
Reversed-phase	Acquity BEH C <sub>18</sub> <sup>125</sup>
	Alltima C <sub>18</sub> <sup>28</sup>
	Bondapak C <sub>18</sub> <sup>49, 56</sup>
	Cosmosil 5C <sub>18</sub> <sup>67, 94</sup>
	Cosmosil 5 Ph <sup>55</sup>
	Eurospher 80 C <sub>18</sub> <sup>109</sup>
	Eurospher PD 82 <sup>107</sup>
	Kromasil C <sub>18</sub> <sup>84</sup>
	LiChrosorb RP-18 <sup>30, 69, 90, 106, 110, 113, 131</sup>
	Luna C <sub>18</sub> <sup>56</sup>
	Macroporous absorption resin (AB-S) <sup>54, 56, 123</sup>
	MCI gel CHP-20P <sup>38</sup>
	Nucleosil 120 C-18 <sup>141</sup>
	ODS <sup>43, 47, 48, 64, 65, 67, 71, 78, 83, 85, 113-115</sup>
	OmniSphere C <sub>18</sub> <sup>28</sup>
	Polyamide <sup>68, 69, 80</sup>
	Sep-Pak C <sub>18</sub> <sup>109, 141</sup>
	Shield RP18 <sup>111, 122, 124</sup>
	Shim-pack C <sub>18</sub> <sup>123</sup>
	Supersphere C <sub>18</sub> <sup>41</sup>
Synergi polar column <sup>41</sup>	
Varian Polaris 5-C <sub>18</sub> <sup>46</sup>	
Vertex Eurospher RP-18 <sup>106</sup>	
Zorbax SB-C <sub>18</sub> <sup>120</sup>	
Zorbax SB-Aq <sup>18</sup>	
Size-exclusion	Sephadex LH-20 <sup>8, 43, 48, 49, 58, 67, 73, 77, 80, 83, 96, 131, 132</sup>
Ion-exclusion	Diaion HP-20 <sup>47, 64, 79, 115</sup>

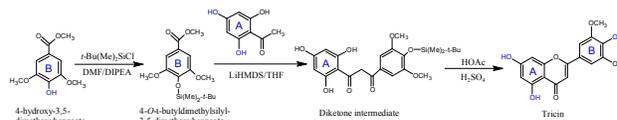
## Organic and chemical synthesis

Chemical synthesis of tricrin has been conducted using Baker-Venkataraman (BV) transformation as the base for flavone backbone synthesis.<sup>142, 143</sup> The key step of BV transformation involves the etherification of hydroxyacetophenone (e.g. phloracetophenone) with benzyl group (e.g. benzylsynging anhydride) catalyzed under alkaline condition (**Scheme 2**). The flavone backbone is formed by cyclodehydration with cold acid. The resulting compound is debenzylated by hydrochloric acid (HCl) in acetic acid leading to tricrin. Instead of using benzylsynging anhydride, acyl chloride from oxalyl chloride and acetylsynging acid can also be introduced to phloracetophenone to generate flavone backbone by BV reaction.<sup>38</sup> However, a selective protection and de-protection of phenolic hydroxyl groups is required to produce tricrin.



Scheme 2. Baker-Venkataraman transformation for tricrin synthesis.<sup>142</sup>

To protect the phenolic hydroxyl groups on ring-A, lithium bis(trimethylsilyl)amide (LiHMDS) has been introduced to deprotonate phenolic hydroxyl groups on phloracetophenone in a form of lithium polyanion.<sup>144</sup> In combination with *t*-butyldimethylsilyl protection of the phenolic hydroxyl on ring-B, this method yielded 82% tricrin (**Scheme 3**). Based on this methodology, tricrin has recently been synthesized via reaction of 4-*O*-*tert*-butyldimethylsilyl-3,5-dimethoxybenzoate with LiHMDS and 2',4'-*O*-bis(*tert*-butyldimethylsilyl)-6'-hydroxyacetophenone with a yield of 68%.<sup>60, 145, 146</sup> To simplify the extensive protections and de-protections of hydroxyl groups, BV transformation has been modified to a three-step reaction to generate flavone backbone.<sup>147</sup>



Scheme 3. Synthesis of tricrin using LiHMDS protection of phenolic hydroxyl groups.<sup>144</sup>

A few other methods have also been used for tricrin synthesis, such as demethylation of 3',4',5'-trimethyltricetin by sulfuric acid<sup>148</sup> and condensation of phloracetophenone with 4-hydroxy-3,5-dimethylbenzaldehyde in the presence of boric acid (H<sub>3</sub>BO<sub>3</sub>), followed by double bond generation between C2 and C3.<sup>149</sup> An unexpected tricrin isomer (i.e., aurone) was synthesized through aldol condensation of  $\alpha$ -chloro-2-hydroxyacetophenone with aromatic aldehyde in aqueous alcoholic solution containing 5–10% sodium hydroxide at room temperature.<sup>150</sup> Recently, the flavone backbone was synthesized through Claisen-Schmidt condensation, in which a

double bond was formed between the diprotected triol and benzyl-protected syringaldehyde and tricrin was formed following cyclodehydration.<sup>10</sup>

### Biological functions and potential applications

Like most flavonoid compounds, tricrin plays an important role in plant growth.<sup>14, 151, 152</sup> Tricrin and its derivatives were reported to function as an antioxidant against organisms diseases in rice plant<sup>91</sup> and palm.<sup>129</sup> Moreover, tricrin has been found to possess antibacterial, antifungal, anti-insect activity,<sup>70</sup> and anti-plant-hoppers in deviltree,<sup>104</sup> arundo grass<sup>52</sup> and rice plant.<sup>40, 85-87, 150</sup> The antifungal activity (related with antioxidant activity) of tested flavonoids was enhanced due to the increased number of hydroxyl groups per molecule compound.<sup>153</sup> Other reported functional activities of tricrin derivatives include anti-weeds,<sup>78, 120</sup> anti-herbicide,<sup>41</sup> special genes inducer,<sup>88</sup> biotic and abiotic stress protection.<sup>154</sup> The released tricrin from the root of allelopathic rice plant interferes with weeds and microbes in paddy soil.<sup>120</sup>

Tricrin and its derivatives are also reported potentially applicable in pharmaceutical due to its preventive efficacy, low toxicity, and reasonable bioavailability.<sup>57, 155</sup> The bioavailability of tricrin can be enhanced by modifications such as glycosylation<sup>156</sup> and coupling with amino acid as prodrugs.<sup>145</sup> **Table 7** summarizes the potential pharmaceutical applications of tricrin related compounds. Tricrin exhibits higher radical scavenging activity (e.g. EC50 values) in comparison with commonly used compounds such as quercetin, myricetin, and catechin.<sup>157</sup> Its potential as an anti-inflammatory agent has been suggested due to the antioxidant ability of tricrin and its derivatives.<sup>73, 83, 93, 129, 130, 158, 159</sup> Tricrin also showed inhibitory activity toward exocytosis from antigen-stimulated rat leukemia basophils<sup>38</sup> and hepatitis B virus<sup>72</sup>. Recently, tricrin derived extracts were considered as a potential chemoprevention candidate against cancer due to its intestinal carcinogenesis interference and inhibition of breast cancer cells.<sup>29, 43, 75</sup> The dihydrotricrin extracted from palm was reported to exhibit significant blood vessels widening potencies at 100  $\mu$ M with 80.3% relaxation.<sup>94</sup> Other functions such as proliferation inhibition,<sup>160</sup> anti-influenza virus activity *in vivo*,<sup>146</sup> anti-human cytomegalovirus (HCMV) activity,<sup>60, 61</sup>

intestinal adenomas reduction,<sup>161</sup> superior gastro-intestinal availability,<sup>162</sup> and tyrosinase inhibitor,<sup>163</sup> have also been reported which could expand its potential pharmaceutical applications.

Table 7 Pharmaceutical functions of tricrin and its derivatives

Compound	Functions
Tricrin	Anti-allergy activities <sup>8, 93</sup> Anti-HIV activity <sup>63</sup> Anti-inflammatory activity <sup>43, 73, 83, 93, 158, 159, 164</sup> Antioxidant <sup>43, 63, 67, 91, 157</sup> Anti-tumor activity <sup>39, 46, 62, 75, 155, 160-162, 165-170</sup> Anti-ulcerogenic activity <sup>76</sup> Anti-virus activity <sup>59, 61, 72, 145, 146</sup> Potential diabetes suppression <sup>78, 100</sup> Pigmentation inhibition <sup>66, 163</sup>
Tricrin-glycosides	Antioxidant <sup>43, 71, 80</sup> Anti-ulcerogenic activity <sup>76</sup> Anti-virus activity <sup>72</sup> Immunomodulatory <sup>58, 69</sup> Neuroprotective effects <sup>117, 171</sup>
Tricrin-lignans	Anti-allergy activities <sup>93</sup> Anti-inflammatory activity <sup>43, 83, 129, 172</sup> Antioxidant <sup>94</sup> Antiplatelet aggregation activities <sup>129</sup> Antitumor activities <sup>29, 39</sup> Cardiovascular protection <sup>94</sup>
Tricrin-lignan-glucosides	Antioxidant <sup>18, 108</sup> Antitumor activity <sup>18, 129</sup>

### Structure identification

#### Ultraviolet (UV)-visible spectroscopic analysis

UV spectroscopy has been broadly used for tricrin identification. With a backbone of 4',5,7-trihydroxy flavone, tricrin is characterized by two strong adsorptions in the region 240-400 nm: band I at 300-380 nm corresponding to the cinnamoyl system of ring-B and band II at 240-280 nm corresponding to the benzoyl system of ring-A.<sup>173</sup> In methanol, band I of tricrin peaks around 348 nm, and band II peaks near 244 and 269 nm. Reagents such as sodium methoxide (NaOMe), aluminium chloride (AlCl<sub>3</sub>), AlCl<sub>3</sub>/HCl, sodium acetate (NaOAc), and NaOAc/H<sub>3</sub>BO<sub>3</sub> have been used and added to methanol resulting in a diagnostic shift of UV spectra of tricrin.<sup>50, 71, 141</sup> The absorption peaks were observed to vary on chemical changes that occurred on the flavone backbone.<sup>173</sup> The typical absorption peaks of tricrin and its derivatives detected by UV are summarized in **Table 8**.

Table 8 Absorption bands of tricrin and its derivatives in UV spectra

Compounds	UV ( $\lambda$ /nm) in reagents					
	MeOH	NaOMe	AlCl <sub>3</sub>	NaOAc	AlCl <sub>3</sub> /HCl	NaOAc/H <sub>3</sub> BO <sub>3</sub>
Tricrin	269, 346-349 <sup>56, 63, 70, 72, 81, 88, 89, 141</sup>	264, 275sh, 418sh <sup>71, 96, 141</sup>	259sh, 277, 303, 370, 394 <sup>141</sup>	275, 321sh, 362 <sup>71, 96</sup>	259sh, 278, 303, 362, 387 <sup>141</sup>	271, 343 <sup>71, 96, 141</sup>
Tricrin-7-O-glucoside	253, 269, 341 <sup>70, 71, 111</sup>	260, 294, 427* <sup>71</sup>	248, 341, 380 <sup>71</sup>	253, 269, 341 <sup>71, 111</sup>	-	-
Tricrin-5-O-glucosides <sup>120</sup>	297, 318 <sup>71</sup>	-	-	-	-	-
Tricrin-7-O-glucuronide <sup>48</sup>	247, 268sh, 351	247sh, 261, 399	272, 303sh, 364sh, 403	258, 422	257sh, 275, 300, 363, 385sh	267sh, 360, 385sh
Tricrin-disaccharides <sup>111, 122</sup>	265-270, 351	-	265-270, 383	265-270, 340sh, 351	-	-
Tricrin-lignan	271, 288sh, 305sh, 335 <sup>30, 131, 132</sup>	279, 298sh, 367 <sup>30</sup>	280, 303, 351, 393sh <sup>30</sup>	279, 312sh, 367 <sup>30</sup>	280, 303, 345, 393sh <sup>30</sup>	272, 334 <sup>30</sup>
Tricrin-lignan-glycosides	272, 345 <sup>18</sup>	272, 398 <sup>18</sup>	270, 382 <sup>30, 122</sup>	272, 348, 430 <sup>18</sup>	-265, 350 <sup>133</sup>	-
T-C-glycoside <sup>54</sup>	270, 350	-	-	-	-	-

Note: \*NaOH used as diagnostic shift reagent; † EtOH; sh: shoulder; - data not reported.

### Infrared (IR) spectroscopic analysis

The characteristics of functional groups in triclin and its derivatives including hydroxyl, aromatic rings, conjugated ketone group, double bond, C-O bond, and aromatic hydrogen, can be identified by IR (Table 9). The strong and broad absorbance in the region 3300-4000 cm<sup>-1</sup> is assigned to hydroxyl group (O-H). The bands at 1653, 1247, and 1055 cm<sup>-1</sup> were assigned to the conjugated carbonyl group, double bond, and C-O in ring-C, respectively.<sup>96, 134</sup> The region of 1490-1510 cm<sup>-1</sup> was reported to correspond to aromatic hydrogens, while absorbance band around 1160 cm<sup>-1</sup> was assigned to the aromatic ether linkage.<sup>18, 64, 70</sup> A broad band at 1128 was attributed to *O*-glycosylation.<sup>133</sup>

### Liquid chromatography-mass spectrometric (LC-MS) analysis

Tricin and its derivatives display characteristic mass to charge ratio (*m/z*) using LC-MS analysis (Table 10). Considering both the protonated and deprotonated capability of triclin and its derivatives, MS in either positive or negative mode has been used to study the fragmented ions.<sup>174, 175</sup> The *m/z* of 331 (at positive mode) and 329 (at negative mode) has been usually used to identify triclin. Fragments at *m/z* [M+H]<sup>+</sup> 153 and 178 correspond to the ring-A and ring-B fragment, respectively.<sup>104, 133</sup> Signals at *m/z* 315 and 300 are suggestive of cleavage of one and two molecular methyl groups<sup>18, 133</sup> from triclin. The fragmentation of triclin-glycosides and triclin-lignans gives rise to a substituted carbohydrate and phenylpropanoid moiety besides triclin ion.<sup>70, 94, 106</sup> The characteristic fragments from triclin derivatives are glucosyl (-162 u),<sup>120</sup> rhamnosyl (-146 u),<sup>70</sup> glucuronyl (-176 u),<sup>106</sup> sinapic acid (-206 u),<sup>106</sup> ferulic acid (-176 u), coumaric acid (-146 u), and methyl (-15 u).<sup>18</sup> Recently, LC coupled with tandem mass spectrometry (LC-MS/MS) has been used to strengthen the qualitative analysis of triclin derivatives by providing further structure characterization information.<sup>92</sup>

### Nuclear magnetic resonance (NMR) spectroscopic analysis

NMR spectroscopy is another powerful tool employed to investigate the structure of triclin and its derivatives. The signal assignments in <sup>1</sup>H-NMR and <sup>13</sup>C NMR spectra of triclin are summarized in Table 11 and 12, respectively. A characteristic peak around δ13.0 was assigned to hydroxyl proton (5-OH).<sup>86, 96</sup> The presence of glucose moiety at C7 leads to a downfield shift of 0.36 and 0.31 ppm for H6 and H8, respectively.<sup>70</sup> <sup>13</sup>C NMR analysis provides characteristic differences between free triclin and the aglycone of triclin derivatives (Table 12). For example, the triclin-5-*O*-glucoside had a 4.5 ppm shift on the carbonyl carbon (C4)<sup>28, 85</sup> as a result of loss of hydrogen bond between H5 and O4, which was not observed on C7 from triclin-7-*O*-glucoside.<sup>88</sup> Notable chemical shifts of C1', 3', and 5' (δ125.4, 153.1, and 153.1 ppm)<sup>101</sup> toward downfield were observed on 4'-*O*-guaiacylglyceryl triclin as compared to free triclin (δ120.7, 148.6, and 148.6 ppm).<sup>72</sup> A similar difference has also been detected on 4'-*O*-hydroxyphenylglyceryl triclin, 4'-*O*-methylguaiacylglyceryl triclin, and 4'-*O*-coumaroyl-guaiacylglyceryl triclin (Table 12).

Table 9 IR absorbance bands of triclin and its derivatives

Wavelength (cm <sup>-1</sup> )	Assignment/functional group
3300-4000	O-H <sup>96, 94, 99, 83, 90, 120, 121, 129</sup>
2926	C-H <sup>99</sup>
2917	-OCH <sub>3</sub> <sup>54</sup>
1643-1687	Conjugated C=O <sup>71, 90, 130, 132</sup>
1605-1615	C=C <sup>18, 89, 94, 104</sup>
1430-1598	Aromatic C=C <sup>18, 54, 64, 70, 72, 89, 94, 132, 133</sup>
1368	Aromatic C=C <sup>99</sup>
1247	Aromatic C=C <sup>134</sup>
1152, 1128	C-O <sup>99, 133</sup>
1055-1060	C-O <sup>71, 96, 133</sup>

Table 10 The common moieties and their characteristic product ions of triclin and its derivatives in LC-MS

Assignment	Characteristic fragments	Ref
Tricin	[M + H] <sup>+</sup> at <i>m/z</i> 331	46, 67, 71, 90, 120
	[M - H] <sup>-</sup> at <i>m/z</i> 329	18, 40, 55, 56, 83, 90, 125
	[M] <sup>+</sup> at <i>m/z</i> 330	41, 70, 72, 86-90
Tricin-glucoside	[M + Na] <sup>+</sup> at <i>m/z</i> 353	65, 79
	[M + H] <sup>+</sup> at <i>m/z</i> 493	71, 72, 85, 110, 114, 119, 124
	[M - H] <sup>-</sup> at <i>m/z</i> 491	79, 85, 119, 121, 123
Tricin-glucuronide	[M - H] <sup>-</sup> at <i>m/z</i> 507 <sup>+</sup>	80
	[M + H] <sup>+</sup> at <i>m/z</i> 507	110
Tricin-diglycoside	[M + H] <sup>+</sup> at <i>m/z</i> 639	48
	[M - H] <sup>-</sup> at <i>m/z</i> 637	111, 124
Hydroxyphenylglyceryl triclin	[M + H] <sup>+</sup> at <i>m/z</i> 495	126
	[M - H] <sup>-</sup> at <i>m/z</i> 525	94
	[M + H] <sup>+</sup> at <i>m/z</i> 527	83, 93, 130-132
Guaiacylglyceryl triclin	[M + H] <sup>+</sup> at <i>m/z</i> 553	82, 176
	[M + H] <sup>+</sup> at <i>m/z</i> 569, 583, 695	129
	[M] <sup>+</sup> at <i>m/z</i> 540	64
Tricin-lignan-glucoside	[M] <sup>+</sup> at <i>m/z</i> 638	93, 130
	[M + H] <sup>+</sup> at <i>m/z</i> 689	133
	[M - H] <sup>-</sup> at <i>m/z</i> 687	134, 176
Methyl	[M - H - CH <sub>3</sub> ] <sup>-</sup> : -15 u	121
	[M + H - methoxy] <sup>+</sup> : -30 u	18
Methoxy	[M + H - hexose] <sup>+</sup> : -162 u	124
	[M + H - rhamnose] <sup>+</sup> : -146 u	72, 111, 118, 120, 124
Glucosyl	[M - H - Glucuronic acid] <sup>-</sup> : -176 u	70, 104, 124
	[M - H - ferulic acid] <sup>-</sup> : -176 u	48, 106
Rhamnosyl	[M - H - sinapic acid] <sup>-</sup> : -206 u	106
	[M - H - coumaric acid] <sup>-</sup> : -146 u	106
Glucuronyl		
Feruloyl		
Sinapoyl		
Coumaroyl		

Note: \* an extra -OH was present in the 3C of triclin.

Table 11 <sup>1</sup>H NMR chemical shift assignment and coupling constant data for triclin

Solvent	<sup>1</sup> H-NMR (δ)	Assignment	Ref
DMSO- <i>d</i> <sub>6</sub>	6.93-6.98 (s, 1)	H3	56, 67, 96, 128
	6.19-6.21 (d, 1, J=2 Hz)	H6	
	6.54-6.56 (d, 1, J=2 Hz)	H8	
	7.30-7.33 (s, 2)	H2', H6'	
	3.87-3.90 (s, 6)	MeO3', MeO5'	
	12.96 (s, 1)	5-OH	
	6.729 (s, 1)	H3	
Acetone- <i>d</i> <sub>6</sub>	6.253 (d, 1, J=2 Hz)	H6	
	6.551 (d, 1, J=2 Hz)	H8	
	7.382 (s, 2)	H2', H6'	
	3.972 (s, 6)	MeO3', MeO5'	
	13.006 (s, 1)	5-OH	

The presence of a carbohydrate moiety can be deduced from the anomeric signal in the <sup>1</sup>H-NMR (δ5.27 ppm) and <sup>13</sup>C NMR spectra (δ98.2 ppm) together with heteronuclear multiple bond correlation (HMBC) analysis.<sup>18, 58</sup> The 4'-*O*-β linkage between C4' of triclin and C-β of phenylpropanoid was identified by HMBC and <sup>1</sup>H-<sup>1</sup>H rotating frame Overhauser effect spectroscopy (ROESY).<sup>30</sup> In addition, the ether linkage between triclin flavone backbone and phenylpropanoid was also confirmed by HMBC and nuclear Overhauser effect spectroscopy (NOESY).<sup>94, 129</sup> Moreover, a new triclin-lignan was reported in stoneshrub by using HMBC and <sup>1</sup>H-<sup>1</sup>H correlated spectroscopic (COSY) spectra suggesting that triclin was etherified to the α-position of the phenylpropanoid unit.<sup>39</sup>



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Table 12. Chemical shift of  $^{13}\text{C}$  NMR signals of tricrin and its derivatives

	Tricrin		Tricin-glycosides					Tricin-lignans				Tricin-lignan-glycosides					
	T	T	T7	T5	T4'	T7Glur	T7neo	T4'G	T4'H	T4'mG	T4'CG	a	b	c	d		
Solvent	D	A	D	D	D	D	D	M	D	P	M	M	M	D	M	D	P
C2	164.1	165.1	164.1	161.7	163.2	164.2	166.5	165.2	164.4	164.2	165	162.9	165	164.1	165.2	165	165
C3	103.9	104.7	105.9	107	104.8	103.9	105.7	105.9	104	105.9	105.7	105.5	105.4	103.8	105.9	101.5	107
C4	182.7	183.1	182	177.8	182	182.1	184	183.8	182	182.7	183.6	183.4	183.7	182	183.8	182.8	183.9
C5	161.8	163.4	160.8	159.3	161.1	161.2	163	163.3	161.5	163.6	163.2	162.9	163.2	161.2	163.3	161.8	164.3
C6	99.1	99.7	99.5	105.2	98.1	99.3	102	100.7	99.1	100.3	100.6	100.1	100.4	99.2	100.7	100.2	101.3
C7	163.6	164.9	163	161.7	165.3	162.6	164.7	167.4	163.2	166.5	167.8	165.9	166.5	162.2	167.4	163.3	167.3
C8	94.4	94.9	95.4	99.3	92.9	95.1	96.4	95.5	94.4	95.2	95.5	95.1	95.2	95.2	95.5	95.3	96.3
C9	157.8	158.8	156.9	159.1	157.4	156.9	158.9	159.6	157.5	158.9	159.5	159.1	159.4	156.8	159.6	157.6	159.7
C10	103.9	105.3	105.4	108.5	104.8	105.5	104.9	105.2	104.9	105.3	105	105.3	105.7	105.5	105.2	105.2	106.3
C1'	120.7	122.4	120.2	121.1	125.6	120.2	122.5	128	125.4	127.2	127.9	127.3	126.7	120.3	128	120.9	127.9
C2'	104.6	105.3	104.5	104.7	105	104.6	104.9	105.2	104.4	105.2	105	104.7	105.0	104.5	105.2	104.7	105.9
C3'	148.6	149.1	148.2	148.9	152.9	148.2	149.7	154.9	153.1	154.3	154.8	154.5	154.8	148.2	154.9	149	155.1
C4'	140.2	141	139.9	140	137.7	140.1	141	140.7	140	140.9	140.4	141.4	141.4	140	140.7	140.9	141.3
C5'	148.6	149.1	148.2	148.9	152.9	148.2	149.7	154.9	153.1	154.3	154.8	154.5	154.8	148.2	154.9	149	155.1
C6'	104.6	105.3	104.5	104.7	105	104.6	105.7	105.2	104.4	105.2	105	104.7	105.0	104.5	105.2	104.7	105.9
2*OCH <sub>3</sub>	56.4	57	56.4	57	56.8	56.4	57.2	57	56.6	56.6	56.9	57.1	56.9	56.4	57	57.1	57.2
Ref	72	94	88	28	58, 115	106	70	30	101	131	94	130	64	106	30	18	121

T: tricrin; T7: tricrin-7-O-glycoside; T5: tricrin-5-O-glycoside; T7Glur: Tricin-7-O-glucuronide; T7neo: tricrin-7-O-neohesperidoside; T4': tricrin-4'-O-glycoside; T4'G: 4'-O-guaiacylglyceryl tricrin; T4'H: 4'-O-hydroxyphenylglyceryl tricrin; T4'mG: 4'-O-methylguaiacylglyceryl tricrin; T4'CG: 4'-O-coumaroyl-guaiacylglyceryl tricrin; a: tricrin-7-O-[2'-O-sinapoyl-glucuro(1-2)-O-glucuronide]; b: tricrin-7-O-(4'-guaiacylglyceryl)-glucoside; c: tricrin-7-O-(6''-methoxycinnamic)-glucoside; d: tricrin-4'-O-( $\alpha$ -glucono)-guaiacylglyceryl ether.

Solvent: D: DMSO- $d_6$ ; A: acetone- $d_6$ ; M: CD<sub>3</sub>O<sub>2</sub>; P: pyridine- $d_5$

Table 13  $^{13}\text{C}$ - $^1\text{H}$  HSQC correlation of chemical shift of tricrin moiety in lignin

Lignin source	Chemical shift of assigned position ( $\delta\text{C}/\delta\text{H}$ )				Ref
	T2', T6'	T3	T8	T6	
Arundo grass	103.9/7.3	104.7/7.03	94.4/6.64	98.9/6.28	19
Bamboo stem	103.9/7.34	106.2/7.07	94.2/6.6	98.9/6.23	25
Barley	103.9/7.3	104.5/7.03	94.1/6.56	98.7/6.22	27
Cocoon coir fibers	N/D	N/D	94.1/6.56	98.8/6.2	156
Corn stover	104.3/7.31	104.9/7.05	94.4/6.57	99.6/2.1	10
Maize plant	103.9/7.30	104.5/7.03	94.6/5.6	98.7/6.22	25
Rice straw	103.8/7.29	104.2/7.04	93.8/6.58	98.8/6.26	21
Sugarcane	103.9/7.30	104.5/7.03	94.0/6.56	98.7/6.22	26
Wheat straw	103.9/7.31	104.5/7.04	94.1/6.56	98.8/6.2	9
	104.04/7.3	104.65/7.03	94.1/6.56	98.8/6.22	158
	104/7.3	104/7.1	94/6.6	98/6.2	135
	104.04/7.3	104.65/7.03	94.1/6.56	98.8/6.22	137
	103.9/7.29	104.5/7.02	94.1/6.56	98.7/6.21	22
Wula sedge	N/D	104.1/7.31	94.5/6.56	98.8/6.23	20

N/D: not detected.

Recently, Del Río et al. have documented that tricrin is covalently incorporated into lignin by using heteronuclear single-quantum correlation (HSQC) NMR analysis.<sup>9</sup> The HSQC correlation data of the tricrin component in lignin are listed in Table 13. Two strong and well-resolved C/H signals at the 6 and 8 position of tricrin were readily observed at  $\delta 98.8/6.20$  and  $\delta 94.1/6.56$  ppm in the aromatic region, respectively (Fig. 2). The two phenolic hydroxyl groups at C5 and C7 showed proton signals at  $\delta 12.86$  and  $10.88$  ppm which were correlated with C5 and C7 by HMBC. The structure of tricrin etherified with lignin through guaiacyl (G) unit has also been elucidated by HMBC analysis.<sup>9</sup> The etherified position on C4' was also confirmed by phosphorylation followed by  $^{31}\text{P}$  NMR analysis with significantly reduced signal at 4'-OH compared to 5 and 7-OH positions.<sup>22</sup> Using HSQC and HMBC spectroscopic analysis, Lan et al. has observed the coupling of tricrin and

monolignols.<sup>10</sup> It should be noted that tricrin was reported to link with syringyl (S) unit in rice straw<sup>21</sup> and corn stover<sup>10</sup> lignin, while other reports indicated a linkage with G-unit.

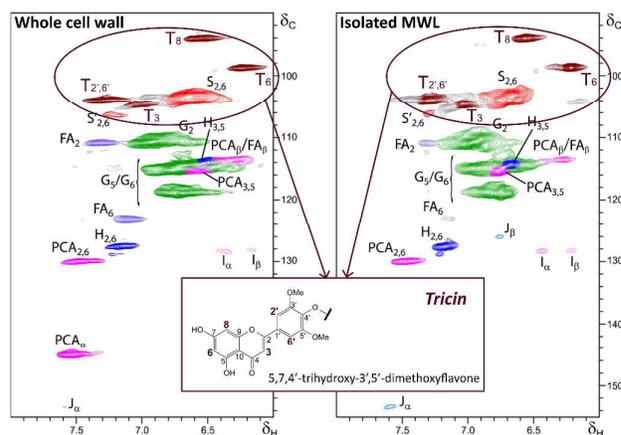
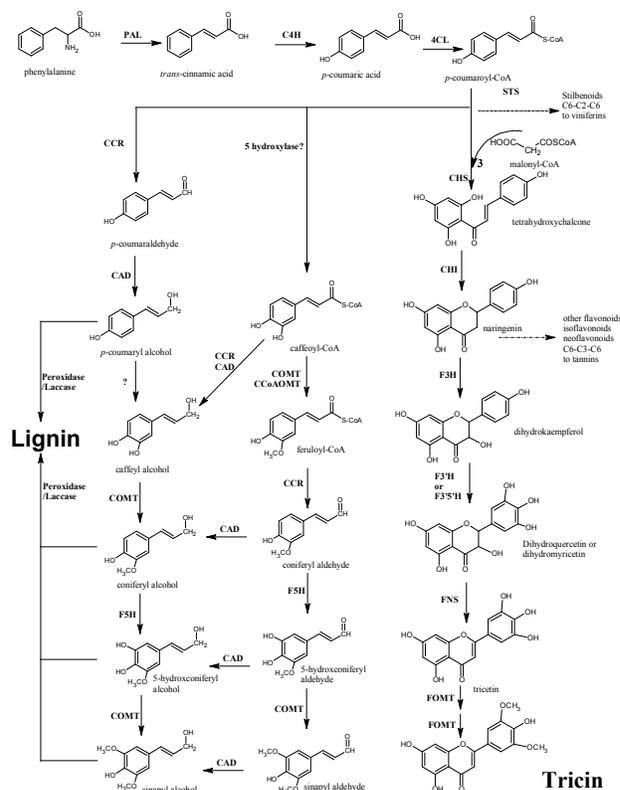


Fig. 2 2D HSQC NMR spectra of wheat straw cell wall (left) and of the isolated MWL (right). Reprinted (adapted) with permission from Del Río, J.C., et al.<sup>9</sup> Copyright (2012) American Chemical Society.

## Biosynthesis of tricetin

Although the major flavonoid biosynthetic pathway has been extensively studied, there is still a lack of information about enzymatic engineering of flavones.<sup>151</sup> The precursors for tricetin biosynthesis have been reported to be *p*-coumaroyl-CoA derived from general phenylpropanoid pathway and malonyl-CoA derived from carbohydrate metabolism-polyketide pathway (Fig. 3).<sup>177</sup> The initial step of tricetin biosynthesis is catalyzed by chalcone synthase (CHS) to yield tetrahydroxychalcone.<sup>178</sup> Naringenin (a flavanone compound) is then rapidly formed by chalcone isomerase (CHI).<sup>151</sup> The subsequent hydroxylation in C3 by flavanone 3-hydroxylase (F3H) leads to the formation of dihydrokaempferol, followed by generation of dihydroquercetin or dihydromyricetin through adding hydroxyl group by flavanone 3'-hydroxylase (F3'H) and flavanone 3',5'-hydroxylase (F3'5'H).<sup>151</sup> Presumably, a double bond between C2 and C3 in dihydromyricetin is formed by the action of flavone synthase (FNS) and this reaction gives rise to tricetin ultimately.<sup>14</sup> Tricetin is then produced following a sequential *O*-methylation of tricetin through flavone *O*-methyltransferase (FOMT).<sup>14</sup> However, Lam et al. have proposed a reconstructed biosynthesis pathway of tricetin from naringenin in rice without formation of tricetin. The authors suggested that tricetin is formed in the order of desaturation between C2 and C3, hydroxylation on C3', methylation on C3', hydroxylation on C5', and methylation on C5'.<sup>179</sup>

In addition to the biosynthesis of tricetin from *p*-coumaroyl-CoA, a type of monolignols (i.e., lignin precursors) are biosynthesized through a series of side modifications, ring hydroxylations, and *O*-methylations (Fig. 3).<sup>5, 180</sup> The *p*-coumaryl, coniferyl, 5-hydroxyconiferyl, and sinapyl alcohol are formed from *p*-coumaroyl-CoA either via subsequent enzyme catalysis of *p*-coumaraldehyde or via caffeoyl-CoA.<sup>5</sup> The aromatic lignin polymers were then generally believed to form in plants resulting from oxidative combinatorial coupling of these monolignols.<sup>4</sup> Recent studies, especially in transgenic and mutant plants, have indicated that lignin can be derived from several new monomers such as hydroxycinnamyl alcohols,<sup>6-8, 181-183</sup> hydroxycinnamyl aldehyde,<sup>184</sup> hydroxycinnamic acid,<sup>185, 186</sup> 4-hydroxycinnamyl acetates,<sup>181</sup> and others.<sup>5</sup> These newly reported lignin monomers as well as genetic perturbation of lignification indicate the flexibility of lignification in plants. Although the biosynthesis of tricetin was not considered to involve in the process of lignification, the incorporation of tricetin into lignin through  $\beta$ -*O*-4 linkage has been recently reported in biomimetic coupling reactions.<sup>10</sup> The results suggested that tricetin could act as a nucleation or initiation site for lignification, through which other monolignols cross-coupled to form lignin polymer. The evidence of tricetin covalently incorporating into lignin imply a potential association between biosynthetic pathway of tricetin and lignin, which could help redefine the lignin related phenylpropanoid metabolism inducing from the regulation of lignin biosynthesis genes.<sup>5</sup>



**Fig. 3** Schematic overview of biosynthesis pathway for tricetin and lignin. Adapted from previous literatures.<sup>5, 13</sup> PAL: Phe ammonia-lyase; C4H: cinnamate-4-hydroxylase; 4CL: *p*-coumaroyl:CoA-ligase; STS: stilbene synthase; CHS: chalcone synthase; CHI: chalcone isomerase; F3H: flavanone 3-hydroxylase; F3'H: flavonoid 3' hydroxylase; F3'5'H: flavonoid 3',5'-hydroxylase; CCR: cinnamoyl-CoA reductase; CAD: cinnamyl alcohol dehydrogenase; COMT: caffeic acid *O*-methyltransferase; CCOAOMT: caffeoyl-CoA *O*-methyltransferase; F5H: ferulate 5-hydroxylase; FOMT: flavone *O*-methyltransferase.

## Conclusion and perspectives

Tricetin, a flavonoid type compound from the secondary metabolic pathways in plants, possesses significant importance to plant growth by defending against disease, weeds, and microbes. It is widely distributed in herbaceous and cereal plants, and exists as free tricetin and its derivatives such as tricetin-glycosides, tricetin-lignans, and tricetin-lignan-glycosides. Tricetin and its derivatives show potential pharmaceutical applications due to low toxicity, antioxidative activity, and cancer preventive activity. The structures of tricetin and its derivatives conjugating with carbohydrates and phenylpropanoid were identified by various analytical methods. In particular, the incorporation of tricetin into lignin was evidenced by NMR spectra. The presence of tricetin in lignin in certain plants suggests a possible association between biosynthetic tricetin and lignification and can provide a new insight into lignin biosynthesis.

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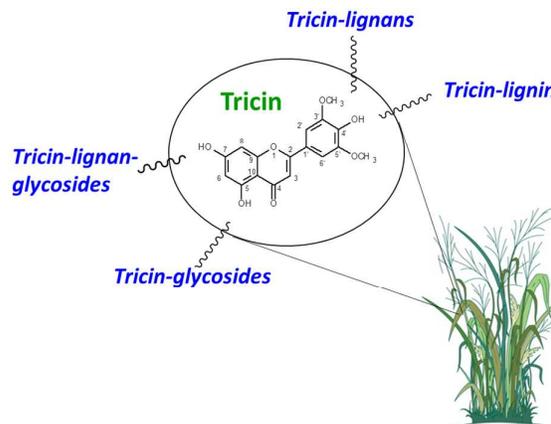
## Notes and references

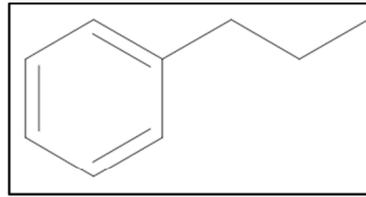
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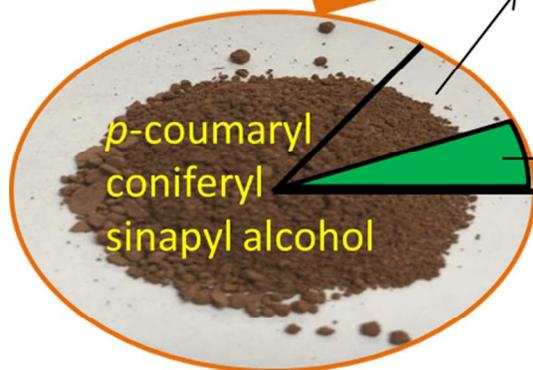
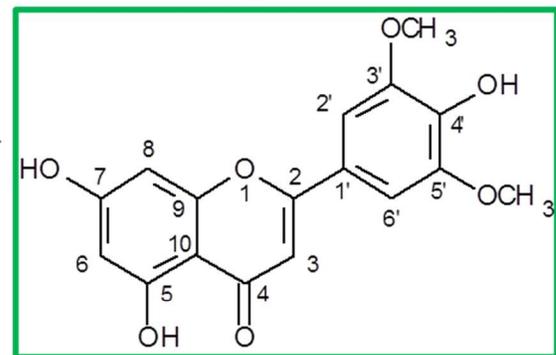


**Phenylpropanoid (C6-C3)**

*Monolignols  
biosynthesis  
lignification*

*Tricin  
biosynthesis*

Other lignin  
monomers

**Lignin****Tricin**