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## ARTICLE

# A Mechanistic Investigation of the Ruthenium Porphyrin Catalysed Aziridination of Olefins by Aryl Azides

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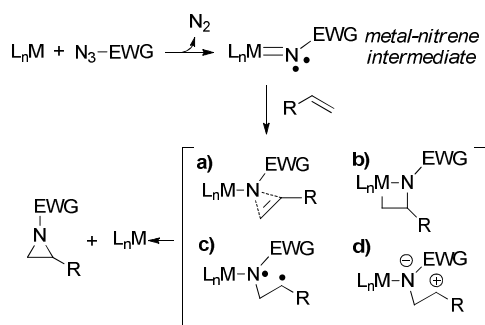
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A mechanism for the aziridination of olefins by aryl azides (ArN<sub>3</sub>), promoted by ruthenium(II) porphyrin complexes, is proposed on the basis of kinetic and theoretical studies. All the recorded data support the involvement of a *mono*-imido ruthenium complex as the active intermediate in the transfer of the nitrene moiety “ArN” to the olefin. The selectivity of the aziridination *vs.* the uncatalysed triazoline formation can be enhanced by fine-tuning the electronic features of the porphyrin ligand and the olefin/azide catalytic ratio. The DFT study highlights the importance of an accessible triplet ground state of the intermediate ruthenium *mono*-imido complex to allow the evolution of the aziridination process.

## Introduction

Aziridines are important building blocks in organic synthesis because their highly strained three-membered ring affords an epoxide-like reactivity through an easy C-N bond cleavage.<sup>1-5</sup> The relevant biological activity of these heterocycles, which are present in several natural compounds and antitumor/antimicrobial agents,<sup>6-10</sup> suggests their usage as precursors of important aza-compounds. Hence, efficient aziridine synthetic strategies have become of importance.<sup>5, 11-17</sup> One of the well-established methodologies for the aziridine preparation is the transition metal-catalysed nitrene transfer from a nitrogen source to an unsaturated hydrocarbon.<sup>18,19</sup> In the last few decades a large number of examples of this reaction were reported using iminoiodinanes (PhI=NR) as nitrene sources in the presence of a variety of metal catalysts, *e.g.*, porphyrin, *bis*-oxazoline and Schiff base complexes.<sup>20</sup> Several efficient asymmetric versions of this reaction were also developed.<sup>11,12</sup>

More recently, organic azides (RN<sub>3</sub>) have been extensively used as nitrene precursors thanks to their atom efficiency related to the formation of N<sub>2</sub> as the only by-product of the nitrene transfer reaction.<sup>21-28</sup> Many reports were published concerning the aziridination of olefins by organic azides<sup>26,29-35</sup> and excellent results in terms of yields and enantioselectivities were achieved by Katsuki's<sup>36-38</sup> and Zhang's<sup>39,40</sup> groups. Since the mechanistic understanding is generally useful to optimise the efficiency of catalytic systems, there is still much debate on how the olefin aziridination works.<sup>41,42</sup> Many different variables can be involved in the aziridine formation (Scheme 1),<sup>12,43</sup> generally the reaction mechanism is strongly catalyst- and substrate-dependent and often available experimental data appear insufficient to determine a unique pathway.



**Scheme 1.** Selection of possible pathways for the olefin aziridination by organic azides; a) concerted insertion, b) *via* azametallacyclobutane, c) stepwise radical reaction, d) stepwise carbocationic reaction.

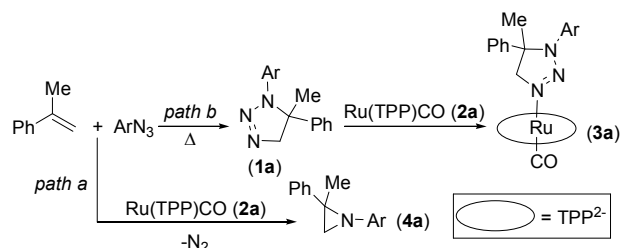
For these reasons, theoretical analyses (*e.g.* DFT-based calculations) have become an essential tool to shed some light on the aziridination mechanism. For instance, theoretical approaches were fundamental in suggesting a mechanism for the cobalt(II) porphyrin-catalysed aziridination of olefins by organic azides.<sup>44,45</sup> A radical mechanism was finally proposed in which a cobalt(III) radical nitrene complex plays the role of the active intermediate in the catalytic reaction.

Since 1999 Cenini/Gallo's group have employed aryl azides to perform the aziridination of olefins promoted by porphyrin complexes<sup>32,46-49</sup> and a high activity of ruthenium(II) carbonyl porphyrins was reported.<sup>31,50,51</sup> The reaction scope was investigated<sup>31</sup> and a preliminary mechanistic proposal was formulated on the basis of experimental data.<sup>48</sup>

This work presents a more careful investigation of the aziridination mechanism of olefins by aryl azides catalysed by ruthenium(II) porphyrin complexes by combining the experimental, kinetic studies and DFT analysis.

## Results and Discussion

Our previous studies<sup>31</sup> indicated that aziridination of olefins catalysed by Ru(porphyrin)CO occurred in quantitative yields and short reaction times using terminal styrenes and aryl azides bearing electron-withdrawing groups (EWGs) on the aryl moiety. It was also observed that, by running these reactions at high olefin concentrations,<sup>48</sup> a major catalytic inhibition occurred due to the formation of 1-(4-nitrophenyl)-5-methyl-5-phenyl-1,2,3-triazoline **1a** by the uncatalysed 1,3-cycloaddition between the 4-nitrophenyl azide (ArN<sub>3</sub>) and  $\alpha$ -methylstyrene (Scheme 2). The so-formed triazoline species **1a** binds the ruthenium centre of the catalyst Ru(TPP)CO (**2a**) (TPP = anion of tetraphenylporphyrin) and affords the coordinatively saturated complex **3a** (Scheme 2). Complex **3a** was fully characterised which also included a single crystal X-ray analysis.<sup>48]</sup> The poor catalytic activity of **3a** was already discussed in the previous work and, since a vacant coordinative site is required for the aryl azide coordination and activation, it was suggested that triazoline species **1a** acts in a competitive inhibitor-like fashion through the catalytic cycle.



**Scheme 2.** Catalysed (*path a*) and uncatalysed (*path b*) reaction between  $\alpha$ -methylstyrene and ArN<sub>3</sub>.

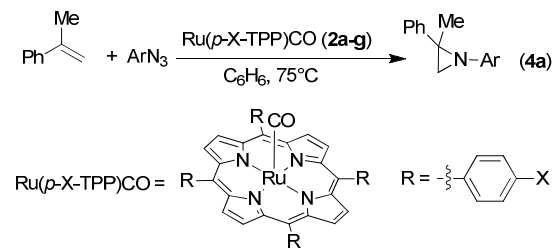
Preliminary kinetic studies<sup>48</sup> indicated a first order dependence with respect to both the catalyst and 4-nitrophenyl azide (ArN<sub>3</sub>) in the **2a**-catalysed reaction (Scheme 2, *path a*). The kinetic order with respect to the  $\alpha$ -methylstyrene concentration was ambiguous since a first order dependence, followed by a region of rate inhibition, was observed over a given range of olefin concentrations. This non-linear behaviour can be explained by the formation of triazoline species **1a**, which competes with aryl azide for coordination to the ruthenium centre.

The relationships between the two alternative processes illustrated in Scheme 2 (*i.e.*, the catalytic aziridination *vs.* the stoichiometric formation of the ruthenium-triazoline complex **3a**) are here clarified by a series of kinetic experiments and DFT calculations, also aiming at comparing the energetic profiles of the processes.

### Kinetic Study

Previous studies suggested that performance of a catalyst is critically governed by its electronic properties,<sup>31</sup> and accordingly a series of kinetic experiments was carried out on the same substrate of the model reaction (Scheme 2) in the presence of the different ruthenium porphyrin catalysts **2a-g**. Interestingly, we found that the functionalisation at the *para* position of the *meso*-aryl of the porphyrin ligand of the catalyst modifies the kinetic order with respect to the aryl azide (Table 1). In most cases, a first order with respect to the aryl azide was observed for ligands bearing electron-donating groups (EDGs) (entries 1, 2, 4 Table 1), while the zero order emerged on functionalising the porphyrin with EWGs (entries 5-7, Table 1).

**Table 1.** Dependence of the reaction kinetic order with respect to ArN<sub>3</sub> on the electronic properties of the catalyst Ru(*p*-X-TPP)CO (**2a-g**).<sup>a</sup>



entry	X	catalyst	t (h)	conv. <sup>b</sup>	kinetic order <sup>c</sup>
1	H	<b>2a</b>	3.5	100%	1
2	MeO	<b>2b</b>	6	95 %	1
3	Cl	<b>2c</b>	8	85 %	1
4	<sup>t</sup> Bu	<b>2d</b>	2	77 %	1
5	CF <sub>3</sub>	<b>2e</b>	1.5	100%	0
6	COOBu	<b>2f</b>	1.5	100%	0
7	F	<b>2g</b>	2	100%	0

<sup>a</sup>Experimental conditions: 1.2x10<sup>-2</sup> mmol of the catalyst, cat./ArN<sub>3</sub>/ $\alpha$ -methylstyrene ratio = 1:50: 250, benzene as the reaction solvent, nitrogen atmosphere, 75°C. <sup>b</sup>Measured by IR spectroscopy ( $\nu_{(N=N)}$  signal of ArN<sub>3</sub> at 2150-2100 cm<sup>-1</sup>). <sup>c</sup>Kinetic order with respect to the aryl azide concentration.

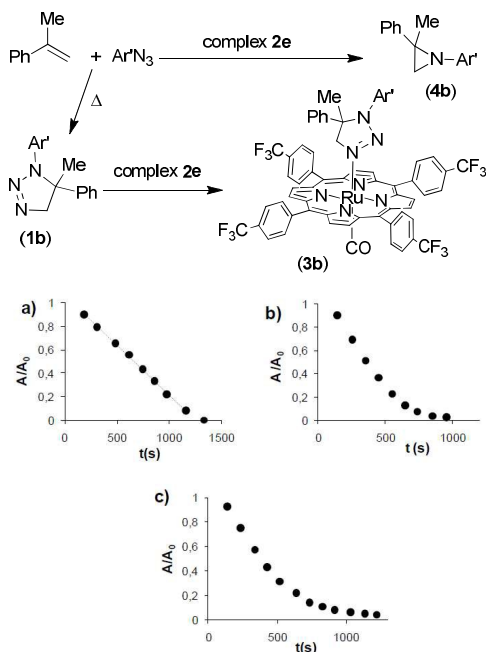
The discrepancy in the kinetic order can be explained by the dependence of the catalyst's coordination capability on the electronic properties of the porphyrin ligand. It should be noted that the dependence of the reaction kinetics on the electronic characteristics of aryl azides in the conversion of rhenium-azide adducts into corresponding imido derivatives was already reported.<sup>52</sup>

The bond between the ruthenium(II) centre and the aryl azide is naturally weak<sup>53</sup> and the equilibrium between the Ru(porphyrin)CO and Ru(porphyrin)(ArN<sub>3</sub>)(CO) complexes is the first step in influencing the rest of the olefin aziridination. Importantly, the equilibrium can be shifted more toward the azide coordination in the presence of a EWG on the porphyrin skeleton, which reduces the electron density on the metal centre and favours the coordination of the azide  $\alpha$ -nitrogen atom. The last process is further facilitated by the presence of a strong aryl azide excess and as a consequence a zero kinetic order with respect to the ArN<sub>3</sub> concentration was observed. On the other hand, the coordination capability of the metal decreases in the presence of an EDG on the ligand, disfavours the initial anchorage of the azide. In this case, the left-shifted equilibrium can be responsible for the observed apparent first order with respect to the azide concentration.

It must be underlined that, under the experimental conditions reported in Table 1 (low olefin concentration), the triazoline species does not form and hence it cannot act as an inhibiting agent.

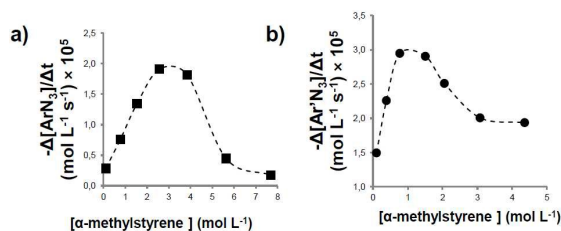
A second series of kinetic experiments was carried out by employing  $\alpha$ -methylstyrene, as the olefin, 3,5-bis(trifluoromethyl)phenyl azide (Ar'N<sub>3</sub>) and Ru(*p*-CF<sub>3</sub>-TPP)CO (**2e**), as the catalyst, to ensure a favoured azide coordination with a consequent zero order in the azide itself. We investigated the rate constant and kinetic order in aryl azide

upon increasing the  $\alpha$ -methylstyrene concentration. In the typical catalytic conditions (catalyst/azide/olefin = 1:50:250)<sup>31</sup> the kinetic order with respect to aryl azide was zero (Figure 1, graph a), while for higher  $\alpha$ -methylstyrene concentrations (greater than or equal to 1.5 M, 20% v/v) the reaction started with a zero order and gradually converted into a first order with respect to the aryl azide concentration (Figure 1, graph b). Finally, when the olefin amount was raised to 40% v/v (3.0 M) a clean first order dependence with respect to the aryl azide concentration was observed (Figure 1, graph c). In addition, a first order in the catalyst **2e** was observed (see ESI).



**Figure 1.** Kinetic dependence on  $\text{Ar}'\text{N}_3$  using  $[\alpha\text{-methylstyrene}] = 0.1 \text{ M}$  (a),  $2.1 \text{ M}$  (b) and  $3.0 \text{ M}$  (c).

A plot of the reaction rate ( $-\Delta[\text{Ar}'\text{N}_3]/\Delta t$ ) vs. the olefin concentration revealed a dependence very similar to that observed in the reaction between  $\alpha$ -methylstyrene and 4-nitrophenyl azide ( $\text{ArN}_3$ ).<sup>48</sup> A first order in  $\alpha$ -methylstyrene at low concentrations (up to 0.77 M) was followed by a drop in the reaction rate at high concentrations. The loss of linearity in the reaction rate vs. the olefin concentration dependence and the observation of a change in the aryl azide kinetic order from zero to mixed zero/first were almost coincident. Figure 2 shows the dependence of the reaction rate (calculated at 90% conversion) with respect to the olefin concentration for the cases of 4-nitrophenyl azide ( $\text{ArN}_3$ ) (Figure 2a) and 3,5-bis(trifluoromethyl)phenyl azide ( $\text{Ar}'\text{N}_3$ ) (Figure 2b), respectively.



**Figure 2.** Dependence of the reaction rate with respect to  $\alpha$ -methylstyrene concentration for the aziridination by a)  $\text{ArN}_3$  catalysed by **2a** and b)  $\text{Ar}'\text{N}_3$  catalysed by **2e**.

Based on previous results, it is logical that, also by using 3,5-bis(trifluoromethyl)phenyl azide ( $\text{Ar}'\text{N}_3$ ) at a high olefin concentration, the rate of the uncatalysed reaction becomes relevant. In fact, the triazoline species **1b** is formed and its accumulation in the reaction medium leads to the formation of complex **3b**, as witnessed by the presence of the typical methylene signal at -1,2 ppm of triazoline complex in the NMR spectrum of the reaction crude.

Complex **3b**, which is structurally analogous to **3a**, is responsible for the change from 0 to 1 of the kinetic order with respect to azide (Figure 1b) when, at the end of the catalytic reaction, an appreciable amount of **1b** is accumulated. Considering that **1b** must release the coordination site on the metal to allow the azide anchorage, this substitution reaction seems to guide the full catalytic process in line with the registered first order with respect to the azide concentration (last part of the graphic reported in Figure 1b). It should be noted that the coordination of triazolines to Ru(porphyrin)CO complexes is a general reaction, since other three complexes were isolated by reacting **1a** with ruthenium(II) porphyrin species **2b**, **2c** and **2e** (see ESI).

The comparison of the two graphics in Figure 2 reveals that, when the catalyst Ru(*p*-CF<sub>3</sub>-TPP)CO (**2e**) is used in place of Ru(PPP)CO (**2a**), the inhibition process occurs at a lower  $\alpha$ -methylstyrene concentration. This trend is in accord with the high coordination ability of ruthenium complexes with EWGs at the porphyrin ligand as stated above. For this reason, triazoline complex **3b** is appreciably formed during the reaction at a lower triazoline concentration with respect to **3a**. As a consequence, the inhibition of the process appears already relevant at an olefin concentration of about 1-2 M (Figure 2).

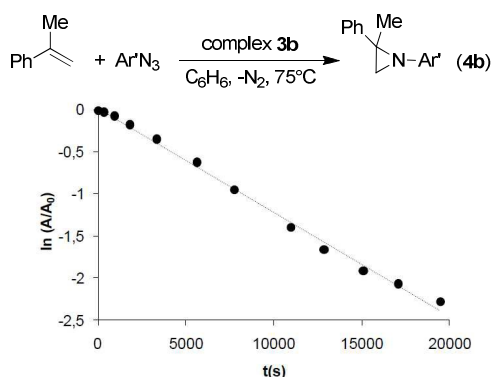
Even if different aryl azides ( $\text{ArN}_3$  or  $\text{Ar}'\text{N}_3$ ) were employed in the ruthenium-catalysed reaction with  $\alpha$ -methylstyrene, the rate of the uncatalysed reactions leading to **1a** or **1b** was unaffected. In fact, the rate was almost independent from the used aryl azide, as proven by the comparisons of the two kinetic constants measured in the absence of the catalyst (ratio  $k_{\text{synthesis of 1a}}/k_{\text{synthesis of 1b}} = 0.86$ ). The 1,3 cycloaddition can be favoured by the presence of EWGs on both the azides employed (*i.e.* NO<sub>2</sub> in  $\text{ArN}_3$  and CF<sub>3</sub> in  $\text{Ar}'\text{N}_3$ ) (see DFT study for the dependence of the triazoline formation on electronic properties of reactants).

In addition, the coordination power of triazolines **1a** and **1b** was similar, as proved by an NMR experiment run by adding an equimolar amount of **1a** to preformed triazoline complex **3b**. The NMR analysis of the reaction mixture after three hours at 70°C showed the presence of Ru(*p*-CF<sub>3</sub>-TPP)(**1a**)CO complex (**3c**) and the calculated **3c/3b** ratio of 0.5 showed the coordination ability of both the two triazolines **1a** and **1b** to Ru(*p*-CF<sub>3</sub>-TPP)CO (**2e**) (see ESI). This last experiment confirms that the coordination of an axial L ligand to the ruthenium(II) porphyrin is governed by the electronic features of the complex more than the nature of the incoming ligand L.

To confirm the inhibiting role of triazoline, complex **3b** was synthesised to be used as the catalyst for the reaction between  $\alpha$ -methylstyrene and 3,5-bis(trifluoromethyl)phenyl azide ( $\text{Ar}'\text{N}_3$ ). No zero order was observed with respect to the aryl azide concentration, as in the case of the reaction catalysed by **2e**. The very slow reaction follows a clean first order with respect to azide at any substrate concentration (Figure 3) confirming that the kinetics of the entire process is strongly conditioned by the presence of an axial ligand L such as triazoline.

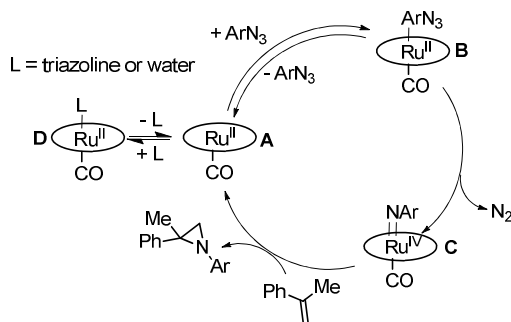
It is worth noting that the same effect on the reaction rate was also observed by performing the catalytic reaction shown in

Scheme 2, in the presence of “wet” Ru(TPP)CO. In fact, when catalyst **2a** was immediately used after the chromatographic purification (without drying it for two hours at 120° C under vacuum) the rate of azide conversion decreased by 70%. This effect is due to the presence of H<sub>2</sub>O on the ruthenium centre that inhibits the azide coordination.<sup>54</sup>



**Figure 3.** The Ar<sup>1</sup>N<sub>3</sub> consumption by using catalyst **3b** and [α-methylstyrene] = 0.1 M

Based on data reported up to now, the catalytic cycle in Scheme 3 can be proposed, in which the coordination of the azide represents a crucial step.



**Scheme 3.** Suggested mechanism for the aziridination of α-methylstyrene by aryl azide.

We propose that the reversible coordination of the azide is influenced by two factors, namely i) the presence of competitive ligands (L) such as triazoline species or water and ii) the electronic properties of the porphyrin skeleton. When the reaction is run in the absence of any coordinating ligand L (low α-methylstyrene concentration and anhydrous conditions) and with electron deficient catalysts, the equilibrium of the formation of species **B** is right-shifted and the reaction rate does not depend on the azide concentration (a zero order was registered). On the other hand, when either the triazoline is formed during the catalysis (high olefin concentration) or the coordination ability of the catalyst drops off due to the presence of EDGs on the porphyrin, the equilibrium of the formation of **B** is left-shifted, with this step becoming rate determining. As a consequence a first order with respect to the azide concentration was observed.

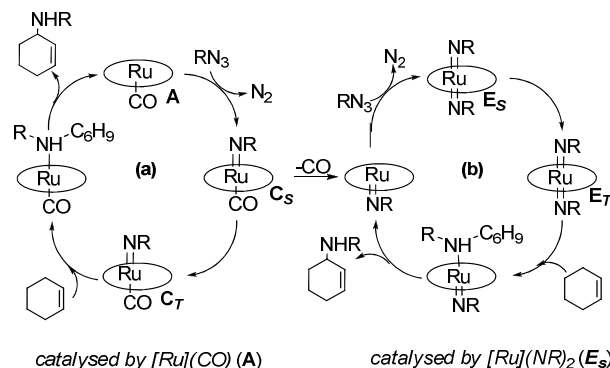
In the mechanistic proposal, the active role of the *mono*-imido ruthenium complex **C** is implied. Conversely, the presence of ruthenium *bis*-imido species, which are considered active catalytic intermediates in the amination of C-H bonds, can be excluded.<sup>53, 55-58</sup>

This statement is supported by the analysis of the reaction crude at the end of the catalytic reactions, Ru(porphyrin)CO complex was

detected by IR spectroscopy (see ESI) and the presence of *bis*-imido ruthenium complexes was never revealed by NMR spectroscopy.

### DFT Study

The mechanism for the allylic amination of cyclohexene catalysed by complex [Ru](CO) (**A**) ([Ru]=Ru(porphine)) was proposed<sup>53</sup> and it is generically summarised in Scheme 4.<sup>59</sup>



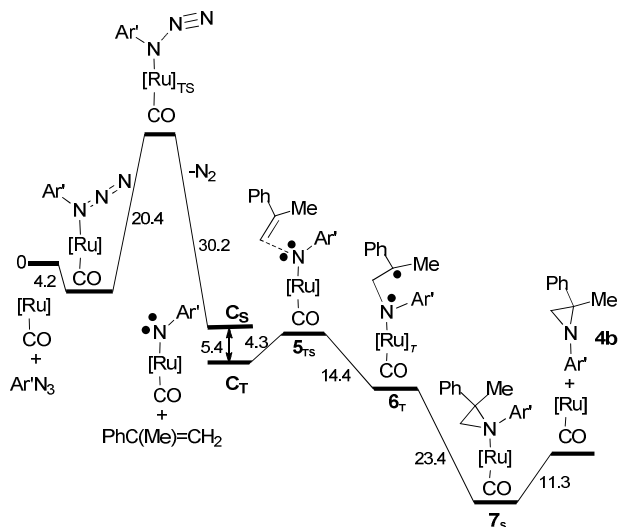
**Scheme 4.** Overall mechanism of the [Ru](CO)-catalysed allylic amination of cyclohexene by RN<sub>3</sub>.

After anchoring to the metal, the azide RN<sub>3</sub> is activated with the eco-friendly N<sub>2</sub> dismissal to form the *mono*-imido [Ru](NR)(CO) complex **C** which represents the key intermediate in any subsequent catalytic process. In particular, two alternative reactivity pathways are possible depending on whether a singlet ground state is maintained (C<sub>S</sub>) or an intersystem crossing to the triplet C<sub>T</sub> occurs. This high spin isomer is somewhat more stable (ΔG = -3.7 kcal mol<sup>-1</sup>) than the singlet one and it has a higher concentration of localised unpaired spin at the coordinated imido nitrogen atom than at the metal itself. A two step radical reactivity is promoted, as indicated in cycle (a) of Scheme 4. Formation and release of the desired allylic amine product occurs through a radical “rebound” mechanism.<sup>53,60,61</sup> As mentioned, also the singlet isomer C<sub>S</sub> allows an alternative process, since the already weaker Ru-CO bond is consistent with a CO release, hence a second azide activation may occur at the vacated metal site (cycle (b) of Scheme 4). The resulting *bis*-imido complex [Ru](NR)<sub>2</sub> (**E**) was experimentally and computationally proven to act as a catalyst upon another singlet (E<sub>S</sub>) → triplet (E<sub>T</sub>) spin crossing. In E<sub>T</sub>, the diradical character is initially shared by both the imido N atoms, but spin flipping can allow the rebound mechanism at only one NR ligand. After the departure of allylic amine, the regenerated five coordinated *mono*-imido singlet [Ru](NR) can activate another azide molecule to restart the catalytic cycle.

In conclusion, the cycles (a) and (b) of Scheme 4 are clearly interconnected and, based on experimental data, the preferred catalytic cycle depends on the azide/organic substrate concentration ratio.<sup>53</sup>

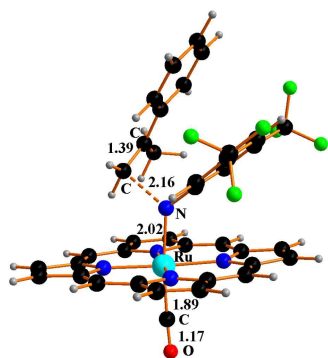
Our understanding of the allylic amination reaction is fundamental in interpreting the mechanism of the aziridination reaction, which occurs when the same [Ru](CO) (**A**) catalyst activates an azide molecule in the presence of an olefin. As in the cycle (a) of Scheme 4, the *mono*-imido species [Ru](NR)(CO) C<sub>S</sub> is formed but in this case the subsequent reactivity proceeds only from the C<sub>T</sub> spin isomer. In fact, IR spectra of the reaction crude clearly indicated that the CO ligand never detached from [Ru](CO) (**A**) which was always

present at the end of the catalytic reaction while there was no trace of the *bis*-imido species (**E**). As before,<sup>53</sup> our computations were carried out by using both the 3,5-*bis*(trifluoromethyl)phenyl azide ( $\text{Ar}^t\text{N}_3$ ) and  $\text{CH}_3\text{N}_3$  with consistent energy profiles, as indicated in Schemes 5 and S11. In the following discussion, the results will refer to the azide  $\text{Ar}^t\text{N}_3$  except for a specific case to be indicated. The initial part of Scheme 5 confirms the azide anchoring to the metal and the highest barrier to be bypassed ( $\Delta G = +20.4 \text{ kcal mol}^{-1}$ ) to reach the *mono*-imido  $\text{C}_S$  and  $\text{C}_T$  spin isomers (see the SI for the corresponding optimised structures) The latter diradical triggers the olefin's reactivity by easily approaching a triplet  $5_{\text{TS}}$  species with a barrier of only  $+4.3 \text{ kcal mol}^{-1}$ .



**Scheme 5.** The overall energy profile for the aziridine **4b** synthesis from  $\text{Ar}^t\text{N}_3$  and  $\alpha$ -methylstyrene,  $\text{Ph}(\text{Me})\text{C}=\text{CH}_2$ .

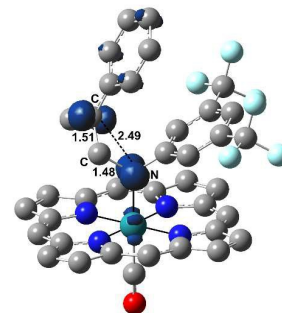
The  $5_{\text{TS}}$  structure in Figure 4 indicates an incipient  $\text{C}\cdots\text{N}$  bond ( $2.16 \text{ \AA}$ ) between the terminal olefin C atom and the N imido one. Full bond formation ( $1.48 \text{ \AA}$ ) is instead attained at the next triplet minimum  $[\text{Ru}](\text{Ar}^t\text{NCH}_2\text{C}(\text{Me})\text{Ph})(\text{CO})_{\text{T}}$ , **6<sub>T</sub>**, which lies  $-14.4 \text{ kcal mol}^{-1}$  deeper in free energy.



**Figure 4.** Structure of  $5_{\text{TS}}$  resulting from the incipient interaction between the triplet imido complex  $\text{C}_T$  and  $\alpha$ -methylstyrene  $\text{Ph}(\text{Me})\text{C}=\text{CH}_2$ .

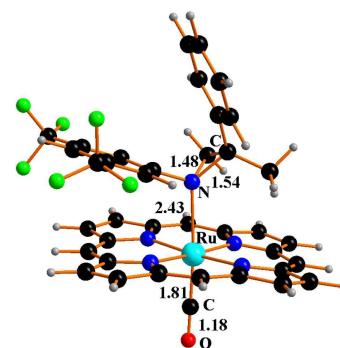
In the structure of Figure 5, the atoms with formal unpaired electrons are again the nitrogen coordinated to the metal and the second olefin carbon atom. The separation is relatively small ( $2.50 \text{ \AA}$ ), but coupling is not featured, because the tubular spin density shapes (also shown in Figure 5) are almost orthogonally oriented. The integrated values are  $+0.75$  and  $+0.71 \text{ e}^2/\text{bohr}^3$ ,

respectively, while the spin density at the metal is only residual ( $+0.19 \text{ e}^2/\text{bohr}^3$ ).



**Figure 5.** Structure of **6<sub>T</sub>** with the associated spin density shapes.

If the given stereochemistry of **6<sub>T</sub>** momentarily prevents a prompt electron coupling, some combined torsions about the N-C and C-C single bonds of the pending Ru-N-C-C chain may easily trigger electron pairing and ring's closure. The corresponding C-N distance is optimised to be  $1.54 \text{ \AA}$  in the singlet aziridine complex  $[\text{Ru}](\text{4b})(\text{CO})$  (**7<sub>S</sub>**) (see Figure 6), whose formation is largely exergonic ( $-23.4 \text{ kcal mol}^{-1}$ ).

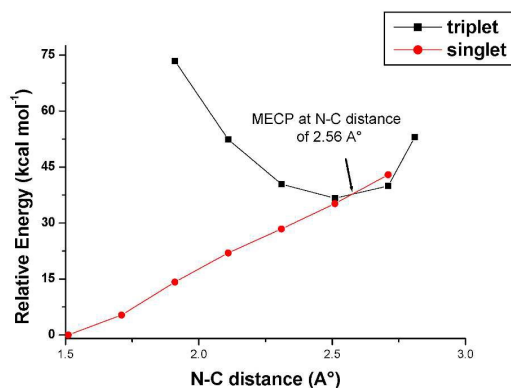


**Figure 6.** Structure of compound  $[\text{Ru}](\text{4b})(\text{CO})$  **7<sub>S</sub>**.

Similarly to the allylic amine ruthenium complex formed in cycle (**b**) of Scheme 4,<sup>53</sup> the N-coordinated aziridine ligand *trans* to the CO one is weakly bound, the Ru-N<sub>aziridine</sub> distance being  $2.43 \text{ \AA}$  in **7<sub>S</sub>**. Nonetheless, there is an additional cost of  $+11.3 \text{ kcal mol}^{-1}$  for the aziridine separation (last step in Scheme 5). It was already remarked how the anchoring of a given N ligand to the  $[\text{Ru}](\text{CO})$  unit is largely attributable to dispersion forces which extending to whole TPP moiety.<sup>53</sup> Whatever can be the nature of the attraction, the separation of the catalytic product (amine or aziridine) cannot represent a major problem under the used experimental conditions and, in particular, the  $\sim 80^\circ \text{ C}$  reaction temperature.

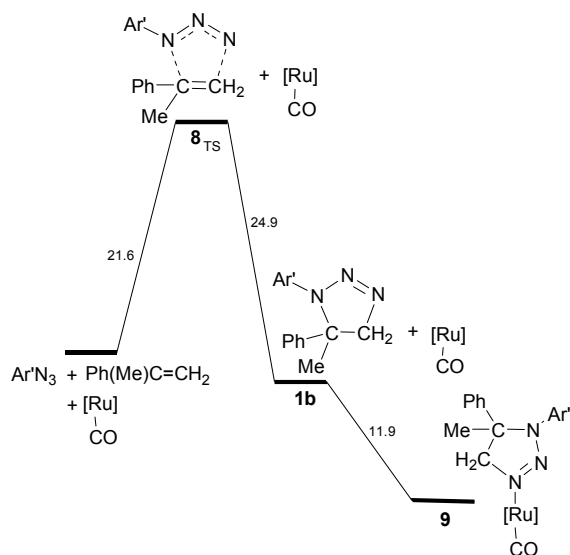
Since a major aspect the catalytic processes promoted by  $[\text{Ru}](\text{CO})$  involve an intersystem crossing either at the  $\text{C}_S \rightarrow \text{C}_T$  interconversion or the aziridine ring closure, attempts have been made to evaluate the Minimum Energy Crossing Point (MECP)<sup>62,63</sup> by calculations. The  $\text{C}_S \rightarrow \text{C}_T$  process, which is of importance also in the catalytic aziridination (Scheme 5) was previously analysed in details.<sup>53</sup> New attempts were then made to establish the MECP for spin quenching during the transformation  $6_{\text{T}} \rightarrow 7_{\text{S}}$ . For simplicity, calculations were performed and the corresponding models  $6_{\text{T}} \rightarrow 7_{\text{S}}$ , derived from the analogous methyl azide and isobutene reactants (*i.e.*,  $\text{CH}_3\text{N}_3$  and  $\text{H}_2\text{C}=\text{C}(\text{CH}_3)_2$ ). Scans on both singlet and triplet Potential Energy Surfaces (PES) allowed monitoring the energy variations as a function of the C-N key distance. The results are

presented in Figure 7. The triplet has its minimum at 2.56 Å, the separation being slightly larger with respect to the optimised value of the diradical structure **6<sub>T</sub>** (Figure 5). The triplet curve crosses the singlet one near the minimum, with a pronounced trend of the species to close the aziridine molecule and transform **6<sub>T</sub>** into **7<sub>S</sub>**. This occurs thanks to other stereochemical rearrangements, in particular the mentioned torsion about the two linkages of the N-C-C chain. It may be concluded that the MECP for the intersystem crossing is null in this case. A similar highly favoured ring-closure through an intermolecular rebound mechanism has already been reported.<sup>44,45</sup>



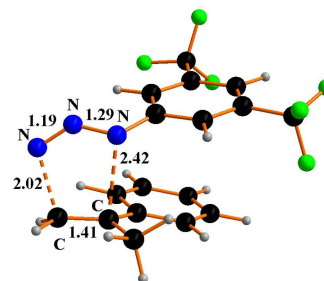
**Figure 7.** Relaxed scan plots over triplet and singlet Potential Energy Surface (Electronic energy) for the models **6<sub>T</sub>** and **7<sub>S</sub>**, derived from methyl azide and isobutene reactants.

As reported in the experimental part, aziridine is not the only possible product of reactivity, since also the triazoline **1b** may form from the 1,3-cycloaddition reaction of Ar'<sup>1</sup>N<sub>3</sub> with α-methylstyrene. The kinetic studies indicated that, under the catalytic conditions, the increase of the **1b** yield is related to the presence of high olefin concentrations. To find out how competitive in energy the two processes can be, the energy profile for triazoline **1b** generation was compared with that of the aziridination process (Scheme 6 vs. Scheme 5).



**Scheme 6.** Energy profile for the formation of triazoline **1b**. The eventual coordination of **1b** to [Ru](CO) is exergonic.

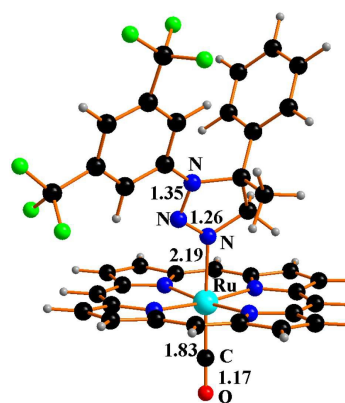
The metal unassisted triazoline **1b** formation proceeds in the singlet PES through the transition state **8<sub>TS</sub>** along a 1,3-cycloaddition pathway (Figure 8).



**Figure 8.** Optimised structure of **8<sub>TS</sub>** species in the 1,3-cycloaddition between Ar'<sup>1</sup>N<sub>3</sub> and α-methylstyrene.

The corresponding barrier of +21.4 kcal mol<sup>-1</sup> is quantitatively similar to that of the first encountered TS in the metal promoted activation of the azide (+20.4 kcal mol<sup>-1</sup> in Scheme 5). From the thermodynamic viewpoint, the two alternative processes are comparably difficult at least in the initial parts, none being potentially hindered under the high working temperature. The structure of **8<sub>TS</sub>** indicates that the two C••N linkages do not form at equal speed, the one between the CH<sub>2</sub> group and the terminal azide N<sub>γ</sub> atom being definitely more robust (2.02 Å) than that one between the second olefin carbon atom and the N<sub>α</sub> atom of Ar'<sup>1</sup>N<sub>3</sub> (2.42 Å). This TS feature corroborates a significant stereoselectivity of the 1,3-cycloaddition, which does not emerge from the structure of the final triazoline **1b** (Figure S17a) which presents two identical C-N linkages of 1.49 Å. The stereoselectivity is further corroborated by the computed pathway leading to the alternative triazoline isomer **1b'** with inverted C-N linkages (Figure S17b), The latter isomer is insignificantly favoured (-0.8 kcal mol<sup>-1</sup>) but the barrier at **8'<sub>TS</sub>** (Figure S18) is +5 kcal mol<sup>-1</sup> higher. Possibly, the difference is attributable to a combination of less favourable steric interaction, which also determines the stereoselectivity.

Potentially, the triazoline formation can reduce the catalytic efficiency of the system for two reasons: i) it subtracts starting materials from the aziridination reaction and ii) inhibits the catalytic reaction by coordinating ruthenium of the unsaturated [Ru](CO) catalyst giving the complex [Ru](**1b**)(CO) (**9**), shown in Figure 9, which corresponds to the last step in Scheme 6 and to the species **D** in Scheme 3.



**Figure 9.** Optimised structure of complex **9**.

The stabilisation energy of  $-11.9 \text{ kcal mol}^{-1}$  of complex **9** formation compares with that of the aziridine complex **7<sub>s</sub>** ( $-11.3 \text{ kcal mol}^{-1}$  in Scheme 5). Consequently, **9** does not in principle deactivate metal catalysis but it reduces its efficiency, as suggested by kinetic studies.

In the presence of [Ru](CO) the free aziridine molecules is likely favoured over that of triazoline, possibly because the overall energy balance is more exergonic by  $-15.2 \text{ kcal mol}^{-1}$ . Considering that the two processes have initial comparable barriers, the triazoline formation is thermodynamically possible, whereas the kinetic studies indicate that the alternative reactivities depend on the olefin concentration. When the latter is low (as under the catalytic conditions of choice), the olefin aziridination is essentially a unique process. Conversely, when the olefin concentration increases, the 1,3-cycloaddition yielding triazoline takes place and at least in part it disfavours the aziridination process.

## Conclusion

The mechanism of the aziridination of olefins promoted by ruthenium(II) porphyrin complexes has been critically analysed from both experimental and computational viewpoints. The catalytic reaction is efficiently performed by using aryl azides as nitrogen sources with the eco-friendly  $\text{N}_2$  elimination. Experimental data, kinetic measurements and theoretical calculations all underline the active role played by a ruthenium *mono*-imido intermediate in the aziridination process.

The mechanistic proposal of this paper is similar, at least in the early stages, to that reported for the amination of allylic C-H bonds. In fact, the aryl azide activation over the [Ru](CO) catalyst is common to these processes and yields a diradical *mono*-imido [Ru](NR)(CO) metal species. In the catalytic allylic amination, this species activates the allylic C-H bond allowing access to a rebound mechanism, which ultimately leads to the amine product.<sup>53</sup> In the presence of an olefin rather than an allylic substrate, the same diradical favours the catalytic aziridination which uniquely restores the ruthenium *mono*-imido complex and the *bis*-imido derivatives, an active intermediate in the allylic amination, was never involved in the catalytic reaction. As a matter of fact, the *mono*-imido diradical transfers to the distal olefin carbon atom one of the nitrogen unpaired electrons. A metastable and diradical N-C-C open chain is first attained, which, through minor stereochemical rearrangements, undergoes spin coupling with the consequent aziridine ring closure.

In addition to the above findings, the catalytic role of an axial ligand **L** was elucidated by a kinetic study (in part corroborated by the calculated energies). Ligand **L** (either triazoline or water) seems to interfere with the catalytic reaction mechanism and introduces an additional step into the cycle (Scheme 3, **D**  $\rightarrow$  **A** transformation). In fact, the relatively stable six-coordinated ruthenium(II) complex can temporarily prevent another azide coordination and its subsequent activation. Considering that the rate of the triazoline formation depends on the olefin concentration, this last parameter must be taken into great consideration in order to enhance the reaction selectivity in aziridination.

## Experimental

**General Conditions.** Unless otherwise specified, all reactions were carried out under nitrogen atmosphere employing standard Schlenk techniques and vacuum-line manipulations.

**Solvents and reagents.** Benzene was dried by M. Braun SPS-800 solvent purification system whilst  $\alpha$ -methylstyrene was purified by distillation under nitrogen over sodium. Aryl azides,<sup>64,65</sup> *para*-

substituted-*meso*-tetraphenylporphyrin<sup>66</sup> and their ruthenium carbonyl complexes<sup>31,54,67</sup> were synthesised by methods reported in the literature. All the other starting materials are commercial products and were used as received. The synthesis and characterisation of aziridines (**4a** and **4b**),<sup>31</sup> triazoline **1a**<sup>48</sup> and complex **3a**<sup>48</sup> was performed as reported.

**Instruments.** Infrared spectra were recorded on a Varian Scimitar FTS 1000 spectrophotometer using Perkin-Helmer  $\text{CaF}_2$  cells of 0.5 mm or 0.1 mm thickness. NMR spectra were recorded at 300 K on a Bruker Avance 300-DRX, operating at 300 MHz for  $^1\text{H}$ , at 75 MHz for  $^{13}\text{C}$  and at 282 MHz for  $^{19}\text{F}$ ; or on a Bruker Avance 400-DRX spectrometers, operating at 400 MHz for  $^1\text{H}$ , 100 MHz for  $^{13}\text{C}$  and at 376 MHz for  $^{19}\text{F}$ . Chemical shifts (ppm) are reported relative to TMS. The  $^1\text{H}$  NMR signals of the compounds described in the following have been attributed by COSY and NOESY techniques. Assignments of resonances in  $^{13}\text{C}$  NMR were made by using the APT pulse sequence and HSQC and HMBC techniques.

**Computational details.** All the calculations were carried out with the Gaussian 09 package<sup>68</sup> at B97D-DFT<sup>69</sup> level of theory. All the optimised structures were validated as minima and/or transition states by computed vibrational frequencies. All the calculations were based on the CPCM<sup>70,71</sup> model for the benzene solvent, the same used in the experiments. The effective Stuttgart/Dresden core potential (SDD)<sup>72</sup> was adopted for the ruthenium centre, while for all the other atomic species the basis set was 6-31G, with the addition of the polarization functions (d,p). The coordinates of all the optimised structures are reported in ESI.

**Synthesis of 1-(3,5-bis(trifluoromethyl)phenyl)-5-methyl-5-phenyl-1,2,3-triazoline (1b).** 3,5-bis(trifluoromethyl)phenyl azide (148.0 mg,  $5.8 \times 10^{-1}$  mmol) was dissolved into a 1:1 mixture of  $\alpha$ -methylstyrene and benzene (14.0 mL) and refluxed for 16 hours until the complete consumption of the aryl azide. The solution was evaporated to dryness to give a pure orange oil (220 mg, 99%).  $^1\text{H}$  NMR (300 MHz,  $\text{CDCl}_3$ ):  $\delta$  7.50-7.20 (8H, m,  $\text{H}_{\text{Ar}}$ ), 4.71 (1H, d,  $J = 17.4 \text{ Hz}$ ,  $\text{CHH}$ ), 4.50 (1H, d,  $J = 17.4 \text{ Hz}$ ,  $\text{CHH}$ ), 1.77 (3H, s,  $\text{CH}_3$ ).  $^{19}\text{F}$  NMR (282 MHz,  $\text{CDCl}_3$ ):  $\delta$  -63.66 ( $\text{CF}_3$ ).  $^{13}\text{C}$  NMR (75 MHz,  $\text{CDCl}_3$ )  $\delta$  142.1 (C), 140.9 (C), 132.6 (q,  $J = 33.4 \text{ Hz}$ ,  $\text{C-CF}_3$ ), 129.7 ( $\text{CH}_{\text{Ar}}$ ), 128.5 ( $\text{CH}_{\text{Ar}}$ ), 125.5 ( $\text{CH}_{\text{Ar}}$ ), 123.4 (q,  $J = 272.9 \text{ Hz}$ ,  $\text{CF}_3$ ), 115.5 (m,  $\text{CH}_{\text{Ar}}$ ), 115.2 (q,  $J = 3.6 \text{ Hz}$ ,  $\text{CH}_{\text{Ar}}$ ), 85.2 ( $\text{CH}_2$ ), 63.2 (C), 22.0 ( $\text{CH}_3$ ).

**Synthesis of complex 3b.** Compound **1b** ( $55.0 \text{ mg}$ ,  $1.5 \times 10^{-1}$  mmol) and  $\text{Ru}(p\text{-CF}_3\text{-TPP})\text{CO}$  (**2e**) ( $100 \text{ mg}$ ,  $9.8 \times 10^{-2}$  mmol) were dissolved in benzene (25.0 mL) and the solution was refluxed for 1 hour, until the TLC control showed a complete conversion of **2e** into **3b**. The solution was concentrated to about 2.0 mL and *n*-hexane (15.0 mL) was added. A purple crystalline solid was collected by filtration and dried *in vacuo* (92.0 mg, 68%).  $^1\text{H}$  NMR (300 MHz,  $\text{CDCl}_3$ ):  $\delta$  8.60 (8H, s), 8.38 (4H, d,  $J = 8.0 \text{ Hz}$ ), 8.01 (8H, m), 7.91 (4H, d,  $J = 7.9 \text{ Hz}$ ), 7.13 (1H, s), 7.04 (1H, t,  $J = 7.3 \text{ Hz}$ ), 6.89 (2H, pst), 5.69 (2H, s), 5.24 (2H, d,  $J = 7.7 \text{ Hz}$ ), -0.04 (3H, s), -1.19 (1H, d,  $J = 17.1 \text{ Hz}$ ), -1.31 (1H, d,  $J = 17.1 \text{ Hz}$ ).  $^{13}\text{C}$  NMR (75 MHz,  $\text{CDCl}_3$ )  $\delta$  146.1 (C), 143.76 (C), 143.68 (C), 138.6 (CH), 137.6 (CH), 134.6 (CH), 134.1 (CH), 132.14 (CH), 132.11 (CH), 130.1 (q,  $J = 32.6 \text{ Hz}$ ,  $\text{C-CF}_3$ ), 129.3 (CH), 128.7 (CH), 124.7 (q,  $J = 272.2 \text{ Hz}$ ,  $\text{CF}_3$ ), 123.9 (d,  $J = 3.8 \text{ Hz}$ , CH), 123.7 (CH), 123.5 (d,  $J = 3.4 \text{ Hz}$ , CH), 120.7 (C), 116.9 (m, CH), 114.5 (m, CH), 73.7 ( $\text{CH}_2$ ), 63.5 (C), 20.2 ( $\text{CH}_3$ ), one  $\text{CF}_3$  signal and one  $\text{C-CF}_3$  signal were not detected.  $^{19}\text{F}$  NMR (300 MHz,  $\text{CDCl}_3$ ):  $\delta$  -62.33 ( $\text{CF}_3$  porphyrin), -63.82 ( $\text{CF}_3$  triazoline). IR(ATR):  $1968 \text{ cm}^{-1}$  ( $\nu_{\text{CO}}$ ). Elemental Analysis calc. for  $\text{C}_{66}\text{H}_{37}\text{N}_7\text{F}_{18}\text{ORu}$ : C, 57.15; H, 2.69; F, 24.65; N, 7.07; O, 1.15; Ru, 7.29. Found: C, 56.98; H, 2.48; N, 6.64.



### Kinetic measurements.

**General Procedure.** The catalyst ( $1.2 \times 10^{-2}$  mmol, 2% with respect to the aryl azide), aryl azide and  $\alpha$ -methylstyrene were added to benzene in a Schlenk flask (total volume = 30.0 mL). The resulting solution was immediately placed in a preheated oil bath at 75°C. The solution was stirred for one minute to completely dissolve all reagents and then 0.2 mL of solution were withdrawn for IR analysis at regular time intervals. The consumption of the organic azide was followed by measuring the absorbance ( $A$ ) of the  $\nu_{(N=N)}$  signal at 2150-2100  $\text{cm}^{-1}$ . Rate constants with respect to the aryl azide concentration were determined from the specific variation of  $A$  with respect to time.

**Determination of the kinetic order with respect to 4-nitrophenyl azide ( $\text{ArN}_3$ ) using complexes 2a-g as catalysts.** The general procedure for the kinetic experiments was followed by using 4-nitrophenyl azide (100 mg,  $6.1 \times 10^{-1}$  mmol) and  $\alpha$ -methylstyrene ( $4.00 \times 10^{-2}$  mL, 3.1 mmol) as the reactants.

**Determination of the kinetic order with respect to 3,5-bis(trifluoromethyl)phenyl azide using 2e as catalyst.** The general procedure for the kinetic experiments was followed using 2e (12.5 mg,  $1.2 \times 10^{-2}$  mmol) as the catalyst, 3,5-bis(trifluoromethyl)phenyl azide (153 mg,  $6.0 \times 10^{-1}$  mmol) and  $\alpha$ -methylstyrene ( $3.90 \times 10^{-1}$  mL, 3.0 mmol) as the reactants.

**Determination of the dependence of the reaction rate with respect to  $\alpha$ -methylstyrene concentration.** The general procedure for the kinetic experiments was followed using 2e (12.5 mg,  $2 \times 10^{-2}$  mmol) as the catalyst and 3,5-bis(trifluoromethyl)phenyl azide (153 mg,  $6.0 \times 10^{-1}$  mmol) as the aryl azide. The experiment were performed using different amounts of  $\alpha$ -methylstyrene [a]  $3.90 \times 10^{-1}$  mL, 3.0 mmol; b) 1.50 mL, 12.0 mmol; c) 3.00 mL, 23.0 mmol; d) 5.90 mL, 45.0 mmol; e) 8.00 mL, 62.0 mmol; f) 12.0 mL, 92.0 mmol; g) 17.0 mL,  $1.3 \times 10^2$  mmol].

**Determination of the kinetic order with respect to 2e concentration.** The general procedure for the kinetic experiments was followed by using 3,5-bis(trifluoromethyl)phenyl azide (153 mg,  $6.0 \times 10^{-1}$  mmol) and  $\alpha$ -methylstyrene ( $3.90 \times 10^{-1}$  mL, 3.0 mmol) as the reactants. The experiments were performed using different amounts of 2e [a] 4.83 mg,  $4.8 \times 10^{-3}$  mmol; b) 7.24 mg,  $7.1 \times 10^{-3}$  mmol; c) 9.65 mg,  $9.5 \times 10^{-3}$  mmol; d) 12.5 mg,  $1.2 \times 10^{-2}$  mmol; e) 14.5 mg,  $1.4 \times 10^{-2}$  mmol].

**Determination of the kinetic order with respect to 3,5-bis(trifluoromethyl)phenyl azide using complex 3b as catalyst.** The general procedure for the kinetic experiments was followed using 3b (16.6 mg,  $1.2 \times 10^{-2}$  mmol) as the catalyst, 3,5-bis(trifluoromethyl)phenyl azide (153 mg,  $6.0 \times 10^{-1}$  mmol) and  $\alpha$ -methylstyrene ( $3.90 \times 10^{-1}$  mL, 3.0 mmol) as the reactants. The inhibited rate constant with respect to 3,5-bis(trifluoromethyl)phenylazide was determined from the variation of  $A$  with respect to time (t).

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## TOC

A combination of DFT and kinetic studies suggests a mechanism of the olefin aziridination by organic azides catalysed by ruthenium porphyrins.

