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Surface induces different crystal structures in room temperature switchable spin crossover compound

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We investigated the influence of surfaces in the formation of different crystal structures of a spin crossover compound, namely [Fe(L)\textsubscript{2}] (LH: (2-(pyrazol-1-yl)-6-(1H-tetrazol-5-yl)pyridine), which is a neutral compound thermally switchable around room temperature. We observed that the surface induces the formation of two different crystal structures, which exhibit opposite spin transitions, i.e. heating them up to transition temperature, one polymorph switches from high spin to low spin and the second polymorph switches irreversibly from low spin to high spin. We attributed this inversion to the presence of water molecules H-bonded to the complex tetrazolyl moieties in the crystals. Thin deposits were investigated by means of polarized optical microscopy, atomic force microscopy, X-ray diffraction, X-ray absorption spectroscopy and micro Raman spectroscopy, moreover the analysis of the Raman spectra and the interpretation of spin inversion were supported by DFT calculations.

1. Introduction

As the crystalline structure determines the presence of functionalities in many materials, different crystal structures can dramatically differ in their functional properties and behavior\textsuperscript{1-5}. This setback, which manifest itself in phenomenon such as polymorphism and solvatomorphism is particularly relevant in drugs industry, however, is common in many materials, such as organic semiconductors\textsuperscript{6, 7}, supramolecular systems\textsuperscript{1} and spin crossover (SCO) compounds\textsuperscript{5, 8-20}. Despite some exceptions, in which polymorphism is exploited as an advantageous property\textsuperscript{21-24}, usually it is thought to be a drawback for many technological application, which often compromises the development of materials. On the contrary the detailed knowledge of different crystal forms and their influence on the functionalities, can be the way to use the optimally performing solid among them. The growth of crystals in different structures and/or polymorphs, is influenced by external parameters such as solvent, concentration, temperature, but it is also influenced by interfaces and surfaces\textsuperscript{25, 26}. Some of these parameters are cooperative and not independent of each other making the control of the full set very difficult.

Here we investigated the influence of the surface in the formation of different crystal structures in a room temperature switchable SCO compound. We observed that in some conditions, surface induces the formation of, at the least, two different types of crystals, which exhibit opposite spin transitions properties, i.e. heating the first type of crystals up to its transition temperature it switches from high spin to low spin state, while the second one switches from low spin to high spin state.

SCO compounds are largely studied switchable transition metal complexes capable to switch their spin state as well the colour, conductivity, dielectric constant, diffraction index, and mechanical properties\textsuperscript{28-33}. Since the switching conditions critically depend on the local molecular environment (e.g. packing, counter anions and/or solvent molecules, if included in the crystalline structures, etc.), SCO compounds are particularly sensible to the formation of different crystal structures and polymorphs\textsuperscript{5}. SCO compounds are processable by conventional\textsuperscript{34} or unconventional methods\textsuperscript{35-38} and were proposed for several applications ranging from, permanent/rewritable information storage and spintronic\textsuperscript{27, 39-41} to chemical actuators\textsuperscript{42}, multimodal sensors\textsuperscript{43} of...
chemicals and pressure sensors. Several exhaustive reviews encompass the synthesis, properties and applications of SCO compounds.

Here we studied SCO complex 1, whose molecular formula is \([\text{Fe}(L)_2]\) (LH: (2-(pyrazol-1-yl)-6-(1H-tetrazol-5-yl)pyridine) (see the structure in Fig. 1). 1 is a neutral compound switchable around room temperature whose synthesis and characterization is reported elsewhere.

As a SCO compound, based on Fe\(^{II}\), 1 is particularly interesting since the spin transition occurs from a diamagnetic state (low spin state, LS, in singlet state) in which the six electrons of d-level are paired in the three \(t_{2g}\) orbitals to a paramagnetic state (high spin state, HS, in quintuplet state) in which four electrons are unpaired and distributed between \(t_{2g}\) and \(*e_g\) orbitals (Fig. 2). Moreover, 1 was proved to be an efficient processable material for multimodal sensing for methanol and ethanol.

Thin deposits of 1 were grown by drop casting on several technological relevant surfaces such as silicon and gold and processed by unconventional lithography. Thin deposits were investigated by means of polarized optical microscopy (POM), atomic force microscopy (AFM), X-ray diffraction (XRD), X-ray absorption spectroscopy (XAS) and micro Raman spectroscopy (µ-Raman). Moreover, since we systematically used Raman spectroscopy as technique to establish the spin state in single crystallites, a detailed interpretation of the significant features observed in the Raman spectra was performed by Density Functional Theory (DFT) calculation.

2. Results and discussion

2.1 Material characterization (bulk)

A detailed characterization of bulk material is reported in references and. Briefly, 1 crystallizes forming block shaped crystals with \(C2/c\) monoclinic symmetry. The unit cell contains eight complex units \([\text{Fe}(L)_2]\) packed to fill \(\sim 85\%\) of the volume. The remaining voids are arranged in channels, aligned with crystallographic \(c\) axis, filled with solvent molecules. As previously reported crystals spin state is tightly connected to temperature and solvation state of crystal cavities. At room temperature crystals grown from methanol-dichloromethane solution are yellow in the mother liquor (CH\(_3\)OH/CH\(_2\)Cl\(_2\)) and turn red when dried due to changes in solvent content. Structural characterization of 1 at 293 K shows coordination sphere bond lengths of a low spin iron complex and exhibits a transition temperature from LS to HS at 295 K with a small hysteresis of 5 K that appears after the first thermal cycle (Fig. 2).

The powder of 1 is formed by red crystallites (mean size \(1<\text{Size}<100\mu\text{m}\)) that turn to yellow/orange when heated up to the transition temperature. The transition can be followed by POM both observing the change of colour and birefringence (LS state does not show birefringence while HS state shows a high birefringence), by XAS and by Raman, which shows clear features associated to spin transition. The transition occurs in a few tens of seconds and despite the fragmentation, is reversible, i.e. cooling back the sample below the transition temperature the (fragmented) crystals return to red colour and lose the birefringence.

The spin transition LS \(\rightarrow\) HS is concomitant with a fragmentation of the crystals while no effect on morphology was observed during the reverse transition cooling down the crystals (i.e. HS \(\rightarrow\) LS). It must be noted that the powder of 1 contains a small percentage (we estimated \(< 2\%\)) of crystallites, which do not exhibit the spin transition. A detailed characterization of these crystallites, here named I, is reported.

![Figure 1](image1.png)

**Figure 1.** a) Chemical structure of \([\text{Fe}(L)_2]\) (LH: (2-(pyrazol-1-yl)-6-(1H-tetrazol-5-yl)pyridine) (1) b) Molecular structure of 1 in the low spin state.

![Figure 2](image2.png)

**Figure 2.** Magnetic characterization and corresponding spin state of 1.
The Raman spectra (Fig. 3) recorded at two different temperatures, i.e. 280 K and 328 K, which correspond to LS and HS respectively, show substantial differences in frequencies and intensities, indicating that the spin-state switching occurred. Particularly meaningful for the spin state discrimination of the complex are the absorptions observed for the pair of transitions recorded at 666 and 1012 cm$^{-1}$ at 328 K, which result more intense and shifted at lower frequencies than those observed at 280 K at 680 and 1037 cm$^{-1}$. Many more features, particularly those at low frequency, support the occurrence of the spin-state transition, mainly showing a shift of their frequency. They are all of much lower intensity than those observed at higher frequency, where the main spectral change is the decreasing of the intensity at high temperature.

To gain an insight into the origin of the observed features in the Raman spectra, they were calculated by means of DFT calculations for the isolated complex (See details in experimental section 4.2). The calculated spectra of the LS and HS states were afterwards scaled to improve the comparison with the experimental spectra. The simulated spectra nicely compare with the experimental ones, paving the way to an accurate characterization of the vibrational modes, which evidence the spin-state transition. It turns out that the above mentioned significant peaks are all originated by vibrations localized at the Fe-pyridyl units. Those at low frequency, i.e. 680 cm$^{-1}$ (280 K) and 666 cm$^{-1}$ (328 K), are largely characterized by the in-phase Fe-N stretching mode of both pyridyl rings in the singlet state ($\nu_{\text{LS}} = 684$ cm$^{-1}$), which besides cause large deformations of their internal planar angles. The same kind of vibrational mode characterizes also the absorption in the HS state ($\nu_{\text{HS}} = 668$ cm$^{-1}$), with the prevailing contribution of one pyridyl unit to the description of this vibration. The situation is reversed for the other two absorptions at 1037 cm$^{-1}$ (280 K) and 1011 cm$^{-1}$ (328 K) since, in this case, the bending modes of both pyridyl units induce as well a significant contribution by the Fe-N stretching modes, as shown by the calculated $\nu_{\text{LS}} = 1035$ cm$^{-1}$ and $\nu_{\text{HS}} = 1011$ cm$^{-1}$.

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Figure 3. Comparison between a) experimental and b) simulated Raman spectra of 1.

The most intense of these absorptions are largely characterized by the stretching modes of Fe-pyridyl bonds such as those at 490 and 237 cm$^{-1}$ (280 K) and 460 and 270 cm$^{-1}$ (328 K). In the frequency region above 1100 cm$^{-1}$ the bending modes of the ligands give rise to transitions within the ranges 1110-1190 cm$^{-1}$ and 1207-1230 cm$^{-1}$, while the N-Fe-N bending modes are manifested between 1240-1349 cm$^{-1}$, and the N-N stretching modes of the pyrazole ring largely characterize transitions between 1280 and 1293 cm$^{-1}$. The C-C and C-N stretching, along with the C=CH bending modes of the rings give rise to the observed transitions starting from 1370 cm$^{-1}$ with the stretching vibrations of the pyridyl rings assigned to the high frequency absorption at 1620 and 1570 cm$^{-1}$ (280 K), and at 1617 and 1580 cm$^{-1}$ (328 K).

It is interesting to point out that, within the adopted isolated molecule model used to simulate the Raman spectra of 1, the HS state is calculated to be more stable than the LS state. The same situation occurs when it is considered in CH$_3$OH or CH$_3$Cl solutions. This behaviour is confirmed by the colour of solution and the crystals in presence of the solvent (Fig. 4a-e). On the contrary, the LS state results largely stabilized in the crystalline geometry of 1.

Other factors contribute to tune the relative energy gap between the two states, besides the modelled structures and environments, such as the temperature, which affects the zero-point energy (ZPE) correction to the calculated electronic energy. Moreover, possible interactions with absorbed polar protic solvents within the crystal can significantly influence the relative stability of the two spin-states. This is shown by calculations, which consider a water molecule H-bonded to one tetrazolyl unit of a single molecule of 1 taken at the X-ray geometry.

The reason why the tetrazolyl unit is particularly prone to form H-bonds, is evidenced by the natural charge analysis indicating that a large amount of electron charge is localized at this unit of the ligand. Moreover, it evidences that the electron charge flows from the metal atom towards the ligands when passing from LS to HS and the other way around (see ESI).

2.3 Thin deposits

Thin deposits of 1 were prepared from a CH$_3$OH:CH$_2$Cl$_2$ 1:1 (v/v) solution by drop casting in solvent saturated environment and controlled temperature on Si/SiO$_2$ and Au substrates (see details in experimental section).

Figure 4 shows the real time monitoring of the thin deposit growth. As the deposited solution reaches supersaturation a first type of crystals (here named R), start to nucleate in solution. These crystals result as the same observed in the powder. They consist of block shaped crystallites floating inside the liquid film (Fig. 4a). As the crystallites reach a critical dimension,
they start to fix at the surface (Fig. 4b). No evidence of other kind of crystals was observed at this stage.

On the other hand, when only a thin film of solution remains on the surface, a second type of crystallites (here named Y), starts to form on the surface. Y crystallites consist of elongated crystals pinned at the surface that growth till the complete solvent evaporation (Fig. 4c-f). Y crystallites were never observed in the powder. For both types of crystals the mean size depends on the solution shrinking rate and range between a few microns when the film was prepared in air at room temperature to > 200µm when prepared in solvent saturated atmosphere at 277 K. The relative percentage of the two types of crystals range from 10% to 90 % and cannot be systematically controlled acting on:

i) Different shrinking rate. Thin deposits were prepared under solvent saturated atmosphere, in air and under low vacuum, changing the evaporation rate from a few tens of seconds to some hours.

ii) Different temperatures of the substrates. We tested deposition in air in a range of temperature from 280 to 330 K.

iii) Different solvent composition. We tested deposition from (CH\textsubscript{2}Cl\textsubscript{2}:CH\textsubscript{3}OH) mixture ranging the composition from 1:4 to 4:1 (v/v).

Despite we cannot exclude some kinetic effects; these experimental evidences allow us to consider these effects negligible with respect the role of the surface.

Till the solvent is present both types of crystals appear yellow, however, after complete solvent evaporation, R crystals turn to red (Fig. 4f), as happens in the powder, while Y crystals remains yellow.

The crystalline structure of R corresponds to the crystal structure observed in the powder and is described in section 2.1.

On the other hand, the Y crystals tend to form large irregular aggregates, which often contain also small “red inclusions” at the centre. Differently from the R crystals, the Y crystals exhibit a strong birefringence whose colour depends on the local thickness. Remarkably, the behaviour at POM results quite similar to the R crystals in H\textsubscript{5} state. The smaller crystals (indicatively size <5 µm) behave as single crystals (i.e. the entire crystals extinguish at the same polarizer orientation), while the larger crystals result formed by different domains, randomly oriented. This strong birefringence allows us to distinguish between the two different crystals also in very small crystals (size <2-3 µm) that look almost colourless and with ambiguous shape at optical microscopy (Fig.5).
Heating Y crystals up to 296 K (viz. ~2 K degree before the transition in the powder) or upon a strong thermal stress (i.e. temperature variation >20 K/min. both heating and cooling the samples) in air or nitrogen atmosphere, Y crystals abruptly, turn irreversibly to red and fragment. Surprisingly, the transition was not always observed upon the heating (cooling) of the sample in high vacuum in the range 200-330 K using heating (cooling) rate <2 K/min. We speculate that heating the samples slowly the Y crystals are preserved in a metastable phase, however this behaviour in not fully understood and is still under investigation. The former crystals show the same colour and birefringence of the R polymorph in LS state, but do not show any further transition in the range of temperatures 166-400K. Figure 5 shows optical micrographs of a thin film when heated from room temperature to 296 K.

Figure 6. Raman spectra collected at 320K and 280K on R and Y polymorphs compared with the powder.

The spin state of both crystals was investigated by µ-Raman spectroscopy. Our set-up allows the detection of the spectra of single crystallite thanks to a laser spot size of <1.5 µm. Raman spectra were collected below (at 280 K) and up (at 328 K) the transition temperature for both crystals. The spectra recorded for R crystals correspond to the spectra of the powder within a tolerance of ~1 cm⁻¹, confirming these crystals as made as the powder. This characteristic was confirmed both for LS state (i.e. at temperature < 295 K) and for HS state (i.e. at temperature >298 K, Fig. 6). Surprisingly, the spectra of Y crystals is almost identical to the corresponding spectra of R crystals but inverted with respect to the spin state, i.e. the spectra of Y crystals recorded below the transition temperature is equal to the Raman spectra of R crystals but inverted with respect to the spin state, whereas the yellow and orange dots label the reflections coming from structure Y before and after thermal treatment, respectively. b) In-situ and real time XRD patterns collected on drop casted deposit rich in yellow needles at 290K (black line), 328K (blue line) and after cooling down at 290K (red line).

While single crystals of R crystals have been manually removed from the substrate in order to individually characterize them, the same procedure was not applicable to Y crystals because of their fragility. Therefore, structural characterization was carried out by performing XRD measurements directly on thin deposits containing ~90% of a type of crystal. Specular scan of the deposit rich in R crystals (Fig. 7) shows the presence of two crystal phases, which the majority one corresponds to the structure of the powder (red squares) and the minority to the structure of the inert crystals I (green squares) observed in the temperature in the range of frequencies containing the most significant, diagnostic, vibrational modes. This indication suggests that the structure of the Y polymorph stabilizes the material in HS state.

Figure 7. a) XRD patterns (specular scans) of drop casted deposits having a majority of block-shaped red crystals (red line) and yellow needles drop casted on silicon before (black line) and after (blue line) thermal annealing at 320K. Red and green squares label the reflections coming from structure R, and I, whereas the yellow and orange dots label the reflections coming from structure Y before and after thermal treatment, respectively. b) In-situ and real time XRD patterns collected on drop casted deposit rich in yellow needles at 290K (black line), 328K (blue line) and after cooling down at 290K (red line).
The electronic structure of the Fe\textsuperscript{II} ions of complex 1 was probed through soft X-ray Absorption Spectroscopy (XAS) at the FeL\textsubscript{2,3} absorption edges for a sample containing \% of the Y monomer (estimated value from optical images spread over a gold polycrystalline substrate). The FeL\textsubscript{2,3} XAS spectra are very sensitive to the configuration of the 3d states, thus providing direct insight of the changes occurring when passing from low to high spin condition\textsuperscript{43}. The XAS results shown in figure 7 were recorded cycling the sample temperature below \(T_\text{c}\) (orange dots), which remains stable after cooling down to 290K. In the meantime, I-crystals preserve their structure regardless the thermal treatment.

The low(high) energy L\textsubscript{3} peak is assigned to the HS(LS) state, therefore suggesting that both molecules with HS or LS Fe\textsuperscript{II} configurations are presently occurring for this range of temperatures. The assignment is supported by the reversible change of intensity for these peak features when passing across the critical temperature of the SCO complex (about 295 K), which is indicative of the spin-crossover behaviour although it seems to involve just a fraction of the molecules. However, because of the sample preparation procedure we cannot exclude that other contributions to the spectra, related to surface contamination of the crystallites due to air exposure or to the presence of fragmented molecules, may overlap to the HS/LS terms hence reducing the strength of the spectral change.

3. Conclusions

In conclusion we investigated the influence of the surface in the formation of different crystals structures of a room temperature switchable spin crossover compound. We observed that when deposited on to a substrate, the surface induces the formation of two different crystals structures (possibly different two polymorphs), which exhibit opposite spin state below the transition temperature. This behaviour is not controllable in drop casting configuration and seems to be independent from the solvent composition and shrinking rate. Considering the DFT calculation this difference was ascribed to the absence of water or polar solvent molecules in the structure. This work proves for the first time that surface can induce the formation of particular crystals structures in spin crossover compounds with a dramatic effect on the properties. Usually this effect is considered a drawback for conventional application, however the irreversibility of the system can be exploited in advantage for specific applications such as time temperature integrators and sensing.

4. Experimental

4.1 Materials.

Synthesis and purification of 1 was reported in ref.\textsuperscript{51} Thin deposits of 1 have been obtained from a CH\textsubscript{3}OH:CH\textsubscript{2}Cl\textsubscript{2} 1:1 solution by drop casting and slow evaporation on Si wafers. Spectroscopic grade quality solvents were purchased from Sigma Aldrich. Dried crystals of SCO complex 1 (average size 0.05x0.02x0.02 mm\textsuperscript{3}), suitable for single crystal diffraction experiments, have been obtained from thin deposits or slow evaporation (average size 0.2x0.08x0.08 mm\textsuperscript{3}), at 4°C till dryness. Substrate cleaning and preparation: Silicon wafers (1x1 cm\textsuperscript{2}) with native or thermally grown silicon dioxide layer (200 nm thick) are used as substrates. All substrates were cleaned by sonication in acetone for 10 min, then in 2-propanol for 10 min, and dry in a stream of nitrogen prior to use.
4.2 Calculation of Raman spectra
Density functional theory (DFT) calculations of the Raman spectra were performed by using Gaussian 09 software program. The hybrid functional PBE0 was employed with the 6-31G** basis set, substituted by the spin-state-corrected s6-31G** basis set in the case of Fe. The equilibrium geometry in vacuo of the singlet and quintuplet states were obtained using tight convergence criteria and requiring ultrafine grids during the optimization procedure, in order to obtain accurate values of the harmonic frequencies. For sake of clearness, the calculated Raman spectra were adapted for a better comparison with the experimental counterparts following the method suggested by Riaubault et al. The harmonic frequencies were scaled by a factor $a(\nu)$, which depends on the frequency itself, i.e. $a(\nu) = 1 - (1 - (\nu/\nu_{\text{max}})^{1/2})$. Afterwards, the Raman spectra were simulated by a convolution of gaussian functions with a full width at half-maximum (FWHM) of 12 cm$^{-1}$. The LS and HS states of the organometallic complex were also investigated considering the X-ray geometry of 1 alone at 100 K and 300 K and with an added molecule of water using the same DFT method. The polarizable continuum model (PCM) was adopted to study the relaxed structure of 1 in CH$_3$OH and CH$_3$Cl solution. The charges were calculated by means of the NBO program (version 3.1). The calculated geometries, Raman transitions and energies are reported within the ESI.

4.3 Optical microscopy
Optical micrographs were recorded with a Nikon i-80 microscope equipped with ep-i-illuminator and cross polars(POM). The images presented were recorded using objective: LU Plan ELWD 20X/0.40 and 50X/0.55 objectives. Images were recorded by a commercial CCD (DIGITAL SIGHT DS-2MV).

4.4 Raman spectroscopy
Nd-YAG excitation wavelength (532 nm) was used to obtain Raman spectra in the 100–2000 cm$^{-1}$ range. Raman scattering measurements were recorded in backscattering configuration using a long working-distance 50X microscope objective with laser power lower than 25 µW to avoid photo-thermal effects. The samples were mounted in a Liquid Nitrogen Peltier heating-cooling stage to span the 150 - 400 K temperature range.

4.5 Thermal treatment and temperature control
The thermal treatments were performed under the optical microscope using a heating stage Linkham TMHS600 connected to a TP94 controller, with a control of 0.1 °C using the setup described in ref.

4.6 X-ray diffraction data collections
Data collections were performed for all these systems at the X-ray diffraction beamline (XRD1) of the Elettra Synchrotron, Trieste (Italy) with a Pilatus 2M image plate detector. Complete datasets have been collected at a monochromatic wavelength of 0.800 Å through the rotating crystal method. Crystals of R and I were dipped in N-paratone, to limit solvent exchange during data acquisitions, and mounted on the goniometer head with a nylon loop. The diffraction datasets were collected at controlled temperatures, using a nitrogen stream supplied through an Oxford Cryostream 700 at 100K, below and above spin transition temperature. Crystals of R polymorph heated above 300 K (temperatures up to 330K have been tested) did not show diffraction. Data were indexed, integrated and scaled using XDS. The structures were solved by direct methods using Sir2014, Fourier analysed and refined by the full-matrix least-squares based on $F^2$ implemented in SHELXL-2014. Coot program has been used for modelling. In the final refinement, all non-hydrogen atoms with full occupancy, were treated anisotropically and the hydrogen atoms were included at calculated positions with isotropic $U_{\text{factors}}= 1.2·U_{\text{eq}}$ or $U_{\text{factors}}= 1.5·U_{\text{eq}}$ for methyl groups.

**XRD Characterization of thin deposit:** Crystal structure of thin deposits has been characterized by performing specular and off-specular experiments using XAS.

4.7 X-ray absorption (XAS)
The X-ray absorption spectra of the Fe L$_2,3$ edges were measured in Total Electron Yield (TEY) mode at the Advanced Photoelectric-effect Experiments (APE) beam-line of the Elettra Synchrotron light source, in a temperature range of 240–330 K and a base vacuum of the order of 2x10$^{-10}$ millibar. The incidence angle of the X-ray beam with respect to the surface was set at 45 degrees. The spectra were recorded with energy resolution of about 0.1 eV and normalized to the incident photon flux through the TEY signal of a gold mesh intercepting the beam.

4.8 Magnetic characterization
Magnetic measurements were performed on a MPMS SQUID-XL-5 magnetometer (Quantum Design). The high temperature dependence of the magnetic moment was recorded at $B = 0.1$ T as an external magnetic field and the experiment was carried...
out using a special heating setup (Sample space oven, Quantum Desing). High temperature sample holder consisted of Quartz glass tube and teflon filer and its very small diamagnetic contribution had negligible contribution to the overall magnetization, which was dominated by the sample. The diamagnetic corrections of the molar magnetic susceptibilities were applied using Pascal’s constants.

5. Acknowledgements
The activity has been supported by national Project N-CHEM, Flagship NANOMAX and by the Italian Ministry of Research through the project NFPA-MIUR. We acknowledge Slovak grant agencies (Slovakia: APVV-14-0078, APVV-14-0073 and VEGA 1/0522/14) and COST Action CM1305 (ECOSTBio) for the financial support.

Notes and references