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**Novel phenazine crystals enable direct electron transfer to methanogens in anaerobic digestion by redox potential modulation**

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1 **Running Title**

2 **Phenazine crystals enhance biogas production**

3 **Abstract**

4 **With one billion tons of methane produced annually by microorganisms, biogas production**  
5 **can be appreciated both for its role in global organic matter turnover and as an energy**  
6 **source for humankind. The importance of electron transfer from electrically conductive**  
7 **surfaces or from bacteria to methanogenic Archaea has been implicated in widespread**  
8 **commercial anaerobic digestion processes, though a mechanism for reception of electrons**  
9 **from conductive surfaces or pili by methanogens has never been demonstrated. Here we**  
10 **describe a novel crystalline form of the synthetic phenazine neutral red that harvests**  
11 **electrons from reduced inorganic and organic microbial sources in anaerobic environments**  
12 **and makes them available to methanogenic Archaea. The novel crystalline form is so**  
13 **effective at harvesting reducing equivalents because it displays a potential for reduction**  
14 **444 mV higher than the soluble form ( $E' = 70$  mV). Neutral red molecules solubilised in the**  
15 **reduced state by protonation at the point of methanogen cell contact with the crystal**  
16 **surface deliver electrons to methanogens at a negative midpoint potential ( $E' = -375$  mV).**  
17 **We demonstrate that soluble neutral red delivers reducing equivalents directly to the**  
18 **membrane bound HdrED heterodisulfide reductase of *Methanosarcina*, replenishing the**  
19 **CoM-SH and CoB-SH pool for methanogenesis and generating proton motive force. An**  
20 **order of magnitude increase in methane production is recorded in pure acetate fed**  
21 ***Methanosarcina* and coal and food waste fed mixed cultures in the laboratory. The**  
22 **phenomenon is also demonstrated at field scale in a sub-bituminous coal seam 80 m below**  
23 **ground level.**

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### **Broader context**

Biogas production by methanogenic Archaea has a large role to play in meeting the energy needs and energy security of the human race into the future whilst reducing greenhouse gas emissions. Current operations tapping unconventional gas deposits generated by microbes in coal seams or harvesting methane from anaerobic waste digestion facilities are examples where the capacity to enhance biogas production is desirable. Recent progress in the field has revealed that methanogenic Archaea dependent on interspecies electron transfer are not limited to H<sub>2</sub> or formate as a source of reducing equivalents but can access electrons directly from organic or inorganic surfaces and directly from bacteria. This conceptually broadens the scope of sources of energy in the environment from which biogenic methane can be produced. In this study we report a novel crystalline form of the synthetic phenazine neutral red that can harvest electrons from organic and inorganic electron carriers common in anaerobic environments and deliver them to acetoclastic methanogens. Application of neutral red crystals is shown to enhance methane production by an order of magnitude in anaerobic coal and food waste fed cultures and in a non-gassy coal seam 80 m below ground level.

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## 1 Introduction

2 Significant research effort is currently invested internationally to improve methane yields  
3 from the anaerobic digestion of renewable or non-renewable organic resources. Whilst large-  
4 scale, economically viable methanogenic treatment of renewable resources, such as wastewater,  
5 lignocellulosic crops and food waste, is widespread, additional gains in efficiency and expanded  
6 application are still anticipated.<sup>1-3</sup> Effort has also been directed towards enhanced biogas  
7 production from non-renewable resources such as coal, but while extraction of biogenic coalbed  
8 methane is reducing international energy supply dependencies, only incremental improvements  
9 in real time biogas yields from coal have been observed.<sup>4</sup>

10 Methane is a by-product of the means by which methanogenic Archaea generate proton  
11 motive force (PMF). Protons are extruded across the cytoplasmic membrane of methanogens by  
12 the heterodisulfide reductase enzyme.<sup>5</sup> The energy for proton translocation is incumbent in the  
13 redox potential difference between reduced electron carriers in the membrane and the terminal  
14 electron accepting CoB-S-S-CoM heterodisulfide molecule. CoB-S-S-CoM is produced through  
15 reduction of methyl-S-CoM by the action of the methyl-S-CoM reductase, which releases  
16 methane.<sup>6</sup>

17 In acetoclastic methanogens such as *Methansaeta* and *Methanosarcina* species, responsible  
18 for most methane production on Earth, the source of reducing equivalents for the heterodisulfide  
19 reductase enzyme is acetate, derived from fermentation of organic matter or from  
20 homoacetogenic bacteria. Acetate is converted to Acetyl-CoA, which is cleaved to reduce  
21 ferredoxin, with the methyl moiety of acetate being converted to CH<sub>4</sub> via methyl-H<sub>4</sub>SPT and  
22 methyl-S-CoM and the carboxyl group ultimately released as CO<sub>2</sub>.<sup>7</sup> Ferredoxin then delivers  
23 electrons directly or indirectly to membrane bound heterodisulfide reductase enzymes for

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1 reduction of CoB-S-S-CoM. In *Methanosaeta* species ferredoxin simply delivers electrons  
2 directly to the heterodisulfide reductase. In *Methanosarcina* species ferredoxin is used to  
3 produce H<sub>2</sub> that is used to reduce a membrane bound phenazine that delivers electrons to the  
4 heterodisulfide reductase.<sup>6</sup>

5 Methanogenesis can also occur through the serial reduction of CO<sub>2</sub> on C<sub>1</sub> carriers converging  
6 on the acetoclastic pathway with production of methyl-H<sub>4</sub>SPT and methyl-S-CoM that is  
7 oxidised by CoB-SH to produce CoB-S-S-CoM. In this case, the reducing equivalents for  
8 reduction of CO<sub>2</sub> and for the reduction of CoB-S-S-CoM by heterodisulfide come from H<sub>2</sub> or  
9 formate, also derived from fermentation of organic matter.<sup>6</sup>

10 Recent convincing evidence has been presented suggesting that *Methanosarcina* species also  
11 capable of hydrogenotrophic methanogenesis and *Methanosaeta* species incapable of  
12 hydrogenotrophic methanogenesis can produce methane via CO<sub>2</sub> reduction by exploiting direct  
13 electron transfer from elemental iron<sup>8</sup>, iron oxides<sup>9</sup>, activated carbon<sup>10</sup> and other  
14 microorganisms<sup>3</sup>. These exciting findings broaden the previously appreciated sources of  
15 reducing equivalents that could potentially be converted to methane, supplementing traditionally  
16 appreciated organic sources. For example, the experiments of Kato *et al.*<sup>9</sup> suggest that energy  
17 stored in reduced iron oxides, which are enormously abundant in nature, could be used to  
18 generate methane. Scherson *et al.*<sup>11</sup> also recently appreciated this general concept with a  
19 demonstration of energy recovery from waste nitrogen as nitrous oxide in wastewater treatment  
20 in addition to waste carbon as methane. Certainly, the possibility that other abundant inorganic  
21 sources of reducing equivalents in the environment (eg. soluble and mineral sulphides) could be  
22 tapped for methane production is an intriguing one. Additional research is required to create  
23 adaptors enabling methanogens to access these reducing equivalents.

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1 The direct biological conversion of electrical current to methane has also been examined  
2 extensively.<sup>12</sup> In this context organic electron carriers or shuttles such as the synthetic phenazine  
3 neutral red have been exploited to transfer electrons from electrodes to microorganisms including  
4 methanogens. For example, Park *et al.*<sup>13</sup> demonstrated that H<sub>2</sub> could be replaced with neutral red  
5 in the cathode of a bioelectrochemical system to power methane production by a mixed species  
6 methanogenic inoculum. Beyond bioelectrochemical systems, neutral red and other electron  
7 shuttles have been examined extensively in biodegradation reactions for organochlorine  
8 dechlorination and azo dye reduction.<sup>14,15</sup>

9 Curiously, electron shuttles have never been tested for the ability to enhance methane  
10 production from renewable or non-renewable organic resources. Preliminary tests with  
11 cyanocobalamin, anthraquinone-2,6-disulfonate and neutral red, indicated that neutral red alone  
12 possessed properties that enhanced methane production in mixed species methanogenic cultures.  
13 Further testing with coal and food waste fed cultures revealed that under anaerobic physiological  
14 conditions neutral red assembles into a previously unobserved crystalline form that dramatically  
15 enhances biogas production.

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## 1 **Results and Discussion**

2

### 3 **Neutral Red Crystal formation enhances biogas production.**

4 The addition of 250-500  $\mu\text{M}$  neutral red (2-amino-8-dimethylamino-3-methylphenazine) to  
5 anaerobic coal and food waste fed microbial communities substantially (10 and 18 fold  
6 respectively) increased methane production over 10 months incubation (Fig. 1). Neutral red had  
7 no effect on methane production in the absence of cells, coal or food waste, as opposed to a  
8 proportionally reduced effect observed at a concentration of 100  $\mu\text{M}$  indicating neutral red was  
9 not serving as an oxidisable substrate for fermentation and/or methanogenesis (expect 2.5 fold  
10 reduced methane production). Complete anaerobic digestion to methane of the 20  $\mu\text{moles}$  of  
11 neutral red added to the 80 ml cultures would yield 224  $\mu\text{moles}$  of methane in the 120 ml flasks.  
12 If neutral red was completely oxidised to methane, it would account for approximately half the  
13 methane generated by coal fed cultures and one percent of the methane observed in food waste  
14 fed cultures, supporting the assertion that the effect is catalytic.

15 Enhanced biogas production in the presence of 250  $\mu\text{M}$  neutral red was associated with the  
16 formation of insoluble needle like crystals (100-1500  $\mu\text{m}$  length and 1-5  $\mu\text{m}$  diameter) and rapid  
17 cell proliferation (Fig. 1 and 2a-c). The majority (>90 %) of cells in the cultures adhered directly  
18 to the crystals. The average concentration of soluble neutral red after crystal formation was  
19 determined by spectroscopy to be  $\sim 10$   $\mu\text{M}$ , with the remaining 240  $\mu\text{M}$  neutral red in crystal  
20 formation (6.9 mg in 100 mL). An equilibrium exists between the soluble and crystalline form as  
21 determined by resuspension of crystals in fresh media, with dissolution to  $\sim 10$   $\mu\text{M}$  again  
22 observed. Below 250  $\mu\text{M}$  neutral red crystal formation was not observed (50  $\mu\text{M}$ ) or exceedingly  
23 rare (100  $\mu\text{M}$ ) and cell proliferation or methane production was not enhanced, indicating that the

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1 crystalline form rather than the soluble form of neutral red was responsible for enhanced biogas  
2 production. There was no evidence of neutral red biodegradation, with the crystals remaining  
3 intact throughout the 10 months incubation again suggesting their role is catalytic and enduring,  
4 serving as a concentrated environmental repository of electrons (macromolecular  
5 electronophore) that methanogens can interface with and use for metabolism and growth.

6

### 7 **Neutral Red Crystal (NRC) characterisation**

8 Neutral Red Crystal (NRC) assembly occurs in neutral to basic anaerobic solutions rich in  
9 particulate organic materials like coal and food waste, with NRCs often nucleating from the  
10 organic matter (Fig. 2d-f). Acidic pH (<4) and organic solvents (eg. ethanol) immediately  
11 dissolve the crystals. At pH 9, an amorphous precipitate forms. Below pH 4, 99.8% of neutral  
12 red (pKa 6.7) is in the more water soluble protonated form whilst at neutral pH, 67% is in the  
13 less soluble non-protonated form, resulting in crystal formation. The structure of the newly  
14 discovered NRCs was determined after desiccation in an oxidative atmosphere, using  
15 synchrotron X-Ray crystallography (Fig. 3a). The NRC structure displays pi orbital stacking of  
16 the phenazine molecules in the oxidized state held together with long chains of hydrogen bonded  
17 water molecules.

18 Other observations of electron transfer from solid surfaces to methanogens have been  
19 dependent on material conductivity (Fig. S1). To test if the crystals were conductive Scanning  
20 Probe Microscopy based resistivity measurements were carried out, indicating that the structure  
21 is only very weakly semi-conductive, several orders of magnitude lower than activated carbon<sup>10</sup>,  
22 conductive iron oxides<sup>9</sup> or pili<sup>3</sup>. The measured specific resistivity of micron sized NRCs was

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1 found to be  $\rho = 2.386 \times 10^3 \Omega\text{m}$  (Fig. 3b,c). This suggests NRCs enhance methanogen growth  
2 and methane production by a mechanism distinct from that observed with conductive surfaces.

3 We also compared the electron transfer properties of NRCs with soluble neutral red by cyclic  
4 voltammetry. This revealed the crucial point that NRCs accept electrons more efficiently than the  
5 soluble electron transfer mediator (Fig. 3d). The measured midpoint potential (vs  $\text{H}_2$ ) of soluble  
6 neutral red in culture media was -375 mV, close to  $E^{0'}$  literature value of -325 mV, whilst the  
7 crystalline form was 444 mV more positive at +69 mV with the oxidative and reductive peak  
8 revealing 4.2 and 8.2 fold higher current responses (CVs with multiple scan rates are presented  
9 in Fig. S2). This suggests the crystalline form is more amenable to reduction than the soluble  
10 form with an enhanced ability to attract reducing equivalents from reduced organic or inorganic  
11 electron carriers in the environment. Fig. S3 shows reduction of neutral red crystals by soluble  
12 sulfide, iron sulfide and acetate fed activated sludge, confirming acceptance of both abiotic and  
13 biological sources of reducing equivalents.

14

### 15 **Microbial community dynamics in response to Neutral Red Crystals.**

16 Methanogenic Archaea belonging to the order *Methanosarcinales* were over-represented in the  
17 rapid biomass increase observed in cultures in response to NRC formation as determined by 16S  
18 rRNA gene amplicon sequencing (Fig. 4). Prominent and abundant cell-aggregates of  
19 *Methanosarcinales*, directly attached to NRCs, were detected by Fluorescence *In Situ*  
20 Hybridization (FISH) using a *Methanosarcinales* specific probe (MSMX 860). Within the  
21 *Methanosarcinales* order known acetoclastic *Methanosarcina* spp. (up from 13 to 27 % relative  
22 abundance) and *Methanosaeta* spp. (up from 4 to 12 % relative abundance) were enriched in  
23 response to treatment with 250  $\mu\text{M}$  neutral red after 10 months. *Methermicoccus* (from 1 to 45 %

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1 relative abundance; fam. *Methermicoccaceae*) sequences were also enriched. To date, only one  
2 species of this genus, *Methermicoccus shengliensis*, has been described<sup>16</sup> and whilst the isolate is  
3 strictly methylotrophic it is closely related to acetoclastic methanogens belonging to the family  
4 *Methanosaetaceae* and similar to *Methanosaeta*<sup>3</sup> its genome contains all genes required for  
5 hydrogenotrophic methanogenesis (Gp0013046, DOE Joint Genome Institute). Methane  
6 production in coal fed groundwater cultures was partially inhibited by methyl fluoride<sup>17</sup>, further  
7 suggesting neutral red stimulates acetoclastic methanogenesis (Fig. S4).

8 Neutral red also generated changes in the bacterial community along the 0-500  $\mu\text{M}$   
9 concentration gradient (Fig. 4) though the causes and consequences of the stimulatory or  
10 inhibitory effects are not known. Lineages of *Rhizobium* (up from 2 to 73 %), *Alishewanella* (1 to  
11 7 %), *Aquabacterium* (0.5 to 7 %), *Clostridium* (7 to 58 %), *Bacteroidetes* (0.5 to 15 %) and  
12 unclassified *Ruminococcaceae* (from 4 to 33%) were enriched. In contrast, some sulfate and  
13 sulfur reducing bacteria (SRB) such as *Desulfuromonas* and *Desulfotomaculum* sp. showed a  
14 decrease in relative abundance (down from 18 and 17 % respectively to 0 %). The observation  
15 that SRB were not favoured in the presence of neutral red is interesting in itself with SRB  
16 responsible for competition for reducing equivalents with methanogens, souring of hydrocarbon  
17 deposits and corrosion of municipal and industrial infrastructure through sulfide production.<sup>18,19</sup>

18

### 19 **Neutral red enhances methane yield in acetate fed *Methanosarcina mazei* cultures**

20 In pure culture incubations, methane production was enhanced by 250  $\mu\text{M}$  neutral red in  
21 hydrogen free, carbonate buffered, acetate fed acetoclastic *Methanosarcina mazei* cultures (Fig.  
22 5) but not in methanol fed methylotrophic *Methanococcoides burtonii* cultures where crystal  
23 formation was observed in both cases. In both pure cultures soluble sulfide was present

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1 chemically reducing the NRCs. In the *M. mazei* cultures the quantity of methane produced  
2 ( $\sim 2.52 \pm 0.75$  mmoles after 20 weeks) represented a five fold increase in methane output per cell  
3 (accounting for maintenance energy, the same quantity of methane can be produced by 2.7 fold  
4 fewer cells) and was approximately twice as high as the expected yield from a 1:1 stoichiometry  
5 for conversion of the methyl group of acetate to methane ( $\sim 1.10 \pm 0.02$  mmoles acetate  
6 consumed after 20 weeks). This indicates that neutral red enables reduction of the carbonyl  
7 group from acetate to methane. The lack of activity in cultures without acetate suggests neutral  
8 red does not act as a substrate for methanogenesis or enable reduction of  $\text{CO}_2$  to methane. We  
9 propose the shortfall in the electron balance is made up by reduced inorganic material in the  
10 media (eg. sulfide) converted to accessible reducing equivalents by NRCs. This is possible with  
11 neutral red ( $E' = -375$  mV) acting as an electron donor for proton reduction to molecular  
12 hydrogen via Vho ( $E' = -296$  mV under  $\text{H}_2$  partial pressures in methanogenic environments<sup>20</sup>) or  
13 reduction of other unknown electron carriers (Fig. 6).<sup>6</sup>

14

#### 15 **Neutral Red delivers electrons to the heterodisulfide reductase of *Methanosarcina mazei*.**

16 In *Methanosarcina mazei* electrons are transferred through the membrane from hydrogenases  
17 to the heterodisulfide reductase by the lipophilic electron shuttling metabolite  
18 methanophenazine. As neutral red and methanophenazine are structurally related phenazines  
19 (Fig. S5) with similar mid-point potentials ( $E^{0'}$ , -300 to -400 mV)<sup>21</sup> we investigated whether  
20 neutral red could substitute methanophenazine in *in vitro* electron transport assays with isolated  
21 membrane fractions of the acetoclastic methanogen *Methanosarcina mazei*. The data revealed  
22 that neutral red in soluble form serves both as electron donor and acceptor to the membrane-  
23 bound respiratory chain of *Methanosarcina mazei* (Fig. 3e,f, S5). In the presence of membrane

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1 fractions, neutral red was reduced when excess H<sub>2</sub> was the electron donor (by Vho  
2 dehydrogenase) and oxidized when CoB-S-S-CoM heterodisulfide was the electron acceptor (by  
3 heterodisulfide reductase) with rates of  $44 \pm 8$  and  $303 \pm 37$  mU (mg membrane protein)<sup>-1</sup>,  
4 respectively. These rates are 40 and 10 fold lower respectively than the electron transport rates  
5 obtained with 2-hydroxy-phenazine, a water-soluble analogue of methanophenazine.<sup>22</sup> Whilst  
6 these data suggest the activity of the heterodisulfide reductase is rate limiting for methanogenesis  
7 it is important to recognise that this may not be the only mechanism by which neutral red  
8 stimulates methane production. Electrochemically reduced neutral red has, for example, also  
9 been shown to reduce NAD<sup>+</sup> to NADH and play the role of menaquinone in the fumarate  
10 reductase of *Actinobacillus succinogenes*.<sup>23</sup> These or other unknown biochemical activities may  
11 influence methanogenesis.

12 Some artificial electron carriers interact only with the peripheral subunits of electron-  
13 transporting membrane complexes and thus do not generate proton motive force. To investigate  
14 whether neutral red can occupy methanophenazine binding-sites, and therefore allow membrane  
15 complexes to undergo their complete catalytic cycle including proton translocation, we added the  
16 specific inhibitor diphenyleneiodonium (DPI) that competes with methanophenazine for enzyme  
17 binding sites in the *in vitro* assays.<sup>22</sup> DPI inhibited both the hydrogen-dependent neutral red  
18 reduction and the heterodisulfide-dependent neutral red oxidation with IC<sub>50</sub> values of 85 and 200  
19 nmol DPI (mg membrane protein)<sup>-1</sup>, respectively, being in the same range as reported previously  
20 in experiments using 2-hydroxy-phenazine (60 and 125 nmol DPI per mg membrane protein)  
21 (Fig. 3e, f). Neutral red is therefore able to mimic the membrane integrated methanophenazine  
22 pool of *Methanosarcina* species, increasing rates of proton translocation and regeneration of the  
23 methanogenic cofactors CoM-SH and CoB-SH. The fact that neutral red serves as a substrate for

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1 the Vho dehydrogenase when H<sub>2</sub> is supplied in excess supports the contention that at low H<sub>2</sub>  
2 partial pressures (<100 kPa) neutral red could drive H<sub>2</sub> production via this enzyme complex,  
3 enabling reduction of the carbonyl group from acetate to methane (Fig. 6).

4 In the membrane fraction experiments described, soluble neutral red was used because the  
5 concentrations of mediators typically applied are an order of magnitude lower than those  
6 required for crystal formation. It was not possible to test the crystalline form alone, because of its  
7 equilibrium with the soluble form. This raises the question of how neutral red molecules can  
8 interact with enzymes in cytoplasmic membranes whilst in crystalline form. The most  
9 parsimonious explanation is that neutral red molecules shuttle between the S-Layer in direct  
10 contact with the crystal surface and the cytoplasmic methanogenic membrane (Fig. 6). We  
11 propose two mechanisms by which the state of equilibrium between soluble and crystalline  
12 neutral red at the surface of the crystals can be pushed towards the soluble form. The first  
13 involves the reduction of neutral red molecules at the surface of the crystals (2e<sup>-</sup> transfer to the  
14 azobenzene ring) at neutral pH resulting in protonation of the two nitrogen atoms.<sup>24</sup> Additional  
15 protons cannot be accommodated spatially in the crystal structure described here, resulting in  
16 release of neutral red molecules. The second involves the elevated proton concentration  
17 associated with the proton motive force generated by the methanogen cell protonating the  
18 methylamine moiety of neutral red molecules at the surface of the crystal (pH < 6.3)<sup>25</sup>.  
19 Protonation of the methylamine moiety creates a positively charged molecule with altered bond  
20 lengths that would absorb it from the lattice. The oxidised form of neutral red generated through  
21 reduction of CoB-S-S-CoM via the heterodisulfide reductase is susceptible to protonation at a  
22 more neutral pH (6.3<pH<6.7)<sup>24</sup>, so oxidation of the crystal by soluble neutral red oxidised by  
23 the heterodisulfide reductase will further support production of a soluble pool of neutral red at

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1 the point of cell contact. Additionally, as shown experimentally, the reduction of the  
2 heterodisulfide reductase by neutral red bolsters the accumulation of protons in the extracellular  
3 space (Fig. 6). It is also plausible that unknown mechanisms involved in direct electron uptake  
4 by methanogens from activated carbon, iron oxides or bacteria are active.<sup>3,9,10</sup>

#### 6 **Neutral Red addition to coal associated groundwater enhances biogas production *in situ*.**

7 Because neutral red can be delivered as a solution with ensuing crystal formation, it represents an  
8 attractive amendment for enhancing biogas production in a variety of applications. To examine  
9 the utility of the neutral red crystals in enhancing real time biogas production from a coal seam,  
10 250  $\mu$ M neutral red was introduced into coal seam associated groundwater in triplicate wells at  
11 an abandoned coalmine 80 m below ground level. NRCs were observed within a week of  
12 introducing the amendment and 5-10 fold increases in methane production were recorded over  
13 those obtained with nutrient amendments ((NH<sub>4</sub>)<sub>3</sub>PO<sub>4</sub>) over a 12 month period (Fig. 7).  
14 Enhanced biogas production occurred despite a background of 265 mg/L sulphate in the  
15 groundwater. Sulphate reduction rates were unaffected. Additionally, lag times for methane  
16 production were reduced and the longevity of methane production was increased. Ecotoxicology  
17 experiments have shown that the small quantities of neutral red remaining in solution after  
18 crystal formation are benign to sensitive ecological receptors.<sup>15</sup> This field demonstration of  
19 enhanced biogas production in a non-gassy coal seam showcases the applicability of the  
20 technology at large scale in the subsurface environment.

21

#### 22 **Conclusions**



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1 NRCs are novel crystalline structures with the ability to concentrate reducing equivalents from  
2 mineral and organic sources in the environment and make them available to methanogenic  
3 Archaea thereby enhancing biogas production by an order of magnitude. The process is  
4 demonstrated in mixed species microbial assemblages fed with renewable (food waste) and non-  
5 renewable (coal) reduced organic substrates and in acetate fed cultures of *Methanosarcina mazei*.  
6 A detailed molecular mechanism behind the phenomenon is presented along with a field scale  
7 demonstration in an abandoned coalmine. The crystals appear to stimulate methanogenesis not  
8 by virtue of conductivity but as a consequence of the equilibrium and the difference in redox  
9 potentials between the crystalline and soluble form of neutral red and its ability to mimic the  
10 methanogenic respiratory metabolite methanophenazine in interaction with the key respiratory  
11 enzyme heterodisulfide reductase. The discovery has immediate specific implications for  
12 unconventional gas production<sup>26</sup> but more significantly demonstrates the possibility of  
13 constructing solid electrochemical adaptors for engineering the fate of reducing equivalents in  
14 microbial communities.

15

## 16 **Methods**

### 17 **Enrichment cultures**

18 Coal-associated groundwater and coal were collected from a non-gassy subbituminous coal seam in  
19 NSW, Australia. Digested food-waste (digestate) was sampled from a food waste-to-energy facility  
20 (EarthPower Technologies, Sydney, Australia). Both sampling campaigns were conducted in 2013.  
21 Subsequent processing of all samples occurred under anaerobic conditions using an anaerobe chamber  
22 under N<sub>2</sub> atmosphere and degassing techniques restricting the exposure of the samples to oxygen. Small  
23 coal pieces (1.5 cm<sup>3</sup>, 2.5 g total wet weight per flask) with 10 mL of coal-associated groundwater or 1 mL  
24 of digestate slurry were distributed into serum flasks containing 100 mL of sulfate-free mineral medium

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1 and a 40 mL N<sub>2</sub> headspace sealed with silicon septa.<sup>27</sup> Coal and food-waste cultures had a final pH of 7.5  
2 and 5.5, respectively. Controls were supplemented either with 10 mM 2-bromoethanesulfonate to exclude  
3 abiotic degassing by inhibiting methanogenesis or sodium azide (NaN<sub>3</sub>) as an abiotic control. In neutral  
4 red amended cultures, a 250 mM neutral red (3-Amino-7-dimethylamino-2-methylphenazine  
5 hydrochloride, C<sub>15</sub>H<sub>17</sub>ClN<sub>4</sub>, Sigma-Aldrich) solution was injected to final concentrations of 0, 50, 100,  
6 250 and 500 µM neutral red. All incubations were carried out at least in triplicates.

7

#### 8 ***In situ* application of neutral red**

9 In triplicate, dissolved neutral red was added to coal associated groundwater wells in NSW, Australia to a  
10 final concentration of 250 µM. The wells open onto a 3 m thick non-gassy subbituminous coal seam 80 m  
11 below and contain 450 L of static groundwater (pH 5.5, 16 °C, 265 mg/L sulfate) with a 1000 L  
12 headspace and approximately 2 m<sup>2</sup> of exposed coal surface area. Headspace gas was sampled via gas taps  
13 integrated into the gas tight wellhead using a gas-tight syringe.

14

#### 15 **Methane analysis by gas chromatography**

16 Methane concentrations were monitored monthly in 100 µl headspace samples by gas chromatography  
17 using a Shimadzu GC-2010 with flame ionization detection (GC-FID) and a GasPro PLOT column (60 m  
18 x 0.32 mm, Agilent Technologies, Australia).<sup>28</sup>

19

#### 20 **Total cell counts, FISH and microscopic detection of Neutral Red Crystals (NRCs)**

21 Culture slurries (1 mL) were taken monthly, fixed by the addition of glutaric dialdehyde (0.2 µm filtered,  
22 2% final concentration) and stored at 4°C in the dark. Fluorescence *in situ* hybridisation (FISH) was  
23 carried out using the general probe for Archaea Arch915 and the specific probe MSMX860 targeting the  
24 order *Methanosarcinales*.<sup>29,30</sup> Samples (10 µL) were transferred to a microscope slide treated with a  
25 mounting medium (9.6% polyvinylalcohol 4-88 [moviol, Sigma Aldrich], 24% glycerol). Cell staining

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1 using SYBR Green I (Life Technologies), and cell counting was performed using a BX51WI  
2 epifluorescence microscope (Olympus).<sup>31</sup>

3

#### 4 **X-ray crystallography**

5 The initial structure the NRCs could be determined using a laboratory X-ray source and later using the  
6 MX2 beamline at the Australian Synchrotron to improve diffraction intensities and resolution. For X-ray  
7 crystallography at the laboratory source, single crystals were selected using a polarizing microscope  
8 (Leica M165Z), mounted on a MicroMount (MiTeGen, USA) that consists of a thin polymer tip with a  
9 wicking aperture. The X-ray diffraction measurements were carried out on a Bruker kappa-II CCD  
10 diffractometer at 150 K using an I $\mu$ S Incoatec Microfocus Source with a Mo-K $\alpha$  radiation ( $\lambda = 0.710723$   
11  $\text{\AA}$ ). The single crystal, mounted on the goniometer using cryo loops for intensity measurements, was  
12 coated with paraffin oil and quickly transferred to the cold stream using an Oxford Cryo stream  
13 attachment. Symmetry related absorption corrections using the program SADABS were applied and the  
14 data were corrected for Lorentz and polarisation effects using Bruker APEX2 software. For X-ray  
15 diffraction measurements at the Synchrotron beam line MX2, a single crystal was mounted on the  
16 goniometer using cryo loop for diffraction measurements, coated with paraffin oil and then quickly  
17 transferred to the cold stream using cryo stream attachment. Si-111 monochromated synchrotron X-ray  
18 radiation ( $\lambda = 0.71023 \text{\AA}$ ) at 100(2) K was used to collect the data output and was corrected for the  
19 Lorentz and polarization effects by XDS software.<sup>32</sup> The structure was solved subsequently to both  
20 analyses by Direct-methods and the full-matrix least-squares refinement was carried out using  
21 SHELXL.<sup>33</sup> The non-hydrogen atoms were refined anisotropically. The molecular graphic was generated  
22 using Mercury.<sup>34</sup>

23

#### 24 **DNA extraction and pyrotag sequencing**

25 DNA was extracted from 2 mL of culture slurry after 0, 5 and 10 months of incubation using a modified  
26 phenol-chloroform extraction method.<sup>35</sup> Deviations from this protocol were a change in the pH of the

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1 extraction buffer to 11. Subsequently, the DNA was precipitated using polyethylene glycol 6000  
2 (SigmaAldrich) and the DNA pellet was washed once with 70% ethanol and was dissolved in 50  $\mu$ L  
3 elution buffer (Quiagen). DNA was checked by standard agarose gel electrophoresis and was quantified  
4 fluorometrically using RiboGreen (Qubit Assay Kit, Invitrogen) according to the manufacturer's  
5 instructions. Extracted DNA was immediately used as target for 454 pyrosequencing using a 454 GS FLX  
6 Titanium sequencer (University of Western Sydney (UWS), Penrith, Australia) and universal primers  
7 926F (5'-AAA CTY AAA KGA ATT GRC GG-3') and 1392R (5'-ACG GGC GGT GTG TRC-3')  
8 targeting bacteria and archaea.<sup>36</sup> Resulting sequences were checked and trimmed for quality and  
9 sequences shorter than 250 base pairs were discarded using MOTHUR. Chimeras were checked using the  
10 Uchime algorithm<sup>36</sup> and remaining sequences were classified using the Ribosomal Database Project<sup>37</sup> with  
11 50% confidence interval and a screening for OTUs with total read abundances  $> 1$  for all combined  
12 samples.

13

#### 14 **Cyclic voltammetry measurements**

15 A single chamber electrochemical cell containing three electrodes was used to characterize and compare  
16 the electro-activity of NRC modified electrodes by cyclic voltammetry (CV). The reference electrode  
17 (Ag/AgCl electrode in 1 M KCL, CH Instruments, Inc.), counter electrode (platinum wire, CH  
18 Instruments, Inc.) and working electrode (carbon felt, 30 mm x 30 mm x 5 mm, ALS Technologies,  
19 Australia) were connected to a potentiostat (EC-Lab software, Bio-Logic Instruments). The cell sealed  
20 with silicone rubber septa contained 250 mL of anaerobic Black-sea media as a negative control. The CV  
21 analysis of dissolved neutral red was carried out immediately after the addition of neutral red to a final  
22 concentration of 250  $\mu$ M before crystal formation commenced. The CV measurement of NRCs was  
23 achieved by adding 250  $\mu$ M neutral red to media and incubating for 3 weeks to allow complete crystal  
24 formation on the surface of the working electrode. A standard CV measurement was performed with a  
25 potential range of -1.0 V to + 1.0 V (vs. Ag/AgCl) and back at a scan rate of 10 mVs<sup>-1</sup> for 3 cycles. The

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1 current was recorded at each potential voltage point and the CV graphs were obtained by plotting the  
2 current against the cycling voltage from the last cycle.

3

#### 4 **Conductive Atomic-Force Microscopy (c-AFM)**

5 A scanning probe microscopy system (AIST-NT SmartSPM) was used to determine the topography of  
6 the NRCs and the conductive-AFM was used to measure NRC resistance. A conductive platinum coated  
7 tip (14 N/m) was used for imaging and I-V curve analysis. The applied force through the tip during c-  
8 AFM measurements was 80 nN. The current and voltage characteristics were obtained by sweeping a bias  
9 range from -5 to +5 V (100 points, 2 passes and 30 ms/point). The NRCs were transferred onto an  
10 insulating glass plate and subsequently half-coated with gold. In order to measure conductivity, silver  
11 paste was used to laterally cover the whole sample composite from the bottom of the glass to the top of  
12 the gold surface. The I-V curve measurements were taken on the bare side of the NRC in steps of a few  
13 microns away from the gold-coated NRC end. The total resistance consists of the contact resistance  
14 between the tip and the sample and the lateral resistance that occurs from the current flow through the  
15 NRC.

16

#### 17 **Neutral red membrane-bound electron transport**

18 Membrane fractions of *Methanosarcina mazei* DSM 7222 were prepared as reported previously.<sup>5</sup> Enzyme  
19 assays were conducted in N<sub>2</sub> flushed rubber-stoppered glass cuvettes containing 1 mL potassium  
20 phosphate buffer (40 mM KH<sub>2</sub>PO<sub>4</sub>/K<sub>2</sub>HPO<sub>4</sub>, pH 7.0, 5 mM dithiothreitol, 1 µg mL<sup>-1</sup> resazurin), 20 µM  
21 neutral red and 80 µg membrane protein. For hydrogenase activity measurement, the headspace was  
22 exchanged for a hydrogen atmosphere. For heterodisulfide reductase activity measurement, neutral red  
23 was first reduced with 15 nmol Ti(III)citrate, followed by addition of 20 nmol heterodisulfide. Enzyme  
24 activity measurements were followed at 530 nm ( $\epsilon = 16.53 \text{ mM}^{-1} \text{ cm}^{-1}$ ) on a V-550 UV/Vis  
25 spectrophotometer (Jasco, Germany), 1 Unit of activity was defined as 1 µmol neutral red  
26 reduced/oxidized per minute.

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1

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8

## 9 **Author Information**

10 The crystallography data has been deposited in the Cambridge Structural Database under accession  
11 numbers CCDC1020368. Correspondence and request for materials should be addressed to S.B.  
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13

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23

24 **Figure Legends**

25 **Figure Graphical abstract**



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1 Novel phenazine crystals serve as an electrochemical adaptor for direct electron transfer to  
2 methanogens and enhance methanogenesis in anaerobic digestion.

3  
4 **Figure 1 | Methane production and cell concentration over time in 0 - 500  $\mu\text{M}$  neutral red**  
5 **amended coal fed (a) and food waste fed (b) mixed species microbial cultures.** The highest  
6 methane production (consecutive bars represent monthly readings) and cell proliferation (circles)  
7 were observed in 250  $\mu\text{M}$  neutral red amended cultures, coinciding with the formation of neutral  
8 red crystals (orange) and microbial biomass aggregation (green). Scale bars 5  $\mu\text{M}$ . Error bars  
9 represent standard deviation ( $n = 5$ ).

10  
11 **Figure 2 | Formation of flexible needle like crystals.** Fluorescence microscopy shows a-c)  
12 Rapid cell proliferation (green) around neutral red crystals (red-orange), d-e) Neutral red crystals  
13 nucleating from biomass (yellow-green) and f) Flexibility of neutral red crystals. Scale bars 5  
14  $\mu\text{M}$ .

15  
16 **Figure 3 | The novel neutral red crystal structure displays pi orbital stacking enabling long**  
17 **range electron transport and possesses a higher midpoint potential than the soluble form**  
18 **that delivers electrons to the membrane bound heterosulfide reductase of *Methanosarcina***  
19 ***mazei*.** a, Neutral red crystal structure showing a stacked arrangement of neutral red molecules  
20 consisting of nitrogen containing heterocyclic rings (grey) held together by hydrogen bonding  
21 with long chains of water molecules (oxygen in red and hydrogen in white). b, Topography of a  
22 single neutral red crystal by scanning probe microscopy. c, Resistance as a function of distance  
23 along a single crystal. d, Cyclic voltammogram of soluble (blue) and crystalline (red) neutral red

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1 in association with the working electrode in an electrochemical cell. e, Neutral red reduction  
2 activity by *Methanosarcina mazei* membrane fractions in the presence of H<sub>2</sub> and inhibition by a  
3 competitive inhibitor of methanophenazine-mediated reactions (diphenyleneiodonium chloride).  
4 f, Neutral red oxidation activity by *Methanosarcina mazei* membrane fractions in the presence of  
5 the CoM-S-S-CoB heterodisulfide and inhibition by diphenyleneiodonium chloride.

6  
7 **Figure 4 | Changes in the archaeal and bacterial community composition in response to**  
8 **neutral red based on ribosomal RNA gene sequencing.** Methanogenic Archaea of the order  
9 *Methanosarcinales* increased in relative abundance when the neutral red crystals were present  
10 (250-500 μM treatments). Bubble size reflects relative abundance.

11  
12 **Figure 5 | Methane production (squares), acetate consumption (triangles) and total cell**  
13 **counts (circles) by *Methanosarcina mazei* amended with 250 μM neutral red and acetate.** In  
14 pure acetoclastic *Methanosarcina mazei* cultures methane production was enhanced by 250 μM  
15 neutral red (red square) compared to acetate fed cultures without neutral red amendment (black  
16 square). Error bars represent standard deviation (n = 5).

17  
18 **Figure 6 | Diagram (not to scale) representing proposed model of neutral red crystal**  
19 **enhanced biological methane production based on *Methanosarcina mazei*.** Crystals harvest  
20 electrons from reduced organic (cells) or inorganic (sulfide) material in anaerobic environments.  
21 Electrons relocate through the crystal lattice. At the point of contact between the methanogen S-  
22 Layer and the crystal surface translocated protons solubilise reduced neutral red enabling entry  
23 into the cell membrane. Reduced neutral red delivers electrons to the heterodisulfide reductase

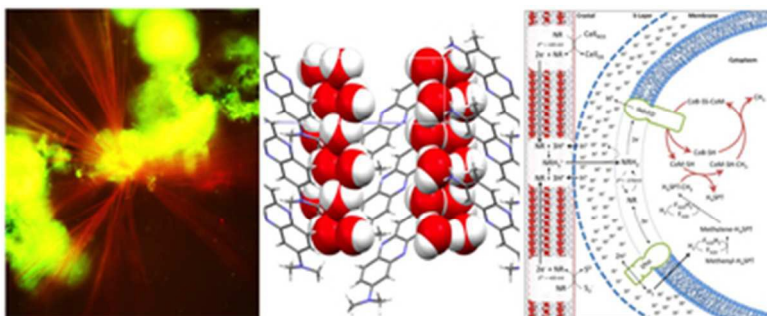
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1 (HdrED) in the membrane resulting in proton translocation and liberation of CoM-SH and CoB-  
2 SH enhancing the rate of methanogenesis. Reduced neutral red delivers electrons to the Vho  
3 dehydrogenase producing hydrogen enhancing methane yield via CO<sub>2</sub> or CO reduction.

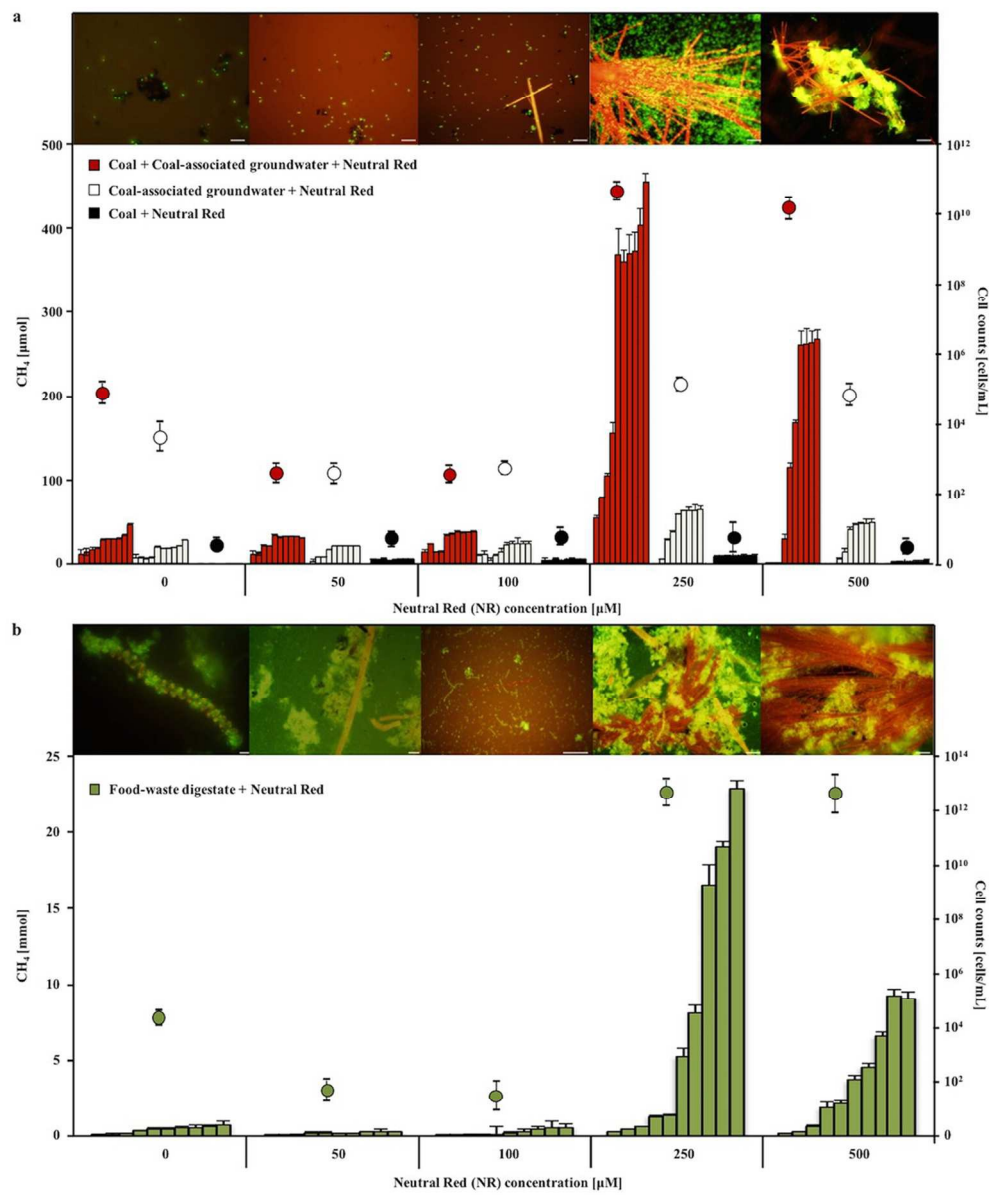
4

5 **Figure 7 | *In situ* methane formation from coal associated groundwater wells in response to**  
6 **250 μM neutral red amendment.** Increases in methane concentration in the headspace of the  
7 three neutral red amended wells were 5-10 fold faster than in a nutrient amended well (NH<sub>3</sub> +  
8 PO<sub>4</sub><sup>3-</sup>). Inset image: Neutral red crystals (orange) formed in groundwater were rapidly colonised  
9 by microorganisms (green). Scale 5 μM.

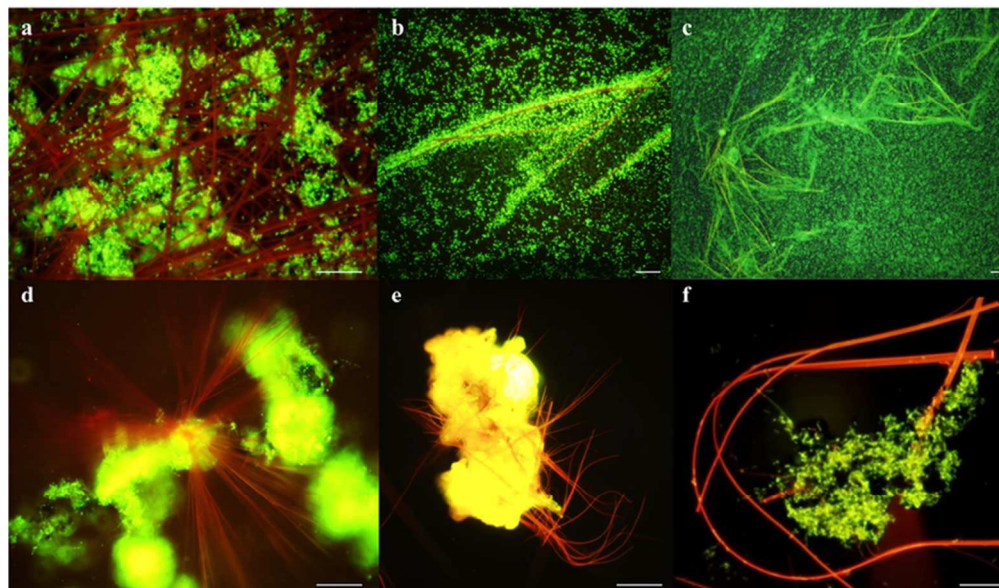
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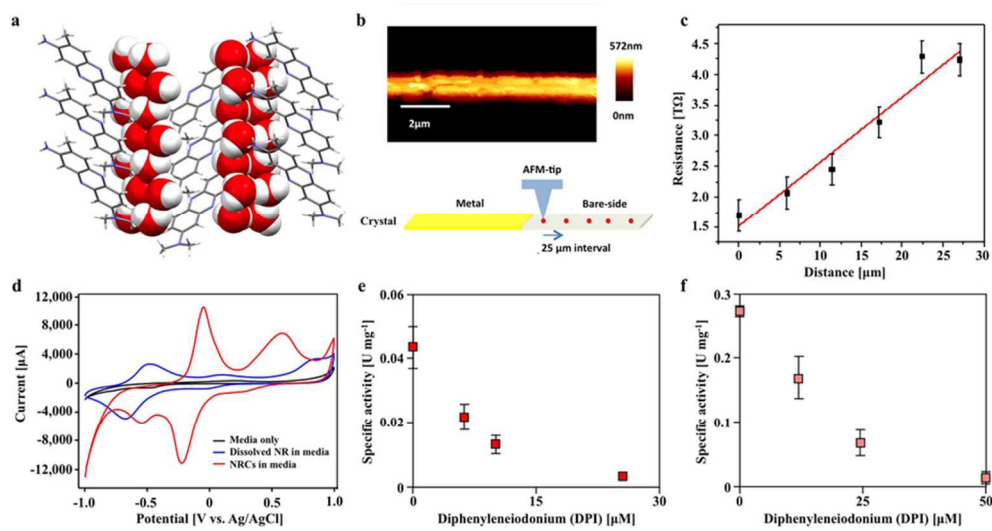
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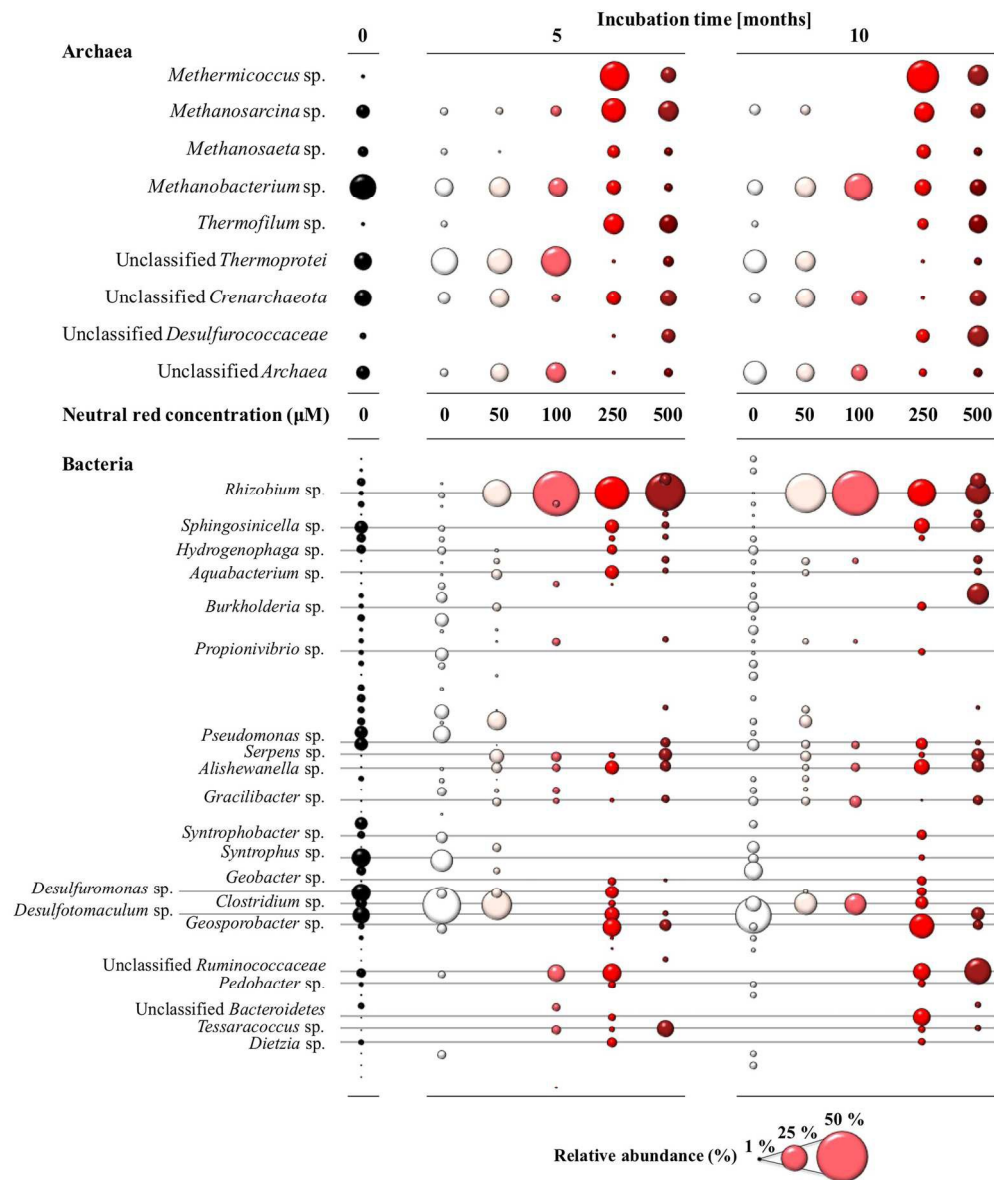
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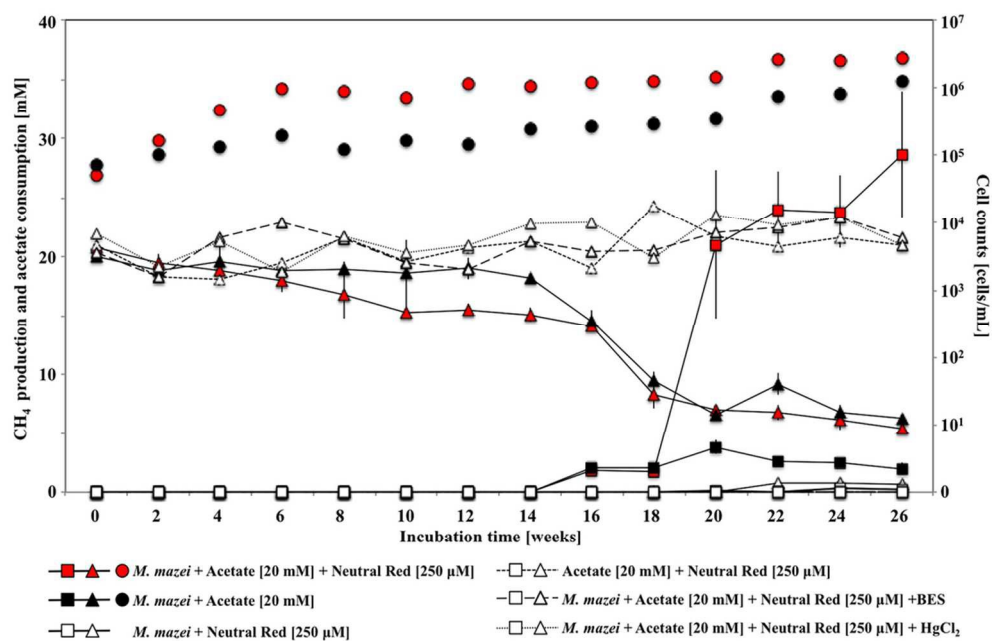


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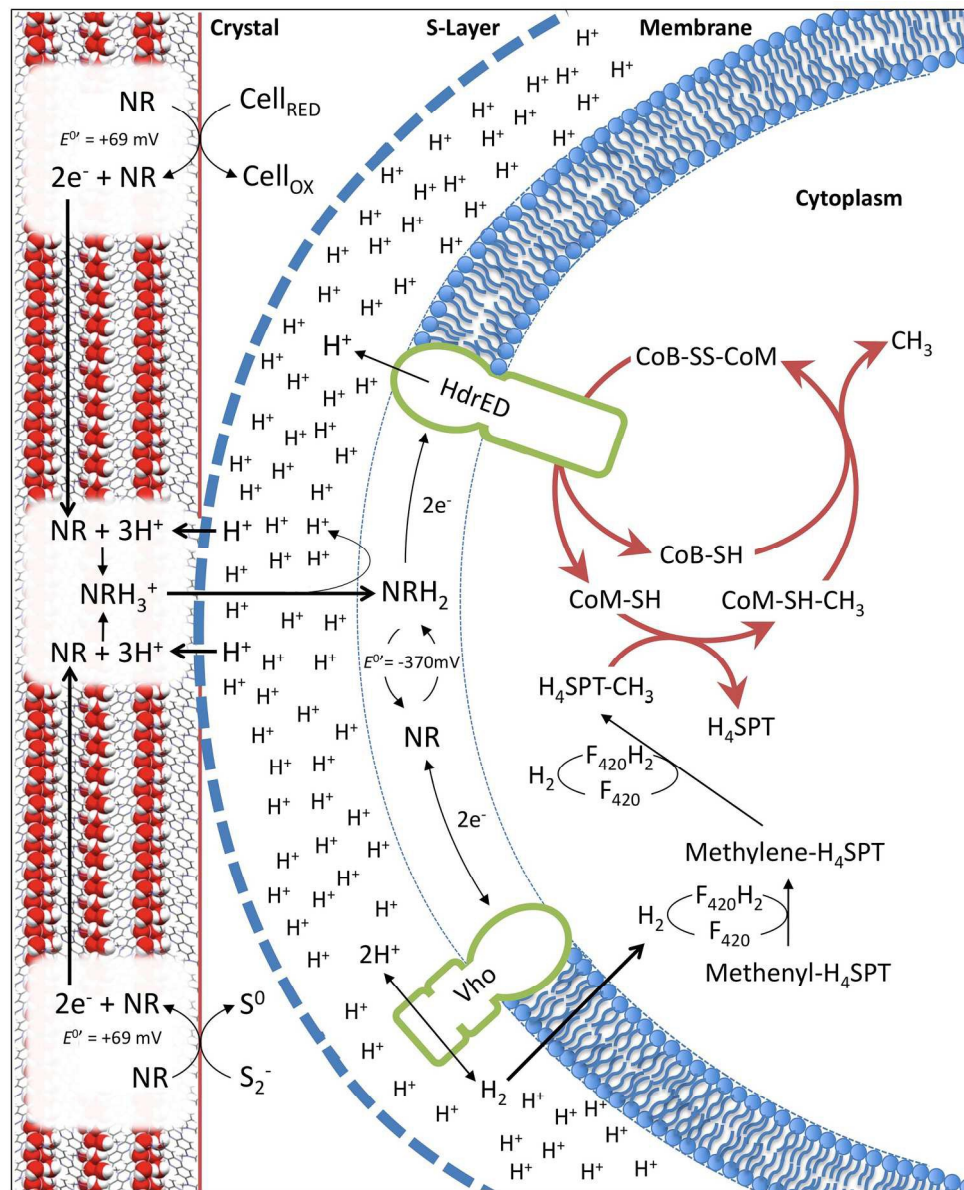


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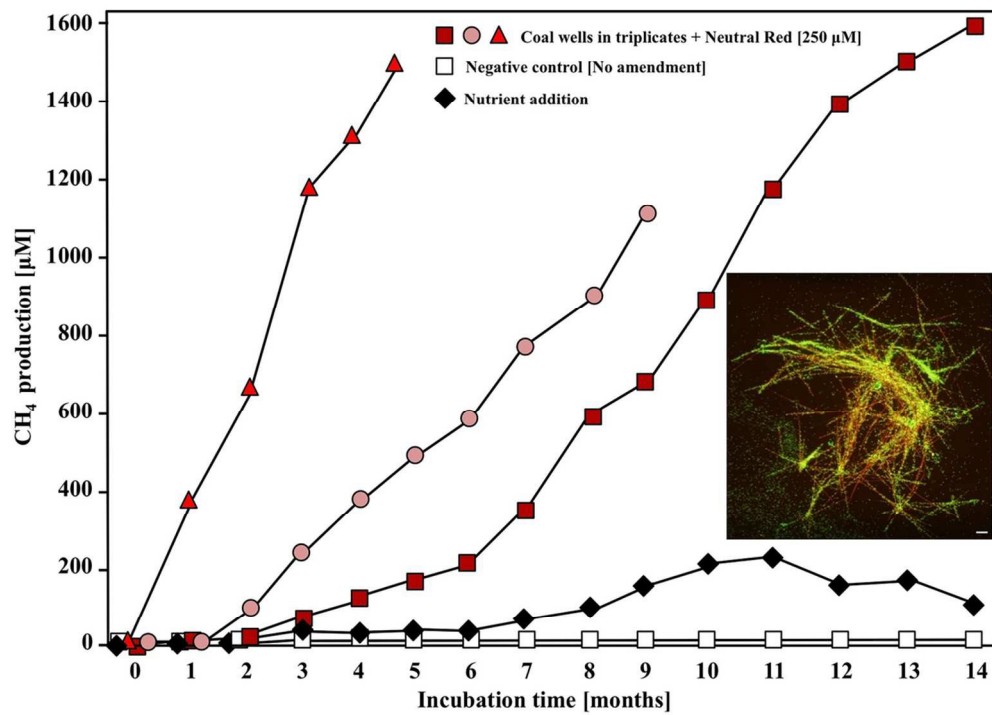




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184x226mm (300 x 300 DPI)



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