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Size-dependent cytotoxicity of copper oxide nanoparticles in lung epithelial cells

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37 Abstract

38 The increasing use of copper oxide (CuO) nanoparticles (NPs) in medicine and industry
39 demands an understanding of their potential toxicities. In this study, we compared the *in vitro*
40 cytotoxicity of CuO NPs of two distinct sizes (4 and 24 nm) using the A549 human lung cell line.
41 Despite possessing similar surface and core oxide compositions, 24 nm CuO NPs were
42 significantly more cytotoxic than 4 nm CuO NPs. The difference in size may have affected the
43 rate of entry of NPs into the cell, potentially influencing the amount of intracellular dissolution of
44 Cu²⁺ and causing a differential impact on cytotoxicity.

45 Keywords

46 Copper oxide, nanoparticles, toxicity, A549

47 Nano impact

48 Cu-based NPs, especially CuO NPs need to be understood in terms of their impact on
49 health and the environment. Of particular concern is their use as antimicrobial agents where
50 susceptibility of target and off-target organisms to the toxic effects of these NPs overlap. We
51 show here that the difference in size of CuO NPs can have a significant impact on cytotoxicity
52 with smaller nanoparticles being less toxic than larger ones.

53 Introduction

54 In recent years, engineered NPs have been utilized in many fields, including biomedical
55 sciences, engineering and industry¹. Aside from the negative impact that these NPs may have
56 on a range of valuable “off-target” non-human life forms²⁻⁴, the increased use of engineered
57 NPs also raises the risk of human exposure, often via the respiratory and gastrointestinal tracts,
58 due to the increased release of these particles into the environment^{5, 6}. This raises a concern
59 regarding the possible cytotoxicity and side effects of NPs upon human exposure⁷. Nanoscale
60 particles have very high surface-to-volume ratios when compared to the bulk phase, exhibiting
61 unique physicochemical properties that may render them cytotoxic under certain
62 circumstances⁸⁻¹¹.

63 CuO NPs contain a single phase, tenorite¹². They are used for numerous applications in
64 the electronic and optoelectronic industries such as in gas sensors, semiconductors and thin
65 films for solar cells¹³⁻¹⁵. CuO NPs also have desirable traits for many medical applications.
66 Recent work has shown that CuO NPs have microbiocidal activity against both fungi and
67 bacteria¹⁶⁻²⁰ and have been shown to reduce bacterial biofilm formation^{21, 22}. CuO NPs also
68 have a high potential to be used as a MRI-ultrasound dual imaging contrast agent²³. With these
69 increasing applications, there are many potential routes of exposure to engineered
70 nanomaterials including CuO NPs. For example, Cu-based engineered nanomaterials are active
71 ingredients in marine antifouling paints and agricultural biocides where they can become
72 airborne and finally deposit in soil^{24, 25}. Airborne nanomaterials can also be deposited into
73 natural water bodies in addition to their direct release that can result in contaminated water

74 systems^{26, 27}. Furthermore, metallic Cu NPs can be released into the environment from power
75 stations, smelters, metal foundries, asphalt, inkjet printers and rubber tires²⁸ and can undergo
76 oxidation under ambient conditions forming CuO NPs²⁹. Wang *et al.* has shown that dissolved
77 copper in association with CuO NPs are primary redox-active species and the CuO NPs
78 undergo sulfidation by a dissolution-precipitation mechanism³⁰.

79 In order to employ these metal-based engineered NPs in biomedical applications, their
80 behavior in physiological systems needs to be addressed and fully understood. For example,
81 particle dissolution can occur under biological conditions, specifically in the presence of natural
82 coordinating organic acids, resulting in the release of dissolved metal ions to the surrounding
83 solution. Dissolution can also lead to decreased particle size and in turn increased particle
84 mobility^{29, 31, 32}. These are important considerations for the use of NPs in biomedical research
85 because these are factors that could be directly related to cytotoxicity.

86 There have been several studies conducted to evaluate the toxicity of CuO NPs.
87 Pettibone *et al.* investigated the whole-body inhalation exposure of mice to copper and iron
88 nanoparticles that showed increased inflammatory responses for copper nanoparticles three-
89 weeks post exposure¹². Karlsson *et al.* conducted a study on different metal oxide
90 nanoparticles (CuO, TiO₂, ZnO, CuZnFe₂O₄, Fe₃O₄, Fe₂O₃) and compared their toxicity to multi-
91 walled carbon nanotubes³³. The results indicated that CuO nanoparticles were the most potent
92 regarding cytotoxicity and DNA damage and it was not entirely attributed to the dissolved ions.
93 In another study by Fahmy *et al.* CuO nanoparticles were observed to overwhelm antioxidant
94 defenses in airway epithelial cells³⁴. Heinlaan *et al.* compared the toxicity of nanoscale and bulk
95 CuO, ZnO and TiO₂ using *V. fischeri*, *D. magna* and *T. platyurus*³⁵. The LC₅₀ values reported in
96 this study for nanoscale CuO was 50-100 fold lower than for bulk CuO. A recent study by
97 Mancuso *et al.* highlighted that nanoscale CuO exhibits a nearly 30-fold enhancement in
98 cytotoxicity compared to bulk materials based when testing using human bone marrow
99 mesenchymal stem cells (hBMMSCs)³⁶. These studies provide strong evidence of significant
100 differences between the toxicological impacts of CuO particles depending on their particle size.
101 Based on these findings, the nanoscale particles (particles which were approximately 20-50 nm)
102 show considerably higher toxicities than larger micron-sized particles.

103 Following on these studies, we focus here on further understanding the role of particle
104 size in CuO toxicity and to study size effects for nanoparticles below 100 nm in diameter. In
105 particular, in this study, two sizes of CuO NPs were compared; 1) 4 nm CuO NPs, and 2) 24 nm
106 CuO NPs. The goals of the current study were to compare the cytotoxicity of differently sized
107 CuO NPs and investigate the specific causes of cytotoxicity induced *in vitro* using a human lung
108 cell line as a representative cell type of the respiratory tract³⁷. This study attempts to provide
109 insight into the factors that affect the cytotoxicity of CuO NPs.

110 **Materials and methods:**

111 **Characterization of Cu-based NPs**

112 The CuO NPs used in this study were extensively characterized for size, surface area,
113 core and surface composition. The average particle sizes of CuO NPs were determined using
114 transmission electron microscopy (JEOL JEM-1230 TEM). Surface areas were measured using

115 a multipoint Brunauer-Emmett-Teller (BET) surface analyzer (Quantachrome Nova 4200e) using
116 nitrogen as the adsorbent. The bulk and surface compositions were determined using X-ray
117 diffraction (XRD) and X-ray photoelectron spectroscopy (XPS), respectively. Data generated
118 from these characterizations are summarized in Table 1. Large (24 nm) CuO NPs were
119 purchased from Sigma-Aldrich (St. Louis, MO) while small (4 nm) CuO NPs were synthesized in
120 the lab according to the following protocol. A copper-containing precursor, Cu(OAc)₂ (1.74 g),
121 was added to 100 mL methanol. The solution was then refluxed for several minutes to dissolve
122 the precursor. Afterwards, 3 mL of water was added to this solution. Upon completely dissolving
123 Cu(OAc)₂, a solution of methanol (50 mL) containing 0.7 g of NaOH was added dropwise and
124 further refluxed for 50 hours. The resultant black precipitate was collected by evaporating the
125 methanol on a rotary evaporator followed by multiple washings using acetone (20 mL), water
126 (20 mL) and ethanol (20 mL) respectively. At each washing step, the nanoparticles were
127 collected via centrifugation at 22000 rpm. Finally the collected precipitate was dried in the oven
128 overnight at 106°C and finely ground using a mortar and pestle.

129 Cell culture

130 The human alveolar lung adenocarcinoma cell line, A549, was kindly provided by Peter
131 S. Thorne, Department of Occupational and Environmental Health, College of Public Health,
132 University of Iowa. A549 cells were maintained in RPMI-1640 media (Gibco, Life technologies,
133 Grand Island, NY) supplemented with 10% fetal bovine serum (FBS, Atlanta Biologicals,
134 Lawrenceville, GA), 1 mM sodium pyruvate (Gibco), 10 mM HEPES (Gibco), 1 mM Glutamax
135 (Gibco) and 50 µg/mL gentamycin sulfate (IBI Scientific, Peosta, IA). Cells were incubated at
136 37°C in a 5% CO₂ humidified atmosphere and were shown to be free of mycoplasma.

137 Cytotoxicity assay

138 A549 cells were plated 1 day prior to NP treatment in 96-well plates at a concentration of
139 1×10^4 cells/well. In all cell-based experiments, all treatments (4 nm CuO NPs, 24 nm CuO
140 NPs, Cu(NO₃)₂ and NaNO₃) were dispersed in media using a sonic dismembrator (Fisher
141 Scientific, Pittsburgh, PA) at 40% amplitude for 1 minute at 1 mg/mL (12.6 mM CuO) before
142 dilution. Cu(NO₃)₂·3H₂O and NaNO₃ were purchased from Sigma-Aldrich. Cu(NO₃)₂ was
143 included in the study in order to evaluate the effect on cell viability of dissolved Cu²⁺ in solution.
144 These two types of CuO NPs and Cu(NO₃)₂ were added by normalizing against Cu²⁺
145 concentration. NaNO₃ was used as a negative control for NO₃²⁻ in Cu(NO₃)₂. Cells were exposed
146 to different concentrations of Cu²⁺ ranging from 0.06 – 1.57 mM (or 5 – 125 µg/ml CuO) for 1, 4,
147 24 and 48 h. At the end of the indicated incubation period, the treatment in each well was
148 replaced with 100 µL of fresh media and 20 µL of MTS tetrazolium compound (CellTiter 96®
149 AQueous One Solution, Promega, Madison, WI). After 1 - 4 h, the absorbance was recorded at
150 490 nm using a Spectra Max plus 384 microplate spectrophotometer (Molecular Devices,
151 Sunnyvale, CA). Cell viability was expressed as a percentage of the absorbance value obtained
152 for the untreated cells. All absorbance values were corrected with a blank solution (100 µL of
153 fresh media and 20 µL of MTS tetrazolium compound).

154 Dissolution of Cu²⁺ from CuO NPs

155 In separate experiments, nanoparticles (4 nm and 24 nm CuO NPs) were dispersed in
156 complete RPMI-1640 media using a sonic dismembrator at 40% amplitude for 1 minute before
157 dilution. The NP suspensions at different concentrations of Cu^{2+} ranging from 0.06 – 1.57 mM
158 (or 5 – 125 $\mu\text{g}/\text{ml}$ CuO) were incubated at 37°C and 5% CO_2 to mimic the same conditions as in
159 cytotoxicity assays. After 4 different time points (1, 4, 24 and 48 h), the NP suspensions were
160 centrifuged at 10016 x g for 25 mins to pellet the CuO NPs. Supernatants were collected,
161 diluted in 5 mM HNO_3 and was analyzed via inductively coupled plasma–optical emission
162 spectroscopy (ICP-OES, Varian, Agilent Technologies, Santa Clara., CA) to determine the
163 dissolved Cu^{2+} concentration. In addition, a droplet of the supernatant was placed on a TEM grid
164 and imaged to test the presence of any smaller nanoparticles that could not be removed from
165 the centrifugation process²⁹.

166 **Measurement of intracellular reactive oxygen species (ROS) production by** 167 **dihydroethidium (DHE) oxidation**

168 A549 cells were plated in 60 mm^2 dishes at a concentration of 2×10^5 cells/dish. Twenty
169 four hours following plating, the cells were treated with 4 mL of 4 nm CuO NPs, 24 nm CuO
170 NPs, $\text{Cu}(\text{NO}_3)_2$ or NaNO_3 . The two types of CuO NPs and $\text{Cu}(\text{NO}_3)_2$ were added such that equal
171 amounts of Cu^{2+} (0.12 μM Cu^{2+} concentration) were added for each treatment. NaNO_3 was used
172 as a negative control for NO_3^{2-} in $\text{Cu}(\text{NO}_3)_2$. Following the 1, 4, 24 or 48 hours treatment, the
173 cells were trypsinized with 0.25% trypsin-EDTA and centrifuged at 230 x g for 5 minutes. The
174 cells were washed with PBS containing 5 mM pyruvate and incubated for 40 mins at 37°C with
175 10 μM of the commercially available dye, dihydroethidium (DHE), in PBS containing pyruvate.
176 Following incubation with the dye, the cells were analyzed using flow cytometry (FACScan:
177 Becton Dickinson Immunocytometry Systems, San Jose, CA). The mean fluorescence intensity
178 (MFI) of 20,000 cells was recorded. All groups were normalized to the untreated control group.
179 Antimycin A (an electron transport chain blocker) which was used as a positive control
180 increased the DHE oxidation levels by 3- to 5-fold (data not shown).

181 **Measurement of mitochondrial ROS production via MitoSOX**

182 A549 cells were seeded at a concentration of 2×10^5 cells/well in 60 mm^2 dishes one
183 day prior to treatments. Two different sizes of CuO NPs (4 and 24 nm) and $\text{Cu}(\text{NO}_3)_2$ were
184 added such that equal amounts of Cu^{2+} (0.12 μM Cu^{2+} concentration) were added for each
185 treatment. NaNO_3 was added as a control. At two different time points (1 and 24 hours), cells
186 were removed from dishes by trypsinization, stained with MitoSOX (final concentration 2 μM for
187 15 minutes) and the fluorescence was measured via flow cytometry. The mean fluorescence
188 intensity (MFI) of 10,000 cells per sample was calculated. All groups were normalized to the
189 control (untreated) group. Antimycin A was used as a positive control and showed a MFI
190 approximately 28-fold greater than the control.

191 **Intracellular Cu^{2+} uptake**

192 A549 cells were seeded at a concentration of 4×10^5 cells/well in 60 mm^2 dishes one
193 day prior to treatments. Two different sizes of CuO NPs (4 and 24 nm) and $\text{Cu}(\text{NO}_3)_2$ were
194 added such that equal amounts of Cu^{2+} were added for each treatment. At 4 different time
195 points (1, 4, 24 and 48 hours), cells were gently washed twice with warm PBS and removed
196 from dishes by trypsinization. Cells were collected and centrifuged at 230 x g for 5 minutes,
197 gently washed once with warm PBS and resuspended in 1 mL complete medium. These repeat

198 washing cycles were introduced to the experiment to ensure the complete removal of
199 extracellular CuO NPs and Cu²⁺. A small aliquot (20 µL) of the cell suspension was used to
200 determine cell concentration using a hemocytometer. The rest of the cell suspension was
201 digested with concentrated HNO₃ (3 mL) using microwave digestion (MARS 6, CEM
202 Corporation) and the Cu²⁺ concentration in the digestate was quantified via ICP-OES. The limit
203 of detection for Cu²⁺ using ICP-OES is 5 µg/L. The Cu²⁺ in the digestate was used to calculate
204 the amount of CuO in the cells (assumption: Cu²⁺ in the digestate is due to internalized CuO
205 NPs or Cu²⁺). The intracellular Cu²⁺ from each sample was normalized against cell number.

206 **Statistical analysis**

207 Data are expressed as mean ± SD. For the cytotoxicity assay, a non-linear regression
208 with second order polynomial (quadratic), least squares fit was used. For all other experiments,
209 One-way ANOVA with Bonferroni's post-test (comparing all groups to the control group and
210 comparing 4 nm with 24 nm CuO NPs) was performed. All statistical analyses were conducted
211 using GraphPad Prism version 6.05 for Windows (GraphPad Software, San Diego, CA,
212 www.graphpad.com). The *p*-values of less than 0.05 were considered significant.

213

214 **Results and discussion:**

215 **CuO NP characterization**

216 The average sizes of the CuO NPs used in these studies were 4 ± 1 nm ("small") and 24
217 ± 9 nm ("large") (Figure 1). To evaluate the effect of cell culture medium on the overall size of
218 the CuO nanoparticles in our study, we measured the particle size of the CuO (4 nm)
219 nanoparticles in complete media for 0 hrs, 4 hrs and 24 hrs using a Zetasizer Nano ZS at the
220 same concentrations they were tested in the cell viability studies. The average hydrodynamic
221 diameter for the CuO NPs tested was 5.2 ± 0.2 nm (Fig 1S.) which is consistent with the size
222 results from the TEM analysis. RPMI medium did induce moderate levels of aggregation and the
223 overall average particle size was stable over 24 hours. It is worth noting that changing
224 parameters such as ionic strength, nature of buffer, particle size, particle surface composition,
225 and percentage serum in the media can have a significant effect on the degree of aggregation
226 and the effect of these various parameters on aggregation and cell toxicity need further
227 investigation. The Brunauer-Emmett-Teller (BET) surface areas of the small and large CuO NPs
228 were 118 ± 4 m²/g and 22 ± 0.4 m²/g, respectively²⁹. Bulk phase analysis with X-ray diffraction
229 indicated that both the small and large CuO NPs consisted of a single phase; tenorite and
230 surface analysis using X-ray photoelectron spectroscopy (XPS) revealed that in both particle
231 types the copper atoms are in the same oxidation state (Cu(II)) in the near surface region
232 (Figure 2). These oxide nanoparticles are truncated with OH groups at the surface as indicated
233 by the peak at 531 eV in the O1s region. In addition, the carbon 1s region of the XPS spectrum
234 showed 4 nm CuO NPs had some surface adsorbed acetate groups resulting from the copper
235 acetate precursor used in the synthesis process and 24 nm CuO NPs had some adsorbed
236 carbonates on the surface. These characterization data are summarized in Table 1.

237 **Comparison of cytotoxic effects of 4 nm CuO NPs versus 24 nm CuO NPs on A549 cells**

238 After the particles were dispersed by sonication in RPMI-1640 media, the two differently
239 sized CuO NPs were added to A549 cells at concentrations ranging from 0.06 – 1.57 mM (of
240 Cu^{2+}) for 1, 4, 24 or 48 hours and the percent cell viability (relative to untreated control cells)
241 was determined immediately after each incubation period using an MTS assay. The results
242 (Figure 3) suggest that cytotoxicity yielded from A549 cells were dependent on both time of
243 exposure to, and concentration of, either 4 nm CuO NPs or 24 nm CuO NPs. In addition, it was
244 also noted that, at 1, 4, 24 and 48 h time points, there were significant differences (p -value <
245 0.05 for 1 h, p -value < 0.001 for 4, 24 and 48 h) in percent cell viability of A549 cells after
246 treatment with 4 nm CuO NPs versus 24 nm CuO NPs. In short, it was apparent that 24 nm
247 CuO NPs exhibited higher cytotoxicity compared to 4 nm CuO NPs. The higher cytotoxicity was
248 particularly evident at 4 h, 24 h and 48 h and when concentrations of loaded Cu were 0.94 –
249 1.57 mM, 0.31 – 1.57 mM and 0.31 – 0.94 mM, respectively.

250 Effect of dissolved Cu^{2+} ions on A549 cytotoxicity

251 $\text{Cu}(\text{NO}_3)_2$ was used as a treatment alongside solid CuO NPs in order to determine the
252 effect of dissolved Cu^{2+} on cell viability. NaNO_3 was used as a negative control to confirm that
253 nitrate ions had no cytotoxic effects and that any decrease in cell viability can instead be
254 attributed to Cu^{2+} in solution. There was no impact on cell viability due to treatment with NaNO_3
255 relative to untreated cells at any time point or at any concentration (data not shown). However,
256 the introduction of free Cu^{2+} from $\text{Cu}(\text{NO}_3)_2$ demonstrated both time- and concentration-
257 dependent cytotoxicity in A549 cells. Cells treated with free Cu^{2+} demonstrated lower cell
258 viability than cells treated with 4 nm CuO NPs but showed higher cell viability than cells treated
259 with 24 nm CuO NPs (Figure 3). This was apparent at 4, 24 and 48 h incubation periods.

260 In an attempt to investigate the underlying cause of CuO NP cytotoxicity, the dissolution
261 of Cu^{2+} from the two differently sized CuO NPs was measured using ICP-OES after the particles
262 were sonicated with RPMI-1640 media and incubated at 37°C, 5% CO_2 for 1, 4, 24 and 48 h
263 (Figure 4). Three concentrations of CuO NPs (0.06, 0.63 and 1.57 mM) were chosen to
264 represent the range of concentrations tested in the cytotoxicity assay. Because the TEM
265 analysis of the supernatant did not show any particle presence, the concentrations obtained
266 using ICP-OES can be attributed entirely to dissolved Cu^{2+} . In another study, where CuO NP
267 dissolution was tested in the presence of citric and oxalic acid, the concentrations reported by
268 ICP-OES consisted of both dissolved and smaller CuO nanoparticles²⁹. The concentration of
269 free Cu^{2+} in the media increased as the initial concentration of 4 nm and 24 nm CuO NPs in the
270 media increased. For all concentrations tested the complete dissolution of Cu^{2+} from either type
271 of NPs was not observed after 48 h. Both types of CuO NPs released Cu^{2+} at similar levels at 24
272 and 48 h which is approximately 50% of the original concentrations. However, the rates of free
273 Cu^{2+} dissolution were different. Smaller 4 nm CuO NPs achieved ~50% dissolution into the
274 surrounding medium over a 1 h incubation period. Larger 24 nm CuO NPs took longer to reach
275 ~50% Cu^{2+} dissolution (over 24 h). Thus, 4 nm CuO NPs had a faster extracellular Cu^{2+}
276 dissolution rate when compared to 24 nm CuO NPs.

277 That there is a direct relationship between the degree of cytotoxicity and the
278 concentration of soluble extracellular Cu^{2+} after 24/48 hours of exposure (Figure 3) suggests
279 that free Cu^{2+} in solution is likely to be one of the major causes of cytotoxicity seen with the

280 small NPs used in these studies. In fact, free Cu^{2+} ions may have contributed to most of the
281 cytotoxicity caused by the 4 nm Cu NPs. This preliminary assessment is based on the finding
282 that the 4 nm CuO NPs released approximately 50% of their total loaded Cu as Cu^{2+} at 1 hour
283 (Figure 4) and were less cytotoxic than the soluble $\text{Cu}(\text{NO}_3)_2$ exposed to the A549 cells at twice
284 the concentration (for the 24 and 48 hour treatments), tentatively indicating at this stage that
285 other factors were negligible in causing cytotoxicity. However, it appears to be a different
286 situation for the 24 nm CuO NPs where it is likely that other or, more likely, additional factors
287 may have contributed to the cellular cytotoxicity caused by these NPs aside from the
288 extracellular release of Cu^{2+} ions. This is because 24 nm CuO NPs caused greater cytotoxicity
289 than 4 nm CuO NPs despite the finding that 4 nm NPs had a faster Cu^{2+} dissolution profile than
290 24 nm CuO NPs. Also, the 24 nm CuO NPs were significantly more toxic than soluble Cu^{2+} from
291 $\text{Cu}(\text{NO}_3)_2$ which was exposed to cells at more than twice the concentration of soluble Cu^{2+}
292 released by the CuO NPs.

293 Evaluation of intracellular and mitochondrial pro-oxidants induced by CuO NPs

294 Intracellular prooxidant levels (intracellular $\text{O}_2^{\bullet-}$) in A549 cells after treatment with 4 nm
295 or 24 nm CuO NPs for 1, 4, 24 or 48 h were assessed through the detection of DHE oxidation,
296 which is indicative of superoxide anions ($\text{O}_2^{\bullet-}$) as well as other prooxidants. The results
297 demonstrated that, at 1 h and 4 h (Figure 5A and 5B), there was a significant drop in prooxidant
298 levels in cells treated with 24 nm CuO NPs which was not observed for the other treatments,
299 including the 4 nm CuO NPs treatment. This finding for the 24 nm CuO NPs is possibly due to
300 antioxidant defense mechanisms induced in the A549 cells in response to a metal-based NP
301 challenge and has been shown to occur at 4 – 8 hours post-treatment in a previously published
302 study where A549 cells were characterized for prooxidant levels after treatment with CuO NPs
303^{38, 39}. When prooxidants were measured at 24 h and 48 h (Figure 5C and 5D) there were
304 significant increases (2-fold and 4-fold, respectively) in the cells that were treated with 24 nm
305 CuO NPs compared to controls ($p < 0.001$), possibly due to exhaustion of the antioxidant
306 defense system. In comparison, cells treated with 4 nm CuO NPs over 24 h and 48 h did not
307 exhibit a significant increase in prooxidant levels compared to untreated cells. When 4 nm and
308 24 nm CuO NPs were compared, cells that were treated with 24 nm CuO NPs over 24 h and 48
309 h exhibited significantly higher levels of prooxidants when compared with cells that were treated
310 with 4 nm CuO NPs ($p < 0.001$). Cells treated with free Cu^{2+} produced 2-fold higher levels of
311 prooxidants at 24 h and 48 h than untreated cells. Overall, these results are consistent with the
312 cytotoxicity assays (Figure 3) and confirm that 24 nm CuO NPs were more toxic when
313 compared to free Cu^{2+} and 4 nm CuO NPs. It is possible that differences in prooxidant levels
314 account for differences in cytotoxicity (Figure 3) observed when comparing 24 nm CuO NPs
315 with $\text{Cu}(\text{NO}_3)_2$.

316 Since it has been shown that CuO NPs at sizes of < 40 nm can enter mitochondria of
317 A549 cells within 12 h of incubation³⁹, mitochondrial superoxide production was measured in
318 variously treated A549 cells using MitoSOX red⁴⁰. Two incubation periods (1 h and 24 h) were
319 tested. It was found that at 1 h, there were no substantive differences when comparing 24 nm
320 CuO NPs with either the untreated control or the group treated with 4 nm CuO NPs (Figure 6A).
321 At 24 h, cells that were treated with 24 nm CuO NPs had significantly more mitochondrial

322 superoxide (12-fold higher than the control group) ($p < 0.001$ when compared to control and 4
323 nm CuO NPs). Cells that were treated with $\text{Cu}(\text{NO}_3)_2$ had 1.6-fold higher levels of mitochondrial
324 ROS than the untreated cells. Mitochondrial ROS level in cells that were treated with 4 nm CuO
325 NPs was at the same level as in untreated cells (MFI equals to 1) (Figure 6B). Treatment of
326 cells with NaNO_3 showed no significant change when compared to the untreated cells at both
327 incubation periods. The results obtained here and with the intracellular superoxide
328 measurements performed above demonstrate that 24 nm CuO NPs induced higher
329 mitochondrial and intracellular ROS than 4 nm CuO NPs and this difference in ROS production
330 was likely to be another major cause of cytotoxicity for cells treated with the 24 nm CuO NPs.

331 **Quantification of the intracellular Cu^{2+} from cells that were treated with 4 nm and 24 nm** 332 **CuO NPs**

333 Both types of NPs studied here possessed similar surface and core oxide compositions
334 (Figure 2) and the smaller 4 nm CuO NPs exhibited faster extracellular Cu^{2+} dissolution rates
335 than the larger 24 nm CuO NPs (Figure 4), leading us to suspect that 4 nm CuO NPs may have
336 been more cytotoxic than 24 nm CuO NPs. However, results from the MTS assays (Figure 3)
337 showed that 24 nm CuO NPs were significantly more cytotoxic than 4 nm CuO NPs. This was
338 particularly evident at 24 and 48 h for the lower Cu concentrations (Figure 3). Therefore, there
339 are likely to be other factors, aside from Cu^{2+} dissolution rates, that contribute to the increased
340 cytotoxicity of 24 nm CuO NPs. It is possible that these two types of NPs have different modes
341 of entry or rates of uptake because of their difference in size, which consequently may affect the
342 levels of Cu^{2+} accumulating within the cells.

343 To study the possibility of different modes of entry or rates of uptake depending on the
344 particle size, cells were incubated with 4 nm, 24 nm CuO NPs and $\text{Cu}(\text{NO}_3)_2$ for a range of
345 times (1, 4, 24 and 48 h), and then intracellular Cu^{2+} was measured using ICP-OES (Figure 7).
346 In this experiment, cell suspensions were subjected to microwave digestion with concentrated
347 HNO_3 thus; this intracellular Cu^{2+} that was detected via ICP-OES could come from either free
348 Cu^{2+} or CuO in particulate form. At all incubation periods, the only group demonstrating
349 relatively high intracellular Cu^{2+} was the one where the cells were treated with 24 nm CuO NPs
350 ($p < 0.001$). Cells that were treated with 4 nm CuO NPs or $\text{Cu}(\text{NO}_3)_2$ showed low intracellular
351 Cu^{2+} concentrations compared to the cells treated with 24 nm CuO NPs. These results suggest
352 that 24 nm Cu NPs are more rapidly and more efficiently taken up by A549 cells than 4 nm CuO
353 NPs.

354 There have been numerous reports on the cytotoxicity of CuO NPs both *in vivo* and *in*
355 *vitro*^{36, 41-45}. There is still, however, a large degree of conjecture as to the mechanism(s) by
356 which these NPs mediate their cytotoxicity. These differences are likely to stem from multiple
357 variables between studies including the cell type studied and the properties of the particles
358 used. This is further confounded by the possibility that multiple mechanisms may be responsible
359 for nanotoxicity of CuO NPs as opposed to one major causative factor.

360 Previous studies addressing CuO NPs toxicity showed that among various metal oxide
361 NPs, CuO NPs were among the most cytotoxic^{33, 45}. Also, CuO NPs have higher cytotoxicity

362 when compared with CuO microparticles^{28, 46, 47}. However, to the best of our knowledge,
363 comparisons in toxicity of CuO NPs at the very small sizes used here have not been previously
364 reported in the literature. Here, we measured and observed the differences in cytotoxicity of two
365 groups of differently sized CuO NPs (4 nm and 24 nm). Surprisingly, the larger CuO NPs (24
366 nm) demonstrated higher cytotoxicity as well as inducing higher intracellular and mitochondrial
367 ROS production than the smaller CuO NPs (4 nm), despite both groups of NPs having identical
368 chemical compositions and the 4 nm CuO NPs showing faster extracellular Cu²⁺ dissolution
369 rates. Interestingly, cells treated with 24 nm CuO NPs showed comparatively high intracellular
370 Cu²⁺ (Figure 7). This disparity in intracellular Cu²⁺ levels was likely due to the larger volumes (>
371 200-fold) of 24 nm NPs over 4 nm NPs. To a less significant degree, it is also possible that the
372 rates of NP uptake were different, with uptake being slower for the 4 nm CuO NPs. The rate of
373 entry and amount of uptake of CuO NPs into the cell may have ultimately affected the level of
374 intracellular accumulation of Cu²⁺ and consequently impacted on cytotoxicity in A549 cells. CuO
375 NPs have been previously shown to rely on endocytosis to enter A549 cells³⁹. Entry into acidic
376 compartments (e.g. endolysosomes) results in exposure to a lower pH environment and it has
377 been demonstrated that CuO NPs release Cu²⁺ more rapidly at lower pH^{6, 31}. It may be that
378 smaller 4 nm CuO NPs used here were not taken up by endocytosis as readily as 24 nm CuO
379 NPs, perhaps due to their smaller diameter, which is substantially below the optimal size to
380 trigger endocytosis, and may have relied upon an inefficient route of entry such as diffusion
381 across the cell membrane⁴⁸. Such a situation, combined with the large volume differences,
382 could have resulted in significant differences in intracellular Cu²⁺ levels and impacted on
383 cytotoxicity through mechanisms dependent on ROS generation, although additional
384 contributions to cytotoxicity through ROS-independent pathways cannot be ruled out, such as
385 the inactivation of vital proteins through chelation or the inactivation of metalloproteins⁶. Based
386 on our findings it is likely that the two differently sized CuO NPs investigated here imparted their
387 cytotoxic effects through mostly disparate mechanisms. The smaller (4 nm) and less toxic CuO
388 NPs are likely to have impacted on cytotoxicity through an undefined pathway caused by the
389 extracellular release of Cu²⁺ which occurred at a faster rate compared to the larger (24 nm) CuO
390 NPs, whilst the larger CuO NPs appeared to have mediated their higher cytotoxic impact
391 through the promotion of greater intracellular and mitochondria ROS levels as a result of
392 increased intracellular access.

393 **Conclusion**

394 Exposure of A549 cells to 4 nm versus 24 nm CuO NPs was performed to assess their
395 cytotoxicity and multiple techniques were performed in an attempt to verify the potential causes
396 of cell death. As a general conclusion, we found that NP-induced cell death may be a result of
397 multiple contributing and confounding factors, however, the predominant causal factor appeared
398 to be dependent on the size of the CuO NPs. We conclude that the extracellular dissolution of
399 Cu²⁺ ions from CuO NPs can be cytotoxic to A549 cells and this seemed to be the primary
400 reason for the cytotoxicity generated by the 4 nm CuO NPs. Despite having similar
401 physicochemical properties (aside from size), the larger 24 nm CuO NPs proved to be
402 significantly more cytotoxic than smaller 4 nm CuO NPs and we can surmise that this was due
403 to post-internalization events resulting in significantly enhanced levels of prooxidants.
404 Evaluating the cytotoxicity of CuO NPs is essential in order to address the safety of using such

405 materials in biomedical applications where there is the potential for environmental and human
406 exposure. Further evaluation of subtle differences in CuO NP physicochemical properties and
407 the effect of those subtle differences on intracellular behavior and how they impact on
408 cytotoxicity of off-target organisms is warranted and would be of benefit to further understand
409 the potential and limitations of translational and human health applications of copper oxide NPs.

410

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419

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542

Table 1: Summary of physicochemical characterization data of CuO nanoparticles.

Physicochemical property	Technique	Small CuO NPs	Large CuO NPs
Particle size (nm)	TEM*	4 ± 1	24 ± 9
Surface area (m ² /g)	BET**	118 ± 4	22 ± 0.4
Bulk composition	XRD	CuO	CuO
Surface composition	XPS	Cu-OH, acetate	Cu-OH, carbonate

*Particle size obtained from TEM technique was expressed as mean ± SD and based on 100 particles.

**Surface area obtained from BET technique was expressed as mean ± SD (n=3).

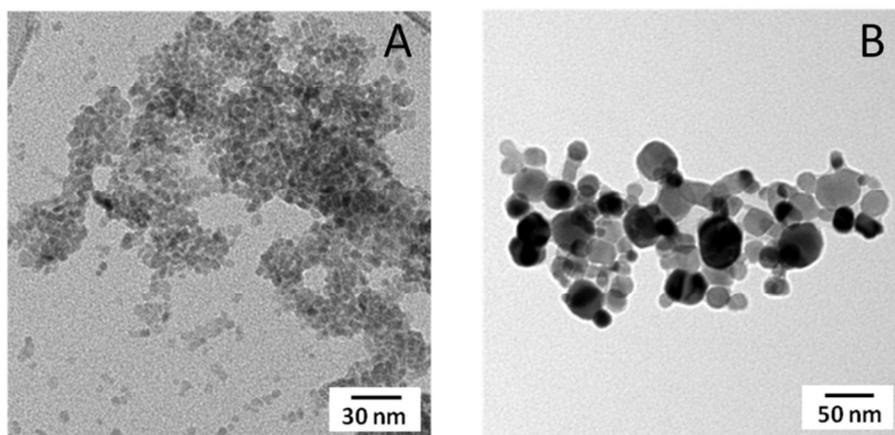


Figure 1: Transmission Electron Microscopy (TEM) images representing small (A) and large (B) CuO NPs which were used in this study. The average particle sizes of small and large CuO NPs, as determined using TEM, were 4 ± 1 nm and 24 ± 9 nm, respectively.

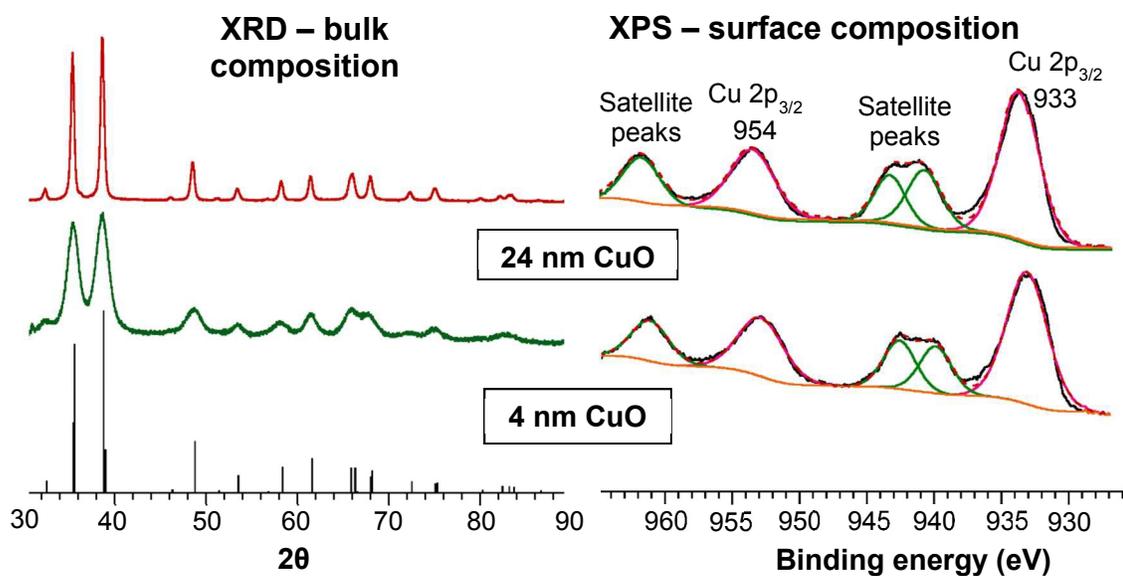


Figure 2: Bulk (left) and surface (right) characterization of small (4 nm) and large (24 nm) CuO NPs using X-ray diffraction (XRD) and X-ray photoelectron spectroscopy (XPS).

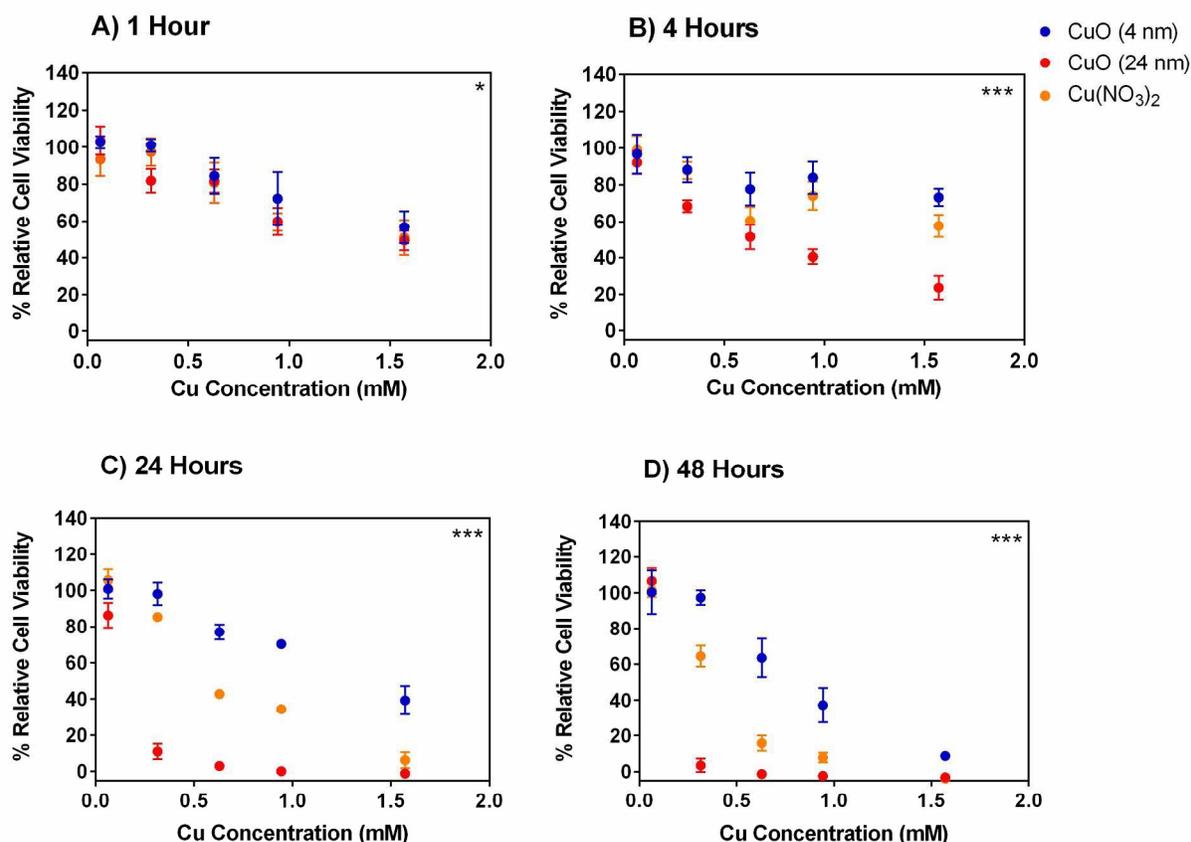


Figure 3: Cytotoxicity of 4 nm versus 24 nm CuO NPs: relative cell viability (%) of A549 cells after treatment with various concentrations of small and large CuO nanoparticles, Cu(NO₃)₂ solution and NaNO₃ solution for A) 1 hours, B) 4 hours, C) 24 hours and D) 48 hours. The data were plotted according to concentration (mM) and expressed as mean \pm SD ($n = 3-4$). NaNO₃ was used as a control for nitrate effects and showed minimal cytotoxicity at all concentrations and all time points tested with relative cell viability > 95% (data not shown). Nonlinear regression, second order polynomial (quadratic), least squares fit were conducted to determine significant differences between 4 nm and 24 nm CuO NP treatments. *** $p < 0.001$, * $p < 0.05$

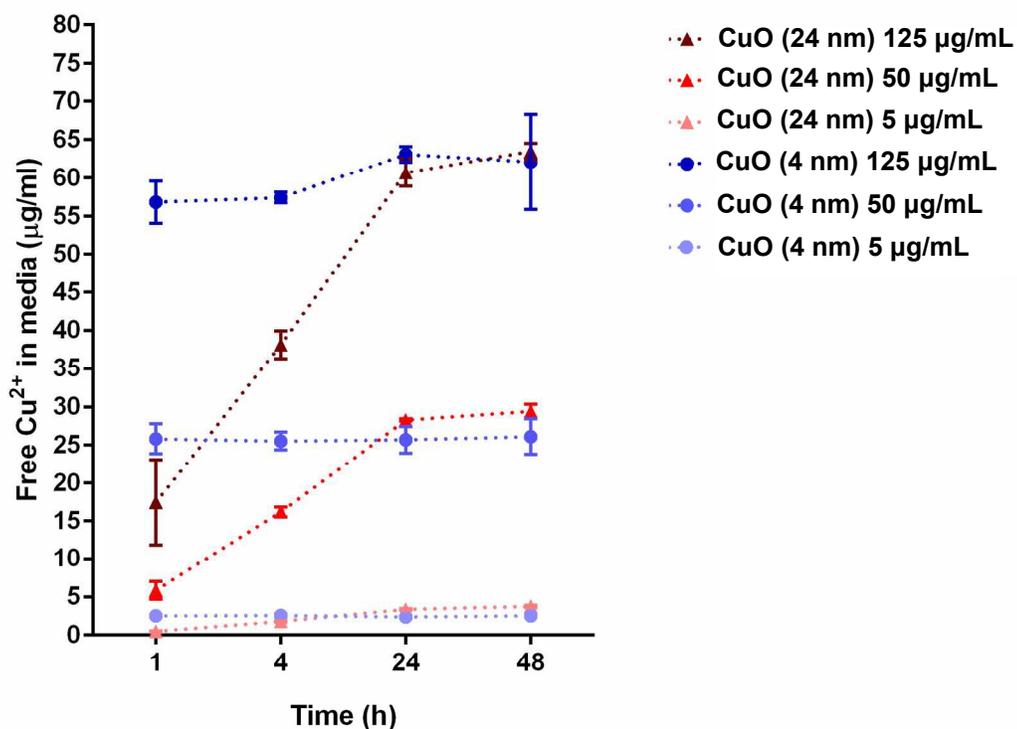


Figure 4: Dissolution of Cu²⁺ from small and large CuO NPs: different concentrations of particles (5, 50 and 125 µg/ml which are equal to 0.06, 0.63 and 1.57 mM, respectively) were sonicated at 40% amplitude for 1 min and then incubated in RPMI-1640 complete media for 1, 4, 24 and 48 h. The data were plotted using free Cu²⁺ in media against time and expressed as mean ± SD (n = 3).

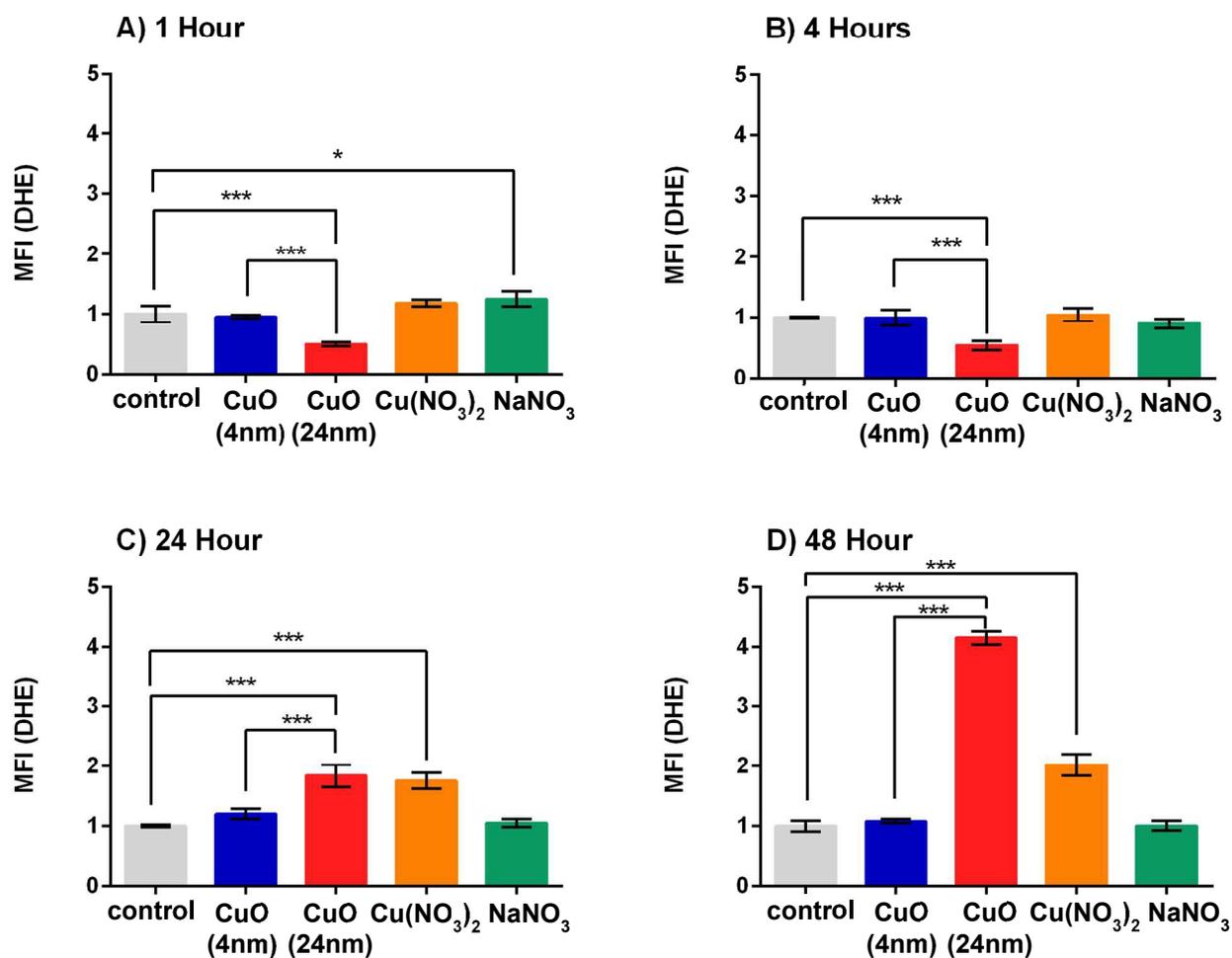


Figure 5: Intracellular pro-oxidants as detected by dihydroethidium oxidation (DHE): cells were incubated with small and large CuO NPs, Cu(NO₃)₂ and NaNO₃ at a dose of 0.12 μM Cu²⁺ concentration (10 μg/ml CuO NPs) for 1 h (A), 4 h (B), 24 h (C) and 48 h (B). Antimycin A increased the MFI by 3- to 5-fold when compared to the control group (data not shown). MFI represents mean fluorescence intensity which was normalized to the control group. Data are expressed as mean ± SD (n = 3). One-way analysis of variance with Bonferroni's multiple comparisons post-test was performed. *** *p* < 0.001, * *p* < 0.05.

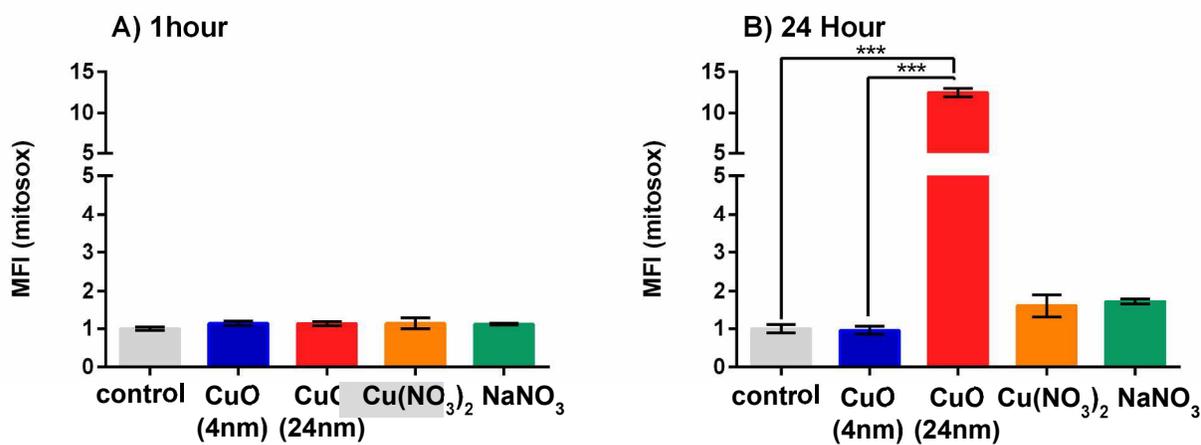


Figure 6: Mitochondrial pro-oxidants as detected by MitoSOX oxidation: cells were incubated with 4 nm and 24 nm CuO NPs, Cu(NO₃)₂ and NaNO₃ at a dose of 0.12 μM Cu²⁺ concentration (10 μg/ml CuO NPs) for 1 h (A) and 24 h (B). Antimycin A increased the MFI by 10- to 16-fold when compared to the control group at 1 hour and 24 hours, respectively (data not shown). MFI represents mean fluorescence intensity which was normalized to the control group. Data are expressed as mean ± SD (n = 3-5). One-way analysis of variance with Bonferroni's multiple comparisons post-test (the comparison between all groups to the control and between small - large CuO NPs) was performed. *** $p < 0.001$, * $p < 0.05$.

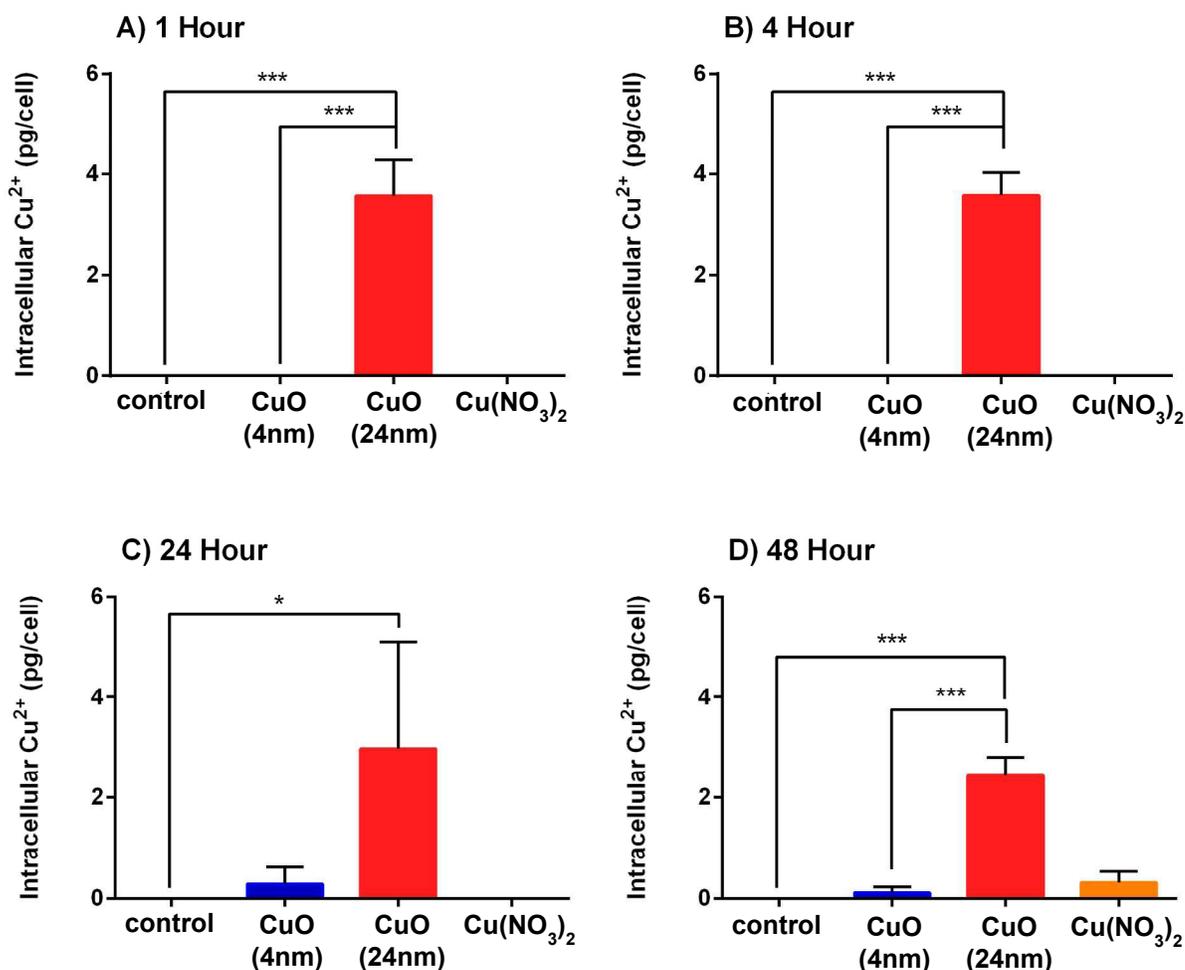


Figure 7: Intracellular Cu^{2+} uptake: cells were incubated with 4 nm and 24 nm CuO NPs, $\text{Cu}(\text{NO}_3)_2$ and NaNO_3 at a dose of $0.12 \mu\text{M}$ Cu^{2+} concentration ($10 \mu\text{g}/\text{ml}$ CuO NPs) for 1 h (A), 4 h (B), 24 h (C) and 48 h (D). Data are expressed as mean \pm SD ($n = 3$). One-way analysis of variance with Bonferroni's multiple comparisons post-test (the comparison between all groups to the control and between 4nm and 24 nm CuO NPs) was performed. *** $p < 0.001$, * $p < 0.05$.