

# Environmental Science Nano

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### **Nano Impact Statement**

Site specific risk assessment of engineered nanoparticles (ENPs) requires spatially resolved fate models. Validation of such models is difficult, due to present limitations in detecting ENPs in the environment. Here we report on progress towards validation of the spatially resolved hydrological ENP fate model NanoDUFLOW, by comparing measured and modeled concentrations of < 450 nm metal-based particles in a river. Concentrations measured with Asymmetric Flow-Field-Flow Fractionation (AF4) coupled to ICP-MS, clearly reflected the hydrodynamics of the river and showed satisfactory to good agreement with modeled concentration profiles. Together with the general applicability of the model framework, this legitimizes an optimistic view on the potential to validate such models, with important implications for the risk assessment of ENPs.

1 **Towards validation of the NanoDUFLOW**  
2 **nanoparticle fate model for the river Dommel, The**  
3 **Netherlands**

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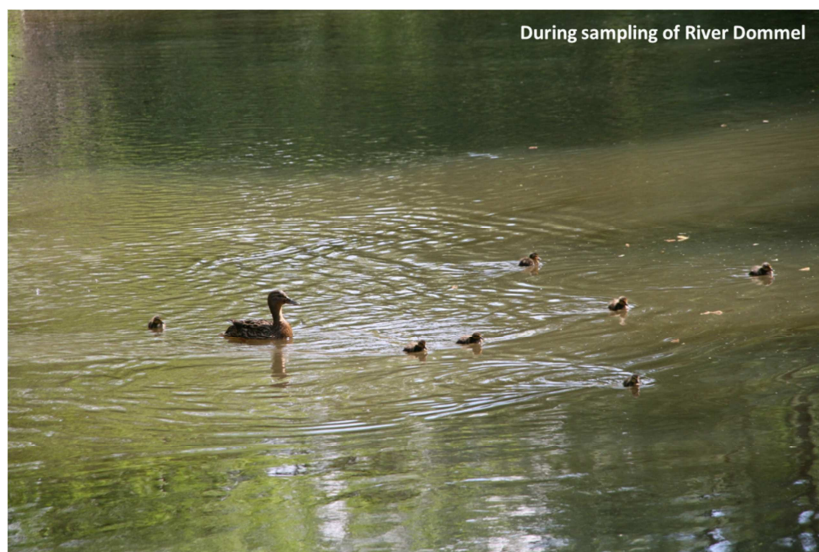
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17 **TOC art**



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## 20 Abstract

21 It is generally acknowledged that fate models for engineered nanoparticles (ENPs) hardly can  
22 be validated, given present limitations in analytical methods available for ENPs. Here we  
23 report on progress towards validation of the spatially resolved hydrological ENP fate model  
24 NanoDUFLOW, by comparing measured and modeled concentrations of < 450 nm Ce, Al, Ti  
25 and Zr -based particles for river Dommel (NL), as measured by Asymmetric Flow-Field-Flow  
26 Fractionation (AF4) coupled to ICP-MS. NanoDUFLOW simulates advection, aggregation-  
27 sedimentation, resuspension, dissolution and burial for singular ENPs, 5 classes of ENP  
28 homoaggregates and 25 classes of heteroaggregates, dynamically in space and time, and uses  
29 actual hydrological data of the river, 5 tributaries and a waste water treatment plant effluent.  
30 Validation for Ce particles was very good, whereas for Al, Ti and Zr particles, reasonable  
31 results were obtained. Model output was relatively insensitive to the attachment efficiency  
32 parameter, due to fast heteroaggregation. We argue that although the results cannot be taken  
33 as formal validation of singular <100 nm ENP behavior, they probably validate the reflection  
34 of that behavior on the level of natural and ENP-inclusive aggregate transport in the modeled  
35 system.

36

## 37 Introduction

38 During the past decade, nanotechnology and use of engineered nanoparticles (ENP) have  
39 developed enormously. This calls for an assessment of the risks of these materials to man and  
40 the environment, which therefore has become an important area of scientific research. Recent  
41 reviews emphasize the importance of being able to accurately measure concentrations of ENP  
42 in environmental matrices, as well as being able to mechanistically model the fate of ENPs, in  
43 order to establish a balanced exposure assessment.<sup>1,2,3,4,5</sup> However, ENP concentrations in the  
44 environment are very low, and the limitations of analytical methodologies to detect and  
45 identify ENPs are substantial.<sup>6</sup> Consequently, exposure assessments based on measured  
46 concentrations are not yet possible and exposure assessment therefore has to rely on  
47 modeling. The present models probably are valid in terms of their agreement to first principles  
48 and accordance with design criteria, yet they are hardly validated against measured data.  
49 Gottschalk et al.<sup>1</sup> reviewed studies providing model-based predictions of environmental  
50 concentrations (PECs) for various environmental compartments and compared the resulting  
51 concentration ranges to ranges of *measured* environmental concentrations (MECs) in similar  
52 compartments. They concluded that measurements and model outcomes were in agreement  
53 roughly, however the comparisons still were limited and the MECs mostly related to total  
54 elemental concentrations and not necessarily to the nano-form of the element. Furthermore,  
55 the PEC/MEC comparisons related to average background concentrations like they are  
56 calculated by the mass flow models considered. Recently, spatially explicit fate models for  
57 ENPs have become available<sup>7,8,9</sup> that are more realistic with respect to particle behavior and  
58 actual system properties, which however are not yet validated. As for validation, we follow  
59 the definition of that term as provided by Rykiel<sup>10</sup>; ‘Validation is establishing the truth of a  
60 model in the sense of (a) consistency with data, (b) accordance with current knowledge, (c)  
61 conformance with design criteria’.

62 Validation of mechanistic ENP fate models with respect to consistency with data is desirable,  
63 yet problematic for several reasons.<sup>11</sup> In the environment, ENPs and natural nanoparticles  
64 (NPs) are subject to numerous transformations.<sup>2,5</sup> Furthermore, they exist in mixtures of  
65 different particles, originating from different sources whereas the composition of the mixtures  
66 changes over space and time.<sup>2,12,13</sup> It is presently impossible to unambiguously identify the  
67 sources of ENPs and to distinguish between the natural NPs and anthropogenic ENPs, based  
68 on environmental measurements.<sup>6</sup> The causal links between properties of emitted ENPs and  
69 those of eventually transformed ENPs and how such links change over space and time are  
70 fundamentally indeterminate.<sup>2,4,8,13,15</sup> For modeling the fate of metals and organic  
71 contaminants similar problems exist, which however have been solved by (a) lumping and  
72 averaging process parameters to conditional parameters that are relevant at the actual scale of  
73 environmental systems, and (b) incorporating only that level of detail needed for the actual  
74 application the model scenario is designed to address. A similar engineering approach can be  
75 adopted for nanoparticles, which can be classified in terms of the main process parameters  
76 determining fate; like those for aggregation, particle attachment, settling and transport<sup>14,15</sup>,  
77 whereas the variability of such parameters among nanoparticles has been shown to converge  
78 when nanoparticle fate is considered at the system scale.<sup>16</sup> Consequently, we argue that fate  
79 models for ENPs can be evaluated against data on operationally defined classes of generalized  
80 nanoparticles or (nano-)particle aggregates, rather than on *a priori* defined specific types of  
81 (pristine) ENPs. Another problem relates to the fact that near-nano or > 100 nm submicron  
82 particles (i.e. 100-1000 nm) may contribute to measured particle concentrations, which then  
83 would be erroneously attributed to the measured NP fraction.<sup>1,6</sup>

84 We propose that this problem can be pragmatically circumvented by refraining from model  
85 validation against measured ‘free < 100nm ENPs or NPs’. Instead, *modelled* total particulate  
86 elemental concentrations that are calculated from the dynamically (Smoluchowski-)modeled

87 aggregate size distribution, can be evaluated against *measured* total particulate elemental  
88 concentrations, which - after all - reflect the sum of the elemental concentrations of all  
89 primary (nano-)particles and aggregates present in the actual size distribution. We realize that  
90 taking this sum of (nano-)particles and aggregates (hereafter ' $\Sigma(\text{N})\text{P}$ ') as the endpoint for  
91 validation is formally not a validation of the < 100 nm nanoparticles size class. However,  
92 given the present limitations in ENP analysis this is the best available option and the dynamic  
93 behavior of  $\Sigma(\text{N})\text{P}$  in an environmental system still is highly dependent on the behavior of the  
94 initial 'free NP' concentration, which thus comes down to an indirect validation of that  
95 behavior.

96 The aim of the present work was to evaluate the level of agreement between modeled  $\Sigma(\text{N})\text{P}$   
97 concentrations and measured concentrations of <450 nm particles taken as a proxy for  
98  $\Sigma(\text{N})\text{P}$ , in a river system in The Netherlands. As explained above, this concerned modeling of  
99  $\Sigma(\text{N})\text{Ps}$  in general, without the aim or necessity of uniquely identifying the free ENP  
100 component in the mixture. The hydrological and water quality model NanoDUFLOW was  
101 used, which was fed with actual hydrological data for the main river and tributaries in the  
102 catchment. NanoDUFLOW<sup>9</sup> keeps track of 35 particle classes (homo-, hetero-aggregates,  
103 natural colloids) according to a simplified Smoluchowsky-Stokes algorithm, in which flow  
104 shear calculated from the hydrological module feeds back into the calculation of spatially  
105 heterogeneous collision frequencies. Elements possibly related to ENPs; i.e. Ti, Ce, Ag, Au,  
106 Al, and Zr, were measured in water samples using ICP-MS and Asymmetric Flow-Field-Flow  
107 Fractionation (AF4). The simulations used actual flow rate data to acquire a most realistic  
108 simulation of dependence of flow in time, accounting for flow dependent factors and  
109 processes like water and particle transport, shear, resuspension-sedimentation and aggregation  
110 collision frequency.

111



## 112 **Materials and methods**

### 113 **Chemicals**

114 Ultrapure water (H<sub>2</sub>O) with a resistivity of >18 MΩ cm was obtained from a Milli-Q Plus  
115 system (Millipore, Amsterdam, The Netherlands). Sodium hydrogen carbonate (NaHCO<sub>3</sub>),  
116 BioXtra 99.5–100.5%, was purchased from Sigma-Aldrich (Zwijndrecht, The Netherlands)  
117 and nitric acid (HNO<sub>3</sub>), 65%, suprapur, was purchased from Merck (Darmstadt, Germany).  
118 Calibration standard solutions for the concentration determination were made of element  
119 stock solutions from Inorganic Ventures (Christiansburg, USA).

### 120 **Study area**

121 The study area has been described before<sup>9</sup>, a brief summary is provided here. The Dommel is  
122 a relatively small river in The Netherlands, flowing through The Netherlands over a distance  
123 of 80 kilometers, of which 40 km are covered in the model. In the present implementation  
124 based on water discharges provided by the Dommel water board, the flow velocity ranged  
125 between 0.0036 and 0.54 m s<sup>-1</sup> with a river stretch averaged flow velocity of 0.32 m s<sup>-1</sup>. The  
126 river contains a sediment settling area and several weirs and receives inflows of 5 tributaries  
127 and effluent from a wastewater treatment plant (WWTP) in the city of Eindhoven.  
128 Approximately fifty combined sewer overflows are situated at the Dommel River, discharging  
129 untreated domestic and industrial wastewater during heavy rainfall, which contains a complex  
130 cocktail of contaminants.<sup>17</sup>

### 131 **Sampling**

132 Thirteen samples were taken from the water column; before and after sediment traps, before  
133 and after the WWTP discharge location and in WWTP influent and effluent. As for the  
134 tributaries, two samples were available both in the Tongelreep. Samples were taken by means  
135 of a Ruttner water sampler and immediately stored in 250 mL PE bottles. The bottles were

136 stored with ice-packs in a cool box during transport and stored at 4 °C in the lab. A map of the  
137 Dommel river and sampling locations is provided in the Supporting Information (Figure S1).

### 138 **Analysis**

139 Samples were filtered using a 0.45 µm regenerated cellulose (RC) filter (Whatman, Dassel,  
140 Germany. Water samples were used for analysis of *total* i.e. dissolved plus particulate metal  
141 concentrations, and for analysis of metal particle concentrations. Total concentrations of Ti,  
142 Ce, Ag, Au, Al and Zr were measured using an inductively coupled plasma mass spectrometer  
143 (ICP-MS) type XSeries II (Thermo Fisher Scientific, Breda, The Netherlands). Aliquots of  
144 each of the samples were digested by adding nitric acid to the sample and subsequently using  
145 a Multiwave ECO microwave (Anton Paar, The Netherlands) to dissolve the sample. The  
146 internal standard was a 10 µg/L Rh-solution (2% HNO<sub>3</sub>). Detection limits ranged from 0.005  
147 µg/L (Ce) to 0.5 µg/L (Al), and relative standard deviations ranged from 2.35 (Ce) to 4.60  
148 (Ti), on average (Table S5). Determination of the metal concentration of particles in the  
149 sample (size between 2 - 450 nm) was achieved using a Postnova AF2000 system (Postnova  
150 Analytics GmbH, Landsberg, Germany) consisting of an Asymmetric Flow-Field-Flow  
151 Fractionation (AF4) module connected to the ICP-MS. The membrane was a 350 µm  
152 regenerated cellulose membrane with a cut-off of 10 kDa. A solution of 0.01% NaHCO<sub>3</sub>  
153 served as eluent. The manual injection volume was 500 µL. Internal standard for the ICP-MS  
154 was a 10 µg/L Rh solution (2% HNO<sub>3</sub>).

### 155 **Modeling**

156 The NanoDUFLOW model implementation for the river Dommel has been described before<sup>9</sup>  
157 and is summarized here briefly. NanoDUFLOW is the combination of DUFLOW Modelling  
158 Studio (v3.8.7), a software package for simulating one-dimensional unsteady flow in open-  
159 channels,<sup>18,19</sup> and the defined set of equations describing the fate of nanoparticles. Water  
160 levels and flow rates are determined by solving the St. Venant equations of continuity and

161 momentum for 471 a priori defined sections of the river network, using the incoming flow and  
162 downstream water level as boundary conditions (Table S1). In addition to the previous model<sup>9</sup>  
163 incoming discharges from 5 tributaries and effluent of the WWTP are set as boundary  
164 conditions as well (Table S2). Discharges from tributaries were set at the mean values of May  
165 2013, as the water sampling was done at the end of this month. NanoDUFLOW calculates  
166 discharge, water level and mean velocity for each section and for each time step. Chemical  
167 transport is modelled by solving the advection-diffusion equation simultaneously with the  
168 hydrology for all network sections.

169 For ENPs, concentrations of 35 particle classes are calculated in water and sediment  
170 following different transformation processes. The transformation processes: homo- and  
171 hetero-aggregation, dissolution and degradation are modeled, coupled with the transport  
172 processes: advection, sedimentation, resuspension and burial to deeper sediment layers.  
173 Calculation of aggregation and sedimentation is based on the Von Smoluchowski-Stokes  
174 theory. Following Quik et al.<sup>9</sup>, hetero-aggregation is modeled for five ENP size classes  
175 interacting with five suspended solid size classes leading to 25 hetero-aggregates modeled in  
176 place and time. Homo-aggregation is modeled using five size classes of ENPs that  
177 homoaggregate from one class to the next, a simplification that has been shown to cause  
178 negligible error for the scenarios studied.<sup>9</sup> In the calculation of the orthokinetic aggregation a  
179 shear rate is required, which is calculated from the flow rate provided by the DUFLOW  
180 hydrology model, thus providing a direct feedback between river hydrodynamics and  
181 aggregation behavior. The dissolution of ENPs in the water column, and degradation and  
182 burial in the sediment layer are approximated as first-order processes.<sup>9,20</sup> Resuspension is  
183 modeled following Partheniades, using a critical shear stress below which resuspension does  
184 not occur.<sup>21</sup> When the critical shear stress is exceeded, a resuspension flux is calculated based  
185 on the ratio between the actual and the critical shear stress and a resuspension rate constant. A

186 detailed model description from our previous paper<sup>9</sup> is updated for the present work and  
187 provided as Supporting Information.

### 188 **Model parameterization, boundary conditions and evaluation against data**

189 The input parameters of the model are the characteristics of the natural colloid particles and  
190 the ENPs, which are used for the calculation of aggregation and sedimentation rates. ENP  
191 specific characteristics are initial particle size distribution and particle density. Initial  
192 distributions < 450 nm were assumed to be realistic, that is, in accordance with measured  
193 distributions resulting in distributions that spanned from <100 nm to several hundreds of  
194 nanometers. Particle densities for the most commonly found metal oxides or hydroxides were  
195 used (Table S3). It has been shown before, that heteroaggregates with natural colloids  
196 dominate transport of ENPs, which implies that transport is insensitive to differences in initial  
197 size distribution of ENPs.<sup>7,9,16</sup> Following earlier reasoning, we used a freshwater literature  
198 value for the attachment efficiency ( $\alpha_{\text{het}}$ ).<sup>9</sup> For one of the metals (Ce), the sensitivity of the  
199 model output to variability in this parameter was assessed. The other parameters are as in  
200 Quik et al<sup>9</sup> and are provided as Supporting Information. None of the parameters was  
201 optimized. Measured concentrations at start of the Dommel, in the tributaries and in the  
202 WWTP effluent were used as boundary conditions to model the 40 km downstream  
203 concentration profile (Table S2). Literature search provided no a priori information on point  
204 sources of ENPs or natural metal-based NPs other than the one WWTP (Figure S1).  
205 Therefore, diffuse sources were assumed to dominate and the concentrations assessed for one  
206 tributary in the catchment were assigned to all tributaries. The model was run with fixed  
207 boundaries and a calculation time step of 1 minute to simulate a period of 5 days, to assure  
208 that a steady-state condition was reached. The modeled NP size fraction concentrations were  
209 summed after which the  $\sum(\text{N})\text{P}$  size fractions were evaluated against the < 450 nm elemental

210 particulate concentrations measured. An overview of the model setup and further motivation  
211 of the parameters is provided as Supporting Information (Table S6).

212

## 213 **Results and discussion**

### 214 **Colloids and nanoparticles in the Dommel catchment**

215 Ag was not detected in any of the samples. Gold concentrations varied between 0.02 and 0.7  
216  $\mu\text{g/L}$ . However, only in six samples gold was observed and therefore gold was – just like  
217 silver – not used for the evaluation of the model. AF4 measurements confirmed the  
218 occurrence of the elements Ce and Al in the  $<450$  nm sized particles in all water samples  
219 (Table S5). Based on the well-established geochemistry of these elements, they were assumed  
220 to occur as  $\text{CeO}_2$  and  $\text{Al}(\text{OH})_3$  respectively.<sup>22</sup> AF4 did not confirm the presence of particles  
221 for any of the other metals measured. However, Ti and Zr were detected in the  $<450$  nm  
222 filtrates, metals that are known to be insoluble and therefore can be assumed to be in  
223 particulate form as well, i.e. as  $\text{TiO}_2$  (rutile) and  $\text{ZrO}_2$  (baddeleyite) respectively.<sup>22,23,24,25</sup> The  
224 densities of these four minerals were used in the modeling (Table S3). In terms of detection  
225 limit and relative standard deviation among replicate measurements, Ce was the most  
226 accurately measured metal (Table S5). The Ce elemental  $\Sigma(\text{N})\text{P}$  concentration ranged 0.04 –  
227  $0.27 \mu\text{g/L}$  (Table S5), which agrees very well to concentration ranges assessed in  $< 450$  nm  
228 filtrates from the Dommel between 2005 and 2007 of  $0.034 - 0.39 \mu\text{g/L}$ <sup>26</sup>, and in the nearby  
229 Rhine-Meuse estuary for the year 2000 of  $0.021 - 0.122 \mu\text{g/L}$ .<sup>27</sup> The lower ends of these  
230 measured ranges come close to the higher ends of a modeled range for nano-sized  $\text{CeO}_2$  found  
231 for Irish surface water, i.e.  $4.4-51.4 \text{ ng/L}$ .<sup>28</sup> The Al elemental  $\Sigma(\text{N})\text{P}$  concentration ranged  
232  $2.2 - 5.9 \mu\text{g/L}$ , which is somewhat lower than the ‘dissolved’ value provided for world  
233 rivers<sup>23</sup>, probably due to the smaller size fraction in the present study. The Ti concentration  
234 ranged from  $0.63$  to  $1.15 \mu\text{g/L}$ , which agrees to the typical range for rivers of  $0.02 - 2.3$

235  $\mu\text{g/L}$ .<sup>29</sup> Zr  $\Sigma(\text{N})\text{P}$  concentrations ranged 0.074 – 0.23  $\mu\text{g/L}$ , which also agrees well with a  
236 reported general background range for surface waters of 0.03–0.98  $\mu\text{g/L}$ .<sup>29</sup> Overall, the  
237 particulate elemental concentrations in <450 nm filtrates in the Dommel agree well to  
238 previously reported background concentrations for these elements.

239 Assessing the 100 nm and smaller fraction of  $\Sigma(\text{N})\text{P}$  particulates was not an aim of the  
240 present study, but the general likeliness of the presence of nanomaterials and/or the  
241 anthropogenic origin of particles in the Dommel catchment can be assessed in several ways.  
242 Recently, Markus et al. applied <450 nm filtration and < 100 nm nanofiltration to Dommel  
243 samples and found that for Ti 63 – 74% and for Ce 18 – 64% of <450 nm elemental  
244 concentrations were smaller than 100 nm.<sup>30</sup> Furthermore, for many inert geogenic materials  
245 characteristic elemental ratios exist that define origin or type of the material. Therefore, such  
246 ratios can be used to correct for grain size effects or to distinguish anthropogenic  
247 sources.<sup>23,24,31</sup> It has been argued that Ti/Al ratios are approximately 20–50 for manufactured  
248 UV-filter nanoparticles and 0.1 for natural soils, and therefore Ti/Al in suspended matter  
249 could serve as an indicator of anthropogenic input of Ti to surface waters.<sup>24</sup> Based on EDS  
250 and SEM imaging, Gondikas et al. detected  $\text{TiO}_2$  particles from sunscreens in an Austrian  
251 lake, together with Ti/Al ratios of  $\sim 0.05$ . The present Ti/Al elemental ratios detected in our  
252 <450 nm filtrates ranged 0.11 – 0.51, that is, up to an order of magnitude higher, with one  
253 extreme outlying value of 109. Following Gondikas et al, this may suggest an anthropogenic  
254 source for titanium in the Dommel. This does not necessarily imply however, that these  
255 particles were manufactured nanoparticles. After all, the increase might also be influenced by  
256 natural variations at the sampling locations. Furthermore, the SEM data by Gondikas et al  
257 showed a size of natural Ti NPs of about 500 nm, and UV-filter particles of about 1000 nm<sup>24</sup>,  
258 sizes that both would not comply with the definition of a nanomaterial, but that nevertheless  
259 are consistent with the assumptions on particle and aggregate size in the NanoDUFLOW

260 model (Table S6).

261

## 262 **Modeling NPs in the Dommel catchment with NanoDUFLOW**

263 **Cerium.** Modeled CeO<sub>2</sub>  $\Sigma$ (N)P concentrations showed a remarkably good agreement with the  
264 measured data (Figure 1, panel Ce). This implies that the model captures the hydrology of the  
265 system well, including the transport and settling of the submicron  $\Sigma$ (N)P particles. Various  
266 plateaus and discontinuities in the profile are modeled, which relate to the inflows of the  
267 tributaries Keersop, Run, Tongelreep, kleine Dommel and Hoidonkse beek, and the WWTP  
268 of the city of Eindhoven (various inflows indicated in Figure 1, panel Ce). All inflows appear  
269 to cause a decrease in Ce concentration, which suggests that they cause a dilution of Ce  
270 concentrations in the river Dommel. The sharp decrease in Ce concentration at 14.4 km (no  
271 arrow) is not associated with an incoming tributary, but relates to the position of the main  
272 sedimentation area in the river (de Klotputten).

273 One of the main uncertainties in fate models for nanoparticles is the parameterization of  
274 heteroaggregation.<sup>4,7,8,9,15</sup> Therefore, for Ce, the sensitivity of the model to the selected value  
275 for the attachment efficiency for heteroaggregation ( $\alpha_{\text{het}}$ ) was explored. It appears that varying  
276  $\alpha_{\text{het}}$  between 0.1 and 1 does only marginally affect the modeled concentration profile, whereas  
277 only a value of  $\alpha_{\text{het}} = 0$  leads to a substantial lack of fit (Figure 2). This implies that the  
278 default value for  $\alpha_{\text{het}}$  was adequate for the present modeling, but also that aggregation cannot  
279 just be neglected.

280 **Aluminium.** Aluminium was the other element confirmed by AF4 to be present as < 450 nm  
281 particles. Although the Al data show some more variation than Ce, the model fairly  
282 represents the trend in the measured data, including a decrease in the sedimentation area at  
283 14.4 km flow distance (Figure 1, panel Al). At 30 and 40 km flow distance, the measured  
284 concentrations are about 30% higher than modeled values. Sewer overflows occurred 4 and

285 10 days prior to the sampling date and concentrations in WWTP influents were about 4 times  
286 higher than in effluents, which potentially renders the overflows as external sources of Al.  
287 However, because of multiple possible causes for the higher Al concentrations we have no  
288 conclusive explanation for the observed nonalignment.

289 **Titanium.** Titanium was not directly detected as particulate material, but was assumed to be  
290 present as particulate  $\text{TiO}_2$  based on its extremely poor solubility and the  $<450$  nm total  
291 concentrations measured. The model again predicts a decrease in concentration at the  
292 sedimentation area after 14.4 km flow distance, however this decrease is compensated by  
293 inputs from the Tongelreep tributary and from the WWTP (Figure 1, panel Ti). The overall  
294 result is a predicted more-or-less constant concentration of Ti, which until 25 km is well  
295 represented by the data. Like for Al, in the last 10 km of the Dommel, measured Ti is up to a  
296 factor of two higher than the model prediction. Like for Al, this probably relates to an  
297 unaccounted source and remains unexplained.

298 **Zirconium.** Zirconium was not directly detected as particulate material either, and was  
299 assumed to be present as  $\text{ZrO}_2$  based on  $<450$  nm total concentrations measured. For this  
300 metal, the resolution in the measured concentrations was insufficient to confirm the relatively  
301 complex modeled spatiotemporal dynamics of tributary inflows (Figure 1, panel Zr). For Zr,  
302 the inflows of the Keersop, Run, Tongelreep and kleine Dommel increase the modeled  
303 concentration, whereas sedimentation in the Klotputten and dilution by WWTP effluent  
304 decrease the modeled concentration, leading to an overall (roughly) constant concentration  
305 pattern (Figure 1, panel Zr). Until about 25 km, the measured data fairly align with the model  
306 simulation, after which they are however up to a factor two higher. This again shows that the  
307 last 10 km of the Dommel probably has a source of Zr unaccounted for by the model, similar  
308 to that for Al and Ti.

309 **NanoDUFLOW as a tool to model the fate of nanoparticles**



310 Our objective was not to present or validate a model that is able to predict ENP environmental  
311 concentrations with certainty. We did show however that NanoDUFLOW provided an  
312 adequate mechanistic explanation for the concentration profile of < 450 nm Ce, whereas the  
313 model also provided a reasonable representation of the measured concentration profiles for  
314 Al, Ti and Zr. For the latter three metals, NanoDUFLOW underestimated concentrations at  
315 the last 10 km of the river stretch. We emphasize that the measured profiles represent a  
316 snapshot in time and that a longer term field campaign with data from different seasons and  
317 years, including more variation in weather conditions, variation in stream flow and variation  
318 in tributary and WWTP loads, is to be recommended. Nevertheless, we argue that especially  
319 for Ce, the presented type of validation probably is as far as validation of ENP fate models  
320 presently can get, given the analytical limitations in characterizing the true nature of ENPs as  
321 was outlined in the introduction and recent literature.<sup>1,11</sup> The present validation in fact is a  
322 validation for <450 nm Ce containing particulates, which approximately will have the same  
323 hydrodynamic behavior as analytically identified ‘true’ ENP aggregates. Nowack et al<sup>11</sup>  
324 argued that knowing initial size distribution of ENPs is crucial for correct subsequent fate  
325 modeling. Several recent papers, including the present one, suggest that whereas this may be  
326 true on short time scales, the hydrodynamic behavior of heteroaggregates may rapidly  
327 overwhelm that of the singular or homoaggregated ENPs,<sup>1,7,9,16</sup> rendering ENP fate modeling  
328 practically equivalent to natural nanoparticle or colloid fate modeling. We feel that seeking  
329 validation of truly ENP specific particle level behavior on the scale of environmental systems  
330 is extremely complex and might turn out to be a dead end; (a) for the reasons discussed by  
331 Nowack et al<sup>11</sup> and Gottschalk et al<sup>1</sup>, and (b) because of the fundamentally indeterminate  
332 nature of the continuously varying conditions in dynamic environmental systems. Our present  
333 results further suggest that hydrodynamic system properties may outweigh the variability in  
334 singular ENP nano-specific features, such as surface charge and attachment efficiency. After

335 all, NanoDUFLOW reproduced the concentration profile for Ce submicron particles while  
336 accounting for flow, shear and heteroaggregate size and density in a spatiotemporally explicit  
337 manner, whereas attachment efficiency was taken as constant during the simulation. This can  
338 be explained by heteroaggregation being sufficiently fast, such that the model output is rather  
339 insensitive to the exact value for the attachment efficiency parameter ( $\alpha_{\text{het}}$ ). The plausibility of  
340 the dominance of system properties has recently been recognized also by Dale et al<sup>32</sup> who  
341 used a spatially resolved transport model in which complete heteroaggregation was assumed,  
342 i.e. heteroaggregation was not mechanistically modeled. Our previous study introducing the  
343 NanoDUFLOW model<sup>9</sup> showed how heteroaggregate size fractions still behave differently in  
344 time and space in a river system like the Dommel. Still, heteroaggregates were shown to be  
345 formed rapidly, which in that sense supports the assumption of complete heteroaggregation  
346 used by Dale et al.<sup>32</sup> Whereas NanoDUFLOW may be considered to be more generally  
347 flexible and suitable to test hypotheses on the fate of distinct ENP heteroaggregate species, it  
348 also requires more data and is computationally more demanding.

## 349 **Conclusions**

350 With the present evaluation of NanoDUFLOW against data and the recent model published by  
351 Dale et al<sup>32</sup>, important progress has been made towards developing spatially resolved  
352 mechanistic models for the risk assessment of ENP. These two models complement recent  
353 multi-media models<sup>33,34</sup>, as well as the earlier category of mass flow analysis models<sup>1</sup>, each of  
354 which has different design criteria and different domains of application. The main benefit of  
355 having access to such a suite of models is that it allows for selecting the appropriate model for  
356 the research question to be answered, or for nested model applications, where outputs across  
357 different spatial scales are linked.

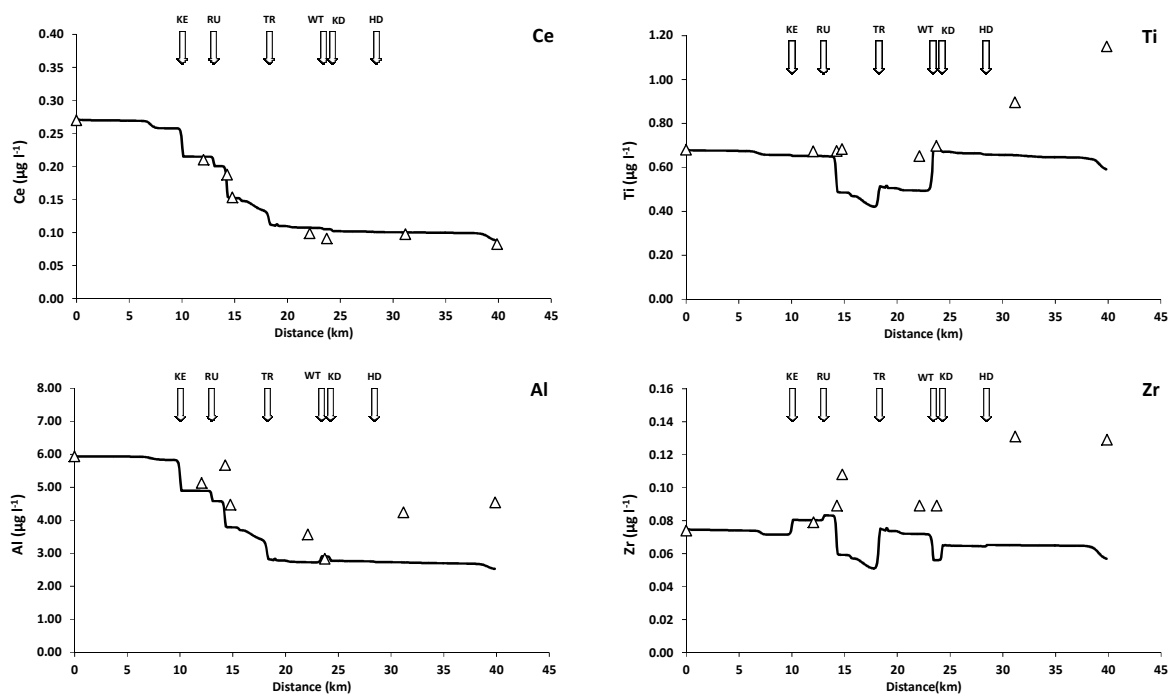
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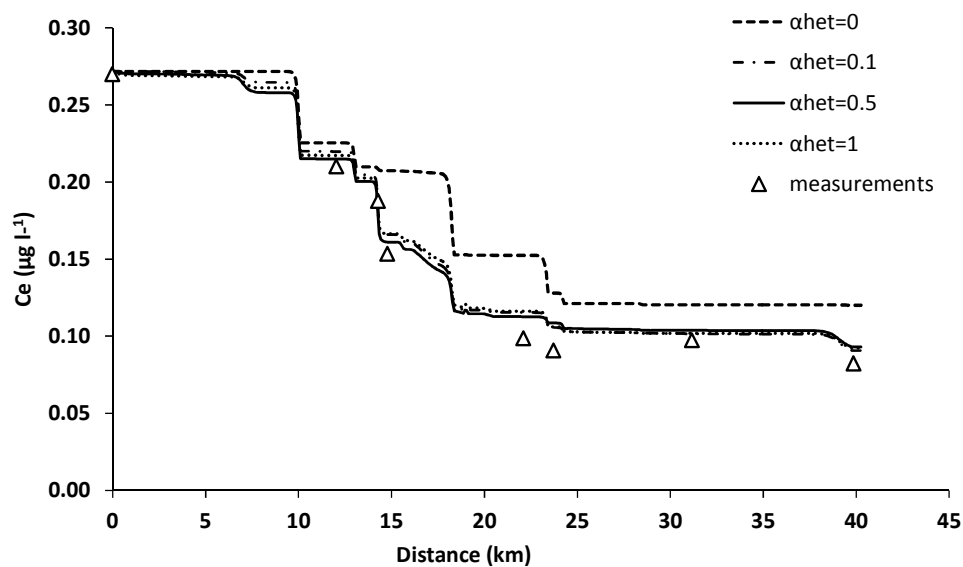
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368 **Figure 1.** Measured (triangles) and modeled (solid line) concentration profiles for measured <  
 369 450 nm Ce, Al, Ti and Zr submicron particles in river Dommel. Arrows indicate inflows of  
 370 tributaries; KE=Keersop, RU=Run, TR=Tongelreep, WT= WWTP City of Eindhoven,  
 371 KD=kleine Dommel, HD=Hooidonkse beek.

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383 **Figure 2.** Measured and modeled concentration profiles for < 450 nm Ce submicron particles

384 in river Dommel. The modeled profile was calculated for four values of the attachment

385 efficiency ( $\alpha_{\text{het}}$ ) of 0, 0.1, 0.5 and 1.

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389 **References**

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