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1 2	Importance of the hydrogen route in up-scaling electrosynthesis for microbial CO ₂ reduction
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17	Broader context
18	In the context of CO ₂ conversion to fuels and chemicals, the association of electrochemistry
19	with microbial catalysis has opened up promising new routes to reduce CO ₂ to acetate and
20	other multi-carbon compounds. The present paper points out that some of these electro-
21	microbial processes are based on two consecutive steps: firstly the electrochemical production
22	of hydrogen by water electrolysis and, secondly, the reduction of CO ₂ by microbial species
23	that use the hydrogen produced. In consequence, the implementation of homoacetogenic
24	microorganisms with direct CO_2 and hydrogen gas supply should now be considered as a
25	worthwhile strategy for CO ₂ conversion. Hydrogen can be produced in optimal conditions by
26	conventional electrolysis, preferentially fed with electrical energy harvested using renewable
27	strategies, and then used to drive CO ₂ conversion in an H ₂ -CO ₂ gas-liquid bioreactor.
28	
29	Abstract

- potentials, -0.36 V and -0.66 V vs. SHE, using biological sludge as the inoculum. Both
- 32 potentials were thermodynamically appropriate for converting CO_2 to acetate but only -0.66 V

Microbial electrochemical reduction of CO2 was carried out under two different applied

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33 enabled hydrogen evolution. No acetate production was observed at -0.36 V, while up to 244 34 ± 20 mg/L acetate was produced at -0.66 V vs. SHE. The same microbial inoculum implemented in gas-liquid contactors with H₂ and CO₂ gas supply led to acetate production of 35 36 2500 mg/L. When salt marsh sediment was used as the inoculum, no reduction was observed 37 in the electrochemical reactors, while supplying H_2+CO_2 gas led to formate and then acetate production. Finally, pure cultures of Sporomusa ovata grown under H₂ and CO₂ gas feeding 38 showed acetate production of up to 2904 mg/L, higher than reported so far in the literature for 39 40 S. ovata implemented in bioelectrochemical processes. Unexpected ethanol production of up 41 to 1411 mg/L was also observed. All these experimental data confirm that hydrogen produced 42 on the cathode by water electrolysis is an essential mediator in the microbial electrochemical 43 reduction of CO₂. Implementing homoacetogenic microbial species in purposely designed gas-liquid biocontactors should now be considered as a relevant strategy for developing CO₂ 44 conversion. 45

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Keywords: Carbon dioxide, electrochemical reduction, microbial electrosynthesis,
bioelectrochemical system, hydrogen.

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51 <u>1. INTRODUCTION</u>

Bulk chemicals and liquid fuels are currently produced almost exclusively from petrochemical 52 53 feedstock. In the light of emission reduction targets, the production of chemicals from CO_2 or other renewable resources may play an important role in decreasing our environmental 54 impact. There are several advantages to using CO2 as a reactant, such as unlimited availability 55 (atmosphere, waste gas, etc.), land-independence, ease of handling and limited toxicity¹. In 56 57 this framework, electrochemical processes offer various options for converting CO₂ to fuels 58 and commodities. Reducing CO_2 by electrochemistry is a way of converting electrical energy 59 harvested with renewable strategies, such as solar or wind, into chemical forms that can be 60 stored and then distributed on demand. Various electro-catalysts have been designed with some success for the reduction of CO_2 to methanol or formate ², including enzymes ³. More 61 specific attempts have also been reported, including the reduction to H₂+CO syngas mixtures 62 ⁴ and even reduction to carbon by molten salt electrolysis ⁵. 63

64	Over the past decade, microbial electrosynthesis has emerged as an additional option for the
65	electro-reduction of CO ₂ to fuels and commodities ^{6,7} . In this case, microorganisms act as an
66	electro-catalyst by taking electrons from the cathode to reduce CO ₂ . Various multi-carbon
67	products have thus been synthesized from CO_2^{8} , acetate being the most frequently obtained

68 (Table 1).

69 Table 1. Summary of biocathodes reported for reduction of carbon dioxide to acetate and other products.

Cathodo	Polarizatio)	Electrode	Catholyta	Main	Max production	Max	Other	
Cathode	n (V vs.	(V vs. Biocatalyst		Catholyte	oryte Main	rate	production	products	Ref
material	SHE)		(cm ²)	volume (L)	product	(mM.day⁻¹)	(mg/L)	detected	
		Sludge from		-					-
Plain graphite	No	phototrophic	8	0.036	electricity	(750 mW/m^2)	-	-	9
felt		anode			,	(,			
Carbon cloth		Chlorella			electricity				
+ Pt catalyst	No	vulgaris	48	0.22		(5.6 W/m ³)	-	-	10
		Sporomusa			· algue			020	
onpolisneu	-0.4	ovata	65	0.2	acetate	0.8	300	0x0-	8
graphile slicks		Ovala						Dulyrale	
Unpolished	-0.4	Clostrialum	65	0.2	acetate	0.08	33	OXO-	11
graphite sticks		Ljungdahlii						butyrate	
Unpolished	-0.4	Sporomusa	65	0.2	acetate	0.04	4 16	OXO-	11
graphite sticks		sphaeroides						butyrate	
Chitosan coated	0.4	Sporomusa	47	0.2	acetate	1.07	600		12
carbon cloth	-0.4	ovata	47	0.2	acelale	1.07	000	-	
Granular						4	1710	CH4, H2	
graphite	-0.59	Brewery waste	n.a.	0.075	acetate				13
		Enriched outure							
Granular	0.50			0.075	opototo	17.05	10500		14
graphite	-0.59	from previous	n.a.	0.075	acetate	17.25	10500	Π2	
		MES							
Stainless steel	-0.4	Geobacter	2.5	0.45	glycerol	0.67	800	succinate	15
		sulfurreducens							
Carbon felt	-0.7	Activated sludge	20	0 15	acetate	0.38	114	CH₄ H₂	16
Carbon lok	-0.9	. istrated bludge	20	0.10	acotato	2.35	n.a	0114, 112	
Carbon falt	-0.750		40	0.245	agotata	0	0		17
Carbon leit	-0.950	Activated sludge	49	0.245	0.245 acetate	1.6	96	СП4, П2	
Nickel		0							
nanowire-	-0.4	Sporomusa ,	40	0.2	acetate	1.12	540	-	18
coated graphite		ovata							
	Cathode								
Graphite plates	as	Sporomusa	Sporomusa	0.25		0.92	180	-	19
Anode poised at	auxiliarv	ovata	46		acetate				
+0.5 V	electrode								

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Carbon alath	0.0	Clostridium sp.	9	9 0.12	acetate	2.05	1200	ethanol	20
Carbon cloth	-0.8				butyrate	0.37	330	butanol	
	-0.6 Enriched culture			acetate	15	5220	formate		
Graphite		Enriched culture		a 0.05. acetat hydrog. acetat	hydrogen	1000	-	butyrate	21
granules	0.0	from brewery	n.a		acetate	52	8770	formate	
	-0.8				hydrogen	1300	-	butyrate	
		Enriched							
Graphite rod	-0.4	homoacetogenic	30	0.5	acetate	9.5	4127	-	22
		culture							
Assembly of	-0.9	Mixed culture	10	0.4	Acetate	1.3	630	H2 / CH4	
graphite felt and	0.0			011					23
stainless steel	-0.7	C.liunadahlii	15	0.2	Acetate	0.94	559	Ethanol /	
	511						300	H2	

70

Pure cultures and multispecies inocula have both been shown to be capable of catalysing the 71 electrochemical reduction of CO₂. Among the pure cultures, Sporomusa ovata is the most 72 efficient species reported so far. Using a surface-modified carbon cathode polarized at -0.4 V 73 vs. SHE, Zhang et al. ¹¹ obtained 600 mg/L of acetate after 9 days and Nie et al. ¹⁸ 540 mg/L 74 75 of acetate in 8 days. Multispecies inocula have given similar or better performance but it is 76 difficult to compare the various studies reported as they were carried out in different 77 conditions and at different applied potentials. The highest acetate production rate was obtained by Marshall et al.¹⁴, who used granular graphite as the cathode and an enriched 78 culture from a previously established acetogenic biocathode as the inoculum. Their cathodes 79 80 were polarized at -0.59 V vs. SHE, and rates of acetate production reached 17.25 mM/day with accumulation to 10500 mg/L over 20 days. Hydrogen was also produced by the cathode, 81 82 at rates reaching 100 mM/d.

83 The electron transfer (ET) pathway from the cathode to the microbial cells that achieve CO_2 reduction has not been clearly deciphered yet. It has been speculated that microbial cells could 84 gain electrons from the cathode by direct ET through membrane-bound redox systems⁶. 85 Similar direct ET from solid electron donors to microbial species has been identified in 86 87 natural processes, especially in acidic environments such as mine drainage systems, where oxidation of solid iron (II) and sulfur are dominant microbial activities. For example, 88 89 Acidithiobacillus ferrooxidans is commonly found in deep caves or acid mine drains and thrives in a pH range of 1.5 - 2.5. It has been shown to be able to accept electrons directly 90 from solid Fe(II) minerals (e.g. pyrite) through c-type cytochrome Cyc2 contained in its outer 91

membrane ²⁴. Electrons are thus extracted from insoluble minerals and transferred to oxygen,
used as the final electron acceptor, which results in minerals being converted to their soluble
state.

On the other hand, mediation by hydrogen has also often been suggested. The cathode
produces hydrogen by water electrolysis and the microbial species use hydrogen to reduce
carbon dioxide to acetate ^{7,16,17}. In this case, electrosynthesis proceeds in two consecutive
steps: firstly, the electrochemical production of hydrogen by water electrolysis and, secondly,
the microbial reduction of CO₂, which uses hydrogen.

100 Actually, microbial reduction of CO_2 to acetic acid using hydrogen as an electron donor is a

well-known reaction called homoacetogenic fermentation 25 . First reported by Fischer et al. 26 ,

the discovery was followed by the isolation of the acetogenic strain *Clostridium aceticum* 27 ,

an obligate anaerobic species, which grows either chemolithotrophically with H_2 and CO_2 or

104 chemoorganotrophically with compounds such as fructose, malate or pyruvate. Unfortunately,

105 *C. aceticum* was lost soon after the third paper concerning it was published in 1948 28 . All

attempts to re-isolate a chemolithotrophic acetogen failed until the purification of

107 *Acetobacterium woodii*²⁹.

In the context of microbial electrochemical conversion of CO_2 , it is still difficult to establish whether ET is achieved by a direct pathway or indirectly by homoacetogenic species that use hydrogen produced at the cathode. This is obviously an important fundamental question, the answer to which should considerably impact the way the technology develops toward largesized industrial equipment.

113 The purpose of the present work was to assess the possible involvement of the hydrogen route

in the microbial electrochemical reduction of CO₂. Two multispecies inocula were used to

form microbial cathodes under two different applied potentials: -0.36 and -0.66 V vs. SHE.

Both potentials were thermodynamically low enough to ensure CO₂ transformation to acetate,

117 but -0.36 V vs. SHE did not allow hydrogen evolution, while -0.66 V vs. SHE did. Stainless

steel was used as the cathode material because it has been shown to be more effective than

119 carbon in achieving fast cathodic ET with microbial cells, particularly with *Geobacter*

sulfurreducens ^{30,31}. The same microbial systems were then implemented in gas-liquid

121 contactors and were fed with hydrogen gas in order to assess their capacity to use hydrogen in

the absence of an electrode. Finally, similar hydrogen supply tests were performed with pure

123 cultures of *Sporomusa ovata* to evaluate the capacity of this species to use hydrogen

124 compared to the performance reported in the literature for the electrochemical process. All

these experimental data consistently supported the involvement of the hydrogen route in the microbial electrochemical reduction of CO₂ to acetate. Implementing acetogenic microbial

127 species in purposely designed gas-liquid contactors should now be considered as a relevant

- way to develop and scale-up the CO_2 conversion systems that have been revealed by
- 129 microbial electrosynthesis.
- 130
- 131

1 <u>2. MATERIALS AND METHODS</u>

132

133 **2.1 Medium composition**

134 <u>Medium 1</u> was prepared as already described ³⁰. It contained: KCl (0.1 g/L), NaH₂PO₄ (0.6 135 g/L), NH₄Cl (1.5 g/L), and NaHCO3 (2.5 g/L). The solution was sterilized in an autoclave 136 (121°C for 20 minutes) and a trace mineral mix (10mL/L, ATCC MD-TMS) and a vitamin 137 mix (10 mL/L, ATCC MD-VS) were then added.

138 <u>Medium 2</u> consisted of medium 1 with the addition of NaCl (45 g/L), MgCl₂ (0.1 g/L) and 139 CaCl₂ (0.01 g/L).

140 **2.2 Source of microorganisms**

141 Two different environmental samples were used as the inoculum. Biological sludge was

142 collected from a treatment plant (Suez Environnement, Evry, France). Prior to the

experiments, the inoculum was acclimated to an H_2 and N_2 -CO₂ (80-20%) atmosphere for 5

144 days at 30°C with the objective of favouring the development of homoacetogenic bacteria.

145 HPLC analyses detected acetic acid at 1980 mg/L and butyric acid at 23 mg/L in the inoculum

after the 5 days of acclimation. This inoculum was always implemented with medium 1. The

microbial electrochemical reactors were inoculated with 20 mL (3.3% v/v) added into the

cathodic compartments. The gas-liquid contactors had 7 mL inoculated into the 210 mL

149 medium.

150 Sediment collected from a salt marsh of the Mediterranean Sea (Gruissan, France) was used

as the second source of microorganisms. This inoculum is known to contain halotolerant

152 electroactive bacteria that have succeeded in forming efficient microbial bioanodes in

solutions containing large amounts of salt, such as 45 g/L NaCl³². This inoculum was always

implemented with medium 2. The microbial electrochemical reactors were inoculated with 60
mL (10% v/v) in the cathodic compartments and 21 mL was injected into the 210 mL medium
of the gas-liquid contactors. HPLC analyses detected lactic acid (370 mg/L), formic acid (91
mg/L) and butyric acid (83 mg/L) in this inoculum.

158 **2.3 Design and operation of microbial electrochemical reactors (MERs)**

The microbial electrochemical reactors (MERs) were two-chamber H-shaped electrochemical 159 reactors (Figure 1.A), separated by a 7 cm² cation exchange membrane (Fumasep® FKE). A 160 cation exchange membrane was chosen to avoid the migration to the anode compartment of 161 acetate or other anionic compounds possibly produced. The two compartments, made with 162 163 modified Schott glass (Duran) were of equal volume and dimensions (diameter 101 mm height 152 mm). Each compartment was filled with 600 mL of medium with a 300 mL 164 headspace. The cathode was a 7 cm * 3 cm stainless steel plate, connected with a 2-mm-165 diameter screwed titanium wire. The stainless steel electrodes were cleaned with ethanol-166 acetone mixture 50-50% (v/v), then with a fluoronitric acid solution 2-20%, and finally 167 thoroughly washed with distilled water. The anode was a 15 cm² platinum grid, first cleaned 168 by heating to red-hot in a flame. The reference electrode was a saturated calomel electrode 169 (SCE, Radiometer Analytical, +0.241 V vs. SHE). It was placed in the cathode compartment 170 with the tip as close to the surface of the cathode as possible (less than 0.5 cm). Four holes 171 were drilled in the cap that covered each electrochemical compartment; they were used to 172 introduce the electrodes and the tubes for gas bubbling. 173



174

175 Figure 1. Experimental set-up of (A) the Microbial Electrochemical Reactor - MER and (B) the Gas-Liquid Contactor - GLC

176

177 The experiments were conducted under potentiostatic control (chronoamperometry) with a

178 potentiostat (VSP, Bio-logic SA) interfaced with a computer (software EC-Lab). Cathodes

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were polarized at -0.60 or -0.90 V vs. SCE, i.e. -0.36 and -0.66 V vs. SHE. The current was recorded every 10 minutes. Chronoamperometry was sometimes interrupted to perform cyclic voltammetry at low scan rate (1 mV/s) starting from the polarization potential and in the range from -0.76 to +0.04 V vs. SHE. All experiments were conducted in a stove thermostated at 30°C. Each compartment was continuously flushed with N₂-CO₂ gas (80-20) to maintain anaerobic conditions. In each case, the pH of the cathodic compartment stabilized at around 7.1. Volumes of 1 mL were sampled from the cathode compartment and filtered at 0.2 µm for

186 HPLC analysis.

187 Experiments were systematically carried out in duplicate. Four MERs were filled with

188 medium 1 and inoculated with acclimated biological sludge. For two of them, the cathode was

initially polarized at -0.36 V vs. SHE for 40 days and then switched to -0.66 V vs. SHE for 26

- 190 days. For the other two, the cathode was polarized at -0.66 V vs. SHE from the beginning and
- 191 for 40 days.

192 Four additional MERs were filled with medium 2 and inoculated with salt marsh sediment

- inoculum. Two were run with the cathodes polarized at -0.6 V vs. SHE for 30 days, while the
- 194 cathodes of the other two were polarized at -0.66 V vs. SHE.

195 2.4 Gas-Liquid Contactor (GLC)

196 Experiments without electrodes were run with the same media and inocula in gas-liquid 197 contactors (GLCs) containing 210 mL medium (Figure 1.B). Washing bottles were used with a contact medium column height of 120 mm. The gas feed tube of each contactor was 198 immersed to 7 mm from the bottom and the bubbles came freely out from the outlet of the 199 tube. In the so-called "improved GLCs", the outlet of the gas feed tube was equipped with a 200 201 porous tube with an aquarium diffuser at the end in order to better sparge the gas into the 202 solution. Solution sampling was possible through a connection placed at a height of 110 mm. 203 N₂-CO₂ gas was mixed with hydrogen before being injected into the contactors. Gas flows were controlled using flow valves (1 valve for N2-CO2, 1 valve for H2 and 1 valve for the 204 205 mixed gas before its injection into the contactor). GLCs were maintained at 30°C in a water 206 bath.

The media were inoculated and cultures were bubbled with 10 mL/min of N_2 -CO₂ (80-20) gas mixed with hydrogen as the electron donor. Duplicate experiments were carried out using two

GLCs in series with the gas outlet of the first bottle being the gas inlet of the second bottle. Atotal of 12 GLCs were run.

211 A first experimental run was carried out with 6 GLCs using medium 1 inoculated with acclimated biological sludge, with different hydrogen flow rates. Hydrogen was supplied 212 continuously at a constant flow rate of 2 mL/min in two GLCs and at 6 mL/min in another 213 214 two. In the last two, hydrogen was alternately supplied at a rate of 2 mL/min for 8 hours 215 followed by 0 mL/min for 16 hours by turning the flow on and off. Each GLC was continuously fed with N₂-CO₂ at 10 mL/min. A second experimental run was carried out in 216 identical conditions using 3 "improved GLC" with a constant hydrogen flow rate of 0.5 217 218 mL/min.

- Two GLCs were implemented with medium 2 inoculated with salt marsh sediment using 10
- mL/min of N₂-CO₂ (80-20) gas mixed with 6 mL/min of hydrogen as the electron donor.

Four GLCs were implemented with pure culture of *S. ovata* (see below).

Initially the pH was stable at around 7.1 in each case but, at the end of the experiment, pH

values were measured in a range of 5.5 to 7.3 depending on the amount of acetate produced.

224 2.5 Culture of *Sporomusa ovata* in GLCs

- 225 S. ovata was grown in the DSMZ-recommended growth medium (DSMZ 311) with casitone
- and resazurin omitted. A volume of 20 mL of the growing cells was used to inoculate the
- 227 GLCs in the same medium but with the betain omitted. In two GLCs, the culture was
- continuously fed with an excess of hydrogen-N₂-CO₂ (50-40-10) gas mixture (40 mL/min).
- 229 Two control experiments were carried out in GLCs fed only with N₂-CO₂ (80-20). Samples
- were taken every day and filtered at $0.2 \,\mu m$ for HPLC analyses.

231 2.6 HPLC analyses

Samples were analysed for organic acids, sugar and ethanol by HPLC (Thermo Scientific, France) using a Rezex ROA-Organic acid H+ (8%), 250*4.6 mm phase-reverse column (Phenomenex, France) thermostated at 30°C and associated with a refractive index detector in series with a UV detector. The elution was performed at 170 μ L/min with an aqueous solution of sulfuric acid 10 mM (pH 2.2). The column was calibrated with a mixture of formate, acetate, lactate, propionate and butyrate, in the analysis concentration range.

238 <u>3.</u> <u>RESULTS</u>

3.1. CO₂ electroreduction using acclimated biological sludge as catalyst

- 240 The stainless steel cathodes of two identical MERs were polarized at -0.36 V vs. SHE. After 4
- 241 days, the cathodic compartments were inoculated with acclimated biological sludge (3.3%
- vol/vol). During the 40 days of polarization at -0.36 V, current density never exceeded 0.1
- A/m^2 and acetate was only detected initially due to the addition of the inoculum containing
- acetate (Figure 2). When the potential was switched to -0.66 V vs. SHE, current density
- increased immediately to -1.2 A/m^2 for one reactor and -1.4 A/m^2 for the other. Acetate
- started to be produced, reaching 98 mg/L and 135 mg/L after 7 days. During this period, the
- production rate was 132 mM/day/m^2 on average. This value was of the same order of
- magnitude as that obtained by Su et al. 16 working with 20 cm² of carbon felt at -0.70 V vs.
- 249 SHE. They used activated sludge as the inoculum and found a maximum acetate production
- rate of 187 mM/day/m^2 , the production of hydrogen also being detected.
- 251 Acetate concentration decreased 13 days after the switch in potential. A methanogenic
- 252 population probably developed in the compartment, consuming the acetate produced.
- 253 Methane has actually been detected in a number of MERs ^{13,16,17}, especially when an inhibitor

254 (such as bromoethanesulfonate) was not used, as was the case here.

- A control experiment run in the same conditions for the same length of time but keeping the
- electrodes at open circuit (no potential applied) did not produce any acetate ; the only acetate
- 257 present came from the bacterial injection.





Figure 2. Production of acetate and associated chronoamperometry in MERs initially polarized at -0.36 V vs. SHE for 40 days and then switched to -0.66 vs. SHE. On the 4th day, the MERs were inoculated with acclimated sludge.



A second set of two MERs was run in the same conditions but with an imposed potential of -263 0.66 V vs. SHE from the beginning (Figure 3). Current densities around -1.5 A/m^2 were 264 recorded immediately at this potential. This confirmed that the immediate increase of current 265 density observed in the previous experiments when the potential was switched from -0.36 to -266 267 0.66 V vs. SHE was due to the abiotic electrochemical reduction of water to dihydrogen at the surface of the stainless steel cathode. Bacteria were inoculated 3 days after the start of 268 269 polarization. Acetate measured just after inoculation corresponded to the acetate contained in 270 the acclimated sludge. In contrast to the previous experiments performed with an initial applied potential of -0.36 V vs. SHE, acetate did not disappear after a few days and its initial 271 272 concentration was maintained. From day 10, the concentration of acetate increased. Between day 10 and day 20, the acetate concentration increased from $96 \pm 3 \text{ mg/L}$ to $244 \pm 20 \text{ mg/L}$ at 273 an average rate of 140 mM/d/m² over 10 days, which gave a Faradic yield of 53%. Then, the 274 acetate concentration decreased in both MERs. 275

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Figure 3. Production of acetate and associated chronoamperometry in MERs polarized at -0.66 V vs. SHE for 40 days. On the 3rd day, the MERs were inoculated with acclimated sludge.

Cyclic voltammetries recorded initially after 1 day of polarization and before inoculation,
which was done at day 3, confirmed that there was no hydrogen evolution at -0.36 V vs. SHE
but there was hydrogen evolution at -0.66 V vs. SHE. (Figure 4-A). Moreover The CVs
recorded during the chronoamperometry (Figure 4-B) showed no change. The presence of
microorganisms (sessile and/or planktonic) did not significantly change the behaviour of the
electrode.



Figure 4. Cyclic voltammetries recorded at 1 mV/s. (A) CV recorded before bacteria injection (abiotic) and after 1 day of polarization (T+1) at-0.36 V vs. SHE (= -0.60 V vs. SCE) solid line and -0.66 V vs. SHE (= -0.90 V vs. SCE) dotted line. (B) CV recorded at days 1, 7, 20 and 35 during the chronoamperometry at -0.66 V vs. SHE.

289

3.2. CO₂ electroreduction using sediment from a salt marsh as catalyst

290 Four MERs were started using sediment from a salt marsh as inoculum in a highly saline medium that was supplemented with NaCl 45 g/L. Such high salinity should be a great 291 advantage if the objective is to scale up to large-sized MERs because it allows the internal 292 resistance of the reactor to be significantly decreased in comparison to the low ionic 293 conductive electrolytes that are commonly used in MERs³³. Two MERs were polarized at -294 0.36 V vs. SHE, and the other two at -0.66 V vs. SHE. 295 Current densities never exceeded 0.2 A/m^2 at -0.36 V, whereas they were around 2 A/m^2 from 296 the start of polarization at -0.66 V. The reduction current was established before bacteria were 297

injected, confirming that the electrochemical reaction was the abiotic reduction of water to

hydrogen. Acetate, formate or other VFAs were never detected during the 28 days of the

300 experiments in any of the four MERs.

301 Careful observation of the chronoamperometries revealed variations in current, which were 302 exactly correlated with the fluctuations in CO_2 injection. In the MERs polarized at -0.66 V vs. 303 SHE, when the CO₂ flow rate increased, the reduction current increased almost immediately 304 (absolute value of the current). Specific checks were carried out at the end of the experiments to explain this behaviour. Bubbling air into the reactors instead of N₂-CO₂ continuously 305 decreased the reduction for 4 hours. Actually, the current evolution perfectly fitted the pH 306 increase that was provoked by desorption of CO_2 from the medium during air bubbling 307 308 (Figure 5). Bubbling pure nitrogen instead of air decreased the reduction current (absolute value) a little more, because it suppressed the reduction of oxygen. Finally, using back N₂-309 310 CO_2 made the pH decrease, with the concomitant current recovery. Actually, the variations in current were related to the pH, which was linked to the CO₂ flow rate. An increase in CO₂ 311 312 flow rate led to acidification of the solution, which favoured the electrochemical reaction of 313 water reduction. The dependence of the current on CO_2 flow rate that was observed here did not indicate that CO₂ was the reactant of the electrochemical reaction; it was an indirect 314 phenomenon due to pH evolution. 315



Figure 5. Evolution of the pH due to different gas injection with or without carbon dioxide gas and the concomitant variation in intensity at a stainless steel cathode polarized at -0.66 V vs. SHE.

320

321 3.3. CO₂ reduction using hydrogen as electron donor with acclimated biological 322 sludge as catalyst

Since hydrogen was strongly suspected to be the intermediate electron carrier in the
experiments conducted with the acclimated biological sludge, further experiments were run
without electrodes but feeding the same medium directly with hydrogen, at different gas flow
rates. Experiments were performed in gas-liquid contactors (GLCs) with 3 different hydrogen

327 gas flow rates: constant flow rates of 2 mL/min and 6 mL/min and intermittent feeding in

cycles of 2 mL/min for 8 hours followed by 0 mL/min for 16 hours. Each reactor was

329 continuously fed with N_2 -CO₂ at 10 mL/min.

Acetate started to be produced after 2 days of latency in each GLC (Figure 6). The production 330 331 rate was linked to the hydrogen flow rate. A maximum production rate of 423 mg/L/day (7.2 332 mM/day) was reached between days 2 and 7 with the highest hydrogen flow rate, while the 333 acetate production rate was 244 mg/L/day (4.1 mM/day) for the contactors supplied with hydrogen at 2 mL/min. The intermittent hydrogen supply led to significantly lower acetate 334 production, with a maximum production rate of 78 mg/L/d between days 7 and 14. After 15 335 days, the intermittent hydrogen supply was switched to continuous mode at 2 mL/min. This 336 337 change led the acetate concentration to increase to the same maximum plateau as in the other 338 reactors. The hydrogen flow rate was consequently a major parameter impacting the acetate production rate. 339



Figure 6. Acetate production in the 6 Gas-Liquid Contactors inoculated with acclimated activated sludge and fed with N₂/CO₂ gas mixed with different H₂ flow rates. H₂ was injected at 6 mL/min in GLCs 5-6 (round), 2 mL/min in GLCs 3-4 (square) and with alternating supply in GLCs 1-2 (triangle) during the first 15 days. The dotted line represents the switch in the feeding mode for GLC 1-2: from the alternating mode to continuous feeding at 2 mL/min. Each reactor was continuously fed with N₂-CO₂ at 10 mL/min

A maximum acetate concentration of around 2500 mg/L was reached after 15 days. This

348 concentration was 10 times higher than those obtained with the bioelectrochemical reactors at

-0.66 V vs. SHE.

350 It was comparable to the acetate levels obtained with a pure culture of the acetogen

- 351 *Clostridium ljungdahlii* using cysteine as the electron donor ³⁴. The microbial system
- implemented here, which consisted of acclimated biological sludge in medium 1, proved to be
- fully efficient to reduce CO_2 to acetate with hydrogen as electron donor. Moreover, its
- performance was directly controlled by the hydrogen supply rate in the GLCs. In comparison,
- the MERs gave lower performance, probably because of the lower hydrogen production rate.
- The reduction current density around 1.5 A/m^2 recorded during the chronoamperometries at -
- 357 0.66 V vs. SHE (Figures 2 and 3) corresponded to a hydrogen production rate of 0.02

358 mL/min. The lower acetate production rates obtained in MERs were consistent with the lower

- hydrogen supply rate achieved by the cathode compared to that of GLCs.
- The hydrogen production rate of 0.02 mL/min and the maximum acetate production rate of
- 140 mM/d/m^2 gave a hydrogen conversion yield of 53 % for the MERs polarized at -0.66 V
- vs. SHE (0.17 mmole/d acetate was produced, while 1.29 mmole/d hydrogen was supplied).
- 363 The same calculations made for the GLCs supplied with 6 and 2 mL/min hydrogen led to
- hydrogen conversion yields of 1.6% and 2.7%, respectively (7.2 and 4.1 mM/day production

rates of acetate mean that 1.5 and 0.86 mmole/d of acetate were produced, while 386 and 129
mmole/d of hydrogen were supplied).

The hydrogen conversion to acetate was maximized with the very low hydrogen supply 367 achieved by the cathode in the MER³⁵. The electrode was a more efficient hydrogen sparger 368 than the simple tube used in GLCs. The cathode operating at low current density formed very 369 370 small hydrogen bubbles, which drove a more efficient gas transfer to the liquid than the big 371 bubbles formed at the outlet of the pipe used in GLC. To check this hypothesis, a second run of experiments were performed with three "improved GLCs", which were aimed at ensuring 372 373 more efficient hydrogen sparging into the solution. With a hydrogen supply rate of 0.5374 mL/min, a maximum acetate production rate of 5.2 mM/day (309 mg/L/day) was maintained 375 for around five days (Figure 7). The yield of the conversion of hydrogen to acetate was 13%376 (1.1 mmole/d acetate was produced, while 32.1 mmole/d hydrogen was supplied). Changing 377 the gas sparger in the GLCs improved the yield of hydrogen conversion to acetate by a factor 378 of 8. These results confirmed that hydrogen gas/liquid transfer is one of the main parameters to be optimized when scaling-up microbial conversion of CO_2 to acetate. 379

The fair results recorded with the MERs with respect to hydrogen conversion yield are 380 381 probably linked to the low hydrogen supply and the efficient gas sparging achieved by the 382 cathodes. Obviously, the occurrence of direct electron transfer may be another reason for the 383 better electron recovery in MERs but deciphering the fine electron transfer mechanisms was 384 not the purpose of the present study. Here, it was shown that the cathode abiotically produced 385 twice as much hydrogen as needed to sustain the acetate production. The same inoculum 386 implemented in identical conditions with direct hydrogen supply in GLCs gave similar acetate 387 production with higher rates but lower hydrogen conversion yields. The GLC experiments confirmed that, the hydrogen yields were strongly linked to the gas sparger efficiency. These 388 389 results showed that the hydrogen route plays an important role in the electro-microbial conversion of CO₂. Gas/liquid technology should consequently open up an alternative way to 390 391 scale-up the systems discovered in the field of microbial electrosynthesis.



Figure 7. Acetate production in 3 improved Gas-Liquid Contactors (triplicate) inoculated with acclimated activated sludge and fed with H2 at 0.5 mL/min and N₂-CO₂ at 10 mL/min.

394 3.4. CO₂ reduction using hydrogen as electron donor with sediment from salt marsh as 395 catalyst

396 Similar hydrogen feeding was attempted with the second microbial system used here: salt

- marsh sediment implemented in the highly saline medium 2. Hydrogen was supplied at a flow
- rate of 6 mL/min. Formate was the first molecule to be produced, after around 2 days of
- latency (Figure 8), with a maximum production rate of about 200 mg/L/d on the first day of
- 400 production. An average maximum formate concentration of 380 mg/L was reached after 10
- 401 days, while acetate started to be produced after 13 days, concomitantly with the decrease of
- 402 formate concentration.





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407 Formate can be produced by enzymatic reduction of CO₂ in an NADH- or ferredoxin-

- dependent manner 36 . Moreover, formate was previously found to be a precursor of the methyl
- 409 group of acetate in *Clostridium sp.*³⁷, which would explain the concomitance of formate

410 consumption with acetate production.

The experiments performed with direct hydrogen supply showed that the microbial system

412 based on salt marsh sediment was significantly less efficient than the acclimated biological

- 413 sludge. The electrochemical route led to considerably lower performance than direct hydrogen
- supply with the biological sludge inoculum. As the salt marsh sediment was less efficient than
- biological sludge, it was not surprising that no production was found with the salt marsh
- sediment inoculum in the electrochemical reactors. Actually, direct hydrogen supply
- succeeded in revealing the capability of weakly efficient microbial systems to reduce CO₂.
- 418

419 **3.5.** CO₂ reduction coupled to hydrogen oxidation using *Sporomusa ovata*

The model microorganism *Sporomusa ovata*, which is known for its electrosynthesis ability ⁸, was also implemented with direct hydrogen supply in gas-liquid contactors. In the pre-culture, it was noticed that the bacteria were not able to grow without yeast extract, but yeast extract may be a source of electron donor(s), which can support the reduction of CO₂. So yeast extract was kept in the medium and experiments with and without hydrogen supply were carried out in parallel in order to measure the role of hydrogen (Figure 9). Cultures were run









432	When no hydrogen was injected (Figure 9.A), up to $1638 \pm 270 \text{ mg/L}$ of acetate was produced
433	in 14 days, with the largest part produced during the first 2 days. When hydrogen was
434	supplied (Figure 9.B), production of acetate was almost tripled, to 4542 ± 90 mg/L in 9 days.
435	Moreover, ethanol started to be produced after around 7 days, when acetate production
436	reached a plateau. Production of 1411 ± 156 mg/L of ethanol was obtained after 10 days of
437	culture.
438	The difference observed with and without hydrogen supply corresponded to a production of

acetate of up to 2904 mg/L at a maximum rate of 867 mg/L/day (14.7 mM/day) during the

440 first 3 days. Moreover, ethanol production was promoted with hydrogen supply. The product

ratio of ethanol and acetate was 0.49 g ethanol per gram acetate.

442

443 4. <u>DISCUSSION</u>

444

4.1 Discussion of the experimental results

From a thermodynamic point of view, the electrochemical reduction of carbonate ions $HCO_3^$ to acetate:

447
$$2 \text{ HCO}_3^+ + 9 \text{ H}^+ + 8 \text{ e}^- \Leftrightarrow \text{CH}_3\text{COO}^- + 4 \text{ H}_2\text{O}$$
 (1)

448 is possible at potentials less that the formal potential of the equilibrium $(E^{0}, CO2/acetate)$:

449
$$E^{0'}_{CO2/acetate} = E^{0}_{CO2/acetate} - \frac{RT}{nF} \ln \frac{[CH_{3}COO^{-}]}{[HCO_{3}^{-}]^{2}[H^{+}]^{9}}$$
(2)

where $E_{\text{CO2/acetate}}^{0}$ is the standard potential of the CO₂/acetate pair (0.187 V/SHE ³⁸), *R* is the 450 gas constant (8.314 J mol⁻¹ K⁻¹), T is the temperature (303 K), n = 8 is the number of electrons 451 exchanged, F is the Faraday constant (96,485 C mol⁻¹), [] are the concentrations (mol L⁻¹), as 452 activities were taken to be equal to concentrations because of their low values. pH of the 453 solution was 7.0. The reactors were supplied with N2-CO2 80:20, i.e. a CO2 partial pressure of 454 0.2 atm. The concentration of the HCO₃⁻ ions calculated assuming equilibrium with CO₂ with 455 $pK = 10^{-6.3}$ gave 1.03 mM, so that: 456 E^{0} '_{CO2/acetate} = 0.143 - 0.067 pH - 0.0075 log ([CH₃COO⁻]) 457 (3)

458

(4)

459 The value of the acetate concentration produced did not have a significant effect on the formal

potential, which was -0.298 V vs. SHE with an acetate concentration of 0.2 mM (11.8 mg/L)
and decreased only to -0.309 V vs. SHE for 5 mM (295 g/L). The maximum acetate

 $\frac{1}{2} = \frac{1}{2} = \frac{1}$

concentration produced in the MERs never exceeded 5 mM. It can thus be concluded that the

applied potential of -0.36 V vs. SHE was thermodynamically appropriate to support the

transformation of HCO_3^- to acetate and it was not a cause of the limitation of this production to less than 5 mM.

466

467 Hydrogen evolution at neutral pH:

 $2 H_2O + 2 e^- \Leftrightarrow H_2 + 2 OH^-$

469 has a formal potential expressed as :

470
$$E^{0}_{H2O/H2} = E^{0}_{H2O/H2} - 2.3 \frac{RT}{2F} \{2 \ (pH-14) + \log p_{H2}\}$$
(5)

471 where $E^{0}_{H2O/H2}$ is -0.828 V/SHE and p_{H2} is the hydrogen partial pressure. Assuming that 472 hydrogen evolved at 1 atm gave the final equation:

473
$$E^{0}_{H2O/H2} = 0.014 - 0.060 \text{ pH}$$
 (6)

474 At pH 7.0, hydrogen can start to evolve from -0.41 V vs. SHE.

475

The applied potential of -0.36 V vs. SHE was too high to allow hydrogen gas evolution and acetate production was never observed at this potential, although it was thermodynamically possible. In contrast, the potential of -0.66 V vs. SHE allowed hydrogen evolution and led to significant production of acetate when sludge was used as the inoculum. Furthermore, the same inoculum produced larger amounts of acetate and displayed higher production rates when it was directly fed with hydrogen in gas-liquid contactors than when it was in a MER.

482

From a fundamental point of view, it is difficult to compare a heterogeneous catalytic process, which is controlled by the surface area of the solid catalyst, with a gas-liquid reaction, which generally depends on the gas/liquid interface area. The direct comparison of the volumetric production rates obtained in the MERs with those in the GLCs (here 0.29 mM/d and 7.2 mM/d, respectively) is useful for a quick, preliminary comparison but cannot constitute an appropriate basis for envisioning the performance of larger sized devices. The MER

489 performance is directly linked to the "cathode surface area vs. solution volume" ratio (the cathode of 21 cm² surface area in 0.6 L gave 3.5 m²/m³). The rather small surface area of 21 490 cm² chosen here was the result of a compromise. On the one hand, according to 491 492 electroanalysis rules, the cathode must be as small as possible in order to determine the electrochemical kinetics in the absence of most possible limitations ³⁹ but, on the other hand, 493 the production must be sufficient to allow the concentrations of the products to be measured 494 495 easily with acceptable accuracy. This choice led to modest volumetric production rate but to allow the rate per unit surface to be evaluated in optimal conditions. The rates per unit surface 496 determined in this condition of 0.084 mole/ d/m^2 (140 mM/ d/m^2 in 0.6 L) can thus be used to 497 design an appropriate electrochemical reactor. The following calculation gives a basis for 498 comparing a GLC with the MER that could achieve the same acetate production in 1L 499 volume. In GLCs, the biological sludge inoculum ensured a production rate of acetate of 7.2 500 mM/d. To have 1L, the GLC could be straightforwardly scaled up to a column of 5 cm 501 diameter and 51 cm height of liquid. Actually, the chosen diameter here is the same as that of 502 503 the GLCs used in the present study, only the height was adjusted to correspond to 1 L volume 504 of solution. To achieve the same production rate of 7.2 mM/d, the MER needs a cathode surface area of 857 cm^2 (7.2 / 84 m²). With conventional filter-press architecture, the MER 505 could be composed of two cells each equipped with a cathode 10 cm wide and 43 cm long. 506 The distance between the cathode and the anode has to be as short as possible to minimize the 507 ohmic drop, particularly because of the low ionic conductivity of the solution used here in 508 comparison to the electrolytes used in conventional electrochemical cells (see section 4.2.3). 509 With 5 mm between the cathode and the membrane, the MER would contain approximately 510 430 mL, so a closed loop equipped with a pump and a storage tank of approximately 570 mL 511 512 volume would need to be connected to the cathodic compartment of the MER (actually the 513 volumes of the tubes and other side volumes should be subtracted).

514

This example points out the technical complexity of the MER in comparison to the GLC 515 516 technology. Firstly, the MER architecture is technically complex: two electrodes to be maintained as close as possible with a separator between them, perfect tightness of each 517 518 compartment, separate tubing for the cathode and anode compartments, connection of several cells, etc. In addition, the electrochemical process control can raise difficulties, particularly if 519 microorganisms are included inside: ionic transfers between the two compartments must be 520 managed in order not to affect microbial growth, pH gradients ⁴⁰ must be controlled. 521 disturbance of the anaerobic conditions of the cathode compartment by the oxygen evolving at 522

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523 the anode must be avoided, etc. The chemically rich culture media that are used in microbial 524 electrosynthesis and the presence of microorganisms in the cathode compartment may also 525 induce membrane (bio-)fouling. All these hindrances could certainly be overcome, at the price 526 of finding compromises and fine tuning the operating conditions, but they give the MER a 527 huge level of complexity in comparison with the GLC technology.

528

529 According to the data obtained here with the biological sludge inoculum, the main advantage 530 of the MER might be thought to be the Faradic yield of around 53%, which means that about 531 53% of the hydrogen produced is used to produce acetate. The yield of hydrogen conversion 532 to acetate was low in GLCs, 1.6% to 2.7%, because no effort had been made to save hydrogen. Simply improving the sparger with means available in the laboratory increased the 533 hydrogen conversion yield to 13%. Gas-liquid transfers are well mastered at industrial level 534 and chemical engineering offers many solutions to further improve the rough GLCs used here 535 536 (see section 4.3).

537

Salt marsh sediment was not an adequate inoculum for MERs. Nevertheless, direct supply
with hydrogen in GLCs revealed its capacity to produce acetate. The maximum production
rate was around 5 times lower than with sludge. Salt marsh sediment was a less efficient
inoculum than the biological sludge. Because of the lower production rate of hydrogen in the
MER, the electrochemical process was not able to exploit the homoacetogenic capacity of this
inoculum but GLC offered a simple way to reveal the inoculum capacity.

544

545 Finally, the experiments performed with pure cultures of Sporomusa ovata revealed the full 546 interest of GLC technology in the context of autotrophic CO₂ reduction. Several studies have been reported in the literature, implementing S. ovata in electrochemical reactors with the 547 electrode as sole electron donor (absence of yeast extract and hydrogen)^{8,12,18}. To the best of 548 our knowledge, the highest reported production was 600 mg/L^{12} and the maximum 549 production rate was 1.12 mM/d^{18} . Here the maximum acetate concentration and production 550 551 rate were around 5 times (2904 mg/L) and 13 times (14.7 mM/day) higher than the results 552 reported in MERs.

The GLCs revealed the capability of *S. ovata* to produce ethanol at concentrations up to 35 mM, which, to the best of our knowledge, has never been obtained before. *Sporomusa ovata* was known to exhibit fermentative properties, as is typical of acetogenic bacteria, with the

556	production of a large amount of acetate. However, only a small amount of ethanol (below
557	1mM) has been reported to be produced so far 41 . For comparison, Younesi et al. 42 obtained a
558	maximum concentration of ethanol of 600 mg/L (13 mM) using Clostridium ljungdahlii
559	grown on syngas. Unlike S. ovata, Clostridium ljungdahlii was already identified as an
560	acetogen that produced ethanol from $CO_2^{23,43}$. Actually, a few acetogens as <i>C. ljungdahlii</i> , <i>C</i> .
561	autoethanogenum or C. ragsdalei are able to form large amounts of ethanol from CO ₂ . Very
562	recently, metabolic schemes have been proposed to elucidate how these anaerobes conserve
563	energy, by determining the specific activities and cofactor specificities of all relevant
564	oxidoreductases in cell extracts of H_2/CO_2 -grown C. autoethanogenum ⁴⁴ .
565	Here, S. ovata implemented with a direct supply of hydrogen gas revealed an interesting
566	capacity to produce ethanol at a higher level than species already identified as ethanol
567	producers. This unexpected result is another illustration of the technological interest of the
568	GLC procedure to develop microbially-catalysed CO ₂ reduction in value added molecules.
569	The three kinds of results, obtained in the present study with two environmental multispecies
570	inocula and pure cultures, indicate direct hydrogen supply with a gas-liquid contactor as a
571	valuable strategy to exploit the hydrogen route of CO ₂ reduction. It should be noted that the
572	possibility of direct electron transfer from cathodes to microbial biofilms in the absence of
573	hydrogen as an electron carrier is not in doubt but, simply, it was not the subject of this study,
574	which aimed to show that similar results can be obtained with GLC but in a technologically
575	simpler manner. In parallel to the fundamental studies that aim to decipher the fine electron
576	transfer mechanisms, the results described here showed that the hydrogen pathway should
577	now be considered as a promising route that could be implemented at large scale via dedicated
578	technologies.

579

580 4.2. Why implement the hydrogen route in gas-liquid contactors

To be economically efficient, an electrochemical reactor must operate at high current densities. For example, chlor-alkali cells work at 1 500 to 3 000 A/m², the electrosynthesis of adiponitrile from acrylonitrile is performed at 2 000 to 4 500 A/m² and water electrolysis is carried out at current densities above 1 000 A/m² in conventional cells and up to 10 000 A/m² in bipolar configurations ⁴⁵. As soon as the objective is to design a large-sized industrial process, an electrochemical process requires complex technology. Sophisticated technical solutions must be implemented to solve elementary problems such as current collection on the

electrodes, perfect sealing of the different parts (electrodes, membrane, and frames), control

of the fluid motion in the narrow electrode-membrane spaces, electrical and hydraulic

590 connections of several cells, etc. All these issues quickly become technically very

cumbersome as the surface area of the electrodes increases. This is the reason why

electrochemical processes are envisioned for large-scale production only when high current

593 densities can be ensured.

594 <u>4.2.1. Direct electron transfer in the absence of hydrogen evolution in the context of industrial</u> 595 constraint (Figure 10.A)

596 The formal potentials for the CO_2 /acetate and H_2O/H_2 redox couples were -0.30 and -0.41 V 597 vs. SHE at pH 7.0. These values are not considerably affected by the concentrations of the reactive and product compounds (Equations (3) and (6)), so the difference between the two 598 599 redox couples is of the order of 100 mV in common operating conditions. Direct electron 600 transfer for CO_2 reduction to acetate can start at -0.30 vs. SHE, while hydrogen evolution 601 rapidly gives high current density when the potential becomes more negative than -0.41 V vs. SHE. CO_2 reduction through direct electron transfer could be exploited inside this narrow 602 potential zone, approximately 100 mV wide, in order to remain above the domain of hydrogen 603 604 evolution. The overpotential of 100 mV is too small to ensure high current density for CO_2 605 reduction via direct electron transfer without penetrating the domain of hydrogen evolution. 606 The ideal answer to the scientific challenge of exploiting the direct electron transfer pathway 607 at industrial scale would be to design electrode materials that accelerate electron transfer to 608 the biofilm while slowing the kinetics of hydrogen evolution. This would be an elegant 609 solution for developing microbial electrosynthesis based on direct electron transfer. 610 Nevertheless, in the current state of the art, designing such electrode materials remains a 611 tremendous challenge, which still requires deep fundamental research. 612 The difficulty of implementing the direct electron transfer zone with high current density is 613 straightforwardly linked to the proximity of the formal potential of the conversion of CO_2 to

acetate with that of hydrogen evolution. The same situation is encountered for the conversion of CO_2 to ethanol:

616 $2 \text{ HCO}_3^- + 14 \text{ H}^+ + 12 \text{ e}^- \rightarrow \text{ CH}_3\text{CH}_2\text{OH} + 5 \text{ H}_2\text{O}$ (E'₀ =-0.335 V vs. SHE at pH 7.0) (7)

617 but would be less stringent for the conversion of acetate to ethanol:

618 2 CH₃COO⁻ + 6 H⁺ + 5e⁻ \rightarrow CH₃CH₂OH + 3 H₂O (E'₀ = -0.212 V vs. SHE at pH 7.0) (8)

The conclusion may be completely different for reactions with formal potentials farther from 619 that of hydrogen evolution. For example, the conversion of CO₂ and succinate to glycerol, 620 with a formal potential of 0.06 V vs. SHE at pH 7.0¹⁵ offers a possible overpotential range of 621 more than 400 mV before reaching hydrogen evolution. High current densities have been 622 623 reported at potentials up to -0.09 V vs. SHE, at which hydrogen evolution cannot be 624 suspected. This reaction may be appropriate to produce high current density via the direct electron transfer pathway and illustrates the need for further investigations to decipher and 625 626 then exploit the direct electron transfer pathways for electrosynthesis purposes.

627 <u>4.2.2. Direct electron transfer coupled to gentle hydrogen evolution in the context of</u> 628 <u>industrial constraint (Figure 10.B)</u>

As a second option, it might be envisioned to implement hydrogen evolution and direct 629 630 electron transfer through the biofilm concomitantly. Both routes could be implemented simultaneously. Direct electron transfer could occur on colonized patches of the electrode 631 surface, while hydrogen could gently evolve on other parts (Figure 10.B). This option might 632 633 be particularly appealing as some components of the biofilm can catalyse hydrogen evolution. For example, hydrogenases adsorbed on an electrode surface are known to catalyse the 634 reduction of proton/water ^{46,47} and this catalysis has recently been shown to occur also with 635 hydrogenase released from cells during routine culturing⁴⁸. Obviously, such mechanisms have 636 great importance in the context of microbial corrosion^{49,50}, where even modest current 637 densities can lead to huge economic losses. Nevertheless, this option does not allow the high 638 639 current densities required in large-sized industrial plants to be reached. Actually, at high 640 current density, hydrogen evolution would become largely dominant and gas evolution would 641 mechanically keep the microbial cells away from the electrode surface. Vigorous hydrogen evolution from the electrode towards the bulk would obviously preclude colonization of the 642 643 electrode surface by the microbial biofilm. It has already been observed that hydrogen evolving at the cathode, even under gentle current densities around 10 A/m^2 , limits the 644 biofilm formation²³. In such condition, the benefit of the direct electron transfer route would 645 be annihilated and CO₂ conversion would be driven by the hydrogen route only. 646

647 <u>4.2.3. The hydrogen route in the context of industrial constraints (Figure 10.C)</u>

Finally, in a third option, the electrochemical reactor might be considered as the hydrogen 648 649 supplier for the bacteria that develop in the bulk. In other words, this third option would consist of introducing the bacteria into a water electrolysis cell in order to perform both 650 651 hydrogen production and the homoacetogenic CO₂ conversion in the same device. High 652 current densities could be used to support strong hydrogen evolution. The Faradic yields would be very low because of the short residence time of hydrogen in the reactor. Actually, 653 654 designing efficient water electrolysis cells, i.e. cells able to ensure very high hydrogen evolution rates, but with long hydrogen residence time presents two opposing constraints from 655 656 an engineering point of view. Other antagonistic requirements would also arise, such as the necessity to work at pH around neutrality for bacterial growth while optimum water 657 658 electrolysis cells use extremely alkaline solutions (KOH more than 20%, pH 14 and above). The chemical complexity of the culture media can be another hindrance to the electrochemical 659 process, because numerous cations that are necessary to microbial growth (e.g. Ca^{2+} , Mg^{2+} ...) 660 are most likely to deposit on the cathode surface due to local alkalinization of the interface. 661 662 Electrochemical cells also require electrolytes of very high ionic conductivity to keep ohmic power losses to the minimum. Concentrated potassium hydroxide solutions have ionic 663 664 conductivities above 20 S/m. In contrast, the most common culture media used in microbial electrochemistry have ionic conductivities ranging from 0.5 to amaximum of 2 S/m^{32} . For 665 instance, an electrochemical cell with 5 mm inter-electrode distance operated at 1000 A/m^2 666 must overcome an ohmic drop of less than 250 mV if a conventional electrolyte with ionic 667 conductivity greater than 20 S/m is used, while the ohmic drop would be 5 V with a culture 668 669 medium of 1 S/m conductivity.

In summary, implementing the acetogenic microbial reaction inside a water electrolysis cell
would raise a huge number of cumbersome antagonistic constraints. They would have to be
solved at the price of drastic performance degradation with respect to the current level of
industrial water electrolysis devices.

In the current state of the art of microbial electrosynthesis, as far as reductions with formal potential not very different from that of hydrogen evolution are concerned, the best strategy for short- or mid-term scaling up is to connect a microbial gas-liquid contactor downstream of a conventional water electrolysis cell. This system constitutes a hybrid system, according to the terminology proposed recently for microbial electrochemical technologies ⁵¹. The high performance of water electrolysis is thus preserved and the efforts to be made for scaling up the homoacetogenic microbial synthesis are focused on the GLC. In this way, the chemical

- 681 composition of the culture medium can be fitted to microbial requirements without any
- concern about possible deposits on the electrode surface or too weak ionic conductivity. The
- main problem linked to the low microbial reaction rate can be coped with in GLC without the
- additional constraints due to the electrochemical process.



Figure 10 : Scheme of the different potential zones relating to the conversion of CO₂ to acetate vs. hydrogen evolution. A)
 direct electron transfer can occur in the absence of hydrogen evolution; B) direct electron transfer can occur in biofilm
 patches concomitantly to hydrogen evolution; C) strong hydrogen evolution precludes colonization of the electrode
 surface

690

691 4.3 How to improve the GLC technology for homoacetogenic CO₂ conversion

- 692 The preliminary experiments described here have evidenced the potential of the GLC option
- and illustrated the fast progress that can be made thanks to simple technological
- 694 improvements. Here, just changing the gas sparger multiplied the hydrogen conversion yield
- by a factor of 8. At the industrial level, efficient solutions exist to improve the
- 696 hydrogen/liquid transfers. Hydrogenation is the most ubiquitous reaction in the commercial
- 52 organic chemical industry 52 and is commonly implemented in several-ton industrial plants
- 698 under hydrogen pressure of several bars with metallic catalysts⁵³.
- Here, the microbial catalysis of the conversion of CO_2 to acetate using hydrogen:

700
$$2 \text{ HCO}_3^- + 4 \text{ H}_2 + \text{H}^+ \Leftrightarrow \text{ CH}_3\text{COO}^- + 4 \text{ H}_2\text{O}$$
 (9)

- does not ensure such high reaction rates as the metallic catalysts in conventional
- hydrogenations. That is why the existing technologies need to be adapted to implement the

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appropriate hydrogen/liquid transfer. Work in this direction has already started with success,

using pure cultures of *Acetobacter woodii* for example, which have ensured a final acetate

concentration of 44.7 g/L by working under pressurized H₂:CO₂; more than 50 g/L acetate

have been reached in less than 4 days with recombinant strains 54 .

707 Similar studies carried out in the field of carbon monoxide fermentation are also very 708 encouraging. Synthesis gas is a mixture of CO and H_2 (also called syngas), large amounts of 709 which can be obtained by the gasification of biomass (straw, wood, etc.). Syngas fermentation by acetogenic species has started to raise commercial interest for its capacity to produce fuels 710 and chemicals ⁵⁵. The low solubility of CO and H₂ has been overcome by chemical 711 engineering solutions ⁵⁶ so the process has become relatively mature and commercial scaling 712 up can now be reasonably contemplated 57. The low solubility of CO₂ should be overcome by 713 similar technological solutions. For example, the bubbleless technologies using membrane 714 contactors, which have been patented for syngas fermentation ⁵⁶ should be a promising way 715 to adapt to the CO₂ conversion routes coming from microbial electrosynthesis. 716

717

718 CONCLUSION

Chronoamperometries performed at two different potentials showed that the hydrogen route 719 was largely involved in the reduction of CO_2 to acetate. Using the same media in gas-liquid 720 721 contactors supplied with hydrogen led to higher production rates and higher maximum concentrations than the electrochemical reactors. Moreover, gas-liquid contactors revealed a 722 higher capacity of Sporomusa ovata to reduce CO₂ than observed so far and also its 723 unsuspected ability to produce ethanol. The autotrophic culture of acetogens in hydrogen-724 supplied gas-liquid biocontactors should now be considered as a route of great interest for 725 scaling up the CO₂ reduction systems revealed by microbial electrosynthesis. 726

727

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