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1 **Effects of a low-fat yoghurt supplemented with a rooster comb extract on muscle**  
2 **joint function in adults with mild knee pain: a randomized, double blind, parallel,**  
3 **placebo-controlled, clinical trial of efficacy.**

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27 **Running headline of not more than 40 characters (including spaces):** yoghurt,

28 rooster comb extract and knee

29 **Abbreviations:** ANCOVA, analysis of covariance; CTNS, Nutrition and Health

30 Technology Centre; GCP, good clinical practice; HA, hyaluronic acid; ICC, intra-class

31 correlation coefficients; ICH, International Conference of Harmonization; ITT,

32 intention to treat; JKOM, Japanese Knee Osteoarthritis Measure; KOA, Knee

33 osteoarthritis; OA, osteoarthritis; PET, polyethylene terephthalate; PGE2,

34 prostaglandin E2; RCE, rooster comb extract; SOCS3, cytokine signaling 3; TLR4,

35 Toll-like receptor 4; VAS, visual analogic scale.

36

37 **Authors' contributions to manuscript were as following:** (1) the conception and

38 design of the study, or acquisition of data, or analysis and interpretation of data: RS, R-

39 MV, IM, AR, FP, LA, CC, DM-P were responsible for the overall study design

40 including project concept, development of the research plan, and study oversight.

41 IM, MG, AP, NT, MR, AR, VL-F, MM, M-CC, LP, JF, GB, AA, RG provided hands-

42 on conduct of the experiments and data collection.

43 AR, CC, DM-P provided essential reagents or materials (applies to authors who

44 contributed by providing animals, constructs, databases, etc. necessary for the research)

45 DM analyzed data and performed statistical analyses

46 (2) drafting the article or revising it critically for important intellectual content

47 RS, R-MV, DM had major contributions to writing the manuscript

48 (3) final approval of the version to be submitted: All authors have read, revised and

49 approved the final manuscript.

50 **ABSTRACT**

51 Preliminary results suggested that oral-administration of rooster comb extract (RCE)  
52 rich in hyaluronic acid (HA) was associated with improved muscle strength. Following  
53 these promising results, the objective of the present study was to evaluate low-fat  
54 yoghurt supplemented with RCE rich in HA on muscle function in adults with mild  
55 knee pain; a symptom of early osteoarthritis. Participants (n=40) received low-fat  
56 yoghurt (125 mL/d) supplemented with 80 mg/d of RCE and placebo group (n=40)  
57 consumed the same yoghurt without the RCE, in a randomized, controlled, double-  
58 blind, parallel trial over 12 weeks. Using an isokinetic dynamometer (Biodex System 4),  
59 RCE consumption, compared to control, increased affected knee peak torque, total work  
60 and mean power at 180°/s, at least 11% in men ( $p<0.05$ ) with no differences in women.  
61 No dietary differences were noted. These results suggest that long-term consumption of  
62 low-fat yoghurt supplemented with RCE could be a dietary tool to improve muscle  
63 strength in men, with attendant possible clinical significance. However, further studies  
64 are needed to elucidate reasons for these sex difference response observed, and may  
65 provide further insight into muscle function.

66 **Trial Registration:** ClinicalTrials.gov Identifier: NCT01303432.

67 **Word count** = 180

68 **Key words:** knee discomfort, rooster comb extract, novel food, hyaluronic acid, muscle  
69 strength, isokinetic dynamometer

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71

72

## 73 1. INTRODUCTION

74 Knee osteoarthritis (KOA) is a major public health problem <sup>1</sup> because it causes chronic  
75 disability in older people <sup>2</sup>. Early KOA is recognized by knee discomfort with no clear  
76 lesions or associated abnormalities, and requires a conservative approach in first choice  
77 management <sup>3</sup>. Muscular functional limitations have been targeted in developing tools  
78 directed at underlying mechanisms for KOA <sup>4</sup>. The muscles around the knee,  
79 particularly the quadriceps and hamstring, are known to act as dynamic stabilizers. The  
80 knee extensors are the main movers involved in physical activities such as running,  
81 jumping or kicking the ball, whereas the knee flexors are involved in physical activities  
82 where they influence stride length and stabilize the knee joint in changes of direction,  
83 acceleration and deceleration, and during landing <sup>5,6</sup>. Both, knee extensor and knee  
84 flexor strengths are lost with the progress of symptomatic KOA <sup>7</sup>. Weakness of knee  
85 flexor and extensor muscles could lead to a decreased joint stability which, combined  
86 with the decreased biomechanical efficiency, leads to debilitating falls, especially in the  
87 elderly <sup>7-9</sup>. The quadriceps muscle weakness may precede KOA <sup>8,9</sup>.

88 Isokinetic muscle strength, which is evaluated by peak torque, total work and mean  
89 power, can identify muscle weakness and can assist the diagnostic process, or can be  
90 used to determine the effect of following different interventions <sup>10,11</sup>. Strength of the  
91 knee flexors and extensors has been identified as an important parameter in prevention  
92 of injury of knees <sup>12</sup>. Depending on the joint, the muscle group and the movement to be  
93 studied, multiple angular velocities were used. To evaluate muscle function, the  
94 measurements included slow velocities (up to 60 °/s), intermediate velocities (90 to 120  
95 °/s) and fast velocities (180-300°/s). The maximum work is lower at higher movement  
96 velocity. Of note is that velocity of 180°/s appears to have gained general acceptance  
97 and, currently, is being used widely <sup>13,14</sup>.

98 Hyaluronic acid (HA) is composed of N-acetyl glucosamine (as the monosaccharide)  
99 together with D-glucuronic acid. Glucosamine can prevent cytokine-induced DNA  
100 demethylation of a specific CpG site in the IL1 $\beta$  promoter resulting in a decrease of  
101 expression via NF- $\kappa$ B in human chondrocytes<sup>15,16</sup>. In addition, HA has a key role in  
102 myogenesis and regulation of myocytes cycle<sup>17</sup>. Further, orally-administered HA is  
103 absorbed and ubiquitously distributed in organs and joints<sup>18</sup>, thus opening possibilities  
104 for developing therapies to treat discomfort in various joints. A study with horses with  
105 osteochondrosis demonstrated that the oral-administration of rooster comb extract  
106 (RCE) rich in HA for a period of 90 days increased the intra-articular concentration of  
107 hyaluronic acid<sup>19</sup>. A preliminary study<sup>11</sup> involving intake of a low-fat yoghurt  
108 containing added RCE produced a significant increase in the maximum peak torque of  
109 the knee extensors at 180°/s and at 240°/s, while a similar pattern of response was  
110 observed in total work and in mean power; the outcome being improved muscle strength  
111 and flexibility<sup>11</sup>. These promising results offer new therapeutic opportunities, albeit  
112 studies with higher levels of scientific evidence are needed. RCE underwent a safety  
113 assessment and, as a result of which, an authorization decision was taken by the  
114 European Commission based upon a positive assessment by European Food Safety  
115 Authority<sup>20</sup>.

116 Foods naturally containing sodium hyaluronate are very limited. Only viscera and  
117 rooster combs have high amounts of this substance. Cultural habits (not all countries  
118 include rooster combs and/or viscera in their diets) often precludes these products in a  
119 regular diet. Hence, a good way to make up this lack in sodium hyaluronate intake could  
120 be to include rooster combs extract (RCE) in foods which are daily consumed, such as  
121 dairy products.

122 Our hypothesis is that the consumption of a low-fat yogurt supplemented with RCE  
123 improves muscle strength of the quadriceps and hamstring muscles in patients affected  
124 with mild knee pain, resulting in greater knee joint stability.

125 The objective of this study is to determine the effect of intake of low-fat yoghurt  
126 supplemented with RCE on muscle strength of the affected knee joint, as determined by  
127 an isokinetic gold standard method. Additional evaluations included an echography,  
128 subjective assessment of pain, and safety of the RCE-supplemented low-fat yoghurt.

## 129 **2. MATERIALS AND METHODS**

### 130 **2.1 Study design, randomization and intervention**

131 The study was a randomized, double-blind, placebo-controlled, two-arm study assessing  
132 the effect of RCE on joint function in adults with mild knee pain. The randomization  
133 code was computer generated. The randomization list was based on a block  
134 randomization procedure (with block-size of 4) generated using PROC PLAN in the  
135 SAS program (version 9.2). To guarantee allocation concealment, the randomization list  
136 was guarded and was unavailable to investigators involved in the study. Participant  
137 assignment to treatment or placebo arm was at a ratio of 1:1. The number sequence for  
138 the subject, center, and treatment assignment were allocated via an interactive electronic  
139 response system hosted by the Nutrition and Health Technology Centre (CTNS). The  
140 Unit responsible for the randomization took no further part in the study.

141 Participants were randomized to receive a low-fat yoghurt (125 mL/d) supplemented  
142 with 80 mg/d of RCE (Mobilee®; Bioiberica S.A., Palafolls, Spain), or the same low-fat  
143 yoghurt without RCE, over a period of 12 weeks. The dose and treatment duration  
144 followed that of previous studies<sup>21,22</sup>. The RCE was extracted from food grade rooster  
145 combs using an extraction process. To guarantee the appropriate dosage, the RCE was  
146 added before yogurt fermentation in the manufacture process. The concentrations,



147 structure and stability of the RCE were confirmed before the yogurts were made  
148 available to the participants. RCE contained HA (65%) together with hydrolyzed  
149 proteins (particularly collagen) and other polysaccharides. The content of HA in the  
150 final yogurt product was determined according to the method described by Coleman et  
151 al 1997<sup>23</sup>. Each 100g of the low-fat yoghurt contains: 3.25% protein; 0.2% fat; 4.45%  
152 carbohydrates; 30 kcal. The only difference between investigation product and control  
153 was the supplementation with RCE (80 mg/unit). The participants were asked to  
154 consume the yoghurt at the same time each day; preferably at lunchtime.  
155 The participants' diets were monitored using two 3-day dietary records, one prior to  
156 commencing the study, and the other at 12 weeks of the trial. Additionally, a list of  
157 foods and products rich in mucopolysaccharides and/or HA was provided to participants  
158 with instructions to avoid these dietary items so as to preempt their influence on the test  
159 substance measurements.

## 160 **2.2 Participants**

161 Participants were outpatients at the *Hospital Universitari Sant Joan* (Reus, Spain). All  
162 had been suffering from mild pain knee (evaluated on VAS as being between 30 mm  
163 and 50 mm), for a minimum of 6 months. The exclusion criteria were: 1) regular use of  
164 paracetamol or other drugs to control joint discomfort; 2) active rheumatoid arthritis and  
165 any inflammatory arthritic conditions 3) treatment with oral corticosteroids within the 4  
166 weeks prior to selection; 4) treatment with intra-articular corticosteroids within the 12  
167 weeks prior to selection; 5) significant joint injury during the 3 weeks prior to  
168 screening (identified from medical history); 6) patients who consume drugs or dietary  
169 supplements for osteoarthritis (OA) at the time of screening; 7) individuals who depend  
170 on prescription drugs to control pain; 8) patients participating in a concurrent clinical  
171 trial, or having received a product being evaluated during the previous 30 days; 9)

172 allergy to dairy products; 10) individuals following an energy-restricted diet for weight  
173 loss; 11) pregnant or lactating; 12) currently taking nutraceuticals with HA and/or other  
174 types of joint regenerators; 13) suffering from axis alterations. Baseline characteristics  
175 of the participants are summarized in Table 1. Participant flow throughout the study is  
176 shown in Figure 1.

177 The study was conducted between February 2011 and June 2011 in *Hospital*  
178 *Universitari Sant Joan* (Reus, Spain). The adverse events were coded according to the  
179 Medical Dictionary for Regulatory Activities (MedDra dictionary; version 9). We  
180 approached the present manuscript when RCE had been approved by the European  
181 Commission as a Novel Food ingredient<sup>20</sup>.

### 182 **2.3 Packaging characteristics**

183 The investigational and control products were packed in 125 mL polyethylene  
184 terephthalate (PET) containers sealed with an aluminum foil cover. The test units were  
185 batched in cartons containing 6 units each. The labels on each included the following  
186 information: EU code for products of animal origin, consume-by date, trial code / name  
187 of the promoter, the inscription “sample for nutritional investigation”, storage  
188 conditions, blank space for noting information, consume-by date if necessary, and  
189 participant’s code identification number in the study. The palatability and general  
190 acceptability of the low-fat yoghurt supplemented with RCE compared with the placebo  
191 was evaluated by means of a subjective acceptance questionnaire.

### 192 **2.4 Ethics**

193 The study was approved by the Clinical Research Ethical Committee of the *Hospital*  
194 *Universitari Sant Joan*. Protocol was according to the Helsinki Declaration and good  
195 clinical practice guidelines of the International Conference of Harmonization (ICH

196 GCP). All participants provided written informed consent prior to enrolment into the  
197 trial.

198 This trial was registered with ClinicalTrials.gov: number NCT01303432.

## 199 **2.5 Outcomes**

200 **Main outcome:** To assess evolution of muscle function over 12 weeks from baseline as  
201 measured by isokinetic evaluation of the affected knee joint

202 **Secondary outcomes:** To assess change over 12 weeks from baseline in the  
203 echographic evaluation of the affected joint using an OA risk parameter scale, and pain  
204 evaluation on the VAS scale

## 205 **2.6 Clinical assessment**

206 Isokinetic test: The evaluation was conducted with an isokinetic dynamometer as gold  
207 standard method (Biodex System 4; Biodex Medical Systems, New York, USA) using  
208 five repetitions at two angular velocities (180°/s, 240°/s)<sup>24</sup>. This allows a quantitative  
209 evaluation of muscle function through variables such as torque, work and power. As we  
210 have observed, the maximum work is lower at higher movement velocity, thus fast  
211 velocities such as 180°/s and 240°/s would be optimal for our purpose. The participant  
212 assumed a seated position with the hips flexed at 90°. The degree of freedom of the knee  
213 was restricted to extension/flexion of 0 to -90. A break of 2 min was allowed between  
214 sets of measurements. Based on the data retrieved from all the sets, the maximum total  
215 work (J), maximum peak torque (Nm) and mean power (W) at 180 and 240°/s were  
216 determined. The maximum peak torque (Nm) was defined as the maximum force  
217 produced by the tested musculature at the two different angular velocities. Total work  
218 (J) was defined as the workload at a defined angular velocity, while mean power (W)  
219 was defined as total work over a specific period of time<sup>11</sup>.

220 The intra-and inter observer reliability (consistency) of the isokinetic strength-testing  
221 protocol for knee extension and flexion was determined<sup>25</sup>. For inter-observer, the intra-  
222 class correlation coefficients (ICC) of the isokinetic variable peak torque was 0.91  
223 (95%CI: 0.85-0.97) and for intra-observer, the ICC was 0.95 (95%CI: 0.70-0.99), both  
224 representing ‘good’ to ‘very good’ reliability according to Landis and Koch  
225 interpretation<sup>26</sup>.

## 226 **2.7 Statistical analyses**

227 Sample size was calculated using the results obtained in a previous trial<sup>15</sup> on the  
228 isokinetic evaluation of peak torque under specific analytical conditions of 240° in  
229 extension. Assuming a standard deviation (SD) of 8.5 Newton (Nm), 40 participants per  
230 group were necessary to detect differences between the two groups (placebo and  
231 experimental) of 5.5 Nm under an  $\alpha=0.05$  significance level, and a power of 80%.

232 Descriptive results were expressed as mean±standard deviation (SD) or percentages,  
233 according to the variable being measured.

234 To compare the effects of the two products (test and placebo) on the efficacy of the  
235 principal variable, as well as on the main secondary efficacy variables, an analysis of  
236 covariance was performed (ANCOVA) with the baseline value as covariate. The studied  
237 population was analyzed by intention-to-treat, defined as all randomized subjects who  
238 met inclusion/exclusion criteria, who received the study products (placebo or active-  
239 ingredient yoghurts), and had at least a baseline efficacy measurement. For the main  
240 efficacy analysis, missing values were imputed by means of the Baseline Observation  
241 Carried Forward (BOCF) method, and sensitivity analysis based on Available Data  
242 Only (ADO) approach were also performed, finding no remarkable differences,  
243 improving the robustness of the statistical results. For the rest of efficacy variables,

244 hypotheses were tested using Fisher's exact test for categorical variables, the Student's  
245 *t*-test for continuous variables and Mann-Whitney's U-test for ordinal variables.  
246 All statistical analyses were performed with the SAS 9.2 (SAS Institute, Cary NC)  
247 package. Significance level was fixed at bilateral 5%. Previous to the opening of the  
248 randomization codes and the lock of the database, a Statistical Analysis Plan was  
249 performed, and all analyses were conducted in accordance.

### 250 **3. RESULTS**

#### 251 **3.1 Baseline characteristics of the study participants**

252 From the 89 eligible volunteers, 84 were randomized and 80 were analyzed (30 men and  
253 50 women). The mean ( $\pm$ SD) age was  $42.52 \pm 13.16$  years and the BMI was  $25.36 \pm 3.72$   
254 kg/m<sup>2</sup>, as described in Table 1 and Figure 1.

#### 255 **3.2 Attrition rates**

256 At 12 weeks, both groups had 95% adherence to the study protocol and no statistically  
257 significant differences in attrition rates were observed between intervention and control  
258 groups ( $P = 0.89$ ).

#### 259 **3.3 Evaluation of compliance, tolerance with the product and adverse events**

260 Of the participants, 76 (94%) included in the safety population completed the trial  
261 without significant protocol deviations; 93% ( $n=37$ ) in the placebo group and 95%  
262 ( $n=39$ ) in the intervention group. The palatability and general acceptability of the low-  
263 fat yoghurt supplemented with RCE was well and no differences were observed with  
264 placebo. Adverse events were reported in 9 volunteers and were related to  
265 gastrointestinal discomfort such as flatulence and stomach ache. The severity of the  
266 adverse events was mild and in none of the cases the intervention was modified or  
267 interrupted. Moreover, there were no statistically significant differences between groups  
268 with respect to adverse events reported.

### 269 **3.4 Dietary intake**

270 The intake of energy, macronutrients, cholesterol and alcohol did not change during the  
271 12 weeks intervention period, and no significant differences were observed between  
272 groups.

### 273 **3.5 Isokinetic evaluation of muscle function in the affected knee joint**

274 No significant differences were observed when comparing RCE group isokinetic  
275 variables with placebo globally (Supplementary Table 1). When the isokinetic data on  
276 peak torque (Nm), total work (J) and mean power (W) at 180°/s and 240°/s is segregated  
277 by gender, significant differences were observed in men. At 12 weeks, men in the RCE  
278 group significantly increased the muscle strength in the affected knee-joint in flexion  
279 and extension improving the mainly isokinetic variables measured at 180°/s and also at  
280 240°/s compared to placebo. The % of change from baseline in the RCE intervention is  
281 in all the isokinetic parameters over 19%. Moreover, the % of difference from placebo  
282 is in all the variables determined over 11%. No statistically significant changes were  
283 observed in women between RCE and placebo. The most relevant isokinetic data at  
284 180°/s and segregated by gender are summarized in Table 2.

### 285 **3.6 Effusion of affected knee joint**

286 The effusion of the affected knee joint was evaluated using echography, and no  
287 significant changes were observed between control and intervention groups. At 12  
288 weeks, the RCE-supplemented group had a reduction of -5.35 % (in mm) while, in  
289 placebo group, this was increased by +1.92 %; albeit the difference was not statistically  
290 significant (P=0.276).

### 291 **3.7 Pain evaluation**

292 Pain evaluation on the VAS scale showed no statistically significant differences  
293 between intervention and control group.

#### 294 4. DISCUSSION

295 The present study confirms that low-fat yoghurt supplemented with a natural compound  
296 RCE rich in HA (80 mg/d) consumed over 12 weeks can improve the muscular status in  
297 the affected knee-joint, at least a 11% in men, compared to control group. Peak torque,  
298 total work, and mean power in flexion and extension evaluated in two angles (180°/s  
299 and 240°/s) increased at least 19% in men suffering from mild knee pain compared to  
300 baseline. From our knowledge, the information about clinical significance of knee  
301 isokinetic measures improvement is scarce. It is proposed that when the comparison  
302 between two isokinetic variable data is greater than 10% is generally considered as  
303 being functionally significant<sup>27,28</sup>. Thus, the improvement in the affected knee-joint  
304 muscle strength that was observed after the RCE intervention could suggest a clinical  
305 practical importance leading to clinical significance<sup>29</sup>.

306 In a healthy population, women have lower muscle strength than men at all age groups.  
307 Male muscle strength declines progressively and linearly with age, while female muscle  
308 strength decreases from around the age of 41 years<sup>10</sup>. Kasai et al.<sup>30</sup> observed sex and  
309 age related differences in thigh cross-section area, composition and muscle quality.  
310 With age the thigh cross-sectional area decreases mainly because of a reduction in  
311 muscle in men and, in contrast, because of fat reduction in women. Moreover, the rate  
312 of decrease in muscle cross-sectional area was 1.5-fold higher in men than in women.  
313 However, different studies have suggested that loss of ovarian function associated with  
314 decreased circulating concentrations of 17 $\beta$ -estradiol could indirectly be associated with  
315 the accelerated decline in muscle strength after the menopause<sup>31</sup>. Hence, sex  
316 steroidogenesis-related mRNA and protein expressions, such as for 17 $\beta$ -hydroxysteroid  
317 dehydrogenase (HSD), 3 $\beta$ -HSD, 5 $\alpha$ -reductase and aromatase cytochrome P-450  
318 (P450arom) enzymes, are detected in the skeletal muscle while testosterone, estradiol,

319 and  $5\alpha$ -dihydrotestosterone are locally synthesized in skeletal muscle from  
320 dehydroepiandrosterone <sup>32</sup>. Therefore, acute exercise may increase muscle estrogen  
321 synthesis in males, and may increase testosterone synthesis in females. Indeed, muscle  
322 estrogen levels were observed to be increased in males, while muscle testosterone levels  
323 in females were increased by acute exercise <sup>32</sup>. This interesting approach to muscle  
324 metabolism suggests that the difference in sex steroidogenesis enzymes and sex steroid  
325 hormone levels in skeletal muscle could be upregulated by products as RCE, and the  
326 response may be higher in men. However, future studies are needed to elucidate  
327 reasons for these sex difference response and may also provide further insight into  
328 muscle function. In the present study, muscle measurements were performed using gold  
329 standard methodology with a dynamometer and a computerized system that enables arcs  
330 of movement to be measured at a constant angular velocity <sup>33</sup>; the objective  
331 standardized isokinetic assessment being the most accurate method to evaluate muscle  
332 activity <sup>33</sup>. In KOA, the loss in extensor and flexor strength is attributed to weakness of  
333 the quadriceps muscle because its strength (peak torque generation) is an important  
334 determinant of physical function in subjects with KOA <sup>7</sup>. Muscle impairments in  
335 patients with KOA are not limited to quadriceps, but also involve hamstring muscles <sup>7</sup>.  
336 In individuals with KOA, a decrease in the external flexion moment has been reported,  
337 and is believed to be a compensation strategy employed to reduce load on the knee joint  
338 <sup>34</sup>. The present results suggest that RCE consumption can improve impairments in  
339 affected knee muscle strength.

340 The differences in muscle activity following RCE consumption were not translated into  
341 changes in pain perception, probably due to the low intensity of the baseline pain. The  
342 muscle activity could be related to intrinsic hyaluronan synthesis, which is necessary for  
343 myoblasts to differentiate and form syncytial muscle cells <sup>17</sup>. Similarly, RCE has been



344 shown *in vitro* in human synovial fibroblasts to have a concentration-dependent effect  
345 consistent with the stimulation of endogenous HA synthesis<sup>35</sup>. Since endogenous  
346 synthesis of hyaluronan is associated with myogenesis, the effects of RCE consumption  
347 on muscle function could be explained by an improvement in myogenesis, which would  
348 widen the current perspectives on OA prevention.

349 The efficacy of the oral administration of HA had been observed<sup>36</sup> in sixty individuals  
350 with OA (Kellgren-Lawrence grade 2 or 3) who were randomly assigned to HA (200  
351 mg once a day) or placebo for 12 months. The subjects in both groups were required to  
352 perform quadriceps strengthening exercises every day, as part of the treatment. The  
353 improvement tended to be clearer with the HA group, and this trend was more obvious  
354 with the subjects aged <70 years. For the relatively younger subjects, the oral HA effect  
355 was better than in the placebo group at the 2<sup>nd</sup> and 4<sup>th</sup> months after the start of  
356 consumption<sup>36</sup>.

357 The clinical and biochemical effects of 250 mg/d oral RCE (65% HA) were measured in  
358 young horses with osteochondrosis at time 0, at the end of treatment (90 d) and  
359 thereafter (every 30 d). The results indicated that animals receiving the RCE supplement  
360 had a lower score for synovial effusion as well as higher HA, nitric oxide and  
361 prostaglandin E2 (PGE2) concentrations in synovial fluid; the differences, however, did  
362 not reach statistical significance compared to control<sup>19</sup>.

363 The effusion values observed in our volunteers were between 10 and 11 mm which  
364 indicate suspicion of pathological joint effusion<sup>37,38</sup>. Although no statistically  
365 significant differences were observed between groups, an effusion reduction tendency  
366 of – 5.35% (in mm) was shown after RCE intervention indicating that RCE could also  
367 had a beneficial effect on this parameter.

368 Orally administered HA is absorbed and ubiquitously distributed to joints. Experimental  
369 results in rats and beagles using radiolabelled HA indicated that orally administered HA  
370 would be absorbed and distributed to the skin, bone, and synovial joints, including knee  
371 joints, and would be retained in these tissues for protracted periods. The pattern of  
372 distribution within the body and the time-course of clearance from the tissues indicated  
373 that a substantial part of orally administered HA would be absorbed, without substantial  
374 degradation<sup>18</sup>. The oral absorption as well as distribution and excretion of hyaluronic  
375 acid (HA) have been studied<sup>39,40</sup>. The percentage of the ingested dose of HA entering  
376 systemic circulation is similar to that reported for other glycosaminoglycans (between  
377 5-20%)<sup>39</sup>. Oral absorption of RCE has been determined using the ex vivo everted gut  
378 sac model in rats<sup>40</sup>. Intestinal absorption was confirmed using this model, with  
379 absorption rates estimated to range between 38% in duodenum and 9% in ileum. That  
380 HA reaches peripheral tissues, especially joints and skin, has also been demonstrated<sup>18</sup>.  
381 The uptake and transport of high-molecular weight glycosaminoglycans has been  
382 suggested to occur through the lymphatic system<sup>18,41</sup>.  
383 However, therapeutic effects of HA on KOA patients may not necessarily require the  
384 absorption of HA. A recent study by Asari et al<sup>42</sup> reported that a high molecular weight  
385 HA can bind to Toll-like receptor 4 (TLR4) at intestinal epithelium, and exert biological  
386 activity without being absorbed; the association of HA with TLR4 was shown to  
387 increase the secretion of suppressor of cytokine signaling 3 (SOCS3), which leads to the  
388 suppression of pro-inflammatory cytokine expression. The binding of HA to TLR4 also  
389 suppresses the expression of pleiotrophin which, again, contributes to the suppression of  
390 inflammation. Thus, the therapeutic effects of HA observed in the study<sup>42</sup> may have  
391 resulted from these mechanisms, with the HA remaining in the intestines without  
392 absorption.

393 Another possibility is that the therapeutic effect of HA is obtained via mechanisms  
394 similar to glucosamine. Glucosamine is another supplement which can alleviate  
395 symptoms of KOA, and can inhibit the progression of the disease. Although its  
396 mechanism is not fully understood, glucosamine is thought to inhibit disease  
397 progression by exhibiting chondro-protective and anti-inflammatory activities<sup>15</sup>. N-  
398 acetyl glucosamine is the monosaccharide that forms HA in combination with D-  
399 glucuronic acid. Recently, the potential for glucosamine to modulate NF- $\kappa$ B activity and  
400 cytokine-induced abnormal gene expression in human articular chondrocytes isolated  
401 from the articular cartilage of femoral heads following fractured neck-of-femur surgery,  
402 was proposed to occur via an epigenetic process. Glucosamine can prevent cytokine-  
403 induced demethylation of a specific CpG site in the IL1 $\beta$  promoter, and this can  
404 decrease the expression of IL1 $\beta$ . These studies provide a potential mechanism-of-action  
405 for KOA disease-modifying agents via NF- $\kappa$ B. These findings demonstrate the need for  
406 further studies to elucidate the role of NF- $\kappa$ B in DNA demethylation in human  
407 chondrocytes<sup>16</sup>. It is possible that N-acetyl glucosamine released from orally-ingested  
408 HA may improve KOA symptoms in the same manner as glucosamine<sup>35</sup>.

409 The strength of the present study is its design as a randomized, controlled, clinical trial  
410 which provides first level of scientific evidence. One potential limitation of the study is  
411 that it is underpowered to detect differences in sub-group analysis based on sex and,  
412 therefore, the sub-analyses conducted need to be considered exploratory. Another  
413 limitation is that the mechanisms-of-action of oral RCE consumption were not assessed.  
414 Further research is needed to confirm the results described, and to define the  
415 mechanisms-of-action of oral RCE. Confirmation of these findings in other groups of  
416 patients with mild knee pain of muscular origin could be socio-economically valuable.

## 417 5. CONCLUSIONS

418 In conclusion, long-term intake of low-fat yoghurt supplemented with HA-containing  
419 RCE increases muscle strength in men with possible clinical significance, including  
420 better performance of the quadriceps and hamstring muscles of the knee. These findings  
421 could provide the basis of new dietary therapeutic objectives in the treatment of early  
422 osteoarthritis. However, further studies are needed to elucidate reasons for these sex  
423 difference response observed, and may provide further insight into muscle function.

424

#### 425 **ACKNOWLEDGMENTS**

426 We thank the following for their enthusiastic support in the conduct of the study:

427 Mercedes Heras: laboratory technician; Joan Fortuny: administrative support; Luisa  
428 Iniesta and Miguel Querol: nurses who performed the blood extractions.

#### 429 **ROLE OF THE FUNDING SOURCE**

430 Rosa Solà received financial support from the CENIT program SENIFOOD from the  
431 Spanish Ministry of Industry, and non-directed grants from a consortium of companies  
432 (including Bioiberica SA; Palafolls, Barcelona, Spain) made available directly to the  
433 Universitat Rovira i Virgili. Anna Pedret and Nuria Taltavull are post-graduate research  
434 students funded, respectively, by the Universitat Rovira i Virgili and the CTNS-  
435 TECNIO (CT09-1-0019)-Technology Center of Nutrition and Health (Reus, Spain).  
436 Àurea Rodríguez and David Moríña are employees of CTNS-TECNIO-Technology  
437 Center of Nutrition and Health (Reus, Spain). Logistic support, analysis and  
438 interpretation of the data were provided by CTNS; Hospital Universitari Sant Joan;  
439 Facultat de Medicina i Ciències de la Salut, Universitat Rovira i Virgili, Reus, Spain.  
440 The funding bodies had no role in study design, data collection and analysis, decision to  
441 publish, or preparation of the manuscript.

442

443 **COMPETING INTEREST STATEMENT**

444 Daniel Martínez-Puig and Carlos Chetrit are the only employees of Bioiberica S.A.  
445 They participated in the study as experts on the use of the product under investigation.  
446 Bioiberica S.A as a corporate entity had no role in data acquisition and the  
447 interpretation, or in manuscript preparation and the decision to submit it for publication.  
448 The authors also declare there have not been any other involvements such as  
449 employment, consultancy and product patents that can be construed as conflicts of  
450 interest.

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- 523



524 **FIGURE LEGEND**

525 **Figure 1. Flow of participants through the study**

526 Intervention: low-fat dairy product (125 mL/d yoghurt) with 80 mg/d of Rooster Comb

527 Extract (**RCE**) over 12 weeks. Control: the same low-fat yoghurt without RCE over 12

528 weeks. ITT: statistical analyses: intention-to-treat; PP: per protocol.

529

530 **Table 1.** Baseline characteristics of the study participants

Variable	Placebo group n=40	RCE group n=40
Age; years	43.10±13.14	42.38±10.16
Weight; Kg	69.32±13.46	71.55±14.26
Height; cm	166.83±8.50	166.10±11.45
Body Mass Index; Kg/m <sup>2</sup>	25.06±3.72	25.64±4.95
Gender; male, n (%)	16 (40.0%)	14 (35.0%)
Race; Caucasian, n (%)	40 (100.0%)	40 (100.0%)
> 50 years of age, n (%)	13 (32.5%)	12 (30.0%)
BMI > 30 Kg/m <sup>2</sup> , n (%)	4 (10.0%)	8 (20.0%)

531

532 RCE: low-fat yoghurt supplemented with a rooster comb extract (RCE) rich in

533 hyaluronic acid (65%)

534

535 **Table 2.** Change in the isokinetic values of the affected knee muscle function, segregated by gender

Gender	Parameter	Position	Angle (°/sec)	Treatment	Baseline Mean±SD	Change at 12 weeks relative to baseline Adjusted mean [95%CI] (% change from baseline)	Changes of RCE vs placebo Adjusted mean [95%CI] (% difference from placebo)	P RCE vs. Placebo	
Males	Peak Torque (Nm)	Extension	180	Placebo	96.23±45.0 1	7.20 [0.20; 14.20] (7.48%)	16.14 [0.11; 32.17] (11.85%)	0.048	
				RCE	112.86±37. 28	21.82 [5.64; 38.01] (19.33%)			
		Flexion	180	Placebo	48.99±25.1 1	6.24 [1.66; 10.83] (12.74%)	10.21 [2.92; 17.50] (12.67%)		0.007
				RCE	60.89±19.5 8	15.47 [9.47; 21.47] (25.41%)			
	Total Work (J)	Extension	180	Placebo	476.56±262 .99	75.09 [19.86; 130.33] (15.76%)	139.1 [23.00; 255.1] (22.21%)	0.020	
				RCE	539.76±195 .15	204.94 [86.61; 323.26] (37.97%)			
		Flexion	180	Placebo	239.47±161 .55	60.59 [24.92; 96.25] (25.30%)	74.53 [15.94; 133.1] (17.68%)		0.014
				RCE	294.33±135 .03	126.49 [71.36; 181.62] (42.98%)			
	Mean Power (W)	Extension	180	Placebo	140.34±83. 24	35.76 [16.43; 55.08] (25.48%)	46.32 [5.00; 87.64] (21.77%)	0.029	
				RCE	167.76±67. 95	79.26 [38.26; 120.27] (47.25%)			
		Flexion	180	Placebo	73.04±55.0 7	22.19 [9.96; 34.42] (30.38%)	25.56 [3.93; 47.19] (18.52%)		0.022
				RCE	92.18±44.5 2	45.08 [24.70; 65.46] (48.90%)			

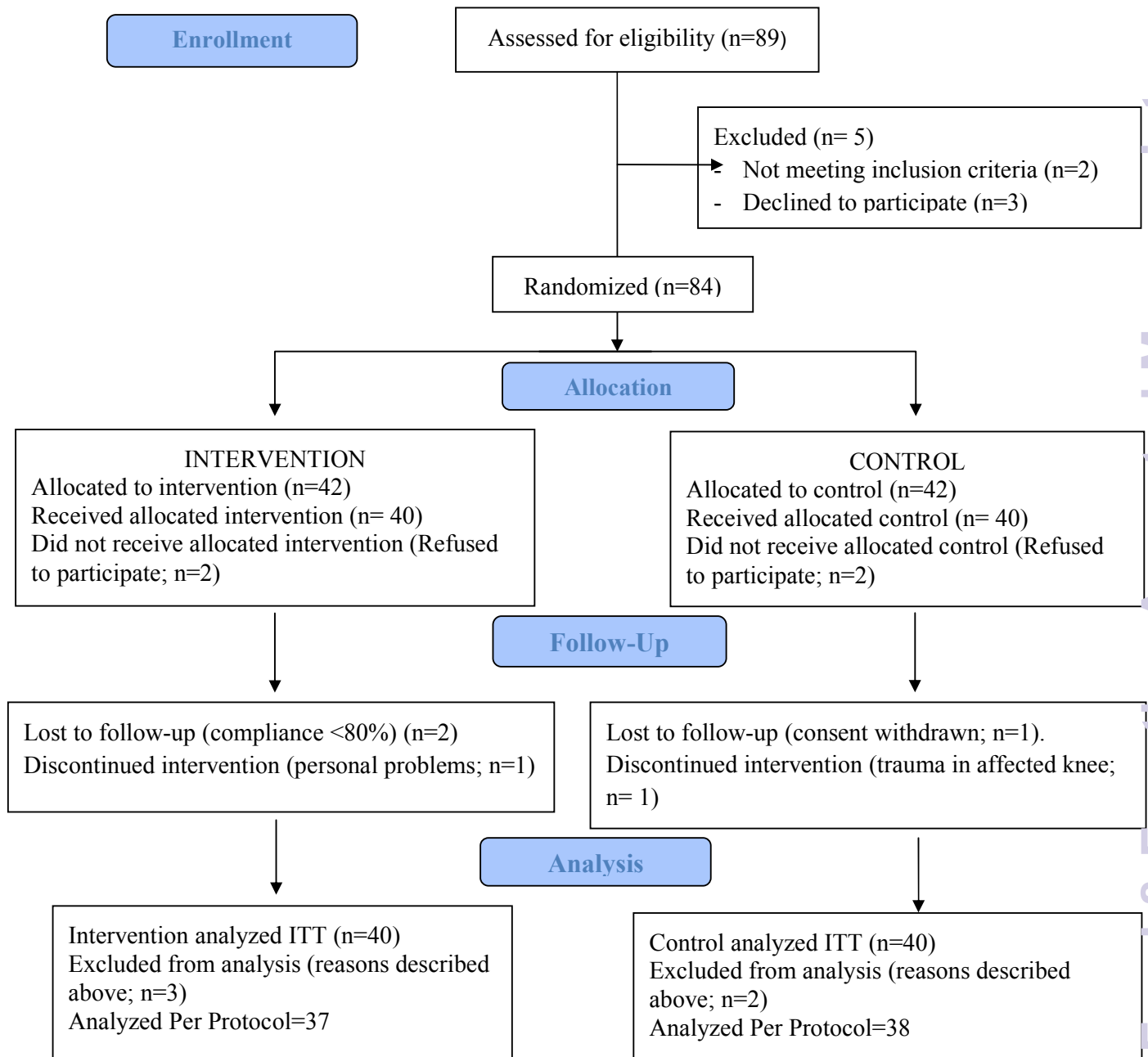
Females	Peak Torque (Nm)	Extension	180	Placebo	55.10±19.2 1	10.43 [3.57; 17.30] (18.93%)	-1.37 [-8.84; 6.10] (-1.37%)	0.713
				RCE	55.17±19.5 4	9.69 [4.60; 14.77] (17.56%)		
		Flexion	180	Placebo	30.43±12.7 9	4.33 [-0.76; 9.43] (14.23%)	-0.065 [-5.29; 5.16] (4.27%)	
				RCE	28.11±10.8 5	5.20 [2.28; 8.13] (18.50%)		
	Total Work (J)	Extension	180	Placebo	278.51±119.02	54.70 [11.31; 98.09] (19.64%)	11.47 [-36.09; 59.03] (9.04%)	0.629
				RCE	260.01±108.65	74.56 [44.42; 104.69] (28.68%)		
		Flexion	180	Placebo	119.04±75.00	33.54 [4.33; 62.74] (28.18%)	15.03 [-19.26; 49.31] (17.51%)	
				RCE	112.48±69.82	51.39 [28.96; 73.81] (45.69%)		
	Mean Power (W)	Extension	180	Placebo	83.35±38.27	26.77 [12.33; 41.21] (32.12%)	-2.67 [-18.87; 13.54] (2.02%)	0.741
				RCE	77.63±40.95	26.50 [16.14; 36.86] (34.14%)		
		Flexion	180	Placebo	35.83±23.76	15.24 [5.68; 24.81] (42.53%)	-0.17 [-11.30; 10.96] (4.60%)	
				RCE	33.59±24.24	15.83 [8.86; 22.80] (47.13%)		

536 All results are expressed as means ± standard deviation and baseline adjusted least square means [95%CI]. Values computed on ITT population

537 by ADO approximation. ANCOVA model

538 RCE: a low-fat yoghurt supplemented with a rooster comb extract rich in hyaluronic acid (65%)

Figure 1.



# Page 29 of 28 Food & Function

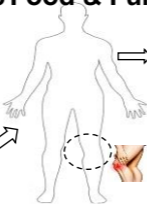
SUPPLEMENTED LOW-FAT YOGHURT



ROOSTER COMB EXTRACT



HYALURONIC ACID



ADULTS WITH MILD KNEE PAIN



ISOKINETIC DYNAMOMETER



Knee peak torque



Knee total work



Knee mean power



AFFECTED MUSCLE STRENGTH