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1 **Optimization of Ultrasound-Assisted Extraction of Gardenia Fruit Oil with Bioactive**  
2 **Components, and their Identification and Quantification by HPLC-DAD/ESI-MS<sup>2</sup>**

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13 Running Title: Optimization of UAE of Gardenia Oil with Bioactive Components

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26 **ABSTRACT:** Compounds in *Fructus Gardeniae* have been shown to possess a wide array of  
27 biological activities. However, Gardenia oil extracted from its fruit is less reported, and its  
28 composition remains uncertain. To fully characterize lipophilic compounds in Gardenia oil,  
29 three conventional extraction (CE) and ultrasound-assisted extraction (UAE) methods were  
30 investigated. The oil extraction yield obtained by UAE was 51.8% higher than that of  
31 cold-pressed extraction (CPE) acquired. The fatty acid profile in UAE oil with different  
32 solvents was characterized by GC-MS. Petroleum ether was observed to be an ideal solvent  
33 with 8.59% extraction yield and 78.88% recovery rate, and with 3.11 ratios of unsaturated  
34 fatty acids to saturated fatty acids. Response surface methodology (RSM) with Box–Behnken  
35 Design (BBD) was applied to optimize conditions in UEA of oil to maximize extraction yield.  
36 Furthermore, the bioactive components in oil extracted by UAE were qualitatively identified  
37 and quantified by HPLC-DAD/ESI-MS<sup>2</sup> and HPLC-DAD analysis. The eight compounds in  
38 Gardenia oil including geniposide, *trans/cis*-crocin-1, crocin-2, crocin-3, crocin-4, and  
39 *trans/cis*-crocetin were structurally revealed. The corresponding transfer rates of the bioactive  
40 components showed that the lipophilic *trans/Cis*-crocetin could be completely transferred  
41 from fruit to oil, with the highest concentration of 11.38 µg/g oil among all compounds  
42 quantified. These findings could deliver the potential application and large-scale production of  
43 functional Gardenia oil with bioactive components possessed health benefits.

44

45 **Key words:** Gardenia oil; ultrasound-assisted extraction (UAE); fatty acids, bioactive  
46 components; response surface methodology (RSM)

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## 56 1. Introduction

57 The fruits of Fructus Gardeniae (Rubiaceae) (*Gardenia jasminoides* Ellis, Chinese name  
58 Zhizi) are traditionally utilized as herbal medicine for the therapeutic effects including  
59 detoxification, liver-protection, calming irritability, cooling blood, cholagogue, homeostatic  
60 antipyretic, antitumor, treatment of jaundice, fever, hypertension and ulcers of the skin, as  
61 well as cerebrovascular disease treatment.<sup>1,2</sup> Those health functions in Gardenia fruits (GFs)  
62 are majorly ascribed to the existence of bioactive ingredients responsible for protective  
63 functions against oxidative damage, exhibiting sedative, analgesic, antipyretic, antipyretic,  
64 diuretic, fibrolytic, homeostatic, and anti-inflammatory effects.<sup>3,4</sup> The bioactive components  
65 (as shown in **Figure 1**) identified in GFs were principally categorized into iridoid, carotenoids  
66 (crocins), monoterpenoids and their glycosides, flavonoid, organic acid esters (quinic acid and  
67 vanillic acid derivatives), and sterols.<sup>5</sup> Iridoid glycosides include geniposide, gardenoside,  
68 gardoside and scandoside methyl ester.<sup>6</sup> GFs with excellent natural resources of bioactive  
69 compounds possess a wide array of biological activities, exhibiting as antioxidant, antitumor,  
70 antihyperlipidemic and neuroprotective effects.<sup>7,8</sup>

71 Consumers are becoming more and more concerns over the safety of synthetic colorants.  
72 Many naturally occurred colorants with bioactives offer health benefits. Therefore,  
73 replacement of artificial food dyes with natural colorants is a current marketing trend. Natural  
74 colorants derived from GFs are particularly of interest in contributing blue, yellow, green, and  
75 red colorants in food systems.<sup>9,10</sup> In Japan, gardenia blue iridoid pigment extracted from  
76 *Gardenia jasminoides* is approved for food use. Geniposide, a major active ingredient in GFs,  
77 is applied as a yellow dye, particularly for food coloring, and also used as a functional food  
78 and traditional medicine in Asian countries.

79 Traditional extraction methods for bioactives and colorants are being substituted by new  
80 technologies (such as UAE and supercritical fluid extraction) with environmentally friendly  
81 solvents and higher yields of extracts. The conventional extraction (CE) methods of oil from  
82 GF display some limitations with respect to the high solvent consumption, long extraction  
83 time, high energy input, and requests of improvement of yield and quality of the extracts. In  
84 addition, the disadvantages of CE may be the slow process of solvent diffusion into a solid  
85 matrix, the loss of biological activities due to oxidation, hydrolysis and ionization.<sup>11</sup> UAE has

86 been used to extract food components such as antioxidants, aromas, pigments, and other  
87 organic and mineral components from a variety of matrices.<sup>12</sup> Ultrasound with 20-100 kHz  
88 frequencies is an effective and useful extraction technique, which is often used for extracting  
89 plant compounds to overcome drawbacks in CE,<sup>13</sup> and shows possible benefits of effective  
90 mixing, rapid energy and enhancement of mass transfer, reduced temperature, selective  
91 extraction, fast response to process extraction control, increased production, improved solvent  
92 penetration into the plant tissue via the ultrasonic jet and capillary effects, and cell disruption  
93 through the collapse of cavitation bubbles near the cell walls.<sup>14</sup> Ultrasound can boost existing  
94 extraction processes and enable new commercial extraction opportunities. The efforts with  
95 UAE with bioactives (polyphenols, anthocyanins, tartaric acid) were comprehensively and  
96 critically reviewed,<sup>13</sup> which includes bioactives from plant and animal resources with  
97 increased yield of extracted components, increased rate of extraction, achieving reduction in  
98 extraction time and higher processing throughput. UAE has been applied in the edible oil  
99 industry to improve efficiency and reduce extraction time.<sup>15</sup> The effects of various parameters  
100 including particle size, extraction solvent, solid/solvent ratio, temperature, extraction time,  
101 electrical acoustic intensity, liquid height and duty cycle of ultrasound exposure on the  
102 extraction yield of all-trans- $\beta$ -carotene from citrus peels by UAE were assessed.<sup>16</sup> Ethanol  
103 exhibited a higher extraction yield during UAE in comparison with CE. In UAE, many  
104 parameters including energy input, time, temperature, solvent composition, and liquid-solid  
105 ratio can influence the extraction process, while there may be interactions between/among  
106 parameters. Additively, CE for optimizing a multivariable system are usually one variable at a  
107 time, which are time-consuming, and ignorance of interactions existed between/among the  
108 variables. RSM can be effectively used to evaluate the effects of multiple parameters and their  
109 interaction on one or more response variables, reduce the number of experiments, and provide  
110 a mathematical model.<sup>17</sup>

111 Previous studies have revealed that GFs are rich in oil, containing plenty of unsaturated  
112 fatty acid, especially palmitic acid and linoleic acid, which exhibit pharmacological effects on  
113 regulating blood pressure, body fat metabolism, and reducing serum cholesterol as well as  
114 adjusting plant nerve.<sup>18,19</sup> However, little data are available in literatures about the  
115 optimization of UAE for Gardenia oil, and limited information is available regarding oil

116 transfer rate from GFs to oil, as well as few studies are conducted in focusing on elucidation  
117 and identification of bioactive components in the oil. In our work, a RSM was applied to  
118 optimize the variables affecting the UAE in oil extraction. Therefore, the objectives were to: 1)  
119 compare oil extraction yield between CE and UAE; 2) characterize fatty acid profile affected  
120 by different organic solvents in UAE; 3) apply RSM to optimize UAE conditions for  
121 obtaining high extraction yield; and 4) identify and quantitate bioactive components using  
122 HPLC-DAD/ESI-MS<sup>2</sup> analysis in oil.

123

## 124 **2. Materials and methods**

### 125 **2.1. Samples and chemicals**

126 Mature Gardenia fruits (*Gardenia jasminoides* Ellis), procured from Xiao Gan area in  
127 Hubei, China, were dehydrated at 50 °C for 8 h with around 10 % final moisture content. The  
128 dried samples were ground in a universal high-speed smashing FW100 (Tianjin Taisite  
129 Instruments Co., Ltd., Tianjin, China) in the size range between 150-250 µm. Finally, the  
130 dried powder was kept at -18 °C until use. All the chemicals and organic solvents used were  
131 of analytical and chromatographic grade. Crocins (> 90%) were purchased from Chengdu  
132 MUST Bio-technology co., Ltd., China. Crocin-1 (> 98%) and Crocin-2 (> 98%) were  
133 obtained from Chengdu Biopurify Phytochemicals Ltd., China. Geniposide (> 95%) was from  
134 Wuhan Jiu Chen Biological Technology co., Ltd., China. Crocetin (> 90%) was purchased  
135 from MP Biomedicals, LLC, France. The other chemicals used were purchased from  
136 Sinopharm Chemical Reagent Co., Ltd., (Shanghai, China).

137

### 138 **2.2. Traditional extraction (TE)**

139 The oil extraction from GFs was previously reported<sup>20</sup> with some modifications as follows.  
140 Briefly, 5.00 g of Gardenia powder was weighted out, and blended with 30 mL petroleum  
141 ether in an Erlenmeyer flask of 100 mL. Then the mixture was heated at 80 ± 1 °C for 4 h, and  
142 stirred at 400 rpm by a magnetic stirrer (RCT Basic Safety Control, IKA-Werke, Staufen,  
143 Germany). The mixture was centrifuged at 10,000 rpm for 30 min. The supernatant was  
144 collected, and then vacuum-evaporated at 45 °C to remove solvent until reaching the constant

145 weight. The Gardenia oil was collected and maintained at 4 °C in the dark. Extraction yield  
146 (%) was expressed as the percent the weight of extracted oil over the weight of sample, as  
147 shown in Eq. (1).

$$148 \quad \text{Extraction yield (\%)} = \frac{\text{The weight of extracted oil}}{\text{The weight of sample}} \times 100 \quad (1)$$

### 149 **2.3. Soxhlet extraction (SE)**

150 The Gardenia oil was extracted in Soxhlet method as previously described<sup>21</sup> with some  
151 modifications. Generally, 5.00 g of Gardenia powder was extracted by petroleum ether with  
152 Soxhlet apparatus at 45 °C for 12 h. After removing the solvent and weighing the extracted oil,  
153 the yield of extracted oil was calculated. The SE method was observed to have the highest  
154 extraction yield among 4 methods. The recovery rate (%) was calculated as Eq. (2).

$$155 \quad \text{Recovery rate (\%)} = \frac{\text{Extraction yield by different method}}{\text{Extraction yield by SE method}} \times 100 \quad (2)$$

156

### 157 **2.4. Cold-pressed extraction (CPE)**

158 The CPE of Gardenia oil was performed as previously reported<sup>22</sup> with some modifications.  
159 Briefly, GF powders were squeezed in a cold pressing machine CA59G (German Monforts  
160 Group, Moenchengladbach, Germany). The process was carried out at room temperature with  
161 three cold pressed series. Then, the cold-pressed oil was centrifuged at 5,000 rpm for 10 min  
162 to get rid of impurities. The oil was collected and stored at 4 °C in the dark until analysis.  
163 Since the oil composition from cold pressure is relatively complete and without organic  
164 solvent, Gardenia oil extracted by this method was selected to perform GC-MS to characterize  
165 fatty acid profile.

166

### 167 **2.5. Ultrasound-assisted extraction (UAE)**

168 The UAE of Gardenia oil was carried out as previously described<sup>23</sup> with some  
169 modifications. Four organic solvents (n-hexane, cyclohexane, petroleum ether, and ethyl  
170 acetate) were examined. Briefly, the dried powders were well mixed with the solvent in a 100  
171 mL of Erlenmeyer flask. A 250 W, 50 Hz ultrasonic cleaning bath E31-SB-5200 (Ningbo  
172 SCIENTZ biotechnology Co., Ltd., Zhejiang, China) with intensity of 1 W/cm<sup>2</sup> was applied

173 for UAE and performed under the designed conditions (solvent/solid ratio: 3~11 mL/g;  
174 extraction time 30~60 min). Then the liquid part was subjected to vacuum filtration on a  
175 Buchner funnel (D: 7.5 cm) fitted with a quantitative cellulose filter paper (pore size 80~120  
176  $\mu\text{m}$ ). Afterwards, the extracts were evaporated using a rotary evaporator (Hei-VAP Advantage  
177 ML/HB/G3, Heidolph, Germany) at 40 °C. Finally, the solvents were removed through  
178 flushing nitrogen gas. All these extracted oil were stored at 4 °C in the dark, and used to make  
179 comparisons in efficiency with different conditions of UAE. The UAE conditions were  
180 optimized according to RSM, which targeted at obtaining maximal extraction yield of  
181 Gardenia oil.

182

## 183 2.6. Experiment design and statistical analysis

184 The variables affecting extraction yield were investigated for solvent/solid ratio (3~11  
185 mL/g), extraction time (30~60 min), and extraction temperature (25~55 °C). RSM was  
186 employed to examine the performance of UAE on oil production, and to determine the  
187 optimum levels of the processing parameters in order to acquire the maximum extraction of  
188 Gardenia oil. The effects were discussed at 3 levels (-1, 0, 1) through a Box-Behnken Design  
189 (BBD) for the three independent variables SSr ( $X_1$ ), ETi ( $X_2$ ), ETe ( $X_3$ ). The oil extraction  
190 yield as a response value for different experimental combinations was given in **Table 1**. This  
191 design was to evaluate the simple and quadratic effects and interactions of the operational  
192 parameters including extraction temperature, time, and solvent/solid ratio. A multiple  
193 nonlinear regression mathematical model was proposed through the fitting of multiple  
194 quadratic regression models for the purpose of predicting the response over certain  
195 experimental region. The regression coefficients were obtained through the RSM analysis.

196 The statistical analysis was performed by the Design-Expert 8.0.6 software program  
197 (Stat-Ease, Inc., Minneapolis MN, USA). Based on the experimental data, the fitting model  
198 was built up, and the statistical significance of the model terms was examined by regression  
199 analysis and analysis of variance (ANOVA). The practical yield was obtained under the  
200 optimal conditions. The mean values were compared using Dunnett's Two-Tailed t Test at the  
201 95% significant level.  $P < 0.05$  was considered as statistically significant. All data were  
202 reported as the mean  $\pm$  SD of three replications.



203

### 204 **2.7. Fatty acid Profile analysis by GC-MS in Gardenia oil**

205 Oil methyl ester treatment was performed according to the reference<sup>24</sup> with some  
206 modifications. Generally, 0.03 g extracted Gardenia oil was weighed out, and put into a 10 mL  
207 centrifuge tube. Then, 2.5 mL n-hexane and 100  $\mu\text{L}$   $0.5 \text{ mol}\cdot\text{L}^{-1}$  sodium methoxide solution  
208 were added into the tube. The mixture was blended for 5 min, then separated by employing  
209 centrifugation at 4 °C with 5,000 rpm for 10 min. Finally, the supernatant was gathered, and  
210 further diluted into 5 mL with n-hexane for analysis. For determining the compositions of  
211 fatty acid in Gardenia oil, fatty acid methyl esters (FAME) were analyzed by GC-MS (Agilent  
212 7890A/5975C, USA) equipped with an elastic quartz capillary column (SP-2560, 100 m $\times$ 250  
213  $\mu\text{m}$  i.d., 0.25  $\mu\text{m}$  film thickness). Helium (99.99%) was used as carrier gas with a flow rate of  
214 1.0 mL/min. The temperature of front injector and flame ionization detector (FID) were set at  
215 220 and 250 °C, respectively. The oven temperature was programmed as 100 °C for 1 min  
216 duration, then increase to 230 °C at 3 °C /min heating rate, and maintaining 20 min. The  
217 injection volume was 1  $\mu\text{L}$  and the split mode was 10:1. In MS, electron ionization (EI) was  
218 the selected ion source with the ionization energy of 70 eV. Ion source temperature was 230  
219 °C. Scan ranged from 50 to 550 amu at 2.84 scans/s. Solvent delay time was 14 min. The  
220 detection mass spectra were matched with standard mass spectral library (NIST11) after  
221 retrieving the compounds through data processing system (MSD ChemStation). Compounds  
222 with matching rate over 90% were taken as the target compounds.

223

### 224 **2.8. HPLC-DAD/ESI-MS<sup>2</sup> analysis**

225 10.00 g of Gardenia powders were weighed out, and mixed with 50 mL of 70% methyl  
226 alcohol. The mixture was processed for 1 hour with 1 W/cm<sup>2</sup> UEA at 40 °C, and further made  
227 up to 100 mL with 70% methyl alcohol. 1.00 g of Gardenia oil was weighed out, and mixed  
228 with 20 mL of n-hexane in a 50 mL colorimetric tube, and then 15 mL 70% methyl alcohol  
229 was added with vigorously mixing. The aqueous layer was taken after separation, and  
230 evaporated to make up to 10 mL with deionized water. The sample was filtered through a  
231 0.45 $\mu\text{m}$  polytetrafluoroethylene membrane, and kept at -18 °C until analysis.

232 The HPLC-DAD/ESI-MS<sup>2</sup> analysis was performed on an Accela series HPLC instrument

233 coupled with an Accela LTQ XL mass spectrometer (Thermo Fisher, San Jose, CA, USA)  
234 equipped with Electro spray ionization (ESI) interface. The HPLC chromatographic  
235 separation was carried out on a Luna C18 (Phenomenex, San Francisco, CA, USA). The  
236 separation conditions were as follows: column temperature was set at 30 °C; UV-Vis spectra  
237 were recorded in the range from 220 to 780 nm; Chromatograms were acquired with channel  
238 A (238 nm) for geniposide, channel B (325 nm) for chlorogenic acid, and channel C (440 nm)  
239 for crocins and crocetin. Injection volume was 20 µL. 0.3% formic acid aqueous solution (A)  
240 and 100% Acetonitrile (B) were made as mobile phase. The elution gradients were as the  
241 following: initially 90% of mobile phase A, 10% B; followed from 90% to 75% A, 10% to  
242 25% B in 10 min; 70% A and 30% B at 11 min, 60% A and 40% B at 30 min, 0% A and 100%  
243 B at 35 min, and then returned to 90% A and 10% B at 40 min. The flow rate was 0.8 mL/min.  
244 The MS conditions were set as sheath gas (N<sub>2</sub>) flow rate of 50 arb, aux gas (N<sub>2</sub>) flow rate of 2  
245 arb, spray voltage of 4.5 kV, capillary temperature of 350 °C, capillary voltage of 12 V, and  
246 collision energy of 25~35V. All data acquisition were performed by the software X-caliber  
247 (2.1), and analyzed in both positive and negative electro spray ionization mode (mainly for  
248 crocetin) to provide abundant structural information. The mass spectrometer was programmed  
249 to do a series of two scans: a full mass and a MS-MS of the most intense ion by relative  
250 collision energy of 15 and 20. The HPLC-DAD (1500 system, Scientific System Inc., USA)  
251 was used to quantitate bioactive compounds. The sample preparation and HPLC  
252 chromatographic separation conditions were the same as HPLC-DAD/ESI-MS<sup>2</sup> analysis. All  
253 data acquisition and analysis were processed using CSChrom™ Plus Chromatographic  
254 System (version 3.6).

255

### 256 **3. Results and discussion**

#### 257 **3.1. Yield and recovery rate of Gardenia oils extracted by different methods**

258 The extraction yield (%) and recovery rate (%) of Gardenia oil extracted by SE, TE, CPU,  
259 and UAE, respectively, were examined. SE was monitored to have the highest extraction yield  
260 ( $P < 0.05$ ) at  $10.89 \pm 0.03\%$ , followed by UAE ( $8.59 \pm 0.06\%$ ), TE ( $7.40 \pm 0.03\%$ ), and CPE  
261 ( $5.66 \pm 0.15\%$ ). A comparison showed oil extraction yield obtained by UAE was 51.8%  
262 higher than the content acquired by CPE. Significant differences were found in extraction

263 yield in four different extraction methods ( $P < 0.05$ ). The data clearly indicated that UAE was  
264 more effective than those of TE and CPE, by which the recovery rate of Gardenia oil was  
265 78.88%, 68.00% and 51.92%, respectively, if considering the SE extraction yield for Gardenia  
266 oil as 100%. Ultrasound offers advantages of productivity, yield and selectivity. Extraction  
267 performed under the action of ultrasound is believed to be affected by cavitation phenomena  
268 and mass transfer enhancement. The ultrasonic waves cause cavitation effect accompanied by  
269 lots of bubbles on the verge of burst when large amplitude of ultrasonic wave is traveling  
270 through the liquid medium during the ultrasonic process. Under above circumstance, plant  
271 tissues are disrupted, consequently enhancing solvent contact with available extractable  
272 compositions; and further mass transfer is increased by shear force created for the implosion  
273 of cavitation bubbles, thus making solvent extraction fully performed and improving the  
274 extraction yield.<sup>20,25</sup>

275

### 276 3.2. RSM analysis

277 RSM method is an effective technique for analyzing interactions among factors and  
278 optimizing the processes when multiple variables may influence the outputs. In our work,  
279 Box-Behnken design for optimization of UAE was presented in **Table 1**. The fitting effect of  
280 model, individual factors and interactions were discussed through analysis of variance.  
281 Meanwhile, the obtained experimental values of Gardenia oil were evaluated by multiple  
282 regression method. The results from variance analysis showed that the response surface model  
283 was suitable for the following reasons: (1) The F-value in total model is 8.45, suggesting a  
284 significant model; (2) The “lack of fit” is not significant with F-value of 2.02. 0.2536 of  
285  $P$ -value indicates the model has a good fitting effect; (3) 10.935 of Adeq Precision is greater  
286 than 4, indicating a high predictable degree in the model; and (4) The  $R^2$  with 0.9157 is  
287 sufficiently high, suggesting a good correlation.  $P$ -values of the model factors less than 0.05  
288 are significant. According to the results, factor ETi, the interaction between ETi and ETe as  
289 well as the square of ETe have highly significant effects on the extraction yield, while other  
290 interactions have minor significance. The regression model could be expressed as Eq. (3):

$$Y = 15.46 - 0.22X_1 - 0.28X_2 + 0.042X_3 + 0.002X_1X_2 + 0.008X_1X_3 + 0.003X_2X_3 - 0.022X_1^2 + 0.001X_2^2 - 0.003X_3^2 \quad (3)$$

The  $X_i$ ,  $X_j$  are independent variables, which stand for the experimental factor levels.  $Y$  is a dependent variable, which stands for the predicted response (extraction yield, %).

294

### 295 3.3. Verification experiment

296 Through RSM analysis, the optimum process conditions in extracting Gardenia oil were  
297 acquired. Verification experiment was applied as: solvent/solid ratio of 4/1 ( $\text{mL}\cdot\text{g}^{-1}$ ),  
298 extraction time of 40 min; and extraction temperature of 37 °C. The suitability of the model  
299 equation for predicting the optimum response values was verified using the optimal conditions.  
300 The experimental value (**Table 1**) of extraction yield was 8.57%, which was approaching to  
301 values of 8.49% predicted by the regression models. Results suggest that the slightly modified  
302 parameters are reliable, thus can be used to extract oil in Gardenia fruit powder.

303

### 304 3.4. Fatty acid profile analysis in Gardenia oil

305 The fatty acid profile in Gardenia oil extracted by UAE with various organic solvents and  
306 CPE process through methyl ester treatment were directly identified by GC-MS, as shown in  
307 **Figure 2** (A) and (B). The chromatograms suggested that 13 major fatty acids were present in  
308 Gardenia oil extracted by both CPE and UAE. In **Table 2**, it clearly shows that, among  
309 saturated fatty acids, palmitic acid was dominant, followed by stearic acid in both CPE and  
310 UAE Gardenia oils. Among unsaturated fatty acids, Octadecadienoic acid was the most  
311 abundant fatty acid in Gardenia oil, composing over 50% of total methyl fatty acid  
312 composition.

313

### 314 3.5. Effect of organic solvents on fatty acid composition

315 Organic solvent plays a key role in determining the extraction yield of oil, as well as the  
316 types and content of fatty acids. Organic solvents are selective to the oil composition in the  
317 extraction process for the polarity differences.<sup>21</sup> In our study, four organic solvents with  
318 different polarity have been applied to extract oil from GF powder in order to obtain the  
319 optimal solvent. Extraction yield by different organic solvents in UAE method was more

320 effective than that of CPE method, as indicated by the former could acquire more than 10%  
321 extraction yield in comparison to the latter from (Table 2). Area normalization method was  
322 employed to calculate the relative content of different fatty acids. The ratio of unsaturated  
323 fatty acids and saturated fatty acids (P/S) is one of the most important indicators to evaluate  
324 the quality of the oil. The larger the P/S is, the better the quality of the oil could be. Petroleum  
325 ether is more efficient than other solvents in extraction of Gardenia oil by using UAE method.  
326 Interestingly, P/S of oil extracted by ethyl acetate was the maximum among four organic  
327 solvents, followed by n-hexane (3.35%), petroleum ether (3.11%), and cyclohexane (2.87%).  
328 Fatty acids in Gardenia oil were more selective to petroleum ether with the highest extraction  
329 yield, thus petroleum ether would be considered as an ideal solvent for further study.

330

### 331 3.6. Identification and quantitation of bioactive components in Gardenia oil

332 HPLC-DAD/ESI-MS<sup>2</sup> was used to elucidate and identify various bioactive components in  
333 Gardenia oil. The chromatograms (total ions scan and channel A, B, and C at 238 nm, 325 nm  
334 and 440 nm, respectively) were shown in Figure 3. Structural analysis of major bioactive  
335 compounds was performed by a series of mass spectra scans. The mass spectra of the  
336 molecular ions (MS) and their fragments ions (MS<sup>2</sup>) of the bioactive components identified in  
337 oil were shown in Figure 4. The peak of a maximum absorbance at 247 nm with retention  
338 time at 9.85 min revealed an ion peak at m/z 411 recorded at positive ion mode, which could  
339 be attributed to the molecular ion [M+Na]<sup>+</sup> of geniposide (Figure 4A). The MS<sup>2</sup> spectra  
340 showed characteristic fragments at 379, 249, 231, 203 as previously reported for  
341 geniposide,<sup>26,27</sup> and the loss of 162 amu was ascribed to the cleavage of the glucosyl moiety.  
342 Both the peak with retention time at 15.94 showing an absorbance maximum at 465 and 442  
343 nm and the peak at 24.41 min displaying an absorbance maximum at 460 and 435 nm  
344 exhibited the same ion peaks at m/z 999 and the same MS<sup>2</sup> spectra fragments at m/z 675, 513,  
345 347 (Figure 4B), which were ascribed to *trans/cis*-crocin-1. The peak with retention time at  
346 18.14 min showing an absorbance maximum at 462 and 443 nm revealed an ion peak at m/z  
347 837 [M+Na]<sup>+</sup> recorded at positive ion mode and MS<sup>2</sup> fragments at m/z 675, 513, 347, the  
348 same fragmentation pattern as crocin-1, which could be ascribed to crocin-2, an homologue of  
349 crocin-1 and crocin-3 (Figure 4C). The peak with retention time at 28.96 min revealing an

350 absorbance maximum at 460 and 435 nm showed an ion peak at  $m/z$  513  $[M+Na]^+$  and  $MS^2$   
 351 fragments at  $m/z$  347 and 329 recorded at positive ion mode (**Figure 4D**) and was ascribed to  
 352 crocin-4. Both the peak with retention time at 36.85 min showing an absorbance maximum at  
 353 452 and 427 nm and the peak at 37.36 min displaying an absorbance maximum at 469 and  
 354 443 nm displayed the same ion peaks at  $m/z$  327  $[M-H]^-$  recorded at negative ion mode and  
 355 the same  $MS^2$  spectra fragments at  $m/z$  283 and 239 (**Figure 4E**) as previously reported as  
 356 *trans/cis*-crocetin.<sup>28,29</sup>

357 Based on the maximal UV-Vis spectrum wavelength, the molecular ion and fragment ions,  
 358 eight bioactive compounds in Gardenia oil were identified and further confirmed by  
 359 comparison with reference standards (**Table 3**). The concentrations of the major bioactive  
 360 components in Gardenia oil were then quantified through HPLC-DAD analysis, and their  
 361 corresponding transfer rates from Gardenia fruit into oil by UAE were calculated and shown  
 362 in **Table 4**. The content of crocin-3, crocin-4 in oil was calculated according to the Eq. (4):

$$363 \quad m_2 = \frac{M_2}{M_1} \times \frac{S_2}{S_1} \times m_1 \quad (4)$$

364  $M_1$ ,  $M_2$  represent the molar masses of crocin-1 and crocin-3 (or crocin-4), respectively;  $S_1$ ,  
 365  $S_2$  represent the peak areas in liquid chromatography of crocin-1 and crocin-3 (or crocin-4),  
 366 respectively;  $m_1$ ,  $m_2$  represent the content of crocin-1 and crocin-3 (or crocin-4) in Gardenia  
 367 oil, respectively.

368 The transfer rate of bioactive components from Gardenia fruit to oil could be calculated  
 369 according to Eq. (5):

$$370 \quad \text{Transfer rate (\%)} = \frac{W_1}{W_2} \times 100 \quad (5)$$

371  $W_1$ ,  $W_2$  represent the content of bioactive components in Gardenia oil and Gardenia fruit,  
 372 respectively.

373 As listed in **Table 4**, geniposide was dominant in Gardenia fruit powder with 1391.15  $\mu\text{g/g}$ ,  
 374 while crocin-4 had the lowest content of 5.48  $\mu\text{g/g}$  among the bioactive compounds identified.  
 375 In UAE Gardenia oil, the content of geniposide extracted by petroleum ether is the highest  
 376 with 53.41  $\mu\text{g/g}$ , while the content of *trans/cis*-crocetin extracted by ethyl acetate was the

377 highest with 11.38  $\mu\text{g/g}$  among the bioactive compounds quantified. The small quantities of  
378 bioactive components including crocin-1, crocin-2, crocin-3, crocin-4, and geniposide have  
379 been transferred into the oil from the Gardenia fruit powder. It was reported that all crocin  
380 derivatives in saffron occur as pairs of *cis-trans* isomers except crocin-1.<sup>30</sup> Crocin analogues  
381 including crocins 1-4 are almost glycosides of *trans*-crocetin in saffron, among which  
382 *trans*-crocin 3 and 4 are the most abundant.<sup>7</sup> Interestingly, here it was indicated that the  
383 lipophilic *trans/cis*-crocetin could be completely transferred from GF powder into oil  
384 extracted by UAE with ethyl acetate solvent.

385 Biological and pharmacological activities in extracts of *Gardeniae Fructus*, including  
386 scavenging activity against oxygen free radicals, inhibiting apoptosis of NIH/3T3 cells,  
387 anti-inflammatory, protective activity against oxidative damage, MMP-inhibition and cell  
388 morphology, have been demonstrated.<sup>31</sup> Geniposide shows many biological activities  
389 including anti-thrombotic, anti-inflammatory, antitumor, immunosuppression, neuroprotection,  
390 and hypo-glycemic effect.<sup>32</sup> In particular, geniposide, as a promising anti-inflammatory drug,  
391 have been tested in animals and human.<sup>33</sup> Through 1D and 2D NMR techniques and mass  
392 spectrometry, three new iridoid glycosides were isolated and identified from the fruit of  
393 *Gardenia jasminoides*.<sup>34</sup> Compounds 8 and 18 exhibited strong inhibitory activity on nitric  
394 oxide production with  $\text{IC}_{50}$  values of  $11.14 \pm 0.67$  and  $5.99 \pm 0.54$   $\mu\text{M}$ , respectively, in  
395 lipopolysaccharide-activated macrophages. Geniposide is hydrolyzed to form aglycone  
396 genipin by  $\beta$ -D-glucosidase in the intestine and liver. Besides being applied as blue colorant in  
397 food industry, genipin is also an effective cross-linking reagent for biological tissue fixation,  
398 and stimulates glucose transport in C2C12 myotubes via an IRS-1 and calcium-dependent  
399 mechanism.<sup>35</sup> Lot of studies have been conducted with respect to the biological and  
400 pharmacological properties of crocin, which exhibits beneficial effects on many organs  
401 including the nervous system, gastrointestinal, cardiovascular, genital, endocrine, immune  
402 systems.<sup>7</sup> Recently, it was shown that crocin improved locomotor function and mechanical  
403 behavior in rat model of contused spinal cord injury through decreasing calcitonin gene  
404 related peptide.<sup>36</sup> Additively, glycosylation of crocetin is crucial, since it confers  
405 hydrosolubility to the pigment.

406



#### 407 **4. Conclusions**

408 Gardenia oil extracted from its fruit is less reported, and its composition remains uncertain.  
409 There is a strong interest in investigating extraction optimization of natural bioactive  
410 compounds to acquire higher quality products with health benefits. In this study, Gardenia oil  
411 extracted from GFs has been examined. In comparison with CE (TE, SE, CPE), UAE has  
412 been observed to demonstrate relatively high extraction yield. UAE with different solvents  
413 was applied to evaluate the effects on oil extraction yield and fatty acid profile. Petroleum  
414 ether in UAE was proved to be preferable for a better extraction yield, while a higher  
415 proportion of unsaturated fatty acids to saturated fatty acids were achieved when ethyl acetate  
416 in UAE was applied. The UAE conditions were explored via RSM, and the extraction  
417 parameters were optimized to improve extraction yield. The mathematical model with the  
418 prediction of experimental data of the extraction could be helpful in the extraction process of  
419 the natural products. The maximal Gardenia oil extraction yield was acquired at the optimal  
420 conditions under 4/1 (mL/g) of petroleum ether to solid ratio, 37 °C for 40 min extraction.  
421 Through qualitative and quantitative analysis of bioactive components in Gardenia oil, eight  
422 compounds including geniposide, *trans/cis*-crocin-1, crocin-2, crocin-3, crocin-4, and  
423 *trans/cis*-crocetin were elucidated, identified, and further calculated for their corresponding  
424 transfer rates. Those bioactive compounds may be attributed to the health benefits of Gardenia  
425 oil, and show the potential applications. Identification of other special components transferred  
426 from Gardenia fruit into oil during UAE process and the nutritional evaluation could be  
427 carried out in future research. In addition, the effects of ultrasound intensity and solvent  
428 concentration on extraction of bioactive compounds are worth to be investigated.

429

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