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## Enhanced Upconversion Emission in  $ZrO_2-Al_2O_3$  Composite Oxide

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Aqueous solutions of zirconium oxychloride and aluminum nitrate were coprecipitated and crystallized to form a  $ZrO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub>$  solid solution. The upconversion (UC) emission from different  $Er<sup>3+</sup>$ -doped samples was studied. Enhancement of the green UC emission by as much as 22 times was achieved by co-doping with  $Yb^{3+}$  and  $Mo<sup>6+</sup>$  ions due to an energy transfer at a higher excited-state energy, which partly avoided the non-radiative decay processes at the lower energy levels of  $Er^{3+}$ . The UC emission of the  $ZrO_2$ -Al<sub>2</sub>O<sub>3</sub> composite system series doped with different agents were both enhanced. Excess oxygen vacancies are generated by forming  $ZrO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub>$  solid solutions, which have an energy level close to the  ${}^{4}F_{7/2}$  level of the  $Er^{3+}$  ions. The defect state promoted the energy trasfer process resulting in an eight-fold increased green UC emission in  $ZrO_2$ -Al<sub>2</sub>O<sub>3</sub> solid solutions. The solid solutions has a superior color chromaticity of  $x=0.25$  and  $y=0.71$  due to the evident enhancement in the green to red emission ratio in the  $8ZrO<sub>2</sub>-2Al<sub>2</sub>O<sub>3</sub>$  sample.

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#### **1. Introduction**

Upconversion (UC) luminescent materials with anti-stokes optical properties have garnered considerable attention because of their broad potential applications, including color display, solid-state lasers, sensor technology, solar cells and biological labeling.<sup>1-5</sup> Several rare-earth (RE) ions have been reported for their efficient UC luminescence. Among them,  $Er<sup>3+</sup>$  ion which can efficiently emit photons in the green, red and near-infrared (NIR) regions is the most frequently used activator. Very often,  $Yb^{3+}$  is used as a sensitizer. Actually, as  $Yb^{3+}$  has a much larger absorption cross section than  $Er^{3+}$  near 980 nm, the NIR photon energy is efficiently transferred from  $Yb^{3+}$  to  $Er^{3+}$  resulting in an efficient energy transfer (ET).<sup>3,4</sup> Lately, much attention has been focused on the transition metal (TM) as sensitizer for RE ions. The intra-configurational d-d transitions in the TM resulting in low electron-phonon coupling and low multiphonon relaxation rate make the TM a potential sensitizer in UC luminescent materials.<sup>5-7</sup> In our study on the Er-Yb-Mo and Tm-Yb-Mo systems in oxidic matrix materials, we observed the intense UC luminescence in both.<sup>5,8</sup> Moreover, the luminescence efficiency also depends on the performance of the host material. To obtain a higher UC efficiency, the host should have low lattice phonon energy which can greatly reduce the nonradiative decay rate of multiphonon relaxations. Fluorides are efficient hosts for the UC luminescence of RE ions due to their appropriate energy phonons which produce strong UC emission. Compared with fluorides, oxides have high chemical durability, thermal stability and mechanical strength.<sup>5,9,10</sup> However their corresponding high phonon energies failed to suppress the nonradiative loss, resulting in weak UC emissions, which greatly hampers their applicability. To solve these problems above, the designing of new oxides materials capable of converting low phonon energy has been carried out.

 $ZrO_2$ -Al<sub>2</sub>O<sub>3</sub> a composite oxide which can improve the current properties and create many new functions has attracted our attention. In the last two decades,  $ZrO<sub>2</sub>$ has been recognized as a new oxide host due to its low phonon energy  $(470 \text{ cm}^{-1})$ , high chemical stability and broad optical transparence from visible to NIR

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wavelength.<sup>10-12</sup> Alumina is another important variety of oxide host for UC luminescence.<sup>13,14</sup> A ZrO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub> solid solution, formed by mixing Al<sub>2</sub>O<sub>3</sub> and ZrO<sub>2,</sub> is widely used as a potential catalyst, oxygen sensor, and hard ceramic material,  $15,16$  and most of the research has been focused on finding new synthesis methods to extend the  $A<sub>12</sub>O<sub>3</sub>$  in ZrO<sub>2</sub>. A solution of this ZrO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub> composite oxide has received little attention as potential UC host material. Thus, it is very necessary to study the luminescent properties of this system in order to make the best use of it as a luminescent host.

Here, to improve the UC emissions in the oxide host, we prepared various  $ZrO<sub>2</sub>$ -Al<sub>2</sub>O<sub>3</sub> composites doped with different doping agents and studied the UC luminescent properties in detail. In the composite system,  $ZrO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub>$  composite samples which had been single-doped with  $Er<sup>3+</sup>$  or tri-doped with Er-Yb-Mo both show a great enhancement of the UC luminescence. Characterization of the  $ZrO_2$ -Al<sub>2</sub>O<sub>3</sub> composites series was performed by X-ray diffraction (XRD), Fourier transform infrared (FTIR) spectroscopy, UV-vis absorption spectroscopy and upconversion photoluminescence (UPL) emission spectroscopy. In addition, the enhancement mechanism of the UC emission in the  $ZrO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub>$  composites was investigated.

#### **2. Experimental**

#### **2.1. Chemicals and materials.**

 $ZrOCl_2·8H_2O$ , Al(NO<sub>3</sub>)<sub>3</sub>·9H<sub>2</sub>O and (NH<sub>4</sub>)<sub>6</sub>Mo<sub>7</sub>O<sub>24</sub>·4H<sub>2</sub>O were purchased from Sinopharm Chemical Reagent Co., Ltd.  $Er(NO<sub>3</sub>)<sub>3</sub>·5H<sub>2</sub>O$  and Yb $(NO<sub>3</sub>)<sub>3</sub>·5H<sub>2</sub>O$  were purchased from Aldrich. All chemicals were of analytical grade reagents and were used directly without further purification.

#### **2.2. Sample Preparation.**

 $ZrO<sub>2</sub>$ -Al<sub>2</sub>O<sub>3</sub> solid solutions doped with different doping agents were prepared by the co-precipitation method. The synthesis procedure was as follows: 1 mmol  $ZrOCl<sub>2</sub>·8H<sub>2</sub>O$  (0.3223g) was dissolved under vigorous stirring in an aqueous solution

 $(50 \text{ mL})$ . Al(NO<sub>3</sub>)<sub>3</sub>·9H<sub>2</sub>O was added into the above solution according to the molar ratios of  $ZrO_2/Al_2O_3=2.8$ , 4:6, 5:5, 6:4, and 8:2. Er(NO<sub>3</sub>)<sub>3</sub>.5H<sub>2</sub>O, Yb(NO<sub>3</sub>)<sub>3</sub>.5H<sub>2</sub>O, and  $(NH_4)_6M_07O_{24}$  4H<sub>2</sub>O with corresponding mole ratios (for 1 mol% Er<sup>3+</sup>, 10 mol%  $Yb^{3+}$  and 10 mol%  $Mo^{6+}$ ) were added into the above solution as doping agents. The polyethylene glycol (PEG, molecular weight=20000, A.R.) was added as a dispersant  $(0.02g/mL)$ . A solution of 0.5 mol/L NH<sub>3</sub>·H<sub>2</sub>O was slowly added into the solution as a precipitating aid agent until the pH was 7~8. The precipitate was suction-filtered, washed 4 or 5 times with de-ionized water, and dried at  $100\degree C$  to obtain a white powder. Finally, the as-prepared powder samples were annealed at 1100 °C for 3 h to produce the final samples. We denote the series of  $ZrO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub>$  samples as follows: 0.2ZrO2-0.8Al2O3 (**2Z-8A**), 0.4ZrO2-0.6Al2O3 (**4Z-6A**), 0.5ZrO2-0.5Al2O3 (**5Z-5A**),  $0.6ZrO<sub>2</sub>-0.4Al<sub>2</sub>O<sub>3</sub>$  (6**Z**-4A), and  $0.8ZrO<sub>2</sub>-0.2Al<sub>2</sub>O<sub>3</sub>$  (8**Z**-2A). In addition, the pure  $ZrO<sub>2</sub>$  doped with different doping agents were prepared by the same procedure above for comparison.

#### **2.3. Characterization.**

The structural characterization was performed by X-ray diffraction (XRD; Rigaku D/max-IIB) spectra with Cu Kα radiation  $(\lambda=0.15405$  nm). The FTIR absorption spectra were measured by a Nicolet Avatar 370 FTIR spectrometer (Thermo Fisher Scientific, Waltham, MA, USA). UV-vis diffuse reflectance spectra were collected on a Lambda 750 UV/Vis/NIR spectrophotometer (Perkin Elmer, Waltham, MA, USA). UCL emission spectra were obtained on a Hitachi F-4600 fluorescence spectrometer, with an external 980 nm diode laser with a maximum power of 1 W and a focused area of  $0.25 \text{ mm}^2$  as the excitation source, in place of the xenon lamp in the spectrometer. The spectrometer operated with excitation slit at 0 nm, and emission slit at 2.5 and 5 nm, respectively. The element analysis was performed on an inductively coupled plasma mass spectrometer (Optima 2000 DV, Perkin Elmer).

#### **3. Results and Discussion**

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The XRD patterns of the  $ZrO<sub>2</sub>$  doped with Er, Er-Yb, or Er-Yb-Mo sintered at 1100 °C are displayed in Fig. 1(1).  $ZrO<sub>2</sub>$  has three crystallographic phases, namely the monoclinic (m), tetragonal (t), and cubic (c) phases. Bulk m-zirconia changes to t- and c-ZrO<sub>2</sub> at 1170 and 2370 °C, respectively. When doped with 1 mol%  $Er^{3+}$ , the sample exhibits a monoclinic form with less amount of tetragonal form from the  $(0,1,1)$ <sub>t</sub> and  $(1,1,2)$ <sub>t</sub> reflections peaks at 30.2° and 50.2°, respectively. However, the tetragonal phase was stabilized when the sample was doped with 10 mol%  $Yb^{3+}$ . Oxygen ion vacancies can be generated via doping trivalent cations within the  $ZrO<sub>2</sub>$  lattice. Actually, the stability of the tetragonal phase in  $ZrO<sub>2</sub>$  has been attributed to the presence of oxygen vacancies produced by doping an appropriate amount of oversized trivalent cations. <sup>17</sup> When co-doped with 10 mol%  $Yb^{3+}$  and 10 mol%  $Mo^{6+}$ , the dominant crystalline structure turned to be a strong tetragonal phase containing traces of the monoclinic phase.

The XRD patterns of the Er-Yb-Mo tri-doped  $ZrO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub>$  solid solutions are summarized in Fig.1(2). The mixture of  $Al_2O_3$  in  $ZrO_2$  forms a solid solution of composition  $Zr_{1-x}Al_xO_{2-x/2}$ . During this phase transformation, the  $Al_2O_3$  incorporates into the  $ZrO<sub>2</sub>$  lattice according to the following reaction equation:

$$
Al_2O_3 \xrightarrow{ZrO_2} 2Al_{Zr}^{\dagger} + V_o^{\bullet \bullet} + 3O_0^X \tag{1}
$$

Nonequivalent substitution of  $Al_2O_3$  for  $ZrO_2$  produced  $Al'_{Zr}$  neagtiv defects and oxygen vacancies  $V_0^{\bullet\bullet}$  and  $O_0^X$ . However,  $Al^{3+}(0.57\text{\AA})$  is apparently too small to substitute extensively for  $Zr^{4+}$  (0.79Å), resulting in a rather limited equilibrium solubility. The sample  $8Z-2A$  shows a dominant tetragonal  $ZrO<sub>2</sub>$  form contain traces of α-Al2O3. The α-Al2O3 reflection peaks in other samples (samples **6Z-4A** to **2Z-8A**) increased gradually. In addition, the  $ZrO_2$ -rich portion of the  $ZrO_2$ -Al<sub>2</sub>O<sub>3</sub> system is a t- $(Zr, A)O_2$  solid solution.<sup>18</sup> Actually, in the  $ZrO_2-Al_2O_3$  system all the diffraction peaks corresponding to tetragonal  $ZrO<sub>2</sub>$  appear but display a systematic redshift. A close inspection of the XRD peak at  $30.2^{\circ}$  (0,1,1) in Fig. 1(2b) reveals that when the content of  $A_1O_3$  reached to 20 mol% in sample **8Z-2A**, the diffraction peak redshift

was obvious, and no gradual shift in diffraction peaks occurred upon increasing the  $AI_2O_3$  content. Accordingly, the redshift provides evidence of  $AI_2O_3$  incorporation into the  $ZrO<sub>2</sub>$  lattice, and that no additional shift occured with the increase of the  $Al<sub>2</sub>O<sub>3</sub>$ content, which is further evidence that the solid solution limit is about 20 mol%  $Al_2O_3$ , at which point no more  $Al_2O_3$  could be incorporated into the  $ZrO_2$  lattice.

Fig. 2 shows the UC emission spectra of pure  $ZrO<sub>2</sub>$  host with different doping agents upon laser excitation at the wavelength of 980 nm  $(1W/mm<sup>2</sup>)$ . By choosing suitable concentrations for the sensitizer and emitter molecules, an intense green UC emission was obtained with 1 mol%  $Er^{3+}$ , 10 mol%  $Yb^{3+}$ , 10 mol%  $Mo^{6+}$  tri-doped ZrO<sub>2</sub>. The ZrO<sub>2</sub> single-doped with 1 mol%  $Er<sup>3+</sup>$  radiated a green band at 550 nm  $({}^4S_{3/2}$ - ${}^4I_{15/2}$  transitions), while a strong red band at 654/678 nm  $({}^4F_{9/2}$ - ${}^4I_{15/2}$  transitions) was obtained after further doping 10 mol%  $Yb^{3+}$ . The strong red UC emission with the quenching of the green emission is due to the sensitization of  $Yb^{3+}$  to  $Er^{3+}$  which is well known and has been previously described.<sup>3,4</sup> ZrO<sub>2</sub> tri-doped with Er-Yb-Mo exhibits a great luminescence enhancement at 550 nm, corresponding to the  ${}^{4}S_{3/2}$  ${}^{4}I_{15/2}$ transitions (22 times higher than the single-doped  $ZrO_2$ : $Er^{3+}$ ). Notably, the 525 nm green emission from the  ${}^{2}H_{11/2}$ - ${}^{4}I_{15/2}$  transitions, which are too weak to be observed in single-doped  $ZrO_2:Er^{3+}$ , markedly increased about 220 times in the Er-Yb-Mo tri-doped  $ZrO<sub>2</sub>$ . Due to the extending emission region and the efficiently increasing emission intensity, a novel intense yellow-green UC emission was obtained in the Er-Yb-Mo tri-doped  $ZrO<sub>2</sub>$  phosphor. A similar enhancement was also observed in other Er-Yb-Mo co-doped oxidic matrix materials, such as  $Al_2O_3$ , TiO<sub>2</sub>, Gd<sub>2</sub>O<sub>3</sub>, and  $CeO<sub>2</sub>$ . We explain this intense UC emission as a special high excited state ET process, in which the ET occurs at a higher excited state energy  $(\frac{12}{2}F_{7/2}, \frac{3}{2}T_{2})$  state of  $Yb^{3+}$ -MoO<sub>4</sub><sup>2</sup>- dimer) thus avoiding the nonradiative decay processes that occurs at the lower energy levels of the  $Er^{3+}$  ions.<sup>5,8,19</sup>

Besides examining the effective sensitization of the  $Yb^{3+}$ -MoO<sub>4</sub><sup>2-</sup>dimer, our study also focused on the evaluation of efficient host materials.  $Al_2O_3$  mixed in a  $ZrO_2$ matrix formed  $ZrO_2$ -Al<sub>2</sub>O<sub>3</sub> solid solutions, and the UC luminescence properties of

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the  $ZrO_2$ -Al<sub>2</sub>O<sub>3</sub> composite system series doped with different doping agents were investigated in detail. It is remarkable to see significant enhancement of the UC emission intensity in  $ZrO_2$ -Al<sub>2</sub>O<sub>3</sub> solid solutions samples compared to the pristine oxide samples– $ZrO_2$  and  $Al_2O_3$ . It reveals that the composite oxide structure has a profound effect on the UC efficiency. The comparison of UC emission spectra for the series of  $ZrO_2$ -Al<sub>2</sub>O<sub>3</sub> samples single-doped with 1 mol%  $Er<sup>3+</sup>$  are shown in Fig. 3. The green emission is predominant one among all the  $Er<sup>3+</sup>$  -doped composite samples. Additionally, the  ${}^{4}S_{3/2} {}^{4}I_{15/2}$  transition at 550 nm dominantly contributes to the green emission, as shown in Fig. 3. Actually, an increment in intensity is observed after introducing  $Al_2O_3$  into  $ZrO_2$  to produce the  $ZrO_2-Al_2O_3$  composite oxide. The green emission at 550 nm increased in the  $ZrO_2-Al_2O_3$  composite samples, and the strongest green emission is produced by sample **8Z-2A,** which exhibits about a 40–fold increment compared with the pure  $ZrO<sub>2</sub>$  sample. The significant increase of UC emission in sample **8Z-2A** may be due to the better miscibility of  $Er^{3+}$  in Al<sub>2</sub>O<sub>3</sub>-co-doped zirconia, which prevents the creation of large clusters and circumvents the concentration quenching process for the same concentration of  $Er^{3+},20,21$ 

The UC luminescence mechanism in  $Er<sup>3+</sup>$  -doped systems, which has been well established in the literature,  $3,4,20,21$  is depicted in Fig. 4. First, the  $Er^{3+}$  ion is excited from the ground state  ${}^{4}I_{15/2}$  to the excited state  ${}^{4}I_{11/2}$ . Subsequently, nonradiative relaxations of  ${}^{4}I_{11/2} \rightarrow {}^{4}I_{13/2}$  also populate the  ${}^{4}I_{13/2}$  level. Following first-level excitation, the excited atoms are excited from the  ${}^{4}I_{11/2}$  to the  ${}^{4}F_{7/2}$  levels or from the  ${}^{4}I_{13/2}$  to the  ${}^{4}F_{9/2}$  states. The populated  ${}^{4}F_{7/2}$  may mostly nonradiatively relax to the  ${}^{2}H_{11/2}$  and  ${}^{4}S_{3/2}$  levels, which produce two green UC emissions. In addition, the red emission was obtained due to the  ${}^{4}F_{9/2} \rightarrow {}^{4}I_{15/2}$  transitions. The mechanism of cooperative energy transfer process between two nearby  $Er<sup>3+</sup>$  ions also contributes to the enhancement of green emission at the higher doping concentration. Two excited  $Er<sup>3+</sup>$  at  $<sup>4</sup>I<sub>11/2</sub>$  level interact with each other and one  $Er<sup>3+</sup>$  is de-excited to  $<sup>4</sup>I<sub>15/2</sub>$  level</sup></sup> while the other is excite to  ${}^{4}F_{7/2}$  level. The cooperative energy transfer becomes dominant in the sample with high  $Er^{3+}$  concentrations due to shortening the average distance between dopant ions and enhancing the interionic interaction.

The results of the element content analysis by ICP are listed in Table 1. The  $Er<sup>3+</sup>$ ions content is 0.085 mol% for the **8Z-2A** sample, 0.024 mol% for the **6Z-4A** sample, 0.052 mol% for the **5Z-5A** sample, 0.044 mol% for the **4Z-6A** sample, and 0.026 mol% for the **2Z-8A** sample. This indicates that the  $8ZrO<sub>2</sub>$ -2Al<sub>2</sub>O<sub>3</sub> composite system benefits the efficient doping of  $Er<sup>3+</sup>$ .

The absorption spectra of the series of  $ZrO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub>$  samples single-doped with 1 mol%  $Er<sup>3+</sup>$  are shown in Fig. 5. The absorption band centered at 284 nm in the ZrO<sub>2</sub> sample corresponds to the host absorption. This band shows a blueshift in  $ZrO_2$ -Al<sub>2</sub>O<sub>3</sub> composite samples, which is produced by an increase of the band gap caused by the stabilization of the tetragonal phase at 1100 °C. The main absorption peaks for  $Er^{3+}$ and the corresponding transitions are: 1524 nm ( ${}^{4}I_{13/2}$ ), 962 nm ( ${}^{4}I_{11/2}$ ), 652 nm ( ${}^{4}F_{9/2}$ ), 525 nm ( ${}^{2}H_{11/2}$ ), 490 ( ${}^{4}F_{7/2}$ ), and 378 nm ( ${}^{4}G_{11/2}$ ). The introduction of Al<sub>2</sub>O<sub>3</sub> enhances the absorption properties of the  $Er^{3+}$  ions in these  $ZrO_2-Al_2O_3$  composite samples. In particular, for the sample **8Z-2A** the absorption peak intensity exhibits a six-fold increment in the visible as well as the  ${}^{4}I_{11/2}$  excited states near 980 nm compared with the sample without  $Al_2O_3$ . The enhancement of absorption by the  $8ZrO_2-2Al_2O_3$ composite system can be attributed to higher doping concentration of  $Er^{3+}$  as mentioned above in the ICP results. The  $Er<sup>3+</sup>$  ions in sample **8Z-2A**, which has the solid solution limitation of  $Al_2O_3$ , are localized at either the zirconium or aluminum lattice site that prevents aggregation. The bypassing of the nonradiative process  $({}^{2}H_{11/2} \rightarrow {}^{4}I_{9/2})/({}^{4}I_{15/2} \rightarrow {}^{4}I_{13/2})$  due to cross relaxation, which leads to the decay of the  ${}^{4}S_{3/2}{}^{3}H_{11/2}$  levels, mostly radiatively to the  ${}^{4}I_{15/2}$  level, and a remarkable 40-fold enhancement of the intensity.

The phonon energy of pure  $ZrO<sub>2</sub>$  is around 470 cm<sup>-1</sup>, which makes it a good candidate to insert rare earth ions for UC photoluminescence applications. FTIR was performed to ascertain how the introduction of  $Al_2O_3$  affects the phonon energy distribution in these hosts of solid solutions. For the pure  $ZrO<sub>2</sub>$  sample, the principal

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transmittance band is centered at  $470 \text{ cm}^{-1}$ , as shown in Fig. 6. This principal band shifts to longer wave numbers at 510 cm<sup>-1</sup> in the  $ZrO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub>$  composite samples, which allows higher phonon energy to be produced. Additionally, the FTIR study reveals that the  $ZrO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub>$  composite has a lower hydroxyl group content. A broad band centered at  $3435$  cm<sup>-1</sup> is due to the OH stretching vibrations of water, and the corresponding bending vibration is at  $1640 \text{ cm}$ <sup>-1</sup>. Both of the peaks decrease in the  $ZrO_2-Al_2O_3$  composite, and are mostly absent in the  $8ZrO_2-2Al_2O_3$  composite sample. Surface hydroxyl groups can influence the nonradiative relaxation rate,  $2^2$  thus the hydroxyl content is another reason for the increase of the UC emission intensity observed in sample **8Z-2A.**

The  $ZrO_2$ -Al<sub>2</sub>O<sub>3</sub> solid solutions also induced an increase of the UC emitted in the Er-Yb-Mo tri-doped samples. A high efficiency, as much as 22 times, in the green UC emission was achieved by tri-doping with  $Er^{3+}-Yb^{3+}-Mo^{6+}$  ions in pure  $ZrO_2$  (Fig. 2). The high efficiency of the UC emission was further enhanced 8-fold in the  $8ZrO<sub>2</sub>$ -2Al<sub>2</sub>O<sub>3</sub> solid solutions. The UC emission spectra of the Er-Yb-Mo tri-doped series of the  $ZrO_2$ -Al<sub>2</sub>O<sub>3</sub> composite system are shown in Fig. 7. Interestingly, the UC emission intensity in the  $ZrO<sub>2</sub> - Al<sub>2</sub>O<sub>3</sub>$  composite samples was enhanced compared with pure ZrO<sub>2</sub> and Al<sub>2</sub>O<sub>3</sub> samples. The **8Z-2A** sample shows the strongest emission of all the samples studied and the relative emission intensity is about 7-and 8-fold increased compared with pure  $ZrO_2$  and  $Al_2O_3$  samples, respectively.

A simplified diagram illustrating the possible mechanism of UC emission and the energy transfer process is depicted in Fig. 8. Our previous work suggest that Mo substitutes for the tri-valent  $Yb^{3+}$  ions to form  $Yb^{3+}$ -MoO<sub>4</sub><sup>2</sup> dimmer. Accurate first-principles calculations show that configuration with Mo occupying Yb sites have a total energy lower than other configurations.<sup>5</sup> Firstly, the  $Yb^{3+}$ -MoO<sub>4</sub><sup>2</sup> dimer absorbs a 980 nm photon and is excited from the ground state  $|^2F_{7/2}$ ,  $^1A_1>$  to the intermediate excited state  $|^2F_{5/2}$ ,  $^1A_1>$  level (GSA). Next, the excited ion absorbs a second photon in an excited state absorption (ESA) process and is promoted to the relevant higher level of  $|^2F_{7/2}$ ,  $^1T_1$ >. The excited state of  $|^2F_{7/2}$ ,  $^1T_1$ > is much higher

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in the Er-Yb-Mo system than in the Er-Yb-doped  $ZrO<sub>2</sub>$ . The ET takes place at a higher excited state energy and partly avoids the nonradiative decay processes that occur at the lower energy levels of  $Er^{3+}$ , leading to the enhancement of the efficiency enhancement of the green UC emission in the Er-Yb-Mo tri-doped samples.<sup>5,8,19</sup> In the host of the  $ZrO_2$ -Al<sub>2</sub>O<sub>3</sub> solid solutions, the oxygen ion vacancies  $(V_O^{\bullet\bullet})$  can be generated as a result of charge balance by incorporating an appropriate amount of  $Al_2O_3$ , as shown in equation (1). The oxygen vacancy serves as the F center and greatly affects the luminescent properties in  $ZrO<sub>2</sub>$ . The blue emission centered at 470-490 nm from  $ZrO_2$  is attributed to the  $V_O^{\bullet\bullet}$  defects.<sup>23-25</sup> Moreover, the <sup>4</sup> $F_{7/2}$  level of  $Er<sup>3+</sup>$  ions (490 nm) is very close to the defect state. When the above two-photon process occurs, the excited ions are easy to be captured by the  $V_0$ <sup>\*\*</sup> defects near the  $|{}^{2}F_{7/2}$ ,  $|{}^{1}T_{1}$  state, and then transfer to  ${}^{4}F_{7/2}$  level of  $Er^{3+}$ . The presence of the V<sub>0</sub><sup>\*\*</sup> defects in the  $ZrO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub>$  system favors the promotion of the ET process, in which the Yb<sup>3+</sup>-MoO<sub>4</sub><sup>2-</sup> dimer sensitizes the Er<sup>3+</sup> ions at the  $|{}^{2}F_{7/2}$ ,  ${}^{1}T_{1}$ > excited state, which nonradiatively relaxes to  ${}^{2}H_{11/2}$  and  ${}^{4}S_{3/2}$  levels and subsequently results in two strong green emissions. The red emission caused by populated  ${}^{4}F_{9/2}$  level in the  $ZrO_2$ -Al<sub>2</sub>O<sub>3</sub> system is one order of magnitude weaker than the green emission, as shown in Fig. 7, and provides further evidence of the high excited state energy transfer UC process.

The dependence of the green UC intensity (empty bars) and red UC intensity (shaded bars) on different  $ZrO_2$ -Al<sub>2</sub>O<sub>3</sub> composite samples is depicted in Fig. 9. The intensity of the green emission relative to the intensity of the red emission is 8.12 and 3.06 for the sample  $8Z-2A$  and pure  $ZrO_2$ , respectively. A predominantly green emission was obtained, and as the ratio was 8:1, the emission was almost exclusively green in the sample **8Z-2A**. The evident enhancement in the green to red emission ratio in comparison with the  $ZrO<sub>2</sub>$  sample makes the  $ZrO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub>$  composite sample an efficient green UC phosphor with high color purity. The Commission Internationale del′ Eclairage (CIE) chromaticity coordinates of sample **8Z-2A,** calculated from the corresponding upconverted emission spectrum, is (0.25, 0.71), while the index of  $ZrO<sub>2</sub>$  is (0.32, 0.41). In addition, the corresponding hue of the samples are green and

yellow-green, respectively, as indicated in the chromaticity diagram inset in Fig. 9. It is well known that the green and red UC intensities are proportional to the population of  ${}^{4}I_{11/2}$  and  ${}^{4}I_{13/2}$  levels, which are strongly governed by the nonradiative relaxation from the two levels. Thus, decreasing the nonradiative relaxation rate can increase the population ratio of the  ${}^{4}I_{11/2}$  to  ${}^{4}I_{13/2}$  levels, so as to enhance the green UC emission and suppress the red emission, simultaneously.

#### **4. Conclusion**

A series of  $ZrO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub>$  composites containing different zirconium compositions (20 to 100 mol%) were prepared by the co-precipitation method, and the optimum composition was determined to be  $8ZrO<sub>2</sub>$ -2Al<sub>2</sub>O<sub>3</sub>. The visible green UC emission was significantly enhanced by doping with different dopant ions in the  $8ZrO<sub>2</sub>$ -2Al<sub>2</sub>O<sub>3</sub> composites. The enhancement of the green UC emission from the  ${}^{4}S_{3/2}$ - ${}^{4}I_{15/2}$  transition in  $Er^{3+}$  single-doped  $8ZrO_2-2Al_2O_3$  is ascribed by reducing the nonradiative relaxation due to the better miscibility of  $Er<sup>3+</sup>$ . The UC emissions were further increased by tri-doping  $Er^{3+}$ -Yb<sup>3+</sup>-Mo<sup>6+</sup> ions through a high ET process which occurred at higher excited state energy and avoided the nonradiative decay processes in the  $Er<sup>3+</sup>$  ions. Furthermore,  $ZrO_2$ -Al<sub>2</sub>O<sub>3</sub> phosphor exhibits high color saturation with the appropriate tuning of the concentration of the  $Al_2O_3$  solid solution.

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### **Figure captions**

**Fig. 1** (1) XRD patterns of ZrO<sub>2</sub> doped separately with Er, Er-Yb, Er-Yb-Mo. (2)XRD patterns of the series of the Er-Yb-Mo:  $ZrO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub>$  solid solution samples (a), and partial enlarge detail of (0,1,1)t peak (b).

Fig. 2 UC emission spectra of the Er:ZrO<sub>2</sub>, Er-Yb:ZrO<sub>2</sub>, and Er-Yb-Mo:ZrO<sub>2</sub> samples (Emission slit=5 nm).

**Fig. 3** UC emission spectra of the  $Er^{3+}$  doped series of the  $ZrO_2-Al_2O_3$  composite system (Emission slit=5 nm).

**Fig. 4** UC luminescence mechanism of  $Er<sup>3+</sup>$ .

**Fig. 5** Absorption spectra of the series of  $ZrO_2$ -Al<sub>2</sub>O<sub>3</sub> solid solutions samples single-doped with 1 mol%  $Er<sup>3+</sup>$ .

**Fig. 6** FTIR spectra of the  $ZrO_2$ -Al<sub>2</sub>O<sub>3</sub> solid solutions samples.

**Fig. 7** UC emission spectra of 1 mol%  $Er^{3+}$ , 10 mol%  $Yb^{3+}$ , 10 mol%  $Mo^{6+}$  doped series of  $ZrO_2-Al_2O_3$  composite system (Emission slit=2.5 nm).

**Fig. 8** Schematic energy level diagram of the Er-Yb-Mo tri-doped  $ZrO_2$ -Al<sub>2</sub>O<sub>3</sub> composite system.

**Fig. 9** Dependence of the green UC intensity (empty bars) and the red UC intensity (shaded bars) on different  $ZrO_2$ -Al<sub>2</sub>O<sub>3</sub> composite samples. Inset shows the CIE chromaticity diagram for the  $ZrO_2$ -Al<sub>2</sub>O<sub>3</sub> composite and  $ZrO_2$  samples.

**TABLE 1:** ICP Results of Different Samples

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Wavelength (nm)

















