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Environmental Science

Cite this: DOI: 10.1039/c0xx00000x

PAPER

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New insight into the material parameter B to understand the enhanced thermoelectric performance of Mg₂Sn_{1-x-v}Ge_xSb_v

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5 Received (in XXX, XXX) Xth XXXXXXXXX 20XX, Accepted Xth XXXXXXXX 20XX DOI: 10.1039/b000000x

Historically, material parameter B incorporating weighted mobility and lattice thermal conductivity has guided the exploration of novel thermoelectric materials. However, the conventional definition of B neglects the bipolar effect which can dramatically change the thermoelectric energy conversion efficiency at high temperatures. In this paper, a generalized material parameter B is derived, which 10 connects weighted mobility, lattice thermal conductivity, and band gap. Based on the new parameter B^* , we explain the successful tuning of the electron and phonon transport in Mg₂Sn_{1-x-y}Ge_xSb_y, with an improved ZT value from 0.6 in Mg₂Sn_{0.99}Sb_{0.01} to 1.4 in Mg₂Sn_{0.73}Ge_{0.25}Sb_{0.02}. We uncover that the Ge alloying approach simultaneously improves all the key variables in the material parameter B^* , with a ~25% enhancement in the weighted mobility, ~27% band gap widening, and ~50% reduction in the lattice thermal conductivity. We show that higher generalized parameter B^* leads to a higher optimized ZT in Mg₂Sn_{0.73}Ge_{0.25}Sb_{0.02}, and some common 15 thermoelectric materials. The new parameter B* provides a better characterization of material's thermoelectric transport, particularly at high temperatures, and therefore can facilitate the search of good thermoelectric materials.

Broader Context

Thermoelectric conversion involves the transport of electrons and phonons. It has been very challenging to synergistically tune the macro 20 thermoelectric transport parameters: electrical conductivity, thermal conductivity, and Seebeck coefficient as these properties are coupled to each other. Recently, we have achieved a significant enhancement in the thermoelectric performance of Mg₂Sn by partially substituting Sn with Ge and doping Sb. The new material Mg₂Sn_{0.728}Ge_{0.25}Sb_{0.022} has a high average ZT (0.9) and power factor (52 μW cm⁻¹ K⁻²) in the temperature range of 25-450 °C, with favourably high efficiency and large output power density. The ZT improvement is understood through a generalized material parameter B^* , which connects weighted mobility, lattice thermal conductivity, and band gap. 25 A higher B* is desired for higher ZT. The new parameter will help guide the optimizations of known materials by synergistically tailoring these fundamental parameters to enhance their thermoelectric performance, and the search of new materials by using it as new scale.

1. Introduction

The efficiency of thermoelectric power generation is governed by 30 the Carnot efficiency and dimensionless figure of merit (ZT) of the material. ZT is defined as $ZT = (S^2 \sigma / \kappa)T$, where S, σ , κ , and T are the Seebeck coefficient, electrical conductivity, thermal conductivity, and absolute temperature, respectively. Tr value strongly depends on the carrier concentration. Optimization of the 35 carrier concentration leads to optimized reduced Fermi energy (ξ_f = E_d/k_BT) close to the band edge E_c for n-type and E_v for the ptype semiconductor, where the energy is measured from E_c (E_v) for the n-type (p-type) semiconductor. However, the maximum ZT is limited by the interdependence of S, σ , and κ .³ In evaluating 40 material's thermoelectric performance, a dimensionless material parameter B, proposed first by Chasmar and Strattton from a single parabolic band model in the nondegenerate limit, has proven to be very useful. 4-8

$$B = 5.745 \times 10^{-6} \frac{\mu \left(m^* / m_0\right)^{3/2}}{\kappa_{lot}} T^{5/2} , \qquad (1)$$

45 where m^* , m_0 , μ , κ_{lat} , and T are the carrier effective mass, free electron mass, carrier mobility, lattice thermal conductivity, and absolute temperature, respectively. All the parameters defined in Eq. (1) are expressed in SI units, i.e., μ in m² V⁻¹ s⁻¹ and κ_{lat} in W m^{-1} K⁻¹. The product of μ and $(m^*/m_0)^{3/2}$ was commonly called $_{50}$ weighted mobility and will be denoted as U. A large material parameter B usually corresponds to a high ZT value at the optimized ξ_f . The power of this parameter lies in that it provides a clear guidance to identify better thermoelectric materials instead of checking all the transport properties (S, σ and κ), one should $_{55}$ look for materials with a high weighted mobility U and low lattice thermal conductivity κ_{lat} , which are less dependent on each other. Furthermore, the material parameter B was generalized to take into account the effect of alloying scattering, 9 as well as

additional electron (hole) bands. 10 Despite the insightful understandings gained from the parameter B, Eq. (1) implies that this parameter increases with the temperature continuously, while ZT of most real materials drops at high temperatures due to the 5 bipolar effect. It has been known that materials with small energy band gap (E_g) suffer more from the bipolar effect due to the decreased Seebeck coefficient and increased thermal conductivity. 11 Previous work has shown that the optimized band gap is related to the temperature, i.e., $E_g = 4-10 k_B T$. ^{4, 12, 13} For $_{10}$ given material parameters B and temperature T, the optimized ZTwith respect to the carrier concentration increases with the band gap and becomes saturated as $E_g > 10 k_B T$ for both direct and indirect band gaps. 13, 14 However, a generalized material parameter similar to B is missing that permits the evaluation of 15 material's thermoelectric performance by exploring the tradeoff among U, κ_{lat} , and E_g in a more fundamental way rather than examining S, σ , and κ .

Nano approach has worked for most of the thermoelectric materials because the scattering centers scatter phonons more 20 than electrons. 15, 16 Recently, a first-principles-based simulations for silicon have shown that the length span of the mean free path of phonons is much longer than that of electrons, which give a good explanation for enhanced ZT from the nano approach strategy. ¹⁷ However, further reduction of κ_{lat} may lead to reduced 25 U, when the average distance between two neighbor scattering centers becomes comparable to the electron mean free path. Different strategies have been developed to reduce the decrease of the carrier mobility when applying the nanostructuring approach to reduce κ_{lat} , such as modulation doping, ¹⁸ ordered ³⁰ nano inclusion, ³ re-oriented grains, ¹⁹ and better alignment of band edge offsets between the inclusions and matrix.²⁰ In another direction different from the phonon engineering, increased electronic density-of-states due to resonant dopants, ²¹⁻²³ and the electronic density-of-states due to resonant dopants, ²¹⁻²³ and the band convergence effect ^{24, 25} has been used to improve the power 35 factor by increasing the effective mass. Furthermore, there is one constraint between E_g and κ_{lat} . For two compounds with similar crystalline structures, the one composed of lighter elements usually has larger E_g and higher κ_{lat} due to stronger chemical bonding.²⁶ One way to go beyond this limit is to have complex 40 crystalline structure as complex crystal structures have more optical phonons that do not contribute much to heat conduction and yet can scatter acoustic phonons, leading to a lower lattice thermal conductivity.²⁷ Despite various strategies mentioned above, there does not exist a unified parameter connecting the 45 three fundamental parameters U, κ_{lat} , and E_g to guide the improvement of ZT.

In this work, we derive a generalized material parameter B^* , which is proportional to U and E_g while inversely proportional to κ_{lat} , and apply it to study the enhanced thermoelectric 50 performance of alloyed Mg₂Sn. Mg₂Sn is a semiconductor with a narrow band gap of 0.26 eV, 28 which has recently been investigated in the composition of Mg₂Sn_{0.6}Si_{0.4}, showing a ZT of 1.1-1.3. $^{29-34}$ In our previous work, 35 we successfully synthesized Mg₂Sn using mechanical ball milling technique followed by hot 55 pressing, which showed a high carrier mobility of ~90 cm² V⁻¹ s⁻¹ at a high doping concentration ($n \sim 1.8 \times 10^{20}$ cm⁻³). A record ZT of 1.4 at 450 °C and a peak power factor (PF) of 55 μ W cm⁻¹ K⁻² at 350 °C were obtained in Mg₂Sn_{0.728}Sb_{0.022}Ge_{0.25} with a small amount of extra Mg. The thermoelectric performance of this material surpassed other materials' operating in the temperature

range of 50-450 °C in terms of both the efficiency and output power. In this paper, we systematically investigated the alloying effect of Ge in $\mathrm{Mg_2Sn_{1-x-y}Ge_xSb_y}$ in terms of the generalized material parameter B^* . It is found that the ZT enhancement due to Ge alloying can be understood as a synergetic effect of a ~25% enhancement in U, ~27% widening in E_g and ~50% reduction in κ_{lql} . Furthermore, the connection between the material parameter B^* and peak ZT for some classic materials, including $\mathrm{CoSb_3}$, $\mathrm{Bi_2Te_3}$ and PbTe , were also discussed.

70 2. Experimental details

Synthesis. The synthesis process of $Mg_2Sn_{1-x-y}Ge_xSb_y$ (x = 0-0.3, y = 0-0.025) was similar to our previous work. Elemental powders of Mg, Sn, Ge, and Sb were used for ball milling and then hot pressing at 600-750 °C for 2 minutes.

75 Thermoelectric transport properties. The electrical resistivity was measured by a DC-current four-point method, while the Seebeck coefficient was determined by the slope of the voltage difference versus temperature difference curve based on a static temperature difference method. The simultaneous measurement 80 of electrical resistivity and Seebeck coefficient was conducted on a commercial system (ZEM-3, ULVAC). The thermal conductivity was calculated from the relationship $\kappa = DC_n d$, where D, C_n , and d are the thermal diffusivity, specific heat, and volumetric density, respectively. The thermal diffusivity was 85 measured by the laser flash method with a commercial system (LFA457, Netzsch). The specific heat capacity was determined by a differential scanning calorimeter (DSC 404 C, Netzsch). The volumetric density was measured by the Archimedes method. The measurement of Hall coefficient, R_H , was carried out on a 90 commercial system (PPMS, Quantum Design), with a magnetic field up to 6 T and an electrical current of 10-20 mA.

Band gap measurement. Fourier transform infrared spectroscopy (FTIR) is performed to derive the optical band gap based on the Krameres-Kronig analysis of the reflectance. The FTIR is conducted on an infrared spectroscopy (iS50, Thermo Nicolet) with DTGS detector at room temperature in the range of 4000 to 400 cm⁻¹ with a resolution of 4 cm⁻¹. The reflectance spectra *R* (ω) was taken with an angle of 10° near normal incident direction.

Theoretical calculation. First principles calculations are performed for the band structures of Mg₂Sn and Mg₂Ge using the linearized augmented plane-wave (LAPW) method³⁶ as implemented in the WIEN2K simulation package.³⁷ The generalized gradient approximation (GGA) of Perdew, Burke, and Ernzerhof³⁸ is used with a Brillouin zone sampling including more than 200 k-points in the irreducible wedge of the Brillouin zone. The newly-developed TB-mBJ function³⁹ is also applied for improving the calculation of the band structure and especially the band gap. Basis sets are determined by $R_{MT}K_{max} = 8$, where R_{MT} is the smallest muffin-tin radius, and K_{max} is the maximum value of reciprocal-lattice vectors. The LAPW sphere radii for Mg, Sn, and Ge are chosen to be $2.2a_0$, where a_0 is the lattice constant determined from the experiment. Besides, the relativistic effects for the valence electrons are included at the scalar relativistic level.

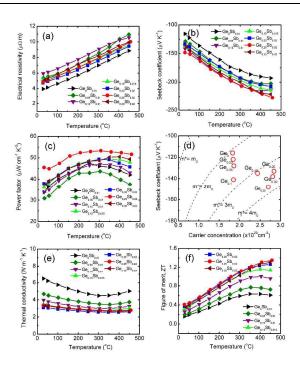


Fig. 1. Thermoelectric properties of $Mg_2Sn_{1-x-y}Ge_xSb_y$. (a) Electrical resistivity, (b) Seebeck coefficient, (c) power factor, (d) Seebeck coefficient versus carrier concentration, (e) thermal s conductivity, and (f) *ZT*.

3. Results

Fig. 1 shows the temperature dependent thermoelectric properties of $Mg_2Sn_{1-x-y}Ge_xSb_y$ (x = 0, 0.05, 0.1, 0.15, 0.2, 0.25, and 0.3, y = 0.01, 0.015, and 0.02). All the samples show almost 10 linearly increased electrical resistivity and Seebeck coefficient below 300 °C, demonstrating a behavior of degenerate semiconductor, as shown in Fig. 1(a-b). The Fermi energy (E_t) calculated from the Seebeck coefficient is 0.031-0.049 eV, equal to 1.2-1.9 k_BT at room temperature, above the bottom of the 15 conduction band, E_c . Above 300 °C, the Seebeck coefficient of $Mg_2Sn_{1-x-y}Ge_xSb_y$ with x = 0 and 0.05 shows saturated characteristics while that with x = 0.25 and 0.30 continues to be linearly temperature dependent. Among the samples, $Mg_2Sn_{0.73}Ge_{0.25}Sb_{0.02}$ shows the highest PF, 43 μ W cm⁻¹ K⁻² near $_{20}$ room temperature and over 50 $\mu W\ cm^{\text{-1}}\ K^{\text{-2}}$ in a wide temperature range, as shown in Fig. 1 (c). Here, Sb was the electron donor. An approximate linear relationship between the carrier concentration and Sb content was observed in Mg₂Sn_{1-x-y}Ge_xSb_y, which indicates ~1 carr./Sb, as shown in Fig. S1 (a-b) (ESI†). It is noted 25 that the free charge transferring from Sb atom is independent of the Ge content (Fig. S1 (c), ESI†). Fig. 1(d) plots the Seebeck coefficient versus carrier concentration near room temperature for $Mg_2Sn_{1-x-y}Ge_xSb_y$. For samples with y = 0.01, Seebeck coefficient increases from -116 μ V K⁻¹ for x = 0 to -141 μ V K⁻¹ for x = 0.1. ₃₀ Furthermore, for samples with x = 0.1 and y = 0.01, x = 0.15 and y = 0.015, x = 0.2 and y = 0.02, there is only a small change in the Seebeck coefficient while a significant increase in carrier concentration from 1.68×10^{20} cm⁻³ (x = 0.1, y = 0.01) to 2.70×10^{20} 10^{20} cm⁻³ (x = 0.25, y = 0.02). As Ge content increases beyond 35 0.2, a maximum Seebeck and the highest PF were achieved in sample $Mg_2Sn_{1-x-y}Ge_xSb_y$ with x = 0.25 and y = 0.02. The Ge

content variation in the carrier effective mass of Mg₂Sn_{1-x-} _vGe_xSb_v implies that the band structure significantly changes with Ge, which will be discussed in the next section. Fig. 1 (e) shows a 40 significant decrease in thermal conductivity with increased Ge content in $Mg_2Sn_{1-x-y}Ge_xSb_y$. The corresponding thermal diffusivity and specific heat of Mg₂Sn_{1-x-v}Ge_xSb_v are shown in Fig. S2 (a-b) (ESI†). Due to the increased PF and the decreased thermal conductivity, the ZT value is significantly enhanced from 45 0.6 for x = 0 to around 1.4 for x = 0.25, as shown in Fig. 1 (f). The sample with higher Ge content (x = 0.3) shows slightly lower ZT value due to a lower PF. The reproducibility of samples Mg₂Sn_{0.75-y}Ge_{0.25}Sb_y with two different carrier concentrations of 2.7×10^{20} (y = 0.02) and 3.0×10^{20} cm⁻³ (y = 0.022) is confirmed by 50 three batches for each composition, as shown in Fig. S3 (ESI†). The room temperature Seebeck coefficient is around -147 μV K⁻¹ for the samples with carrier concentration of 2.7×10²⁰ cm⁻³, while -137 $\mu V~K^{-1}$ for the samples with carrier concentration of $3.0\times10^{20}~cm^{-3}$. A good reproducibility was achieved for both compositions. The coefficient of variation of thermoelectric transport properties from batch to batch is less than 5%. The average ZTs for both compositions are close to 1.4 at 450 °C.

4. Discussion

In the previous section, it is shown how to chemically tune the composition of $Mg_2Sn_{1-x-y}Ge_xSb_y$ to achieve high ZT and PF. In this section, we will discuss the physical mechanism of the enhanced ZT due to the alloying of G in Mg_2Sn . The generalized material parameter B^* will be derived, and the ZT enhancement is then understood in terms of the fundamental parameters U, κ_{lat} , G and G and G and G and G is and G are G and G and G and G and G are G and G and G and G are G and G and G and G are G and G are G and G and G are G and G and G are G and G are G and G are G are G and G are G and G and G are G and G are G and G are G are G and G are G are G and G are G and G are G and G are G are G and G are G are G and G are G are G and G are G and G are G and G are G are G and G are G and

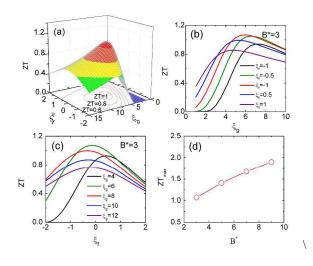


Fig. 2. Theoretical ZT as a function of ξ_f , ξ_g , and B^* . (a) A 3D plot of ZT versus ξ_f and ξ_g with a fixed $B^*=3$, (b) ZT versus ξ_g with fixed $B^*=3$ and $\xi_f=-1$, -0.5, 0, 0.5, 1; (c) ZT versus ξ_f with fixed $B^*=3$ and $\xi_g=4$, 6, 70 8, 10, 12; (d) maximum ZT as a function of B^* .

4.1 Generalized Material parameter B*

The original definition of material parameter *B* was firstly introduced by Chasmar and Stratton through expressing the figure

of merit using Fermi–Dirac statistics with non-degenerate approximation as follows, 4,5

$$ZT = \frac{S^2 \sigma T}{\kappa_{lat} + \kappa_{carr}} = \frac{S^2}{\kappa_{lat} / \sigma T + L} = \frac{\left[\left(s + 5/2 \right) - \xi_f \right]^2}{\left[B \exp\left(\xi_f \right) \right]^{-1} + L}$$
 (2)

$$B = \left(\frac{k_B}{e}\right)^2 \frac{\sigma_0}{\kappa_{lat}} T \tag{3}$$

$$\sigma_0 = 2e\mu \left(\frac{2\pi m * k_B T}{h^2}\right)^{3/2} \tag{4}$$

where s, L, κ_{lat} , κ_{carr} are the scattering factor, Lorenz number, lattice thermal conductivity and carrier thermal conductivity. The definition of s is based on the relaxation time approximation for the electronic transport. For example, s = -1/2 for the acoustic 10 phonon dominant scattering case. By using the SI units for the free electron charge (e), free electron mass (m_0) , Boltzmann constant (k_B) , Plank constant (h), Eq. (3) turns into Eq. (1). In Eq. (2), ZT is only considered as a function of the reduced Fermi level ξ_f , while material parameter B was considered as an 15 independent parameter of ξ_f . A higher B usually corresponds to a higher $(ZT)_{max}$ at optimized ξ_f^* . It is noted that the original definition of B includes variable T, which incorrectly indicates that B increases with temperature T continuously. However, the ZT of a real material drops at high temperatures due to the bipolar 20 effect. To take into account the bipolar effect, it is necessary to use a two band model.¹¹ For an artificial material with electrons as the major carrier while holes as the minor carrier, Eq. (2) can be generalized into the following form (see details in ESI†),

$$ZT = \frac{\left(\delta_{e} - \xi_{f_{-e}} - \frac{\delta_{e} + \delta_{h} + \xi_{g}}{1 + 1/\gamma}\right)^{2} (1 + \gamma)}{\left(B \frac{F_{1/2} \left(\xi_{f_{-e}}\right)}{\Gamma(3/2)}\right)^{-1} + \frac{\left(\delta_{e} + \delta_{h} + \xi_{g}\right)^{2}}{1 + 1/\gamma} + L_{e} + \gamma L_{h}}$$
 (5)

25 for which we have defined

$$\delta_{i} = \frac{(s+5/2)F_{s+3/2}(\xi_{f_{-i}})}{(s+3/2)F_{s+3/2}(\xi_{f_{-i}})}, i = e, h$$
(6)

$$L_{i} = \left(\frac{k_{B}}{e}\right)^{2} \left(\frac{(s+7/2)F_{s+3/2}(\xi_{f_{-}i})}{(s+3/2)F_{s+1/2}(\xi_{f_{-}i})} - \left(\frac{(s+5/2)F_{s+3/2}(\xi_{f_{-}i})}{(s+3/2)F_{s+1/2}(\xi_{f_{-}i})}\right)^{2}\right)$$
(7)

$$\gamma = \frac{\mu_h \left(m_h^*\right)^{3/2}}{\mu_e \left(m_e^*\right)^{3/2}} \frac{F_{1/2} \left(\xi_{f_-e}\right)}{F_{1/2} \left(\xi_{f_-h}\right)} = \frac{U_h}{U_e} \frac{F_{1/2} \left(\xi_{f_-e}\right)}{F_{1/2} \left(\xi_{f_-h}\right)}$$
(8)

where $F_n(\xi_f)$ is the nth-order Fermi integral defined as

$$F_n\left(\xi_f\right) = \int_0^\infty \frac{\chi^n}{1 + e^{\chi - \xi_f}} d\chi \tag{9}$$

and $\xi_g = E_g/k_BT$ is the reduced band gap. The subscript i = e or h represents the electrons and holes respectively, with the reduced Fermi level ξ_{f_-e} (ξ_{f_-h}) measured from conduction band edge E_c (valence band edge E_v). According to Simon's early work, 11 ξ_{f_-e} and ξ_{f_-h} can be described by the reduced Fermi level (ξ_f) of the major carrier band and the reduced band gap ξ_g . For an artificial n-type semiconductor, we have $\xi_{f_-e} = \xi_f$ and $\xi_{f_-h} = -\xi_f - \xi_g$. This relation will be used to replace ξ_{f_-e} and ξ_{f_-h} hereafter. Furthermore, the ratio U_h/U_e and scattering factor s are considered as constants 40 for convenience in this paper. It is noted that the form of Eq. (5) is different from the one Mahan derived, in which a concept of

minimum electrical conductivity was adopted. ¹³ Eq. (5) suggests that the negative impact of the bipolar effect comes from the terms containing ξ_g . If we fix the parameters of B, T, and s, and ⁴⁵ consider E_g and ξ_f as the tuning variables for ZT, we reproduced Mahan's results: the optimized ZT with respect to ξ_f increases with the band gap and saturates around $E_g \sim 10 \, k_B T$ as shown in Fig. S4 (ESI†). It is noted that the saturated ZT depends not only on material parameter B, but also on U_b/U_e and s.

However, the above analysis has to treat E_g separately from the material parameter B. Here we would like to explore whether we can define a parameter similar to B but including U, κ_{lab} and E_g altogether, thereby simplifying the evaluation of material's thermoelectric performance. From experimental results, ZT is usually considered as a function of the doping concentration and temperature, i.e., $ZT = f_1$ (N_D, T) for a given set of (U, κ_{lat}) , and E_g . In our theoretical formalism, N_D can be described by ξ_f , and hence ZT as function of ξ_f and T is expressed by Eq. (2-4) for a single band model with a non-degenerate approximation, and by Eq. (5-60 8) for a two band model. Furthermore, we use the reduced band gap $\xi_g = E_g/k_BT$ to non-dimensionalize the temperature, which changes the independent variables of ZT from (ξ_f, T) to (ξ_f, ξ_g) , and therefore Eq. (5) turns into,

$$ZT = \frac{\left(\delta_{e} - \xi_{f} - \frac{\delta_{e} + \delta_{h} + \xi_{g}}{1 + 1/\gamma}\right)^{2} (1 + \gamma)}{\left(\left(B^{*}\right) \frac{F_{1/2}(\xi_{f})/\Gamma(3/2)}{\xi_{g}}\right)^{-1} + \frac{\left(\delta_{e} + \delta_{h} + \xi_{g}\right)^{2}}{1 + 1/\gamma} + \left(\frac{e}{k_{B}}\right)^{2} \left(L_{e} + \gamma L_{h}\right)}$$
(10)

with

$$B^* = \frac{1}{k_B} \left(\frac{e}{k_B}\right)^2 \frac{\sigma_{0,e}}{\kappa_{lat}} E_{g_joule}$$
 (11)

where B^* is defined as the generalized material parameter, and $E_{g joule}$ is the band energy gap in J as SI unit. The new definition of the material parameter B^* overcomes the drawback of the 70 conventional definition (Eq. (3)) that continuously increases with increasing T. For a given generalized material parameter B^* , ZT can be now considered as a function of the reduced Fermi level and the reduced band gap, *i.e.*, $ZT = f_3(\zeta_f, \zeta_g)$. Fig. 2 plots the theoretical ZT as a function of ζ_f and ζ_g with assumptions of s = -75 1/2 and $U_e = U_h$. It is clearly shown that ZT has a maximal value with respect to ξ_f and ξ_g for a given generalized material parameter B^* . At $B^*=3$, the optimized ξ_f and ξ_g for maximizing ZT are close to -0.1 and 6, respectively, as shown in Fig. 2(a). If only ξ_g is considered as the tuning variable, the optimized ξ_g so changes from 4 to 7 with ξ_f varying from 1 to -1 as shown in Fig. 2(b). Here, we want to discuss the origin of the qualitative difference in terms of the optimized ZT with respect to the reduced band gap ξ_g between our results (an optimal point) and Mahan's work (saturated behavior). In Mahan's work, the 85 optimized ξ_g is derived by considering E_g as a variable while T is a constant, essentially examining material's properties at a given temperature. In contrast, we optimize ξ_g assuming E_g as a constant while T as the variable, identifying the optimal temperature for a given material. Fig. 2(c) shows ξ_f -dependent ZT 90 for different ζ_g , which suggests that the optimized ζ_f is close to ζ_f =0 and slightly depends on the ξ_g . By maximizing ZT with respect to both ξ_f and ξ_g , the final $(ZT)_{max}$ shows a monotonous increase with increasing generalized material parameter B^* , as shown in Fig. 2(d). Combining E_g with U/κ_{lat} , the new material parameter 95 B^* therefore facilitates the search of high-ZT materials over the

10

two-dimensional (doping level and temperature) parameter space. Next, we will consider a simplification of Eq. (11). According to the definition of σ_0 the main term are $(m^*)^{3/2}$, μ , and $T^{3/2}$. It is noted that the term of $\mu T^{3/2}$ is a temperature independent s parameter for acoustic phonon scattering dominated electron transport since μ depends on $T^{3/2}$. Therefore, we can define a new temperature-independent parameter U, and rewrite the dimensionless material parameter B^{*} as,

$$B^* = 6.668 \times 10^{-2} \frac{U^*}{\kappa_{lat}} E_{g_-eV}$$
 (12)

$$U^* = \mu \left(m^* / m_0 \right)^{3/2} T^{3/2} \tag{13}$$

where $E_{g\ eV}$ is band gap in eV as the conventional unit. Furthermore, the expression of B^* clearly suggests that good thermoelectric materials should have higher U^* , larger E_g , and smaller κ_{lat} , which serves as signatures to understand materials' 15 thermoelectric performance and also indicators to rationally guide the search of better thermoelectric materials.

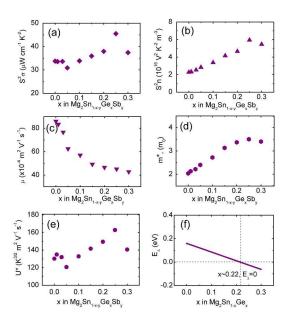


Fig. 3. Effect of Ge content on the thermoelectric properties of Mg₂Sn_{1-x}- $_{y}$ Ge $_{x}$ Sb $_{y}$. (a) Power factor $S^{2}\sigma$, (b) $S^{2}n$, (c) carrier mobility μ , (d) carrier $_{20}$ effective mass m^* , (e) parameter U^* , and (f) band edge difference between two sub-conduction bands in Mg₂Sn_{1-x}Ge_x. (a-f) is the measured data in this study, while the data in (f) are from the Ref. 28 and Ref. 29.

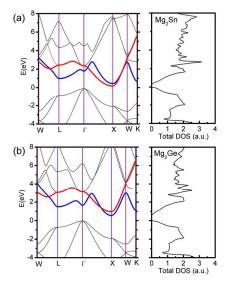


Fig. 4. Band structure and total density of states (DOS) of Mg₂X (X = Sn, Ge). (a) Mg₂Sn. (b) Mg₂Ge. Two sub-conduction band edges were highlighted. The red line is denoted for X_H band, while the blue line is denoted for X₁.

30 **4.2** Enhanced power factor due to increased U'

Having derived the generalized material parameter B^* in expression of U, κ_{lab} and E_g as three fundamental parameters that affect ZT, we discuss their changes due to the Ge alloying. Fig. 3 shows thermoelectric transport properties of Mg₂Sn_{1-x-v}Ge_xSb_v as 35 a function of Ge content at room temperature. PF at room temperature of Mg₂Sn_{1-x-y}Ge_xSb_y significantly increased with Ge content from x = 0.05 to 0.25, and reached a peak value of 45 μ W cm⁻¹ K⁻² at x = 0.25, then decreased as Ge content was further increased to x = 0.3, as shown in the Fig. 3 (a). Hall measurement 40 was conducted to understand the mechanism lying behind. Here, both the Hall coefficient (R_H) and Hall factor (r_H) is used to calculate the real carrier concentration (see details in ESI \dagger). ^{32, 40}

Considering the real carrier concentration, we split the PF $(S^2\sigma)$ into S^2n and μ , as shown in the Fig. 3 (b, c). Like PF, S^2n 45 increases with Ge content from x = 0.05 to 0.25, then decreases. On the other hand, we observed a decrease of μ with increasing Ge in the whole range. In other words, the improved PF, due to the Ge alloying effect, is resulted from the enhanced S^2n which is mainly determined by the effective carrier mass at a given Fermi 50 energy. Fig. 3 (d) plots the calculated carrier effective mass of Mg₂Sn_{1-x-v}Ge_xSb_v as a function of the Ge content. Although we have varying content of Sb and extra Mg, m* of Mg₂Sn_{1-x-y}Ge_xSb_y increases linearly with increasing Ge content from x = 0 to 0.2, and reaches a peak value $m^* = 3.5 m_0$ at x = 0.25, and then ss slightly decreases for the sample with x = 0.3. The change of m^* could be understood through the contribution of the additional band above the bottom of conduction band. Since the significant enhancement of m^* , the parameter U^* (defined in Eq. (3)) also appears in similar behavior with Ge content, as shown Fig. 3 (e). 60 Fig. 3 (f) shows the composition dependent band structure evaluation in the Mg₂Sn_{1-x}Ge_x system, according to the early work^{28, 29}. Here, the E_{Δ} is the edge difference between two subbands in the conduction band. For Mg₂Sn, the additional band is about 0.16 eV higher than the main band. Since $E_A > 5k_BT$, the

contribution of additional band to the total electronic transport is negligible near room temperature. However, E_{Δ} changes as Ge gets into Sn-site to form an alloy of Mg₂Sn_{1-x}Ge_x. The decreasing E_{Δ} with Ge content in the Mg₂Sn_{1-x}Ge_x significantly raises the 5 contribution of the additional band to the electronic transport. Furthermore, a band crossing is predicted around Ge content of x = 0.22 in Mg₂Sn_{1-x}Ge_x according to Zaitsev et al.'s work.^{28, 29} First-principles calculation was conducted to understand the mechanism behind the band convergence between the two sub-10 bands near the conduction band edge in Mg_2X (X = Sn, Ge) system. Fig. 4 shows the band structure and total DOS for Mg₂Sn and Mg₂Ge. It is clearly shown that both Mg₂Sn and Mg₂Ge have valance-band top at Γ-point and a conduction-band bottom at the X-point, which is consistent with the recently reported 15 calculations. 41, 42 Furthermore, two sub-bands at the conduction band edges were observed in both compounds, but the position at X point was switched. Here, among these two sub-bands at Xpoint of Mg₂Sn, the bottom one is referred as X_H-band (red color) while the above one is referred as the X_L -band (blue color). The ₂₀ calculated E_{Δ} between X_H-band and X_L-band at X point is around 0.27 eV. The position switching of X_H-band and X_L-band at Xpoint from Mg₂Sn to Mg₂Ge confirmed the band convergence effect in Mg₂Sn_{1-x}Ge_x.^{28, 2}

Fig. 5 shows the projected DOS for Mg: s, Mg: p, Sn: s, Sn: p, Sn: 25 d-e_g and Sn: d-t_{2g} orbitals for Mg₂Sn, and Mg: s, Mg: p, Ge: s, Ge: p, Ge: d-e_g and Ge: d-t_{2g} orbitals for the Mg₂Ge. At the first glance, Mg₂Sn has the conduction band mainly composed of unoccupied Mg: 3s orbital (X_H-band) followed by the unoccupied Mg: 3p-Sn: 6s hybridized orbital (X_L-band), while Mg₂Ge has the 30 conduction band mainly consisting of unoccupied Mg: 3p-Ge: 5s hybridized orbital (X_L-band) followed by the Mg: 3s orbital (X_Hband) as shown in the Fig. 5 (a, b, e, f). In order to get more details, the value of d(p-DOS)/dE versus energy was plotted in the Fig. 5 (c, g) for Mg₂Sn and Mg₂Ge, respectively. We found 35 that the unoccupied Sn: 5d orbital in Mg₂Sn and Ge: 4d orbital in Mg₂Ge are split due to the hexahedral crystalline field into twicedegenerated d-e_g orbital and triple-degenerated d-t_{2g}. Furthermore, in Mg₂Sn, both the d(p-DOS)/dE of Sn: d-t_{2g} and Mg: 3s orbital show a peak at the energy of 0.27 eV, while the d(p-DOS)/dE of

40 Sn: d-e_g, Mg: 3p and Sn: 6s shows a peak at an energy of 0.6 eV, as shown in Fig. 5 (c, d). Similar coincidence was also observed in the Mg₂Ge, as shown in Fig. 5 (g, h). These results suggested a new scenario for the conduction band formation of Mg₂Sn and Mg₂Ge. In the Mg₂X (X = Sn, Ge), X_H-band is formed by the hybridized Mg: 3s and Sn: 5d-t_{2g}/Ge:4d-t_{2g} orbitals while X_L-band results from the hybridized Mg: 3p, Sn 6s, and Sn: 5d-e_g/Ge: 4d-e_g orbitals. The change of the ionic size r⁺/r⁻ ratio could be the most likely reason for the composition band crossing in the Mg₂Sn_{1-x}Ge_x systems. The increased carrier effective mass due to 50 the band crossing is finally responsible for the significant enhancement of the weighted mobility *U*.

4.3 Decreased bipolar thermal conductivity due to increased E_g

Bipolar effect owing to the intrinsic carrier excitation has a deleterious influence on the thermoelectric performance of materials, which adds a new term in the total thermal conductivity to significantly reduce *ZT* at high temperature. The thermal conductivity due to the bipolar effect is given by the following, ^{5, 11}

$$\kappa_{bipolar} = \frac{\sigma_e \sigma_h}{\sigma_e + \sigma_h} (S_e - S_h)^2 T \tag{14}$$

where σ_e , σ_h , S_e , and S_h are the electron conductivity, hole conductivity, electron Seebeck coefficient, and hole Seebeck coefficient, respectively. Conventionally, widening of band gap E_g significantly suppresses the intrinsic excitation, reduces minor carrier, and hence the $\kappa_{bipolar}$.

Fig. 6 (a, b) shows the estimated bipolar thermal conductivities of $Mg_2Sn_{1-x}Ge_xSb_y$. The detailed numerical calculation about the bipolar thermal conductivity is shown in the ESI†. It is found that adding Ge obviously reduces $\kappa_{bipolar}$.

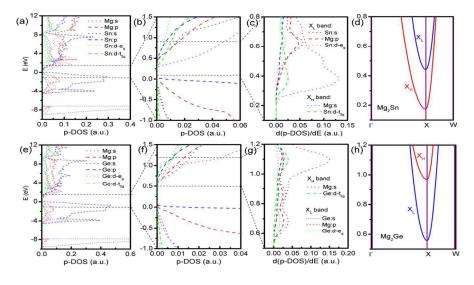


Fig. 5. Projected density of states (DOS) for Mg_2Sn (a-d) and Mg_2Ge (e-h). (a, b, e, and f) Energy versus p-DOS, (c, g) Energy versus d(p-DOS)/dE, (d, h) band structure.

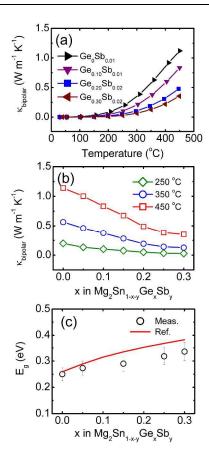


Fig. 6. Calculated bipolar thermal conductivity of Mg₂Sn_{1-x-y}Ge_xSb_y as a function of (a) temperature and (b) Ge content, (c) band gap of Mg₂Sn_{1-x-y}Ge_xSb_y derived from the absorption edge. The reference data in figure (c) s was from the Ref. 28.

As an example, the bipolar thermal conductivity at 450 °C is 0.2 W m⁻¹ K⁻¹ for Mg₂Sn_{0.728}Ge_{0.25}Sb_{0.022}, but 1.2 W m⁻¹ K⁻¹ for $Mg_2Sn_{0.99}Sb_{0.01}$. The significant suppression of $\kappa_{\emph{bipolar}}$ shifts the peak ZT of Mg₂Sn_{1-x}Ge_xSb_y towards higher temperature. In order 10 to investigate the effect of Ge content on the band gap in Mg₂Sn₁. _xGe_xSb_y, the Fourier transform infrared spectrum of selected samples were measured to derive the optical band gap. For nearnormal incidence, the complex refractive index $n(\omega)$ and the extinction coefficient $k(\omega)$ with both the real and imaginary parts 15 can be obtained from the Krameres-Kronig analysis (see details in ESI†). 44, 45 Fig. 6 (c) plots the measured band gap of Mg₂Sn₁. _xGe_xSb_y as a function of Ge content. It shows a linear increase from $E_{\sigma} = 0.25$ eV to 0.34 eV with increasing Ge content from x = 0 to 0.3. At a given Ge content, our measured band gap is 20 slightly smaller than the values obtained in the early work in Mg₂Sn_{1-x}Ge_x, ^{28, 29} which could be due to the heavy doping level of Sb dopant. Additionally, there is an absorption band in the lower energy range in $Mg_2Sn_{1-x}Ge_xSb_y$ with Ge content $x \ge 0.1$. As an example, such absorption band is centered at 0.17 eV (Fig. ²⁵ S5, ESI†), which may be related to the electronic transition between multiple conduction bands. ^{45, 46} Furthermore, from our new viewpoint suggested by Eq. (10), the widening band gap contributes to the enhancement in the new material parameter B^* and hence increase of maximum ZT with respect to doping 30 concentration and temperature.

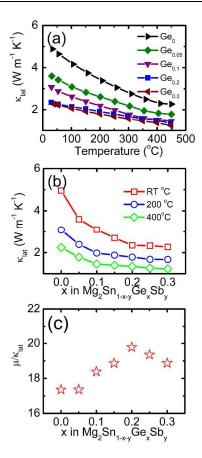


Fig. 7. Calculated lattice thermal conductivity of $Mg_2Sn_{1-x-y}Ge_xSb_y$ as a function of (a) temperature and (b) Ge content, and (c) μ/κ_{lat} of $Mg_2Sn_{1-x-y}Ge_xSb_y$ as function of Ge content. The unit is cm² V⁻¹ s⁻¹ for μ , W m⁻¹ K⁻¹ sfor κ_{lat} .

In the case of $Mg_2Sn_{1-x}Ge_xSb_y$, the E_g of $Mg_2Sn_{0.73}Ge_{0.25}Sb_{0.2}$ ($E_g = 0.318$ eV) is 27% higher than that of $Mg_2Sn_{0.99}Sb_{0.1}$ ($E_g = 0.250$ eV), which partially contributes to the enhancement in B^* from 0.85 to 2.73, as shown in Table S1. The different B^* make materials corresponding maximum ZT occur at different optimized doping concentration and temperature.

4.4 Decreased lattice thermal conductivity due to alloying effect

Fig. 7 (a, b) shows the lattice thermal conductivity (κ_{lat}) dependence on temperature and Ge content in Mg₂Sn_{1-x-y}Ge_xSb_y, respectively. Here, the κ_{lat} was estimated by subtracting the parts contributed by both the charge carriers (κ_{carr}) and $\kappa_{hipolar}$. The details about the numerical calculation were provided in the ESI†. The κ_{lat} at room temperature of Mg₂Sn_{1-x-y}Ge_xSb_y is 4.96, 3.59, 3.10, 2.70, 2.35, 2.33, and 2.27 W m⁻¹ K⁻¹ for x = 0, 0.05, 0.10, 0.15, 0.20, 0.25, and 0.30, respectively. The continuous decrease in κ_{lat} with increasing Ge content demonstrates a strong alloying scattering to phonon transport because of the mass difference between Ge and Sn. It is noted that similar decrease in μ with increasing Ge was shown before. Fig. 7 (c) plots the ratio of μ (unit: cm² V⁻¹ s⁻¹) to κ_{lat} (unit: W m⁻¹ K⁻¹) as a function of Ge content in Mg₂Sn_{1-x-y}Ge_xSb_y. It shows an increased μ/κ_{lat} ratio with increasing Ge content from x = 0 to 0.2, and then a decreased μ/κ_{lat} ratio with x > 0.2.

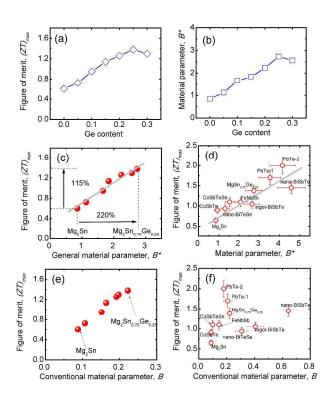


Fig. 8. (a) Ge content dependent peak (ZT)_{max} for Mg₂Sn_{1-x-y}Ge_xSb_y, (b) Ge content dependent material parameter B* for Mg₂Sn_{1-x-y}Ge_xSb_y, (c) (ZT)_{max} versus B* for Mg₂Sn_{1-x-y}Ge_xSb_y, (d) (ZT)_{max} versus B* for reported 5 materials. (e) (ZT)_{max} versus conventional B for Mg₂Sn_{1-x-y}Ge_xSb_y, (d) (ZT)_{max} versus conventional B for reported materials.

4.5 Relationship between B* and ZT

With the fundamental parameters U^* , $\kappa_{la\nu}$ and E_g examined, here we show how the ZT enhancement can be understood as a 10 synergistic effect combining all the benefits in regards to the generalized material parameter B^* . Fig. 8 (a) plots the peak (ZT)_{max} of Mg₂Sn_{1-x-y}Ge_xSb_y as a function of Ge content. It shows a peak value around the Ge content of x = 0.25. In order to explore the connection between ZT and the fundamental 15 parameter B^* , defined in Eq. (11), B^* is calculated using U^* and E_g at room temperature and κ_{lat} at temperature corresponding to peak $(ZT)_{max}$, as shown in Fig. 8 (b). The calculated B^* shows a continuous increase from 0.85 to 2.73 with increasing Ge content from x = 0 to 0.25. Such an enhancement in B^* is contributed by $_{20}$ ~25% enhancement in weighted mobility U^* , ~27% increase in E_g , and ~50 % decrease in κ_{lat} . As compared with x = 0.25, the sample with Ge of x = 0.3 has smaller B^* of 2.56 due to a smaller U^* . The Ge content dependent behavior of B^* is quite similar to that of ZT. Fig. 8 (c) plots peak (ZT)_{max} of Mg₂Sn_{1-x-y}Ge_xSb_y as a 25 function of their corresponding B^* , where it is seen that higher B^* corresponds to a higher $(ZT)_{max}$. In order to further confirm the connection between the $(ZT)_{max}$ and B^* , $(ZT)_{max}$ of selected materials, including $CoSb_3$, 43 , 47 Bi_2Te_3 , 48 , 49 , 49 10 FeNbSb 52 was plot as a function of their estimated B^* in Fig. 8 (d). 30 The detailed estimated U^* , E_g , and κ_{lat} are summarized in Table S1 (ESI†). It is noted that the reported $(ZT)_{max}$ could be either lower or higher than theoretical $(ZT)_{max}$ with optimized (ξ_f, ξ_g) (or (N_D, T)) according to Eq. (10), because of the limited investigated dopant points or the measurement error. A 10% error bar is

35 therefore added in the $(ZT)_{max}$. On the other hand, the estimated B^* could be less accurate since we do not have the measured m^* and μ for U^* , and measured E_g . In this case, the term of μ $(m^*)^{3/2}$ is estimated from the measured σ and S, while E_g is estimated using formula E_g =2 $eS_{max}T$. S A 15% error bar is therefore added 40 in B^* . A similar conclusion that a higher B^* leads to a higher $(ZT)_{max}$ is seen in Fig. 8 (d). For comparison, the $(ZT)_{max}$ versus conventional material parameter B was shown in Fig. 8(e, f). Here, T=300K was used to estimate the B according to Eq. (1). Fig. 8(e) suggested that the conventional material parameter B 45 was still a good guidance for Mg₂Sn_{1-x-y}Ge_xSb_y, but not good enough for other materials. It is therefore reasonable to consider the generalized material parameter B^* as a new fundamental parameter for exploring better thermoelectric materials, which is directly proportion to U^* and E_g , while inversely proportional to 50 κ_{lat} . Eq. (12) suggests that widening of E_g could have equivalent importance as increased U^* and decreased κ_{lat} . Recently, Zhao et al. reported a high ZT_{max} of 2.0 in PbTe with 6%MgTe. One of the favorable effects from MgTe is the suppressed biplolar thermal conductivity due to the widening of band gap as 55 compared with pure PbTe. The material parameter B^* for PbTe: 6%MgTe was calculated and included in Fig. 8 (d), which also follows the trend. It is noted that the error bar of B* for PbTe may be even bigger due to the temperature dependent m^* and E_g . Besides of the alloying effect for widening E_g , the carrier filtering 60 effect due to the grain boundary could have a similar band gap widening effect. For example, E_g of nano-BiSbTe (0.184 eV) is slightly larger than that of ingot-BiSbTe (0.167 eV), which is consistent with the reported notable reduction in the bipolar thermal conductivity in nano-BiSbTe. 48 The grain boundary 65 scattering is also responsible the significant decrease in κ_{lat} from ingot-BiSbTe (0.88 W $m^{-1}K^{-1}$) to nano-BiSbTe (0.52 W $m^{-1}K^{-1}$). However, in most cases, the grain boundary has notorious effect on the carrier mobility, compromising the benefit from the widening band gap and decreased lattice thermal conductivity. A 70 selective scattering mechanism for the carriers is desired for such kind of carrier filtering grain boundaries. Similar selective carrier filtering effect was noted in half-Heusler materials.⁵⁴ As we pointed out, increasing U^* , reducing κ_{lat} , or widening E_g can be achieved readily. However, it is challenging to synergistically 75 achieve all of them. Mg₂Sn_{1-x-y}Ge_xSb_y is one good example, which shows a simultaneous ~25% enhancement in U^* , ~27% increase in E_g , and ~50 % decrease in κ_{lat} by tuning the Ge content, boosting the ZT from 0.6 for Mg₂Sn_{0.99}Sb_{0.01} to 1.4 for $Mg_2Sn_{0.73}Ge_{0.25}Sb_{0.02}$. The new material parameter B^* opens 80 opportunities to further boost the performance of existing materials, and to discover new materials by quantitatively identifying the roles of the fundamental parameters U^* , E_g and κ_{lat} that affects the thermoelectric performance. It is worthy to point out that the components $(U^*, E_g, \text{ and } \kappa_{lat})$ among the material 85 parameter B^* are still interconnected with each other from more fundamental point of view. In the ESI†, we show a simply discussion about the relation between U^* and E_g based on $\hat{\mathbf{k}}$ p perturbation theory,55 and explain why most thermoelectric materials have narrow instead of wide band gap. Further 90 explorations into the relation among the parameters in generalized material parameter B^* , would be more insightful to guide the researcher to atomically construct ideal materials with optimized atomic sizes, bonding strengths, and crystalline structures.

95 Conclusion

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In summary, the significant enhancement in the ZT values of $Mg_2Sn_{1-x-y}Ge_xSb_y$ from 0.6 at x = 0 to 1.4 at x = 0.25 was understood through a generalized material parameter B^* , proposed to be applicable over a wider temperature range. s especially taking into account the bipolar effect at high temperatures. This generalized material parameter connects the weighted mobility, lattice thermal conductivity, and the band gap, to characterize material's thermoelectric performance in the twodimensional (doping level and temperature) parameter space. It is 10 found that the Ge alloying approach leads to a ~25% enhancement in weighted mobility U^* , ~27% increase in E_g , and ~50 % decrease in κ_{lat} , which resulted in ~220% increase in the material parameter B^* . The increased weighted mobility corresponds to a significant enhancement in PF. The band gap 15 widening leads to an appreciable suppression of the bipolar thermal conductivity. $Mg_2Sn_{1-x-y}Ge_xSb_y$ presents a good example to simultaneously tune the three fundamental parameters U_*^* , κ_{lap} and E_{σ} By using the generalized material parameter B^* , the fundamental parameters (U^*, κ_{lat}) and E_g can be evaluated on the 20 same footing, which we believe will help to better identify new thermoelectric material systems in the future.

Acknowledgement

The authors would like to thank Dr. David. J Singh at Oak Ridge National Laboratory for the helpful advice in the first principle calculation. This work is supported by "Solid State Solar-Thermal Energy Conversion Center (S³TEC)", an Energy Frontier Research Center funded by the U.S. Department of Energy, Office of Science, Office of Basic Energy Science under award number DE-SC0001299/DE-FG02-09ER46577. J.M.B acknowledges support from National Science Foundation (Career Award ECCS-1240510) and the Robert A. Welch Foundation (E-1728)".

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Cite this: DOI: 10.1039/c0xx00000x

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Supporting Information for:

New insight into the material parameter B to understand the enhanced thermoelectric performance of Mg₂Sn_{1-x-y}Ge_xSb_y

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Table S1 Physical property of selected thermoelectric materials.

Short name	Composition	$(ZT)_{\max}$	m*	U*	κ_{lat}	Eg	B*	Ref.
		[/]	$[m_0]$	[m K ^{-3/2} s ⁻¹ V ⁻¹]	[W m ⁻¹ K ⁻¹]	[eV]	[/]	
Mg ₂ Sn	$Mg_2Sn_{0.99}Sb_{0.01}$	0.65, 350 °C	2.04	130.28	2.55	0.250	0.85	c)
$Mg_2Sn_{0.75}Ge_{0.25}$	$Mg_2Sn_{0.73}Ge_{0.25}Sb_{0.02}$	1.4, 450 °C	3.5	162.84	1.26	0.318	2.73	c)
CoSbTe	CoSb _{2.85} Te _{0.15}	0.9, 550 °C	-	a) 98.73	1.94	b) 0.275	0.93	43
CoSbTeSn	CoSb _{2.75} Te _{0.20} Sn _{0.05}	1.1, 550 °C	-	a) 88.33	1.05	b) 0.274	1.54	47
Ingot-BiSbTe	n.a.	1.4, 50 °C	-	a) 207.13	0.88	b) 0.168	2.64	48
Nano-BiSbTe	n.a.	1.05, 100 °C	-	a) 194.06	0.52	b) 0.184	4.58	48
Nano-BiTeSe	as-pressed Cu _{0.01} Bi ₂ Te _{2.7} Se _{0.3}	0.9, 100 °C	0.97	121.62	0.68	b) 0.11	1.31	49
PbTe-1	$Tl_{0.02}Pb_{0.98}TeSi_{0.02}Na_{0.0}$	1.7, 500 °C	-				3.53	50
	2			a) 60.15	0.50	^{b)} 0.44		
PbTe-2	PbTe: 6% MgTe	2, 550 °C	7	56.00	0.54	b) 0.6	4.15	51
FeNbSb	FeNb _{0.8} Ti _{0.2} Sb	1.1, 827 °C	1.2	144.35	2.5	0.54	2.08	52

Note: a) estimated from measured σ and S according to the Ref. [Chem. Mater. 2008, 20, 7526-7531.], and hence no carrier effective mass m* available; b) estimated from $E_g = 2eS_{max}T$; c) this study.

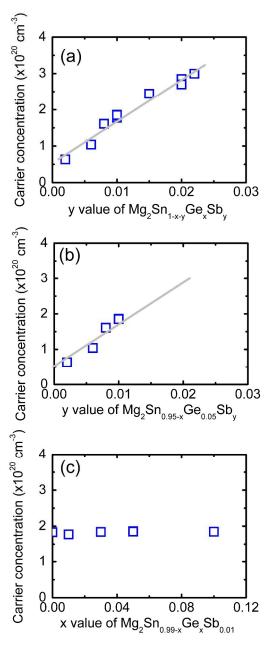
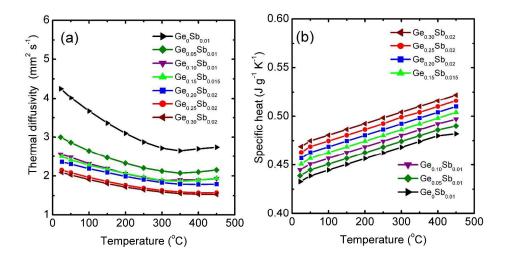


Fig. 1S. Carrier concentration as a function of Sb content and Ge content for sample Mg₂Sn_{1-x-y}Ge_xSb_y. It is clearly shown that the carrier concentration varies with Sb rather than Ge in Mg₂Sn_{1-x-v}Ge_xSb_v.



 $\textbf{Fig. 2S}. \ \ \text{Temperature dependent diffusivity and specific heat of } Mg_2Sn_{1-x-y}Ge_xSb_y. \ (a) \ \ \text{Thermal diffusivity, (b) specific heat.}$

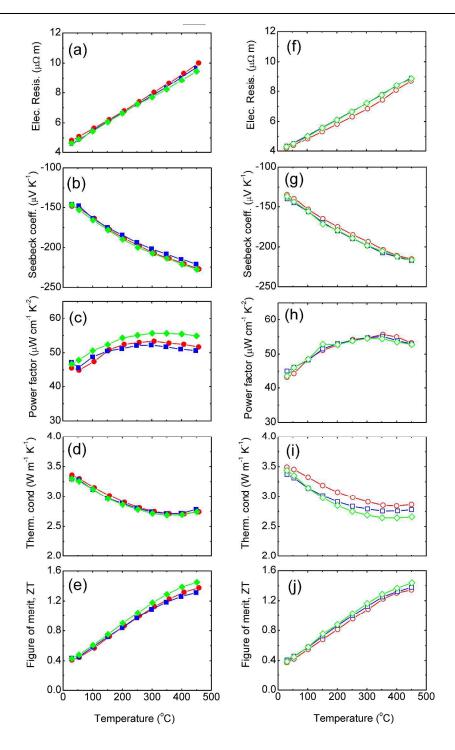


Fig. S3. Reproducibility of thermoelectric properties of Mg₂Sn_{0.75-v}Ge_{0.25}Sb_v. (a-e) Samples of Mg₂Sn_{0.73}Ge_{0.25}Sb_{0.02} with carrier concentration of 2.7×10²⁰ cm⁻³, and (f-j) samples of Mg₂Sn_{0.728}Ge_{0.25}Sb_{0.022} with carrier concentration of 3.0×10²⁰ cm^{-3} .

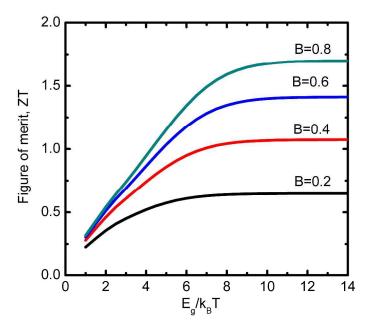


Fig. S4. Optimized ZT regarding with Fermi energy as a function of reduced band gap for the traditional material parameter B = 0.2 (bottom curve), 0.4, 0.6, and 0.8 (top curve) for the assumption of s = -1/2, $\xi_f = 0$, $U_e = U_h$ and T = 300K. This shows the effect of band gap using the traditional material parameter B and is consistent with Mahan's previous work [J. Appl. Phys., 1989, 65, 1578-1583.]

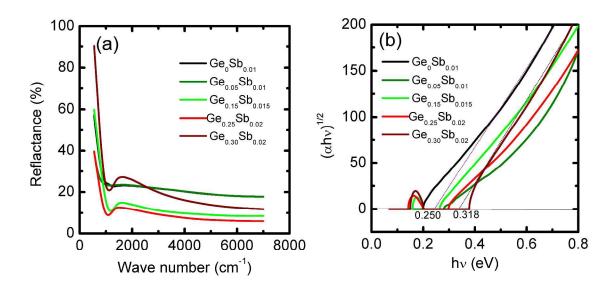


Fig. S5. (a) Reflectance spectrum of $Mg_2Sn_{1-x-y}Ge_xSb_y$, (b) absorption spectra of $Mg_2Sn_{1-x-y}Ge_xSb_y$ where the across point of the dash line with hv axis was used to determine the band gap.

A. Expression of ZT by using Fermi-Dirac statistics in a two-band model

We consider two simple band, i.e. one parabolic conduction band and one parabolic valence band. By applying Simon's theory [J. Appl. Phys. 33, 1830 (1962)] the electrical conductivity σ , Seebeck coefficient S, and thermal conductivity κ could expressed by the contribution of both bands as following,

$$\sigma = \sigma_e + \sigma_h, \tag{1S}$$

$$S = \frac{\sigma_e S_e + \sigma_h S_h}{\sigma_e + \sigma_h},$$
(2S)

 $\kappa = \kappa_{lat} + \kappa_{carr} + \kappa_{bipolar}, \tag{3S}$

$$\kappa_{carr} = L_e \sigma_e T + L_h \sigma_h T, \tag{4S}$$

$$\kappa_{bipolar} = \frac{\sigma_e \sigma_h}{\sigma_e + \sigma_h} (S_e - S_h)^2 , \qquad (5S)$$

where the subscript e and h represent the conduction band and valence band, respectively. Furthermore, the σ_i and S_i could be expressed by using Fermi–Dirac statistics,

$$\sigma_{i} = \sigma_{0,i} \frac{F_{1/2}(\xi_{f_{-}i})}{\Gamma(3/2)}, i = e, h,$$
(6S)

$$S_{i} = \pm \frac{k_{B}}{e} \left(\frac{(s+5/2)F_{s+3/2}(\xi_{f_{-i}})}{(s+3/2)F_{s+1/2}(\xi_{f_{-i}})} - \xi_{f_{-i}} \right), \tag{7S}$$

$$\delta_{i} = \frac{(s+5/2)F_{s+3/2}(\xi_{f_{-i}})}{(s+3/2)F_{s+1/2}(\xi_{f_{-i}})}.$$
(8S)

By introducing a new parameter $\gamma = \sigma_{e'} \sigma_h$ for simplification and the relationships of $\xi_{f_e} = \xi_f$ and $\xi_{f_h} = -\xi_f - \xi_g$, the definition 25 of ZT, *i.e.*, ZT = S² σ T/ κ , could turns into following

$$ZT = \frac{\left(\delta_{e} - \xi_{f} - \frac{\delta_{e} + \delta_{h} + \xi_{g}}{1 + 1/\gamma}\right)^{2} (1 + \gamma)}{\left(\left(B^{*}\right) \frac{F_{1/2}(\xi_{f})/\Gamma(3/2)}{\xi_{g}}\right)^{-1} + \frac{\left(\delta_{e} + \delta_{h} + \xi_{g}\right)^{2}}{1 + 1/\gamma} + \left(\frac{e}{k_{B}}\right)^{2} \left(L_{e} + \gamma L_{h}\right)},$$
(9S)

$$B^* = \frac{1}{k_B} \left(\frac{e}{k_B}\right)^2 \frac{\sigma_{0,e}}{\kappa_{lat}} E_{g_joule}, \tag{10S}$$

Eq. (9S-10S) is just the exactly form we used in main text.

B. Calculation of m* from Hall coefficient and Seebeck coefficient.

The carrier effective mass m^* was derived from the carrier density relationship with Fermi Dirac integral,

$$n = 2\left(\frac{2\pi m * k_B T}{h^2}\right)^{3/2} F_{1/2}(\xi_f), \tag{11S}$$

$$F_n\left(\xi_f\right) = \int_0^\infty \frac{\chi^n}{1 + e^{\chi - \xi_f}} d\chi \tag{12S}$$

Here, ξ_f was calculated from the measured Seebeck coefficient (S) with acoustic phonon scattering as dominant scattering mechanism, *i.e.*, s = -1/2.

$$S = \pm \left(\frac{(s+5/2)F_{s+3/2}(\xi_f)}{(s+3/2)F_{s+1/2}(\xi_f)} - \xi_f \right). \tag{13S}$$

The carrier concentration n was calculated from measured Hall coefficient (R_H) and Hall factor r_H ,

$$n = \frac{r_H}{eR_H},\tag{14S}$$

$$r_{H} = \frac{3}{2} \frac{F_{1/2}(\xi_{f}) F_{-1/2}(\xi_{f})}{\left(F_{-1/2}(\xi_{f})\right)^{2}}.$$
(15S)

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C. Calculation of Lattice and bipolar thermal conductivity

Owing to the intrinsic excitation, the contribution of bipolar effect to the contribution of thermal conductivity needs to be taken into account to explain the widely observed raising tail of thermal conductivity at high temperature for most thermoelectric materials. The total thermal conductivity is composed of three parts as following,

$$\kappa_{tot} = \kappa_{lat} + \kappa_{carr} + \kappa_{bipolar} \quad , \tag{16S}$$

$$\kappa_{carr} = L\sigma T$$
, (17S)

$$\kappa_{bipolar} = \frac{\sigma_e \sigma_h}{\sigma_e + \sigma_h} (S_e - S_h)^2 T \quad , \tag{18S}$$

where the κ_{tot} , κ_{lat} , κ_{carr} , and $\kappa_{bipolar}$ are the total, lattice, carrier, and bipolar thermal conductivity, respectively. The Lorenz number L is Fermi energy related parameter,

$$L = \left(\frac{k_B}{e}\right)^2 \left(\frac{(s+7/2)F_{s+5/2}(\xi_f)}{(s+3/2)F_{s+1/2}(\xi_f)} - \left(\frac{(s+5/2)F_{s+3/2}(\xi_f)}{(s+3/2)F_{s+1/2}(\xi_f)}\right)^2\right),\tag{19S}$$

Here, the s is the scattering parameter based on the relaxation time approximation for the electronic transport. For acoustic phonon dominant scattering mechanism, s is -1/2. The reduced Fermi energy ξ_f near room temperature could be estimated from the Seebeck coefficient on the basis of single band approximation formula Eq. (13S). The reduced Fermi energy $\xi_f(T)$ at the higher temperature is required to solve the generalized charge neutrality equations (Eq. (20S-22S)) at a given temperature

$$n = p_0 + N_D \,, \tag{20S}$$

$$n = 2\left(\frac{2\pi m_e^* k_B T}{h^2}\right)^{3/2} F_{1/2}(\xi_f), \tag{21S}$$

$$p = 2\left(\frac{2\pi m_e^* k_B T}{h^2}\right)^{3/2} F_{1/2} \left(-\xi_f - \xi_g\right), \tag{22S}$$

For the estimation of the $\kappa_{bipolar}$ according to Eq. (18S), we need estimated S_e , S_h , σ_e and σ_h . We can get S_e and S_h from Eq. (13S) by using the estimated ξ_f (T), respectively. The estimation of σ_e and σ_h are based on the calculated carrier 25 concentration and the fitting carrier mobility. m*_e was obtained from the measured carrier concentration and Seebeck coefficient of $Mg_2Sn_{1-x-y}Ge_xSb_y$ in this study, while $m^*h = 1.3$ m₀ was used according to the Ref. [V. K. Zaitsev, CRC Press, New York, 2005]. μ_e was estimated from $(\sigma_e + \sigma_h)/(e(n+p))$, while u_h was used to fitting the temperature dependent σ and S. The temperature coefficient of the band gap of Mg₂Sn_{1-x-v}Ge_xSb_v were estimated from interpolation between the reported value for Mg₂Sn ($dE_g/dT = 3 \times 10^{-4} eV/K$) and Mg₂Ge ($dE_g/dT = 1.8 \times 10^{-4} eV/K$) from Ref. [V. K. Zaitsev, CRC Press, New ³⁰ York, 2005]. The effect of the dopant Sb and extra Mg on the dE_g/dT was neglected.

D. Calculation of band gap from the Fourier transform infrared spectrum

The Fourier transform infrared spectrums of selected samples were measured to derive the optical band gap. For near-normal incidence, the complex refractive index $n(\omega)$ and the extinction coefficient $k(\omega)$ with both the real and imaginary parts can be obtained from the Krameres-Kronig analysis as following,

$$n(\omega) = \frac{1 - R(\omega)}{1 + R(\omega) - 2\lceil R(\omega) \rceil^{1/2} \cos\lceil \Theta(\omega) \rceil},$$
(23S)

$$k(\omega) = \frac{2[R(\omega)]^{1/2} \sin[\Theta(\omega)]}{1 + R(\omega) - 2[R(\omega)]^{1/2} \cos[\Theta(\omega)]},$$
(24S)

where $\Theta(\omega)$ is the phase shift, which is,

$$\Theta(\omega) = \frac{\omega}{\pi} \int_0^\infty \frac{\ln[R(\omega')] - \ln[R(\omega)]}{\omega^2 - {\omega'}^2} d\omega'.$$
 (25S)

The extinction coefficient $k(\omega)$ is used to calculate the frequency dependent absorption coefficient $\alpha(\omega)$ through the relation,

$$\alpha(\omega) = 4\pi\omega k(\omega) \quad . \tag{26S}$$

Finally, the $(\alpha hv)^{1/2}$ was plotted as a funcation of the energy hv, as shown in Fig. S7. The across point of the dash line with hv axis was used to determine the band gap

15 E. Connections among the components of B^*

It is worthy pointing out that the components $(U^*, E_g, \text{ and } \kappa_{lat})$ determining the material parameter B^* are still interconnected with each other from a more fundamental point of view. Here, we show a simple discussion about the deeper relation between U^* and E_g . Firstly, Let us to recall the defination of U^* in the main body,

$$U^* = \mu \left(m^* / m_0 \right)^{3/2} T^{3/2} \tag{S27}$$

Then, we replace the carrier effective mass term $(m^*)^{3/2}$ in the defination of U^* with $N_v(m_b^*)^{3/2}$ where m_b^* and N_v are the band effective mass m_b^* and the number of degenerate band valley N_v , respectively.

$$U^* = \mu N_v \left(m_b^* / m_0^* \right)^{3/2} T^{3/2}$$
 (S28)

²⁵ Considering the acoustic phonon as dominant carrier scattering mechanism for most thermoelectric materials, μ in Eq. (S28) could be further evolved using the deformation potential E_d , elastic constant C_l (Similar treatment could be found in Ref. 9.), and then Eq. (S27) turns into,

$$U^* \propto \frac{N_{\nu}C_l}{\left(m_b^*/m_0\right)E_d} \tag{S29}$$

According to Eq. S29, a larger N_v and smaller m_b^* are favorable for higher U^* . Now the material parameter B^* could be 30 rewritten as,

$$B^* \propto \frac{N_{\nu}C_l}{E_d \kappa_{lat}} \frac{E_g}{\left(m_b^* / m_0\right)} \tag{S30}$$

Based on the k-p perturbation theory, m_b^* is related to E_g , conduction band wave function Γ_c , and valance band wave function Γ_c .

$$\frac{1}{m_b^*} = \frac{1}{m_0} + \frac{2\left|\left\langle \Gamma_c | k \cdot p | \Gamma_v \right\rangle\right|^2}{m_0^2 k^2 E_g} \tag{S31}$$

5 This relation essentially describes the fact that the effective mass of a free electron in one band changes due to the coupling to the electrons in other bands. For non-degenerate conduction band electrons, it has been shown that a material with larger E_g usually has a heavier m_b^* that corresponds to a lower mobility, according to Ref. 55. According to the data for typical V (Ge), III-V (GaN, GaAs, GaSb, InP, InP and InAs), II-VI (ZnS, SnSe, ZnTe, CdTe) semiconductor, the ratio of $E_{\varrho}/(m_b^*/m_\theta)$ decreases with increase of band gap. This simplified picture partly explains why most of the well-known thermoelectric 10 materials are narrow band semiconductor. However we want to caution that for thermoelectric materials where heavy atoms are usually involved, spin-orbit coupling needs to be considered and the above formalism needs to be carefully examined with more details. Additionally, the controllable doping for many semiconductors with wide band-gap are still a technical challenge which also prevents people from more widely investigating their thermoelectric performance. Further explorations into the relation among the parameters in generalized material parameter B*, described in Eq. S28, would be 15 more insightful to guide the researcher to atomically construct ideal materials with optimized atomic sizes, bonding strengths, and crystalline structures.

F. Estimated U* from measured S and σ

For the samples without data from Hall measurement in the Table S1, including CoSbTe, CoSbTeSn, ingot-BiSbTe, nano-₂₀ BiSbTe, and PbTe-1, U*s were estimated from the measured Seebeck coefficient S and electrical conductivity σ at room temperature as following,

$$S = \pm \frac{\kappa_B}{e} \left(\left(s + \frac{5}{2} \right) - \xi_f \right) \tag{S32}$$

$$\sigma = 2e \left(\frac{2\pi m_0 k_B T}{h^2}\right)^{3/2} \left(m^* / m_0\right)^{3/2} \mu \exp(\xi_f) = A \cdot U^* \exp(\xi_f)$$
 (S33)

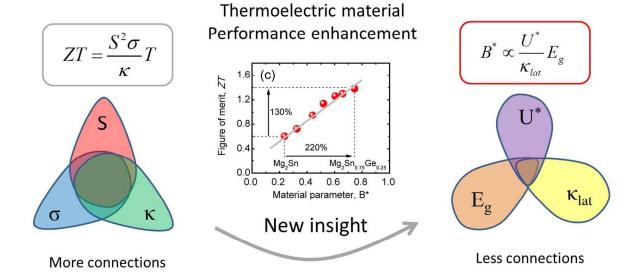
Here, A is a constant for simplification,

$$S = \pm \frac{\kappa_B}{e} \left(\left(s + \frac{5}{2} \right) + \ln A + \ln U * - \ln \sigma \right)$$
 (S34)

$$U^* = \exp\left\{ \left(\left| S \right| \frac{e}{k_B} \right) + \ln \sigma - \left(s + \frac{5}{2} \right) + \ln A \right\}$$
 (S35)

The error bar for U* by using this method should be similar to that of the term $\mu (m^*/m_0)^{3/2}$, which has been discussed in Chem. Mater. 2008, 20, 7526-7531.

Graphical abstract



A new generalized materials parameter B*, connecting weighted mobility, lattice thermal conductivity, and also the band gap, provides a new insight in pursuing high thermoelectric figure-of-merit ZT.