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1 Polyphenols from artichoke heads (*Cynara cardunculus* (L.) subsp. *scolymus* Hayek): *in vitro* bio-
2 accessibility, intestinal uptake and bioavailability.

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11

12 **Abstract**

13 Artichoke is a rich source of health promoting compounds such as polyphenols, important for their
14 pharmaceutical and nutritional properties. In this study, the potential for bioavailability of the
15 artichoke polyphenols was estimated by using both *in vitro* digestion and Caco-2 human intestinal
16 cell models. *In vitro* digestive recoveries (bio-accessibility) were found to be 55.8% for total
17 artichoke phenolics and in particular, 70.0% for chlorogenic acid, 41.3% for 3,5-O-dicaffeoylquinic
18 acid, and 50.3% for 1,5-O-dicaffeoylquinic acid, highlighting potential sensitivity of these
19 compounds to gastric and small intestinal digestive conditions. Uptake of artichoke polyphenols was
20 rapid with peak accumulation occurring after 30 min with an efficiency of 0.16%, according to the
21 poor uptake of dietary polyphenols. Some compounds, such as coumaric acid, caffeic acid and caffeic
22 acid derivatives, were also detected in the basolateral side assuming an extra and intracellular
23 esterases activities on chlorogenic acid. Only apigenin-7-O-glucoside was ~~absorbed and~~ transported
24 through the Caco-2 monolayer demonstrating its bioavailability in the extent of 1.15% at 60 min. In
25 addition, permeability coefficient ($P_{app}=2.29 \times 10^{-5}$ cm/sec), involving apical to basolateral transport
26 of apigenin 7-O-glucoside, was calculated to facilitate estimation of ~~absorption and~~ transport through
27 Caco-2 monolayer. Finally, the mono and dicaffeoylquinic acids present in artichoke heads, exert an
28 antioxidant activity on human low density lipoprotein system correlated to their chemical structure.
29 In conclusion, the utilized *in vitro* models, although not fully responding to the morphological and
30 physiological features of human *in vivo* conditions, could be a useful tool for investigating
31 mechanistic effects of polyphenols released from food matrix.

32

33 **Keywords:** Artichoke Polyphenols, *in vitro* digestion, Bioavailability, Permeability Coefficient

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37 Introduction

38 Artichoke (*Cynara cardunculus* (L.) subsp. *scolymus* Hayek) represents an important component of
39 Mediterranean diet and a good source of health-promoting compounds, such as phenolics, inulin,
40 fibres and minerals.^{1,2} The main compounds present in artichoke heads are caffeic acid derivatives, in
41 particular a wide range of caffeoylquinic acids with chlorogenic acid (CGA) as the most abundant of
42 them. In addition, other compounds present in small amount, such as glycosides of apigenin and
43 luteolin and different cyanidin caffeoylglucoside, have been identified in artichoke tissues.^{1,3,4}

44 The pharmaceutical properties of artichoke polyphenols are widely studied and attributed to
45 many activities, such as hepatoprotective, anticarcinogenic, antioxidative, antibacterial, anti-HIV,
46 bile-expelling, and diuretic.¹ Others studies, already performed, have found evidence for
47 antioxidative properties of artichoke leaf extracts against hydroperoxide-induced oxidative stress in
48 cultured rat hepatocytes.⁵ In addition, the artichoke extract has shown to inhibit cholesterol
49 biosynthesis and to protect low density lipoprotein (LDL) from *in vitro* oxidation.⁶⁻⁸ Nevertheless, to
50 achieve any health properties, the polyphenols must be bioavailable, effectively absorbed from the
51 gut into the circulation, and delivered to the target tissues where can exert their beneficial effects.^{9,10}

52 The bioavailability (the fraction of a nutrient or compound ingested that, through the systemic
53 circulation, reaches specific sites) is dependent upon the digestive stability of compound, its release
54 from the food matrix (referred as bio-accessibility), and the efficiency of its transepithelial passage.¹¹

55 After the release from the food matrix, the bio-accessible polyphenols must be presented to the
56 brush-border of the small intestine in such a state that they can be absorbed into the enterocyte by
57 passive diffusion or by active transport systems.¹² Passive paracellular diffusion, overcoming the
58 tight junctions, may also occur, but this is not normally a major route of ingress, probably because
59 most polyphenols are too hydrophilic to penetrate the gut wall.^{12,13} The polyphenols bioavailability
60 differs greatly from a one molecule to another, and the most abundant in our diet is not necessarily
61 the better bioavailable.¹⁴ Moreover, the polyphenols bioavailability is considered to be low, not

62 exceeding the plasma concentrations of 10 μM , and their low absorption can be attributed to
63 glucuronidation and sulphation of free hydroxyl groups present in the chemical structures of the
64 different compounds.^{14,15} However, a part of their low bioavailability, dietary polyphenols, after a
65 meal rich in vegetables and fruit, may be present in the gastro-intestinal (GI) lumen, at much greater
66 concentrations where they can play an important role in protecting from oxidative damage and in
67 delaying the development of stomach, colon and rectal cancer.¹⁶

68 Although little is known about the *in vivo* bioavailability and digestive modification of artichoke
69 polyphenols,^{17,18} the *in vitro* digestive models were used to predict, in a simplified manner, the
70 polyphenols behavior in simulated digestive processes of GI tract. As reported by some authors, these
71 models can provide important information on the stability and putative modifications of interest
72 compounds, under GI conditions.¹⁹ In fact, Falè *et al.* (2013)¹⁹, have investigated on the composition,
73 antioxidant activity, and stability of polyphenols present in artichoke infusion after GI digestion,
74 reporting the high stability of the identified flavonoids.¹⁹ Furthermore, a recent study performed by
75 our group, have evaluated the influence of gastro-intestinal digestion on antioxidant effect of
76 artichoke polyphenols showing that, *in vitro* digestion did not modify the antioxidant activity of
77 artichoke polyphenols, except for 1,5-O-dicaffeoylquinic acid (1,5 diCQA)²⁰. On the other hand,
78 many studies are already performed on bioavailability of pure standards, such as CGA, showing its
79 high stability also to the extreme gastric conditions. The CGA could be absorbed even in the
80 stomach, in fact it was identified in both the gastric vein and aorta in its intact form.²¹ Instead, its
81 bioavailability and metabolism were mainly dependent by gut microflora.^{22,23}

82 Conversely to previously reported studies performed mainly on standard compounds, or
83 extracts, or infusions, this paper aims to simulate a physiological digestion process and intestinal
84 absorption of bioactive compounds, such as polyphenols, from a fresh vegetable matrix. In particular
85 the digestive stability and bio-accessibility of the major classes of polyphenols present in artichoke
86 heads, using the *in vitro* digestion model were assessed. The influence of some chemical (pH,

87 temperature and bile salts) and biological (gastric and pancreatic enzymes) GI conditions on the
88 artichoke polyphenols modifications, were investigated. Moreover, the intestinal absorption (as
89 predictors of bioavailability) was performed using Caco-2 cell line model. Permeability coefficient,
90 involving apical to basolateral transport of polyphenols, was calculated to facilitate estimation of
91 absorption and transport through Caco-2 monolayer.

92

93 **Material and Methods**

94 **Materials**

95 Artichoke heads were supplied from a local market and stored at 4 °C until used. Extraction and
96 chromatography solvents, methanol (MeOH), glacial acetic acid (AcOH), ethanol (EtOH), ethyl
97 acetate (EtOAc), were HPLC certified. Dulbecco's modified Eagle's medium (DMEM), Dulbecco's
98 phosphate-buffered saline (PBS), L-glutamine 200 mM, antibiotic and antimycotic solution, non
99 essential amino acid solution, bovine serum albumin Cohn V fraction fatty acid depleted (BSA) were
100 purchased from Sigma Aldrich (Milan, Italy). Caco-2 (HTB-37) cell line was purchased from
101 IZSLER (Brescia, Italy). Foetal bovine serum (FBS) was purchased from Gibco (Milan, Italy). The
102 enzymes: α -amylase (from *Bacillus species*; catalogue n. A-6814), pepsin (from pig; catalogue n. P-
103 7000), pancreatin (from pig; catalogue n. P-1750), mucin (from pig; catalogue n. M-2378), lipase
104 (from pig; catalogue n. L-3126), bovine bile extract (catalogue n. B-3883) used *in vitro* digestion
105 were obtained from Sigma Aldrich (St. Louis, Mo., U.S.A.). The mono and dicaffeoylquinic acids
106 used in this study were supplied by PhytoLab GmbH & Co. KG (Dutendorfer Str. 5-7, 91487
107 Vestenbergsgreuth Germany). CGA, CAA, and LDL from human plasma, were purchased from
108 Sigma Aldrich, Milan, Italy.

109

110 **Artichoke polyphenols extractions**

111 The polyphenolic fraction presents in artichoke was extracted by using water, the solvent that better
112 simulate the extraction process in the digestive system. In particular, 4.5 g of blanched artichoke
113 heads (5 min, 100°C, ascorbic acid 0.5% in H₂O) were extracted by refluxing for 60 min at 100 °C
114 with 50 mL of H₂O containing 0.5% of ascorbic acid. Then, the aqueous solution was recovered and
115 further extracted for additional 30 min with 50 mL of the same solution. The extracts were filtered
116 through a Whatman 1 paper, pooled, filtered at 0.45 µm and utilized for HPLC analysis.

117 In order to avoid the influence of ascorbic acid on biological activity and on polyphenols absorption,
118 ^{24,25,26,27} another extract (hydroalcoholic extract) was obtained and utilized for the uptake experiments
119 and for the antioxidant activity assay (LDL). In particular, 4.5 g of blanched artichoke heads were
120 extracted by refluxing with 50 mL of methanol/water (50:50, v/v), for twice (1 h and 30 min at
121 100°C). The extracts were filtered through a Whatman 1 paper, pooled, filtered at 0.45 µm and stored
122 at -20°C until analysis.

123

124

125 HPLC Analysis

126 Analytical-scale HPLC analyses of the artichoke extracts were performed employed Thermo
127 Scientific HPLC spectra System equipped with a P2000 gradient pump, a SCM 1000 membrane
128 degasser, an UV6000LP UV/Vis DAD, an AS3000 autosampler, and ChromQuest 4.1 software. The
129 UV–Vis absorption chromatogram was detected at 325 nm. Separation was performed by gradient
130 elution on a 4.6 × 250 mm reverse phase Luna C-18 (5 µm) column (Phenomenex Torrance,
131 California, USA). The elution was performed using methanol (eluent A) and water/acetic acid 95:5
132 (eluent B) following the method of Lattanzio.²⁸ The gradient profile was: 85–60% B (0–25 min),
133 60% B (25–30 min), 60–37% B (30–45 min), 37% B (45–47 min), 37–0% B (47–52 min). The flow
134 rate was 1 mL/min. Samples were applied to the column by means of a 25 µL loop valve.
135 Polyphenols compounds were identified by retention time and spectra of the pure standard, apart the

136 identification of 1-O-caffeoylquinic and 1,4-O-di caffeoylquinic acids, that was performed by
137 spectrum analysis and following the classification of Lattanzio *et al.* 2009¹. The polyphenols
138 concentrations were expressed as $\mu\text{g/mL}$ calculated using their reference standards. Instead, in the
139 absence of reference standards, 1-O-caffeoylquinic was quantified as $\mu\text{g/mL}$ of chlorogenic acid
140 equivalent, and 1,4-O-dicaffeoylquinic acid as $\mu\text{g/mL}$ of 1,5-O-dicaffeoylquinic acid equivalent.

141

142 **LDL oxidation *in vitro* assay**

143 LDL oxidation was measured by monitoring the formation of hexanal, which is the major end
144 product of lipid peroxidation. LDL in PBS dispersion was diluted to a concentration of 1 mg of
145 protein/mL. The production of hexanal was monitored by headspace, following the method of
146 Teissedre *et al.*²⁹ with some modifications. Briefly, in 10 mL vial were added 50 μL of LDL samples,
147 CuSO_4 (80 μM) solution and PBS to reach the volume of 4 mL, finally the vial was sealed and
148 incubated for 2 h (propagation phase) at 37 °C, in order to determine the production of hexanal
149 formed in the control. At the same time, various concentrations of polyphenols from artichoke
150 hydroalcoholic extract (2-20 $\mu\text{g/mL}$), caffeic acid (CAA) (0.2-1.5 $\mu\text{g/mL}$), and CGA (0.3-7.0 $\mu\text{g/mL}$)
151 were tested for their antioxidant activity. The hexanal formation was determined using Gas
152 Chromatography (Varian CP3800) equipped with a flame ionization detector. Hexanal was separated
153 by a ZB-Wax-Plus fused silica Capillary column (30m x 0.32 mm i.d., 0.5 μm film thickness, Zebron
154 Phenomenex Inc. Torrance, CA U.S.A) and helium was the carrier gas. GC conditions were as
155 follows: injector temperature, 180 °C; detector temperature, 200°C; oven program, held at 40 °C for
156 2 min, increased at 20 °C/min to 140 °C, and then held for 1 min. The results, obtained after replicate
157 analyses, were expressed as percent of relative inhibition:

158

$$(\% \text{ In}) = [(C - S)/C] \times 100$$

159 where C was the amount of hexanal formed in the control and S was the amount of hexanal formed in
160 the sample.

161

162 ***In vitro* gastro-intestinal digestion**

163 Artichoke heads were subjected to gastric and pancreatic digestion, following the method of
164 Versantvoort *et al.*³⁰ Before to start, all the simulated digestive juices are heated to 37 °C for 2 h.
165 Artichoke head was blanched for 5 min at 100 °C in H₂O containing 0.5% of ascorbic acid, and
166 homogenized in a laboratory blender for 1 min to simulate mastication. Homogenized samples (4.5 g)
167 were transferred to a centrifuge tube and 6 mL of simulated saliva fluid, containing 0.0145% α -
168 amylase (w/v), 0.005% mucin (w/v) and, as reported in Table 1, several organic and inorganic salts
169 were added. Finally, the pH was adjusted, if necessary, at pH 6.8 ± 0.2 , then the solution was
170 incubated at 37 °C and rotated head-over-heels (55 rpm at 37 °C) (Rotator Type L2, Labinco BV,
171 Netherlands) for 5 min. Then, 12 mL of simulated gastric juice, containing 0.1% of pepsin (w/v),
172 0.3% of mucin (w/v) and, as reported in Table 1, several organic and inorganic salts, were added and
173 finally, the pH was adjusted, if necessary, at pH 1.5 ± 0.5 . The mixture was rotated head-over-heels
174 for 2 h. Finally, 12 mL of duodenal juice, containing 0.3% of pancreatin (w/v), 0.05% of lipase (w/v)
175 and 6 mL of bile, containing 0.6% of bile (w/v) and, as reported in Table 1, several organic and
176 inorganic salts, were added. Finally, the pH was adjusted, if necessary, at pH 6.5 ± 0.5 , and the
177 mixture was rotated for another 2 h. Using the head-over-heels rotation in each steps of digestion, a
178 gentle but thorough mixing of the matrix with the digestive juices was achieved, simulating the
179 peristaltic movement. At the end of the *in vitro* digestion process, the samples were centrifuged for
180 10 min at 2,900 *xg* and an aliquot of the supernatant (chyme) was recovered for the assessment of the
181 bio-accessibility. During all the digestive process, different aliquots of samples in the different steps
182 (salivary, gastric and duodenal) were recovered in order to determine the polyphenols stability. Three
183 independent experiments were performed in duplicate.

184 The bio-accessibility of polyphenols, defined as the fraction of external dose released from its matrix
185 in the GI tract, was calculated as follows:

186
$$\text{Bioaccessibility (\%)} = (\text{CF}/\text{CI}) \times 100$$

187 Where CF is the amount of polyphenols present in the digesta (chyme) and CI is the initial amount of
188 polyphenols present in artichoke head.

189

190 **Intestinal bioavailability of polyphenols by using Caco-2 human cell line**

191 To assess the potential intestinal absorption of artichoke polyphenols, experiments were carried out
192 using the Caco-2 human intestinal cell line, following the method described by Failla *et al.*³¹ with
193 some modifications. Briefly, Caco-2 cells were seeded at 1.2×10^5 cells/mL in cell culture inserts for 6
194 well plates with polyethylene terephthalate (PET) track-etched membranes (pore size 0.4 μm , growth
195 area 4.2 cm^2 , Falcon, BD), pretreated with poly-L-lysine (50 $\mu\text{g}/\text{mL}$), in complete DMEM, with 4.5
196 g/L glucose supplemented with 10% foetal bovine serum, 1% L-glutamine, 1% antibiotic and
197 antimycotic solution, 1% non essential amino acid solution at 37 °C in a humidified atmosphere
198 containing 5% CO_2 . The basolateral compartment was filled with 3 mL of complete DMEM. Cells
199 monolayers were cultured for 21 days in order to obtain a full differentiated cells and media from
200 apical and basolateral compartment were replaced twice a week. The integrity of the cells monolayer
201 was evaluated by transepithelial electrical resistance (TEER) measurements using a volt-ohm meter
202 (Millicel ERS-2, Millipore, Italy). TEER values were expressed as Ω/cm^2 . Only Caco-2 monolayers
203 showing TEER values higher than 700 Ω/cm^2 were used for *in vitro* experiments. The absorption
204 experiments were performed following protocol described by Neilson *et al.*³² with some
205 modifications. Briefly, monolayers were first washed with 2 mL PBS (pH 5.5), and then 2 mL of
206 DMEM phenol red free, containing the hydroalcoholic artichoke extract at final polyphenols
207 concentration of 100 $\mu\text{g}/\text{mL}$, was applied to each well. Cells were then incubated at 37 °C for 30, 60,
208 90 and 120 min. Following incubation, media of apical and basolateral compartments were aspirated
209 and stored at -80 °C before the HPLC analysis.

210

211 **Accumulation and transport of polyphenols by Caco-2 cells**

212 For the experiments related to the polyphenols Caco-2 accumulation the monolayers were washed
213 first with PBS (pH 5.5), then with 2 mL of 0.1% fatty acid free bovine albumin (w/v) in PBS (pH
214 5.5) and, finally, cells were scraped from the plate into 1 mL of cold PBS (pH 5.5), collected and
215 stored at $-80\text{ }^{\circ}\text{C}$ under N_2 until analysis. Protein values for cell monolayers were determined by BIO-
216 RAD protein assay method.³³ Artichoke polyphenols from sonicated Caco-2 cells and basolateral
217 solutions, were extracted with 3 mL of EtOAc (0.01% BHT). Extraction was repeated a total of 3
218 times and EtOAc layers were pooled, dried under vacuum, and resolubilized in 200 μL mobile phase
219 for HPLC analysis.³⁴

220

221 **Permeability coefficients**

222 Values obtained from HPLC analysis were used to calculate the permeability coefficients (P_{app} , cm/s)
223 that represents the apical-to-basolateral transport rate of polyphenols across the epithelial barrier and
224 it was calculated from the following equation:

$$225 \quad P_{\text{app}} = (dC/dt) V / (C_0 A)$$

226 where dC/dt (polyphenols concentration variations at the different time, $\mu\text{g}\cdot\text{mL}^{-1}\cdot\text{s}^{-1}$) is the
227 appearance rate of polyphenols in the receiver compartment at 30, 60, 90, and 120 min; V is the
228 volume of the receiver compartment (3 cm^3); C_0 ($\mu\text{g}\cdot\text{mL}^{-1}$) is the initial concentration in the donor
229 compartment and A is the exposed area of the tissue (4.2 cm^2).³⁵

230

231 **Statistical analysis**

232 Statistical analyses were performed using the SigmaPlot 11.0 software (SigmaPlot™ Exact Graphs
233 and Data Analysis, Systat Software, San Jose, CA, USA). The t -Student test was used for statistical
234 analysis of phenolic contents (artichoke heads vs intestinal digested) and of bio-accessibility, in the
235 latter, it was performed comparing the values in the three steps of GI digestion (salivary phase vs

--

236 gastric phase, salivary phase vs intestinal phase, gastric phase vs intestinal phase). Values of $p < 0.05$
237 were considered as significant difference. In addition, the 50% of inhibitory concentration (IC_{50}) of
238 LDL oxidation was determined using sigmoidal fitting of the concentration–response curve by
239 SigmaPlot 11.0 software.

240

241 **Results and Discussion**

242

243 **Artichoke phenolic profile and antioxidant activity**

244 In this study the phenolic fraction of artichoke head after aqueous extraction was analyzed and
245 characterized before *in vitro* GI digestion. The HPLC analysis shows the presence of eleven peaks
246 that represent the main phenolic compounds in artichoke heads (Fig. 1A). The concentrations of the
247 identified polyphenols were shown in Table 2. The most abundant constituents are: CGA, 3,5
248 diCQA, and 1,5 diCQA. It should be stressed that, despite the concentrations of phenolics can vary
249 with the physiological stage of the plant material, these three compounds are always the constituents
250 quantitatively more representative of the phenolic fraction of artichoke head (70-80%).¹ In addition,
251 as reported by the same authors, a flavonoid glycoside compound, apigenin-7-O-glucoside, was
252 present.¹

253 Furthermore, the antioxidative properties of artichoke hydroalcoholic extract and of two
254 phenolic compounds (CAA and CGA) were investigated on human LDL *in vitro* system. The two
255 standards were used in order to understand the antioxidant contribution of CGA (the main compound
256 present in artichoke) and of CAA (present as caffeoyl group in both mono- and di-caffeoylquinic
257 acids). In particular, in the LDL system, the formation of hexanal (one of the end-products of lipid
258 oxidation) was determined at the propagation phase (2 h). This phase was detected by oxidizing LDL
259 with copper, without antioxidants presence, at different time (1-4 h), and was equivalent at the time
260 of maximum hexanal production.³⁶ The polyphenol concentrations tested ranged from 2-20 $\mu\text{g/mL}$

261 for artichoke extract, 0.18-1.44 $\mu\text{g/mL}$ for CAA, and 0.35-7 $\mu\text{g/mL}$ for CGA. The concentrations
262 used, for each compound, were selected on the dose-response curve for the hexanal production
263 ranging from 2 to 99% of inhibition. The IC_{50} values showed that CAA (0.28 $\mu\text{g/mL}$) was the most
264 active against LDL oxidation, followed by CGA (1 $\mu\text{g/mL}$) and artichoke extract (6 $\mu\text{g/mL}$).

265 This different antioxidant capacity exhibited by the samples could be related to their chemical
266 structures and to the possible synergic/antagonistic effect occurred in a mixture of polyphenols, such
267 as artichoke head extract. In fact, as reported by other authors,^{37,38} the antioxidant activity is strongly
268 dependent by the number of hydroxy groups present in the molecule, and tends to decrease with their
269 esterification: this can explain the higher antioxidant activity of CAA respect to CGA.³⁷

270 Regarding the possible synergic/antagonistic effect, it was reported that the interaction among
271 molecules could influence the hydrogen donating ability, mechanism underlying antioxidant activity.
272 For this reason, and in this experimental conditions, the complex mixture of polyphenols (mono and
273 dicaffeoylquinic acids) present in artichoke extract, could influence differently, the expected
274 antioxidant response.³⁸ In fact, by the comparison of IC_{50} value of CGA standard (1 $\mu\text{g/mL}$) with IC_{50}
275 value of artichoke extract (6 $\mu\text{g/mL}$), which contains 2.2 $\mu\text{g/mL}$ of CGA (Table 2), could be
276 supposed an antagonistic effect among polyphenols, when they are present in a complex mixture. The
277 activity of artichoke extract against LDL oxidation inhibition, was already demonstrated by other
278 authors on artichoke leaves extract and with overall lower efficacy.^{7,8}

279

280 ***In vitro* bio-accessibility of artichoke heads after simulated digestion**

281 In order to determine the bio-accessibility of polyphenols from artichoke heads, the *in vitro* GI
282 digestion process was performed. This model, applying physiologically based conditions, i.e.
283 chemical composition of digestive fluids, pH, and residence time typical for each compartment,
284 simulates the digestion process in the GI tract in humans. The procedure follows the three-steps of

285 digestive process (mouth, stomach and small intestine) in order to evaluate the stability and bio-
286 accessibility of artichoke polyphenols. The influence of GI digestion on total phenolics was reported,
287 as percentage of bio-accessibility, in Table 3 and the HPLC phenolic profile was showed in Fig. 1B.
288 After *in vitro* GI digestion, the phenolic profile of artichoke remains qualitatively unchanged,
289 showing the same relative abundance among constituents (Table 2), in agreement with a previous
290 study.²⁰ As observed in Table 3, the amount of released phenolics increased stepwise from mouth to
291 intestine. In particular, after simulated salivary condition and mastication, the total polyphenols bio-
292 accessibility was 27.2%, instead, the bio-accessibility of the most abundant phenolics present in
293 artichoke heads was 35.2% for CGA, 19.7% for 3,5 diCQA, and 25.3% for 1,5 diCQA.

294 After two hours of gastric digestion, the amount of the recovered total polyphenols was 35.7% of bio-
295 accessibility, indicating that, despite of the strong acidic conditions, the gastric environment
296 significantly improved the polyphenols extraction.³⁹ In particular, CGA and 4-O-caffeoylquinic acid
297 (4 CQA) seem to be more stable to the gastric condition with a bio-accessibility of 51.7% and 64.5%,
298 respectively, while the other monocaaffeoylquinic acids, such as 1-O-caffeoylquinic acid (1 CQA)
299 and, 3-O-caffeoylquinic acid (3 CQA), significantly increased in the gastric phase. Otherwise, the
300 acid environment mainly affected the 3,5 and 1,5 diCQAs, with a significant low bio-accessibility of
301 9% and 8.3%, respectively. In addition, in this phase the 1,3-O-dicaffeoylquinic acid (cynarin) and
302 apigenin 7-O-glucoside, were not detectable.

303 Successively, the transition from the acidic gastric to the mild alkaline intestinal environment caused
304 a significant increase in the total polyphenols recovery, with a 55.8% of bio-accessibility for the total
305 polyphenols and in particular with 70.0% for CGA, 41.3% for 3,5 diCQA, and 50.3% for 1,5 diCQA
306 (Table 3). Particularly interesting is the two main diCQAs behavior that, after have suffered a
307 significant ($p < 0.05$) reduction in gastric phase, their concentrations increased of about 5-6 times,
308 indicating that intestinal conditions favored their extraction from the plant matrix, and that the
309 compounds are quite stable. In addition, HPLC analysis of the duodenal juice showed, similarly to

310 the mouth phase, the presence of cynarin and apigenin 7-O-glucoside. Moreover, 4 CQA, cynarin and
311 1,4 diCQA were detected in higher amount respect to the aqueous extract, probably derived from
312 isomerization processes of the other mono and diCQAs, favored by pH and more suitable conditions
313 for their extraction.

314 For better understand the behavior toward mono and diCQAs in intestinal compartment,
315 standard solutions of CGA and of two main diCQAs (1,5 and 3,5) were individually submitted to a *in*
316 *vitro* digestion process, using the same method. The HPLC analysis of intestinal digesta highlighted
317 the presence of compounds absent in the starting solution, derived from isomerization processes
318 (Table 4). In particular, after digestion, CGA was stable for 48.1% and spectral analysis and retention
319 times allowed the recognition of about 8% of 4 CQA, with a total loss of 44.3%. This result is in
320 agreement with the data published by other authors where the CGA overcome the extreme gastric
321 conditions without modifications,^{21,40} but with about 52% of total degradations at the end of
322 intestinal digestion process.⁴⁰ This behavior was also found for other polyphenols such as ferulic
323 acid, gallic acid and rutin,^{41,42,43} that are degraded in the mild alkaline environment of the intestine.
324 Regarding the two diCQAs stability, after GI digestion the 1,5 diCQA is quite stable with a loss of
325 34.6% and some isomerization products such as cynarin (6%) and 1,4 diCQA (10%). Instead, the 3,5
326 diCQA digestion gives a loss of 45.7% but a higher isomerization effect with the presence of 4,5
327 diCQA (16%) and 3,4 diCQA (12%), (Table 4). Although no many study are at the moment available
328 on the dicaffeoylquinic acids stability, our results are in agreement with the data published by
329 Bermudez-Soto *et al.*⁴⁴ that have attributed the presence of caffeoylquinic isomers to mild alkaline
330 conditions occurred during the *in vitro* intestinal digestion. The instability of artichoke polyphenols
331 to gastrointestinal conditions is similar to that reported for other compounds including catechins,⁴⁵
332 quercetin,⁴⁶ resveratrol,⁴² and anthocyanins.⁴⁷

333

334 **Caco-2 accumulation, bioavailability and P_{app} coefficient**

335 To validate that artichoke polyphenols were indeed absorbable and bioavailable, the intestinal uptake
336 was performed by incubating differentiated Caco-2 cells with media containing artichoke
337 hydroalcoholic extract (100 μg of polyphenols /mL) from 30 min to 2 h. In order to assess the highest
338 non-cytotoxic concentration of hydroalcoholic extract, preliminary experiments were carried out
339 following the protocol described by Minervini *et al.*⁴⁸ using MTT test after 24 hrs of exposure on
340 Caco-2 cells. The cellular uptake of polyphenols was determined by HPLC-DAD after EtOAc
341 extraction of cellular pellets. The results obtained showed that the incubation time influenced the
342 polyphenols uptake (Fig. 2). In particular, the maximum of cellular accumulation of polyphenols
343 (0.71 ng/ μg proteins) was reached after 30 min and the polyphenols absorbed from Caco-2
344 monolayer were CGA, 1,4 diCQA, 3,5 diCQA, and 1,5 diCQA, with the latter two as the most
345 abundant (respectively 0.22 ng/ μg proteins, and 0.30 ng/ μg proteins).

346 After 60 min, besides to the previous identified compounds, in the cells were recovered: 1
347 CQA, 3 CQA, cynarin, 4,5 diCQA, and 3,4 diCQA. The total cellular accumulation was 0.58 ng/ μg
348 proteins. Particularly interesting is the absence of apigenin-7-O-glucoside and the presence, as traces,
349 of coumaric and caffeic acids, probably derived by a cellular metabolism of CGA. Since other
350 authors²² have attributed the presence of coumaric acid to the gut microflora metabolism of CGA,
351 cellular involvement cannot be excluded.^{49,50} After 90 min, the total cellular accumulation was
352 similar to 60 min (0.54 ng/ μg proteins) and all the identified compounds were quantitatively and
353 qualitatively recognized, a part of 1 CQA, 3 CQA that were not detected in Caco-2 monolayer. At
354 120 min, the total recovery (0.54 ng/ μg proteins) and the phenolic composition were similar to the
355 previous incubation time. In particular, at this time, coumaric acid was not detected, while some
356 caffeic acid derivatives, probably derived from cellular metabolism activity and identified on the
357 basis of their characteristic absorption spectrum, were recovered at very low amount (0.03 ng of
358 caffeic acid equivalent/ μg proteins). The results obtained for the uptake permit to speculate about a

359 time-dependent saturation effect, that, as reported by other authors, permit to consider the presence of
360 a primary or secondary active or facilitated transport mechanism⁵¹. The efficiency of cellular
361 accumulation was about 0.16% (30 min), showing that the mono and dicaffeoylquinic acids were
362 poorly accumulated in cell system. These results are in agreement with other studies on CGA²³ and
363 with the accumulation efficiency of other polyphenols, such as catechins and
364 phenylpropanoids.^{25,34,52,53} The presence of a pool of polyphenols in intestinal cells can support the
365 possible protective effect that these compounds may have against oxidative stimuli.

366 The basolateral side, that simulated the blood plasma compartment, was analyzed in the aim to
367 have insight of polyphenols transport and bioavailability. The results obtained showed the presence
368 of many unidentified caffeic acid derivatives, and apigenin-7-O-glucoside. In particular, no one of
369 the identified mono and dicaffeoylquinic acids was present in the basolateral side, but only
370 hydroxycinnamic acids (CAA and coumaric acid) at very low concentrations, 110.5 pg/ μ L and 37
371 pg/ μ L, respectively. In addition, the transport was time-dependent with the presence of coumaric
372 acid and CAA already after 30 min, whereas CAA derivatives and apigenin-7-O-glucoside became
373 visible only after 60 min. The amount of CAA derivatives increased up to 90 min for diminishing
374 until the end of the experiments (120 min), while coumaric acid was not detected already after 60
375 min. The presence of coumaric acid, CAA and CAA derivatives in the basolateral side, permit to
376 speculate a metabolism activity occurred on intestinal epithelium. In fact, some evidence have
377 supported the hypothesis that CGA hydrolysis, and its metabolites release could begin in the small
378 intestine that, in this study, is simulated by Caco-2 cells. Indeed, is reported that enterocyte-like
379 differentiated Caco-2 cells have extra- and intracellular esterases able to de-esterify
380 hydroxycinnamate and diferulate esters that could be responsible to the metabolism.^{49,50}

381 Interesting results are related to the apigenin-7-O-glucoside that was recovered in the
382 basolateral side simulating its bioavailability. The higher concentration of apigenin-7-O-glucoside
383 was recovered after 60 min then, its amount decreased after 90 min, reaching the lower value at 120

384 min. In particular the percentage of bioavailability (respect to the original amount in the apical side)
385 was 1.15%, 0.34%, and 0.2%, after 60, 90 and 120 min, respectively.

386 In the aim to have another tool for the prediction of absorption of apigenin-7-O-glucoside, the
387 values obtained on its transport were used to calculate the P_{app} that represents the apical-to-
388 basolateral transport rate, across the epithelial barrier, normally used for drugs.⁵⁴ The coefficient
389 calculation was performed at 60, 90 and 120 min, being the apigenin-7-O-glucoside absent at 30 min.
390 The maximum of permeability was reached after 60 min, with a P_{app} value of 2.29×10^{-5} cm/sec,
391 followed by 90 min ($P_{app} = 0.46 \times 10^{-5}$ cm/sec), and 120 min ($P_{app} = 0.20 \times 10^{-5}$ cm/sec). These results
392 showed that apigenin-7-O-glucoside is time-dependent absorbed and transported through the Caco-2
393 monolayer with high rate at shorter incubation time. The results obtained are in agreement with the
394 data presented by other authors^{15,55} that found the same value for apigenin-7-O-glucoside.
395 Furthermore, the authors, have highlighted that the apigenin aglycone had absorptive permeabilities,
396 in Caco-2 model, at least 5 times higher respect to the corresponding glucoside, indicating that the
397 latter compounds are poorly absorbed.^{15,55} In addition, although the Caco-2 system lacks the
398 morphological and physiological features of intestine, our *in vitro* results ($P_{app} = 2.29 \times 10^{-5}$ cm/sec)
399 resulted similar to the results obtained with *in vivo* permeability of apigenin-7-O-glucoside ($P_{eff} = 1.4$
400 $\times 10^{-5}$).⁵⁶ Many studies have demonstrated that apigenin-7-O-glucoside possesses significantly higher
401 anti-proliferative and anticancer activity compared to other glucoside derivatives.^{57,58} The capacity to
402 predict the bioavailability of this compound is an important point in the study of health benefit of
403 artichoke heads.

404

405 **Conclusions**

406 In conclusion, the simulated GI conditions not particularly affect the stability and bio-accessibility of
407 the eleven identified polyphenols in artichoke heads. Some isomerization products were identified for
408 both CGA and diCQAs probably derived by the mild alkaline condition occurred during the *in vitro*

409 intestinal digestion. In addition, the differentiated Caco-2 monolayer were used in order to simulate
410 accumulation and bioavailability. All the artichoke polyphenols were accumulated with a maximum
411 after 30 min with an efficiency of 0.16%, according to the poor cellular accumulations of dietary
412 polyphenols. Some compounds, such as coumaric acid, CAA, and CAA derivatives, were also
413 detected in the basolateral side hypothesizing extra- and intracellular esterase activities on artichoke
414 caffeoylquinic acids. Only apigenin-7-O-glucoside was instead ~~absorbed and~~ transported through the
415 Caco-2 monolayer with a P_{app} value of 2.29×10^{-5} cm/sec, at 60 min. Although the data obtained with
416 this model of simulated *in vitro* GI digestion coupled with Caco-2 uptake, cannot be directly
417 extrapolated to human *in vivo* conditions, could be useful tool for investigating mechanistic effects,
418 such as the release from food matrix, impacting polyphenols bio-accessibility and bioavailability.

419

420 **Competing interests**

421 The authors declare no competing financial interest.

422

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Table 1

Inorganic and organic constituents and concentrations of the various juices utilized for the *in vitro* digestion experiments³⁰ (Versantvoort *et al.* 2005).

Juice composition							
Saliva	g/L	Gastric	g/L	Duodenal	g/L	Bile	g/L
KCl	0.90	NaCl	2.75	NaCl	7.01	NaCl	5.25
KSCN	0.20	NaH ₂ PO ₄	0.31	NaHCO ₃	3.39	NaHCO ₃	5.78
NaH ₂ PO ₄	1.02	KCl	0.08	KH ₂ PO ₄	0.08	KCl	0.37
Na ₂ SO ₄	0.57	CaCl ₂ *2H ₂ O	0.30	KCl	0.56	HCl 37%	0.2 mL
NaCl	0.3	NH ₄ Cl	0.31	MgCl ₂ *6H ₂ O	0.05	CaCl ₂ *2H ₂ O	0.84
NaOH 1M	1.8 mL	HCl 37%	8.3 mL	HCl 37%	18 µL	Urea	0.25
Urea	0.2	Glucose	0.65	CaCl ₂ *2H ₂ O	0.02	BSA	90.0
		Glucuronic acid	0.02	Urea	0.1		
		Urea	0.09	BSA	10.0		
		Glucosamina hydrochloride	0.33				
		BSA	10.0				

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Table 2

Phenolic contents determined in fresh artichoke heads after aqueous extraction and after simulated *in vitro* digestion (**Intestinal digested**), by HPLC DAD analyses. Data were expressed as mg/100g FW of artichoke and represent means +/- SD (n= 6 independent experiments).

Phenolics	Artichoke Head	Intestinal digested
1-O- caffeoylquinic acid	23.9 ^a ± 5.0	6.9 ^b ± 2.1
3-O- caffeoylquinic acid	6.3 ± 0.6	6.3 ± 2.8
Chlorogenic acid	287.0 ^a ± 64.4	183.5 ^b ± 24.2
4-O- caffeoylquinic acid	8.3 ± 0.6	13.1 ± 3.2
cynarin	3.2 ± 0.9	4.7 ± 1.6
1,4-O-dicaffeoylquinic acid	9.5 ± 3.4	7.9 ± 1.6
4,5-O-dicaffeoylquinic acid	15.1 ± 7.3	10.2 ± 2.4
3,5-O-dicaffeoylquinic acid	182.6 ^a ± 10.2	76.4 ^b ± 5.6
1,5-O-dicaffeoylquinic acid	208.1 ^a ± 39.8	97.5 ^b ± 6.2
3,4-O-dicaffeoylquinic acid	29.7 ^a ± 10.0	12.3 ^b ± 2.4
apigenin-7-O-glucoside	12.1 ^a ± 7.0	4.5 ^b ± 1.3
Total phenolics	785.8 ^a ± 12.4	423.3 ^b ± 38.5

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Table 3. Bio-accessible individual polyphenolics in the saliva, gastric and intestinal phases as determined from globe artichoke after simulated gastrointestinal digestion. Data are expressed as % and represent means +/- SD (n= 6 independent experiments).

Compounds	Bioaccessibility (%)		
	Saliva phase	Gastric phase	Intestinal phase
1-O- caffeoylquinic acid	16.9 ± 2.9 ^a	22.7 ± 1.2 ^b	30.3 ± 5.0 ^c
3-O- caffeoylquinic acid	21.8 ± 1.9 ^a	30.2 ± 5.3 ^b	87.3 ± 22.5 ^c
Chlorogenic acid	35.2 ± 3.2 ^a	51.7 ± 18.0 ^{ab}	70.0 ± 10.0 ^b
4-O- caffeoylquinic acid	60.2 ± 12.2 ^a	64.5 ± 10.6 ^a	159.8 ± 23.5 ^b
cynarin	46.5 ± 12.5 ^a	-	171.2 ± 22.5 ^b
1,4-O-dicaffeoylquinic acid	45.2 ± 15.7 ^a	14.9 ± 2.0 ^b	104.2 ± 37.3 ^c
4,5-O-dicaffeoylquinic acid	20.5 ± 3.4 ^a	14.4 ± 2.3 ^b	93.4 ± 24.9 ^c
3,5-O-dicaffeoylquinic acid	19.7 ± 2.8 ^a	9.0 ± 1.4 ^b	41.3 ± 2.7 ^c
1,5-O-dicaffeoylquinic acid	25.3 ± 3.3 ^a	8.3 ± 1.4 ^b	50.3 ± 6.9 ^c
3,4-O-dicaffeoylquinic acid	16.6 ± 4.4 ^a	6.2 ± 0.9 ^b	47.4 ± 14.0 ^c
apigenin-7-O-glucoside	28.9 ± 11.0	-	49.9 ± 16.0
Total bio-accessibility	27.2 ± 2.7 ^a	35.7 ± 2.5 ^b	55.8 ± 8.4 ^c

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Table 4. Stability of pure phenolic compounds individually subjected to *in vitro* gastrointestinal digestion.

Phenolics	Std solutions ($\mu\text{g/mL}$)	Intestinal digested ($\mu\text{g/mL}$)
<i>Chlorogenic acid</i>	100	48.1 \pm 6.3
4-O-caffeoylquinic acid	-	7.6 \pm 0.6
<i>1,5-O-dicaffeoylquinic acid</i>	100	49.6 \pm 0.7
cynarin	-	6.2 \pm 0.4
1,4-O-dicaffeoylquinic acid	-	9.6 \pm 0.2
<i>3,5-O-dicaffeoylquinic acid</i>	100	25.8 \pm 7.7
4,5-O-dicaffeoylquinic acid	-	16.4 \pm 0.4
3,4-O-dicaffeoylquinic acid	-	12.1 \pm 1.4

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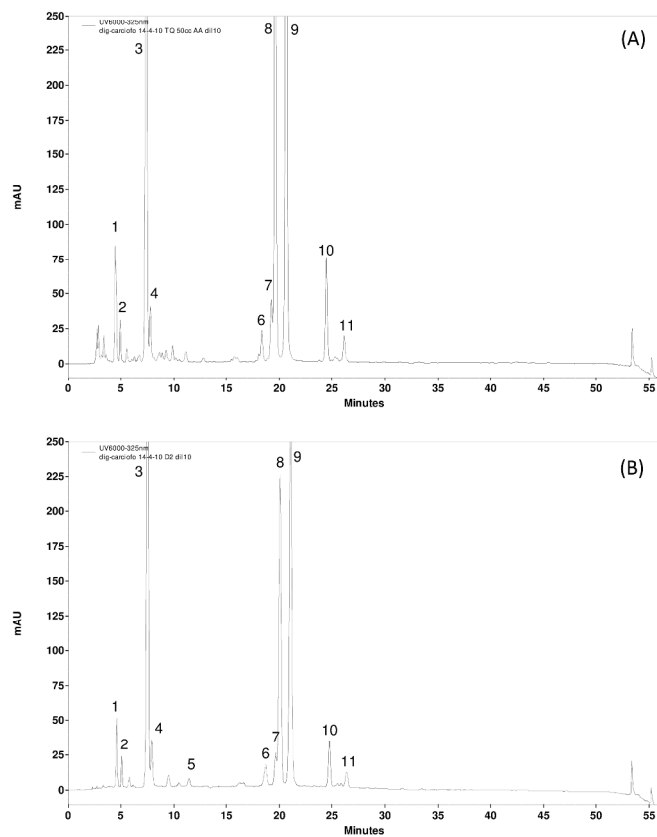


Fig. 1.

HPLC chromatograms of artichoke heads. A) Aqueous extraction B) Simulated in vitro gastrointestinal digestion. 1= 1-O-caffeoylquinic acid (1 CQA), 2=3-O-caffeoylquinic acid (3 CQA), 3= chlorogenic acid (CGA), 4= 4-O-caffeoylquinic acid (4 CQA), 5=cynarin, 6=1,4-O-dicaffeoylquinic acid (1,4 diCQA), 7= 4,5-O-dicaffeoylquinic acid (4,5 diCQA), 8=3,5-O-dicaffeoylquinic acid (3,5 diCQA), 9= 1,5-O-dicaffeoylquinic acid (1,5 diCQA), 10= 3,4-O-dicaffeoylquinic acid (3,4 diCQA), 11=apigenin-7-O-glucoside)

297x420mm (300 x 300 DPI)

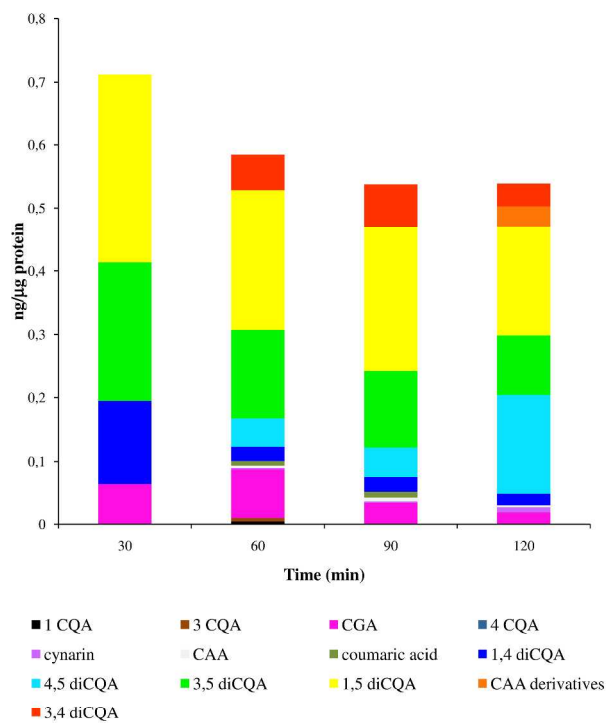


Fig. 2.

Uptake of artichoke extract (100 μg/mL of polyphenols) by Caco-2 human intestinal cells at different times of incubation. Experiments were conducted as described in Materials and Methods. Data represents mean ± SD of mean for n=3.

CGA= chlorogenic acid, CQA= caffeoylquinic acid, diCQA= dicaffeoylquinic acid, CAA=caffeic acid.

297x420mm (300 x 300 DPI)