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#### 1 **Characterization and sources of black carbon in PM**<sub>2.5</sub> at a site close to a <br>2 **consider roadway in Gwangju, Korea, during winter roadway in Gwangju, Korea, during winter**

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Potential source contribution function maps for black carbon (BC) observed at a roadway site indicate that the BC observed during episode "A" was most likely attributed to local emissions, while local sources and regional transport of air masses contributed to the enhanced BC concentrations during episode "B".



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#### **Abstract**

42 Continuous measurements of black carbon (BC) concentrations in PM<sub>2.5</sub> were conducted 43 using a single-wavelength aethalometer (@880nm, Magee Sci., AE16) at a site close to 44 roadway (~70 m from roadside) in Gwangju, Korea, during winter (December–February) to 45 investigate the character and sources of BC particles. The BC concentrations ranked in the 46 order of January > December > February, probably due to lower boundary layer height, 47 ambient temperature, and wind speed during January. Diurnal patterns in BC and carbon 48 monoxide (CO) levels exhibited peak concentrations during the morning and evening hours 49 coinciding with rush-hour traffic, with strong correlation  $(R^2)$  ranging from 0.52 (December) 50 to 0.87 (January). It was found that wind speed was an important factor controlling BC 51 concentrations at the site. Very high BC concentrations, up to  $\sim$ 18.0  $\mu$ g/m<sup>3</sup>, were observed at 52 wind speeds <1.5 m/s. The BC concentrations acquired under weak wind conditions are 53 highly correlated with CO with ∆BC/∆CO (the slope of BC and CO correlation) of 0.0063 54 ( $R^2$ =0.55, p<0.01) and 0.0065 ( $R^2$ =0.59, p<0.01)  $\mu$ g/m<sup>3</sup>/ppbv during day and night, 55 respectively, suggesting no significant difference in the fraction of diesel vehicles to total road 56 traffic flows between the daytime and nighttime periods.

57 Two BC episodes, "A" and "B", were classified based on BC,  $PM_{2.5}$ , and secondary  $SO_4^2$ 58 concentrations, and discussed to investigate the difference in the evolution of the BC observed. 59 Episode "A" was associated with high BC and low  $PM_{2.5}$  and  $SO_4^{2-}$  concentrations, while 60 episode "B" was associated with high concentrations of BC,  $PM_{2.5}$ , and  $SO_4^2$ . Based on the 61 temporal profiles of BC, NO, and  $NO<sub>x</sub>$  concentrations,  $CO/NO<sub>x</sub>$  ratio, and potential source 62 contribution function map for BC, the BC observed during episode "A" was mostly attributed 63 to locally produced emissions (e.g., traffic). However, the BC during episode "B" was 64 influenced by long-range transport of air masses from China, as well as the local emissions.

*Keywords*: Black carbon, roadway site, ∆BC/∆CO ratio, potential source contribution 67 function, long-range transport of air masses.

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#### **Introduction**

89 Black carbon (BC) is an important species of particulate matter that results from the 90 incomplete combustion of fossil fuels and biomass. BC plays an important role in Earth's 91 climate system.<sup>1-3</sup> It has been estimated that global radiative forcing of BC aerosol is about 92  $+0.9 \text{ W/m}^2$  (+0.4 ~ +1.2 W/m<sup>2</sup>), which makes it the second contributor to global warming 93 after carbon dioxide.<sup>4</sup> Coated BC particles also act as cloud condensation nuclei (CCN), 94 therefore contributing to the indirect forcing of the climate.<sup>5</sup> In addition to its impact on the 95 climate system, BC has been linked to adverse health effects, including respiratory and 96 cardiovascular diseases.<sup>6-8</sup> Once emitted, the BC aerosol can be transported over regional to 97 synoptic scales, and removed from the atmosphere through wet and dry deposition.<sup>9,10</sup> 98 Because of the shorter lifetime of BC in the atmosphere compared to carbon dioxide, reducing 99 the BC emissions is an attractive option to mitigate global warming. However, the reduction 100 of BC emissions may cause a decrease in CCN concentrations and a decrease in the indirect 101 effect of aerosols, compensating for the decrease in the direct effect of  $BC<sup>11</sup>$  Due to its 102 potential impact on climate and human health, BC (or elemental carbon, EC) measurements 103 have been conducted in urban and background sites in Korea to investigate the characteristics 104 of the BC and to estimate its radiative forcing.<sup>12-20</sup> For example, Kim *et al.*<sup>18</sup> indicated that 105 ambient BC over the Korean Peninsula was influenced by both long-range transport and local 106 sources; urban areas (Seoul and Gwangju) have greater contributions to BC emissions from 107 local sources while background areas (Gosan and Anmyeon Island) are dominated by long-108 range transport. Direct radiative forcing of BC over the Korean Peninsula was also estimated 109 to be  $+0.1 \sim +1.8$  W/m<sup>2</sup> with the domain-average value of 0.39 W/m<sup>2</sup>. However, few 110 measurements of ambient BC in Korea have been conducted close to roadways where 111 pedestrians and residents living near roads are often exposed to elevated particulate matter 112 emissions from motor vehicles. Thus continuous measurements of BC near roadways are 113 required for assessing the degree of human exposure and for developing traffic emission 114 control strategies.

115 As discussed above, BC emission data are needed to assess their effects on health and 116 climate. However, assessing BC emissions is difficult because of the high uncertainty in the 117 fraction of total particulate matter that is BC (or EC) in particles  $\leq 1.0 \mu m$  in diameter. The 118 BC emissions vary significantly with vehicle fuel type (diesel vs. gasoline) and combustion 119 conditions.<sup>21-24</sup> Therefore, an alternative methodology for assessing BC emissions, based on 120 the relationship between ambient BC and CO, has been suggested.<sup>13,25-31</sup> According to the

121 previous studies, the slope of the BC-CO correlation (∆BC/∆CO) is a good parameter by 122 which assess BC emissions and to distinguish different BC sources. It was suggested that ∆BC/∆CO ratios are affected by the contribution of diesel vehicles to BC emissions to the 124 total traffic flows.<sup>31-33</sup> Also, a higher ratio was observed at roadway sites than at urban and 125 rural sites $31-34$  and at night due to the higher proportion of heavy-duty diesel vehicles than 126 during the day.<sup>27,29,31</sup> A higher ratio was also observed in summer than in winter due to the 127 enhanced CO emissions in winter. $28,31$ 

128 In this study the results of hourly BC measurements close to a roadway in Gwangju, Korea 129 during winter are presented. The temporal variations of BC are examined in the light of CO 130 and meteorological parameters (boundary layer height, wind speed, and ambient temperature). 131 The ∆BC/∆CO from our continuous observations is derived and compared with the results 132 from previous studies on roadways and urban sites. In addition, the difference in 133 characteristics and sources of BC between two BC episodes classified based on 134 concentrations of  $PM_{2.5}$  and secondary  $SO_4^{2-}$  is discussed.

#### **Experimental**

#### **Real-time measurements of BC**

138 The site (35.11°N, 126.54°E) for real-time measurements of BC is about 70 m from a four-139 lane road in Gwangju, Korea, that carries heavy traffic, 0.5-0.6 km southwest of a major 140 express highway, and surrounded by commercial and residential areas (Figure 1). Gwangju is 141 situated in the southern part of Korea. It covers an area of 501.2 km<sup>2</sup> and has a population of 142 1.5 million people. In 2013 there were about 550,800 vehicles in Gwangju, 48.6% gasoline 143 powered and 39.1% diesel powered. The latter includes cars and light- and heavy-duty trucks. 144 About 85% of the total air pollution emissions in the city are attributed to vehicle sources. 145 Pollution has usually been influenced by long-range transport of anthropogenic and natural 146 aerosol particles from China.<sup>35-37</sup>

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147 Continuous 5-min measurements of BC in PM<sub>2.5</sub> were made using a single-wavelength 148 Aethalometer (@880nm, Magee Sci., AE16) between December 1, 2012 and February 28, 149 2013. Hourly average concentrations of  $PM_{2.5}$ , CO, NO, and NO<sub>x</sub> were measured using an 150 ambient air monitoring system from the Ministry of the Environment, at a location about 2.0 151 km from the roadside site. The recording of the CO data at the monitoring site was made with 152 a resolution of 100 ppbv. Hourly concentration of  $SO_4^2$  in PM<sub>2.5</sub> observed using an ambient 153 ion monitor (AIM, URG9000D, URG Corporation) at an air pollution monitoring supersite  $\sim$ 7

154 km northwest of our sampling site, was also used as auxiliary data in this study. Details of the 155 AIM monitor have been described by Park *et al.*<sup>38</sup>

156

#### 157 **Empirical compensation of aethalometer BC data**

158 The operating principle of the Aethalometer is based on the measurement of the optical 159 attenuation of a beam of light transmitted through a sample collected on a filter. It is assumed 160 that the optical attenuation (ATN) only increases due to light absorption by the accumulation 161 of BC on the filter.<sup>39</sup> Therefore, the BC concentration from the Aethalometer is determined by 162 the rate of change of attenuation, as below:

163

164 
$$
BC_{uncorrected} = \frac{A \times \Delta T N}{\sigma_{ATN} \times Q \times \Delta t}
$$
 (1)

165

166 where *A* is the collecting spot area (cm<sup>2</sup>),  $\sigma_{ATN}$  the optical absorption cross section ("specific 167 attenuation") of BC (m<sup>2</sup>/g),  $\triangle ATN$  is the change in attenuation during the time interval  $\triangle t$ ,  $Q$  is 168 the volumetric flow rate (l/min), and ∆*t* is the sampling time (min). The specific attenuation 169 coefficient used in this study was 16.6 m<sup>2</sup>/g (@  $\lambda$ =880nm), as recommended by the 170 manufacturer.

171 However, results from previous studies indicated that as the ATN increases, the 172 relationship between ATN change and BC concentration is not linear,  $40-42$  resulting in the 173 underestimated BC. In order to correct the underestimation of BC, the compensation 174 algorithm presented by Virkkula *et al.*<sup>42</sup> was used. The principle of the algorithm is briefly 175 introduced below. The BC concentration is actually related to the absorption and attenuation 176 coefficients by the following equation:  $BC = b_{ATN}/\sigma_{ATN}$ . The attenuation coefficient  $b_{ATN}$  (m<sup>-1</sup>) 177 may differ significantly from the true aerosol attenuation coefficient due to the particle 178 loading effect on the filter matrix. The corrected BC concentration is calculated from the 179 equation below:

180

181 
$$
BC_{corrected} = \frac{b_{ATN,corrected}}{\sigma_{ATN}} = (1 + k \cdot ATN) \cdot BC_{uncorrected}
$$
 (2)

182

183 where *k* is an empirically derived constant. It was suggested that the particle loading effect 184 varies with sampling locations, season, and aging degree of aerosols.<sup>43,44</sup> This approach has

185 also been applied in previous studies.<sup>31,44,45</sup> The magnitude of the particle loading effect could 186 be larger in fresh darker aerosols than in aged aerosols mixed with optically scattering 187 species,<sup>40</sup> and also larger in winter than in summer.<sup>31</sup> In this work, the *k* factor for December, 188 January, and February was 0.0046, 0.0031, and 0.0032, respectively, suggesting a greater 189 impact from fresh BC emissions in December than in other months.

190

#### 191 **Identification of source regions of BC using PSCF analysis**

202  $PSCF_{ij} = m_{ij} / n_{ij}$  (3)

192 To identify the potential source locations of BC, the potential source contribution function 193 (PSCF) was calculated using the concentrations of hourly BC data and backward trajectories 194 data. The PSCF indicates the conditional probability that an air mass with a certain level of 195 pollutant concentrations originated in a given grid cell.<sup>14,46-48</sup> A detailed description of PSCF 196 analysis can be found elsewhere.<sup>14</sup> Briefly, the PSCF value for the grid cell is calculated by 197 counting the trajectory segment endpoints that terminate within that grid cell. If the total 198 number of end points that fall in the  $i j<sup>th</sup>$  cell is  $n<sub>ii</sub>$  and there are  $m<sub>ii</sub>$  points for which the 199 observed aerosol parameter exceeds a criterion value selected for this parameter, the PSCF 200 value for the  $i j<sup>th</sup>$  cell can then be defined as

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204 Four-day backward trajectories at heights of 100-1500 m above ground level (at 100m 205 intervals) were calculated every 1 h during the BC pollution episodes identified (below) using 206 the HYSPLIT 4.8 (Hybrid Single-Particle Lagrangian Integrated Trajectory) model,<sup>49</sup> so that 207 the source regions of air masses reaching the sampling site (35°11′N, 126°54′E) for the 208 pollution episodes could be identified. In order to down-weigh high PSCF values with high 209 uncertainties in the cells with small values of  $n_{ij}$ , an arbitrary weight function,  $W(n_{ij})$ , was 210 multiplied into the PSCF value to better reflect the uncertainty in the values for these cells.<sup>46</sup> 211 The PSCF values were down weighted when the total number of end points per a particular 212 cell was less than about three times the average value of the end points per each cell:

213

214 
$$
W(n_{ij}) = \begin{cases} 1.0 & 48 \le n_{ij} \\ 0.7 & 5 < n_{ij} \le 48 \\ 0.5 & 2 < n_{ij} < 5 \\ 0.2 & n_{ij} \le 2 \end{cases}
$$
 (4)

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#### **Results and Discussion**

#### **PM2.5 BC concentrations**

218 Figure 2 shows the time series of 1-hr averaged BC concentrations over the measurement 219 period. The temporal profiles of hourly  $PM_{2.5}$  and  $SO_4^2$  concentrations are also shown in 220 Figure 2. The hourly average BC concentration was  $2.4\pm 2.2$  (0.2-17.9)  $\mu$ g/m<sup>3</sup>. The monthly 221 average BC concentration was 1.9 $\pm$ 1.9  $\mu$ g/m<sup>3</sup> in December, 3.0 $\pm$ 2.8  $\mu$ g/m<sup>3</sup> in January, and 222 2.1 $\pm$ 1.5  $\mu$ g/m<sup>3</sup> in February. Comparison of temporal profiles of BC and PM<sub>2.5</sub> concentrations 223 indicates that typically an increase in BC leads to an increase in  $PM_{2.5}$  concentration. 224 However, although  $PM_{2.5}$  concentration was observed to be low, extremely high BC 225 concentration (up to ~17.9  $\mu$ g/m<sup>3</sup>) occurred between December 13 and 16, 2012. During this 226 period, hourly PM<sub>2.5</sub> ranged from 17 to 51  $\mu$ g/m<sup>3</sup>. In this study, two BC episodes were 227 classified based on BC,  $PM_{2.5}$ , and  $SO_4^2$  concentration levels to investigate the difference in 228 evolution of BC between the two episodes. The first episode (episode "A") observed between 229 December 13 and 16, 2012, is associated with a high BC and low  $PM_{2.5}$  and  $SO_4^{2}$ <sup>-</sup> (1.4-9.3) 230  $\mu$ g/m<sup>3</sup>). The second episode (episode "B") observed between January 10 and 16, 2013, is 231 strongly related to high concentrations of BC,  $PM_{2.5}$  (up to 127  $\mu$ g/m<sup>3</sup>), and SO<sub>4</sub><sup>2</sup> (1.1-25.3) 232  $\mu$ g/m<sup>3</sup>), and also with severe regional haze lingering over northeastern China.<sup>50,51</sup> Detailed 233 discussion on the two BC episodes is below given.

234 Figure 3 shows the average diurnal BC concentration measured in December, January, 235 and February. Diurnal variations of CO, boundary layer height (BLH), ambient temperature, 236 and wind speed are also included in Figure 3. In this work, the hourly BLH in Gwangju are 237 determined by 1) morning and afternoon estimates of BLHs; 2) the local standard time (LST) 238 of sunrise and sunset; and 3) hourly estimates of stability. Morning and afternoon BLH 239 estimates were based on the algorithm described by Holzworth.<sup>52</sup> Briefly, in order to compute 240 the morning BLH, the minimum temperature is determined from 00:00 through 08:00 LST. 241 The morning BLH was estimated as the height above ground at which the dry adiabatic 242 extension of the morning minimum surface temperature plus 5 intersects the vertical 243 temperature profile observed at 12:00 GMT. A similar computation for the afternoon BLH 244 was made using the maximum surface temperature observed from 12:00 through 17:00 LST. 245 Hourly BLHs are interpolated from these twice per day estimates. As shown in Figure 3, 246 strong diurnal patterns were observed with peak concentrations of 2.6, 5.4, and 3.2  $\mu$ g/m<sup>3</sup> at 247 08:00-09:00 in December, January, and February, respectively. The BC concentrations were

248 observed to be the lowest at midday. The evening peaks occurred between 19:00 and 22:00 249 depending on the month. Diurnal BC was highly correlated with CO with  $R^2$  of 0.52, 0.87, 250 and 0.82 in December, January, and February, respectively, suggesting their impact from 251 common sources, e.g., traffic emissions. The abundance of BC with time in the urban 252 atmosphere is influenced not only by combustion activities, but also by dispersion condition. 253 Typically, BC has a tendency to decrease when traffic emissions are reduced. As shown in 254 Figure 3(c), boundary layer height in February was higher than in December and January, 255 indicating a larger dilution effect of air pollutants in February. The higher boundary layer 256 height in February than in other months is attributed to higher ambient temperature, which 257 enhances convective activity. The development of a well-mixed layer height started at 08:00, 258 reached maximum values around 13:00, and decreased after 17:00. Traffic congestion, along 259 with stable atmospheric conditions with low mixing layer heights during the morning and 260 evening hours, may result in significantly enhanced BC concentrations. The dilution effect 261 resulting from the development of the planetary boundary layer during the day prevented the 262 BC concentrations from becoming very large. Also, for the measurement period, higher wind 263 speeds have a strong dilution effect on BC concentrations during the daytime (Figure 3(e)). 264 However, this is no longer the case just before sunrise and after sunset, when the combination 265 of dense traffic and a low boundary layer is responsible for the observed sharp increase in BC 266 concentration, as shown in Figure 3(a). The morning peak of BC was attributed to the 267 combined effect of traffic emissions and lower mixing layer height and wind speed. The 268 surface inversion after sunset resulted in the accumulation of BC, causing increased BC 269 concentrations in the evening. Similar BC diurnal trends have been found at other urban 270 sites.<sup>29,44,53-55</sup> On a monthly basis, diurnal ambient temperatures and wind speeds in January 271 were lower than those in December and February, suggesting that ambient temperature and 272 wind speed could be possible factors to the increased diurnal BC concentrations in January. 273 Also long-range transport of BC aerosol from northeastern China<sup>50,51</sup> was likely another cause 274 of the enhanced BC concentrations in January at the site. This is clearly supported by PSCF 275 result for BC (see Figure 9).

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#### **Impact of wind speed and wind direction on BC concentrations**

278 Meteorological parameters play important roles in determining the concentration levels of air 279 pollutants in urban areas.<sup>29</sup> Among the meteorological parameters, wind speed is an important 280 factor controlling the BC concentrations.<sup>54,56,57</sup> The dependence of BC concentration on local 281 wind speed is shown in Figure 4. In order to investigate the impact of wind speed on BC,

282 winds were divided into eight categories based on speed: 0-1.0, 1.0-1.5, 1.5-2.0, 2.0-2.5, 2.5- 283 3.0, 3.0-3.5, 3.5-4.0, and >4.0 m/s. Very high BC concentrations were observed at wind 284 speeds <1.5 m/s, suggesting accumulation of BC under poor dispersion conditions. As the 285 wind speed increases, BC concentrations exhibited a gradually decreasing trend due to 286 stronger BC dispersion ( $R^2$ =0.92, p<0.0001). Therefore, accumulation under weak wind 287 conditions and a strong dilution effect in the daytime suggests the predominance of local 288 sources in increased BC concentrations. Similar results were obtained at other urban 289 sites.<sup>55,58,59</sup> Figure 5(a) shows the directionalities of hourly BC concentrations at wind speeds 290 >1.0 m/s. Since the identification of a source location is not well determined at low wind 291 speeds, the BC data obtained at wind speeds <1.0 m/s were excluded from the analysis. As 292 shown in Figure 5(a), hourly BC concentrations from wind directions of  $20-50^{\circ}$  and  $220-250^{\circ}$ , 293 i.e. directions in which the Honam express highway and local traffic roads, respectively, are 294 located (see Figure 1), were higher than those from other wind directions, indicating that 295 higher BC concentrations from the wind sectors were likely due to the influence of road 296 traffic emissions.

297 A conditional probability function (CPF) was utilized to identify likely locations of local 298 emission sources affecting concentrations of BC at our measurement site. BC data obtained at 299 wind speeds < 1.0 m/s were excluded in the CPF calculation. Figure 5(b) shows the CPF 300 concentration plots of BC when the  $75<sup>th</sup>$  percentile of their concentrations was set as the 301 threshold criterion. The emission sources are likely located in the directions that have high 302 conditional probability values. The CPF plot for the BC data indicates the major BC 303 contributions to the site coming from wind directions between 60 and 90° and between 210 304 and 240°. However, due to a very low frequency (<1%) observed when winds blew from the 305 direction of 60-90°, it is suggested that sources could likely be from the southwesterly (210- 306 240°) emissions, i.e., road traffic emissions, which is similar to the directionality of BC 307 shown in Figure 5(a).

#### **Correlation between BC and CO concentrations**

310 It has been found that BC and CO in urban sites are strongly correlated since road traffic 311 activity is a major source of both BC and  $CO$ .<sup>12,13,27,29,30,31</sup> The emission ratios of BC/CO can 312 vary significantly with the type of combustion source and the age and condition of the vehicle  $f$  fleet.<sup>21,22</sup> For example, the BC/CO ratios are known to be much higher in emissions from 314 diesel engines than those from gasoline engines. Therefore the BC/CO ratios can be used to

315 distinguish the various sources. Previous studies in urban sites also suggest that atmospheric 316 BC concentrations are predominantly controlled by emissions from heavy-duty diesel vehicles.<sup>28,29,31</sup> In addition, BC emissions have been found to be significant from gasoline-318 powered vehicles under certain conditions, such as cold-start ignition, hard acceleration, and 319 fuel-rich combustion.<sup>23,60</sup> Figures 6(a)-6(b) show the correlations between BC and CO for 320 daytime and nighttime periods. In this study, daytime was defined as the hours between 321 sunrise (07:00) and sunset (17:00) and nighttime as from just after sunset to just before 322 sunrise. Only the BC data acquired at wind speeds  $\leq 2.0$  m/s were selected because air 323 pollutants are more homogeneously distributed across the road and likely to be associated 324 with local emissions rather than long-range transport.<sup>61</sup> Also for further perspective, the ∆BC/∆CO (the slope of BC and CO correlation) ratio derived from this study is compared 326 with the results reported for other urban areas (Table 1). As shown in Figure 6, the BC 327 concentrations were well correlated with CO during daytime and nighttime with  $R^2$  of 0.55 328 and 0.59, respectively, suggesting the influence of two species from common sources, i.e., 329 motor vehicles. EC is known to be correlated with CO in other urban areas as well,  $^{12,13,26,29}$ 330 because both species are emitted from the incomplete combustion of fossil fuels. The 331 correlation slope of ΔBC/ΔCO using all data at wind speeds ≤2.0 m/s was 0.0064  $\mu$ g/m<sup>3</sup>/ppbv  $(0.332)$  (R<sup>2</sup>=0.57). The ∆BC/ $\triangle$ CO ratio in this study is comparable to, or greater than those at the 333 roadside and urban sites (Table 1). The  $\Delta BC/\Delta CO$  ratio (unit in μg/m<sup>3</sup>/ppbv) was 0.0056 in 334 three sites in Tijuana, Mexico,  $30\,0.0047$ -0.0090 at a roadside site in Beijing, China,  $31\,0.0054$ -335 0.0079 in Guangzhou, China,  $^{29,53}$  0.0035-0.0058 in Beijing, China,  $^{28}$  0.0058 in regional and 336 urban industrial emissions from Dallas and Houston, USA, $^{62}$  0.001 in Mexico city, Mexico,  $^{63}$ 337 and 0.0057 in Tokyo and 0.0063 in Nagoya, Japan.<sup>27</sup> It was demonstrated that the  $\Delta BC/\Delta CO$ 338 ratios at roadway sites were typically higher due to considerable emissions from road traffic, than those at the urban and rural sites.<sup>31-34</sup> Also, as the fraction of heavy-duty diesel vehicles 340 (HDDVs) near the roadside site increases, the ratio had a tendency to increase. Results from 341 previous urban measurements indicated higher ratios in summer than in winter,  $28,31$  and higher 342 during the night than during the day at the roadside site due to a much higher proportion of HDDVs during the night.<sup>27,31</sup> These results are expected because traffic emissions are an 344 important source of BC and CO in these urban regions. However the ∆BC/∆CO ratio in this 345 study was 0.0063  $\mu$ g/m<sup>3</sup>/ppbv (R<sup>2</sup>=0.55) during the day and 0.0065  $\mu$ g/m<sup>3</sup>/ppbv (R<sup>2</sup>=0.59) 346 during the night, suggesting no significant difference in the contribution of diesel vehicles to 347 BC emissions from total road traffic flows between the day and the night. As shown in Figure

348 3(f), the diurnal variation of the ∆BC/∆CO ratios was similar to those of BC and CO, with 349 morning and evening peaks. The decrease in BC to a large extent in the afternoon is related to 350 the decrease in CO (see Figure 3(a) and (b)), leading to the decreased ∆BC/∆CO ratio in the 351 afternoon. Considering that BC/CO emission ratios for vehicles are lower under the cold 352 conditions,<sup>27,28</sup> the higher  $\Delta$ BC/ $\Delta$ CO ratio in February than in other months (Figure 3(f)) is 353 due to the decreased CO emissions with increasing ambient temperature (see Figure 3(b) and 354 (d)). Generally, the ∆BC/∆CO ratios are considered as a gauge of the fraction of diesel 355 vehicles to all the types of vehicles because CO emissions are dominated by gasoline vehicles 356 while BC is mostly emitted from diesel vehicles. Thus, emission factors and traffic densities 357 of gasoline and diesel vehicles are necessary to better understand the diurnal cycles of the  $\Delta$ BC/ $\Delta$ CO ratios at the study site. Average BC and CO concentrations were 3.1 µg/m<sup>3</sup> and 359 869 ppbv for the weekdays and 2.6  $\mu$ g/m<sup>3</sup> and 797 ppbv for the weekends, respectively. The 360 BC correlates well with CO during the weekdays with a slope of 0.0065  $\mu$ g/m<sup>3</sup>/ppbv and an 361 R<sup>2</sup> of 0.54, and during the weekends with a slope of 0.0071  $\mu$ g/m<sup>3</sup>/ppbv and an R<sup>2</sup> of 0.68. T-362 test for the BC/CO ratio indicates also that the BC/CO ratios were not statistically different 363 between the weekdays and weekends  $(p > 0.05)$ .

#### **Investigation on the origin of BC during two episodes**

366 Two BC pollution episodes were discussed to investigate the difference in the evolution of 367 observed BC. Figure 7 shows temporal variations of hourly BC, wind speed, NO, and NO<sub>x</sub> for 368 two episodes. The relationship between  $NO/NO<sub>x</sub>$  and  $CO/NO<sub>x</sub>$  is also shown. In addition, 369 temporal profiles of hourly  $SO_4^2$  and  $NO_3$  concentrations, SOR, and NOR are shown in 370 Figure 8. SOR and NOR indicate the sulfur oxidation ratio (= $SO_4^{2-}/(SO_4^{2+}+SO_2)$  and nitrogen 371 oxidation ratio  $(=NO_3<sup>-1</sup>)(NO_3<sup>-1</sup> + NO_2)$ , respectively, and may be used to estimate the 372 contribution of  $SO_4^2$  and  $NO_3$  formation from  $SO_2$  and  $NO_2$ .<sup>38,66</sup> The PSCF maps for BC for 373 two episodes are shown in Figure 9.

374 The hourly ambient temperature ranged from -4.5 to 11.5°C during episode "A" and 375 from -7.3 to 7.3°C during episode "B". The respective average relative humidity (RH) was 376 77.5% (36.0-98.0%) and 66.3% (28.0-94.0%). High RH for episode "A" was attributed to rain 377 on December 14. It started raining at 07:00 and stopped at 21:00 (RH=95-98%) on December 378 14 with a precipitation of 23 mm. Wind speeds, for the periods when BC concentrations were 379 relatively enhanced, were mostly <1.0 m/s and <1.3 m/s for episodes "A" and "B", 380 respectively. The relatively low wind speed and temperature during the two BC episodes may

381 account for the higher accumulation of locally emitted air pollutants and the enhanced 382 formation of secondary aerosols. In addition, the high RH in episode "B" under no rain 383 conditions would accelerate the aqueous phase oxidation of secondary aerosols, which 384 resulted in increased  $SO_4^2$  and  $NO_3$  concentrations. As shown in Figure 6(c) and 6(d), the 385  $\triangle$ BC/ $\triangle$ CO slope was 0.0076 (R<sup>2</sup>=0.74) and 0.0061 µg/m<sup>3</sup>/ppbv (R<sup>2</sup>=0.71) during episodes 386 "A" and "B", suggesting no significant difference in the ∆BC/∆CO slope between the two 387 episodes, but a lower slope in episode "B" was likely attributed to both low contribution of 388 diesel vehicles to BC emissions and long-range transport of combustion emissions from 389 northeastern China (see Figure 9). The BC/CO ratio during the transport decreases due to the 390 shorter lifetime of BC compared to CO, resulting in the decrease in ∆BC/∆CO ratio during 391 episode "B".

392 Diurnal BC peak concentrations over the two episodes occurred during morning and 393 evening rush-hour periods. As the boundary layer rose throughout the morning and early 394 afternoon, the BC concentrations tended to decrease. Close inspection of Figure 7 revealed 395 low BC background levels during episode "A" with values of 0.5-1.5  $\mu$ g/m<sup>3</sup>. During episode 396 "B", BC concentration exceeding 4.0  $\mu$ g/m<sup>3</sup> lasted about 4 days and relatively high BC 397 background levels were maintained. The temporal profile of BC concentration for episode 398 "B" exhibited a continuous increase in the BC background level from 0.5-1.0  $\mu$ g/m<sup>3</sup> on 399 January 11 to 2.5-4.0  $\mu$ g/m<sup>3</sup> from January 12 through 15, probably due to long-range transport 400 of polluted air masses (see PSCF map in Figure 9) and air stagnation conditions. The highest 401 BC concentrations during episodes "A" and "B" were 17.9 and 15.7  $\mu$ g/m<sup>3</sup>, respectively, 402 which were observed on the evening of December 14 and in the morning of January 12. As 403 shown in Figure 7, temporal variations of BC throughout the episodes were quite similar to 404 those of NO, which is a primary species from combustion sources, with  $R^2$  of 0.79 and 0.62, 405 respectively. For the time period when BC concentration peaked, NO and  $NO<sub>x</sub>$  for episodes 406 "A" and "B" were 390 and 481 ppby, and 193 and 270 ppby, respectively, indicating  $NO/NO<sub>x</sub>$ 407 of 0.73 and 0.71. Respective NO/NOx was in the range of 0.63-0.81 and 0.48-0.73. Both high NO/NO<sub>x</sub> and a strong correlation of BC with NO suggest that the BC observed during the two 409 BC episodes was significantly associated with locally produced emissions. In order to further 410 examine the influence of local emissions on the two BC episodes, the  $NO/NO<sub>x</sub>$  against the 411 CO/NO<sub>x</sub> was shown in Figure 7. Previous studies have indicated that  $CO/NO<sub>x</sub>$  could be used 412 to examine the evolution of the aerosol chemical composition with respect to the age of the air 413 masses as a proxy for proximity to major pollution sources and atmospheric processing.<sup>38,67,68</sup>

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414 For example,  $CO/NO<sub>x</sub>$  ratios of 5–15, 10–50, and >50 were classified as urban, near-source 415 and aged regional conditions, respectively. As shown in Figure 7, the NO/NO<sub>x</sub> for episodes 416 "A" and "B" decreased exponentially with increasing the CO/NO<sub>x</sub> with R<sup>2</sup> of 0.67 and 0.52, 417 respectively. The respective CO/NO<sub>x</sub> was in the range of 8-40 and of 8-80. The  $R^2$  value and 418 CO/NOx suggest that the BC aerosols observed during episode "A" were more influenced by 419 local emissions (urban + near sources) than those during episode "B".

420 • As shown in Figure 8, higher concentrations of  $SO_4^2$  and  $NO_3$  were observed during 421 episode "B" than episode "A".  $SO_4^2$  and  $NO_3$  concentrations were 3.3 (1.4-9.3) and 6.3 (2.5-422 11.6)  $\mu$ g/m<sup>3</sup> during episode "A" and 9.9 (1.1-25.3) and 12.1 (1.0-31.1)  $\mu$ g/m<sup>3</sup> during episode 423 "B", respectively. Also higher SOR and NOR ratios were observed in episode "B". SOR and 424 NOR were 0.21 (0.09-0.37) and 0.11 (0.04-0.26) during episode "A" and 0.29 (0.10-0.53) and 425 0.14 (0.03-0.36) during episode "B", respectively, indicating further oxidation of the aerosols 426 collected for episode "B". Results of t-test for SOR and NOR indicate that the SOR (*p* < 427  $0.001$ ) and NOR ( $p < 0.01$ ) were statistically different between the episodes. The PSCF map 428 for BC for episode "A" shows clearly the influence of local emissions, while the PSCF map 429 for BC during episode "B" indicates that the long-range transport of air pollutants over 430 northeastern China could be one possible source of BC and secondary inorganic species 431 observed for episode "B", as well as the local emissions.

432 In summary, the majority of the BC observed during episode "A" originated locally, while 433 the BC observed during episode "B" could reflect long-range transported aerosols, as well as 434 local emissions.

#### **Summary and Conclusions**

437 To investigate the characteristics and sources of BC particles, 5-min integrated BC 438 concentration was observed with a single-wavelength Aethalometer at a site close to a 439 roadway in Gwangju, Korea, during winter (December 2012 through February 2013). BC and 440 CO concentrations peaked during the morning and evening coinciding with rush-hour traffic 441 and their magnitude was influenced by meteorological parameters, such as boundary layer 442 height, wind speed, and ambient temperature. The BC concentrations observed under weak 443 wind conditions (wind speed  $\leq$ 2 m/s) were highly correlated with CO mixing ratios with a 444 ∆BC/ $\Delta$ CO ratio of 0.0063 (R<sup>2</sup>=0.55, p<0.01) and 0.0065 µg/m<sup>3</sup>/ppbv (R<sup>2</sup>=0.59, p<0.01) for 445 daytime and nighttime periods, respectively, suggesting no big difference in the fraction of

446 diesel vehicles to total road traffic flows between day and night. The slopes of ∆BC/∆CO in 447 winter at the study site were comparable to or greater than those in previous studies.

448 Two BC episodes, "A" and "B" over the entire study period, were classified based on BC, 449 PM<sub>2.5</sub>, and secondary  $SO_4^2$  concentrations. BC background levels were observed to be low 450 (0.5-1.5  $\mu$ g/m<sup>3</sup>) during episode "A" and high (2.5-4.0  $\mu$ g/m<sup>3</sup>) during episode "B", suggesting 451 the influence of long-range transport of polluted air masses and/or air stagnation conditions 452 during the episode "B" period. Close examination of temporal profiles of BC, NO, NO<sub>x</sub>, and 453 wind speed, relationship between  $NO/NO<sub>x</sub>$  and  $CO/NO<sub>x</sub>$ , sulfur oxidation ratio, nitrogen 454 oxidation ratio, and PSCF maps for BC indicate that the BC observed during episode "A" was 455 most likely attributed to local emissions rather than regional contributions, while local sources 456 and regional transport of air masses contributed to the enhanced BC concentrations during 457 episode "B".

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#### 551 552 Table 1. Comparison of ∆BC/∆CO ratios with other urban regions



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Figure 1. Location of BC measurement site in Gwangju









for BC at 75% percentile

 

 





 



852 Figure 7. Temporal variations of BC, wind speed, NO, and NOx, and relationship between NO/NO<sub>x</sub> and CO/NO<sub>x</sub> 6853 for BC episode "A" (left graphs) and "B" (right graphs) for BC episode "A" (left graphs) and "B" (right graphs)





