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Sea cucumber (*Codonopsis pilosula*) oligopeptides: Immunomodulatory effects based on stimulating Th cells, cytokines secretion and antibody production

Li-Xia He, Zhao-Feng Zhang, Bin Sun, Qi-He Chen, Rui Liu, Jin-Wei Ren, Jun-Bo Wang and Yong Li*

Department of Nutrition and Food Hygiene, School of Public Health, Peking University, Beijing 100191, China; Beijing Key Laboratory of Toxicological Research and Risk Assessment for Food Safety, Peking University, Beijing 100191, China; E-mails: helixiapku@163.com (L.-X. H.); zhangzhaofeng@126.com (Z.-F. Z); berseraphim@sina.com (B. S.); qiheyuntian@163.com (Q.-H. C.); lroi0101@163.com (R. L.); ren_jinwei@126.com (J.-W. R.); bmuwjbxxy@bjmu.edu.cn (J.-B. W.); liyongbmu@163.com (Y. L.)

* Authors to whom correspondence should be addressed; E-Mail: liyongbmu@163.com (Y. L.);
Tel.: +86-10-8287-1177; Fax: +86-10-8287-1177.

1 **Abstract:** This study was aimed to investigate the immunomodulating activity of small
2 molecule oligopeptides from sea cucumber (*Codonopsis pilosula*) (SOP) in mice. Seven
3 assays were performed to determine immunomodulatory effects which included splenic
4 lymphocyte proliferation and delayed-type hypersensitivity assays (cell-mediated immunity),
5 IgM antibody response of spleen to sheep red blood cells (SRBC) and serum hemolysin level
6 assays (humoral immunity), the carbon clearance assay and phagocytic capacity of peritoneal
7 cavity phagocytes assay (macrophage phagocytosis) and NK cell activity assay. Spleen T
8 lymphocyte subpopulations, multiplex sandwich immunoassays of serum cytokine and
9 immunoglobulin levels and enzyme-linked immunosorbent assay for small intestinal secretory
10 immunoglobulin were determined to study the mechanism of SOP affecting immune system.
11 We found that SOP could improve immune functions in mice, which may be due to the
12 enhancement of the functions of cell-mediated immunity, humoral immunity, macrophage
13 phagocytosis and NK cell activity. From the cellular and molecular assays, we postulated that
14 the immunomodulatory effects most likely attributed to the stimulation of Th cells, cytokines
15 secretion and antibody production.

16 **Keywords:** Sea cucumber oligopeptides; adaptive immune; innate immune; T cell
17 subpopulation; cytokine; immunoglobulin subtype; multiplex sandwich immunoassays
18

19 **1. Introduction**

20 Continued exposure to stress and environmental extremes has been linked to worsened
21 immune system function, leaving a person more liable to infection. Nutritional interventions
22 have become an effective and widely-applied approach to enhance immune responses, innate

23 and adaptive immune responses, and to resist to disease. As specific protein fragments that in
24 addition to acting as sources of nitrogen and amino acids, food-derived bioactive peptides,
25 which have low molecular weight, and easily absorbable and digestible features, are have
26 numerous potential physiological functions, including antimicrobial, cholesterol-lowering,
27 antioxidant, cyto- or immunomodulatory activities^{1,2}. Moreover, some peptides exhibit
28 multifunctional properties³. Therefore, many food-derived bioactive peptides are receiving
29 increasing attention in the fields of therapeutics and nutritional interventions.

30 Sea cucumber, *Codonopsis pilosula*, traditionally used as a restorative medicine, has been
31 the most widely used in Chinese communities for thousands of years, just as that the detailed
32 medical applications of sea cucumber were officially recorded in the “Compendium of
33 Materia Medica (Bencao Gangmu)” in 1758, in which it was held in high esteem as ginseng”.
34 Except China, sea cucumber is widely consumed as a putative functional food in other
35 countries, particularly Singapore, Korea and Norway. The dried body wall of sea cucumber
36 contains many biologically active substances and nutrients, such as, about 90% of protein
37 (polypeptides), 6% of polysaccharides (oligosaccharide), and 4% of lipids in organics⁴,
38 vitamin A, vitamin B₁ (thiamine), vitamin B₂ (riboflavin), vitamin B₃ (niacin), and minerals,
39 especially calcium, magnesium, iron and zinc⁵, which make it have many biological activities
40 including anticancer, antioxidant, anti-inflammatory, antithrombotic, antitumor, antimicrobial,
41 immunoregulatory activity and wound healing^{5,6}. Although there are some functionality
42 studies about sea cucumber, most of them used the whole body, while few studies have
43 reported the immunoregulatory activity of oligopeptides from sea cucumber.

44 In the present study, we used deionized water and whey protein as controls, and

45 intragastrically administered small molecule oligopeptides from sea cucumber (*Codonopsis*
46 *pilosula*) to BALB/c mice. The aim was to investigate the immunomodulatory effects of SOP,
47 and to further clarify the mechanism.

48 **2. Experimental Section**

49 *2.1 Treatment of mice with SOP*

50 SOP were derived from the root of *Jilin* sea cucumber (*Codonopsis pilosula*) by enzymatic
51 hydrolysis and donated by *Jilin Taigu* Biological Engineering Co., Ltd. (*Jilin*, China).
52 Oligopeptides sample was purified by high performance liquid chromatography (HPLC,
53 Water Corp., Milford, MA, USA) using a Phenomenex C18 column (10 mm×250 mm), and
54 the molecular weight distribution of the oligopeptides sample was determined by LDI-1700
55 matrix-assisted laser desorption ionisation time-of-flight mass spectrometry
56 (MALDI-TOF-MS) (Linear Scientific Inc., Reno, NV, USA). Then the amino acid
57 composition was analysed with an automatic amino acid analyser (H835-50, Hitachi, Tokyo,
58 Japan), and the amount of free amino acids was measured by the HPLC. After HPLC purity
59 and MALDI-TOF-MS analysis, we found that the content of relative molecular mass more
60 than 2 000 was 2%, between 1 000 and 2 000 was 8.56%, less than 1 000 (small molecule
61 oligopeptides) in SOP was 89.44%, and the contents of free amino acids were 7.496%.

62 Female BALB/c mice (Qualified certificate No., SCXK (JING) 2011-0012) aged eight
63 weeks with the weight of 18-22 g were obtained from the Animal Service of Health Science
64 Center, Peking University. The mice were randomly divided into five groups, i.e., vehicle
65 control group, whey protein control group, SOP 0.15, 0.30 and 0.60 g/kg body weight (g/kg
66 BW) groups. SOP was intragastrically administered to the mice in SOP groups, 0.30 g/kg BW

67 whey protein was intragastrically administered to the mice in whey protein control group, and
68 the vehicle control mice were given vehicle only. All of mice were administered of 1 mL/100
69 g BW.

70 The mice were group-housed (five mice per cage) under constant conditions (temperature:
71 23 ± 1 °C, humidity: 40-60%, light and dark cycle:12 h), were fed the AIN-93 diet and allowed
72 free access to deionized water. The mice and food intake were weighed every week. All
73 animals were performed according to the National Institutes of Health guideless for Care and
74 Use of Laboratory Animal (NIH publication No. 85-23, revised 1996) and the protocols were
75 approved by the Institutional Animal Care and Use Committee of Peking University.

76 After 30 days, blood samples of the mice were collected from ophthalmic venous plexus
77 and sacrificed by cervical dislocation. The spleen and thymus were removed and weighed.
78 Spleen and thymus indices were calculated as the ratio of immune organ weight to body
79 weight.

80 *2.2 Spleen cell suspension preparation*

81 The spleens of the mice (n=10/group) sacrificed under the aseptic condition were washed
82 with Hank's balanced salt solution (HBSS), and crushed gently to isolate the splenocytes, then
83 the splenocytes were passed through a 200-mesh stainless steel sieve. The harvested single
84 cell suspension was washed twice with HBSS and with centrifugation at $180\times g$ for 10 min.
85 The recovered splenocytes were resuspended in red blood cell lysis buffer (7 g/L NH_4Cl and
86 2.6 g/L tris-HCl) for 5 min to remove red blood cells. After centrifugation, the harvested
87 splenocytes were resuspended in 1 mL of RPMI-1640 complete medium containing 10% (v/v)
88 FBS, 100 units/mL penicillin and 100 $\mu\text{g}/\text{mL}$ streptomycin, and the cell viability was assessed

89 with a hemocytometer using trypan blue dye exclusion method.

90 2.3 *Lymphocyte proliferation assay*

91 The splenocyte suspension was adjusted to a concentration of 5×10^6 cells/mL, and seeded
92 in 24-well plates (1 mL/well) with/without (control wells) 75 μ L/well Con A as a T cell
93 stimulant. After 68 h incubation at 37 °C under humidified 5% CO₂-95% air condition, 0.7
94 mL of supernatant per well was removed, then 0.7 mL of RPMI-1640 without FBS and 50 μ L
95 of MTT (5 mg/mL) were added into each well, and each tested well was triplicated. Following
96 4 h incubation at 37 °C under humidified 5% CO₂-95% air condition, 1 mL of 3% (w/w) SDS
97 solution per well was added to the insoluble purple formazan product into a colored solution,
98 and the absorbance at 570 nm was measured using an ELISA reader (Bio-Rad, Hercules, CA).
99 The difference of the absorbance with/without Con A was used to represent the proliferation
100 capacity.

101 2.4 *NK cell activity assay*

102 Splenic NK cell activity was tested using the lactic acid dehydrogenase (LDH) release
103 method[25]. Briefly, mouse splenocytes were used as effector cells at 2×10^6 cells/mL, and
104 YAC-1 cells were used as target cells at 4×10^4 cells/mL resulting in an effector/target cell
105 ratio of 50:1. After 4 h incubation and 5 min centrifugation at 400 \times g, LDH substrate mixture
106 was added to the culture supernatants. After 10 min incubation at 37 °C, the absorbance at 490
107 nm was determined in an ELISA reader. NK cell activity
108 (%)=(OD_{experimental}-OD_{spontaneous}) \times 100/(OD_{maximum}-OD_{spontaneous}).

109 2.5 *DTH assay, IgM-PFC assay and serum hemolysin level measurement*

110 Defibrinated SRBCs were collected from a normal sheep, then washed three times with

111 sterile saline. BALB/c mice (n=10/group) were immunized with 0.2 mL of 2% (v/v) SRBCs
112 (about 1×10^8 cells) per mouse by intraperitoneal injection on day 26.

113 On day 30, the thicknesses of the left rear footpads of mice were determined with a Vernier
114 caliper, then the left rear footpads of these mice were injected with 20 μ L of 20% (v/v)
115 SRBCs (about 1×10^8 cells) by subcutaneous injection. After 24 h, the thicknesses of the left
116 rear footpads were measured again. Each measurement was taken three times and the mean
117 calculated. Swelling degree was expressed as the difference in footpad thickness.

118 On day 31, the mice were sacrificed, the spleen cell suspension was prepared for IgM-PFC
119 assay performed by a modified Jerne's method[26]. In brief, twenty microliters of splenocyte
120 suspension in RPMI-1640 complete medium, 50 μ L of 10% (v/v) SRBC and 500 μ L of agar
121 solution (0.5 g/mL in HBSS, pH 7.2) were mixed, then poured onto slides covered with 0.5%
122 agarose layer. Following incubation at 37 °C, 5% CO₂ and adequate reaction with guinea pig
123 complement, the number of plaque production was counted, and the results were expressed as
124 the number of PFC per 10^6 viable splenocytes.

125 Blood samples were collected to measure serum hemolysin level. Half a milliliter of 10%
126 (v/v) SRBC and 1 mL of diluted guinea pig complement (1:10 diluted with buffer solution)
127 were successively added to sample tubes with/without (control) 1 mL of diluted mouse serum
128 (1:200 diluted with SA buffer solution). After 30 min at 37 °C water bath, ice bath terminated
129 the reaction, then centrifugated to collect 1 mL of supernatant, and added into 3 mL of
130 Drabkin's reagent (1.0 g NaHCO₃, 0.2 g K₃Fe(CN)₆ and 0.05 g KCN, solved in 1 000 mL
131 stillled water). The positive control was set with 0.25 mL of 10% (v/v) SRBC and 3.75 mL of
132 Drabkin's reagent. Following 10 min reaction, the absorbance at 540 nm was determined, and

133 the serum half hemolysis value (HC_{50}) was calculated. $HC_{50}=(OD_{\text{sample}}/OD_{\text{positive control}})\times 200$.

134 *2.6 Macrophage phagocytosis capacity assay*

135 Carbon clearance assay. On day 31, BALB/c mice (n=10/group) were intravenously
136 injected 0.1 mL/10 g BW pre-warmed carbon solution (India ink diluted by four times with
137 sterile saline) via the tail vein. After 2 and 10 min, 20 μ L of blood samples were collected
138 from ophthalmic venous plexus into 2 mL of 0.1% (w/v) Na_2CO_3 solution, then the
139 absorbance at 600 nm was measured. At the same time, these mice were sacrificed, then the
140 spleen and liver were removed and weighed, and the carbon clearance capacity was expressed
141 as carbon clearance index (α , $\Delta OD/\text{time}$). $\alpha = \text{Body weight} \times \sqrt[3]{k/(\text{liver weight} + \text{spleen weight})}$.
142 $k = (\lg OD_1 - \lg OD_2)/(t_2 - t_1)$.

143 CRBCs phagocytosis capacity assay. On day 31, BALB/c mice (n=10/group) were
144 intraperitoneally immunized with 1 mL of 20% (v/v) CRBCs obtained from White Leghorn
145 cocks. After 30 min, the mice were sacrificed and peritoneal cells were harvested by
146 peritoneal lavage with 2 mL of saline, then 1 mL of cell-rich lavage fluid was pipetted and
147 smeared on glass slides. Following 30 min incubation at 37 °C, non-adherent cells were
148 washed off with saline, and the macrophages were fixed with acetone:methanol (1:1, v/v),
149 then stained with 4% (v/v) Giemsa-PBS solution. The percentages of phagocytes
150 phagocytosed CRBCs per 100 macrophages were expressed as the phagocytic rate, and the
151 number of phagocytosed CRBCs per 100 macrophages was counted and expressed as the
152 phagocytic index.

153 *2.7 Splenic T lymphocyte subpopulations assay by flow cytometry*

154 The splenocyte suspension was adjusted to a concentration of 1×10^6 cells/mL for splenic T

155 lymphocyte subpopulations assay. The splenocyte surface markers were stained with
156 PerCP-Cy5.5-conjugated anti-mouse CD3 (BioLegend, San Diego, CA, USA) to determine T
157 lymphocyte frequency in spleen, and stained with FITC-conjugated anti-mouse CD4,
158 APC-conjugated anti-mouse CD8a and PE-conjugated anti-mouse CD25 (BioLegend, San
159 Diego, CA, USA) to determine the frequencies of helper T (Th, CD4⁺), cytotoxic T (Tc, CD8⁺)
160 and regulatory T lymphocytes (Treg, CD25⁺). After blocking Fc receptors, the splenocytes
161 were labeled fluorescein-conjugated antibodies or isotype controls for 30 min, followed by
162 two washed with cell staining buffer (BioLegend), then the labelled cells were resuspended in
163 cell staining buffer. FACSCanto instrument settings were adjusted using the control cells
164 stained with isotype-matched antibodies. The fluorescence activated cell sorting (FACS) was
165 performed using a FACSCanto™ flow cytometer (BD Biosciences), and the data were
166 analyzed using FACSDiva and CellQuest software (BD Biosciences).

167 *2.8 Multiplex sandwich immunoassays of cytokine and immunoglobulin*

168 Blood samples were centrifuged at 2 000 r/min for 10 min to collect the serum. Serum
169 samples were stored at -80 °C until cytokine and immunoglobulin analysis using the
170 Luminex xMAP® platform.

171 Cytokine and immunoglobulin levels were determined from the serum samples using the
172 multiplex sandwich immunoassays with the Milliplex Mouse 11 Cytokine/ 5 Immunoglobulin
173 Premixed Kit (Millipore; Billerica, MA) and established protocols in accordance with
174 manufacturer's instructions. The 11 cytokines included interleukin (IL)-1 α , IL-2, IL-4, IL-5,
175 IL-6, IL-10, IL-12p70, IL-17, IFN- γ , TNF- α and GM-CSF. The 5-immunoglobulin panel
176 comprised IgA, IgM, IgG1, IgG2a, IgG2b and IgG3. The assays were carried out according to

177 the protocols provided by the manufacturer. Finally, the 96-well plate was read on the
178 MAGPIX® instrument (Luminex, Austin, Texas, USA) based on magnetic-bead technology
179 and level of magnetic field to separate the beads. The following cytokines/immunoglobulins
180 were analyzed using the Milliplex Analyst 5.1 software. Serum cytokine and immunoglobulin
181 concentrations were calculated using a standard curve derived from the reference cytokine
182 concentrations supplied by the manufacturer. A five-parameter model was used to calculate
183 final concentrations and values. The lower levels of detection for the 11 cytokines were
184 between 0.74 and 23.11 pg/mL and for the five immunoglobulins were between 0.37 and 3.95
185 ng/mL. Serum aliquots had not undergone any previous freeze/thaws cycle.

186 *2.9 Enzyme-linked immunosorbent assay for small intestinal SIgA*

187 After the visceral organs of mice were excised and weighted, the small intestine was
188 removed and flushed with 2 mL cold calcium- and magnesium-free Hank's balanced salt
189 solution to remove intestinal contents [27]. The washing fluids were collected and stored at
190 -80 °C for the analysis of intestinal SIgA level by ELISA using a polyclonal goat anti-mouse
191 IgA to coat the plate, a purified mouse IgA as standard, and a horseradish
192 peroxidase-conjugated goat anti-mouse IgA [28].

193 *2.10 Statistical analysis*

194 Data were expressed as mean \pm standard deviation (SD) and analyzed by one-way analysis
195 of variance (ANOVA) test, then multiple comparison of least-significant difference (equal
196 variances assumed) or Dunnett's T3-test (equal variances not assumed) was used to evaluate
197 the difference of parametric samples among groups. Final analyses of Luminex data for
198 cytokines considered data that fell within the detection limits of the Luminex assay.

199 Concentrations obtained below the sensitivity limit of detection (LOD) of the method were
 200 calculated as LOD/2 for statistical comparisons as reported in previous studies [29-31]. If raw
 201 data or log-transformed data did not meet the statistical criteria for the assumption of
 202 normality showing equal variance, the nonparametric Kruskal-Wallis test was used to
 203 determine statistical differences, and the adjusted significances were calculated and adopted
 204 to decrease the probability of type 1 error. Differences were considered to be statistically
 205 significant at $P<0.05$. All analyses were carried out using IBM SPSS Statistics version 20.0
 206 and GraphPad Prism 5 Software.

207 3. Results

208 3.1 Effects of SOP on body weight and immune organ indices

209 We found that daily oral administration of SOP did not cause any mortality and no significant
 210 changes in final body weight and the indices of spleen and thymus, suggesting that SOP did not
 211 have over toxicity in mice (Table 1). Compared with whey protein control group, SOP 0.15 g/kg
 212 BW increased final body weight of mice ($p=0.055$).

213 Table 1. Effects of SOP on body weight and immune organ indices in mice

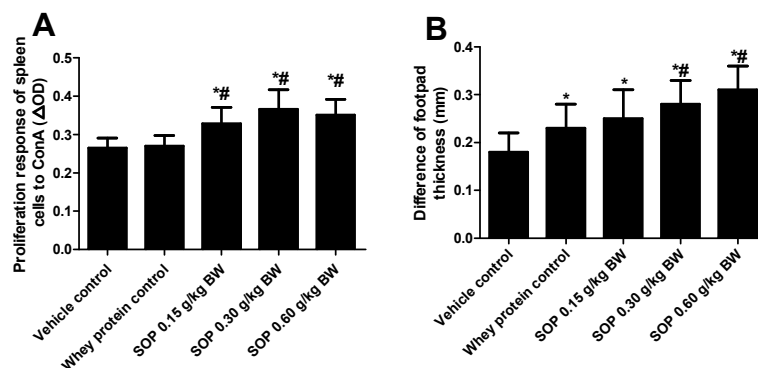
Groups	Initial body weight (g)	Final body weight (g)	Spleen index (mg/g) [#]	Thymus index (mg/g) [#]
Vehicle control	18.80±1.10	20.66±1.44	4.55±0.49	1.71±0.41
Whey protein control	18.77±0.81	20.06±1.07	4.50±0.64	1.52±0.38
SOP 0.15 g/kg BW	18.81±1.25	21.07±0.58	4.67±0.26	1.79±0.47
SOP 0.30 g/kg BW	18.79±0.95	20.21±1.37	4.35±0.36	1.80±0.55
SOP 0.60 g/kg BW	18.80±1.17	20.32±1.05	4.67±0.33	1.47±0.43

214 The data were presented as the means \pm SD (n=10 per group), which were analysed by
 215 one-way analysis of variance (ANONA) test, and followed by least-significant difference for
 216 post-hoc test between multiple groups. * $p < 0.05$ versus vehicle control group, and # $p < 0.05$ versus
 217 whey protein control group.

218 # Spleen index: Spleen weight/body weight; Thymus index: Thymus weight/body weight.

219 3.2 Effects of SOP on cell-mediated immunity

220 To evaluate the cell-mediated immunity in mice, we performed splenic lymphocyte
 221 proliferation and delayed-type hypersensitivity (DTH) to sheep red blood cells (SRBC) (Figure 1).
 222 The treatment with SOP 0.15, 0.30 and 0.60 g/kg BW significantly increased the ConA-stimulated
 223 proliferation of splenic lymphocyte compared with vehicle control and whey protein control
 224 groups (Figure 1A). The increase of footpad thickness of each mouse in 24 h was significantly
 225 enhanced by 30%, 44%, 59% and 75% in whey protein control, SOP 0.15, 0.30 and 0.60 g/kg BW
 226 groups, respectively, compared with vehicle control group, and the treatment with SOP 0.30 and
 227 0.60 g/kg BW significantly increased by 22% and 35% compared with whey protein control group
 228 (Figure 1B).

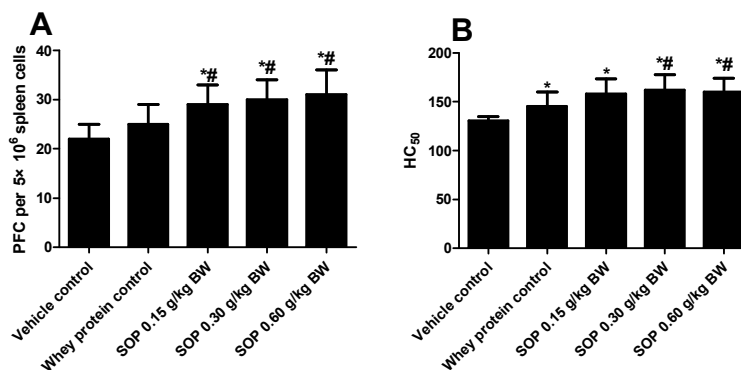


229
 230 Figure 1. Effects of SOP on cell-mediated immunity in mice. (A) Proliferation response of
 231 splenic lymphocyte to ConA; (B) Delayed-type hypersensitivity to SRBC. Values represented the

232 mean \pm SD (n=10 per group), which were analysed by ANOVA test, and followed by
 233 least-significant difference for post-hoc test between multiple groups. * p <0.05 versus vehicle
 234 control group, and # p <0.05 versus whey protein control group.

235 3.3 Effects of SOP on humoral immunity

236 The effects of SOP on the humoral immunity in mice were assessed by IgM antibody response
 237 of spleen to SRBC [the plaque-forming cells (IgM-PFCs) assay] and serum hemolysin level (HC₅₀)
 238 in mice (Figure 2). The value of PFC per 5×10^6 spleen cells was significantly increased by 34%,
 239 37% and 43% in SOP 0.15, 0.30 and 0.60 g/kg BW groups compared with vehicle control, and by
 240 17%, 19% and 25% compared with whey protein control group, respectively (Figure 2A).
 241 Moreover, the mice treated with whey protein had a slight improvement in the value of PFC
 242 compared with vehicle control group ($p=0.093$). As shown in Figure 2B, the treatment with whey
 243 protein, SOP 0.15, 0.30 and 0.60 g/kg BW significantly enhanced HC₅₀ by 12%, 21%, 24% and 23%
 244 compared with vehicle control group, respectively. The treatment with SOP 0.15, 0.30 and 0.60
 245 g/kg BW also caused the increase of HC₅₀ by 8% ($p=0.077$), 11% ($p<0.05$) and 10% ($p<0.05$)
 246 compared with whey protein control group, respectively.

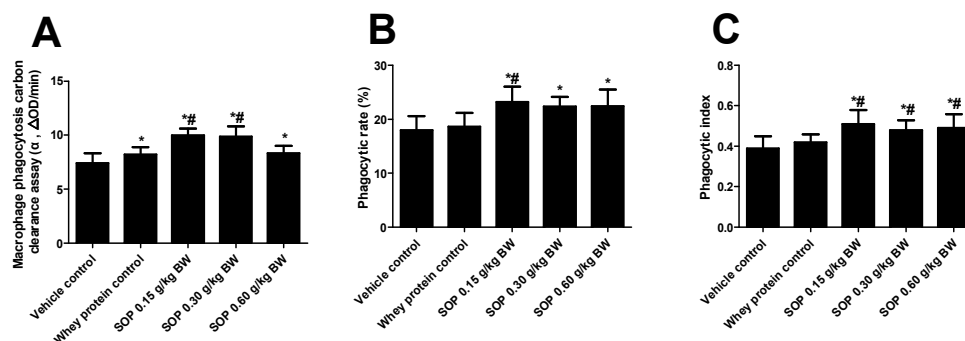


247
 248 Figure 2. Effects of SOP on humoral immunity in mice. (A) IgM antibody response of spleen to
 249 SRBC; (B) Serum hemolysin level (HC₅₀). Values represented the mean \pm SD (n=10 per group),

250 which were analysed by ANOVA test, and followed by least-significant difference for post-hoc
 251 test between multiple groups. * $p < 0.05$ versus vehicle control group, and # $p < 0.05$ versus whey
 252 protein control group.

253 3.4 Effects of SOP on macrophage phagocytosis

254 The phagocytosis capacity of peritoneal cavity phagocytes was studied through carbon
 255 clearance assay and chicken red blood cells (CRBCs) phagocytosis capacity assay (Figure 3).
 256 Macrophage phagocytosis in carbon clearance assay was significantly increased by 11%, 35%, 33%
 257 and 15% in whey protein control and SOP 0.15, 0.30 and 0.60 g/kg BW groups compared with
 258 vehicle control group, and by 22% and 20% in SOP 0.15 and 0.30 g/kg BW groups compared with
 259 whey protein control group, respectively (Figure 3A). From phagocytic capacity assay of
 260 peritoneal cavity phagocytes (Figure 3B), SOP 0.15, 0.30 and 0.60 g/kg BW significantly
 261 increased phagocytic rate by 29%, 24% and 24% compared with vehicle control group,
 262 respectively, and the treatment with SOP 0.15 g/kg BW significantly enhanced phagocytic rate by
 263 25% compared with whey protein control groups. In addition, SOP 0.15, 0.30 and 0.60 g/kg BW
 264 significantly enhanced phagocytic index by 30%, 23% and 26% compared with vehicle control
 265 group, and by 20%, 13% and 17% compared with whey protein control group, respectively.



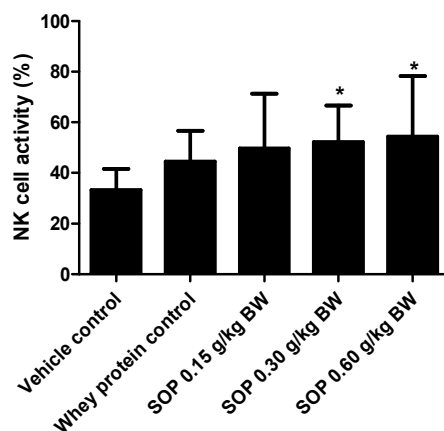
266

267 Figure 3. Effects of SOP on macrophage phagocytosis in mice. (A) Macrophage phagocytosis in mice

268 analyzed by carbon clearance assay; (B) Phagocytic rate of peritoneal cavity phagocytes in mice; (C)
 269 Phagocytic index of peritoneal cavity phagocytes in mice. Values represented the mean \pm SD (n=10 per
 270 group), which were analysed by ANOVA test, and followed by least-significant difference for post-hoc
 271 test between multiple groups. * $p < 0.05$ versus vehicle control group, and # $p < 0.05$ versus whey protein
 272 control group.

273 3.5 Effects of SOP on NK cell activity

274 Besides macrophage phagocytosis, NK cell activity was another important function for innate
 275 immune system. We observed that the mice treated with SOP 0.30 and 0.60 g/kg BW had a
 276 significant increase in NK cell activity by 57% and 63% compared with vehicle control group
 277 (Figure 4).



278
 279 Figure 4. Effects of SOP on NK cell activity in mice. Values represented the mean \pm SD (n=10 per
 280 group), which were transferred to $\sin^{-1}\sqrt{P}$ (P was NK cell activity) and analysed by ANOVA test, and
 281 followed by least-significant difference for post-hoc test between multiple groups. * $p < 0.05$ versus
 282 vehicle control group, and # $p < 0.05$ versus whey protein control group.

283 3.6 Effects of SOP on splenic T lymphocyte subpopulations

284 Given that SOP may increase the activities of T cells by altering the quantities of T cells or

285 their subpopulations, we conducted phenotypic analysis of total T cells and T cells subsets (Table
 286 2). Compared with vehicle control, the significant increase in the percentage of total T cells (CD3⁺)
 287 was observed in mice treated with SOP 0.30 and 0.60 g/kg BW, and the treatment with SOP 0.15
 288 g/kg BW showed a trend toward increasing the percentage of CD3⁺ ($p=0.074$). In contrast to whey
 289 protein, the treatment with SOP 0.30 ($p<0.05$) and 0.60 g/kg BW ($p=0.075$) improved the
 290 percentage of CD3⁺. We also observed a parallel increase in the percentage of CD3⁺CD4⁺ in mice
 291 treated with SOP 0.30 and 0.60 g/kg BW compared with vehicle control ($p<0.05$). A significant
 292 change in the percentage of CD3⁺CD8⁺ was absent among all groups. There was a trend toward
 293 enhancing the ratio of CD4⁺/CD8⁺ in whey protein control ($p=0.070$) and SOP 0.30 g/kg BW
 294 ($p=0.064$) groups. The treatment with whey protein, SOP 0.15, 0.30 and 0.60 g/kg BW
 295 significantly increased the ratio of CD4⁺CD25⁺ by 87%, 52%, 36% and 74% compared with
 296 vehicle control, and whey protein significantly enhanced the ratio of CD4⁺CD25⁺ compared with
 297 SOP 0.15 and 0.30 g/kg BW.

298 Table 2. Effects of SOP on splenic T lymphocyte subpopulations

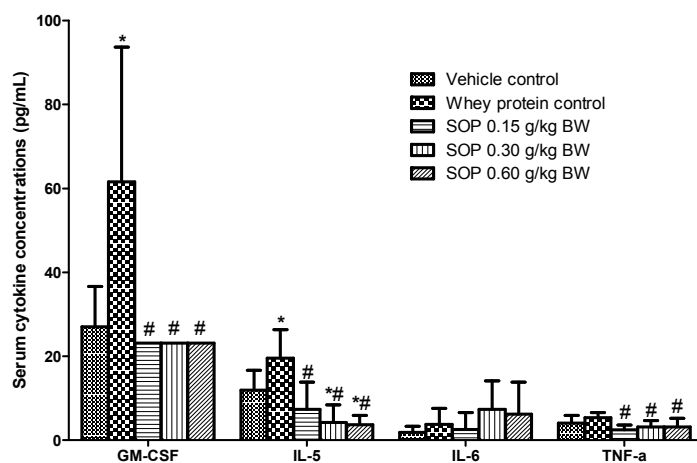
Groups	CD3 ⁺ (%)	CD3 ⁺ CD4 ⁺ (%)	CD3 ⁺ CD8 ⁺ (%)	CD4 ⁺ /CD8 ⁺	CD4 ⁺ CD25 ⁺ (%)
Vehicle control	38.47±4.28	27.23±2.82	13.37±2.51	2.05±0.39	7.98±2.57
Whey protein control	39.26±3.87	28.28±2.71	12.58±1.83	2.26±0.27	14.90±1.54*
SOP 0.15 g/kg BW	41.24±2.94	28.19±2.85	12.79±2.24	2.19±0.27	12.12±2.40* [#]
SOP 0.30 g/kg BW	42.69±5.49*	29.40±3.51*	12.82±3.06	2.28±0.31	10.83±2.34* [#]
SOP 0.60 g/kg BW	42.58±3.11* [#]	29.43±2.76*	13.11±2.33	2.23±0.36	13.90±1.50*

299 The data were presented as the means ± SD (n=10 per group), which were analysed by ANOVA

300 test, and followed by least-significant difference for post-hoc test between multiple groups. * $p < 0.05$
 301 versus vehicle control group, and # $p < 0.05$ versus whey protein control group.

302 3.7 Effects of SOP on serum cytokine concentrations

303 The previous study demonstrated that bioactive peptide enhanced Th cells to produce
 304 increased amounts of various cytokines [7]. Therefore, we investigated serum cytokine
 305 concentrations in mice. Compared with vehicle control, mice treated with whey protein
 306 significantly enhanced granulocyte-macrophage colony-stimulating factor (GM-CSF) and IL-5
 307 concentrations in serum, and the treatment with SOP 0.30 g/kg BW showed an increasing trend in
 308 serum IL-6 concentration ($p = 0.063$), but the depression were observed in IL-5 in mice treated with
 309 SOP 0.30 and 0.60 g/kg BW ($p < 0.05$) and in tumor necrosis factor (TNF- α) in mice treated with
 310 SOP 0.15 g/kg BW ($p = 0.058$) (Figure 5). In contrast to whey protein, the treatment with SOP
 311 significantly reduced serum GM-CSF, IL-5 and TNF- α concentrations of mice. There were no
 312 significant differences in IL-1 α , IL-2, IL-4, IL-10, IL-12p70, IL-17A and interferon (IFN)- γ
 313 concentrations among all groups.



314

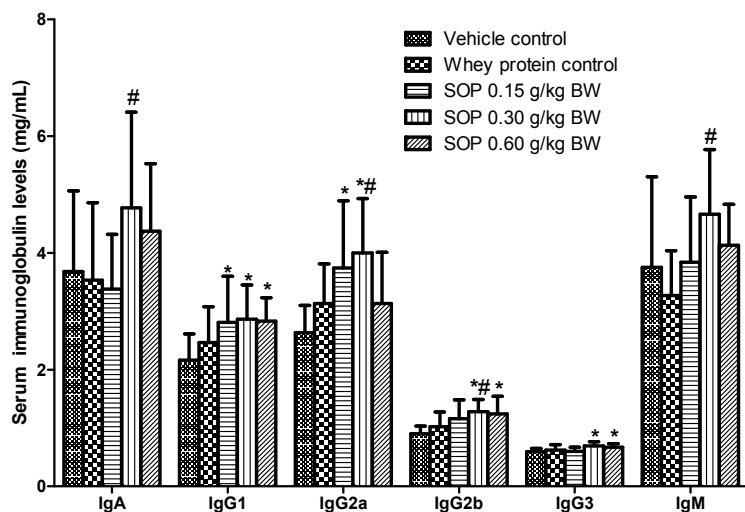
315 Figure 5. Effects of SOP on serum cytokine concentrations in mice. Values represented the mean \pm

316 SD (n=10 per group), which were analysed by ANOVA test, and followed by least-significant

317 difference for post-hoc test between multiple groups. GM-CSF concentration was analysed by the
318 nonparametric Kruskal-Wallis test was used to determine statistical differences, and pairwise
319 comparisons were used for post-hoc test between multiple groups. To decrease the probability of
320 Type 1 error, the adjusted significances were calculated. * $p < 0.05$ versus vehicle control group,
321 and # $p < 0.05$ versus whey protein control group.

322 3.8 Effects of SOP on serum immunoglobulin levels

323 Immunoglobulin A (IgA) is the most abundant antibody isotype in mucosal secretions and
324 owes its success in frontline immunity to its ability to undergo transcytosis across epithelial cells
325 [8]. Antibodies of the IgG isotype, including IgG1, IgG2a, IgG2b and IgG3, play a crucial role in
326 the cascade of the activation of humoral immune system, resulting in the clearance of invading
327 microbes and the generation of long lasting immunity [9]. IgM has the key properties to eliminate
328 infection in primary immune responses and promote the removal of apoptotic cells [9]. To
329 investigate the pattern of SOP affecting humoral immunity, we conducted the serum
330 immunoglobulin levels. As showed in Figure 6, we observed that mice treated with SOP 0.30 and
331 0.60 g/kg BW had significant increases in serum IgG1, IgG2b and IgG3 levels, and mice treated
332 with SOP 0.30 g/kg BW also had significant increase in serum IgG2a level. The treatment with
333 SOP 0.15 g/kg BW had significant increases in IgG1 and IgG2a levels and had a slight increase in
334 IgG2b level ($p = 0.079$). There were no significant differences in serum immunoglobulin levels
335 between whey protein control group and vehicle control group. In contrast to whey protein, the
336 significant increases in IgA, IgG2a, IgG2b and IgM levels were observed in mice treated with
337 SOP 0.30 g/kg BW, and the treatment of SOP 0.60 g/kg BW showed a trend toward enhancing
338 IgG2b level ($p = 0.062$).

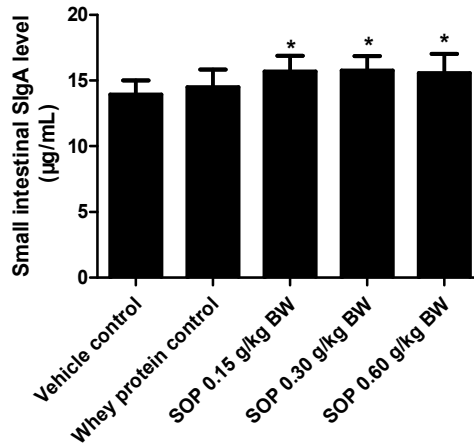


339

340 Figure 6. Effects of SOP on serum immunoglobulin levels in mice. The data were presented as the
 341 means \pm SD (n=10 per group), which were analysed by ANOVA test, and followed by
 342 least-significant difference for post-hoc test between multiple groups. * $p < 0.05$ versus vehicle
 343 control group, and # $p < 0.05$ versus whey protein control group.

344 3.9 Effects of SOP on small intestinal secretory IgA (SIgA) level

345 SIgA plays an important role in the protection and homeostatic regulation of intestinal,
 346 respiratory, and urogenital mucosal epithelia separating the outside environment from the inside of
 347 the body; and this primary function of SIgA is referred to as immune exclusion, a process that
 348 limits the access of numerous microorganisms and mucosal antigens to these thin and vulnerable
 349 mucosal barriers [10]. We investigated small intestinal SIgA level to confirm the effects of SOP on
 350 mucosal immunity. The significant increase in SIgA level was observed in mice treated with SOP
 351 0.15, 0.30 and 0.60 g/kg BW compared with vehicle control (Figure 7). In addition, there was an
 352 increasing trend in SOP 0.15 ($p=0.080$) and 0.30 g/kg BW ($p=0.067$) groups compared with whey
 353 protein control.



354

355 Figure 7. Effects of SOP on small intestinal SIgA level in mice. Values represented the mean \pm SD

356 (n=10 per group), which were analysed by ANOVA test, and followed by least-significant

357 difference for post-hoc test between multiple groups. * $p < 0.05$ versus vehicle control group, and #358 $p < 0.05$ versus whey protein control group.359 **4 Discussion**

360 Derived from enzymatic hydrolysis, SOP, in which major components were small molecule
361 oligopeptides, is potential as immunomodulator. In vertebrates, two types of immunity are used to
362 protect the host from infections: innate and adaptive. The innate immune system is genetically
363 programmed to detect invariant features of invading microbes, and the adaptive immune system,
364 comprised on T and B lymphocytes, employs antigen receptors that are not encoded in the
365 germ-line but generated de novo in each organism [7]. Adaptive immune response included two
366 types: humoral immunity and cell-mediated immunity [11, 12]. Innate immune cells include
367 dendritic cells, macrophages, and neutrophils, among others [13], and we investigated innate
368 immune response via the macrophage phagocytosis and NK cell activity. The present study
369 demonstrated that SOP could improve the innate immunity by enhancing the functions of
370 cell-mediated immunity and humoral immunity, and improve adaptive immune responses by

371 increasing macrophage phagocytosis and NK cell activity. Furthermore, the immunomodulatory
372 effects of SOP were generally better than those of whey protein, suggesting that SOP would be a
373 potential immunomodulator. Although the mechanism underlying the immunomodulatory effects
374 of food-derived bioactive peptides remains uncertain, there is accumulating evidence that they
375 may enhance lymphocyte proliferation and antibody synthesis [14], macrophages function [15, 16],
376 humoral immune response, CD4⁺ T helper percentage, cytokines [7] and NK activity [17]. We also
377 observed that the treatment of SOP increased cell-mediated immunity by enhancing
378 ConA-stimulated proliferation of splenic lymphocyte and delayed-type hypersensitivity to SRBC,
379 as well as humoral immunity by increasing IgM antibody response of spleen to SRBC and serum
380 hemolysin level. These results were consistent with previous data, for example, marine
381 oligopeptide preparation from Chum Salmon (*Oncorhynchus keta*) could significantly increase
382 lymphocyte proliferation and the number of plaque-forming cells (antibody production) [7].

383 T lymphocytes coordinate the immune response and play a central role in cell-mediated
384 immunity [11]. T lymphocyte (CD3⁺) subsets include two main lymphocyte T subsets - helper
385 (CD4⁺) T cells and suppressor/cytotoxic (CD8⁺) T, and their ratio (CD4⁺/CD8⁺) is the critical
386 indicator to evaluate the homeostasis of immune system. CD4⁺ T cells can be divided to two
387 distinct subsets of effector cells: T helper type 1 (Th1) cells which produces inflammatory
388 cytokines (IL-2, IL-12, IFN- γ , GM-CSF and TNF) inducing cell-mediated immune responses, or T
389 helper type 2 (Th2) cells which secretes cytokines as like IL-4, IL-5, IL-6 and IL-10 inducing
390 humoral or allergic responses [18, 19]. In our study, SOP significantly increased the percentages of
391 CD3⁺ and CD4⁺ T cells in agreement with the results in cell-mediated immunity assays. We
392 further performed cytokine profile measurement using new multiplex sandwich immunoassays

393 technology. We found that the increases in inflammatory cytokines secreted by Th1 were absent,
394 revealing that the elevated function of cell-mediated immunity might be due to the increase of
395 effector cells, as like CD3⁺ and CD4⁺ T cells. In addition, although serum IL-5 in mice treated
396 with SOP 0.30 and 0.60 g/kg BW was depressed, serum IL-6, derived from Th2 cells, in mice
397 treated with SOP 0.30 g/kg BW had an increasing trend compared with vehicle control, and that
398 might partly contribute to the improvement of humoral immunity. In contrast, the mice treated
399 with whey protein had an increased GM-CSF and IL-5 concentrations, probably inducing the
400 increment of DTH, HC₅₀ and macrophage phagocytosis in carbon clearance assay. Moreover, the
401 previous study demonstrated that human CD4⁺CD25⁺ regulatory T cells (Tregs) could suppress
402 both proliferation and cytokine production, and this suppression was mediated by cell-to-cell
403 contact but not by a humoral factor or the inhibition of antigen-presenting cell [20]. In the present
404 study, we obtained significant enhancement in the percentage of CD4⁺CD25⁺ in whey protein and
405 SOP groups, which might result to the decreased IL-5 concentration in SOP 0.30 and 0.60 g/kg
406 BW groups. Therefore, Tregs were critical in regulating the function of other immune cells and
407 preventing potentially harmful autoimmune responses [21].

408 Besides secreted cytokines from Th2 cells, the antibody responses of IgM, IgG and IgA were
409 also belonged to the effector classes of humoral immune responses. IgA antibodies play an
410 important role in mucosal immunity. IgG, the smallest but most common antibody, is very critical
411 in promoting the phagocytosis of monocyte macrophages to defense against bacterial and viral
412 infections. IgM, the largest antibody, is the first type of antibody made in response to an
413 early-stage infection, and also causes other immune system cells to destroy foreign substances.
414 When the host is attacked, antimicrobial peptides, secretory IgA and circulatory IgM, as the

415 low-cost effector mechanisms of defense mechanisms of immune system, are expressed
416 constitutively; when they are insufficient to contain pathogens, the response of next lowest cost,
417 tissue-resident macrophages, is induced; and when this response is insufficient, IgG1 is induced,
418 and so on. The order of next engagement is IFN- γ -activated macrophages, neutrophils, IgG2a and
419 IgG2b, CTL and Th17 [22]. A previous study has shown that oral administration of
420 β -casomorphins, opioid like bioactive peptides released on digestion of β -casein of milk,
421 significantly increased humoral immune response (IgE, IgG and IgG1/IgG2a in intestinal fluid)
422 [23]. We also observed that the treatment of SOP induced major increases in small intestinal SIgA,
423 serum IgG1, IgG2a, IgG2b and IgG3 concentrations, which may be the main effectors
424 contributing to the improvement of humoral immune, macrophage phagocytosis and NK cell
425 activity. This might be attributed to the protection of SIgA on gut immune system, the antibody
426 functions of IgG, and the interaction of innate immune and adaptive immune. The cells of innate
427 immune system, such as mast cells, monocytes, macrophages, eosinophils, and neutrophils,
428 including a small population of NK cells express activating and inhibitory Fc γ receptors
429 simultaneously, thereby setting a threshold for cell activation by IgG, which prevents unwanted
430 initiation of potentially dangerous effector functions such as phagocytosis, antibody-dependent
431 cellular cytotoxicity (ADCC), degranulation, and release of various immunoregulatory molecules
432 [9]. In addition, cytokines or activated complement proteins released during pro-inflammatory
433 reactions can also modulate this threshold [24, 25].

434 **4. Conclusions**

435 The data presented in the current study clearly demonstrated that oral administration of SOP
436 had the potential to increase the innate immune responses via macrophage phagocytosis and NK

437 cell activity and enhance the adaptive immune responses via cell-mediated immunity and humoral
438 immunity, which most likely attributed to the stimulation of Th cells, cytokines secretion and
439 antibody production. It is supposed from these results that SOP could be a candidate
440 immunomodulator to improve immune function of immunocompromised persons or cancer
441 patients.

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447 **Conflict of interest**

448 The authors declare no conflict of interest.

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