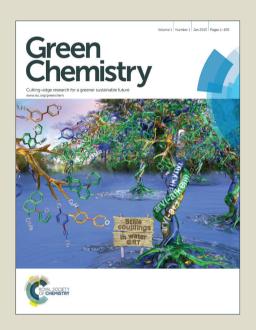
Green Chemistry

Accepted Manuscript



This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this Accepted Manuscript with the edited and formatted Advance Article as soon as it is available.

You can find more information about *Accepted Manuscripts* in the **Information for Authors**.

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard <u>Terms & Conditions</u> and the <u>Ethical guidelines</u> still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.





Journal Name

ARTICLE

Oxidative esterification via photocatalytic C-H activation

Sanny Verma^{a†}, R. B. Nasir Baig^{a†}, Changseok Han^b, Mallikarjuna N. Nadagouda^b and Rajender S. Varma^{a*}

Direct oxidative esterification of alcohol via photocatalytic C-H activation has been developed using VO@g-C₃N₄ catalyst; an expeditious esterification of alcohols occurs under neutral conditions using visible light as the source of energy.

Received 00th January 20xx, Accepted 00th January 20xx

DOI: 10.1039/x0xx00000x

www.rsc.org/

Introduction:

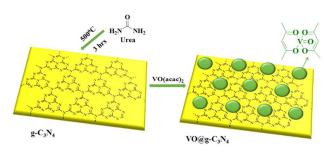
Esters are important building blocks that are extensively used in the chemical industry and academic laboratories. Conventionally, esterification is accomplished using activated carboxylic acids or their acid-catalyzed condensation with alcohols.² In basic media, the reaction can be achieved using nucleophilic substitution reactions with alkyl halides.3 The direct conversion of aldehydes into the corresponding esters is known, but most of these methods require stoichiometric amount of heavy metals and their derivatives⁴ often entail the use of homogenous metal complexes and metal salts in combination with an oxidant.⁵ Further, the recyclability and reusability of metal catalysts are not well exploited. In view of the emphasis on the development of environmentally benign and cost-effective procedures, the direct conversion of alcohols to the corresponding ester has garnered attention, ⁶ because alcohols are easily accessible, as the fermentation product of renewable plant-derived materials, namely carbohydrates, sugars, cellulose and their derivatives.

Hitherto, most of the reported methods for the conversion of alcohols to the corresponding esters necessitate the use of precious metals (e.g. gold, palladium, iridium etc.) in basic media. In addition to finding alternatives to expensive noble metals, it is imperative to heterogenize the catalysts, which eventually can be efficiently recycled and reused. The elimination of basic media will be an advantage if it could be achieved in the direct esterification process. Engaged in the development of sustainable protocol in organic synthesis,

herein, we report a simple and efficient method for the direct esterification of alcohols via C-H activation using oxovanadium-graphitic carbon nitride, $VO@g-C_3N_4$, under photochemical conditions. The use of graphitic surface not only heterogenized the oxo-vanadium complex, but also provided the activation energy for the esterification reaction. The in-built nitrogenous framework of $g-C_3N_4$ provides the milder basic environment required to accomplish the reaction without the need for an external base.

Synthesis and characterization

The graphitic carbon nitride support (g- C_3N_4) was synthesized by calcination of urea at 500 °C over a period of three hours and dispersed in aqueous methanol (50%) under sonication. ¹¹ A methanolic solution of vanadyl acetylacetonate [VO(acac)₂] was added to a dispersed solution of g- C_3N_4 and stirred for 3h at room temperature. The reaction mixture was centrifuged, washed with methanol and dried under vacuum at 50 °C to afford VO@g- C_3N_4 catalyst as a pale yellow solid (Scheme 1).



Scheme 1 Synthesis of $VO@g-C_3N_4$ catalyst

Electronic Supplementary Information (ESI) available: [details of any supplementary information available should be included here]. See DOI: 10.1039/x0xx00000x

^{a.} Sustainable Technology Division, National Risk Management Research Laboratory, U. S. Environmental Protection Agency, MS 443, Cincinnati, Ohio 45268, USA. Fax: 513-569-7677; Tel: 513-487-2701.E-mail:varma.rajender@epa.gov

^b WQMB, WSWRD, National Risk Management Research Laboratory, U. S. Environmental Protection Agency, MS 443, Cincinnati, Ohio 45268, USA

[†] Equal contribution

ARTICLE Journal Name

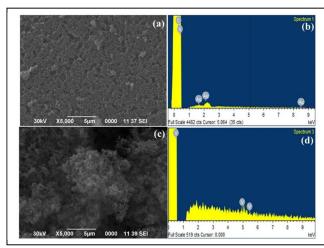


Figure 1 a) SEM image of $g-C_3N_4$ support; b) EDX image of $g-C_3N_4$ Support; c) SEM image of $VO@g-C_3N_4$ catalyst; d) EDX image of $VO@g-C_3N_4$ catalyst.

The catalyst was characterized using scanning electron microscopy (SEM), energy-dispersive X-ray spectroscopy (EDX), transmission electron microscopy (TEM), X-ray diffraction (XRD), and inductive-coupled plasma atomic emission spectroscopy (ICP-AES). The SEM image of g-C $_3$ N $_4$ support and the catalyst, VO@g-C $_3$ N $_4$, clearly manifest the immobilization of vanadium over the graphitic carbon nitride surface (Figure 1a and 1c). The EDX spectra of VO@g-C $_3$ N $_4$ (Figure 1d) indicates the presence of vanadium metal, whereas this peak is absent in the EDX of g-C $_3$ N $_4$ (Figure 1c).

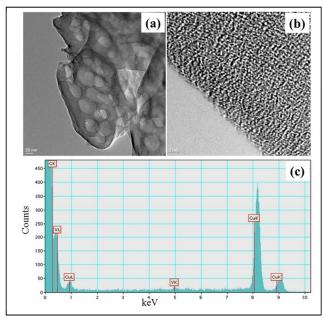


Figure 2 a) TEM image of VO@g-C $_3$ N $_4$ catalyst; b) TEM image of VO@g-C $_3$ N $_4$ catalyst at higher resolution; c) EDX image of VO@g-C $_3$ N $_4$ catalyst

The TEM images of the catalyst (Figure 2a and 2b) and $g-C_3N_4$ support (ESI, S1) do not show morphological difference. EDX (Figure 2c) and ICP-AES analysis confirm the presence of vanadium in the VO@ $g-C_3N_4$ catalyst. The broad peaks in the XRD pattern (Figure 3) of VO@ $g-C_3N_4$ and the support ($g-C_3N_4$) do not give any additional information about vanadium may be due to strong complexation and amorphous nature. The weight percentage of vanadium was found to be 4.91% by ICP-AES.

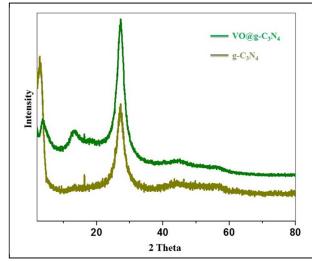


Figure 3 XRD spectra of g-C₃N₄ and VO@g-C₃N₄

Result and discussion

The present study focuses on the direct oxidative esterification of alcohols using an array of metal catalysts supported over graphitic carbon nitride surfaces under visible light irradiation. It was envisioned that g-C₃N₄ would provide a basic surface to accelerate C-H activation and esterification. The built-in photoactive chromophore would absorb energy and assist in crossing the activation energy barrier to accomplish esterification. Thus, we prepared a series of supported catalysts, namely Fe₃O₄@g-C₃N₄, Pd@g-C₃N₄, Cu@g-C₃N₄, $Ag@g-C_3N_4$, $V_2O_5@g-C_3N_4$, $VO@g-C_3N_4$ and $V(II)@g-C_3N_4$. The catalysts were evaluated for oxidative C-H activation esterification of benzyl alcohol with methanol using H2O2 as an oxidant. The reaction with Fe₃O₄@g-C₃N₄ (Table 1; Entry 1) selectively gave no ester, but only benzaldehyde, even after an extended reaction of 24 hours. The change of metal from iron to copper (Cu@g-C₃N₄, Table 1; Entry 2) and silver (Ag@g-C₃N₄, Table 1; Entry 3) did not alter the reaction outcome. Pd@g-C₃N₄ (Table 1; Entry 4) gave a result of 35 % as the reaction did not proceed to completion; the remaining benzyl alcohol was converted to aldehyde and the corresponding acid. The V₂O₅@g-C₃N₄ (Table 1; Entry 5) proved to be a better choice as it gave a 64% yield of ester when exposed to visible light. In contrast, the control reaction using pure V2O5 offered only Journal Name ARTICLE

trace amount of oxidative esterification product, indicating that $g-C_3N_4$ is not only heterogenizing the V_2O_5 , but also acting

Tabele 1 Screening of catalyst for the oxidative esterification of alcohols

Entry	Catalyst	Time	Yield ^{a, b}
1 ^c	Fe ₃ O ₄ @g-C ₃ N ₄	24 h	-
2 ^c	Cu@g-C ₃ N ₄	24h	-
3 ^c	Ag@g-C ₃ N ₄	24h	-
4 ^c	Pd@g-C ₃ N ₄	12h	35%
5	V ₂ O ₅ @g-C ₃ N ₄	12 h	64%
6	6 V(II)@g-C ₃ N ₄	12 h	37%
7	VO@g-C ₃ N ₄	3h	98%
8 ^{c,d}	VO@g-C ₃ N ₄	12h	-

a) Reaction condition: 1 mmol of benzyl alcohol; methanol 2 mL; 1.5 mmol H₂O₂; catalyst 25 mg; 40 watt domestic bulb; b) Isolated yield; c) Benzaldehyde formation was observed;d) Reaction performed under dark

as a promoter under photochemical conditions. The immobilization of VCl $_2$ over g-C $_3$ N $_4$ (Table 1; Entry 6) was not as effective as V $_2$ O $_5$. Changing vanadium complex to VO(acac) $_2$ gave a highly active VO@g-C $_3$ N $_4$ catalyst (Table 1; Entry 7), which accomplished the oxidative esterification reaction in 3 hours to afford methyl benzoate in 98% yield. The control experiment (Table 1; Entry 8) clearly manifest that it proceeds with the absorption of visible light.

After discovering the active catalyst, the general reactivity towards the esterification of alcohols was examined using range of benzyl alcohols. The substituents on the benzene ring did not show any peculiar effect over the product outcome and reaction rate. Substrates with electron withdrawing and electron donating substituents were efficiently converted into the corresponding methyl esters (Table 2; Entry 1-4). Furfuryl alcohol and 2-thiophenemethanol were efficaciously converted into methyl ester derivatives with excellent yield (Table 2; Entry 5 and 6). The change of solvent from methanol to ethanol and the introduction of nucleophilic functional groups, such as amines and hydroxyls, did not interfere with the product formation. 3, 4-Dihydroxy benzyl alcohol, 4-amino benzyl alcohol, and 2-amino benzyl alcohol efficiently formed the corresponding ethyl esters using the VO@g-C₃N₄ catalyst (Table 2; Entry 8-10). The presence of a double bond is

tolerated well in the formation of the corresponding esters. Cinnamyl alcohol was converted into ethyl cinnamate with 93% yield (Table 2; Entry 11). The change of solvent from methanol/ethanol to isopropanol and n-propanol afforded the corresponding isopropyl benzoate and n-propyl benzoate (Table 2; Entries 12 and 13) with 76% and 84 % yield, respectively. The longer reaction time for these transformations may be due the slow reaction of alcohols bearing extended alkyl chains.

Table 2 Oxidative esterification of alcohols

Entry	Substrate	Product	Time	Yield ^b
1	ОН		3 h	98%
2	ОН		3 h	97%
3	ОН		3 h	98%
4	O ₂ N OH	O ₂ N	3 h	95%
5	ОН		3 h	96%
6	SOH	S	3 h	96%
7	ОН		3 h	99%
8	НООН	но	3 h	83%
9	H ₂ N OH	H ₂ N	3 h	85%
10	OH NH ₂	NH ₂	3 h	82%
11	ОН		3 h	93%
12	ОН		8h	76%
13	ОН		5h	84%

a) Reaction condition: 1 mmol of alcohol; 2 mL Alcoholic solvent; 1.5 mmol H₂O₂; catalyst 25 mg;
40 watt domestic bulb; b) Isolated yield

The success in industrial applications of the catalyst depends on its shelf life and recyclability. To establish its reuse in industrial context, a set of experiments was conducted for the oxidative esterification of benzyl alcohol in methanol using $VO@g-C_3N_4$. The reaction was monitored by TLC. After reaction completion, the catalyst was recovered using centrifuge, washed with acetone, and reused for the oxidative esterification of fresh reactants. The catalyst could be used up to 8 cycles without losing its activity. Metal leaching was studied by inductive-coupled plasma atomic emission spectroscopy (ICP-AES) analysis of the catalyst both before and

ARTICLE Journal Name

after the reaction. The concentration of vanadium was found to be 4.91% before the reaction and 4.88% after the 8^{th} cycle of the reaction. The SEM image of the catalyst after the 8^{th} cycle of the reaction did not show any change in the morphology of the catalyst (ESI, Figure S2).The ICP-AES of reaction solvent does not show traces of vanadium, thus asserting that $g\text{-}C_3N_4$ holds the oxo-vanadium complex tightly, which precludes vanadium leaching and promotes the effective recycling of the catalyst.

Conclusions

A simple and sustainable protocol for the oxidative esterification of alcohol has been developed via photocatalytic C-H activation using VO@g-C $_3$ N $_4$ catalyst. The expeditious reaction proceeds under neutral conditions due to the photoactive graphitic carbon nitride surface and its strong interaction with vanadium metal. The support stimulates the metal towards the oxidation of alcohol followed by C-H activation-esterification while the in-built nitrogenous framework provides an adequate mild, basic environment and energy through visible light absorption.

Acknowledgements

SV and RBNB were supported by the Postgraduate Research Program at the National Risk Management Research Laboratory administered by the Oak Ridge Institute for Science and Education through an interagency agreement between the U.S. Department of Energy and the U.S. Environmental Protection Agency.

Disclaimer

The U.S. Environmental Protection Agency, through its Office of Research and Development, funded and managed the research described herein. It has been subjected to the Agency's administrative review and has been approved for external publication. Any opinions expressed in this paper are those of the author(s) and do not necessarily reflect the views of the Agency, therefore, no official endorsement should be inferred. Any mention of trade names or commercial products does not constitute endorsement or recommendation for use.

Notes and references

- 1. J. Otera, Esterification: Methods, Reactions, and Applications; Wiley-VCH: Weinheim, 2003.
- R. C. Larock, Comprehensive Organic Transformations: A Guide to Functional Group Preparations, 2nd ed.; Wiley-VCH: New York, 1999.
- a) W. Mägerlein, M. Beller and A. F. Indolese, J. Mol. Catal. A: Chem, 2000, 156, 213; b) A. Brennfuhrer, H. Neumann and M. Beller, Angew. Chem. Int. Ed. 2009, 48, 4114.
- D. Talukdar, K. Sharma, S. K. Bharadwaj and A. J. Thakur, Synlett, 2013, 24, 963.
- 5. R. Gopinath and B. K. Patel, Org. Lett., 2000, 2, 577.

- a) R. V. Jagadeesh, H. Junge, M. M. Pohl, J. Radnik, A. Brückner and M. Beller, J. Am. Chem. Soc., 2013, 135, 10776; b) S. Verma, D. Verma, A. K. Sinha and S. L. Jain, Applied Catalysis A: General, 2015, 489,17.
- S. Sreekumar, Z. C. Baer, P. Anbarasan, G. Gunbas ,A. Grippo, H. W. Blanch, D. S. Clark and F. D. Toste, *Nat. Protoc.*, 2015, 10, 528.
- a) M. Nielsen, H. Junge, A. Kammer and M. Beller, *Angew. Chem. Int. Ed.* 2012, **51**, 5711; b) C. Liu, J. Wang, L. Meng, Y. Deng, Y. Li and A. Lei, *Angew. Chem., Int. Ed.* 2011, **50**, 5144; c) C. Liu, S. Tang and A. Lei, *Chem. Commun.* 2013, **49**, 1324; d) N. Yamamoto, Y. Obora and Y. Ishii, *J. Org. Chem.* 2011, **76**, 2937; e) S. Gowrisankar, H. Neumann, M. Beller, *Angew. Chem., Int. Ed.* 2011, **50**, 5139; f) H. Miyamura, T. Yasukawa, and V. Kobayashi, *Green Chem.*, 2010, **12**, 776.
- a) R. V. Jagadeesh, T. Stemmler, A. E. Surkus, M. Bauer, M. M. Pohl, J. Radnik, K. Junge, H. Junge, A. Brückner and M. Beller, *Nat. Protoc.*, 2015, 10, 916; b) H. P. Mungse, S. Verma, N. Kumar, B. Sain and O. P. Khatri, *J. Mater. Chem.*, 2012, 22, 5427; c) S. Verma, M. Nandi, A. Modak, S. L. Jain and A. Bhaumik, *Adv. Synth. Catal.*, 2011, 353, 1897.
- a) R. S. Varma, Green Chem., 2014, 16, 2027; b) R. B. Nasir Baig and R. S. Varma, Chem. Commun., 2013, 49, 752; c) S. Verma, H. P. Mungse, N. Kumar, S. Choudhary, S. L. Jain, B. Sain and O. P. Khatri, Chem. Commun., 2011, 47, 12673; c) R. B. Nasir Baig and R. S. Varma, Green Chem., 2013, 15, 1839; d) R. B. Nasir Baig and R. S. Varma, ACS Sustainable Chemistry & Engineering 2014, 2, 2155; e) S. Verma, R. Singh, D. Tripathi, P. Gupta, G. M. Bahuguna and S. L. Jain, RSC Adv., 2013, 3, 4184; f) R. B. Nasir Baig, M. N. Nadagouda and R. S. Varma, Green Chem., 2014, 16, 4333.
- a) F. Dong, L. Wu, Y. Sun, M. Fu, Z. Wu and S. C. Lee, J. Mater. Chem., 2011, 21, 15171; b) S. Verma, R. B. Nasir Baig, C. Han, M. N. Nadagouda and R. S. Varma, Chem. Commun., 2015, 51, DOI: 10.1039/C5CC05895C.

Oxidative esterification via photocatalytic C-H activation

Sanny Verma^{a†}, R. B. Nasir Baig^{a†}, Changseok Han^b, Mallikarjuna N. Nadagouda^b and Rajender S. Varma^{a*}

Direct oxidative esterification of alcohol via photocatalytic C-H activation has been developed using VO@g-C₃N₄ catalyst; expeditious esterification of alcohol occurs under neutral conditions using visible light.

